## **Connecticut Part C FFY 2005 SPP/APR Response Table**

|    | Monitoring Priorities and<br>Indicators  | Status  | OSEP Analysis/Next Steps  |
|----|--|---|---|
| 1. | Percent of infants and toddlers with IFSPs who receive the early intervention services on their IFSPs in a timely manner.  [Compliance Indicator]                            | The State's FFY 2005 reported data for this indicator are 97.4%. The State did not meet its FFY 2005 target of 100%.  OSEP could not determine whether the State made progress because the State revised its definition of timely services. | The State revised the improvement activities for this indicator in its SPP and OSEP accepts those revisions.  In accordance with OSEP's March 2, 2006 SPP response letter the State included in the February 1, 2007 APR:  (1) A revised definition of timely services that is consistent with Part C regulations; and  (2) Data on the timeliness of early intervention services on the IFSP for all eligible children with IFSPs, not just those with initial IFSPs.  OSEP's March 2, 2006 SPP response letter also required the State to include in the February 1, 2007 APR data demonstrating compliance with the requirements in 34 CFR §§303.340(c), 303.342(e) and 303.344(f)(1). The State submitted data demonstrating 97.4% compliance with these requirements.  OSEP appreciates the State's efforts and looks forward to reviewing data in the FFY 2006 APR, due February 1, 2008 that demonstrate compliance with the timely service provision requirements in 34 CFR §§303.340(c), 303.342(e) and 303.344(f)(1), including correction of noncompliance identified in FFY 2005. |
| 2. | Percent of infants and toddlers with IFSPs who primarily receive early intervention services in the home or programs for typically developing children.  [Results Indicator] | The State's FFY 2005 reported data for this indicator are 99.6%. The State met its FFY 2005 target of 95%.  | The State revised the targets for this indicator in its SPP and OSEP accepts those revisions. The State indicated that stakeholders were provided an opportunity to comment on the revised targets. While the State's targets for provision of services to infants and toddlers in natural environments do not demonstrate an increase from its FFY 2004 baseline, because the State reported more than 95% of infants and toddlers received services in natural environments, there is no expectation that an increase in that percentage is necessary.  The State indicated that it is monitoring to ensure that IFSP teams make individualized decisions regarding the settings in which infants and toddlers receive early intervention services, in accordance with Part C natural environment requirements. OSEP appreciates the State's efforts to ensure  |

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|    |   |  | compliance. It is important that the State continue to monitor to ensure compliance.  |
|    |   |  | OSEP appreciates the State's efforts to improve performance.  |
| 3. | Percent of infants and toddlers with IFSPs who demonstrate improved:  | Entry data provided.                                     | The State reported the required entry data and activities. The State must provide progress data and improvement activities in the FFY 2006 APR, due February 1, 2008. |
|    | A. Positive social-emotional skills (including social relationships);   |  |   |
|    | B. Acquisition and use of knowledge and skills (including early language/communication); and                    |  |   |
|    | C. Use of appropriate behaviors to meet their needs.  |  |   |
|    | [Results Indicator; New]  |  |   |
| 4. | Percent of families participating in Part C who report that early intervention services have helped the family: | The State reported baseline data for this indicator are: | The State provided baseline data, targets and improvement activities and OSEP accepts the SPP for this indicator.   |
|    |   | 4A. 73.2%  | The sampling plan for this indicator is not technically sound. Call your State Contact as soon as possible.   |
|    |   | 4B. 71.1%  |   |
|    | A. Know their rights;   | 4C. 84.4%  |   |
|    | B. Effectively communicate their children's needs; and  |  |   |
|    | C. Help their children develop and learn.   |  |   |
|    | [Results Indicator; New]  |  |   |

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| 5. | Percent of infants and toddlers birth to 1 with IFSPs compared to:  A. Other States with similar eligibility definitions; and  B. National data.  [Results Indicator]                         | The State's FFY 2005 reported data under IDEA section 618 for this indicator are .93%. These data represent slippage from the FFY 2004 data of 1.03%. The State did not meet its FFY 2005 target of 1.05%.  | The State reported slippage and OSEP looks forward to the State's data demonstrating improvement in performance in the FFY 2006 APR, due February 1, 2008.   |
| 6. | Percent of infants and toddlers birth to 3 with IFSPs compared to:  A. Other States with similar eligibility definitions; and  B. National data.  [Results Indicator]                         | The State's FFY 2005 reported data under IDEA section 618 for this indicator are 3.16%. The State met its FFY 2005 target of 3.1%.  | The State revised the improvement activities for this indicator in its SPP and OSEP accepts those revisions.  The State met its target and OSEP appreciates the State's efforts to improve performance.  |
| 7. | Percent of eligible infants and toddlers with IFSPs for whom an evaluation and assessment and an initial IFSP meeting were conducted within Part C's 45-day timeline.  [Compliance Indicator] | The State's FFY 2005 reported data for this indicator are 95%. These data represent progress from the FFY 2004 data of 94%. The State did not meet its FFY 2005 target of 100%.  As explained in the analysis column, the State reported partial correction of noncompliance. | OSEP's March 2, 2006 SPP response letter required the State to include in the February 1, 2007 APR data demonstrating compliance with the requirements in 34 CFR §§303.321(e)(2), 303.322(e)(1) and 303.342(a). The State's FFY 2005 reported data represent progress toward achieving compliance.  In addition, the FFY 2005 APR data for Indicator 9 references three findings of noncompliance related to Part C's 45-day timeline.  One finding was corrected in a timely manner;  One finding was not corrected in a timely manner but, was corrected in FFY 2006;  One finding remains outstanding.  OSEP appreciates the State's efforts and looks forward to reviewing data in the FFY 2006 APR, due February 1, 2008 that demonstrate compliance with the 45-day timeline requirements in 34 CFR §§303.321(e)(2), 303.322(e)(1) and |

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|   |  | 303.342(a), including correction of noncompliance identified in FFY 2005. The data must also include correction of outstanding noncompliance that was reported in the FFY 2005 APR.   |
| 8A. Percent of all children exiting Part C who received timely transition planning to support the child's transition to preschool and other appropriate community services by their third birthday including: A. IFSPs with transition steps and services;  | The State's FFY 2005 reported data for this indicator are 99.9%.  The State reported that two findings of noncompliance related to this indicator were corrected in a timely manner. | The State revised the improvement activities for this indicator in its SPP and OSEP accepts those revisions.  OSEP appreciates the State's efforts in achieving a high level of compliance, and looks forward to reviewing data in the FFY 2006 APR, due February 1, 2008, that demonstrate compliance with the requirements in 34 CFR §§303.148(b)(4) and 303.344(h).  |
| [Compliance Indicator]  |  |   |
| 8B. Percent of all children exiting Part C who received timely transition planning to support the child's transition to preschool and other appropriate community services by their third birthday including:  B. Notification to LEA, if child potentially eligible for Part B; and [Compliance Indicator] | The State's FFY 2005 reported data for this indicator are 100%. The State met its FFY 2005 target of 100%.   | The State revised the improvement activities for this indicator in its SPP and OSEP accepts those revisions.  In the SPP, the State indicated it was revising its transition notification policies as part of its FFY 2006 Part C grant application. OSEP's March 2, 2006, SPP response letter stated that OSEP looks forward to reviewing the State's revised policies as part of the State's FFY 2006 Part C application. The State submitted the required policies and OSEP approved them as part of the State's FFY 2006 Part C application.  OSEP appreciates the State's efforts in achieving compliance, and looks forward to reviewing data in the FFY 2006 APR, due February 1, 2008, that continue to demonstrate compliance with the requirements in IDEA section 637(a)(9) and 34 CFR §303.148(b)(1). |
| 8C. Percent of all children exiting Part C who received timely transition planning to support the child's transition to preschool and other appropriate   | The State's FFY 2005 reported data for this indicator are 98%. These data represent progress from the FFY 2004 data of 95%. The State did not meet its FFY 2005 target of            | OSEP's March 2, 2006, SPP response letter required the State to include in the February 1, 2007 APR data demonstrating compliance with the requirements of 34 CFR §303.148(b)(2)(i) (as modified by IDEA section 637(a)(9)). In the FFY 2005 APR, the State submitted data that demonstrates further progress toward  |

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| community services by their third birthday including:  C. Transition conference, if child potentially eligible for Part B.  [Compliance Indicator]   | 100%.  The State reported that two findings of noncompliance related to this indicator were corrected in a timely manner.   | compliance.  OSEP appreciates the State's efforts and looks forward to reviewing data in the FFY 2006 APR, due February 1, 2008 that demonstrate compliance with the requirements of 34 CFR §303.148(b)(2)(i) (as modified by IDEA section 637(a)(9)), including correction of noncompliance identified in FFY 2005.  |
| 9. General supervision system (including monitoring, complaints, hearings, etc.) identifies and corrects noncompliance as soon as possible but in no case later than one year from identification.  [Compliance Indicator] | The State's FFY 2005 reported data for this indicator are 93%, based on 25 of 27 findings corrected in a timely manner. OSEP cannot determine progress or slippage because the FFY 2004 data did not include data from all of the State's mechanisms for monitoring. The State did not meet its FFY 2005 target of 100%.  The State provided updated data indicating that of the two findings that were not corrected in a timely manner, one finding was subsequently corrected in FFY 2006. | The State revised the improvement activities for this indicator in its SPP and OSEP accepts those revisions.  OSEP will review the State's description of its determinations process as part of OSEP's verification monitoring visits.  The State provided FFY 2005 data for this indicator indicating 93% compliance and must review its improvement activities, and revise them, if appropriate.  OSEP looks forward to reviewing data in the FFY 2006 APR, due February 1, 2008, that demonstrate compliance with the requirements in IDEA sections 616(a), 642, and 635(a)(10) and 34 CFR §303.501(b), including data on the correction of outstanding noncompliance reported in the FFY 2005 APR, as discussed under Indicator 7 above. In addition, the State must, in responding to Indicators 1, 7, and 8C specifically identify and address the noncompliance identified in this table under those indicators.  In accordance with OSEP's December 20, 2006, verification visit letter, the State included the following in its FFY 2005 APR:  (1) A description of when the timeline for correction begins and when it ends;  (2) Hard copies of at least two completed improvement plans that reflect these timelines; and  (3) Strategies to ensure that valid and reliable correction data are entered by programs.  On March 14, 2007, the State submitted a revised Quality Assurance Manual. This document is currently under review and will be addressed under separate |

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| 10. Percent of signed written complaints with reports issued that were resolved within 60-day timeline or a timeline extended for exceptional circumstances with respect to a particular complaint.  [Compliance Indicator] | The State's FFY 2005 reported data for this indicator are 100% based upon three signed written complaints addressed in a timely manner. The State met its FFY 2005 target of 100%.                    | OSEP appreciates the State's efforts in achieving compliance, and looks forward to reviewing data in the FFY 2006 APR, due February 1, 2008, that continue to demonstrate compliance with the requirements in 34 CFR §303.512.  |
| Percent of fully adjudicated due process hearing requests that were fully adjudicated within the applicable timeline.  [Compliance Indicator]   | The State's FFY 2005 reported data for this indicator are 100% based upon one fully adjudicated due process hearing that was conducted in a timely manner. The State met its FFY 2005 target of 100%. | OSEP appreciates the State's efforts in achieving compliance, and looks forward to reviewing data in the FFY 2006 APR, due February 1, 2008, that continue to demonstrate compliance.   |
| 12. Percent of hearing requests that went to resolution sessions that were resolved through resolution session settlement agreements (applicable if Part B due process procedures are adopted).  [Results Indicator; New]   | Not applicable.   | The State has adopted the Part C due process hearing procedures under 34 CFR §303.420.  |
| 13. Percent of mediations held that resulted in mediation agreements.  [Results Indicator]  | The State reported two requests for mediation in FFY 2005.  The State is not required to provide targets or improvement activities until any FFY in which 10 or more mediations were conducted.       | In accordance with the guidance included in OSEP's March 2, 2006, SPP response letter, the State removed SPP targets for this indicator due to a limited number of mediations and OSEP accepts those revisions. The State is not required to provide targets or improvement activities until any FFY in which 10 or more mediations were conducted. |

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| 14. State reported data (618 and State Performance Plan and Annual Performance Report) are timely and accurate.  [Compliance Indicator] | The State's FFY 2005 reported data for this indicator are 100%. The State met its FFY 2005 target of 100%. | The State revised the improvement activities for this indicator in its SPP and OSEP accepts those revisions.  OSEP appreciates the State's efforts in achieving compliance, and looks forward to reviewing data in the FFY 2006 APR, due February 1, 2008, that demonstrate compliance with the requirements in IDEA sections 616, 618, and 642, and 34 CFR §§303.176 and 303.540. |