# Biocomplexity in the Environment (BE): Integrated Research and Education in Environmental Systems

- Dynamics of Coupled Natural and Human Systems (CNH)
- Coupled Biogeochemical Cycles (CBC)
- Genome-Enabled Environmental Science & Engineering (GEN-EN)
- Instrumentation Development for Environmental Activities (IDEA)
- Materials Use: Science, Engineering, & Society (MUSES)

# **Program Solicitation**

# NSF 02-167

DIRECTORATE FOR GEOSCIENCES

DIRECTORATE FOR BIOLOGICAL SCIENCES

DIRECTORATE FOR COMPUTER AND INFORMATION SCIENCE AND ENGINEERING

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DIRECTORATE FOR SOCIAL, BEHAVIORAL, AND ECONOMIC SCIENCES

OFFICE OF INTERNATIONAL SCIENCE AND ENGINEERING

OFFICE OF POLAR PROGRAMS

#### **FULL PROPOSAL DEADLINE(S):**

November 19, 2002 Deadline for CNH and IDEA

December 17, 2002 Deadline for GEN-EN

January 28, 2003 Deadline for CBC

March 4, 2003 Deadline for MUSES



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# **SUMMARY OF PROGRAM REQUIREMENTS**

#### **GENERAL INFORMATION**

**Program Title:** Biocomplexity in the Environment (BE): Integrated Research and Education in Environmental Systems

# **Synopsis of Program:**

This special competition promotes comprehensive, integrated investigations of environmental systems using advanced scientific and engineering methods. The concept of biocomplexity stresses the richness of biological systems and their capacity for adaptation and self-organizing behavior. By placing biocomplexity studies in an environmental context, this competition emphasizes research with the following characteristics: (a) a high degree of interdisciplinarity; (b) a focus on complex environmental systems that include interactions of non-human biota or humans; and (c) a focus on systems with high potential for exhibiting non-linear behavior. In this fourth year of a multi-year effort, five topical areas will be emphasized: 1. <u>Dynamics of Coupled Natural and Human Systems (CNH)</u>; 2. <u>Coupled Biogeochemical Cycles (CBC)</u>; 3. <u>Genome-Enabled Environmental Science and Engineering (GEN-EN)</u>; 4. <u>Instrumentation Development for Environmental Activities (IDEA)</u>; 5. <u>Materials Use: Science, Engineering, & Society (MUSES)</u>.

In all areas, quantitative modeling, simulation, analysis, and visualization methods are emphasized, as well as integration of research and education and a global perspective. Consistent with the guidance provided in each of the five topical areas, individuals or small groups may submit proposals to conduct research projects or exploratory and planning activities. This comprehensive approach to research on biocomplexity in the environment is expected to improve science-based predictive capabilities for decision-making.

# **Cognizant Program Officer(s):**

- For contacts in *Dynamics of Coupled Natural and Human Systems*, see www.geo.nsf.gov/be-03.htm#cnh.
- For contacts in *Coupled Biogeochemical Cycles*, see <a href="https://www.geo.nsf.gov/be-03.htm#cbc">www.geo.nsf.gov/be-03.htm#cbc</a>.
- For contacts in *Genome-Enabled Environmental Science & Engineering*, see www.geo.nsf.gov/be-03.htm#genen.
- For contacts in *Instrumentation Development for Environmental Activities*, see www.geo.nsf.gov/be-03.htm#idea.

- For contacts in *Materials Use: Science, Engineering, & Society*, see www.geo.nsf.gov/be-03.htm#muses.
- For contacts about the integrative element on *Education*, see <u>www.geo.nsf.gov/be-</u>03.htm#edu.
- For contacts about the integrative element on *Global Perspective*, see www.geo.nsf.gov/be-03.htm#gp.
- For contacts about the integrative element on *Quantitative Approaches*, see www.geo.nsf.gov/be-03.htm#qa.

# **Applicable Catalog of Federal Domestic Assistance (CFDA) Number(s):**

- 47.074 --- Biological Sciences
- 47.070 --- Computer and Information Science and Engineering
- 47.076 --- Education and Human Resources
- 47.041 --- Engineering
- 47.050 --- Geosciences
- 47.049 --- Mathematical and Physical Sciences
- 47.078 --- Office of Polar Programs
- 47.075 --- Social, Behavioral and Economic Sciences

#### **ELIGIBILITY INFORMATION**

- Organization Limit: None
- PI Eligibility Limit: None
- Limit on Number of Proposals: None

#### AWARD INFORMATION

- Anticipated Type of Award: Standard or Continuing Grant
- Estimated Number of Awards: 30-50 of which approximately 20-30 will be for research projects and 10-20 will be for exploratory, conference, or planning activities (total of five topical areas)
- **Anticipated Funding Amount:** Approximately \$36 million (total of five topical areas), pending availability of funds.

# PROPOSAL PREPARATION AND SUBMISSION INSTRUCTIONS

# A. Proposal Preparation Instructions

- Full Proposals: Deviations From Standard Preparation Guidelines
  - The program announcement/solicitation contains deviations from the standard Grant Proposal Guide (GPG) proposal preparation guidelines. Please see the full program announcement/solicitation for further information.

# B. Budgetary Information

- **Cost Sharing Requirements:** Cost Sharing is not required.
- Indirect Cost (F&A) Limitations: Not Applicable.
- Other Budgetary Limitations: Other budgetary limitations apply. Please see the full program announcement/solicitation for further information.

# C. Deadline/Target Dates

- Letters of Intent (optional): None
- Preliminary Proposals (optional): None
- Full Proposal Deadline Date(s):

November 19, 2002 Deadline for CNH and IDEA

December 17, 2002 Deadline for GEN-EN

January 28, 2003 Deadline for CBC

March 4, 2003 Deadline for MUSES

# D. FastLane Requirements

• FastLane Submission: Required

- FastLane Contact(s):
  - Brian Dawson, Computer Specialist, Directorate for Geosciences, Division of Earth Sciences, telephone: 703.292.4727, e-mail: <a href="mailto:bdawson@nsf.gov">bdawson@nsf.gov</a>.
  - Florence Rabanal, Fastlane Project Coordinator, Directorate for Mathematical and Physical Sciences, telephone: 703.292.8808, e-mail: <a href="mailto:mpsoadfl@nsf.gov">mpsoadfl@nsf.gov</a>.

• Philip Johnson, Computer Specialist, Directorate for Social, Behavioral, and Economic Science, Division of Behavioral and Cognitive Sciences, telephone: 703.292.8740, e-mail: <a href="mailto:pxjohnso@nsf.gov">pxjohnso@nsf.gov</a>.

# PROPOSAL REVIEW INFORMATION

• **Merit Review Criteria:** National Science Board approved criteria. Additional merit review considerations apply. Please see the full program announcement/solicitation for further information.

# AWARD ADMINISTRATION INFORMATION

- **Award Conditions:** Additional award conditions apply. Please see the program announcement/solicitation for further information.
- **Reporting Requirements:** Standard NSF reporting requirements apply.

# I. INTRODUCTION

Biocomplexity refers to the dynamic web of often surprising interrelationships that arise when components of the global ecosystem--biological, physical, chemical, and the human dimension-interact. Investigations of <u>Biocomplexity in the Environment</u> are intended to provide a more complete understanding of natural processes and cycles, of human behaviors and decisions in the natural world, and of ways to use new technology effectively to observe the environment and sustain the diversity of life on Earth. By placing biocomplexity studies in an environmental context, this competition emphasizes research with the following characteristics: (a) a high degree of interdisciplinarity; (b) a focus on complex environmental systems that includes interactions of non-human biota or humans; and (c) a focus on systems with high potential for exhibiting non-linear or highly coupled behavior with other systems.

Emphasis is also placed on developing the people and tools needed to advance biocomplexity studies. To establish and strengthen interdisciplinary areas of investigation, new communities of investigators need to be formed. This can be accomplished by bringing members of disparate disciplines into teams, by developing new methodologies and expertise, and by reaching beyond the borders of the United States for partners in inquiry. In the process, the next generation of researchers learns to work in diverse teams, cross disciplinary boundaries, and use advanced sensing and monitoring, communication and information technologies to work across many scales of time and space. Plans for the development of new instrumentation and novel modes of access to information are also included.

Five interdisciplinary areas will be emphasized and supported in this competition, pending availability of funds. These areas are:

- <u>Dynamics of Coupled Natural and Human Systems (CNH)</u>, promoting quantitative, interdisciplinary analyses of relevant human and natural system processes and the complex interactions among human and natural systems at diverse scales, with special emphasis given to studies of natural capital; landscapes and land use; and uncertainty, resilience, and vulnerability.
- <u>Coupled Biogeochemical Cycles (CBC)</u>, focusing on the interrelation of biological, geochemical, geological, and physical processes at all temporal and spatial scales, with particular emphasis on understanding linkages between chemical and physical cycles and the influence of human and other biotic factors on those cycles.
- <u>Genome-Enabled Environmental Science and Engineering (GEN-EN)</u>, encouraging the integrated use of genomic and computational approaches to gain novel insights into environmental questions and problems.
- <u>Instrumentation Development for Environmental Activities (IDEA)</u>, supporting the development of instrumentation and software that takes advantage of microelectronics, photonics, telemetry, robotics, chemical and physical sensing systems, modeling, data mining, and analysis techniques to bring recent laboratory instrumentation advances to bear on the full spectrum of environmental biocomplexity questions.

• Materials Use: Science, Engineering, and Society (MUSES), supporting projects that study reducing adverse human impact on the total, interactive system of resource use, the design and synthesis of new materials with environmentally benign impacts on biocomplex systems, as well as maximizing the efficient use of individual materials throughout their life cycles.

#### II. PROGRAM DESCRIPTION

# **Program Description Contents**

- A. <u>Integrative Elements</u>
  - 1. Quantitative Approaches
  - 2. Education
  - 3. Global Perspective
- B. Topical Areas
  - 1. Dynamics of Coupled Natural and Human Systems (CNH)
  - 2. Coupled Biogeochemical Cycles (CBC)
  - 3. Genome-Enabled Environmental Science and Engineering (GEN-EN)
  - 4. Instrumentation Development for Environmental Activities (IDEA)
  - 5. Materials Use: Science, Engineering, and Society (MUSES)

#### A. INTEGRATIVE ELEMENTS

Quantitative approaches and education activities should be included in all projects, and international partnerships are strongly encouraged.

- An integrated, quantitative, systems-level method of inquiry is essential in biocomplexity studies.
- Education must be addressed and integrated effectively with all research plans. Educational activities provide learning opportunities for students at appropriate levels (ranging from grades K-12 through graduate school), and the general public.
- A global perspective is expected in all proposals. Wherever appropriate and practical, specific international collaborations and networks for research and education are strongly encouraged.

- 1. Quantitative Approaches. Due to the complex nature of systems under investigation, treatment of non-linearities, feedback processes, and integration across temporal or spatial scales is often necessary. Projects must use appropriate quantitative methods, and teams should include individual(s) with demonstrated expertise in the quantitative methods to be used. Quantitative methods may include: conceptual, mathematical or computational models; computer simulation; artificial intelligence techniques; hypothesis testing; statistics; visualization; or database development. Mathematical models must include estimates of uncertainty, and experiments should assess power and precision.
- **2.** Education. Competitive projects must integrate research and education. Those benefiting from educational experiences can include participants (such as undergraduates, graduate students, teachers, and postdoctoral associates) and individuals beyond those directly involved in the project. Investigators are encouraged to include students as active participants on interdisciplinary teams. Informal education channels, such as science centers, aquariums, and similar facilities, that are easily accessible and attractive to the public may be used to help enhance the public's ability to deal with complex environmental information and make informed decisions about the environment. Educational efforts at the K-12 level should promote the acquisition of scientific inquiry skills and take advantage of technology and use it appropriately.

Examples of specific education plans, as well as other activities likely to demonstrate broader impacts, are available electronically at <a href="www.nsf.gov/pubs/2002/nsf022/bicexamples.pdf">www.nsf.gov/pubs/2002/nsf022/bicexamples.pdf</a>. Some specific examples of products that will benefit society include exhibits, databases, computer-based simulations, or kits that could be used by students or the public to collect and analyze data.

Reviewers will be asked to consider and evaluate the educational activities. Please refer to the section, "Integration of Research and Education" in Section VI.A of this solicitation.

Consistent with NSF's emphasis on the integration of research and education in projects solicited and funded by the Foundation, grantees are encouraged to include a section that describes the educational implications of their research work in the papers they submit for publication.

**3.** *Global Perspective.* Because environmental processes transcend national boundaries, collaborations between U.S. investigators and foreign counterparts are encouraged wherever appropriate. Many research projects offer excellent opportunities for students at US and foreign institutions to gain experience in the conduct of research in other countries. NSF awards are normally limited to support of the U.S. portion of the collaboration. In the case of some developing countries, limited funds may be available to support the involvement of the foreign collaborator. Investigators may wish to consult with the cognizant program officers for Global Perspectives listed in the section on contact information. For information about NSF support for international collaborative programs, see NSF 00-138.

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#### **B. TOPICAL AREAS**

Proposals must be focused on biocomplexity questions in one of the following five topical areas:

# 1. Dynamics of Coupled Natural and Human Systems (CNH)

This topical area focuses on the complex interactions among human and natural systems at diverse spatial, temporal, and organizational scales. To be competitive for support, teams of investigators drawn from natural, social, and mathematical sciences, engineering, and education must examine the dynamics of appropriate natural and human systems as well as the interactions that link those human and natural systems.

Competitive projects in the CNH topical area will be those examining problems that draw on and show promise of enhancing theoretical insights about human and natural system processes and their interactions.

The interdisciplinary teams undertaking these projects must have appropriate expertise from scientific and engineering disciplines that study those human and natural system processes. They must also have appropriate expertise to undertake proposed quantitative analyses, educational projects, and fundable international collaborations (where appropriate).

Proposals may be submitted on any topic associated with the Dynamics of Coupled Natural and Human Systems, but projects focusing on natural capital; landscapes and land use; and uncertainty, resilience, and vulnerability are of particular interest. Among topics that could be appropriate for consideration in the competition are ecosystem services; social valuation of the natural environment; maintenance of biodiversity; propagation and control of invasive species; land-use and land-cover change; interplay of the built environment, natural resource use, and conservation; the dynamics of uncertainty, resilience, and vulnerability of social institutions to environmental change, the resilience and vulnerability of biophysical systems to humangenerated stresses; and the role of scientific information in environmental justice.

Examples of research projects that have been funded through this competition include:

- Development of an integrated model that links economic models of urban development with models of land-cover change and ecosystem processes in order to assess relationships between urban development and species diversity.
- · Cross-national research that explores spatial complexity, the value of natural capital in grazed ecosystems, the costs of complexity loss due to fragmentation, and the trade-offs between economic inputs and ecological complexity.
- · Integration of circulation, population, habitat, and socioeconomic models to assess how biological reserves function in a coral reef ecosystem, how different stakeholder groups influence the operation of the reserves, and the efficacy of different reserve designs in promoting both local economic development and ecosystem preservation.

Projects may be totally independent activities, or they may be conducted in association with existing projects or activities, including Long-Term Ecological Research sites, NSF-supported Human Dimensions of Global Change centers and teams, NSF Science and Technology Centers or NSF Engineering Research Centers, or similar venues. If the proposed activity is associated with other activities currently supported by NSF or other funders, the project description should make clear how the proposed work is different from lines of inquiry and activities for which support has already been acquired. A letter from the director of the ongoing activity or center agreeing to the proposed project should be included as Supplementary Documentation (Section I).

The approximately \$7.5 million expected to be available in this topical area will be used to support multi-investigator research and education projects and programs of three to five years duration. Support for any award will not exceed a cumulative total of \$2 million for the duration of the award. The program is seeking to award a diverse portfolio of projects that span a range of size and effort. A very limited number of small awards (not to exceed \$100,000) may be funded for developmental activities such as workshops or symposia; proof-of-concept studies; and high-risk exploratory research. In CNH, NSF anticipates making five to eight major awards and two to four developmental awards.

# 2. Coupled Biogeochemical Cycles (CBC)

One of the central challenges of environmental research is understanding how the physical, chemical, geological, and biological processes of Earth's natural systems are functionally interrelated. The study of coupled biogeochemical cycles across wide spatial and temporal scales enables the development of quantitative knowledge and integrative models for the cycling of water, carbon and other bioactive elements. These research efforts illuminate the complex web of material and energetic pathways connecting environmental processes to the dynamics of life on Earth. Such research will also provide the basis for understanding the co-evolution of Earth and its biosphere and the limits of environmental predictability.

The CBC topical area stresses the systems approach to understanding interrelationships among earth system cycles at both the molecular and macroscopic levels. Proposals should address linkages between two or more biogeochemical cycles together with the underlying biology and ecology, the fundamental chemical reactions and physical processes that drive them. While all proposals should focus on complex interactions between organisms and elemental cycling, investigators are also encouraged to make provision for the study of associated abiotic phenomena when appropriate.

Some examples of areas of interest include, but are not limited to:

Interrelationships of biolimiting elements in terrestrial, atmospheric, polar, freshwater and marine environments, and the feedback relationships between biolimiting element cycling and ecological dynamics, population genetics, ecosystem structure and productivity, and the evolution of species; studies of biological, geochemical and physical mechanisms regulating biogeochemistry may focus on the present or the geologic past.

- · Intersections of the hydrological and ecological sciences, for example, climate alteration by terrestrial vegetation, ecosystem and hydrological functions of riparian zones, hydrological controls on aquatic ecosystems, and ecosystem vulnerability and resilience to extreme hydrological events.
- The effect of soil physical and chemical properties on rhizosphere functioning, alteration of the rhizosphere by changes in land use, and the responses of soil processes to global change.
- · Innovative investigations into the coupling of chemical and physical processes or the kinetics and mechanisms of complex chemical reaction pathways that are critically important to achieving a quantitative, mechanistic understanding of a biogeochemical system.

Proposals may be submitted by single investigators or by teams, but must be highly interdisciplinary. Proposals may be submitted for projects up to 5-year duration. No project will be supported for more than \$2 million. A limited number of small awards (not to exceed \$100,000) may be funded in response to proposals for workshops or symposia, meetings to build international or interdisciplinary teams and proof of concept studies, small scale pilot studies, or high-risk exploratory research. Approximately \$11 million is expected to be available in this topical area to support 8-10 large awards and 4-6 small awards.

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#### 3. Genome-Enabled Environmental Sciences and Engineering (GEN-EN)

The GEN-EN topical area invites the submission of proposals which use scientific and/or engineering approaches to develop and apply genomic information and tools to further our understanding of how organisms interact with (adjust to and modify) their environment. Hypothesis-based research projects are especially welcome. Proposals may address any of a wide range of questions in the fields of ecology, evolutionary biology, physiological ecology, microbial ecology, and engineering, as long as genomic approaches are applied in a logical and necessary manner.

Individual organisms possess powerful but limited capabilities to respond to changing environmental conditions. While we have some understanding of phenotypic responses, and in some cases control mechanisms for relevant genes, few studies have addressed these problems at a genome-wide scale. Fewer still have attempted to use genomic approaches to understand an organism's impact on its environment. Additionally, while populations and species possess an even greater collective diversity of responses than do individuals, applying genomic approaches to understand processes occurring at population through ecosystem scales remains a major challenge. Genomic approaches that connect these scales of analysis offer the opportunity to gain novel insights into environmental issues and problem solving.

Competitive GEN-EN proposals should integrate biological research with research that develops and enhances appropriate computational, modeling, statistical, simulation and/or visualization techniques. Teams should include appropriate expertise to pursue these methodological advances.

Examples of projects that would be appropriate for the GEN-EN competition:

- Application of genomic approaches to quantify and model gene expression and control
  mechanisms as a function of abiotic and biotic factors (i.e. temperature, pressure,
  dessication, light, nutrients, hosts and symbionts, quorum sensing, cell differentiation,
  starvation/survival, apoptosis, or viral infection) or predicted scenarios of environmental
  change.
- Use of genomic information and/or tools to improve the understanding and application of biodegradation and biological treatment processes, as well as the ability to design and control them.
- Examination of variance in gene expression within and among populations and/or species
  that experience different ranges or extremes in environmental conditions, as a means to
  test hypotheses about the evolution of the capacity to acclimate to environmental
  change.
- Use of cultivation-independent genomic approaches to reveal the identities and activities of microorganisms, and their relationships to changing environmental conditions.
- Use of genomic tools to address basic questions about how the environment interacts with genomes to produce a range of phenotypes.

Proposals that primarily seek support for sequencing, studying systematic relationships, using standard genetic techniques for taxonomic identification (e.g., 16s RNA), or that involve only a limited number of genes should be directed to other programs. Requests for support for work on environmental problems that fall within the mission of other federal agencies (e.g., development of biomarkers for environmental stress) are also unlikely to be competitive in this program. Prospective investigators are encouraged to discuss potential projects with one of the team leaders.

Approximately \$7 million is expected to be available to support 4-5 proposals from interdisciplinary teams for projects of up to 5 years duration, and total budgets up to \$2.0 million.

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# 4. Instrumentation Development for Environmental Activities (IDEA)

This topical area will support the development of robust instrumentation and associated software for observing, modeling and analyzing a wide range of complex environmental materials or compounds, life forms, and processes. The instrumentation should take advantage of recent advances in microelectronics, photonics, telemetry, robotics, wireless communication, and physical and chemical sensing systems to bring recent advances out of the controlled environment of the laboratory into the full spectrum of the Earth's environments. A unifying theme will be the development of *in situ* instrumentation or remote sensing technologies that minimize environmental impact and presence, while increasing real-time data-gathering

opportunities and reducing or eliminating human attention. An important goal of research in this area is the development of useful instrumentation that can be widely disseminated and aid production of environmental data of high quality and comparability. Competitive proposals for advanced instrumentation will combine intelligent, adaptive systems with associated software for data collection, complex modeling, simulation, pattern recognition, management, and analysis. This activity supports the development of new instrumentation, or significant improvements or enhancements to existing instrumentation (e.g., sensor fusion, systems integration, or improved resolution, speed, or robustness). This program is not intended to support long-term monitoring or deployment of off-the-shelf or fully developed instrumentation.

Activities in this area might include, but are not limited to:

- Development, use, and dissemination of robust diagnostic systems that can be used for observing and remote sensing of complex environmental systems and processes, including living components or geochemical cycles.
- Development, use, and dissemination of micro-mechanical and/or microelectronic systems (laboratories on a chip) that can be used *in situ* and can withstand the rigors of harsh natural environments.
- Adaptation of laboratory chemical or physical sensing techniques for use in remote *in situ* measurement.
- Development of sensors for microbial activity using recent advances in micro-fabrication that enable high-density arrays of biologically based detection elements, e.g., nucleic acid, enzymatic, or immunochemical.
- Coupling together of space-based observations of environmental phenomena with ground based *in situ* measurements of living systems and environments.
- Development of technology to enhance the acquisition, display and analysis of realtime *in situ* environmental measurements.

In order to encourage the interplay of skills from disciplines such as engineering, chemistry, physics, and computational science with areas such as biology, soil science, air and water resources, polar studies, and oceanography, proposals that support interdisciplinary teams or formation of such teams are solicited. Small interdisciplinary teams of typically 3-5 faculty researchers may request up to about \$400,000 per year for up to five years. Approximately \$5.0 million is expected to be available in this topical area to support 2-4 awards. The U.S. Department of Agriculture is a partner with NSF in the IDEA topical area and will participate in the review of proposals where appropriate.

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#### 5. Materials Use: Science, Engineering, & Society (MUSES)

Physical and biological environmental systems are ultimate sources of the materials required for the great variety of manufactured goods produced by modern societies. Understanding the supply, treatment, use, and reuse of the resources provided by natural systems as well as the environmental effects of introducing alternative materials or new processes are complex problems that challenge many disciplines. Both technological issues, such as process and product redesign and manufacturing, as well as behavioral factors, such as economic and other social forces that affect consumption and adoption of new technologies and materials, must be addressed. Studies in the Materials Use: Science, Engineering, and Society (MUSES) topical area are aimed at reducing adverse human impact on the total, interactive system of resource use, as well as maximizing the efficient use of individual materials throughout their life cycles. MUSES includes fundamental research on: (a) global budgets and cycles of key materials; (b) means and methods for carefully assessing a material's impact at every stage of its entire life cycle; (c) design of alternative materials and intermediates, processes, systems, and social structures that optimize the use of materials throughout their life cycles; and (d) modeling the effect of changes in a material's use on related materials and processes.

Research in this topical area must stress the fundamental understanding of comprehensive materials flows that extend from natural resource (physical and biota) materials extraction; through processing and manufacturing, assembly, and distribution and consumer use; and on through recycling, disposal, and reuse. In some cases, the synthesis and characterization of new classes of materials with desired properties may be involved. Models should include relationships among constructed, natural, and social systems and consider continuous materials use in addition to life cycle practice. Energy consumption during life cycle is relevant. Materials use at widely ranging scales is within the scope of MUSES, from niche specialty markets to major construction projects - highways, bridges, large buildings, etc. Also within the scope of MUSES is consideration of materials "escape" during transportation, processing or storage (leaks, accidents or intentional destructive actions). Illustrative examples of advanced materials that may be considered as part of this activity include, but are not limited to: the synthesis of new materials with value-added functional performance for applications such as biodegradable food containers, electronic materials for sensing in hazardous or extreme environments, disposable hospital products; materials modifications through processing that extend the life or ease the remanufacturability such as hybrid coatings that can be readily removed or upgraded through bioprocessing; and materials substitutions that lead to low emission and fuel efficient engines, or alloys and composites for lightweight aircraft and automobiles.

Specific areas of interest include, but are not limited to:

- Spatially and temporally explicit budgets for key materials, including ways in which human activities define, perturb, dominate or limit materials flow and supply.
- Acquisition, comprehension, and integration of data sets from environmental, economic, and social spheres, and the development of robust ways to utilize potential data intersections to predict materials flows.

- Patterns and driving forces of human consumption of resources, for example, the role of
  incentives in encouraging less wasteful materials use or the redesign of markets to
  provide more accurate signals of the societal costs associated with the use of
  materials.
- Metrics and assessment models for forecasting the results of substituting materials made from renewable resources for those made from non-renewable resources, including tradeoffs such as land use and water consumption.
- Design and synthesis of desirable materials with predictable properties while evaluating environmental friendly impacts on biocomplex systems at each stage of their development as useful materials; viz. processing and fabrication, assembly and manufacture, and eventual recycling of spent materials.
- Development of "intrinsically secure" chemistries and processes such that the vulnerability to the threat of terrorism is reduced by reductions in the quantities or toxicities of intermediates and end products.

Most of the approximately \$5.2 million expected to be available in this topical area will be used to support 2-3 research and education projects conducted by multidisciplinary teams of researchers who may request up to \$400,000 per year for three to five years duration. Up to 5 small awards (not to exceed \$100,000) may be funded in response to proposals for developmental activities such as proof of concept studies that can include meetings to build international and/or interdisciplinary teams or high-risk exploratory research.

Both group and developmental activities submitted in response to the MUSES theme must involve multidisciplinary research and education, that (a) include an economist and/or a social scientist on a team with scientists and engineers from physical, chemical, biological, and/or geological disciplines; (b) integrate economic and other social factors with extraction, materials design, and/or manufacturing considerations; and (c) use or develop computational models or simulations appropriate for the complexity, multi-disciplinary data sets, and global scale of these systems. The teams undertaking these MUSES projects must have appropriate expertise ranging from earth and materials sciences to engineering to economics to social sciences. They must also have appropriate expertise to undertake sophisticated quantitative modeling and integrated educational projects. International partnerships could be particularly valuable to research and education in this topical area.

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# III. ELIGIBILITY INFORMATION

The categories of proposers identified in the <u>Grant Proposal Guide</u> are eligible to submit proposals under this program announcement/solicitation.

#### IV. AWARD INFORMATION

Estimated program budget, number of awards and average award size/duration are subject to the availability of funds. The estimated number of awards and anticipated funding amount for each topical area are provided in Section II, Program Description.

# V. PROPOSAL PREPARATION AND SUBMISSION INSTRUCTIONS

#### A. Proposal Preparation Instructions

# **Full Proposal:**

Proposals submitted in response to this program announcement/solicitation should be prepared and submitted in accordance with the general guidelines contained in the NSF *Grant Proposal Guide* (GPG). The complete text of the GPG is available electronically on the NSF Web Site at: <a href="http://www.nsf.gov/cgi-bin/getpub?gpg">http://www.nsf.gov/cgi-bin/getpub?gpg</a>. Paper copies of the GPG may be obtained from the NSF Publications Clearinghouse, telephone (301) 947-2722 or by e-mail from <a href="mailto:pubs@nsf.gov">pubs@nsf.gov</a>.

#### **Proposal Format:**

All sections of a proposal submitted for any BE special competition must be in conformance with GPG. Proposals not in conformance with this requirement will be returned without review.

Please note the proposal formatting requirements described in GPG II.B.2.

Margins: Proposals must have 2.5 cm margins at the top, bottom and on each side.

<u>Font Size and Type:</u> The type size must be clear and readily legible, and conform to **all** of the following three requirements:

- 1. height of the letters must not be smaller than 10 point;
- 2. type density must be no more than 15 characters per 2.5 cm (for proportional spacing, the average for any representative section of text must not exceed 15 characters per 2.5 cm); and
- 3. vertical density must be no more than 6 lines per 2.5 cm.

Sample fonts that meet all three NSF requirements are Times New Roman 11.5 point font, Times Roman 11.5 point, Arial 12 point. Please note that smaller font sizes of these typesets will not conform to these GPG requirements.

<u>Line Spacing:</u> Line spacing (single-spaced, double-spaced, etc.) is at the discretion of the proposer. Established page limits, however, must be followed.

<u>Readability:</u> PIs are advised that readability is of paramount importance and should take precedence in selection of an appropriate font for use in the proposal.

Questions about fonts and margins may be directed to <u>be-format@nsf.gov</u>. A one page project description sample may be sent to this email address at least two weeks in advance of the various BE submission deadlines for advice about compliance.

Proposals not in compliance with these requirements will be returned without review.

As noted in the GPG (<a href="http://www.nsf.gov/pubs/2002/nsf022/nsf0202">http://www.nsf.gov/pubs/2002/nsf022/nsf0202</a> 2.html#IIB1), proposers are advised that FastLane does not automatically paginate a proposal. Each section of the proposal that is uploaded as a file must be individually paginated before upload to FastLane.

## **Project Summary**

Effective October 1, 2002, NSF will return without review proposals that do not separately address both merit review criteria within the Project Summary. The two criteria, intellectual merit and broader impacts, must be explicitly referenced in the project summary of all BE proposals.

#### **Project Description**

All project descriptions are limited to 15 pages in length. Teams should present a coherent view of their plans within these limits.

Proposals submitted for this competition should clearly specify all relevant facets of the proposed project. The proposal should outline the theoretical foundations of the project as based in relevant literature. It should specify the methods that will be used, the expertise that different researchers will bring to different facets of the project, and how and where results will be disseminated.

There are requests in this program announcement for materials on the personnel involved in the project. Please use the following definitions to provide the corresponding information.

*Principal Investigators*—individuals who would assume responsibility for an award resulting from this competition, would manage the award, and are listed on the cover sheet of the proposal.

Senior Personnel--any named personnel who will receive salary support as well as non-salaried senior investigators who play lead roles in the work of the project.

*Project Participants*--every person involved with the research project, including students.

In addition to any sections that proposers might use to describe their project, all project descriptions must include the following sections:

- Results from prior NSF support: Required only for principal investigators, as defined above. Refer to GPG, Section II.C.3.
- <u>Management Plan</u>: The following information should be provided: (1) a description of the management structure that will enable the team to work effectively; and (2) specification of the qualifications and contribution of each of the senior personnel to the activity. This increases in importance as the number of senior personnel or institutions involved in the project increases.
- Education Plan: The research plan should include integrated educational activities as a part of the narrative. Highlight these integrated activities in this section by specifying goals, methods that will be used to attain those goals, how the activities will be evaluated, and the role of project personnel in educational efforts. If educational products are expected to result, indicate how those products will be disseminated.
- <u>Broader Impacts</u>: The GPG requires that broader impacts resulting from the proposed project must be addressed in the Project Description and described as an integral part of the narrative. Examples illustrating activities likely to demonstrate broader impacts are available electronically at <a href="www.nsf.gov/pubs/2002/nsf022/bicexamples.pdf">www.nsf.gov/pubs/2002/nsf022/bicexamples.pdf</a>. Education and international activities are among the examples.

# **Biographical Sketches**

Required for principal investigators and all senior personnel, as defined above.

#### **Current and Pending Support**

Required for all principal investigators and senior personnel, as defined above.

#### **Supplementary Documentation**

Appropriate items for inclusion are specified in <u>GPG</u>, <u>Section II.C.9</u>. For example, letters of commitment from collaborating institutions, including foreign institutions, should be placed in this section, as well as documents associated with the use of human subjects.

Proposals that include materials in this section that belong in the project description, may be returned without review.

# **Appendices**

Not permitted.

#### **Conflicts of Interest**

In addition to the formal submission of the proposal, the principal investigator must send by email a compilation of conflicts of interest information in a spreadsheet format directly to first program officer listed for the BE topical area to which the proposal was submitted. The list should be provided in alphabetized order by last name and include proposal numbers. The spreadsheet is due within a week of the proposal submission deadline.

Include in a single alphabetized spreadsheet, names of <u>principal investigators and senior</u> <u>personnel</u>, with the full names of all people with whom there are conflicts of interest. Conflicts to be identified are: (1) PhD thesis advisors or advisees, (2) postdoctoral advisors or advisees, (3) collaborators or co-authors for the past 48 months, and (4) any other individual with whom the investigator has a personal, working or financial relationship that may preclude their selection as a reviewer. For further clarification of conflicts of interests, please refer to Appendix D of the new <u>NSF Grant Proposal Guide</u> (NSF 03-2). Three colums are suggested for the spreadsheet: senior personnel, person or institution with whom there is a conflict, and the relationship that causes the conflict of interest.

#### **Proposals Involving Multiple Institutions:**

In the case of proposals involving multiple institutions, a single institution should be identified as the lead institution and a single proposal describing the entire project should be submitted by that institution. Funds may be distributed among partner institutions via subawards from the lead institution. A budget on the standard NSF budget form should be submitted for each subawardee. Letters confirming collaborations can be scanned and submitted via FastLane as Supplementary Documentation (in Section I). If single projects are submitted in the form of multiple proposals (often called "collaboratives"), all proposals in the set shall be returned without review.

# **Proposals Involving Collaborators at Foreign Institutions:**

Proposers are reminded they must provide biographical sketches of all senior personnel, including those at foreign institutions. In addition, as supplementary documentation, proposals involving foreign collaborators must provide letters of endorsement from the foreign counterpart institutions.

# Directing the Proposal to a BE Topical Area:

Once you have identified the Program Solicitation Number on the proposal Cover Sheet, the five relevant topical areas (i.e., CNH, CBC, GEN-EN, IDEA, MUSES) will be listed in the Program Box. Highlight the topical area that your proposal addresses and click on the "Select Program" button. Your proposal will automatically be assigned to the correct directorate and division on the Cover Sheet.

If you think that your proposal may be relevant to more than one topical area, make the selection you think is topically most appropriate and follow the guidance (monetary and otherwise) for that topical area. Do not submit duplicate or substantively similar proposals to more than one topical area. However, you may suggest possible joint review through an e-mail message sent to the program officers of relevant competitions. Be sure to include the proposal number in your e-mail message. After the proposal is received, NSF program officers from relevant topical areas will consult and determine the most appropriate course of action, which may involve joint review or transfer of the proposal from one topical area to another. In the case of a transfer from the topical area you selected, you will be informed. You may submit more than one proposal to the same or different topical areas only if they are substantively different from one another.

#### **Pre-Submission Checklist**

BE Proposals must be in compliance with GPG and special requirements in the announcement in order to be considered for review. Proposals not in compliance with these requirements will be returned without review. Please refer to the following checklist to address some of the items for which proposals often are non-compliant:

- Font and margin requirements
- Paginated pages
- Project summary that includes a description of broader impacts
- Project description that is 15 pages or less
- <u>Project description</u> that includes separate sections for prior results, education, management, and broader impacts
- <u>Biosketches</u> for all senior personnel
- <u>Conflict of Interest</u> list submitted to appropriate program leader by email. The list should be provided in alphabetized order by last name.

Proposers are reminded to identify the program solicitation number (NSF 02-167) in the program announcement/solicitation block on the proposal Cover Sheet. Compliance with this requirement is critical to determining the relevant proposal processing guidelines. Failure to submit this information may delay processing.

#### **B. Budgetary Information**

Cost sharing is not required in proposals submitted under this Program Solicitation.

# Other Budgetary Limitations:

Specific topical areas have award size limitations. Details are in Section II, Program Description.

Budgets should include travel funds for Principal Investigators to attend a workshop or meeting of those supported in this program every 2 or 3 years.

**Research Platform Support:** Specific amounts for <u>research cruises</u>, <u>arctic and antarctica logistics</u>, or <u>use of aircraft or other field facilities</u> should not be included in the budget request. The PI should also submit the University-National Oceanographic Laboratory System (UNOLS) request, Office of Polar Programs (OPP) logistics form, or Division of Atmospheric Sciences (ATM) facilities form with the proposal.

# C. Deadline/Target Dates

Proposals must be submitted by the following date(s):

#### Full Proposals by 5:00 PM local time:

November 19, 2002 Deadline for CNH and IDEA

December 17, 2002 Deadline for GEN-EN

January 28, 2003 Deadline for CBC

March 4, 2003 Deadline for MUSES

If you would like to have your proposal jointly reviewed by two or more BE special competitions, be sure to contact all relevant program team leaders in advance. Submit your proposal by the earliest deadline.

# D. FastLane Requirements

Proposers are required to prepare and submit all proposals for this Program Solicitation through the FastLane system. Detailed instructions for proposal preparation and submission via FastLane are available at: <a href="http://www.fastlane.nsf.gov/al/newstan.htm">http://www.fastlane.nsf.gov/al/newstan.htm</a>. For FastLane user support, call the FastLane Help Desk at 1-800-673-6188 or e-mail <a href="mailto:fastlane@nsf.gov">fastlane@nsf.gov</a>. The FastLane Help Desk answers general technical questions related to the use of the FastLane system. Specific questions related to this Program Solicitation should be referred to the NSF program staff contact(s) listed in Section VIII of this announcement/solicitation.

Submission of Electronically Signed Cover Sheets. The Authorized Organizational Representative (AOR) must electronically sign the proposal Cover Sheet to submit the required proposal certifications (see Chapter II, Section C of the Grant Proposal Guide for a listing of the

certifications). The AOR must provide the required electronic certifications within five working days following the electronic submission of the proposal. Proposers are no longer required to provide a paper copy of the signed Proposal Cover Sheet to NSF. Further instructions regarding this process are available on the FastLane website at: <a href="http://www.fastlane.nsf.gov">http://www.fastlane.nsf.gov</a>.

# VI. PROPOSAL REVIEW INFORMATION

# **A. NSF Proposal Review Process**

Reviews of proposals submitted to NSF are solicited from peers with expertise in the substantive area of the proposed research or education project. These reviewers are selected by Program Officers charged with the oversight of the review process. NSF invites the proposer to suggest, at the time of submission, the names of appropriate or inappropriate reviewers. Care is taken to ensure that reviewers have no conflicts with the proposer. Special efforts are made to recruit reviewers from non-academic institutions, minority-serving institutions, or adjacent disciplines to that principally addressed in the proposal.

The two National Science Board approved merit review criteria are listed below (see the <u>Grant Proposal Guide</u> Chapter III.A for further information). The criteria include considerations that help define them. These considerations are suggestions and not all will apply to any given proposal. While proposers must address both merit review criteria, reviewers will be asked to address only those considerations that are relevant to the proposal being considered and for which he/she is qualified to make judgements.

#### What is the intellectual merit of the proposed activity?

How important is the proposed activity to advancing knowledge and understanding within its own field or across different fields? How well qualified is the proposer (individual or team) to conduct the project? (If appropriate, the reviewer will comment on the quality of the prior work.) To what extent does the proposed activity suggest and explore creative and original concepts? How well conceived and organized is the proposed activity? Is there sufficient access to resources?

# What are the broader impacts of the proposed activity?

How well does the activity advance discovery and understanding while promoting teaching, training, and learning? How well does the proposed activity broaden the participation of underrepresented groups (e.g., gender, ethnicity, disability, geographic, etc.)? To what extent will it enhance the infrastructure for research and education, such as facilities, instrumentation, networks, and partnerships? Will the results be disseminated broadly to enhance scientific and technological understanding? What may be the benefits of the proposed activity to society?

NSF staff will give careful consideration to the following in making funding decisions:

#### Integration of Research and Education

One of the principal strategies in support of NSF's goals is to foster integration of research and education through the programs, projects, and activities it supports at academic and research institutions. These institutions provide abundant opportunities where individuals may concurrently assume responsibilities as researchers, educators, and students and where all can engage in joint efforts that infuse education with the excitement of discovery and enrich research through the diversity of learning perspectives.

# Integrating Diversity into NSF Programs, Projects, and Activities

Broadening opportunities and enabling the participation of all citizens -- women and men, underrepresented minorities, and persons with disabilities -- is essential to the health and vitality of science and engineering. NSF is committed to this principle of diversity and deems it central to the programs, projects, and activities it considers and supports.

#### **Additional Review Criteria**

Successful proposals in all topical areas of the competition must be highly interdisciplinary, address the inherent complexity and highly coupled nature of environmental systems, and involve systems that include interactions of biota or humans. The three integrative elements-quantitative approaches, education, and global perspectives--are also important. Research projects must address appropriate quantitative approaches and specific plans for education. Proposals that promote the development of long-term international partnerships will be given special consideration.

In addition to NSF's standard review criteria, planning or exploratory activities will be evaluated on their interdisciplinarity and their potential to advance the study of biocomplexity in the environment.

In the evaluation of proposals submitted by teams of investigators, considerations in addition to standard NSF review criteria are:

- Strength of the collaborations planned and degree of interdisciplinarity
- Effectiveness of the group organization and management plan
- Value to education in these topical areas
- Strength of the dissemination plans
- Extent, effectiveness, and long-term potential of collaborations with industries, national laboratories, and comparable research centers abroad, when appropriate.

Descriptions of educational activities should specify goals, methods to attain those goals, and the expertise of individuals to accomplish them. Thus, they will be evaluated based on:

• Potential interest to and appropriateness for the audience targeted

- Quality of planning and appropriateness of personnel
- Feasibility and potential for resulting in a disseminable product
- Integration and complementarity to the research efforts.

# **B.** Review Protocol and Associated Customer Service Standard

All proposals are carefully reviewed by at least three other persons outside NSF who are experts in the particular field represented by the proposal. This will be the case for proposals for all research projects requesting over \$100,000 submitted in response to this announcement. More specifically, proposals in this category will be reviewed externally by mail review and/or panel review. Development or planning activities, exploratory, conference, or incubation proposals for \$100,000 or less may be reviewed by a scientist, engineer, or educator serving as a NSF Program Officer and/or outside experts.

Reviewers will be asked to formulate a recommendation to either support or decline each proposal. The Program Officer assigned to manage the proposal's review will consider the advice of reviewers and will formulate a recommendation.

A summary rating and accompanying narrative will be completed and submitted by each reviewer. In all cases, reviews are treated as confidential documents. Verbatim copies of reviews, excluding the identities of reviewers, are sent to the Principal Investigator/Project Director by the Program Director. In addition, the proposer will receive an explanation of the decision to award or decline funding.

NSF is striving to be able to tell applicants whether their proposals have been declined or recommended for funding within six months. The time interval begins on the closing date of an announcement/solicitation or the date of proposal receipt (whichever is later). The interval ends when the Division Director accepts the Program Officer's recommendation.

In all cases, after programmatic approval has been obtained, the proposals recommended for funding will be forwarded to the Division of Grants and Agreements for review of business, financial, and policy implications and the processing and issuance of a grant or other agreement. Proposers are cautioned that only a Grants and Agreements Officer may make commitments, obligations or awards on behalf of NSF or authorize the expenditure of funds. No commitment on the part of NSF should be inferred from technical or budgetary discussions with a NSF Program Officer. A Principal Investigator or organization that makes financial or personnel commitments in the absence of a grant or cooperative agreement signed by the NSF Grants and Agreements Officer does so at one's own risk.

# VII. AWARD ADMINISTRATION INFORMATION

#### A. Notification of the Award

Notification of the award is made to *the submitting organization* by a Grants Officer in the Division of Grants and Agreements. Organizations whose proposals are declined will be advised as promptly as possible by the cognizant NSF Program Division administering the program. Verbatim copies of reviews, not including the identity of the reviewer, will be provided automatically to the Principal Investigator. (See section VI.A. for additional information on the review process.)

# **B.** Award Conditions

An NSF award consists of: (1) the award letter, which includes any special provisions applicable to the award and any numbered amendments thereto; (2) the budget, which indicates the amounts, by categories of expense, on which NSF has based its support (or otherwise communicates any specific approvals or disapprovals of proposed expenditures); (3) the proposal referenced in the award letter; (4) the applicable award conditions, such as Grant General Conditions (NSF-GC-1)\* or Federal Demonstration Partnership (FDP) Terms and Conditions;\* and (5) any announcement or other NSF issuance that may be incorporated by reference in the award letter. Cooperative agreement awards also are administered in accordance with NSF Cooperative Agreement Terms and Conditions (CA-1). Electronic mail notification is the preferred way to transmit NSF awards to organizations that have electronic mail capabilities and have requested such notification from the Division of Grants and Agreements.

\*These documents may be accessed electronically on NSF's Web site at <a href="http://www.nsf.gov/home/grants/grants\_gac.htm">http://www.nsf.gov/home/grants/grants\_gac.htm</a>. Paper copies may be obtained from the NSF Publications Clearinghouse, telephone (301) 947-2722 or by e-mail from <a href="mailto:pubs@nsf.gov">pubs@nsf.gov</a>.

More comprehensive information on NSF Award Conditions is contained in the NSF *Grant Policy Manual* (GPM) Chapter II, available electronically on the NSF Web site at <a href="http://www.nsf.gov/cgi-bin/getpub?gpm">http://www.nsf.gov/cgi-bin/getpub?gpm</a>. The GPM is also for sale through the Superintendent of Documents, Government Printing Office (GPO), Washington, DC 20402. The telephone number at GPO for subscription information is (202) 512-1800. The GPM may be ordered through the GPO Web site at <a href="http://www.gpo.gov">http://www.gpo.gov</a>.

#### **Special Award Conditions**

Additional award conditions may be included addressing the pilot testing and evaluation of materials on pre-college students and the distribution or commercial publication of materials developed, a license for government use, and program income.

Meetings or workshops for Principal Investigators may be called by NSF approximately every two years. Investigators will be expected to make every reasonable effort to attend and to use grant funds to support their travel expenses.

# C. Reporting Requirements

For all multi-year grants (including both standard and continuing grants), the PI must submit an annual project report to the cognizant Program Officer at least 90 days before the end of the current budget period.

Within 90 days after the expiration of an award, the PI also is required to submit a final project report. Approximately 30 days before expiration, NSF will send a notice to remind the PI of the requirement to file the final project report. Failure to provide final technical reports delays NSF review and processing of pending proposals for that PI. PIs should examine the formats of the required reports in advance to assure availability of required data.

NSF has implemented an electronic project reporting system, available through FastLane. This system permits electronic submission and updating of project reports, including information on project participants (individual and organizational), activities and findings, publications, and other specific products and contributions. PIs will not be required to re-enter information previously provided, either with a proposal or in earlier updates using the electronic system.

#### VIII. CONTACTS FOR ADDITIONAL INFORMATION

General inquiries regarding Biocomplexity in the Environment (BE): Integrated Research and Education in Environmental Systems should be made to:

- For contacts in *Dynamics of Coupled Natural and Human Systems*, see www.geo.nsf.gov/be-03.htm#cnh.
- For contacts in Coupled Biogeochemical Cycles, see www.geo.nsf.gov/be-03.htm#cbc.
- For contacts in *Genome-Enabled Environmental Science & Engineering*, see www.geo.nsf.gov/be-03.htm#genen.
- For contacts in *Instrumentation Development for Environmental Activities*, see www.geo.nsf.gov/be-03.htm#idea.
- For contacts in *Materials Use: Science, Engineering, & Society*, see www.geo.nsf.gov/be-03.htm#muses.
- For contacts about the integrative element on *Education*, see <a href="www.geo.nsf.gov/be-03.htm#edu">www.geo.nsf.gov/be-03.htm#edu</a>.
- For contacts about the integrative element on *Global Perspective*, see www.geo.nsf.gov/be-03.htm#gp.
- For contacts about the integrative element on *Quantitative Approaches*, see www.geo.nsf.gov/be-03.htm#qa.

For questions related to the use of FastLane, contact:

- Brian Dawson, Computer Specialist, Directorate for Geosciences, Division of Earth Sciences, telephone: 703.292.4727, e-mail: <a href="mailto:bdawson@nsf.gov">bdawson@nsf.gov</a>.
- Florence Rabanal, Fastlane Project Coordinator, Directorate for Mathematical and Physical Sciences, telephone: 703.292.8808, e-mail: <a href="mailto:mpsoadfl@nsf.gov">mpsoadfl@nsf.gov</a>.
- Philip Johnson, Computer Specialist, Directorate for Social, Behavioral, and Economic Science, Division of Behavioral and Cognitive Sciences, telephone: 703.292.8740, e-mail: <a href="mailto:pxjohnso@nsf.gov">pxjohnso@nsf.gov</a>.

# IX. OTHER PROGRAMS OF INTEREST

The NSF *Guide to Programs* is a compilation of funding for research and education in science, mathematics, and engineering. The NSF *Guide to Programs* is available electronically at <a href="http://www.nsf.gov/cgi-bin/getpub?gp">http://www.nsf.gov/cgi-bin/getpub?gp</a>. General descriptions of NSF programs, research areas, and eligibility information for proposal submission are provided in each chapter.

Many NSF programs offer announcements or solicitations concerning specific proposal requirements. To obtain additional information about these requirements, contact the appropriate NSF program offices. Any changes in NSF's fiscal year programs occurring after press time for the *Guide to Programs* will be announced in the NSF <u>E-Bulletin</u>, which is updated daily on the NSF web site at <a href="http://www.nsf.gov/home/ebulletin">http://www.nsf.gov/home/ebulletin</a>, and in individual program announcements/solicitations. Subscribers can also sign up for NSF's <a href="Custom News Service">Custom News Service</a> (<a href="http://www.nsf.gov/home/cns/start.htm">http://www.nsf.gov/home/cns/start.htm</a>) to be notified of new funding opportunities that become available.

#### ABOUT THE NATIONAL SCIENCE FOUNDATION

The National Science Foundation (NSF) funds research and education in most fields of science and engineering. Awardees are wholly responsible for conducting their project activities and preparing the results for publication. Thus, the Foundation does not assume responsibility for such findings or their interpretation.

NSF welcomes proposals from all qualified scientists, engineers and educators. The Foundation strongly encourages women, minorities and persons with disabilities to compete fully in its programs. In accordance with Federal statutes, regulations and NSF policies, no person on grounds of race, color, age, sex, national origin or disability shall be excluded from participation in, be denied the benefits of, or be subjected to discrimination under any program or activity receiving financial assistance from NSF (unless otherwise specified in the eligibility requirements for a particular program).

Facilitation Awards for Scientists and Engineers with Disabilities (FASED) provide funding for special assistance or equipment to enable persons with disabilities (investigators and other staff, including student research assistants) to work on NSF-supported projects. See the program announcement/solicitation for further information.

The National Science Foundation has Telephonic Device for the Deaf (TDD) and Federal Information Relay Service (FIRS) capabilities that enable individuals with hearing impairments to communicate with the Foundation about NSF programs, employment or general information. TDD may be accessed at (703) 292-5090, FIRS at 1-800-877-8339.

The National Science Foundation is committed to making all of the information we publish easy to understand. If you have a suggestion about how to improve the clarity of this document or other NSF-published materials, please contact us at <a href="mailto:plainlanguage@nsf.gov">plainlanguage@nsf.gov</a>.

# PRIVACY ACT AND PUBLIC BURDEN STATEMENTS

The information requested on proposal forms and project reports is solicited under the authority of the National Science Foundation Act of 1950, as amended. The information on proposal forms will be used in connection with the selection of qualified proposals; project reports submitted by awardees will be used for program evaluation and reporting within the Executive Branch and to Congress. The information requested may be disclosed to qualified reviewers and staff assistants as part of the proposal review process; to applicant institutions/grantees to provide or obtain data regarding the proposal review process, award decisions, or the administration of awards; to government contractors, experts, volunteers and researchers and educators as necessary to complete assigned work; to other government agencies needing information as part of the review process or in order to coordinate programs; and to another Federal agency, court or party in a court or Federal administrative proceeding if the government is a party. Information about Principal Investigators may be added to the Reviewer file and used to select potential candidates to serve as peer reviewers or advisory committee members. See Systems of Records, NSF-50, "Principal Investigator/Proposal File and Associated Records," 63 Federal Register 267 (January 5, 1998), and NSF-51, "Reviewer/Proposal File and Associated Records," 63 Federal Register 268 (January 5, 1998). Submission of the information is voluntary. Failure to provide full and complete information, however, may reduce the possibility of receiving an award.

Pursuant to 5 CFR 1320.5(b), an agency may not conduct or sponsor, and a person is not required to respond to an information collection unless it displays a valid OMB control number. The OMB control number for this collection is 3145-0058. Public reporting burden for this collection of information is estimated to average 120 hours per response, including the time for reviewing instructions. Send comments regarding this burden estimate and any other aspect of this collection of information, including suggestions for reducing this burden, to: Suzanne Plimpton, Reports Clearance Officer, Information Dissemination Branch, Division of Administrative Services, National Science Foundation, Arlington, VA 22230, or to Office of Information and Regulatory Affairs of OMB, Attention: Desk Officer for National Science Foundation (3145-0058), 725 17th Street, N.W. Room 10235, Washington, D.C. 20503.

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OMB control number: 3145-0058.