## Addendum B:

## List of "Related Actions" as Defined in the Final Judgment

- 1. SEC v. Bear, Stearns & Co. Inc.
- 2. SEC v. Citigroup Global Markets Inc., f/k/a Salomon Smith Barney Inc.
- 3. SEC v. Credit Suisse First Boston LLC, f/k/a Credit Suisse First Boston Corporation
- 4. SEC v. Goldman, Sachs & Co.
- 5. SEC v. J.P. Morgan Securities Inc.
- 6. SEC v. Lehman Brothers, Inc.
- 7. SEC v. Merrill Lynch, Pierce, Fenner & Smith Incorporated
- 8. SEC v. Morgan Stanley & Co. Incorporated
- 9. SEC v. UBS Warburg LLC
- 10. SEC v. U.S. Bancorp Piper Jaffray, Inc.