

### Guam Part C FFY 2005 SPP/APR Response Table

Monitoring Priorities and Indicators	Status	OSEP Analysis/Next Steps
<p>1. Percent of infants and toddlers with IFSPs who receive the early intervention services on their IFSPs in a timely manner.</p> <p>[Compliance Indicator]</p>	<p>Guam's FFY 2005 reported data for this indicator are 98%. Guam did not meet its FFY 2005 target of 100%.</p> <p>OSEP cannot determine the extent to which progress was made (see explanation in OSEP analysis column).</p> <p>Guam submitted data beyond the FFY 2005 reporting period indicating 100% compliance for the period of 10/31/06 to 12/31/06.</p>	<p>Guam revised its standard for timely services for this indicator in its FFY 2005 APR and, as required by OSEP's March 29, 2006 SPP response letter, Guam indicated that the FFY 2005 data includes an accounting of the timely delivery of all new EIS services, not just those on initial IFSPs. OSEP accepts those revisions. Guam reported (on page 4 of the APR) that the timely standard for this indicator is determined by services on the IFSP provided within 30 days from the date of signed parental consent in the IFSP for each service.</p> <p>In addition, as discussed in OSEP's March 29, 2006 letter, Guam included in its discussion of its data, the numbers it used to determine its calculation under this indicator, and a separate report of the number of documented delays attributable to family circumstances.</p> <p>Due to Guam's revised standard for timely services, Guam's target data cannot be compared to baseline data from FFY 2004, and OSEP could not determine the extent to which progress was made. Guam has, however, reported a high level of compliance on this indicator for the reporting period (98%), and in more recent data (from December 2006) reported 100% compliance for this indicator.</p> <p>OSEP appreciates Guam's efforts and looks forward to reviewing data in the FFY 2006 APR, due February 1, 2008, that continue to demonstrate compliance with the requirements in 34 CFR §§303.340(c), 303.342(e) and 303.344(f)(1). In its FFY 2006 APR, Guam must calculate its percentage for this indicator based on the number of infants and toddlers with IFSPs who receive all of the early intervention services on their IFSPs in a timely manner (instead of the number of services on IFSPs that are provided in a timely manner).</p>
<p>2. Percent of infants and toddlers with IFSPs who primarily receive early intervention services in the home or programs for typically developing children.</p> <p>[Results Indicator]</p>	<p>Guam's FFY 2005 reported data for this indicator are 100%. The State's target for FFY 2005 is 95% or above.</p>	<p>OSEP appreciates Guam's efforts to improve performance.</p> <p>OSEP's March 29, 2006 SPP response letter encouraged Guam to include in the FFY 2005: (1) Revised targets to indicate that performance be above 95%, but not 100%; and (2) procedures to ensure that all determinations made about the environment in which early intervention services are delivered are based on the individual needs of, and outcomes for, the child.</p> <p>Guam's targets for FFY 2005 through FFY 2010 remain at 100% for this</p>

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		<p>indicator. However, Guam provided in its FFY 2005 APR a description of procedures that ensure that all such setting determinations are based on the individual needs of, and outcomes for, each eligible child. Guam further reported that its Interagency Coordinating Council (ICC) reviewed and approved its targets for this indicator. OSEP appreciates Guam's efforts to improve performance while ensuring compliance. It is important that Guam continue to monitor to ensure that IFSP teams make individualized decisions regarding the settings in which infants and toddlers receive early intervention services, in accordance with Part C natural environment requirements.</p>
<p>3. Percent of infants and toddlers with IFSPs who demonstrate improved:</p> <ul style="list-style-type: none"> <li>A. Positive social-emotional skills (including social relationships);</li> <li>B. Acquisition and use of knowledge and skills (including early language/communication); and</li> <li>C. Use of appropriate behaviors to meet their needs.</li> </ul> <p>[Results Indicator; New]</p>	<p>Entry data provided.</p>	<p>Guam reported the required entry data and activities. Guam must provide progress data and improvement activities in the FFY 2006, due February 1, 2008.</p>
<p>4. Percent of families participating in Part C who report that early intervention services have helped the family:</p> <ul style="list-style-type: none"> <li>A. Know their rights;</li> <li>B. Effectively communicate their children's needs; and</li> <li>C. Help their children develop</li> </ul>	<p>The State's FFY 2005 reported data for this indicator are:</p> <ul style="list-style-type: none"> <li>4A. 93%</li> <li>4B. 87%</li> <li>4C. 87%</li> </ul>	<p>Guam provided baseline data, targets and improvement activities and OSEP accepts the SPP for this indicator.</p>

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and learn. [Results Indicator; New]		
<p>5. Percent of infants and toddlers birth to 1 with IFSPs compared to:</p> <p>A. Other States with similar eligibility definitions; and</p> <p>B. National data.</p> <p>[Results Indicator]</p>	<p>Guam's FFY 2005 reported data for this indicator, under IDEA section 618, are 1.13%. This represents slippage from Guam's FFY 2004 data of 1.20%. Guam did not meet its FFY 2005 target of 1.25%.</p> <p>OSEP recalculated Guam's FFY 2005 data using IDEA section 618 data.</p>	<p>Guam's FFY 2005 reported data for this indicator are based on data from actual live births on Guam rather than OSEP required IDEA section 618 data. Baseline data from Guam's SPP were based on IDEA section 618.</p> <p>Guam must provide data using the required measurement in the FFY 2006 APR, due February 1, 2008. Guam may additionally choose to include performance data for this indicator that uses an alternate measurement, such as information from the Guam Public Health and Vital Statistics Office, in its discussion of data on the FFY 2006 APR.</p> <p>OSEP looks forward to Guam's data demonstrating improvement in performance in the FFY 2006 APR, due February 1, 2008.</p>
<p>6. Percent of infants and toddlers birth to 3 with IFSPs compared to:</p> <p>A. Other States with similar eligibility definitions; and</p> <p>B. National data.</p> <p>[Results Indicator]</p>	<p>Guam's FFY 2005 reported data for this indicator, using IDEA section 618 data, are 1.56%. This represents progress from FFY 2004 data of 1.40%. Guam did not meet its FFY 2005 target of 1.60%.</p> <p>OSEP recalculated Guam's FFY 2005 data using IDEA section 618 data.</p>	<p>Guam's FFY 2005 reported data for this indicator are based on actual live births on Guam rather than IDEA section 618 data. Baseline data from Guam's SPP were based on IDEA section 618 data.</p> <p>Guam must provide data using the required measurement in the FFY 2006 APR, due February 1, 2008. Guam may choose to include performance data for this indicator that uses an alternate measurement, such as information from the Guam Public Health and Vital Statistics Office, in the discussion of data on the FFY 2006 APR.</p> <p>OSEP looks forward to Guam's data demonstrating improvement in performance in the FFY 2006 APR, due February 1, 2008.</p>
<p>7. Percent of eligible infants and toddlers with IFSPs for whom an evaluation and assessment and an initial IFSP meeting were conducted within Part C's 45-day timeline.</p> <p>[Compliance Indicator]</p>	<p>Guam's FFY 2005 reported data for this indicator are 70%. Guam did not meet its FFY 2005 target of 100%. OSEP cannot determine whether progress was made because its FFY 2004 data were based on a different standard (as described in the OSEP analysis column).</p> <p>Guam submitted data beyond the</p>	<p>Guam revised its standard and baseline data for this indicator in its SPP and OSEP accepts those revisions.</p> <p>As required by OSEP's March 29, 2006 SPP response letter, and as confirmed in OSEP's September 18, 2006 FFY 2006 Part C grant award letter, Guam submitted its revised policies that are consistent with Part C of IDEA (indicating that the 45 days begins from referral, not consent).</p> <p>In its FFY 2005 APR, Guam also included in its discussion of data, the numbers it used to determine its calculation under this indicator, and a separate report of</p>

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	<p>FFY 2005 reporting period indicating 94% compliance for the period of 10/31/06 to 12/31/06.</p>	<p>the number of documented delays attributable to family circumstances.</p> <p>OSEP could not determine whether Guam made progress on this indicator. Guam's baseline data from FFY 2004 are not comparable to the target data provided in the FFY 2005 APR, due to Guam's revised standard for the 45-day timeline.</p> <p>Guam reported a compliance level of 94% for this indicator in data submitted beyond the reporting period.</p> <p>Guam must review its improvement activities and revise them, if appropriate, to ensure they will enable Guam to include data in the FFY 2006 APR, due February 1, 2008, that demonstrate compliance with the requirements in 34 CFR §§303.321(e)(2), 303.322(e)(1) and 303.342(a).</p>
<p>8A. Percent of all children exiting Part C who received timely transition planning to support the child's transition to preschool and other appropriate community services by their third birthday including:</p> <p>A. IFSPs with transition steps and services;</p> <p>[Compliance Indicator]</p>	<p>As described in the analysis column, OSEP recalculated Guam's FFY 2005 reported data as 89%. OSEP cannot determine whether progress was made for this indicator (as described in the analysis column). Guam did not meet its FFY 2005 target of 100%.</p> <p>Guam submitted data beyond the FFY 2005 reporting period indicating 100% for the period of 10/31/06 to 12/31/06; however, it is unclear whether this data included delays due to family circumstances.</p>	<p>As required in OSEP's March 29, 2006 SPP response letter, Guam revised its measurement for this indicator to include documented evidence of transition steps and services in IFSPs, rather than the use of IDEA section 618 data. OSEP accepts that revision.</p> <p>Guam's reported data for the FFY 2005 APR submission took family circumstances into consideration, which is not allowed under Indicator 8A. However, OSEP recalculated the data based on the correct measurement and determined Guam's compliance level for this indicator to be 89%. In its FFY 2006 APR, due February 1, 2008, Guam must provide data that uses the required measurement, and clarify whether the data it provided for the period October 31 – December 31, 2006 was based on the required measurement.</p> <p>OSEP could not determine whether Guam made progress because baseline data from FFY 2004 were reported using an incorrect measurement (618 data rather than documented evidence of transition steps and services) and is not comparable to target data from the FFY 2005 APR.</p> <p>As explained in Indicator 9 in this table, Guam's reported data indicated that prior noncompliance was not corrected in a timely manner in FFY 2005. However, Guam submitted data beyond the FFY 2005 reporting period showing that it achieved 100% compliance on Indicator 8A, and demonstrating correction of noncompliance.</p> <p>Guam must review its improvement activities and revise them, if appropriate, to ensure they will enable Guam to include data in the FFY 2006 APR, due</p>

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		February 1, 2008, that demonstrate compliance with the requirements in 34 CFR §§303.148(b)(4) and 303.344(h).
<p>8B. Percent of all children exiting Part C who received timely transition planning to support the child's transition to preschool and other appropriate community services by their third birthday including:</p> <p>B. Notification to LEA, if child potentially eligible for Part B; and</p> <p>[Compliance Indicator]</p>	<p>Guam's FFY 2005 reported data for this indicator are 100%. Guam met its FFY 2005 target of 100%.</p> <p>Guam submitted data beyond the FFY 2005 reporting period indicating continued compliance of 100% for the period of 10/31/06 to 12/31/06.</p>	<p>Guam revised its measurement for this indicator in its APR and OSEP accepts that revision. Guam must revise its SPP as posted on its website, to reflect this change.</p> <p>OSEP appreciates Guam's efforts in achieving compliance, and looks forward to reviewing data in the FFY 2006 APR, due February 1, 2008, that continue to demonstrate compliance with the requirements in 34 CFR §303.148(b)(1).</p>
<p>8C. Percent of all children exiting Part C who received timely transition planning to support the child's transition to preschool and other appropriate community services by their third birthday including:</p> <p>C. Transition conference, if child potentially eligible for Part B.</p> <p>[Compliance Indicator]</p>	<p>Guam's FFY 2005 reported data for this indicator are 70%. OSEP cannot determine whether progress was made (as described in the OSEP analysis column). Guam did not meet its FFY 2005 target of 100%.</p> <p>Guam submitted data beyond the FFY 2005 reporting period indicating 91% for the period of 10/31/06 to 12/31/06.</p>	<p>In its APR, Guam revised its standard to reflect all IDEA requirements for this indicator and OSEP accepts those revisions.</p> <p>OSEP could not determine whether Guam made progress because baseline data from FFY 2004 was taken using an incorrect/incomplete standard (data on transition conferences that did not reflect IDEA timeline requirements) and is not comparable to the data submitted in the FFY 2005 APR.</p> <p>Guam must review its improvement activities and revise them, if appropriate, to ensure they will enable Guam to include data in the FFY 2006 APR, due February 1, 2008, that demonstrate compliance with the requirements in 34 CFR §303.148(b)(2)(i) as modified by IDEA section 637(a)(9).</p>
<p>9. General supervision system (including monitoring, complaints, hearings, etc.) identifies and corrects noncompliance as soon as possible but in no case later than one year from identification.</p>	<p>Guam's FFY 2005 reported data for this indicator are 100%, however OSEP concludes that prior noncompliance was only partially corrected in a timely manner during FFY 2005. Guam did not meet its FFY 2005 target of 100%.</p> <p>Guam submitted data that</p>	<p>OSEP's March 29, 2006 SPP response letter required the State to include in the FFY 2005 APR its final progress report, which was due December 4, 2006, demonstrating that the State ensured the correction of identified noncompliance, as soon as possible, but in no case later than one year from identification. In accordance with this requirement, Guam submitted data that demonstrated progress toward the correction of noncompliance.</p> <p>Guam reported its compliance data based on individual child records of all children served under Part C. Thus, in the priority areas, Guam has used its</p>

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[Compliance Indicator]	<p>demonstrated progress toward the correction of noncompliance.</p> <p>Guam submitted data beyond the FFY 2005 reporting period indicating 100% compliance on Indicators 1 and 8A for the period of 10/31/06 to 12/31/06.</p>	<p>compliance data to show correction of noncompliance. Guam's FFY 2005 reported data indicated that prior noncompliance was not corrected in a timely manner. In Indicator 9 of its FFY 2005 APR, Guam reported the following compliance levels on its monitoring priority areas (which align with OSEP compliance indicators) for the FFY 2005 reporting period: Indicator 1, 98%; Indicator 7, 70% (with 84% in 4<sup>th</sup> quarter of reporting period); Indicator 8A, 94%; and Indicator 8C, 70% (with 72% for February through June of the reporting period).</p> <p>In data submitted from beyond the FFY 2005 reporting period (December 2006), Guam reported that it achieved 100% compliance on Indicators 1 and 8A, demonstrating correction of noncompliance on those indicators. In this more recent data on priority indicators, Guam additionally reported progress toward compliance for the remaining two areas of noncompliance (94% and 91% for Indicators 7 and 8C respectively).</p> <p>Guam also reported that correction was made in three other topical areas within the FFY 2005 reporting period. OSEP appreciates Guam's efforts in correcting the noncompliance in Indicators 1 and 8A, and correcting the noncompliance identified in the three other topical areas.</p> <p>OSEP looks forward to reviewing data in the FFY 2006 APR, due February 1, 2008, that demonstrate compliance with the requirements in IDEA sections 616(a), 642, and 635(a)(10) and 34 CFR §303.501(b). In its response to Indicator 9 in the FFY 2006 APR, due February 1, 2008, the State must, in responding to Indicators 7 and 8C, specifically identify and address the noncompliance identified in this table under those indicators.</p>
<p>10. Percent of signed written complaints with reports issued that were resolved within 60-day timeline or a timeline extended for exceptional circumstances with respect to a particular complaint.</p> <p>[Compliance Indicator]</p>	No complaints received during the reporting period.	Guam did not receive any signed, written complaints during the FFY 2005 reporting period.
11. Percent of fully adjudicated due	No hearing requests received during	Guam did not receive any due process hearing requests during the FFY 2005

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<p>process hearing requests that were fully adjudicated within the applicable timeline.</p> <p>[Compliance Indicator]</p>	<p>the reporting period.</p>	<p>reporting period.</p>
<p>12. Percent of hearing requests that went to resolution sessions that were resolved through resolution session settlement agreements (applicable if Part B due process procedures are adopted).</p> <p>[Results Indicator; New]</p>	<p>No resolution meetings were held.</p>	<p>Guam is not required to provide targets or improvement activities until any FFY in which 10 or more resolution meetings were held.</p>
<p>13. Percent of mediations held that resulted in mediation agreements.</p> <p>[Results Indicator]</p>	<p>No mediations held.</p>	<p>Guam is not required to provide targets or improvement activities until any FFY in which 10 or more mediations were conducted.</p>
<p>14. State reported data (618 and State Performance Plan and Annual Performance Report) are timely and accurate.</p> <p>[Compliance Indicator]</p>	<p>Guam's FFY 2005 reported data for this indicator are 100% for timely data and 85% for accurate data. An aggregate score of 89% for timely and accurate submissions was also calculated for this indicator. Guam did not meet its FFY 2005 target of 100%. This represents slippage from the FFY 2004 data of 100%.</p> <p>Guam reported that prior issues regarding the accuracy of its data were corrected in a timely manner.</p>	<p>Under this indicator, Guam reported that prior issues affecting data validity and reliability were corrected in a timely manner. Guam made all OSEP required revisions to incorrect measurements and standards reflected in data from the SPP.</p> <p>In accordance with OSEP's March 29, 2006 SPP response letter, and in order to provide OSEP with accurate and reliable data, Guam included revised policies related to the 45-day timeline with its FFY 2006 grant application.</p> <p>Guam additionally reported under this indicator on the correction of other OSEP identified issues affecting the accuracy and reliability of its FFY 2004 data. These include: (1) adjustments to Guam's measurement of Indicator 8C to capture all transition conference requirements; and (2) correction of Guam's measurement of 618 Child Count data.</p> <p>Guam must review its improvement activities and revise them, if appropriate, to ensure they will enable Guam to include data in the FFY 2006 APR, due February 1, 2008, that demonstrate compliance with the requirements in IDEA</p>

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		sections 616, 618 and 642, and 34 CFR §§303.176 and 303.540.