Performance Measures & Program Evaluation
Additional Guidance Overview

8.01 Process Approach

- Self Assessments, Audits, Management Reviews
- Scope and Timing of Periodic Evaluations
- Performance Goals and Objectives
- Roles and Responsibilities
- Review and Follow-up of Findings

8.02 Performance Metrics

- Type and Frequency
- Program Implementation Metrics
- Threat Specific Metrics
- Defined Performance Goals
- Benchmark Performance Against Industry Performance
- Trending of Performance Metrics
- Trending of Near Misses

8.03 Communication of Results

- Preparation and Distribution of Periodic Reports
- Communication of Company's Integrity Performance and Actions Taken
- Management Follow-up to Address Significant Integrity Issues

IM Program Improvement

8.04 Root Cause Analysis

- Rigorous and Thorough Analysis of Problems and Integrity Events
- ID, Tracking, and Follow-up of Recommendations and Corrective Actions
- Communication of Lessons Learned from Root Cause Analysis

8.05 Process Revision and Document Control

- Comprehensive Written Integrity Management Program
- Adequate Documentation to Support Decisions and Actions
- Periodic Review of All IM Program Elements
- Interface Between Program Elements
- Feedback on Change in Pipeline Conditions into IM Program Elements
- Document Change Control and Retention
- Transfer of IM Documentation When Pipeline is Sold or Acquired

8.06 Process Formality

- Ensure All IM Rule Requirements are Captured
- Document Technical Basis and Assumptions
- Develop and Identify Implementing Procedures
- Have Sufficient Detail and Specificity to Ensure Successful Implementation
- Identify Roles and Responsibilities
- Define Distribution of Key IM Documents
- Identify Management Involvement in IM Program
- Document QA/QC Process to Ensure Accuracy and Consistency of IM Process

Performance Measures & Program Evaluation Process Inspection

Review
- IM Program Documentation
- Policy Documents
- Implementing Procedures
- Method for Performance Metric Analysis & Trending
- Root Cause Analysis Methodology Documentation
- Self-Assessment or Internal Audit Process

Inspection of Implementation of Performance Measurement Program

Review
- Performance Metrics & Data
- Target Performance Objectives
- Root Cause Analyses Results
- Audits/Self Assessment Reports
- Corrective Action Tracking System
- Implementation Records for Selected Corrective Actions