

### Kentucky Part C FFY 2006 SPP/APR Response Table

While the State has publicly reported on the performance of each local program located in the State on the targets in the State's performance plan as required by IDEA section 616(b)(2)(C)(ii)(I), those reports do not contain the required information. Specifically, the State was unable to disaggregate data for 8A, 8B, and 8C.

Monitoring Priorities and Indicators	Status of APR Data/SPP Revision Issues	OSEP Analysis/Next Steps
<p>1. Percent of infants and toddlers with IFSPs who receive the early intervention services on their IFSPs in a timely manner.</p> <p>[Compliance Indicator]</p>	<p>The State revised the improvement activities for this indicator in its SPP and OSEP accepts those revisions.</p> <p>The State's FFY 2006 reported data for this indicator are 80%. OSEP could not determine whether there was progress or slippage because the State's FFY 2006 and FFY 2005 data are based on different standards.</p> <p>The State did not meet its FFY 2006 target of 100%.</p> <p>The State did not account for untimely receipt of services.</p> <p>The State reported that one of 16 findings of noncompliance identified in FFY 2005 was corrected in a timely manner.</p>	<p>The State reported that its FFY 2006 data measured the timely initiation of all services on all IFSPs in effect during FFY 2006, which could reflect a higher standard than the measurement for this indicator (i.e., timely initiation of EI services on initial IFSPs and any new additional EI services on subsequent IFSPs). However, it is unclear how the State counted timely initiation of services on subsequent IFSPs in its calculation for this indicator. In addition, it is also unclear what timeliness standard the State has adopted. In its FFY 2005 APR, the State reported that its timeliness standard is less than three weeks (21 days) from the initial IFSP meeting date. However, in its FFY 2006 APR, the State reported that services were considered timely when initiated in less than three weeks (21 days) from the service start date, which is not consistent with the measurement for this indicator. The IFSP service initiation date is established by the IFSP team, which includes the parent, and may serve as the standard, but the State may not add an additional period to this date.</p> <p>The State must review its improvement activities and revise them, if appropriate, to ensure they will enable the State to provide data in the FFY 2007 APR, due February 1, 2009, that reflect the required measurement for this indicator and demonstrate that the State is in compliance with the requirements in 34 CFR §§303.340(c), 303.342(e), and</p>

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		<p>303.344(f)(1), including reporting correction of noncompliance identified in the FFY 2006 APR.</p> <p>The State reported that noncompliance identified in FFY 2005 with the timely service provision requirements in 34 CFR §§303.340(c), 303.342(e), and 303.344(f)(1) was partially corrected. The State must demonstrate, in the FFY 2007 APR, due February 1, 2009, that the uncorrected noncompliance was corrected.</p>												
<p>2. Percent of infants and toddlers with IFSPs who primarily receive early intervention services in the home or programs for typically developing children.</p> <p>[Results Indicator]</p>	<p>The State revised the targets for this indicator in its SPP and OSEP accepts those revisions. The State's targets are more rigorous.</p> <p>The State's FFY 2006 reported data for this indicator are 99.3%. The State's data reflect a high level of performance for this indicator.</p> <p>The State met its FFY 2006 target of 98.7%.</p>	<p>The State's actual target data for provision of services to infants and toddlers in natural environments are at or greater than 95%. There is no expectation that an increase in that percentage is necessary. OSEP appreciates the State's efforts to improve performance and expects that the State is monitoring to ensure that IFSP teams are making service setting decisions on an individualized basis and in compliance with 34 CFR §§303.12, 303.18, and 303.344(d)(1)(ii).</p>												
<p>3. Percent of infants and toddlers with IFSPs who demonstrate improved:</p> <ul style="list-style-type: none"> <li>A. Positive social-emotional skills (including social relationships);</li> <li>B. Acquisition and use of knowledge and skills (including early language/communication); and</li> <li>C. Use of appropriate behaviors to meet their needs.</li> </ul>	<p>The State's FFY 2006 reported progress data for this indicator are:</p> <table border="1" data-bbox="569 1133 1451 1511"> <thead> <tr> <th data-bbox="569 1133 1056 1308">06-07 Infant and Toddler Outcome Progress Data</th> <th data-bbox="1056 1133 1188 1308">Social Emotional</th> <th data-bbox="1188 1133 1320 1308">Knowledge &amp; Skills</th> <th data-bbox="1320 1133 1451 1308">Appropriate Behavior</th> </tr> </thead> <tbody> <tr> <td data-bbox="569 1308 1056 1377">a. % of infant &amp; toddlers who did not improve functioning.</td> <td data-bbox="1056 1308 1188 1377">47%</td> <td data-bbox="1188 1308 1320 1377">26%</td> <td data-bbox="1320 1308 1451 1377">57%</td> </tr> <tr> <td data-bbox="569 1377 1056 1511">b. % of infant &amp; toddlers who improved but not sufficient to move nearer to functioning comparable to same-aged peers.</td> <td data-bbox="1056 1377 1188 1511">45%</td> <td data-bbox="1188 1377 1320 1511">74%</td> <td data-bbox="1320 1377 1451 1511">43%</td> </tr> </tbody> </table>	06-07 Infant and Toddler Outcome Progress Data	Social Emotional	Knowledge & Skills	Appropriate Behavior	a. % of infant & toddlers who did not improve functioning.	47%	26%	57%	b. % of infant & toddlers who improved but not sufficient to move nearer to functioning comparable to same-aged peers.	45%	74%	43%	<p>OSEP's June 15, 2007 FFY 2005 SPP/APR response table required the State to include in the FFY 2006 APR, due February 1, 2008, entry-level data for FFY 2005. The State provided the required data.</p> <p>The State must provide progress data with the FFY 2007 APR, due February 1, 2009, and baseline data and targets with the FFY 2008 APR, due February 1, 2010.</p> <p>The State did not report valid and reliable progress data. It is unclear to OSEP whether the State's plan to collect and report data for</p>
06-07 Infant and Toddler Outcome Progress Data	Social Emotional	Knowledge & Skills	Appropriate Behavior											
a. % of infant & toddlers who did not improve functioning.	47%	26%	57%											
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<p>[Results Indicator; New]</p>	<p>c. % of infant &amp; toddlers who improved to a level nearer to same-aged peers but did not reach it.</p>	<p>8%</p>	<p>No data</p>	<p>No data</p>	<p>this indicator will result in the State’s ability to provide valid and reliable baseline data in the FFY 2008 APR, due February 1, 2010. OSEP is available to provide technical assistance.</p>																
<p>d. % of infant &amp; toddlers who improved functioning to reach a level comparable to same-aged peers.</p>	<p>No data</p>	<p>No data</p>	<p>No data</p>																		
<p>e. % of infant &amp; toddlers who maintained functioning at a level comparable to same-aged peers.</p>	<p>No data</p>	<p>No data</p>	<p>No data</p>																		
<p>4. Percent of families participating in Part C who report that early intervention services have helped the family:</p> <p>A. Know their rights; B. Effectively communicate their children’s needs; and C. Help their children develop and learn.</p> <p>[Results Indicator]</p>	<p>The State revised the FFY 2005 data and the improvement activities for this indicator in its SPP and OSEP accepts those revisions.</p> <p>The State’s reported data for this indicator are:</p> <table border="1" data-bbox="569 1076 1440 1393"> <thead> <tr> <th></th> <th>FFY 2005 Data</th> <th>FFY 2006 Data</th> <th>FFY 2006 Target</th> </tr> </thead> <tbody> <tr> <td>A. Know their rights.</td> <td>82.2%</td> <td>84.6%</td> <td>82.2%</td> </tr> <tr> <td>B. Effectively communicate their children’s needs.</td> <td>73.3%</td> <td>79.9%</td> <td>73.3%</td> </tr> <tr> <td>C. Help their children develop and learn.</td> <td>89.1%</td> <td>91%</td> <td>89.1%</td> </tr> </tbody> </table> <p>The State’s reported FFY 2006 data represent progress from its revised FFY 2005 data.</p>					FFY 2005 Data	FFY 2006 Data	FFY 2006 Target	A. Know their rights.	82.2%	84.6%	82.2%	B. Effectively communicate their children’s needs.	73.3%	79.9%	73.3%	C. Help their children develop and learn.	89.1%	91%	89.1%	<p>OSEP appreciates the State’s efforts to improve performance.</p> <p>OSEP’s June 15, 2007 FFY 2005 SPP/APR response table required the State to include in the FFY 2006 APR, due February 1, 2008, information about the representativeness of the survey data reported. The State revised its FFY 2005 baseline data for this indicator to address representativeness of racial groups in the population served.</p> <p>However, in its description of its FFY 2006 data, the State did not address whether any variables, other than race, were used to determine the representativeness of the response group. In the FFY 2007 APR, due February 1, 2009, the State must address</p>
	FFY 2005 Data	FFY 2006 Data	FFY 2006 Target																		
A. Know their rights.	82.2%	84.6%	82.2%																		
B. Effectively communicate their children’s needs.	73.3%	79.9%	73.3%																		
C. Help their children develop and learn.	89.1%	91%	89.1%																		

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	The State met its FFY 2006 targets.	whether its FFY 2007 data are representative.
<p>5. Percent of infants and toddlers birth to 1 with IFSPs compared to:</p> <p>A. Other States with similar eligibility definitions; and</p> <p>B. National data.</p> <p>[Results Indicator]</p>	<p>The State revised the improvement activities for this indicator in its SPP and OSEP accepts those revisions.</p> <p>The State's FFY 2006 reported data for this indicator are .60%. These data represent progress from the FFY 2005 data of .49%.</p> <p>The State met its FFY 2006 target of .56%.</p>	<p>OSEP appreciates the State's efforts to improve performance.</p>
<p>6. Percent of infants and toddlers birth to 3 with IFSPs compared to:</p> <p>A. Other States with similar eligibility definitions; and</p> <p>B. National data.</p> <p>[Results Indicator]</p>	<p>The State revised the improvement activities for this indicator in its SPP and OSEP accepts those revisions.</p> <p>The State's FFY 2006 reported data for this indicator are 2.26%. These data represent progress from the FFY 2005 data of 2.17%.</p> <p>The State did not meet its FFY 2006 target of 2.4%.</p>	<p>OSEP looks forward to the State's data demonstrating improvement in performance in the FFY 2007 APR, due February 1, 2009.</p>
<p>7. Percent of eligible infants and toddlers with IFSPs for whom an evaluation and assessment and an initial IFSP meeting were conducted within Part C's 45-day timeline.</p> <p>[Compliance Indicator]</p>	<p>The State revised the improvement activities for this indicator in its SPP and OSEP accepts those revisions.</p> <p>The State's FFY 2006 reported data for this indicator are 92.5%. These data represent progress from the FFY 2005 data of 61%.</p> <p>The State did not meet its FFY 2006 target of 100%.</p> <p>The State reported that 12 of 15 findings of noncompliance identified in FFY 2005 were corrected in a timely manner.</p>	<p>OSEP's June 15, 2007 FFY 2005 SPP/APR response table required the State to include in the FFY 2006 APR, due February 1, 2008, data demonstrating correction of the uncorrected noncompliance identified in FFY 2004 with the 45-day timeline requirements in 34 CFR §§303.321(e)(2), 303.322(e)(1), and 303.342. Although the State provided a general description regarding correction of FFY 2004 noncompliance related to this indicator, it did not provide updated data on the correction of the one remaining finding from FFY 2004 that was not timely corrected in FFY 2005 (State's FFY 2005 APR reported 8 of 9 program/providers timely corrected prior noncompliance).</p> <p>The State reported that the noncompliance identified in FFY 2005 with the 45-day timeline requirements was partially</p>

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Monitoring Priorities and Indicators	Status of APR Data/SPP Revision Issues	OSEP Analysis/Next Steps
		<p>corrected. The State must demonstrate in the FFY 2007 APR, due February 1, 2009, that the uncorrected noncompliance identified in FFY 2005 and the one remaining finding from FFY 2004 were corrected.</p> <p>The State must review its improvement activities and revise them, if appropriate, to ensure they will enable the State to provide data in the FFY 2007 APR, due February 1, 2009, demonstrating that the State is in compliance with the requirements in 34 CFR §§303.321(e)(2), 303.322(e)(1), and 303.342, including reporting correction of the noncompliance identified in the FFY 2006 APR.</p>
<p>8. Percent of all children exiting Part C who received timely transition planning to support the child’s transition to preschool and other appropriate community services by their third birthday including:</p> <p>A. IFSPs with transition steps and services;</p> <p>[Compliance Indicator]</p>	<p>The State revised the improvement activities for this indicator in its SPP and OSEP accepts those revisions. The State also used its FFY 2006 data to establish baseline for this indicator.</p> <p>The State’s FFY 2006 reported data for this indicator are 74.5%. OSEP was unable to determine whether there was progress or slippage because the State did not provide FFY 2005 data for this indicator.</p> <p>The State did not meet its FFY 2006 target of 100%.</p> <p>The State did not provide data regarding correction of noncompliance because the State reported that it was not monitoring for this indicator in FFY 2005.</p>	<p>OSEP’s June 15, 2007 FFY 2005 SPP/APR response table required the State to include in the FFY 2006 APR, due February 1, 2008, data demonstrating correction of the uncorrected findings of noncompliance identified in FFY 2004 with the IFSP transition content requirements related to this indicator. The State reported that it cannot provide that data because it did not have a systematic process for reviewing IFSPs for transition content, which is the reason the State could not provide FFY 2005 actual target data for this indicator. However, the State reported that in September 2007, it monitored all of its EIS programs for compliance with the IFSP transition content requirements.</p> <p>The State must review its improvement activities and revise them, if appropriate, to ensure they will enable the State to provide data in the FFY 2007 APR, due February 1,</p>

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		<p>2009, demonstrating that the State is in compliance with the IFSP transition content requirements in 34 CFR §§303.148(b)(4) and 303.344(h), including reporting correction of the noncompliance identified in the FFY 2006 APR.</p>
<p>8. Percent of all children exiting Part C who received timely transition planning to support the child’s transition to preschool and other appropriate community services by their third birthday including:</p> <p>B. Notification to LEA, if child potentially eligible for Part B; and</p> <p>[Compliance Indicator]</p>	<p>The State revised the FFY 2005 data and the improvement activities for this indicator in its SPP and OSEP accepts those revisions.</p> <p>The State’s FFY 2006 reported data for this indicator are 93.9%. These data represent progress from the revised FFY 2005 data of 92.7%.</p> <p>The State did not meet its FFY 2006 target of 100%.</p>	<p>As required by OSEP’s June 15, 2007 FFY 2005 SPP/APR response table, the State provided FFY 2006 data that reflect the measurement for this indicator. The State also revised its FFY 2005 data to reflect that measurement.</p> <p>The State must review its improvement activities and revise them, if appropriate, to ensure they will enable the State to provide data in the FFY 2007 APR, due February 1, 2009, demonstrating that the State is in compliance with the LEA notification requirements in 34 CFR §303.148(b)(1), including reporting correction of the noncompliance identified in the FFY 2006 APR.</p>
<p>8. Percent of all children exiting Part C who received timely transition planning to support the child’s transition to preschool and other appropriate community services by their third birthday including:</p> <p>C. Transition conference, if child potentially eligible for Part B.</p> <p>[Compliance Indicator]</p>	<p>The State revised the FFY 2005 data and the improvement activities for this indicator in its SPP and OSEP accepts those revisions.</p> <p>The State’s FFY 2006 reported data for this indicator are 78%. These data represent progress from the revised FFY 2005 data of 75%.</p> <p>The State did not meet its FFY 2006 target of 100%.</p> <p>The State reported that none of the 15 findings of noncompliance identified in FFY 2005 were corrected in a timely manner.</p>	<p>OSEP’s June 15, 2007 FFY 2005 SPP/APR response table required the State to include in the FFY 2006 APR, due February 1, 2008, data demonstrating correction of the remaining noncompliance with the timely transition conference requirements related to this indicator that was identified in FFY 2004. The State reported that it has been unable to correct noncompliance from FFY 2004. (The State’s FFY 2005 APR reported 3 of 7 program/providers timely corrected prior noncompliance.)</p> <p>The State reported that noncompliance</p>

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<b>Monitoring Priorities and Indicators</b>	<b>Status of APR Data/SPP Revision Issues</b>	<b>OSEP Analysis/Next Steps</b>
		<p>identified in FFY 2005 with the timely transition conference requirements was not corrected. The State must demonstrate, in the FFY 2007 APR, due February 1, 2009, that the uncorrected noncompliance identified in FFY 2005 and remaining four findings of noncompliance from FFY 2004 were corrected.</p> <p>The State must review its improvement activities and revise them, if appropriate, to ensure they will enable the State to provide data in the FFY 2007 APR, due February 1, 2009, demonstrating that the State is in compliance with the requirements in 34 CFR §303.148(b)(2)(i) (as modified by IDEA section 637(a)(9)), including reporting correction of the noncompliance identified in the FFY 2006 APR.</p>
<p>9. General supervision system (including monitoring, complaints, hearings, etc.) identifies and corrects noncompliance as soon as possible but in no case later than one year from identification.</p> <p>[Compliance Indicator]</p>	<p>The State revised the improvement activities for this indicator in its SPP and OSEP accepts those revisions.</p> <p>The State's FFY 2006 reported data for this indicator are 28.26%. OSEP was unable to determine whether there was progress or slippage because the State revised its definition of a finding of noncompliance for reporting under this indicator.</p> <p>The State did not meet its FFY 2006 target of 100%.</p> <p>The State reported that it addressed uncorrected noncompliance related to Indicator 7 through contract revisions that require minimum staffing levels.</p>	<p>The State reported that for its FFY 2004 SPP and FFY 2005 APR, it provided data on the timely correction of findings that the State identified for individual providers at the local level. The State reported that for its FFY 2006 APR, it changed the way it counted a finding of noncompliance and provided data on timely correction of findings made at the district EIS program level (15 Points of Entry - POEs). Thus, while the State continues to monitor for noncompliance at the local level, any correction of noncompliance for individual providers is no longer counted the same for reporting a percentage of timely correction under this indicator for the State's 15 EIS programs.</p> <p>The State must review its improvement activities and revise them, if appropriate, to</p>

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		<p>ensure they will enable the State to provide data in the FFY 2007 APR, due February 1, 2009, demonstrating that the State timely corrected noncompliance identified in FFY 2006 (2006-2007) under this indicator in accordance with IDEA section 635(a)(10)(A) and 34 CFR §303.501.</p> <p>In addition, the State must, in responding to Indicators 1, 7, 8A, 8B and 8C, specifically identify and address the noncompliance identified in this table under those indicators.</p>
<p>10. Percent of signed written complaints with reports issued that were resolved within 60-day timeline or a timeline extended for exceptional circumstances with respect to a particular complaint. [Compliance Indicator]</p>	<p>The State revised the improvement activities for this indicator in its SPP and OSEP accepts those revisions.</p> <p>The State's FFY 2006 reported data for this indicator are 100%, based on 13 complaints. These data remain unchanged from the FFY 2005 data of 100%.</p> <p>The State met its FFY 2006 target of 100%.</p>	<p>OSEP appreciates the State's efforts in achieving compliance with the timely complaint resolution requirements in 34 CFR §§303.510 through 303.512.</p>
<p>11. Percent of fully adjudicated due process hearing requests that were fully adjudicated within the applicable timeline. [Compliance Indicator]</p>	<p>The State reported that it did not receive any due process hearing requests during the reporting period.</p>	<p>OSEP looks forward to reviewing the State's data in the FFY 2007 APR, due February 1, 2009.</p>
<p>12. Percent of hearing requests that went to resolution sessions that were resolved through resolution session settlement agreements (applicable if Part B due process procedures are adopted). [Results Indicator]</p>	<p>Not applicable.</p>	<p>This indicator does not apply to the State because the State has not adopted the Part B due process procedures.</p>
<p>13. Percent of mediations held that resulted in mediation agreements.</p>	<p>The State reported that no mediations were held during the reporting period.</p>	<p>OSEP looks forward to reviewing the State's data in the FFY 2007 APR, due February 1,</p>



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[Results Indicator]		2009.
<p>14. State reported data (618 and State Performance Plan and Annual Performance Report) are timely and accurate.</p> <p>[Compliance Indicator]</p>	<p>The State's FFY 2006 reported data for this indicator are 98.9%.</p> <p>The State did not meet its FFY 2006 target of 100%.</p>	<p>OSEP appreciates the State's efforts and looks forward to reviewing in the FFY 2007 APR, due February 1, 2009, the State's data demonstrating that it is in compliance with the timely and accurate data requirements in IDEA sections 616, 618, and 642 and 34 CFR §§76.720 and 303.540.</p>