

UNITED STATES OF AMERICA
before the
SECURITIES AND EXCHANGE COMMISSION

SECURITIES EXCHANGE ACT OF 1934
Rel. No. 56826 / November 20, 2007

Admin. Proc. File No. 3-12639

In the Matter of

DAVID A. ZWICK

Respondent.

NOTICE THAT INITIAL DECISION HAS BECOME FINAL

The time for filing a petition for review of the initial decision in this proceeding has expired. No such petition has been filed and the Commission has not chosen to review the decision on its own initiative.

Accordingly, notice is hereby given, pursuant to Rule 360(d) of the Commission's Rules of Practice, 1/ that the initial decision of the administrative law judge 2/ has become the final decision of the Commission. The order contained in that decision is hereby declared effective. The initial decision barred David A. Zwick from association with any broker or dealer.

For the Commission by the Office of the General Counsel, pursuant to delegated authority.

Nancy M. Morris
Secretary

1/ 17 C.F.R. § 201.360(d).

2/ David A. Zwick, Initial Decision Rel. No. 336 (October 25, 2007), ___ SEC Docket ___.