

**Arizona Part C FFY 2005 SPP/APR Response Table**

<b>Monitoring Priorities and Indicators</b>	<b>Status</b>	<b>OSEP Analysis/Next Steps</b>
<p>1. Percent of infants and toddlers with IFSPs who receive the early intervention services on their IFSPs in a timely manner. [Compliance Indicator]</p>	<p>The State’s FFY 2005 reported data for this indicator are 48%. The State’s FFY 2004 reported data were 48%. However, both the State’s FFY 2004 and FFY 2005 data are based on the State’s prior timeliness standard.</p> <p>Under the State’s December 16, 2004 Compliance Agreement with the Department, the State established its FFY 2005 target at 60% with an FFY 2007 target of 100% by December 16, 2007.</p> <p>The State did not meet its target and the State did not address timely correction of findings under this indicator.</p> <p>The State’s March 2007 progress report data under the Compliance Agreement (based on its revised timely standard) reflect 48% compliance.</p>	<p>The timely service provision requirements in this indicator are the subject of a December 16, 2004 Compliance Agreement between the State and the U.S. Department of Education (Department or OSEP). This Compliance Agreement requires Arizona to demonstrate progress through quarterly reports and to come into compliance with the timely service provision requirements in 34 CFR §§303.340(c), 303.342(e) and 303.344(f)(1) no later than December 16, 2007.</p> <p>The State’s FFY 2005 data are 48%, which are the same as its FFY 2004 data. The State also provided in its FFY 2005 APR its revised timeliness standard to be consistent with 34 CFR §303.404(a)(2) as requested in OSEP’s March 22, 2006 SPP response letter.</p> <p>The State’s FFY 2005 data do not account for the number of delays due to documented exceptional family circumstances. If the State collects these data and wishes to include it in the measurement, the number of children for whom the timeline was not met due to documented exceptional family circumstances would be included in both the numerator and the denominator of the measurement for this indicator in both its Compliance Agreement progress reports and in the FFY 2006 APR, due February 1, 2008.</p> <p>OSEP’s February 9, 2007 response letter to OSEP’s November 2006 on-site monitoring visit and review of the June, September and December 2006 progress reports under the Compliance Agreement reported that Arizona was unable to collect data on all children and the timely provision of services requirement and amended the Compliance Agreement work plan to accept monitoring (instead of census) data in the March 31, 2006 progress report under the Compliance Agreement.</p> <p>The State’s revised March 2007 progress report indicated that of 322 IFSPs reviewed, 48% included services that were timely. In addition, in Maricopa County (largest population served), of 163 IFSPs reviewed, 44% included services that were timely.</p>

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		OSEP remains concerned about Arizona's ability to come into compliance with the timely service provision requirements at 34 CFR §§303.340(c), 303.342(e) and 303.344(f)(1) by the end of the Compliance Agreement. The State must provide data demonstrating significant improvement in its June 30, 2007 and September 30, 2007 progress reports and data demonstrating compliance in its final progress report of the Compliance Agreement, due December 31, 2007.
2. Percent of infants and toddlers with IFSPs who primarily receive early intervention services in the home or programs for typically developing children. [Results Indicator]	The State's FFY 2005 reported data for this indicator are 86%.  The State met its FFY 2005 target of 86%.	The State met its target and OSEP appreciates the State's efforts to improve performance.
3. Percent of infants and toddlers with IFSPs who demonstrate improved:  A. Positive social-emotional skills (including social relationships);  B. Acquisition and use of knowledge and skills (including early language/communication); and  C. Use of appropriate behaviors to meet their needs. [Results Indicator; New]	Entry data provided.	The State reported the required entry data and activities. The State must provide progress data and improvement activities in the FFY 2006 APR, due February 1, 2008.
4. Percent of families participating in Part C who	The State indicated that its FFY 2005 baseline data were not valid	The State submitted revised targets and improvement activities for this indicator and OSEP approves those targets.

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<p>report that early intervention services have helped the family:</p> <p>A. Know their rights;</p> <p>B. Effectively communicate their children's needs; and</p> <p>C. Help their children develop and learn.</p> <p>[Results Indicator; New]</p>	<p>and reliable, but provided a plan to ensure the submission of valid and reliable FFY 2006 data.</p>	
<p>5. Percent of infants and toddlers birth to 1 with IFSPs compared to:</p> <p>A. Other States with similar eligibility definitions; and</p> <p>B. National data.</p> <p>[Results Indicator]</p>	<p>The State's FFY 2005 reported data for this indicator are .59%. These data represent slippage from the State's FFY 2004 data of .62%.</p> <p>The State did not meet its FFY 2005 target of .63%.</p>	<p>The State revised its improvement activities for this indicator in its SPP and OSEP accepts those revisions.</p> <p>OSEP looks forward to the State's data demonstrating improvement in performance in the FFY 2006 APR, due February 1, 2008.</p>
<p>6. Percent of infants and toddlers birth to 3 with IFSPs compared to:</p> <p>A. Other States with similar eligibility definitions; and</p> <p>B. National data.</p> <p>[Results Indicator]</p>	<p>The State's FFY 2005 reported data for this indicator are 1.61%.</p> <p>The State met its FFY 2005 target of 1.59%.</p>	<p>OSEP appreciates the State's efforts to improve performance.</p>
<p>7. Percent of eligible infants and toddlers with IFSPs for whom an evaluation and assessment and an initial IFSP meeting</p>	<p>The State's FFY 2005 reported data for this indicator are 39%.</p> <p>Under the State's December 16,</p>	<p>The State revised its SPP improvement activities for this indicator and OSEP accepts those revisions.</p> <p>The State's December 2004 Compliance Agreement requires the State to</p>

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<p>were conducted within Part C's 45-day timeline.</p> <p>[Compliance Indicator]</p>	<p>2004 Compliance Agreement with the Department, the State established its FFY 2005 target at 37% with an FFY 2007 target of 100% by December 16, 2007.</p> <p>The State did not address timely correction under this indicator.</p> <p>Data beyond the reporting period indicate 44% compliance for the first quarter of the 2006-2007 year (July-September 2006) and 48% for children determined eligible between July 1, 2006 and December 31, 2006.</p>	<p>demonstrate progress through quarterly reports to the Department and to come into compliance with the 45-day timeline requirements in 34 CFR §§303.321(e)(2), 303.322(e)(1) and 303.342(a) no later than December 16, 2007.</p> <p>OSEP's February 9, 2007 response letter to OSEP's November 2006 on-site monitoring visit and review of the June, September and December 2006 progress reports under the Compliance Agreement reported that AZ was unable to timely identify and correct noncompliance regarding the 45-day timeline. OSEP required Arizona to submit in its March 30, 2007 progress report statewide and county-disaggregated progress data (including specifically Maricopa county) on the 45-day timeline for conducting evaluations and the initial IFSP meeting.</p> <p>The State's FFY 2005 data are 39% with 18% of IFSPs completed on time and 21% attributed to documented exceptional family circumstances. The State's March 2007 progress report data (page 16) reported that the statewide IFSP compliance rate was 39% for 2005-2006, 44% for the first quarter of the 2006-2007 year (July-Sept 2006), and 48% for all children determined eligible between July 1, 2006 and December 31, 2006. Team capacity was identified as the most significant reason for delay at 45% (July-Dec 2006).</p> <p>OSEP remains concerned about Arizona's ability to come into compliance with the 45-day timeline requirements in 34 CFR §§303.321(e)(2), 303.322(e)(1) and 303.342(a) by the end of the Compliance Agreement. The State must provide data demonstrating significant improvement in its June 30, 2007 and September 30, 2007 progress reports and data demonstrating compliance in its final progress report of the Compliance Agreement due December 31, 2007.</p>
<p>8A. Percent of all children exiting Part C who received timely transition planning to support the child's transition to preschool and other appropriate community services by their third birthday including:</p> <p>A. IFSPs with transition steps</p>	<p>The State's FFY 2005 reported data for this indicator are 80%. These data represent progress from the State's FFY 2004 data of 54%.</p> <p>The State did not meet its FFY 2005 target of 100%.</p> <p>The State did not address timely correction under this indicator.</p>	<p>The State revised its improvement activities for this indicator in its SPP and OSEP accepts those revisions.</p> <p>OSEP's March 22, 2006 SPP response letter required the State to include in the February 1, 2007 APR data demonstrating compliance with the IFSP transition content requirements in 34 CFR §§303.148(b)(4) and 303.344(h).</p> <p>The State's FFY 2005 data are 80%, which represent progress. The State must review its improvement strategies and revise them, if appropriate, to ensure that they will enable the State to provide data in the FFY 2006 APR, due February 1,</p>

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and services; [Compliance Indicator]		2008, demonstrating compliance with the IFSP transition content requirements in 34 CFR §§303.148(b)(4) and 303.344(h), including correction of any noncompliance identified in FFYs 2004 and 2005.
<p>8B. Percent of all children exiting Part C who received timely transition planning to support the child's transition to preschool and other appropriate community services by their third birthday including:</p> <p>B. Notification to LEA, if child potentially eligible for Part B; and</p> <p>[Compliance Indicator]</p>	<p>The State's FFY 2005 reported data for this indicator are 89%. The State indicated its FFY 2005 data are more accurate than its FFY 2004 data of 97%.</p> <p>The State did not meet its FFY 2005 target of 100%.</p> <p>The State did not address timely correction under this indicator.</p>	<p>The State revised its improvement activities for this indicator in its SPP and OSEP accepts those revisions.</p> <p>The State's FFY 2005 APR reported that slippage was due to using a more accurate measurement derived from its revised monitoring tool that collects data on the percentage of programs that invited school districts to the conference, and a standardized invitation form.</p> <p>The State must review its improvement strategies and revise them, if appropriate, to ensure that they will enable the State to provide data in the FFY 2006 APR, due February 1, 2008, demonstrating compliance with the LEA notification requirements in 34 CFR §303.148(b)(1), including correction of any noncompliance identified in FFYs 2004 and 2005.</p>
<p>8C. Percent of all children exiting Part C who received timely transition planning to support the child's transition to preschool and other appropriate community services by their third birthday including:</p> <p>C. Transition conference, if child potentially eligible for Part B.</p> <p>[Compliance Indicator]</p>	<p>The State's FFY 2005 reported data for this indicator are 57%. These data represent progress from the State's FFY 2004 data of 47%.</p> <p>The State did not meet its FFY 2005 target of 100%.</p> <p>The State did not address timely correction under this indicator.</p>	<p>The State revised its improvement activities for this indicator in its SPP and OSEP accepts those revisions.</p> <p>OSEP's March 22, 2006 SPP response letter required the State to include in the February 1, 2007 APR data demonstrating compliance with the timely transition conference requirements in 34 CFR §303.148(b)(2)(i) (as amended by IDEA section 637(a)(9)). The State did not report data regarding the number of delays due to documented exceptional family circumstances. If the State collects these data and wishes to include it in the measurement, the number of children for whom the timeline was not met due to documented exceptional family circumstances would be included in both the numerator and the denominator of the measurement for Indicator 8C in the FFY 2006 APR, due February 1, 2008.</p> <p>The State's FFY 2005 data are 57%, which represent progress but continuing noncompliance under this indicator.</p> <p>The State must review its improvement strategies and revise them, if appropriate, to ensure that they will enable the State to provide data in the FFY 2006 APR, due February 1, 2008, demonstrating compliance with the timely transition</p>

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		conference requirements in 34 CFR §303.148(b)(2)(i) (as amended by IDEA section 637(a)(9)), including correction of any noncompliance identified in FFYs 2004 and 2005.
<p>9. General supervision system (including monitoring, complaints, hearings, etc.) identifies and corrects noncompliance as soon as possible but in no case later than one year from identification.</p> <p>[Compliance Indicator]</p>	<p>The State's FFY 2005 reported data are 46%.</p> <p>Under the State's December 16, 2004 Compliance Agreement with the Department, the State established its FFY 2005 target at 25% with its FFY 2007 target as 100% by December 16, 2007.</p> <p>Updated data in the State's March 2007 progress report under the Compliance Agreement reflect 47% compliance.</p>	<p>The State revised its SPP improvement activities for this indicator and OSEP accepts those revisions.</p> <p>The State's December 2004 Compliance Agreement requires the State to demonstrate progress through quarterly reports to the Department and to come into compliance with the timely correction requirements in 34 CFR §303.501(b) no later than December 16, 2007. OSEP's March 2006 SPP response letter required the State to provide the data required under the Compliance Agreement.</p> <p>The State's FFY 2005 reported data are 46%. The State met its FFY 2005 target. (The State did not submit FFY 2004 data because under its December 2004 Compliance Agreement, it implemented its revised monitoring system (CMQIS) in FFY 2004.) The FFY 2005 correction data include data taken from Cycle 1 and 2 Site Reviews, Cycle 3, 4 and 5 Program Self-Assessment, and findings from the State's Complaint Log and the State's Data System.</p> <p>OSEP's February 9, 2007 response letter to OSEP's November 2006 on-site monitoring visit to review progress under the Compliance Agreement concluded that Arizona had revised its monitoring system to be able to identify noncompliance and indicated that Arizona had resolved its service coordinator finding that was the subject of the Compliance Agreement.</p> <p>However, OSEP's February 2007 letter noted that significant challenges remain in the State's ability to timely correct noncompliance with two Part C requirements: (1) 45-day timeline; and (2) timely provision of early intervention services. OSEP's February 2007 letter required Arizona to report updated correction data for Cycles 1, 2 and 3 (and explanations for any findings not corrected) in the March 2007 progress report.</p> <p>The State's March 2007 progress report reported that correction for Cycle 1 monitoring in Maricopa County still remains a concern. A total of 47% of identified noncompliance was corrected within one year in Cycle 1 (Maricopa County – monitored in 2004). Focused monitoring within the last quarter</p>

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		<p>(October 2006-March 2007) indicated that seven of eight programs continue to show areas of noncompliance in the specific priority areas of 45-day timeline for initial IFSP meetings, timely provision of early intervention services, and transition conferences. Arizona reported that it has implemented corrective measures and remedies, provided general and focused technical assistance, required more frequent reporting and implemented focused monitoring.</p> <p>OSEP remains concerned about Arizona's ability to come into compliance with the timely correction requirements in 34 CFR §303.501(b) by the end of the Compliance Agreement. The State must provide data demonstrating significant improvement in its June 30, 2007 and September 30, 2007 progress reports and data demonstrating compliance in its final progress report of the Compliance Agreement due December 31, 2007.</p>
<p>10. Percent of signed written complaints with reports issued that were resolved within 60-day timeline or a timeline extended for exceptional circumstances with respect to a particular complaint.</p> <p>[Compliance Indicator]</p>	<p>The State's FFY 2005 reported data for this indicator are 100%, based on the timely resolution of one complaint.</p> <p>The State met its FFY 2005 target of 100%.</p>	<p>The State revised its improvement activities for this indicator in its SPP and OSEP accepts those revisions.</p> <p>OSEP appreciates the State's efforts in achieving compliance.</p>
<p>11. Percent of fully adjudicated due process hearing requests that were fully adjudicated within the applicable timeline.</p> <p>[Compliance Indicator]</p>	<p>The State's FFY 2005 APR indicated that the two hearing requests were withdrawn prior to the 30-day timeline and thus no requests were required to be fully adjudicated.</p>	<p>The State revised its improvement activities for this indicator in its SPP and OSEP accepts those revisions.</p>
<p>12. Percent of hearing requests that went to resolution sessions that were resolved through</p>	<p>Not applicable.</p>	<p>Not applicable as the State has adopted Part C due process hearing procedures under 34 CFR §303.420.</p>

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<p>resolution session settlement agreements (applicable if Part B due process procedures are adopted).</p> <p>[Results Indicator; New]</p>		
<p>13. Percent of mediations held that resulted in mediation agreements.</p> <p>[Results Indicator]</p>	<p>The State did not receive any mediation requests during FFY 2005.</p>	<p>The State revised its SPP/APR to indicate it would not set targets for this indicator in response to the guidance in OSEP's March 22, 2006 SPP response letter and OSEP accepts those revisions. The State is not required to provide or meet its targets or provide improvement activities until any FFY in which 10 or more mediations are conducted.</p>
<p>14. State reported data (618 and State Performance Plan and Annual Performance Report) are timely and accurate.</p> <p>[Compliance Indicator]</p>	<p>The State reported its FFY 2005 data as 100%. However, the State's data for this indicator do not reflect the measurement as the State did not address the timeliness and accuracy of its FFY 2005 SPP/APR data.</p> <p>As further explained in the next column, the State did not meet its FFY 2005 target of 100%.</p>	<p>Although the State reported 100% compliance for this indicator, the State did not address the timeliness and accuracy of its SPP/APR FFY 2005 data submissions under Part C. The State indicated its FFY 2004 baseline data for Indicator 4 are not valid and reliable. Also, as noted above under Indicator 1, the State's FFY 2004 and 2005 data are based on its previous timeliness standard, which was not consistent with 34 CFR §303.404(a)(2).</p> <p>The State must review its improvement strategies and revise them, if appropriate, to ensure that they will enable the State to provide data in the FFY 2006 APR, due February 1, 2008, demonstrating compliance with the timely and accurate data collection requirements in IDEA sections 616, 618 and 642 and 34 CFR §§303.176 and 303.540.</p>