

***DRAFT WHITE PAPER:***  
**THE GLOBAL WATER CYCLE AND ITS ROLE IN  
CLIMATE AND GLOBAL CHANGE**

*In support of Chapter 7 of the*

**Strategic Plan  
for the  
Climate Change Science Program**

**Draft dated 26 November 2002**

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## **DISCUSSION DRAFT**

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### Preface

On 11 November 2002, the US Climate Change Science Program issued a discussion draft of its *Strategic Plan*. The strategy for each major area of the program is summarized in specific chapters of the draft plan, and for four chapters is described in greater detail in white papers. The white papers, including this one focused on the water cycle, represent the views of the authors and are not statements of policy or findings of the United States Government or its Departments/Agencies. They are intended to support discussion during the US Climate Change Science Program Planning Workshop for Scientists and Stakeholders being held in Washington, DC on December 3 – 5, 2002.

Both the chapters of the plan and the white papers should be considered drafts.

Comments on the chapters of the draft *Strategic Plan* may be provided during the USCCSP Planning Workshop on December 3 – 5, 2002, and during a subsequent public comment period extending to January 13, 2003. The chapters of the *Strategic Plan* will be subject to substantial revision based on these comments and on independent review by the National Academy of Sciences. A final version of the *Strategic Plan*, setting a path for the next few years of research under the CCSP, will be published by April 2003. Information about the Workshop and opportunities for written comment is available on the web site [www.climatescience.gov](http://www.climatescience.gov).

Comments that are specific to this white paper – and that are not already conveyed through comments on the related chapter of the plan – should be directed to: Susanna Eden [seden@usgcrp.gov].

DISCUSSION DRAFT

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4 In support of Chapter 7 of the  
5 Strategic Plan for the  
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8  
9  
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**In this paper...**

1. Introduction
2. Elements of the CCSP Global Water Cycle Component
  - 2.1. Internal Water Cycle Mechanisms
  - 2.2. Water Cycle Feedback Effects on the Climate
  - 2.3. Predicting Water Cycle Variability and Change
  - 2.4. The Cycling of Water and other Biogeochemical Constituents
  - 2.5. More Effective Water Management through Water Cycle Science
3. Summary

11  
12 **1. Introduction**

13 The Global Water Cycle (GWC) determines the amount of water that is available for  
14 human uses such as municipal and industrial supply, irrigation and agriculture,  
15 hydropower, waste disposal, protection of human and ecosystem health and a wide range  
16 of societal and environmental benefits. The GWC is an integral part of the Earth/ Climate  
17 system; water vapor constitutes the Earth's most abundant and important greenhouse gas,  
18 and water is its most active solvent. The interactions of the GWC and the climate system  
19 manifest themselves through many processes and phenomena, such as cloud formation,  
20 precipitation, groundwater recharge, accumulation and ablation of snow packs and  
21 glaciers, droughts and floods. Furthermore, water regulates the Earth's energy balance  
22 because energy is absorbed (or released) when liquid water is converted to or from water  
23 vapor and the energy stored in water is transferred from one location to another through  
24 water transport. These properties account for the critical role that the cycling of water  
25 plays in climate variability and its feedback effects that have a strong influence on the  
26 rate of climate change. The Global Water Cycle program forms a distinctive element  
27 within the CCSP that focuses research towards a more coherent view of the movements,  
28 transformations, and reservoirs of water, energy and water-borne materials throughout the  
29 Earth system and their interactions with ecosystems and human systems. In particular, the  
30 GWC element contributes to climate science by providing research on critical areas of  
31 uncertainty in climate change science and building the scientific basis needed by water

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1 users and managers to adapt to climate variability and change in a sustainable way.  
2 Although the GWC operates on a continuum of space and time scales, this document  
3 places more emphasis on the time and spatial scales relevant to climate issues.  
4

5 The water cycle is now widely recognized as one of the dominant causes of uncertainty in  
6 climate change projections. Moreover, most major impacts of climate variability and  
7 climate change on human activity and natural ecosystems directly involve precipitation  
8 processes or water and energy cycles. Precipitation projections have been very uncertain;  
9 climate models have produced contradictory projections for the central USA—whether it  
10 will experience drying or wetting as atmospheric carbon dioxide concentrations increase.  
11 Because the availability of water for human uses and ecosystem functions in this area (as  
12 is the case in most land areas) is more sensitive to precipitation changes than changes in  
13 temperature, these uncertainties are important to resolve. Furthermore, the responses of  
14 vegetation and ecosystems to precipitation have implications for carbon sequestration.  
15 Increases in carbon sequestration in northern hemisphere forests are being attributed to  
16 changes in regional precipitation regimes. Furthermore, the changing evapotranspiration  
17 rates associated with changing vegetative cover arising from land use change complicates  
18 the interpretation of the warming trends that have been observed over the USA during the  
19 past century.  
20

21 The Earth's water cycle is driven by processes that force the movement of water from  
22 one reservoir to another. Evaporation from the oceans and land is the primary source of  
23 atmospheric water vapor, which is transported, often over long distances, and eventually  
24 condenses into cloud particles, that in turn develop into precipitation. Precipitation over  
25 land finds its way into rivers, aquifers, and eventually oceans. Globally, there is as much  
26 water precipitated as is evaporated, but over land precipitation exceeds evaporation and  
27 over oceans evaporation exceeds precipitation. The excess precipitation over land equals  
28 the flow of surface and groundwater from continents to the oceans. This natural cycling  
29 of water is now perturbed by human activities. Together with changing vegetation  
30 patterns due to land management practices, these factors complicate the prediction of the  
31 consequences of climate change on the Global Water Cycle.  
32

33 The water cycle is coupled with biogeochemical cycles that control the movement of  
34 nutrients, waste products and even toxic chemicals, in aquatic-land and coastal  
35 ecosystems. These linkages directly affect water quality and the availability of potable  
36 water and industrial water supplies. Water supplies are subject to a range of stresses, such  
37 as population growth, pollution and industrial and urban development. Furthermore,  
38 water has been identified as a major factor in the occurrence and transmission of a  
39 number of vector-borne diseases (e.g. West Nile virus). These issues lead to public  
40 concerns about water quality and efforts to improve the management of fresh water  
41 resources. Accordingly, the global water cycle is an issue of central concern in the USA  
42 and in every other country of the world. The needs for adequate supplies of clean water  
43 pose major challenges to social and economic development and to the management of  
44 natural resources and ecosystems. These challenges grow ever greater as variations and  
45 changes in climate alter the hydrologic cycle in ways that are currently unpredictable.  
46

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1 Extremes in the surface water cycle, in terms of droughts and floods, have major  
2 implications for the security of life and property and for economic activity. Floods are  
3 the most important natural hazard for the USA in terms of loss of life and property, with  
4 annual average losses now approaching \$10 billion per year. Although drought losses are  
5 more difficult to quantify, the 1988 central US drought impacts have been estimated at  
6 more than \$20 billion.

7  
8 A recent report to the federal government by a group of leading atmospheric and  
9 hydrologic scientists, “A Plan for a New Science Initiative on the Global Water Cycle.”  
10 (Hornberger *et al.*, 2001) highlights the need for water cycle research. The authors  
11 emphasized that the water cycle is changing in ways that we have never experienced, and  
12 consequently, we must develop the knowledge base, information and decision support  
13 resources needed to deal with these emerging realities. This report identified three major  
14 areas that require more research over the next decade. The three areas include  
15 documentation and understanding of trends and variability in the GWC, improving the  
16 accuracy of water cycle predictive capabilities, and developing a better scientific  
17 understanding of the linkages between the water cycle and other biogeochemical cycles,  
18 especially the carbon cycle. These three areas form the basis for discussions in this  
19 white paper related to climate change and water cycle trends, prediction and the linkages  
20 between water and nutrients cycles in terrestrial and freshwater ecosystems.

21  
22 The emerging capability to predict GWC variations at seasonal to interannual time scales  
23 provides a basis for dialog between the scientific community and water system and land  
24 managers. This dialog is enabling the program to provide the scientific underpinnings for  
25 improving the adaptability of existing infrastructure and management practices. Potential  
26 changes in water cycle variables such as precipitation, evaporation and runoff have  
27 critical implications for agriculture, water supply and hydropower managers, and other  
28 sectors that are affected by long-term water cycle changes.

29  
30 To address the urgent need for better information on the water cycle, the USGCRP/CCSP  
31 is planning its Global Water Cycle research program around two overarching questions,  
32 namely:

- 33 1. How do water cycle processes (including climate feedbacks) and human activities  
34 influence the distribution and quality of water within the Earth system, to what  
35 extent are changes predictable, and how are these processes and activities linked  
36 to ecosystem and human health and the cycling of important chemicals, such as  
37 carbon, nitrogen, other nutrients, and toxic substances?
- 38 2. How will large-scale changes in climate, demographics, and land use (including  
39 changes in agricultural and land management practices), affect the capacity of  
40 societies to provide adequate supplies of clean water for human uses and  
41 ecosystems and respond to extreme hydrologic events?

42  
43 The above questions define the scope of a science-driven Water Cycle program focused  
44 on the needs of society. To address these questions in a comprehensive way, the program  
45 elements developed in this document deal with:

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- 1) long term trends in the global water cycle and the internal water cycle processes responsible for these trends;
- 2) links between the water cycle and the climate system and controls that the water cycle places on climate variability and change;
- 3) development of a capability to predict water cycle variables;
- 4) linkages between variations in the water cycle and variations in other connected cycles such as carbon and nitrogen and in reservoirs such as the cryosphere, ecosystems and coastal areas; and
- 5) information on the impacts of water cycle variability and change and its use in planning and management decisions that affect the use of the Nation's water resources.

USGCRP water cycle research, therefore, directly intersects all of the focused research required by the CCSP, especially in the context of delivering scientific results, observing and attributing trends and variability, assembling sets of integrated data and information, and improving prediction products, as needed for the development of decision support tools for water management.

Impacts of global change on water resources will be complicated and interactions will involve feedbacks likely to produce surprises and unusual events. Advances in GWC research require a mix of observational program enhancements, field experiments and process studies, model development and testing, and modeling studies. The development of better models deserves particular attention, as models are the key building block for improving the accuracy of water cycle predictions. To address the breadth of water cycle issues arising in the program, a mix of models is needed, some within linked model hierarchies for prediction purposes and some that would be run offline to build understanding of processes and provide the linkages with biogeochemical cycling and decisions support. Models will be used in both simulation and prediction modes. A suite of models and modeling strategies will be needed ranging from small area process models operating in stand-alone fashion to regional models nested in a hierarchy of partially- and fully-coupled models, to global Earth system models that include the representation of all elements of the GWC. The following pages outline the five questions that constitute the core of the CCSP water cycle element, as well as the associated research needs and expected results.

## 2. Elements of the CCSP Global Water Cycle Component

### 2.1 INTERNAL WATER CYCLE MECHANISMS

**QUESTION 1: WHAT ARE THE UNDERLYING MECHANISMS AND PROCESSES RESPONSIBLE FOR THE MAINTENANCE AND VARIABILITY OF THE WATER CYCLE; ARE THE CHARACTERISTICS OF THE CYCLE CHANGING AND, IF SO, TO**

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### 1 **WHAT EXTENT ARE HUMAN ACTIVITIES RESPONSIBLE FOR** 2 **THOSE CHANGES?**

#### 3 **State of Knowledge**

4 Water strongly influences the Earth's radiation balance. Clouds reflect short wave  
5 radiation (thereby cooling the atmosphere) and absorb terrestrial outgoing long-wave  
6 radiation (warming the atmosphere). The nature and magnitude of the cooling/heating is  
7 a function of the areal coverage, height, structure and optical properties of the clouds.  
8 Water molecules are strong absorbers of infrared radiation and water vapor is, by far, the  
9 most effective of the "greenhouse gases". Water vapor concentrations in the upper  
10 troposphere and lower stratosphere are very critical in determining the rate at which  
11 radiative energy emitted by the atmosphere escapes to space.

12  
13 The water cycle plays a key role in the maintenance of the climate system as a moderator  
14 of the Earth's energy cycle. It is through the water cycle that incoming solar energy is  
15 redistributed through the Earth system via the atmosphere and oceans. Latent heat  
16 exchanges occur as water changes phases from solid or liquid to vapor and vice versa.  
17 Water cools its surroundings when it evaporates or sublimates, usually at the land or  
18 ocean surface, and warms the surrounding air when vapor condenses as clouds and  
19 precipitation. Water vapor is transported by the wind from its source to other regions.  
20 Because of the large amount of heat released by the condensation of water molecules  
21 (latent heat), water vapor is a very effective means of storing energy and the latent heat  
22 flux in the atmosphere is a major component of the overall transport of energy from  
23 equator to poles. Furthermore, latent heat is the principal source of energy that drives  
24 cyclogenesis and sustains weather systems.

25  
26 Recent observations suggest that there have been significant changes in a number of  
27 water cycle components – precipitation intensity, distribution and types; surface and  
28 subsurface runoff; cloud cover properties; atmospheric water vapor; and river discharge.  
29 For example, U.S., precipitation is characterized by more high intensity events than  
30 occurred in the past. According to current climate model predictions, the most significant  
31 manifestation of global warming could be an acceleration of the rate of the global water  
32 cycle. However, current climate models do not make consistently accurate predictions,  
33 and these critically important projections are very uncertain.

34  
35 Within any part of the climate system, there is a substantial range of natural variability  
36 due strictly to internal processes. It is extremely difficult to distinguish between the  
37 natural excursions from the "norm" and changes that might be the result of forcing caused  
38 by human actions. Major improvements in observations and models of the water cycle  
39 are required in order to distinguish "natural" variability from change. Once models can  
40 successfully simulate past water cycle behavior, they can be used to assess potential  
41 changes due to human activity, such as anthropogenic emissions of greenhouse gases,  
42 land use change or aerosol production.

43  
44 Current models do not simulate many aspects of the global climate well, and many of the  
45 model shortcomings are related to poor representations of the GWC. For a given



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1 increase in CO<sub>2</sub> , different climate models produce vastly different cloud, precipitation  
2 and soil moisture (both in magnitude and sign) depending on their parameterizations of  
3 basic water cycle processes. Furthermore, as global temperatures warm, the atmosphere  
4 becomes capable of holding more moisture and the warmer temperatures normally  
5 increase evaporation rates and the amount of water vapor throughout the atmosphere.  
6 This basic knowledge, however, does not reveal whether the increased atmospheric water  
7 vapor will lead to the formation of more extensive cloud covers and will enhance, reduce  
8 or counteract global warming.

9  
10 Other external factors must also be considered in these models. Atmospheric aerosols  
11 affect cloud condensation nuclei concentrations, the radiative properties of cloud  
12 particles, and precipitation processes. Changes in land cover and land use have been  
13 extensive in the U.S. and in the rest of the world, and these changes have local, regional,  
14 and even global impacts on the hydrological cycle. Among other things, they can  
15 dramatically alter surface properties, affecting the surface heat budget and the  
16 partitioning of precipitation into surface storage or runoff.

17  
18 Other factors also affect model performance. One is the inhomogeneous distribution of  
19 water vapor. For example, major transports of water vapor from the oceans to terrestrial  
20 regions occur in narrow streams of moisture that vary geographically and with height,  
21 making them difficult to measure, let alone model. Another is the dependence on  
22 timescale. Soil moisture, vegetation, and snow cover can influence the flux of moisture  
23 and energy into the atmosphere depending on the nature of the atmospheric flow, the  
24 surface radiation budget, and surface properties. To improve the reliability of climate  
25 projections a better understanding is needed of which are the key processes to represent  
26 and how processes that occur at scales smaller than the model grid squares interact. In  
27 particular, while some progress has been made in cloud parameterizations, the  
28 representation of clouds and cloud/ precipitation processes remains the greatest  
29 uncertainty in climate models. Furthermore, since cloud processes are inextricably linked  
30 to other critical water cycle processes, improved representation of clouds will be key to  
31 improved simulations as well as climate projections.

32  
33 Because the set of observations available to answer questions about the natural variability  
34 and change in the water cycle are generally limited in both time and space, new observing  
35 technologies and creative data fusion and assimilation methods will have to be developed  
36 to combine inhomogeneous data with hugely varying temporal and spatial characteristics  
37 into physically and dynamically consistent data sets. This will be true both for existing  
38 and future data sets.

### 40 **Draft Research Questions**

- 41 • How have the characteristics of the water cycle changed in recent years and is the  
42 number of extreme hydrologic events (droughts, floods, high intensity rain events)  
43 increasing?
- 44 • To what extent are changes in the water cycle attributable to natural variability as  
45 opposed to human induced change?

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- 1 • How are the rates of regional groundwater recharge, soil moisture availability,  
2 and runoff production affected by changing global precipitation patterns,  
3 vegetation distributions and cryospheric processes?
- 4 • What are the average regional water fluxes between surface and subsurface  
5 arising from recharge and discharge processes and their seasonal and interannual  
6 variability?
- 7 • What are the factors that control evaporation and evapotranspiration on local and  
8 regional scales, and how are they affected by climate variability and change?
- 9 • What are the characteristics of, and processes governing, water vapor distribution  
10 and transport in the lower atmosphere and how do they affect precipitation  
11 patterns on short- and long-term scales?
- 12 • How do aerosols, their chemical composition, and distribution feed back on cloud  
13 formation and precipitation processes and patterns?
- 14 • What are the characteristics of upper tropospheric water vapor and clouds and  
15 how are they affected by deep convection?
- 16 • What is the relative importance of local and remote factors in extreme hydrologic  
17 events such as droughts and floods?
- 18 • In what ways do aerosols affect the hydrologic cycle, particularly the space-time  
19 distribution of precipitation over land?  
20

### 21 **Products and Payoffs**

- 22 • Documentation of trends in key variables through data analysis and comparison  
23 with model-simulated trends to assess natural variability versus human-induced  
24 changes (5-10 yr.)
- 25 • Integrated long-term global and regional data sets of critical water cycle variables  
26 from satellite and *in situ* observations for monitoring climate trends and early  
27 detection of climate change. (2-5 yr.)
- 28 • Improved regional water cycle process parameterizations based on process studies  
29 conducted over regional test beds to improve the reliability of climate change  
30 projections. (5-15 yr.)
- 31 • Long term records of flood/drought frequency and intensity from proxy data such  
32 as tree ring data (5-10 yr.)
- 33 • 10-year data set of assimilated estimates of soil moisture and evapotranspiration  
34 rates (2 to 5 yr.).
- 35 • High-resolution data sets of precipitation amounts, distribution, and intensity over  
36 a regional testbed to be used to develop improved parameterizations of  
37 precipitation processes (5 yr.)
- 38 • New methods for measurement and estimation of subsurface fluxes (5-15 yr.).

39

### 40 **Readiness and Feasibility**

41 Techniques for measuring many of the water variables have improved, but the number of  
42 observations is limited and, in some cases, new sensors are needed. A number of new

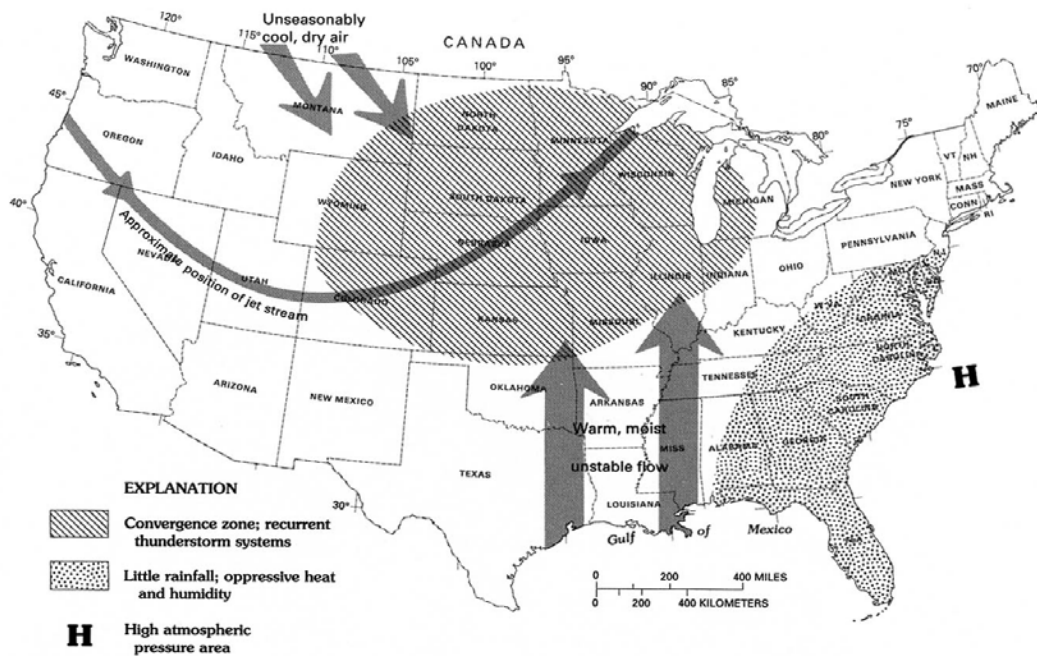
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1 satellite-based sensors are just now or shortly will be available and will provide data on a  
2 number of key variables. In some cases, such as the current soil moisture measurements,  
3 new retrieval methods have produced more accurate estimates. Even with the potential  
4 for global coverage via satellite and ground based remote-sensing platforms, there  
5 remains a critical need for *in situ* observations of many GWC variables at higher spatial  
6 resolution and at more frequent intervals. Generally, *in situ* networks are declining and  
7 deficiencies in these networks will inevitably affect the ability to advance the GWC  
8 agenda. Thus, remotely sensed data must be supplemented with data from appropriate  
9 ground-based systems.  
10

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### Box 1. The 1993 Mississippi River Floods

In the summer of 1993, the Mississippi River basin experienced anomalously high rainfall, following a winter and spring in which precipitation was generally above normal. During June and July, an unusually persistent branch of the jet stream was positioned over the



1 **Dominant weather patterns over the United States for June-July 1993 (top panel) and flooding near West Alton, Illinois, during July 1993 (bottom panel) (USGS, 1993).**

**Box 2.1 (continued). The 1993 Mississippi River Floods**

upper Mississippi and Missouri River basins. This phenomenon was caused by a low-pressure system over the southwestern United States, combined with a stalled high-pressure system over the southeast, which created an anomalous low-level flow of warm, moist air from the Gulf of Mexico that collided with cool, dry air from Canada over the central states. The result was two months of much above average precipitation. The combination of the high rainfall with wet antecedent conditions resulted in mean monthly discharges of the Mississippi River at its mouth during August and September that exceeded the largest values for the previous 63 years. At 45 USGS stream-gauging stations over a wide area of the central United States, peak discharges exceeded the 100-year flood. Damages exceeded \$20 billion, making this one of the most costly natural disasters in U.S. history. Although the conditions that led to the 1993 flood have been quite well documented, what is much less well known is the likelihood of similar large-area flooding in the future. The 1993 flood was especially notable because it occurred during what is normally the low-flow period. Better understanding of the global water cycle will help to predict the possible occurrence of rare events like the 1993 flood, and thus to mitigate future flood damages.

Data assimilation is an advanced method for using measurements and models in combination to provide internally consistent data for analysis. For example, recent research has produced more effective methods of assimilating remotely sensed data and of predictions of some GWC variables such as evaporation at regional scales. Newly developed procedures for assimilating precipitation data for use in land-surface models are being used to produce experimental high-resolution soil moisture and other land surface data products on a routine basis. Further advances in data assimilation for other variables are needed because future large-scale or global observational networks will consist primarily of remotely sensed data that are augmented with limited *in situ* measurements, and there is much promising work going on in this area.

Many of the processes involved in the water cycle occur on scales smaller than are currently measured on a routine basis or are represented in numerical models. For instance, cloud formation is a small-scale process that cannot be explicitly represented in a climate model. Moreover, a full understanding of cloud formation, and all the processes involved, remains elusive. Model development can be accelerated by interdisciplinary field studies over regional testbeds that provide much needed understanding of scaling effects. New parameterizations of water cycle/ climate feedbacks (e.g., cloud-aerosol and land-atmosphere) and sub-grid scale processes (e.g., clouds, precipitation, evaporation, etc.) can be developed and validated on a regional scale. The sensitivity of global models to these new parameterizations can then be evaluated. In addition, cloud resolving models are proving to be a useful tool in ascertaining which processes are important in cloud and precipitation processes.

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### 1 **Research Needs**

2 New observing capabilities, both satellite and *in situ*, will be critical to detecting patterns  
3 and quantifying fluxes, especially instruments for global measurement of water vapor,  
4 precipitation, and terrestrial water cycle variables such as soil moisture. The decline of *in*  
5 *situ* networks needs to be reversed and data sets developed to ensure consistency between  
6 historical and new observations. Network enhancements are needed to obtain data on  
7 critical quantities such as river discharge, precipitation and snow pack in mountain  
8 regions, as well as estimates of the fluxes between the surface and subsurface and  
9 recharge rates at the basin scale. There is a need for new data assimilation techniques  
10 that combine different kinds of data and data with varying spatial and temporal  
11 characteristics to produce consistent data products for research and process studies of key  
12 water cycle variables, such as clouds, precipitation and soil moisture. New models are  
13 needed that can simulate the critical water cycle processes at resolutions that will allow  
14 comparison with long-term data sets. Critical processes include precipitation, water  
15 vapor fluxes and transport at a variety of scale, coupled atmosphere – surface (both land  
16 and ocean) interactions, runoff, subsurface water, etc. Finally, process studies to  
17 investigate cloud and radiation processes at small scales will allow development of sub-  
18 grid parameterizations for climate models.

### 20 **Linkages**

#### 21 *National*

22 As with all parts of the climate system, the factors affecting the water cycle variability in  
23 any location will be a complex combination of local and remote forcing mechanisms  
24 operating on a variety of timescales. Within the CCSP, these water cycle studies will  
25 need to be coordinated with those under the Climate Variability and Change element.  
26 Improvement in parameterizations of water cycle processes will provide input for the  
27 Climate Models and Simulation and Applied Modeling elements.

28  
29 Other CCSP component programs that will contribute to and/or benefit from these  
30 research efforts include Atmospheric Composition; Ecosystems; Land Use/Land Cover  
31 Change; and Grand Challenges in Modeling, Observations and Information Systems.

#### 33 *International*

34 Key programs with which linkages are being forged include the World Climate Research  
35 Programme (WCRP) (including GEWEX and CLIVAR); the International Geosphere-  
36 Biosphere Programme (IGBP); various programs of the United Nations (WMO, FAO and  
37 others). In particular, on-going collaborations will lead to development of an IGOS-  
38 Partners Water cycle theme report to guide the evolution of integrated global water cycle  
39 observing systems.

## 42 **2.2 WATER CYCLE FEEDBACK EFFECTS ON THE CLIMATE**

### 44 **QUESTION 2: HOW DO FEEDBACK PROCESSES CONTROL THE** 45 **INTERACTIONS BETWEEN THE GLOBAL WATER CYCLE AND**

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### 1 **OTHER PARTS OF THE CLIMATE SYSTEM (E.G. CARBON CYCLE,** 2 **ENERGY), AND HOW ARE THESE FEEDBACKS CHANGING OVER** 3 **TIME?** 4

#### 5 **State of Knowledge**

6 Feedback processes are interactions between components of a system as it responds to  
7 inputs. When the global water cycle is considered as a component of the Earth/ Climate  
8 system, feedback processes transmit external drivers, such as the increase atmospheric  
9 CO<sub>2</sub> through the system. An input provides a response in one component that, in turn,  
10 triggers a response in another. Mutual adjustments continue to occur and reverberate  
11 through the system. The system may return to equilibrium, develop a new cycle or  
12 cycles, or continue to exhibit chaotic behavior.

13  
14 Feedbacks can be positive or negative, with positive feedbacks enhancing the initial  
15 response and negative feedbacks inhibiting or counteracting it. In the case of the  
16 feedbacks between the water cycle and the carbon cycle, a positive feedback could  
17 increase the accumulation of carbon dioxide in the atmosphere while a negative feedback  
18 with slow the growth of atmospheric CO<sub>2</sub>. For interactions with the climate system, a  
19 positive feedback would enhance the global warming effect while a negative feedback  
20 would either slow the effect or produce a cooling. Understanding feedbacks between the  
21 water cycle and other component of the climate system is critical for climate modeling.  
22 There is a great deal of fundamental research to be done in this area.

23  
24 Depending on time-scale, elements of the global/regional water cycle can act as either  
25 “forcings” or “feedbacks” on/within the Earth/Climate system. While all “feedbacks” are  
26 mechanisms not all water cycle mechanisms (discussed in Question 1) are feedbacks. For  
27 example, the release of latent heat during the formation of precipitation may be  
28 considered as a mechanism or internal process as opposed to a feedback. However, an  
29 initial change in land cover (vegetation, snow cover) due to a forcing, which then causes  
30 an additional change in land cover via the water cycle would be a feedback effect.

31  
32 One major feedback involves the linkages between the global water cycle and the  
33 greenhouse gas warming. A small incremental increase in temperature will result in  
34 greater rates of evaporation, as a warmer atmosphere is capable of holding larger amounts  
35 of water vapor. The increases in water vapor, a very effective greenhouse gas, lead to a  
36 further increase in temperature. Water vapor, the most important greenhouse gas in terms  
37 of energy absorption (as measured in Watts/m<sup>2</sup>) and long-wave heating of the planetary  
38 surface, is a major contributor to the net warming effect following an increase caused by  
39 anthropogenically emitted greenhouse gases such as CO<sub>2</sub> and CH<sub>4</sub>. However, there are  
40 serious uncertainties in the vertical and spatial distribution of water vapor that might  
41 result from an initial CO<sub>2</sub> (plus other greenhouse gases) warming, and consequently the  
42 net resultant effect of the water vapor feedback. This is a positive feedback that could  
43 continue unchecked if there were no counterbalancing effects in the atmosphere.

44 However, with increasing water vapor content from increased temperature, the potential  
45 for cloud production increases. Clouds have several impacts. Most significantly, during

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1 the day, they reflect incoming short-wave radiation back to space, thereby reducing the  
2 amount of radiation reaching the surface to warm it. Under these conditions, clouds have  
3 a negative feedback effect. However, under other conditions, the cloud may be trapping  
4 outgoing radiation, leading to a positive cloud feedback. Over the globe, depending on  
5 cloud types, the net feedback effect may be positive or negative. There is evidence to  
6 suggest that the treatment of clouds in climate models is one of the major determinants of  
7 their temperature sensitivity to greenhouse gases. These feedback effects are complicated  
8 by the presence of aerosols in the cloud that act to change the albedo of the cloud and  
9 reduce the likelihood that the cloud's moisture will rain out. At present, it is generally  
10 believed that there is insufficient observational evidence to determine whether clouds  
11 have a positive or negative net feedback effect on the climate.

12  
13 Another important feedback comes from the linkage between the water cycle and the  
14 carbon cycle. Observational evidence indicates that transpiration rates for plants are high  
15 at the same time that carbon dioxide fixing by the plants and hence carbon dioxide flux  
16 from the atmosphere to the plant canopy is large. This connection between transpiration  
17 and the carbon flux suggests that many of the same processes must be controlling the  
18 rates of transfer. It also suggests that common approaches to measuring and  
19 parameterizing water vapor and carbon fluxes may exist. There are other feedbacks  
20 between the carbon cycle and the water cycle. For example, when an environment is  
21 humid, plants will grow more rapidly and draw carbon dioxide from the atmosphere and  
22 release more water to the atmosphere. The addition atmospheric moisture can enable the  
23 production of more clouds and rain, which will continue to moisten the ground and  
24 prolong the growth of plants and the continued transpiration of moisture to the  
25 atmosphere. This positive feedback is one that has not been examined in detail, but may  
26 lead investigators to identify of areas where terrestrial carbon sequestration would be  
27 particularly effective.

28  
29 Another important feedback effect between greenhouse gas cycles and the water cycle is  
30 expected to unfold when increases in temperature and land use and vegetation cover  
31 changes affect the hydrology of sensitive regions. For example, melting permafrost could  
32 lead to larger areas of standing water at higher latitudes that could increase the rate of  
33 methane production. Methane, a very active greenhouse gas, could lead to further  
34 warming if present in sufficient quantities.

35  
36 In current global and regional coupled models, feedback processes are typically poorly  
37 represented or accounted for. This difficulty arises from a lack of observations to provide  
38 for a basis for parameterizing these processes and partly due to the complexities involved  
39 in modeling them. In addition, there is a need conduct studies that will clarify the  
40 significance of the various feedback processes and to clarify how the ones that have the  
41 most significant effects for the CCSP operate.

42  
43 There are also major uncertainties in the cloud/precipitation response to forcings on the  
44 Earth system and in cloud radiation feedback effects. The response of the atmosphere to  
45 increased evaporation at the ocean surface is expected to be an increase in cloudiness.  
46 The effect of clouds on the radiation balance depends on whether the clouds form in the



## DISCUSSION DRAFT

1 upper or lower troposphere and whether they form near the equator or the poles.  
2 Furthermore, it is not clear how these clouds will be distributed over the planet nor is it  
3 clear how the production of precipitation from these clouds will be altered as a result of  
4 forcing. The vertical distribution of precipitation formation can have important effects on  
5 the atmospheric heating profiles and on patterns of storm development.  
6

7 Water has an important influence on atmospheric circulation. Water cools its  
8 surroundings as liquid and solid water are converted into water vapor. Without this  
9 cooling the land surface would warm, much like hot pavement or the sand of deserts. On  
10 the average, this latent cooling is balanced by the latent heat released when water vapor is  
11 converted to liquid and solid cloud particles. Because of the large latent heat involved in  
12 the condensation of water molecules, water vapor is a very effective means of storing  
13 energy and the latent heat flux in the atmosphere is a major component of the overall  
14 transport of energy from equator to poles. In general, latent heat is the principal source of  
15 energy that drives cyclogenesis and sustains weather systems like convective cells that  
16 generate tornadoes and tropical storms that evolve into hurricanes.  
17

18 Water molecules are strong absorbers of infrared radiation and the resulting greenhouse  
19 effect of atmospheric water vapor is, by far, the strongest determinant of the Earth's  
20 surface climate. Furthermore, atmospheric humidity is highly variable and responds to  
21 changes in atmospheric temperature, thus providing the most effective feedback  
22 mechanism tending to amplify global climate changes induced by other factors.  
23 Furthermore, clouds contribute about 50% of the planetary albedo, and absorption of  
24 terrestrial radiation by clouds is equivalent to that of all "greenhouse gases" other than  
25 water vapor. Radiative heating or cooling is a major contribution to the diabatic processes  
26 that cause air parcels to rise or sink in the atmosphere and, in general, power weather  
27 systems. The net radiant energy that reaches the Earth surface is the source that controls  
28 temperature, drives evaporation, and feeds photosynthesis and the Earth's primary  
29 biological productivity. Being able to measure and to forecast the evolution of the spatial  
30 and temporal patterns in water vapor and clouds is a key to applications of science to  
31 climate, water resources, and ecosystem problems.  
32

### 33 **Draft Research Questions**

- 34 • What is the sign and magnitude of the cloud-radiation-climate feedback effect and  
35 how does it vary with latitude and season?
- 36 • How is the water vapor-climate feedback signal changing, and how can these  
37 feedback processes be better represented in global models?
- 38 • How do changes in water vapor and water vapor gradients, from the stratosphere  
39 to the surface, affect climate variables, such as radiation fluxes, surface radiation  
40 budgets, cloud formation and distribution, and precipitation patterns, globally and  
41 regionally?
- 42 • What are the variations and changes in freshwater fluxes to the ocean that could  
43 affect the ocean thermohaline circulation and feed back on global/regional  
44 climate?

## DISCUSSION DRAFT

- 1 • How do changes in the global/ regional water cycle feed back on vegetative  
2 growth and carbon sequestration?
- 3 • How do changes in water cycle processes in cold regions feed back on climate  
4 change? In particular, how would warmer temperatures in the Arctic affect  
5 regional hydrology and methane production over northern land areas?  
6

### 7 **Products and Payoffs**

- 8 • New parameterizations for water vapor, clouds, and precipitation processes for  
9 use in climate models, using new cloud resolving models created in part as a  
10 result of field process studies (2-5 yr; Next generation improvements: 5-15 yr).
- 11 • Integrated water cycle time series data sets (derived from satellite and surface-  
12 based remote sensing, combined with *in situ* measurements) of tropical and extra-  
13 tropical precipitation, clouds and cloud properties, aerosols, short wave and long  
14 wave radiation, and water vapor (Initial: 2-5 yr; Periodic improvements and  
15 updates: 5-15 yr)
- 16 • Enhanced, integrated data sets (remote sensing and *in situ*) for correlated and co-  
17 located studies of the feedbacks and interactions between changes in water cycle  
18 parameters and biogeochemical cycles. Examples include carbon sequestration,  
19 ecosystem impact, and land-use change feedbacks. (Initial: 2-5 yr; Improvements  
20 and updates: 5-15 yr)
- 21 • Enhanced data sets for feedback studies including water cycle variables, aerosols,  
22 vegetation and other related feedback variables generated from a combination of  
23 satellite and ground-based data to evaluate the role of human influences in climate  
24 change. (5-15 yr).
- 25 • New models capable of simulating the feedbacks between the water cycle and the  
26 climate system (including biogeochemical cycles) to improve predictions of  
27 climate change and support the development of carbon management strategies (5-  
28 15 yr).
- 29 • Analyses to identify the variability of cloud and radiation fields and atmospheric  
30 conditions that could be important for cloud feedback research (2-5 yr)Methods to  
31 estimate cloud condensation nuclei (CCN) concentrations.  
32 (2-5 yr)
- 33 • Studies of the impact of aerosols on cloud drop distributions and the assessment  
34 of the “indirect” effect. (5 yr)  
35

### 36 **Readiness and feasibility**

37 Considerable research has been conducted on improving observations of key parameters  
38 of the intertwined global and regional water and energy cycles, as well as research into  
39 improving skill in predicting changes in the variability of water resources and water  
40 availability, including precipitation, evaporation and soil moisture, on time scales up to  
41 seasonal and annual as an integral part of the climate system. Efforts include those of  
42 NASA’s EOS program, GWEC, NASA’s NSIPP (seasonal-to-interannual prediction) and  
43 DAO (data assimilation and modeling) programs (among others), DOE’s ARM program

## DISCUSSION DRAFT

1 (observations, process studies, and modeling), GEWEX/GAPP and GAPP-LDAS  
2 programs (NOAA in collaboration with NASA), a broad range of research sponsored by  
3 the NSF, and the efforts of other agencies involved in research and the operational  
4 monitoring of basic land surface and hydrological parameters (USGS, USDA, USFS,  
5 USBR, others).

6  
7 Activities are ongoing to quantify and improve the understanding and modeling of key  
8 elements and processes of the global and regional water/energy cycles. Measurement  
9 techniques have been developed and/or improved. For example, more accurate  
10 measurements of water vapor were developed through joint NASA/DOE (ARM)  
11 campaigns. Global measurements are available from NASA's satellites. These include  
12 the EOS Terra and Aqua, TRMM (precipitation radar), QuickSCAT (measuring surface  
13 ocean winds—important for estimates of ocean evaporation, and GRACE (global surface  
14 and sub-surface water availability/resources). Important next generation follow-on  
15 missions include: GPM (Global Precipitation Measurements), Soil Moisture Mission, and  
16 others. Also important are the planned transition of EOS measurements to the NPOESS  
17 system of operational satellites.

18  
19 The CCSP also supports a number of field testbeds and research basins. They include the  
20 USDA facilities, USGS stream gauge networks, and the DOE ARM sites. Collaborative  
21 efforts are being planned to combine the capabilities of agency resources to provide an  
22 enhanced research capability at existing sites.

23  
24 Many of the uncertainties in the projections of the warming effects of increasing  
25 atmospheric carbon dioxide arise from the inability to adequately represent the cloud and  
26 water vapor radiative feedback processes in models. Advances have been made in  
27 measuring the Earth's surface energy and describing the interactions of water and energy  
28 (heat) in the water cycle. Studies show that in the tropics a decrease in cloud cover  
29 accompanies a warming trend in the region. Studies have provided insight into the  
30 relationship between the physical properties of cirrus ice crystals and meteorological  
31 factors, such as temperature and water amount, and the ability of cirrus clouds to reflect  
32 and absorb energy

### 34 **Research Needs**

35 Many of the uncertainties in the impacts of changes in climate variability and long-term  
36 global change that have been identified in IPCC reports arise from our inadequate  
37 understanding and inability to adequately model GWC processes as they feed back on the  
38 climate system. The current inability to adequately represent these complex multi-scale  
39 processes in climate models is a major source of uncertainty in long-term climate change  
40 projections, seasonal-to-interannual climate forecasts and their impacts. Model  
41 improvements will be accelerated by interdisciplinary field studies over regional testbeds  
42 that provide much needed understanding of scaling effects. New parameterizations of  
43 water cycle/ climate feedbacks (e.g., cloud-aerosol and land-atmosphere) and sub-grid  
44 scale processes will have to be developed and validated to improve the accuracy of  
45 precipitation predictions and projections generated by climate models.

## DISCUSSION DRAFT

1

2 Examples of key research needs:

- 3 • Development and implementation of satellite based global measurement systems for  
4 precipitation.
- 5 • New validated parameterizations of feedback processes that affect precipitation such  
6 as cloud-aerosol, land-atmosphere interactions, and cloud-radiation-climate change.
- 7 • Sensitivity tests of global models to improved parameterizations of feedback and sub-  
8 grid scale processes (e.g., clouds, precipitation, land surface processes, etc.)
- 9 • Scaling results from interdisciplinary field studies over regional testbeds for use in the  
10 development of models.
- 11 • Development of new observing system capability on a research/experimental basis to  
12 measure global/regional water cycle feedback parameters, including *in situ* and space-  
13 based and surface-based remote sensing instruments and platforms.
- 14 • Satellite-based global scale measurements of other key GWC variables including  
15 water vapor profiles, soil moisture/ wetness, and sediment transport. Transition of  
16 proven research/experimental observing instruments/platforms to operational systems  
17 (both remote sensing and *in situ* observing systems). This includes ensuring that the  
18 measurement of key variables is maintained through transitions in observing systems  
19 (globally and locally).
- 20 • Development of an integrated Earth system modeling infrastructure relevant for the  
21 testing, validation and use reducing uncertainties in climate change (and variability)  
22 predictions and projections.

23

### 24 **Linkages**

#### 25 *National*

26 To address GWC feedbacks it will be necessary for this element to work closely with  
27 other CCSP Programs including Climate Variability and Change, Climate Models and  
28 Simulation (including Applied Climate Modeling), Atmospheric Composition, Carbon  
29 Cycle, Ecosystems, Land Use/Land Cover Change, Grand Challenges in Modeling, and  
30 Observations and Information Systems.

31

#### 32 *International*

33 Key linkages for the GWC program include: the World Climate Research Programme  
34 (WCRP) (particularly GEWEX, CLIVAR, SPARC and CLiC) and the International  
35 Geosphere-Biosphere Programme (IGBP) (particularly the emerging iLEAPS project).

36

37

### 38 **2.3 PREDICTING WATER CYCLE VARIABILITY AND CHANGE**

39

## DISCUSSION DRAFT

1 **QUESTION 3: WHAT ARE THE KEY UNCERTAINTIES IN SEASONAL**  
2 **TO INTERANNUAL PREDICTIONS AND LONG-TERM PROJECTIONS**  
3 **OF WATER CYCLE VARIABLES AND WHAT IMPROVEMENTS ARE**  
4 **NEEDED IN GLOBAL AND REGIONAL MODELS TO REDUCE THESE**  
5 **UNCERTAINTIES?**  
6

7 **State of Knowledge**

8 Seasonal-to-interannual variability in the global water cycle is largely determined by  
9 ocean and land processes and their impacts on the atmosphere. The prediction of this  
10 variability relies on the persistence or memory in surface conditions that tends to provide  
11 the atmosphere with consistent anomalies in fluxes over periods of weeks or months or  
12 even years. Again, the time scale of “memory” in the atmosphere is fairly short, but due  
13 to the atmosphere's connection to the land and ocean, each of which is characterized by a  
14 much longer memory. As a result current dynamic global and regional models  
15 demonstrate limited skill in predicting precipitation and GWC variables that strongly  
16 depend on precipitation, such as soil moisture and runoff, on time scales beyond a few  
17 days. Droughts in particular and pluvial periods to a lesser extent can be extended and  
18 maintained at seasonal-to-interannual time scales, with potentially severe consequences  
19 for agriculture and water resources. The El Niño / La Niña cycle is the most obvious  
20 example of a coupled phenomenon that produces significant seasonal-to-interannual  
21 variability. Over land, it is known that soil moisture, groundwater, snow processes, and  
22 vegetation can also contribute to the memory effect. While the large-scale influences on  
23 the atmosphere by major anomalous oceanic variations such as El Niño events have been  
24 well documented, memory effects of land conditions and their consequences for  
25 evapotranspiration and albedo are not fully quantified. In addition, climate models exhibit  
26 serious bias in precipitation due to their inability to fully represent small-scale cloud and  
27 precipitation processes. However, much work remains to determine which variables can  
28 be predicted and which ones cannot. Furthermore, in the context of climate change  
29 issues, the relevant scales are larger and the issues more complex, especially considering  
30 the additional effects of increasing populations, rising standards of living and competition  
31 amongst the users of water.

32  
33 Due to the large uncertainties associated with the outputs of climate models representing  
34 conditions up to 100 years in the future and the practice of using them as scenarios, the  
35 term “projections” is used to distinguish such outputs from “predictions.” One of the  
36 most critical deficiencies in climate change projections involves precipitation and soil  
37 moisture—essential parameters for assessments of the nation’s future water availability.

38  
39 Advances in land surface models have led to a much improved capability of simulating  
40 coupled atmosphere-land system. When forced by observed precipitation and radiation  
41 data, today’s land models are capable of simulating realistic land surface conditions on  
42 time scales much longer than a few days. Using this approach land data assimilation  
43 systems now can produce both real time and retrospective analyses of land surface  
44 variables that can be used for diagnostics, initialization, and validation of coupled  
45 atmosphere-land models for climate prediction and projections. Some improvements have

## DISCUSSION DRAFT

1 been realized through the development of precipitation assimilation capabilities. These  
2 successes suggest that more realistic specification of land surface conditions can lead to  
3 better precipitation predictions and increase confidence that improvements in the land  
4 components of models will contribute to better water cycle predictions at daily, weekly  
5 and seasonal time scales. Studies based on both observations and model simulations also  
6 have shown potential linkage of land surface conditions, primarily soil moisture, on  
7 seasonal climate anomalies over the US, such as the major drought in 1988 and the  
8 Mississippi floods of 1993.

9  
10 Many uncertainties in seasonal to interannual climate predictions and climate change  
11 projections will only be reduced when better representations of GWC processes can be  
12 incorporated into climate models. At seasonal time scales, predictions rely on accurate  
13 specification of the initial conditions and characterization of the boundary layer  
14 conditions that control surface-atmosphere interactions. In particular land surface and  
15 ocean feedbacks to the atmosphere, cloud and precipitation processes, and hydrologic  
16 surface processes need to be addressed. Projections at decadal to centennial time scales  
17 require representations of the boundary that can evolve over time. Surface boundary  
18 forcing changes are particularly important in sensitive areas such as the cryosphere  
19 (permafrost, snow cover and ice cover) and for vegetation conditions and water cycle  
20 variables such as soil moisture.

21  
22 A critical prediction problem involves advance warning for major flood and drought  
23 events. The development of a capability to reliably assess whether hydrologic extremes  
24 will increase as greenhouse gas concentrations increase is also important. The increasing  
25 property damages from floods suggests that two factors may be at work, namely the  
26 tendency for more people to locate in flood plains and, possibly, a trend towards the  
27 intensification of the hydrological cycle. In order for an extreme event to occur the  
28 following factors are usually be present:

- 29 1) large-scale circulation patterns that enhance vertical atmospheric uplift for  
30 floods or increase the stability of the atmosphere for droughts;
- 31 2) regional patterns and feedbacks that accentuate the larger scale factors  
32 contributing to floods and droughts;
- 33 3) preconditioning of the system to increase the impacts of the flood or the  
34 drought event. For example, antecedent wet soils will leads to enhanced  
35 floods for a given rainfall, while antecedent low water tables and  
36 desiccated vegetation prior to the drought will cause the drought impacts  
37 to be much greater.

38 The prediction of large floods and droughts requires attention to each of these factors.  
39 Through global and regional climate models, it is possible to have good predictive  
40 skill for some areas, because the atmospheric flow patterns that are frequently  
41 associated with heavy rains or drought events can be identified. However, the  
42 modeling of regional feedbacks requires a good understanding of land – atmosphere  
43 interactions, while the modeling of antecedent conditions requires hydrologic and  
44 biospheric models and monitoring programs that will account for the effects of  
45 prolonged rainfall, or lack thereof, in a given region. Regional feedbacks and the role

## DISCUSSION DRAFT

1 of antecedent conditions are two aspects of extreme events that are poorly  
2 understood.  
3

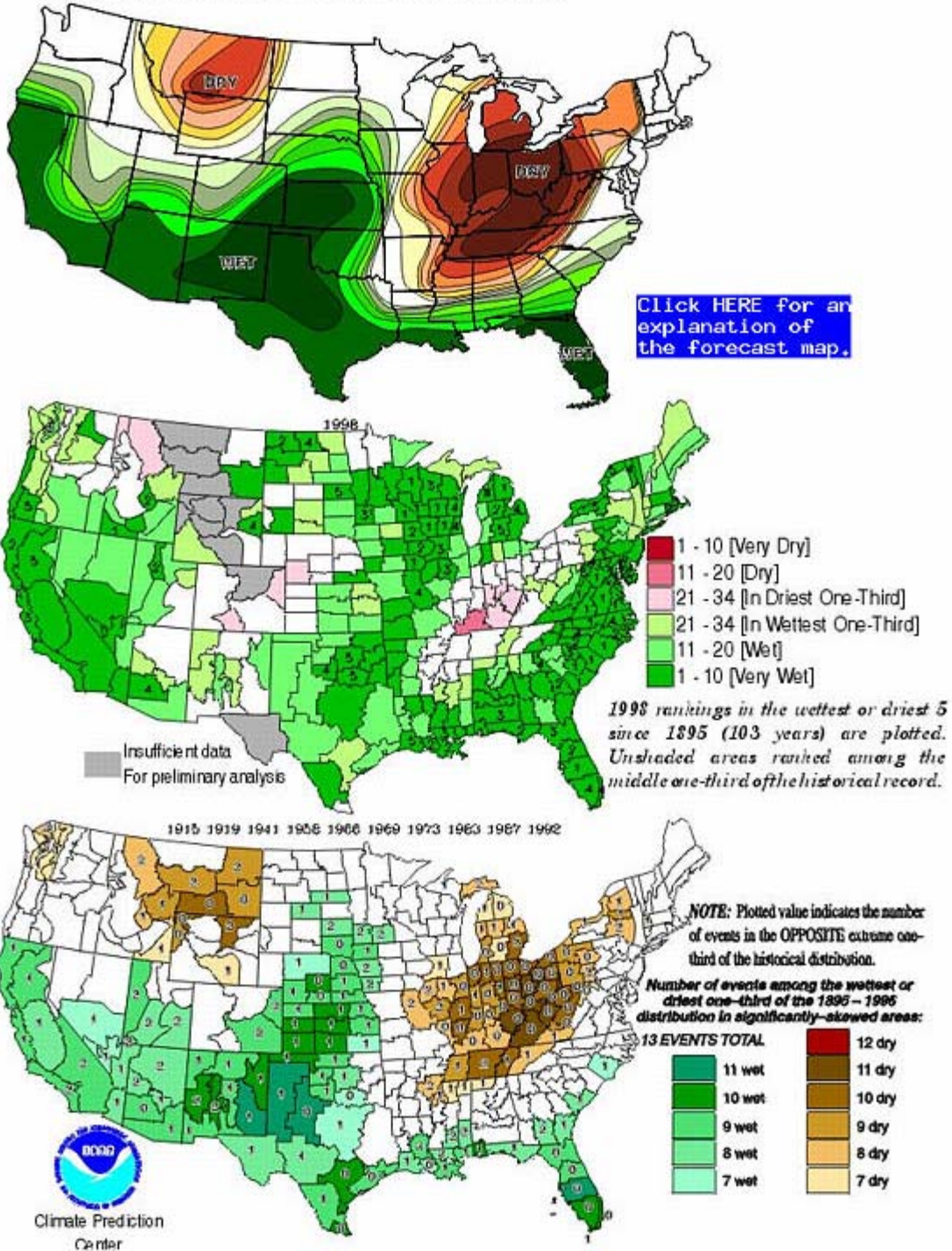
### **Box 2. The Importance of Predicting the Effects of El Niño on North America: the 1997-98 ENSO Event**

Among the largest El Niño events of this century, the winter of 1997-98 saw nearly unprecedented rainfall in several parts of the southwestern and southeastern United States, rainfall attributed directly to the effects of extremely warm sea surface temperature (SST) in the tropical Pacific. Unlike other such events, however, the 1997-98 event was relatively well predicted, both the SST anomaly in the Pacific and its remote effects, especially in the United States. The figure shows the precipitation prediction for January through March 1998 made by the U.S. Climate Prediction Center (top) three months in advance of the winter season as well as the observed precipitation (middle) and the historical expectation based solely on the presence of El Niño conditions in the tropical Pacific (bottom). As the figure shows, the CPC forecast was based on the expectation that El Niño would have a major effect on winter precipitation, and their predictions were quite accurate for many regions of the country. Individuals and organizations in climate-sensitive locations across the country made use of the forecast information, taking steps to mitigate the potential costs of El Niño, and thereby substantially reducing El Niño's actual costs.

4

# DISCUSSION DRAFT

January - March Precipitation  
 Mid-December Forecast (top), 1998 Ranks by Climate Division (center),  
 and Historical Distribution of El-Nino Events (bottom)



1  
 2  
 3  
 4  
 5  
 6



## DISCUSSION DRAFT

### 1 **Draft Research Questions**

- 2 • How predictable are water cycle variables at different temporal and spatial scales?
- 3 • For different model resolutions, how can key water cycle processes be better
- 4 simulated in current climate models in order to enhance their capabilities to produce
- 5 more accurate seasonal to interannual predictions of water cycle variables?
- 6 • How can the representation of water cycle processes in climate change models be
- 7 improved to reduce uncertainties in climate change projections of hydrologic
- 8 variables? Variations of water cycle on longer time scales are associated with
- 9 changes in slowly varying components of the Earth system, such as deep oceans,
- 10 glaciers, ice sheets and sea ice, land cover and land use, and atmospheric
- 11 composition. The challenge for prediction of these variations depends on our ability
- 12 to understand and model the fundamental processes that affect climate change.
- 13 • How can GWC subgrid scale processes best be characterized in climate models being
- 14 integrated over long time intervals?
- 15 • To what extent will the seasonality, intensity and variability of high latitude
- 16 freshwater fluxes (evapotranspiration, runoff) and stores (soil moisture, permafrost)
- 17 change as a result of climate warming? How well do climate and hydrologic models
- 18 simulate these changes? How sensitive are climate change projections to errors in
- 19 represented the processes causing these changes in climate models?
- 20 • What are the critical hydrological and atmospheric factors that are present in major
- 21 flood and drought events that can be isolated, quantified and incorporated into water
- 22 cycle prediction methodologies?
- 23 • How well do current global climate models simulate individual components of the
- 24 global water cycle and what are the consequences of the model's weaknesses for
- 25 current climate projections?
- 26 • What is the optimum structure for ensemble forecasts (in terms of members, models,
- 27 start times) that produce the best seasonal precipitation, streamflow and soil moisture
- 28 forecasts?
- 29 • How can the uncertainty in the prediction of water cycle variables be characterized
- 30 and communicated to water resource managers?
- 31

### 32 **Products and Payoffs**

- 33 • New drought monitoring and early warning tools based on improved measurements
- 34 of precipitation, soil moisture and runoff and data assimilation techniques to use in
- 35 the implementation of drought mitigation plans. (2-5 yr).
- 36 • A regional "reanalysis" providing a wide range of daily analysis products at 32 km
- 37 resolution for a 25 year period for use in analyzing many features that are absent in
- 38 global climate data assimilation products. (2-5yr).
- 39 • Metrics for quantifying the uncertainty in predictions of water cycle variables and
- 40 progress in improving their accuracy and for making forecasts more useful in water
- 41 resources management. (2-5 yr)
- 42 • Downscaling techniques, such as improved regional climate models, that bridge the
- 43 disparate spatial and temporal scales between global model outputs and atmospheric,

## DISCUSSION DRAFT

1 land surface and river basin processes for improved evaluation of potential water  
2 resource impacts arising from climate change. (5-15 yr)

- 3 • Field and modeling experiments to study the role of mountain environments on  
4 precipitation and runoff production (2-5 yr)
- 5 • Improved global and regional climate models with improved representations of the  
6 key processes in the models (5-15 yr)
- 7 • Improved data assimilation which is benefited from the synergy of improved model  
8 and observations and improved assimilation techniques (5-15 yr)
- 9 • A long-range prediction capability of drought and flood risk (seasonal to internannual  
10 time scales). (5-15 yr)

### 12 **Readiness and feasibility**

13 Some effort is being directed currently at the seasonal prediction of the GWC's  
14 variability, mainly in conjunction with climate modeling and numerical weather  
15 prediction centers. Improvements made over the past decade to models, have advanced  
16 the ability to close regional water budgets. For example, when combined with new data  
17 assimilation capabilities the annual water budget for the Mississippi basin can now be  
18 closed to within 15 - 20%. While this progress is encouraging for climate applications, it  
19 indicates that more work is needed on predicting critical variables such as  
20 evapotranspiration before predictions will be adequate for the needs of water resource  
21 managers. The development of a Land Data Assimilation System (LDAS) at both the  
22 regional and global scales has allowed a significant reduction in the errors arising in  
23 initial fields due to the way traditional coupled land-atmosphere 4-D data assimilation  
24 systems (4DDA) in soil moisture, soil temperature, and surface energy fluxes. Another  
25 key to these developments are continued improvements in our understanding of land  
26 surface processes, particularly soil moisture, snow cover and frozen ground effects and  
27 their contributions to the memory effects that are evident in droughts and anomalously  
28 wet periods. However, there are reasons to believe that a modest increase in investment in  
29 this area could accelerate the development of a seasonal prediction capability.

30  
31 Progress on long-term projections (decadal to centennial) of GWC variability have not  
32 matured as quickly, partly because the long model time integrations required to make  
33 such projections do not allow for the complexities of land surface processes to be fully  
34 incorporated into these models. However, recent advances in the national climate model  
35 development strategy are expected to provide a more efficient structure for improvements  
36 on these time scales. It is anticipated that, with a modest shift in emphasis by both the  
37 climate modeling and GWC communities, it would be possible to make significant  
38 progress in improving the representation of key water cycle processes in global climate  
39 models. However this will need to be a focused effort giving priority to those  
40 hydrometeorological processes (including clouds) to which climate models are most  
41 sensitive.

## DISCUSSION DRAFT

### 1 **Research Needs**

2 The major requirements for improved water cycle prediction capability lie in three areas,  
3 namely: 1) improved specification of initial conditions (including boundary conditions),  
4 2) improved parameterization of relevant physical and biological processes and the land  
5 surface condition, and 3) improved model structure. The third area is addressed in the  
6 Climate Modeling section. Addressing land surface-atmosphere interactions will require  
7 research on the entire coupled system including cloud and precipitation feedbacks, the  
8 interactions of the lower boundary layer with land and ocean surfaces, and the role of  
9 groundwater- surface water and biospheric interactions. In addition, data sets are needed  
10 for evaluating and testing the water cycle components of coupled models, especially soil  
11 moisture and regional evaporation and for the improvement of regional downscaling and  
12 statistical forecasting techniques. Advances in prediction capabilities will depend on  
13 improvements in model structure and initialization, data assimilation, and representations  
14 of the key water cycle processes in models.

15  
16 In summary the following developments are needed:

- 17 • Observations: Improved observations (both ground-based and satellite) of water  
18 cycle variables and fluxes (such as temperature, precipitation, snowpack, soil  
19 moisture, vegetation properties, radiation, wind, evaporative flux and humidity) will  
20 provide the foundation for improved predictions of water cycle variables. Enhanced  
21 data sets are needed to evaluate models, to characterize and reduce uncertainties of  
22 model predictions, to improve model initializations and to improve process  
23 understanding.
- 24 • Predictability studies: Predictability studies will be required to determine the regions,  
25 seasons, lead times and processes most likely to provide additional predictive skill,  
26 and to guide the development of models on all scales.
- 27 • Process studies and model improvements: Better understanding through field  
28 experiments and modeling studies of less-well-understood processes, such as the  
29 seasonal and longer term interactions of mountains, oceans, the cryosphere and soil-  
30 vegetation with the atmosphere are needed. In addition, these processes must be  
31 more realistically represented in models at appropriate scales.

### 33 **Linkages**

#### 34 *National*

35 Seasonal water cycle variability over the US is influenced by both the land and ocean  
36 surface conditions. The relative roles of these influences depend on location and season.  
37 Consequently, the GWC program in collaboration with the Climate Variability and  
38 Change element must address both ocean-atmosphere coupling and land-atmosphere  
39 coupling in order to develop reliable water cycle predictions on seasonal and longer time  
40 scales. In addition, the hydrologic aspects of these predictions require a focused effort  
41 that will involve joint studies with the land use change and ecosystems groups.

#### 43 *International*

44 The GWC research on prediction and predictability also needs to maintain close linkages  
45 with the International GEWEX program and CLIVAR under WCRP and the land

## DISCUSSION DRAFT

1 components of the IGBP, as its new research agenda is consolidated. Some of the  
2 hydrological data and modeling issues may also be developed in collaboration with  
3 UNESCO's hydrology program.  
4

### 6 **2.4 THE CYCLING OF WATER AND OTHER BIOGEOCHEMICAL** 7 **CONSTITUENTS**

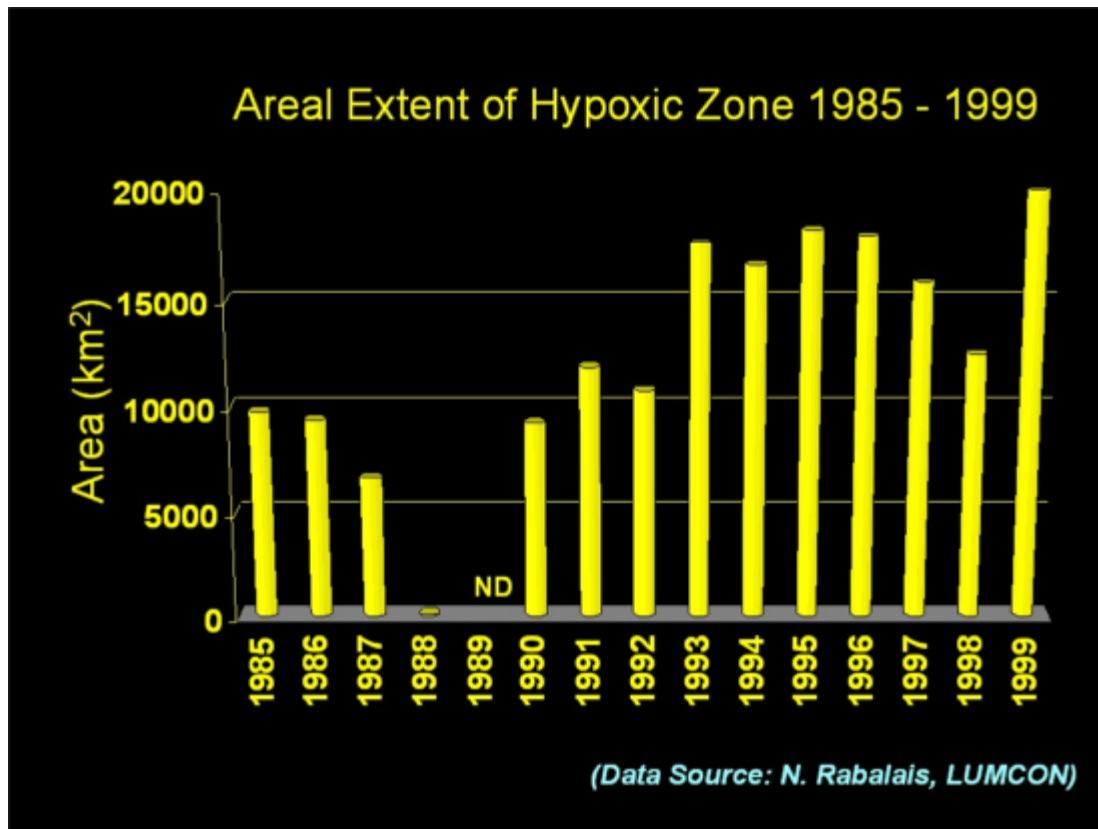
8  
9 **QUESTION 4: HOW DO THE WATER CYCLE AND ITS VARIABILITY**  
10 **AFFECT THE QUALITY OF AVAILABLE WATER FOR HUMAN**  
11 **CONSUMPTION, ECONOMIC ACTIVITY, AGRICULTURE, AND**  
12 **NATURAL ECOSYSTEMS; AND HOW DO THE VARIABILITY AND**  
13 **INTERACTIONS WITHIN THE WATER CYCLE AND BETWEEN THE**  
14 **OTHER BIOGEOCHEMICAL CYCLES AFFECT SEDIMENT AND**  
15 **NUTRIENT TRANSPORT, MOVEMENTS OF TOXIC CHEMICALS, AND**  
16 **OTHER BIOGEOCHEMICAL SUBSTANCES?**

#### 17 **State of Knowledge**

18 The essential role of water in sustaining all forms of life (plant, animal, and human) and  
19 the enormous contribution of water to economic development throughout human history  
20 have been recognized for millennia. During the past century, major advances have been  
21 made in quantifying the cycling of water between the atmosphere, land areas, oceans,  
22 lakes and streams, and groundwater aquifers. However, there is a consensus in the  
23 scientific community that the current level of understanding of the fate and movement of  
24 water and sediment in watersheds remains inadequate. The many societal problems that  
25 we face related to water availability, use, control, and management are not new. In the  
26 context of climate change issues, though, the relevant scales are larger and the issues  
27 more complex considering the additional stresses of increasing populations, rising  
28 standards of living, and the many diverse and competing demands for fresh water.  
29

30 As water cycles through the environment, it interacts strongly with other biogeochemical  
31 cycles, notably the carbon, nitrogen, and other nutrients. Flowing water also erodes,  
32 transports, and deposits sediment in rivers, lakes and ocean, affecting the quality of the  
33 water. Soil erosion may result in degradation of farmland through loss of organic soil  
34 material, soil salinization, and gully formation, loss of aquatic habitat, and lost water  
35 storage capacity due to the sedimentation of reservoirs. Soil erosion and sedimentation of  
36 our rivers, deltas and channels also may lead to increased flooding and costs associated  
37 with dredging and maintaining shipping lanes. Toxic chemicals, pesticides, and  
38 agricultural fertilizers in water are detrimental to the health of inland aquatic ecosystems  
39 and coastal zones. The transport by water of bacterial contaminants from sewage and  
40 agricultural waste systems, often under the stress of flooding conditions, exacerbates  
41 human health problems and stresses water management and treatment systems.  
42  
43  
44

1 **Box 3. Recent Notable Oxygen Losses from Important Coastal Waters**



28 Oxygen depletion results from the combination of several physical and biological processes. In Gulf of  
29 Mexico waters (graphed above), hypoxia results from the stratification of marine waters owing to  
30 Mississippi River system freshwater inflow and the decomposition of organic matter stimulated by  
31 Mississippi River nutrients. As a general rule, nutrients delivered to estuarine and coastal systems support  
32 biological productivity. Excessive levels of nutrients, however, can cause intense biological productivity  
33 that depletes oxygen. The remains of algal blooms and zooplankton fecal pellets sink to the lower water  
34 column and seabed. The resulting depletion of oxygen during decomposition of the fluxed organic matter  
35 exceeds the rate of production and resupply from the surface waters, especially when waters are stratified.  
36 Stratification in the northern Gulf of Mexico is most influenced by salinity differences year-round, but is  
37 accentuated in the summer due to solar warming of surface waters and calming winds. Oxygen depletion  
38 follows a fairly predictable annual cycle, beginning in the spring, and becoming most widespread,  
39 persistent, and severe during the summer months.

40  
41 Midsummer coastal hypoxia in the northern Gulf of Mexico was first recorded in the early 1970s. In recent  
42 years (1993-1999), the extent of bottom-water hypoxia (16,000 to 20,000 km<sup>2</sup>) has been greater than twice  
43 the surface area of the Chesapeake Bay, rivaling extensive hypoxic/anoxic regions of the Baltic and Black  
44 Seas. Even in 1998, the hypoxic area covered 12,400 km<sup>2</sup>, an area about the size of Connecticut. Prior to  
45 1993, the hypoxic zone averaged 8,000 to 9,000 km<sup>2</sup> (1985-1992).

46 Source: Nancy N. Rabalais, Louisiana Universities Marine Consortium, 8124 Highway 56, Chauvin,  
47 Louisiana 70344 (<http://www.csc.noaa.gov/products/gulfmex/html/rabalais.htm>)

48  
49  
50  
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52

## DISCUSSION DRAFT

1 Water conservation, water use efficiency, and watershed management play critical roles  
2 in the development of cost-effective solutions to social, economic, and environmental  
3 problems caused by water scarcity, water stress, and extreme climatic events. An  
4 improved understanding of the hydrologic processes that determine the fate and  
5 movement of water in watersheds is critical to the development of effective strategies for  
6 water management in a changing environment.

7  
8 Reliable techniques are needed to quantify water resource responses to climate forcing  
9 and to use this information to predict the hydrologic consequences of climatic change.  
10 This research would require a major long-term commitment of resources to monitor water  
11 and energy fluxes over a range of scales and to develop accurate, cost effective methods  
12 to characterize watersheds and simulate their integrated responses at the regional and  
13 continental river basin scales. Currently available water cycle predictions and projections  
14 are inadequate for such assessments.

15  
16 Watersheds are the fundamental landscape units used to determine direct and off site  
17 impacts of agricultural food and fiber production systems, soil and water conservation,  
18 climatic variability and watershed management practices. Watershed responses (e.g.,  
19 hydrologic, riparian, water quality) to management and climatic inputs result from the  
20 complex interactions among numerous watershed factors and attributes (e.g., soils,  
21 vegetation, land use, management practices) and vary across geographic regions. Many  
22 of the major challenges in scientific hydrology and watershed management relate to  
23 quantifying the space-time variability of both weather and climate data, watershed  
24 characteristics, and tracking the ever-changing land use and management practices within  
25 the Nation's agricultural and natural watersheds. However, science has not reached the  
26 point where these complex responses can be fully quantified and predicted at the  
27 temporal and spatial scales necessary to provide information with the accuracy desired by  
28 water resource managers and policy makers. Furthermore, the responses of basins to  
29 water cycle variability is also dependant on the basin characteristics such as topography,  
30 land cover, soils, and the types and level of socio-economic activity within the basin.

31  
32 In order to gain the understanding needed to integrate water resource and water quality  
33 management under conditions of climatic change, researchers need to focus on how  
34 processes within this interactive system affect water availability and water quality and  
35 how these impacts vary over time. Systematic monitoring of flows and the fate and  
36 transport of nutrients, chemicals, and pathogens within our Nation's rivers and aquifers is  
37 needed to acquire the basic information necessary to understand and predict the effect of  
38 climatic change on our water resources. Effective use of this information will require  
39 research on the causes for observed patterns. Past researchers have lacked access to  
40 spatially and temporally distributed data and have been forced to combine multiple  
41 pathways and reservoirs of many sizes and shapes in "lumped" models to simulate  
42 watershed responses at regional and continental scales. These models are inadequate for  
43 predicting and simulating the fate and transport of nutrients, chemicals, and pathogens,  
44 because the hydrologic analysis needs to be connected with ecological, chemical,  
45 microbiological processes as well as social and economic processes. A new generation of  
46 distributed models that is able to utilize the full information content of distributed data is

## DISCUSSION DRAFT

1 now in its early developmental stages. These models use characterizations of pathway  
2 types to capture chemical and biological processes that can scale from a farm field to a  
3 river basin. The next step is to develop methods for scaling up these processes so their  
4 cumulative impacts can be determined at watershed and larger scales.

5  
6 At present, a major obstacle to distributed modeling advances is the absence of a  
7 monitoring framework needed to generate the data at sufficiently high spatial resolution  
8 to allow evaluation and testing of spatially distributed land surface models. The data  
9 bases that are needed include critical variables such as surface runoff, aquifer depth,  
10 chemical and biological processes that alter the quality of the flowing water, and factors  
11 leading to changes in water demand (associated with population growth and higher  
12 standards of living) and their hydrological impacts, land use, agricultural practices, and  
13 climate. Data are needed to quantify flows by pathway, to determine chemical and  
14 biological changes along pathways, and to assess how these changes, over time, alter the  
15 physical system as well as ecosystems the flows supports. Observational networks in the  
16 major hydroclimatic and agroecological regions of the country are required to make  
17 simultaneous uniform, long-term, consistent, high quality data sets for tracking the water,  
18 energy, and biogeochemistry cycles. These networks should have an oversight  
19 mechanism that builds upon existing experimental watersheds and provides a unified  
20 framework to understand how climate change will affect critical national resources of  
21 water (quantity and quality), carbon, and nutrients.

22  
23 The data bases described here will enable the scientific community to address the  
24 following issues:

- 25
- 26 • Effects on water quality of atmospheric deposition. Land use changes alter loadings  
27 of atmospheric vapor, dust, and chemical and other aerosols that are later deposited  
28 on land to become major non-point sources of pollution.
- 29 • Loadings of non-point source pollution washed by storm runoff into streams and  
30 carried by infiltrating water from urban areas or from fields treated with agricultural  
31 chemicals into aquifers.
- 32 • Performance of soils, buffer strips, wetlands, detention ponds, and other natural  
33 environments in ameliorating pollutant loadings through diverse weather sequences.
- 34 • Determining if soil erosion rates exceed soil production rates, and whether current  
35 land management practices are sustainable.
- 36 • Transport of pollutants and nutrients through rivers, lakes and estuaries.
- 37 • Effects of deforestation, agricultural practices, fires, urbanization, and other land  
38 changes on sedimentation and on fresh water quality, quantity, and distribution.
- 39 • Subsurface changes in water chemistry related to climate and other stresses at the  
40 surface. Engineers can use information on change processes to contain and degrade  
41 toxic materials, reduce subsurface contaminant discharge into rivers and estuaries,  
42 and foster use of alluvium and riparian ecosystems in water pollution control.
- 43 • Effects of groundwater fluxes on terrestrial, riverine, and coastal ecosystems and  
44 ultimately on geochemical balances at all scales.
- 45

## DISCUSSION DRAFT

### 1 **Draft Research Questions**

- 2 • How does soil erosion and sediment transport from the farm field to stream to  
3 watershed scale and through entire river systems vary as function of hydrologic  
4 processes and basin characteristics?
- 5 • How do changes in climate and land cover alter runoff quantities and hence the  
6 transport of sediments, nutrients, and other chemicals?
- 7 • How do changes in climate, land cover, and nonpoint waste sources alter water  
8 quality in streams and aquifers?
- 9 • How do physical processes in streams and aquifers change the quality of water  
10 available for human uses and natural ecosystems?
- 11 • How does water cycle variability and change affect the transport of nutrients in major  
12 rivers and influence the formation of hypoxia zones in the estuary areas?
- 13 • How do physical, chemical, biophysical, and microbiological processes interact along  
14 upland and stream field pathways to alter water quality? Systems of primary interest  
15 for effects on stream chemistry are stream alluvium (the hyporheic zone) and  
16 hillslope soil (the vadose zone).
- 17 • How can the linkages between particle and chemical transport be quantified as  
18 chemicals are adsorbed and desorbed in mixing zones with a wide variety of  
19 characteristics?
- 20 • How do field scale interactions accumulate to change water quality and quantity at  
21 watershed and larger scales?  
22

### 23 **Products and Payoffs**

- 24 • Protocols for establishing commensurate sets of reliable benchmark data on surface  
25 water, ground water, sediment, toxic substances, and biogeochemical constituents at  
26 watershed and river basin scales for multidisciplinary studies aimed at improved  
27 integrated watershed management. Existing protocols will be reviewed and modified  
28 as necessary, existing study areas will be considered for continuation and  
29 enhancement, and additional study areas will be selected. (2-4 yr)
- 30 • Nationally consistent assessments of the water-quality conditions in our Nation's most  
31 heavily used streams and aquifers, trends in those conditions, and the primary natural  
32 features and human activities that affect them. (2-4 yr)
- 33 • Intensive field- and watershed-scale investigations of distribution, transport, fate and  
34 effects on contamination by toxic substances at local releases and non-point sources  
35 in order to provide objective scientific information to improve characterization and  
36 management of contaminated sites, to protect human and environmental health, and  
37 to reduce potential future contamination problems. (2-4 yr)
- 38 • Long-term monitoring and analysis of stream flow and water quality in areas that  
39 have been minimally affected by human activities, in agricultural watersheds, and in  
40 the Nation's largest rivers in order to characterize time trends and spatial patterns of  
41 regional flow and water quality variability, as well as concentrations and fluxes of  
42 sediments and chemicals, associated with both natural processes and regional-scale  
43 societal impacts. (2-4 yr)



## DISCUSSION DRAFT

- 1 • Integrated remote sensing and ground-based observations of the water, carbon, energy  
2 and nutrient cycles to develop and validate remotely sensed algorithms to enable  
3 extrapolation to regional and continental scales. (2-4 yr)
- 4 • Improved distributed models that partition precipitation among evapotranspiration,  
5 surface, and subsurface pathways. Characterize water by its chemical and  
6 microbiological characteristics, route flows, and quantify physical and chemical  
7 interactions for evaluating impacts of climatic change on water quality and nutrient  
8 cycling. Programs for national water quality assessment and studies into the fates and  
9 effects of toxic substances will receive powerful new tools. (5-15 yr)
- 10 • Improved modeling and remote sensing methods for scaling up from individual  
11 pathways and mixing zones to collective performance in watershed systems. (5-15 yr)
- 12 • Methods for using ground and remotely sensed observations together with models to  
13 gain better understanding of watersheds as hydrogeochemical units. Progress will  
14 lead to feedbacks to refine and improve the database and the models and to establish  
15 more cost effective methods for sustaining water quality and water availability. (5-15  
16 yr)
- 17 • Decision support systems and recommendations for sustainable management of water  
18 and land resources that account for changes in the environment, provided economy  
19 opportunities, and meet societal needs. (5-15 yr)
- 20

### 21 **Readiness and feasibility**

22 Numerous recent field and modeling studies have investigated the transport and  
23 accumulation of pollutants in streams and ponds, particularly in bed sediments, and  
24 subsequent movement of nutrients from land through coastal wetlands. Based on the  
25 field results, models are being developed that simulate how aeration, binding, pollution,  
26 and storm energy interact determining the contribution or river transport to pollution  
27 loading in coastal waters. Integrated field and modeling studies in hill-slope  
28 environments have elucidated flow paths and chemical reactions in the dynamic mixing  
29 zones near the soil surface. Water quality in streams and rivers is largely determined by  
30 chemical weathering and reactions along runoff flow paths down and through hillslopes  
31 and floodplains. Research producing better definition of these pathways and temporary  
32 storage locations; their physical, chemical and microbiological characteristics, is building  
33 the science needed to predict the impacts of land and its uses and of climate change on  
34 water quality and aquatic ecosystems. A strong base of data and information, including  
35 instrumentation and techniques for observation, sampling, and data dissemination, is  
36 being built by ongoing programs such as the National Water Quality Assessment  
37 (NAWQA), National Stream Quality Accounting Network (NASQAN), Water, Energy,  
38 and Biogeochemical Budgets (WEBB), and Toxics Substances Hydrology programs of  
39 the USGS and by similar programs of USDA and other federal agencies. The data and  
40 knowledge developed by these programs will serve as a sound foundation for  
41 development of research to answer the many remaining questions about the effects of  
42 water cycle variability and potential change on water quality. As the reliability of water  
43 cycle predictions and the resolution of climate change projections increase, the ability to  
44 assess the consequences of climate variability for the cycling of sediments, carbon,  
45 nitrogen and other biogeochemical constituents will increase.

## DISCUSSION DRAFT

1

### 2 **Research Needs**

3 Existing water cycle research largely utilizes estimates of precipitation over watersheds  
4 and stream flows recorded at gages. Simultaneously, basin water quality studies are  
5 largely based on data collected through field sampling over short periods (with the  
6 connections to precipitation and flow data often poorly defined). Measurement  
7 techniques, databases, and procedures for data analysis largely serve a particular  
8 discipline rather than support inter-disciplinary research. As a consequence, we lack  
9 reliable means to quantify associations between flow and water quality, evaluate threats  
10 to natural systems and the sustainability of water supplies, or determine the impacts of  
11 the many forms of global change on water, land, or people.

12  
13 Better and institutionalized coordination of inter-agency and inter-disciplinary activities  
14 and programs is needed to address this scattered approach. To make advances in our  
15 ability to effectively conduct watershed management assessments it is essential to  
16 maintain a geographically diverse, long-term experimental research and observational  
17 watershed programs.

18  
19 The first step is adoption of a common vision of the research strategy needed to make  
20 progress in resolving the driving issues. That strategy will include:

- 21 • Continued maintenance and upgrading of existing hydrologic and geochemical  
22 monitoring networks. Enhanced availability of the resulting data streams to  
23 researchers and managers. Examples include the USGS Hydrologic Benchmark  
24 Network, the USDA-ARS watershed network, and the USGS National Stream  
25 Quality Accounting Network, all of which are being used to gain better information  
26 on time trends and spatial patterns of water quality and quantity variability, and how  
27 natural processes and regional-scale anthropogenic activity drive the variation.
- 28 • Expansion of monitoring networks into areas identified as particularly sensitive to  
29 climate variations and changes.
- 30 • Greater use of chemical and isotopic tracers to identify important flow paths (either in  
31 terms of volumes of water transported, contributions to sedimentation, or sources of  
32 pollution plumes). Tracers can also be used to estimate residence times and predict  
33 movements and composition changes in pollution plumes.
- 34 • A more distributed monitoring approach to catchment's hydrology that captures both  
35 pathway flows and chemical and microbial characteristics of solid materials at  
36 boundaries. New instrumentation will need to be developed.
- 37 • Monitoring that records fluxes, properties of both the flow and the media, and  
38 changes to both systematically and in a framework with common spatial and temporal  
39 referencing.
- 40 • Hypothesis testing to determine how water flowing along characterized pathways  
41 changes in quality and how consequent feedbacks alter the properties of pathways  
42 themselves.
- 43 • Methods for scaling up from individual pathways and mixing zones to collective  
44 performance in watershed systems.

45

## DISCUSSION DRAFT

### 1 **Linkages**

#### 2 *National*

3 GWC activities addressing this question will liaise closely with other integrated  
4 CCSP/GCRP components, especially Carbon Cycle; Ecosystems; Land Use/Land Cover  
5 Change; Observations and Information Systems programs. US Government agency  
6 programs with which linkages are maintained include the USGS, USDA, EPA, USACE,  
7 Bureau of Reclamation, NSF and others.

8

#### 9 *International*

10 Critical international linkages for this research include programs under the IGBP  
11 (particularly the emerging land program), UNSECO and WMO (through Hydrology for  
12 Environment, Life and Policy), other programs under the United Nations including  
13 WCRP, FAO and others, and the Global Water Partnership.

14

15

## 16 **2.5 MORE EFFECTIVE WATER MANAGEMENT THROUGH WATER** 17 **CYCLE SCIENCE**

18

### 19 **QUESTION 5: WHAT ARE THE CONSEQUENCES, AT A RANGE OF** 20 **TEMPORAL AND SPATIAL SCALES, FOR HUMAN SOCIETIES AND** 21 **ECOSYSTEMS OF GLOBAL WATER CYCLE VARIABILITY AND** 22 **CHANGE? HOW CAN THE RESULTS OF GLOBAL WATER CYCLE** 23 **RESEARCH BE USED TO INFORM POLICY AND WATER RESOURCE** 24 **MANAGEMENT DECISION PROCESSES?**

25

### 26 **State of Knowledge**

27 Variability and changes in the water cycle lead to profound impacts on human societies  
28 and ecosystems, but many of the linkages between GWC changes and societal outcomes  
29 are not yet understood in the detail needed for formulation of policy and management  
30 responses. The ability to estimate and predict the quantity and timing of streamflow is  
31 essential to planning and operation of water supply, energy generation, irrigation, and  
32 transportation systems. Changes in water availability, water quality, and in some cases,  
33 water temperature, impact domestic, industrial, agricultural and recreational uses of  
34 water, as well as on habitat protection and conservation of ecosystem values. Planners  
35 and managers who must deal with these impacts will require information on the nature of  
36 the potential changes and consequent impacts. Extreme events, such as floods and  
37 droughts, have vividly demonstrated impacts on property, productivity and health. What  
38 will it mean locally, regionally, nationally and globally if the frequency and intensity of  
39 extreme event increases due to an intensification of the water cycle that some studies  
40 suggest? Water management issues frequently arise because of variability in the natural  
41 system or inadequate planning and management decisions by water resource managers or  
42 both. As global water cycle changes are added to the multiple stresses of other global  
43 changes that include a growing population and large-scale land use/cover change,  
44 “traditional strategies for managing water supply and related agricultural and natural

## DISCUSSION DRAFT

1 ecosystem issues are becoming inadequate, and improvements in prediction are becoming  
2 critical.” (Hornberger *et al.*, 2001)

3  
4 To achieve optimal use of the Earth’s vital freshwater resources under conditions of  
5 climatic change, scientifically based procedures are needed to assess the economic and  
6 environmental consequences of different water resource management strategies and  
7 policies at the farm, ranch, and regional river basin scales. Many individual impact  
8 studies have been undertaken, and significant progress has been made in some areas. For  
9 example, studies using projections of global warming to evaluate the impacts potential  
10 changes in the behavior of snow packs in the western U.S. have shown that current  
11 infrastructure and operating policies would be inadequate for dealing with the projected  
12 hydrologic regime. However, science is far from achieving the kind of comprehensive  
13 understanding needed to guide resource management and policy decisions.

14  
15 For instance, a critical need for decision support guidance exists in the area of hydrologic  
16 design and water resources planning. Virtually all design and planning is now based on  
17 the use of statistical frequency analysis, critical period analysis and related methods that  
18 design or plan for the future based on what amounts to extrapolation of historic  
19 observations. Examples include estimation of flood plain extents (typically using 100  
20 year recurrence intervals estimated solely from past observations), flood spillway design  
21 and redesign based on probable maximum flood analysis, and reservoir operating  
22 procedures based on, for instance, historic drought and/or flood occurrences. Long-term  
23 climate variability and potential change calls into question the practice of excluding  
24 information about likely future conditions that may well differ from what have been  
25 observed in the past. Although there have been numerous sensitivity studies  
26 demonstrating the likely impacts of climate change on the performance of water  
27 management systems, there are essentially no methods for including such information in  
28 practice. This disconnect is not limited to questions associated with long-term climate  
29 change. In the realm of seasonal to interannual forecasting, there now exists sufficient  
30 understanding to identify differences in short-term risk associated with, e.g., climate  
31 teleconnection information like ENSO, the Pacific Decadal Oscillation, and the North  
32 Atlantic Oscillation. This information is rarely included in water resources  
33 decisionmaking however, which instead typically utilizes seasonal operating methods that  
34 essentially weight all possible future conditions equally. The reason for these failures to  
35 incorporate scientific advances in planning and management of water resource systems is  
36 sometimes attributed to the “uncertainty” about future climate. However, water resource  
37 managers routinely incorporate other sources of uncertainty – about future demands, and  
38 hydrologic uncertainty within the range observed in the past – in their decision-making.  
39 Current water cycle research programs have the potential to break this logjam, but, as  
40 noted in the report by Hornberger *et al.* (2001), it will require implementation of a new  
41 knowledge transfer framework.

42  
43 More generally, water management decisions are often constrained by laws, agreements  
44 and societal pressures such as stringent flood control standards, federal and state  
45 environmental regulations, hydropower production schedules, and increasing water  
46 demands for irrigation, urban, industrial and recreation. Recent research results indicate

## DISCUSSION DRAFT

1 that water cycle information as well as predictions and analysis tools, can contribute to  
2 the decision-making capacities of water managers who must operate within these  
3 constraints. However, factors such as regulatory inflexibility, institutional structures, and  
4 time pressures make it difficult to change established management and decision systems  
5 to take maximum advantage of new products and tools. In addition, there is a mismatch  
6 between research products and operational information needs. Information is only of  
7 value (1) if decisionmakers understand the implications and uncertainties of the new  
8 information, (2) if they trust the information enough to incorporate it into their plans and  
9 decisions, and (3) if they have mechanisms for responding to the information.

10  
11 Efforts to eliminate the barriers between research and research users have been initiated  
12 and indicate that early collaborations and side-by-side demonstrations may be effective  
13 tools for speeding innovation. Collaboration is essential to ensure that learning occurs on  
14 both (or all) sides of the research development process. Decisionmakers need to be able  
15 to understand the value of the new information and how it is likely to improve their  
16 decisions. In addition, they must develop an understanding of uncertainty and its  
17 implications. They also need to understand the limits of water cycle science and the kinds  
18 of questions for which it can provide answers. Studies have shown that decisionmakers  
19 are more likely to use water cycle change information if they gain experience with the  
20 use of shorter-term hydro-climate predictions and are provided with mechanisms for  
21 incorporating the information into decision processes. Development of these  
22 mechanisms and experience in their use requires close interactions between the hydro-  
23 climate scientists and resource managers. For their part, scientists should learn from these  
24 interactions about the system of constraints under which decisionmakers operate. A  
25 better understanding of the applications environment can lead to modifications in  
26 research design that speed the adoption of results without detracting from the science.

27  
28 A major deficiency precluding the use of water cycle predictions is that many of the  
29 water cycle related forecasts are either temporally or spatially too coarse and lack  
30 accuracy. It is essential that interactions between decisionmakers and research scientists  
31 identify effective methods for providing this uncertain information in a tractable manner  
32 for decisionmakers. In some cases, more finely detailed climate information or  
33 predictions may be possible; in others, decision-makers may need to work with water-  
34 cycle researchers to reframe methods and issues in forms that can plausibly be addressed.  
35 The advances needed to overcome the above deficiencies and limitations have been  
36 outlined in the previous four questions.

37  
38 Another problem limiting our capability to fully assess the adequacy of water resources  
39 for the next ten to fifty years arises from the gaps in socio-economic data such as  
40 historical sequences and patterns of water use and consumption and their responses to  
41 historical ranges of price and water law scenarios, the impact of alternative water law and  
42 water trading strategies on regional development. While the development of models to  
43 assess vulnerabilities arising from changing demands patterns and climate variability  
44 have been developed elsewhere, the US is lagging other developed countries in this area.  
45 Creation and use of models that combine physical data and processes with behavioral and  
46 social factors and processes are hampered by the lack of an integrating framework for  
47 currently incommensurable measures. Furthermore, as Hornberger *et al.*, (2001) noted, a

## DISCUSSION DRAFT

1 research effort is needed that integrates advances in physical water cycle science with  
2 social science research to determine how the new information can be of value in policy  
3 makers and operational water managers.  
4

### 5 **Draft Research Questions**

- 6 • What are the consequences for existing water management infrastructure of  
7 variability and change in key water cycle variables such as evaporation and  
8 streamflow?
- 9 • How have water consumption patterns and trends changed as a result of major  
10 climatic events, technological innovations and economic conditions? How are  
11 patterns in water consumption likely to change as a result of projected changes in  
12 temperature, land cover/ land use, demographics, water policies, and economics?
- 13 • To what extent can changes in the management of water resources increase the  
14 adaptability of existing infrastructure to the effects of variability and change in key  
15 water cycle variables?
- 16 • What are the limits of accuracy for water cycle predictions at spatial and temporal  
17 scales required for water resource management?
- 18 • To what extent can improvements in seasonal precipitation and streamflow forecasts  
19 improve the management of water reservoirs?
- 20 • How can water cycle research products, such as the hydro-climatological projections  
21 and forecasts from global and regional climate models, remote sensing data streams,  
22 meteorological and hydrologic monitoring, and snow pack information, be deployed  
23 to improve policy decisions and water resource management?
- 24 • How can the procedures used to develop design statistics be modified to  
25 accommodate the non-stationarity of the climate?
- 26 • How can changes in the quality and quantity of water flowing within riparian and  
27 coastal environments arising from land management and policy decisions affect the  
28 provision of environmental services?
- 29 • What institutional and technical issues limit the use of hydroclimatic predictions by  
30 current water resource agencies? What technical or institutional changes are needed  
31 to encourage improved use of predictions of water cycle variables?
- 32 • How do variations in water-resource availability over a range of temporal and spatial  
33 scales affect the suitability of existing institutional arrangements, management  
34 practices and the ability to meet existing and planned water allocation commitments?  
35 How can institutions incorporate projections of water cycle change during the next  
36 century in their planning and operations?
- 37 • How can water cycle, climate information, and predictions be designed and  
38 communicated to be of the most relevance, usefulness, and benefit to decision and  
39 policy makers?  
40

## DISCUSSION DRAFT

### 1 **Products and Payoffs**

- 2 • Technology transfer and enhanced capability to produce operational streamflow  
3 forecasts over a range of spatial and temporal scales (days, weeks, months and  
4 seasons), for more effective water management decisions. (2-5 yr)
- 5 • Assessment reports on the status and trends of water flows, water uses, and storage  
6 changes for use in analyses of water availability. (2-5 yr).
- 7 • Improvements access and availability of water cycle and climatic monitoring data  
8 series and climate-change projections at the finest temporal and spatial scales  
9 available for use by land and water management agencies, with improved tools for  
10 analysis and use of these products. (2-5 yr)
- 11 • Report for stakeholders and decisionmakers on general conclusions,  
12 recommendations about information needs and research gaps regarding measures to  
13 mitigate climate change impact (2-4 yr).
- 14 • Decision support tools integrating historic climate variability, water cycle predictions  
15 and socio-economic analyses to produce planning and management tools that include  
16 these major decision factors. Decision-tree analyses of current decision-making in  
17 selected key water and land management institutions to reveal opportunities and  
18 limitations for the use of water cycle and climatic information. Forecast evaluations  
19 that link levels of error and uncertainty with potential consequences for specific water  
20 use sectors. (2-15 yr)
- 21 • Integrated models of total water use and consumption for incorporation into decision  
22 support tools that identify water scarce regions and efficient water use strategies. (5-  
23 15 yr).
- 24 • Development of tools and applications to enable the analysis of impacts of climate  
25 change on water resources and their management. Near term studies include  
26 assessments of climate change on 1) sea level rise impacts on drinking water systems  
27 in Florida; and 2) wastewater treatment costs in the Great Lakes region. (2-4 yr).
- 28 • Decision support tools for water management decisions such as web-based calculator  
29 for estimating soil retention potential of riparian buffer strips in different locations,  
30 soil types, and plant communities. (2-5 yr).
- 31 • Watershed and River System Management decision support systems to help resource  
32 managers achieve an equitable balance among competing uses: municipal, fish and  
33 wildlife, agricultural, recreational, hydropower, and water quality. Payoffs include  
34 improved methods and tools for integrating meteorological data (both *in situ* and  
35 remotely sensed), hydrologic observations, and watershed and river models, for  
36 water-supply management simulations and decision-making for major river basins in  
37 the western U.S. (5-15 yr).
- 38 • Observing system simulation and forecast demonstrations using advanced watershed  
39 and river system management models and decision support systems, to facilitate  
40 acceptance and utilization of these advanced technologies for improved hydropower  
41 production and river system management. (5-15 yr)

42

## DISCUSSION DRAFT

### 1 **Readiness and Feasibility**

2 This area of research is ready for development and implementation, particularly in the  
3 area of decision support tool development and application. Seasonal forecasts with  
4 useful skill are available for the western USA to be used in demonstration projects. Some  
5 studies demonstrating the usefulness of these forecasts and how they need to interact with  
6 decision support tools have been carried out and show considerable promise. Results to  
7 date have shown that the methodologies and priorities are strongly regional and require  
8 continued development on a regional basis.

9  
10 Collaborative exercises were developed whereby water managers and water users test  
11 experimental products developed by the Water Cycle program in parallel with normal  
12 operations to evaluate product utility. In particular, experimental Land Data Assimilation  
13 System (LDAS) products have been developed that will be compared with traditional  
14 algorithms used to determine releases from multipurpose reservoirs in the Upper  
15 Columbia Basin. Other test sites include the Madison and Jefferson headwaters basins of  
16 the Upper Missouri. In addition, a framework for scientist-stakeholder interaction to  
17 improve water management was designed for the headwaters of the Red River in  
18 southwest Oklahoma in conjunction with the Hydrology for Environment, Life and  
19 Policy program.

### 21 **Research Needs**

22 In order to make accurate assessments of the consequences of GWC variability and  
23 change for water resources, it will be necessary to integrate data from a broad range of  
24 sources and disciplines. Frameworks such as data assimilation and fusion techniques,  
25 Geographical Information System capabilities, and decision support tools are needed to  
26 integrate this information for water resource managers. It will also be necessary to  
27 inventory existing data sources and regional and sector studies, especially for data for  
28 which regional, national, and global repositories are rare or non-existent, such as for  
29 water demand, diversion, use and consumption. A more scientific basis and method for  
30 estimating and predicting water demands is a particularly pressing need.

31  
32 A critical need for decision support guidance exists in the area of hydrologic design and  
33 water resources planning. Virtually all design and planning is now based on the use of  
34 critical period analysis and related methods. Examples include estimation of flood plain  
35 extents (typically using 100 year recurrence intervals estimated solely from past  
36 observations), flood spillway design and redesign based on probable maximum flood  
37 analysis, and reservoir operating procedures based on, for instance, historic drought  
38 and/or flood occurrences.

39  
40 Although there have been numerous sensitivity studies demonstrating the likely impacts  
41 of climate variability and change on the performance of water management systems,  
42 there are essentially no methods for including such information in an operational system.  
43 In the realm of seasonal to interannual forecasting, there now exists sufficient  
44 understanding to identify differences in short-term risk associated with teleconnection  
45 patterns such as ENSO and the Pacific Decadal Oscillation. However, this information is



## DISCUSSION DRAFT

1 rarely included in water resources decision-making. Current water cycle research  
2 programs have the potential to connect researchers with users, but, as noted in  
3 Hornberger *et al.* (2001), it will require implementation of a new knowledge transfer  
4 framework. Furthermore, for scientific information to have an impact, it must be  
5 adaptable and timely in the user's decision-making process.  
6

### 7 **Linkages**

#### 8 *National*

9 To address the issues that are raised when the basic science research and results begin to  
10 be translated into innovations in water management there will be a need to extend and  
11 enhance the ongoing linkages between the several federal programs that are addressing  
12 and improving the climate-society connections. Linkages between NOAA's Hydrology  
13 research efforts and stream flow forecasting centers, and Regional Integrated Sciences  
14 and Assessments teams (RISA) with NASA's Regional Earth Science Applications  
15 Centers (RESACs), the National Science Foundations (NSF) Science/Tech Centers, the  
16 United States Geological Survey, the Bureau of Reclamation, the Department of Energy's  
17 (DOE) Accelerated Climate Prediction Initiative (ACPI) initiative, USDA-Natural  
18 Resources Conservation Service Hydrology research, and the United States Geological  
19 Survey are developing and will be further coordinated through the Water Resources  
20 Research Coordinating Committee. Potential for new collaboration exists between the  
21 Land, Sea, and Space Grant Extension and Research entities under the auspices of the  
22 recently developed Earth Grant initiative.  
23

#### 24 *International*

25 Bilateral collaboration is occurring between the USA and Canada and Mexico through  
26 studies with the International Joint Commission (IJC) related to the effects of climate  
27 change on water resources. In addition, the water cycle program will collaborate with the  
28 WCRP/ IGBP/ IHDP/ Diversitas Joint Water Project, with the WMO's Hydrology and  
29 Water Resources Programme, and with UNESCO through its International Hydrology  
30 Program and the Hydrology for Environment, Life and Policy (HELP), and the Dialogue  
31 on Water and the third World Water Forum. Also, the global water cycle program will  
32 contribute to work through bilateral treaties, particularly with countries like Japan, that  
33 have placed a priority on Water Cycle research.  
34  
35

## 36 **3. Summary**

37 Important and urgent problems face society as a result of decreasing access to fresh water  
38 arising to possible climate change impacts, increasing water consumption, changing land  
39 use and pollution effects. These problems are the Global Water Cycle are numerous and  
40 all interrelated through the physical processes that connect the storage of water in  
41 individual reservoirs and control the fluxes between reservoirs. Proper management of  
42 this resource is essential for all societies. The potential for better management lies in  
43 anticipatory and adaptive actions based on reliable medium and long term predictions and  
44 projections regarding the availability and use of water. Research on the Global Water

## DISCUSSION DRAFT

1 Cycle is an essential contribution to the development of such predictive systems and  
2 associated adaptive strategies.

3  
4 Some of the challenges facing the global water cycle community will not be fully  
5 resolved in this decade. Well thought out, stable and adequately funded programs are  
6 needed to ensure that the activities will produce the needed results for the CCRI at the  
7 same time as they are developing longer term objectives connected with the USGCRP.  
8 This is possible because of the readiness of the science to take advantage of the new  
9 observational systems that are coming on line and to utilize the new modeling capability  
10 that is now becoming accessible to the water science community.

11  
12 Water cycle research provides an excellent programmatic laboratory for exploring the  
13 potential interactions between scientists and land and water managers who deal with  
14 issues such as land, irrigation and fertilizer use and coastal zone protection. As this  
15 aspect of the program grows, the priorities for water cycle research will be expanded. In  
16 order to place the water cycle program in a position to deal with such growth issues, the  
17 physical and natural sciences must be linked with social, legal and political issues.

18  
19 In order to achieve a primary goals of the CCSP, namely reducing the uncertainties in the  
20 global climate predictions/ projections, it is essential that the interactions between the  
21 global water cycle and the climate system be more fully understood and be more  
22 effectively simulated. The time is right to advance our understanding of many aspects of  
23 the global water cycle. Some federal programs related to land surface forcing have  
24 matured and are contributing many new insights regarding land surface process  
25 understanding and ways to simulate these processes in models, while other programs are  
26 receiving significant motivation and data sources through new technologies such as  
27 advanced satellites sensors and data assimilation systems. Global water cycle issues need  
28 to be addressed at a number of time and space scales. Hydrologic modeling is needed at  
29 catchment and continental scales supplemented by a capability to scale up to regional and  
30 continental scales. Atmospheric observational and modeling programs are needed to  
31 address the role of the global water cycle in climate change. A holistic perspective of the  
32 global water cycle needs to be developed through a strong Global Water Cycle program  
33 so the entire system can be understood and the interconnectedness of its various  
34 components can be properly addressed.

35

## DISCUSSION DRAFT

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