

## Data Sheet

<b>USAID Mission:</b>	Ecuador
<b>Program Title:</b>	Democracy and Conflict Prevention
<b>Pillar:</b>	Democracy, Conflict and Humanitarian Assistance
<b>Strategic Objective:</b>	518-012
<b>Proposed FY 2004 Obligation:</b>	\$100,000 DA; \$8,000,000 ESF
<b>Prior Year Unobligated:</b>	\$0
<b>Proposed FY 2005 Obligation:</b>	\$8,000,000 ESF
<b>Year of Initial Obligation:</b>	FY 2001
<b>Year of Final Obligation:</b>	2006

**Summary:** Public support and confidence in Ecuador's democracy is alarmingly low as shown by the first Democratic Values Survey completed in November 2001 by the University of Pittsburgh. To help reverse this situation and prevent a return to non-democratic government, USAID seeks to increase support for the democratic system by strengthening the justice system, building democratic local governance, fighting corruption, and supporting free and fair elections.

### Inputs, Outputs, Activities:

#### FY 2004 Program:

Justice Reform (\$1,966,764 ESF). USAID will fund technical assistance, training, observational trips and grants to: 1) strengthen the National Justice Reform Commission; 2) support the accusatory criminal justice system and further reforms to the Criminal Procedures Code; 3) promote justice reform through public-private partnerships; 4) lobby for justice reforms and judicial independence and impartiality with support of the Civil Society Justice Coalition; 5) assist the new Presidency of the Supreme Court to implement the judicial evaluation system and strengthen the Internal Affairs Office in the National Judicial Council; and 6) promote judicial security, including elimination of antiquated legislation and judicial and legal monitoring. Fundacion Esquel is the prime implementer for justice activities. Hacia La Seguridad is the prime implementer for judicial security efforts.

Local Democratic Governance (\$2,274,765 ESF, \$100,000 DA). USAID will expand technical assistance and training for citizen participation and oversight, local government strengthening, and decentralization policy programs to 16 additional local governments in 2004 and consolidate previous work in 15 local governments. USAID will continue supporting the Shuar Federation, an indigenous organization, to strengthen local governance services. Associates in Rural Development (ARD) and CARE are the prime implementers.

Anti-corruption (\$3,283,707 ESF). USAID will fund technical assistance, training, and grants to local organizations to support the Government of Ecuador (GOE) and civil society to: 1) increase transparency and accountability of key democratic institutions and processes; 2) improve the investigative and sanction capacities of institutions legally empowered to carry out such functions; 3) strengthen the ethical conduct and democratic values of public officials and citizens; and 4) support the Government of Ecuador's Anti-Corruption System to define anti-corruption policies, coordinating mechanisms, and major anti-corruption actions by the Ecuadorian government and civil society. Management Systems International (MSI) is the prime implementer.

Elections Support (\$474,764 ESF). USAID will support domestic observation efforts of local elections scheduled for October 2004, including civic campaigns, quick vote counts, mobilization of volunteers to observe elections, monitoring of campaign spending, and promotion of future electoral reforms. USAID will provide technical assistance, training, and commodities to strengthen the Electoral Court through the Consortium for Elections and Political Party Support Cooperative Agreement and other mechanisms. Participacion Ciudadana is the prime implementer.

**FY 2005 Program:**

Justice reform (\$2,654,390 ESF). USAID will fund a second evaluation of the application of the Criminal Justice Reform program. Based on the results, USAID will adjust its support for: 1) application of the criminal accusatory system; 2) implementation of a public defense system; 3) expanding public-private partnerships to strengthen justice reform; and 4) civil society groups to promote justice reforms and judicial independence. Implementing agency: To be selected through a competitive bid process.

Local democratic governance (\$1,891,030 ESF). USAID plans to consolidate citizen participation and oversight, local governance strengthening, and decentralization policy programs in the 16 local governments selected in 2004 with additional technical assistance, training, and grant funds. Implementing agency: To be selected through a competitive bid process.

Anti-corruption (\$2,348,492 ESF). USAID will continue the implementation of anti-corruption activities to promote transparency and to reduce corruption through the provision of technical assistance, training, and grants to public and civil society organizations. USAID will also maintain support for the implementation of the GOE Anti-Corruption System. Implementing agency: To be selected through a competitive bid process.

Elections support (\$1,106,088 ESF). USAID will support election observation and monitoring of campaign spending and civic campaigns for the 2006 National Elections and the Elections Tribunal. USAID plans to fund the third series of the Democratic Values Survey to measure the pulse of democracy and bring about national and local democratic dialogues. Implementing agency: To be selected through a competitive bid process.

**Performance and Results:** USAID support is critical to improving criminal justice reform, building local governance, fighting corruption, and promoting the effective participation of citizens in oversight processes. USAID funded major efforts that have gradually enhanced the application of the new Criminal Procedures Code and promoted the participation of a civil society justice coalition in defending judicial independence and demanding transparency. USAID consolidated its Local Governments Strengthening Program in fifteen municipalities and facilitated the creation in January 2003 of a presidential Decentralization Commission that included key public sector agencies concerned with decentralization of public functions. USAID non-governmental organization (NGO) partners played a major role in the definition of GOE anti-corruption plans and mechanisms. USAID developed a comprehensive design and selection process to intensify its fight against corruption in 2004. With USAID support, the local NGO Participacion Ciudadana has become a major actor in the promotion of civic and democratic practices nationwide.

By the end of Fiscal Year 2006 USAID expects: significant improvements in Ecuador's accusatorial criminal justice system; a more independent judiciary; more advanced democratic local governance and decentralization; less corruption; and stronger democratic values among the citizenry. The Government of Ecuador's Interagency Commission will have improved Ecuador's capacity to execute more effectively a reformed Criminal Procedure Code. The Judiciary will be encouraged to act more independently following implementation of a judicial evaluation system of its judges. Successful practices in local governance, popular participation, and decentralization will be expanded to additional localities. The Ecuadorian government and civil society efforts to promote the implementation of the Ecuadorian Anti-Corruption System will be advanced. Furthermore, Participacion Ciudadana will again have played a major role during the 2004 sub-national elections and in subsequent election activities.

## US Financing in Thousands of Dollars

Ecuador

518-012 Democracy and Conflict Prevention	DA	ESF
<b>Through September 30, 2002</b>		
Obligations	6,157	13,390
Expenditures	5,592	2,427
Unliquidated	565	10,963
<b>Fiscal Year 2003</b>		
Obligations	400	8,251
Expenditures	919	7,393
<b>Through September 30, 2003</b>		
Obligations	6,557	21,641
Expenditures	6,511	9,820
Unliquidated	46	11,821
<b>Prior Year Unobligated Funds</b>		
Obligations	0	0
<b>Planned Fiscal Year 2004 NOA</b>		
Obligations	100	7,923
<b>Total Planned Fiscal Year 2004</b>		
Obligations	100	7,923
<b>Proposed Fiscal Year 2005 NOA</b>		
Obligations	0	8,000
Future Obligations	0	1,200
Est. Total Cost	6,657	38,764