

Utah Part C FFY 2005 SPP/APR Response Table

Monitoring Priorities and Indicators	Status	OSEP Analysis/Next Steps
<p>1. Percent of infants and toddlers with IFSPs who receive the early intervention services on their IFSPs in a timely manner. [Compliance Indicator]</p>	<p>The State's FFY 2005 reported data for this indicator are 98%. This represents progress from the FFY 2004 data of 92%. The State did not meet its FFY 2005 target of 100%.</p> <p>The State reported partial timely correction of noncompliance identified in 2004-2005 (100% correction in FFY 2006). The State reported 100% of 2003-2004 noncompliance corrected.</p>	<p>The State reported that an error had been made in the SPP. The State incorrectly reported the number of early intervention programs scheduled for file review in 2005-2006, based on the State's 18-month monitoring cycle. The correct number was eight rather than nine.</p> <p>OSEP's March 24, 2006 SPP response letter required the State to include in the FFY 2005 APR data that demonstrate compliance with the requirements in 34 CFR §§303.340(c), 303.342(e) and 303.344(f)(1). The data in the FFY 2005 APR show progress toward achieving compliance.</p> <p>In addition, as required by OSEP's March 24, 2006, SPP response letter, the State reported that the noncompliance identified by the State in 2003-2004 has been corrected.</p> <p>The State did not report data regarding the number of delays due to documented exceptional family circumstances. If the State tracks these data and wishes to include them in the measurement, the number of children for whom the timeline was not met due to documented exceptional family circumstances would be included in both the numerator and the denominator of the measurement for this indicator in the FFY 2006 APR, due February 1, 2008, and the State must provide the specific numbers for its calculation.</p> <p>OSEP appreciates the State's efforts and looks forward to reviewing data in the FFY 2006 APR, due February 1, 2008 that demonstrate compliance with the requirements in 34 CFR §§303.340(c), 303.342(e) and 303.344(f)(1), including correction of noncompliance identified in FFY 2005.</p>
<p>2. Percent of infants and toddlers with IFSPs who primarily receive early intervention services in the home or programs for typically</p>	<p>The State's FFY 2005 reported data for this indicator are 77.9%. This represents progress from FFY 2004 data of 75%. The State met its FFY 2005 target of 75.5%.</p>	<p>As required by OSEP's March 24, 2006, SPP response letter, the State reported that the noncompliance it identified in 2003-2004 was corrected. OSEP appreciates the State's efforts, and no further action is required regarding the prior noncompliance. The State met its target, and OSEP appreciates the State's efforts to improve performance.</p>

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<p>developing children. [Results Indicator]</p>		<p>It is important that the State also continue to monitor to ensure that IFSP teams make individualized decisions regarding the settings in which infants and toddlers receive early intervention services, in accordance with Part C natural environment requirements.</p>
<p>3. Percent of infants and toddlers with IFSPs who demonstrate improved:</p> <ul style="list-style-type: none"> A. Positive social-emotional skills (including social relationships); B. Acquisition and use of knowledge and skills (including early language/communication); and C. Use of appropriate behaviors to meet their needs. <p>[Results Indicator; New]</p>	<p>Entry data provided.</p>	<p>The State reported the required entry data and activities. The State must provide progress data and improvement activities in the FFY 2006 APR, due February 1, 2008.</p>
<p>4. Percent of families participating in Part C who report that early intervention services have helped the family:</p> <ul style="list-style-type: none"> A. Know their rights; B. Effectively communicate their children's needs; and C. Help their children develop and learn. 	<p>The State's FFY 2005 reported data for this indicator are:</p> <ul style="list-style-type: none"> 4A. 74% 4B. 71% 4C. 79% 	<p>The State provided baseline data, targets and improvement activities and OSEP accepts the SPP for this indicator.</p> <p>The State did not submit data to document that the survey used to collect data for this indicator is representative of all parents in the early intervention programs. The State must provide the required data in the FFY 2006 APR due February 1, 2008.</p>

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[Results Indicator; New]		
<p>5. Percent of infants and toddlers birth to 1 with IFSPs compared to:</p> <p>A. Other States with similar eligibility definitions; and</p> <p>B. National data.</p> <p>[Results Indicator]</p>	<p>The State's FFY 2005 reported data for this indicator under IDEA section 618 are .66%. This represents slippage from the FFY 2004 data of .76%. The State did not meet its FFY 2005 target of .78%.</p>	<p>The State attributed the decline in number and percentage served to a change in the State's eligibility criteria (from moderate to narrow), which excludes premature infants at risk.</p> <p>The State reported slippage. OSEP looks forward to the State's data demonstrating improvement in performance in the FFY 2006 APR, due February 1, 2008.</p>
<p>6. Percent of infants and toddlers birth to 3 with IFSPs compared to:</p> <p>A. Other States with similar eligibility definitions; and</p> <p>B. National data.</p> <p>[Results Indicator]</p>	<p>The State's FFY 2005 reported data for this indicator under IDEA section 618 are 1.9%. This represents progress from FFY 2004 data of 1.77% The State met its FFY 2005 target of 1.8%.</p>	<p>The State revised its targets for this indicator in its SPP for FFYs 2006, 2007, and 2008. OSEP accepts those revisions. The State should make the changes in the SPP submitted to OSEP in December, 2005 and confirm in the FFY 2006 APR that targets for FFY 2009 and 2010 were unchanged.</p>
<p>7. Percent of eligible infants and toddlers with IFSPs for whom an evaluation and assessment were conducted within Part C's 45-day timeline.</p> <p>[Compliance Indicator]</p>	<p>The State's FFY 2005 reported data for this indicator are 96.6%. This represents progress from the FFY 2004 data of 81%. The State did not meet its FFY 2005 target of 100%. The State reported that noncompliance identified in FFY 2004 was corrected in a timely manner.</p>	<p>OSEP used data from the Baby and Toddler Online Tracking System (BTOTS) data system as a basis for analysis.</p> <p>OSEP's March 24, 2006, SPP response letter required the State to ensure that the identified noncompliance was corrected and include in the FFY 2005 APR data that demonstrate compliance with the requirements of 34 CFR §§303.321(e)(2), 303.322(e)(1), and 303.342(a). The data in the FFY 2005 APR show progress toward achieving compliance.</p> <p>OSEP appreciates the State's efforts and looks forward to reviewing data in the FFY 2006 APR, due February 1, 2008, that demonstrate compliance with the requirements of 34 CFR §§303.321(e)(2), 303.322(e)(1), and 303.342(a), including the correction of noncompliance identified in FFY 2005.</p>
8A. Percent of all children exiting	The State's FFY 2005 reported data	OSEP's March 24, 2006 SPP response letter required the State to include in the

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<p>Part C who received timely transition planning to support the child’s transition to preschool and other appropriate community services by their third birthday including:</p> <p>A. IFSPs with transition steps and services;</p> <p>[Compliance Indicator]</p>	<p>for this indicator are 97%. This represents progress from the FFY 2004 data of 91%. The State did not meet its FFY 2005 target of 100%.</p> <p>The State reported that noncompliance identified in FFY 2004 was corrected in a timely manner.</p>	<p>FFY 2005 APR data that demonstrate compliance with the requirements in 34 CFR §§303.148(b)(4) and 303.344(h). The data in the FFY 2005 APR show progress toward achieving compliance.</p> <p>OSEP appreciates the State’s efforts and looks forward to reviewing data in the FFY 2006 APR, due February 1, 2008 that demonstrate compliance with the requirements in 34 CFR §§303.148(b)(4) and 303.344(h), including correction of noncompliance identified in FFY 2005.</p>
<p>8B. Percent of all children exiting Part C who received timely transition planning to support the child’s transition to preschool and other appropriate community services by their third birthday including:</p> <p>B. Notification to LEA, if child potentially eligible for Part B; and</p> <p>[Compliance Indicator]</p>	<p>The State’s FFY 2005 reported data for this indicator are 93% (which is the same percentage as the FFY 2004 data). The State did not meet its FFY 2005 target of 100%.</p> <p>The State reported that no findings of noncompliance were made regarding Indicator 8B in FFY 2004.</p>	<p>OSEP’s March 24, 2006 SPP response letter required the State to include in the FFY 2005 APR data that demonstrate compliance with the requirements in 34 CFR §303.148(b)(1). The State continued to report data showing 93% compliance with these requirements.</p> <p>The State’s FFY 2005 APR reported “the file was considered in compliance if it included a signed release of information to the LEA.” Unless a State has adopted a written notice and opt-out policy, IDEA section 637(a)(9) and 34 CFR §303.148(b)(1) require the lead agency to notify the LEA where a child resides of a child transitioning from Part B. It is unclear whether the State has adopted an opt-out policy under IDEA section 637(a)(9), 34 CFR §303.148(b)(1), and OSEP’s 2004 Letter to Elder. In the FFY 2006 APR, due February 1, 2008, the State must clarify whether it has adopted such an opt-out policy and exclude from its calculations (in both the numerator and denominator) for Indicator 8B, but provide a numerical count of, those children whose families elected to opt out. In addition, the State must ensure that such a policy is included in the State’s FFY 2007 Part C grant application, if it has not been previously provided to OSEP. If the State has not adopted such a policy, then LEAs must be notified of the child’s name, date of birth, and parent contact information as required by IDEA section 637(a)(9) and 34 CFR §303.148(b)(1).</p> <p>The State must implement and review its improvement activities and revise them, if appropriate, to ensure they will enable the State to include data in the FFY 2006 APR, due February 1, 2008 that demonstrate compliance with the requirements in 34 CFR §303.148(b)(1), including correction of noncompliance</p>

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		identified in FFY 2005.
<p>8C. Percent of all children exiting Part C who received timely transition planning to support the child's transition to preschool and other appropriate community services by their third birthday including:</p> <p>C. Transition conference, if child potentially eligible for Part B.</p> <p>[Compliance Indicator]</p>	<p>The State's FFY 2005 reported data for this indicator are 86%. This represents progress from the FFY 2004 data of 71%. The State did not meet its FFY 2005 target of 100%.</p> <p>The State reported that noncompliance identified in FFY 2004 was corrected in a timely manner. The State also reported that noncompliance identified in 2003 – 2004 has been corrected.</p>	<p>OSEP's March 24, 2006 SPP response letter required the State to include in the FFY 2005 APR data that demonstrate compliance with the requirements in 34 CFR §303.148(b)(2)(i) as modified by IDEA section 637(a)(9). The data in the FFY 2005 APR show progress toward achieving compliance.</p> <p>In addition, as required by OSEP's March 24, 2006 SPP response letter, the State reported that noncompliance identified in 2003 – 2004 has been corrected.</p> <p>The State must implement and review its improvement activities and revise them, if appropriate, to ensure they will enable the State to include data in the FFY 2006 APR, due February 1, 2008 that demonstrate compliance with the requirements in 34 CFR §303.148(b)(2)(i) as modified by IDEA section 637(a)(9), including correction of noncompliance identified in FFY 2005.</p>
<p>9. General supervision system (including monitoring, complaints, hearings, etc.) identifies and corrects noncompliance as soon as possible but in no case later than one year from identification.</p> <p>[Compliance Indicator]</p>	<p>The State's FFY 2005 reported data for this indicator are 94%. This represents progress from the FFY 2004 data of 92% (as recalculated by OSEP to collapse the FFY 2004 data). The State did not meet its FFY 2005 target of 100%.</p> <p>The State reported that all prior noncompliance (identified in FFY 2003) was corrected. For noncompliance identified in FFY 2004, one finding of noncompliance was not corrected in 2005-2006, but was subsequently corrected in FFY 2006.</p>	<p>The State revised improvement activities for this indicator in its SPP. OSEP accepts those revisions.</p> <p>OSEP's March 24, 2006 SPP response letter required the State to include in the FFY 2005 APR data that demonstrate compliance with the requirements in IDEA sections 616(a), 642, and 635(a)(10) and 34 CFR §303.501(b). The data in the FFY 2005 APR show progress toward achieving compliance. The State reported that all noncompliance identified in FFY 2004, except one finding in one program, was corrected during 2005-2006. The State reported that the remaining finding was subsequently corrected in FFY 2006.</p> <p>In addition, OSEP's March 24, 2006, SPP response letter required the State to include in the FFY 2005 APR data demonstrating compliance with the prior noncompliance regarding natural environments and evaluations in all five domain areas. The State reported that compliance was reached in both areas in FFY 2005. No further action is required regarding those two prior noncompliance issues.</p> <p>OSEP appreciates the State's efforts and looks forward to reviewing data in the FFY 2006 APR, due February 1, 2008, that demonstrate compliance with the requirements in IDEA sections 616(a), 642, and 635(a)(10) and 34 CFR</p>

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		§303.501(b). In its response to Indicator 9 in the FFY 2006 APR, due February 1, 2008, the State must disaggregate by APR indicator the status of timely correction of the noncompliance findings identified by the State during FFY 2005. In addition, the State must, in responding to Indicators 1, 7, 8A, 8B, and 8C, specifically identify and address the noncompliance identified in this table under those indicators.
10. Percent of signed written complaints with reports issued that were resolved within 60-day timeline or a timeline extended for exceptional circumstances with respect to a particular complaint. [Compliance Indicator]	The State reported one complaint was filed and then withdrawn one week later.	The State reported one complaint was filed and then withdrawn one week later.
11. Percent of fully adjudicated due process hearing requests that were fully adjudicated within the applicable timeline. [Compliance Indicator]	The State reported that no hearing requests were filed in FFY 2005.	The State reported that no hearing requests were filed in FFY 2005.
12. Percent of hearing requests that went to resolution sessions that were resolved through resolution session settlement agreements (applicable if Part B due process procedures are adopted). [Results Indicator; New]	Not Applicable.	Part B due process procedures not adopted.
13. Percent of mediations held that resulted in mediation	No mediation requests filed in FFY2005.	The State is not required to provide targets or improvement activities until any FFY in which 10 or more mediations were conducted.

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agreements. [Results Indicator]		
14. State reported data (618 and State Performance Plan and Annual Performance Report) are timely and accurate. [Compliance Indicator]	The State met its target of 100% for FFY 2005.	The State reported that 100% of the State's FFY 2005 data were reported in a timely manner and were accurate. The State met its target of 100%. OSEP appreciates the State's efforts in achieving compliance.