



Functional Series [200](#)
Acquisition and Assistance

INTERIM UPDATE 07-01

SUBJECT: Interim Guidance on the Status of USAID Strategic Plans Under the New Foreign Assistance Framework

NEW MATERIAL: This Interim Guidance is offered to facilitate continued operation and implementation of USAID programs until new guidance and operating policies and procedures have been developed.

EFFECTIVE DATE: 01/11/2007

ADMINISTRATOR

USAID/General Notice
A/AID
01/01/2007

EXECUTIVE MESSAGE

Subject: Interim Guidance on the Status of USAID Strategic Plans Under the New Foreign Assistance Framework

Many USAID Operating Units have raised questions regarding the status of their Strategic Plans (given various aspects of foreign assistance reform, including the new Foreign Assistance Standardized Program structure and the requirement for approval of annual Operational Plans (OP), as well as the viability of existing ADS guidance regarding planning, achieving and learning as noted in ADS 200-203 and in other ADS chapters). This Interim Guidance is offered to facilitate continued operation and implementation of USAID programs until new guidance and operating policies and procedures have been developed.

Until further guidance is issued, please consider your Operating Unit's most recent Strategic Plan (including any that may have expired), as modified by the OP (and its associated guidance and element level budgets), to be your Approved Strategic Plan for purposes of meeting statutory, policy, and planning requirements. This includes, but is not limited to, any modifications in funding levels that may have been included in the OP. The Approved Strategic Plan, as herein defined, is extended until further notice as modified by annual budgets set by F and approved Operational Plans.

USAID may continue with procurement actions, including Requests for Applications and Proposals, up to the point of actual award, while awaiting approval of the Operational Plan(s). However, award (including starting new multi-year contracts and grants) and obligation of funds may only occur when all pre-obligation requirements have been met and:

- For FY 2006 carryover funds and prior year recoveries, use of the funds has been notified to Congress, or in the case of funds being reprogrammed for use other than that previously notified, F has approved the use of the funds and Congress has been notified of the planned use in accordance with existing notification procedures.
- For FY 2007 funds, F has approved the use of funds either through approval of a memo requesting such use or through approval of the Operational Plan identifying the use of funds, and Congress has been notified of the planned use. The FY 2007 Congressional Budget Justification serves as a notification of funds for this purpose, except in the case of special notification countries or where separate notification is otherwise required, such as for anti-trafficking programs or where notification is required as a result of the changes stemming from Foreign Assistance reforms.

The appropriate memo necessary for approval of funds is noted in my Memorandum regarding Approval of Foreign Assistance Funding in FY 2007 dated October 10, 2006.

Ambassador Randall L. Tobias

Point of Contact: Any questions concerning the Notice may be directed to: Kevin Brownawell, A/AID, (202) 712-4532.

File Name	Notice Date	Effective Date	Editorial Revision Date	ADS CD No.	Remarks
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