

### Alabama Part C FFY 2005 SPP/APR Response Table

Monitoring Priorities and Indicators	Status	OSEP Analysis/Next Steps
<p>1. Percent of infants and toddlers with IFSPs who receive the early intervention services on their IFSPs in a timely manner. [Compliance Indicator]</p>	<p>The State's State Fiscal Year (SFY) 2006<sup>1</sup> reported data for this indicator are 96.6%. This represents progress from the FFY 2004 data of 96%. The State did not meet its FFY 2005 target of 100%.</p>	<p>The State revised the targets and improvement activities for this indicator in its SPP and OSEP accepts those revisions.</p> <p>OSEP's March 2, 2006 SPP response letter indicated that the State must include in the FFY 2005 APR data that demonstrate compliance with the requirements in 34 CFR §§303.340(c), 303.342(e), and 303.344(f)(1). The State's FFY 2005 data represent progress toward achieving compliance. OSEP's March 2, 2006 SPP response letter also required the State to include in the February 1, 2007 APR confirmation that the IFSP development date is when a parent consents to the provision of early intervention services under 34 CFR §303.404(a)(2). The State provided this information.</p> <p>The State indicated that it is unable to capture data on the number of delays due to documented exceptional family circumstances. If the State wishes to track these data and include them in the compliance calculation, the number of children for whom the timeline was not met due to documented exceptional family circumstances would be included in both the numerator and the denominator of the calculation for this indicator in the FFY 2006 APR due February 1, 2008, and the State must provide the specific numbers for its calculation.</p> <p>OSEP appreciates the State's efforts and looks forward to reviewing data in the FFY 2006 APR, due February 1, 2008, that demonstrate compliance with the requirements of 34 CFR §§303.340(c), 303.342(e) and 303.344(f)(1), including the correction of noncompliance identified in FFY 2005.</p>

<sup>1</sup> The State's FFY 2005 APR reported data based on the State Fiscal Year (SFY) 2006 (the period from October 1, 2005 through September 30, 2006) as its FFY 2005 data. The State also provided targets based on its SFY. As requested by OSEP, on April 24, 2007, the State submitted a revised SPP that includes targets that cover FFYs 2006 through 2010. OSEP's use of "FFY 2005 data" or "FFY 2005 targets" in this chart reflects the SFY 2006 data or targets in the State's FFY 2005 APR. Similarly, references to "FFY 2004 data" reflect the SFY 2005 data.

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<p>2. Percent of infants and toddlers with IFSPs who primarily receive early intervention services in the home or programs for typically developing children.</p> <p>[Results Indicator]</p>	<p>The State's FFY 2005 reported data for this indicator are 87.4%. This represents slippage from FFY 2004 data of 91%. The State did not meet its FFY 2005 target of 91.5%.</p>	<p>The State revised the targets and improvement activities for this indicator in its SPP and OSEP accepts those revisions. The decision to revise the target to be less rigorous was made with stakeholder input.</p> <p>The State reported slippage and OSEP looks forward to the State's data demonstrating improvement in performance in the FFY 2006 APR, due February 1, 2008.</p> <p>It is important that the State also monitor to ensure that IFSP teams make individualized decisions regarding the settings in which infants and toddlers receive early intervention services, in accordance with Part C natural environment requirements.</p>
<p>3. Percent of infants and toddlers with IFSPs who demonstrate improved:</p> <p>A. Positive social-emotional skills (including social relationships);</p> <p>B. Acquisition and use of knowledge and skills (including early language/communication); and</p> <p>C. Use of appropriate behaviors to meet their needs.</p> <p>[Results Indicator; New]</p>	<p>Entry data provided.</p>	<p>The State reported the required entry data and activities. The State must provide progress data and improvement activities with the FFY 2006 APR, due February 1, 2008.</p>
<p>4. Percent of families participating in Part C who report that early intervention services have helped the family:</p>	<p>The State's reported baseline data for this indicator are:</p> <p>4A. 96.4%</p> <p>4B. 93.7%</p>	<p>The State provided baseline data, targets and improvement activities and OSEP accepts the SPP for this indicator.</p> <p>OSEP's March 2, 2006 SPP response letter required the State to submit a revised sampling plan. The State submitted a revised plan, which was accepted by</p>

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<p>A. Know their rights;</p> <p>B. Effectively communicate their children's needs; and</p> <p>C. Help their children develop and learn.</p> <p>[Results Indicator; New]</p>	<p>4C. 98%</p>	<p>OSEP.</p>
<p>5. Percent of infants and toddlers birth to 1 with IFSPs compared to:</p> <p>A. Other States with similar eligibility definitions; and</p> <p>B. National data.</p> <p>[Results Indicator]</p>	<p>The State's FFY 2005 reported data for this indicator under IDEA section 618 are .50%. This represents progress from the State's FFY 2004 data of .49%. The State did not meet its FFY 2005 target of .62%.</p>	<p>The State revised the targets and improvement activities for this indicator in its SPP and OSEP accepts those revisions.</p> <p>OSEP looks forward to the State's data demonstrating improvement in performance in the FFY 2006 APR, due February 1, 2008.</p>
<p>6. Percent of infants and toddlers birth to 3 with IFSPs compared to:</p> <p>A. Other States with similar eligibility definitions; and</p> <p>B. National data.</p> <p>[Results Indicator]</p>	<p>The State's FFY 2005 reported data for this indicator under IDEA section 618 are 1.39%. The State met its FFY 2005 target of 1.32%.</p>	<p>The State revised the targets and improvement activities for this indicator in its SPP and OSEP accepts those revisions.</p> <p>The State met its target and OSEP appreciates the State's efforts to improve performance.</p>
<p>7. Percent of eligible infants and toddlers with IFSPs for whom an evaluation and assessment and an initial IFSP meeting were conducted within Part C's 45-day timeline.</p>	<p>The State's FFY 2005 reported data for this indicator are 98%. This represents progress from the FFY 2004 data of 96%. The State did not meet its FFY 2005 target of 100%.</p>	<p>The State revised the targets and improvement activities for this indicator in its SPP and OSEP accepts those revisions.</p> <p>OSEP's March 2, 2006 SPP response letter indicated that the State must include in the FFY 2005 APR data that demonstrate compliance with the requirements in 34 CFR §§303.321(e)(2), 303.322(e)(1) and 303.342(a). The State's FFY 2005 data represent progress toward achieving compliance.</p>

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[Compliance Indicator]		OSEP appreciates the State's efforts and looks forward to reviewing data in the FFY 2006 APR, due February 1, 2008 that demonstrate compliance with the 45-day timeline requirements in 34 CFR §§303.321(e)(2), 303.322(e)(1) and 303.342(a), including the correction of noncompliance identified in FFY 2005.
<p>8A. Percent of all children exiting Part C who received timely transition planning to support the child's transition to preschool and other appropriate community services by their third birthday including:</p> <p>A. IFSPs with transition steps and services;</p> <p>[Compliance Indicator]</p>	<p>The State's FFY 2005 reported data for this indicator are 98%. This represents progress from the FFY 2004 data of 88%. The State did not meet its FFY 2005 target of 100%.</p>	<p>The State revised the targets and improvement activities for this indicator in its SPP and OSEP accepts those revisions.</p> <p>OSEP's March 2, 2006 SPP response letter indicated that the State must include in the FFY 2005 APR data that demonstrate compliance with the requirements in 34 CFR §§303.148(b)(4) and 303.344(h). The State's FFY 2005 data represent progress toward achieving compliance.</p> <p>OSEP appreciates the State's efforts and looks forward to reviewing data in the FFY 2006 APR, due February 1, 2008 that demonstrate compliance with the requirements in 34 CFR §§303.148(b)(4) and 303.344(h), including the correction of noncompliance identified in FFY 2005.</p>
<p>8B. Percent of all children exiting Part C who received timely transition planning to support the child's transition to preschool and other appropriate community services by their third birthday including:</p> <p>B. Notification to LEA, if child potentially eligible for Part B; and</p> <p>[Compliance Indicator]</p>	<p>The State's FFY 2005 reported data for this indicator are 99.5%. This represents progress from the FFY 2004 data of 99%. The State did not meet its FFY 2005 target of 100%.</p>	<p>The State revised the targets and improvement activities for this indicator in its SPP and OSEP accepts those revisions.</p> <p>OSEP's March 2, 2006 SPP response letter indicated that the State must include in the FFY 2005 APR data that demonstrate compliance with the requirements in 34 CFR §303.148(b)(1). The State's FFY 2005 data represent progress toward achieving compliance.</p> <p>OSEP appreciates the State's efforts in achieving this very high level of compliance, and looks forward to reviewing data in the FFY 2006 APR, due February 1, 2008 that demonstrate compliance with the requirements in 34 CFR §303.148(b)(1), including the correction of noncompliance identified in FFY 2005.</p> <p>On page 24 of the State's FFY 2005 APR, the State indicated that it did not include in its calculations for this indicator families who did not give permission to notify the LEA. Unless a State has adopted a written notice and opt-out policy, IDEA section 637(a)(9) and 34 CFR §303.148(b)(1) require that the lead agency notify the LEA where a child resides of a child transitioning from Part B.</p>

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		<p>It is unclear whether the State has adopted an opt-out policy under IDEA section 637(a)(9), 34 CFR §303.148(b)(1), and OSEP's 2004 Letter to Elder. In the FFY 2006 APR, due February 1, 2008, the State must clarify whether it has adopted such an opt-out policy and exclude from its calculations families who opted out (in both the numerator and denominator) for this Indicator 8B, but provide a numerical count of those children whose families elected to opt out. In addition, the State must ensure that such a policy is included in the State's FFY 2007 Part C grant application, if it has not been previously provided to OSEP. If the State has not adopted such a policy, then LEAs must be notified of the child's name, date of birth, and parent contact information as required by IDEA section 637(a)(9) and 34 CFR §303.148(b)(1).</p>
<p>8C. Percent of all children exiting Part C who received timely transition planning to support the child's transition to preschool and other appropriate community services by their third birthday including:</p> <p>C. Transition conference, if child potentially eligible for Part B.</p> <p>[Compliance Indicator]</p>	<p>The State's FFY 2005 reported data for this indicator are 99%. This represents progress from the FFY 2004 data of 92%. The State did not meet its FFY 2005 target of 100%.</p>	<p>The State revised the targets and improvement activities for this indicator in its SPP and OSEP accepts those revisions.</p> <p>OSEP's March 2, 2006 SPP response letter indicated that the State must include in the FFY 2005 APR data that demonstrate compliance with the requirements in 34 CFR §303.148(b)(2)(i) as modified by IDEA section 637(a)(9). The State's FFY 2005 data represent progress toward achieving compliance.</p> <p>OSEP appreciates the State's efforts and looks forward to reviewing data in the FFY 2006 APR, due February 1, 2008 that demonstrate compliance with the requirements in 34 CFR §303.148(b)(2)(i) as modified by IDEA section 637(a)(9), including the correction of noncompliance identified in FFY 2005.</p>
<p>9. General supervision system (including monitoring, complaints, hearings, etc.) identifies and corrects noncompliance as soon as possible but in no case later than one year from identification.</p> <p>[Compliance Indicator]</p>	<p>The State's FFY 2005 reported data for this indicator are 100%. The State met its FFY 2005 target of 100%.</p>	<p>The State revised the targets and improvement activities for this indicator in its SPP and OSEP accepts those revisions.</p> <p>The State provided information indicating 100% compliance for this indicator, and OSEP appreciates the State's efforts. On page 27 of its FFY 2005 APR, the State reported that the noncompliance identified during its FFY 2004 monitoring was corrected. It appears that the information on page 27 of the FFY 2005 APR correlates to the findings reported on pages 29–31 of the SPP submitted last year. However, in its FFY 2005 APR, the State did not clearly break the correction data down by indicator or substantive finding areas.</p>

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		<p>OSEP looks forward to reviewing data in the FFY 2006 APR, due February 1, 2008, that demonstrate compliance with the requirements in IDEA sections 616(a), 642, and 635(a)(10) and 34 CFR §303.501(b). In its response to Indicator 9 in the FFY 2006 APR due February 1, 2008, the State must disaggregate by APR indicator the status of timely correction of the noncompliance findings identified by the State during FFY 2005, and ensure that it reports based on the number of findings and not just by program. In addition, the State must, in responding to Indicators 1, 7, 8A, 8B, and 8C, specifically identify and address the noncompliance identified in this table under those indicators.</p>
<p>10. Percent of signed written complaints with reports issued that were resolved within 60-day timeline or a timeline extended for exceptional circumstances with respect to a particular complaint. [Compliance Indicator]</p>	<p>The State did not receive any signed written complaints during the reporting period.</p>	<p>The State revised the targets for this indicator in its SPP and OSEP accepts those revisions. The State did not receive any signed written complaints during the reporting period.</p>
<p>11. Percent of fully adjudicated due process hearing requests that were fully adjudicated within the applicable timeline. [Compliance Indicator]</p>	<p>The State did not receive any hearing requests during the reporting period.</p>	<p>The State revised the targets for this indicator in its SPP and OSEP accepts those revisions. The State did not receive any hearing requests during the reporting period.</p>
<p>12. Percent of hearing requests that went to resolution sessions that were resolved through resolution session settlement agreements (applicable if Part B due process procedures are adopted).</p>	<p>No resolution meetings held.</p>	<p>The State reported that there were no resolution meetings during the reporting period. The State is not required to provide targets or improvement activities until any FFY in which 10 or more resolution meetings were held.</p>

<b>Monitoring Priorities and Indicators</b>	<b>Status</b>	<b>OSEP Analysis/Next Steps</b>
[Results Indicator; New]		
13. Percent of mediations held that resulted in mediation agreements. [Results Indicator]	No mediations held.	The State reported that there were no mediations held during the reporting period. The State is not required to provide targets or improvement activities until any FFY in which 10 or more mediations were conducted.
14. State reported data (618 and State Performance Plan and Annual Performance Report) are timely and accurate. [Compliance Indicator]	The State's FFY 2005 reported data are 100%. The State met its FFY 2005 target of 100%.	The State revised the targets and improvement activities for this indicator in its SPP and OSEP accepts those revisions. OSEP appreciates the State's efforts in maintaining compliance.