

## TITLE II ENVIRONMENTAL STATUS REPORT FACESHEET

**Title of MYAP/SYAP Activity:**

**CS name/Country/Region:**

**Funding Period:** FY\_\_\_\_\_ - FY\_\_\_\_\_

**Resource Levels:**

Commodities (dollar equivalent, incl. monetization): \_\_\_\_\_

Total metric tonnage request: \_\_\_\_\_

**Status Report Prepared by:** Name: \_\_\_\_\_ Title \_\_\_\_\_

Date: \_\_\_\_\_

**Date of Previous Status Report:** \_\_\_\_\_

### A. Status of the IEE/Categorical Exclusion/EA

IEE Reference: Date of most recent IEE or Categorical Exclusion (If all activities were CEs): \_\_\_\_\_, Contact Person: \_\_\_\_\_

\_\_\_\_\_ **No revisions** or modifications needed. IEE/CE or CE and all activities still applicable

\_\_\_\_\_ **Amended IEE** submitted, based on attached report, summary, etc., (referencing the body).

\_\_\_\_\_ **EA/PEA** needs to be amended to cover additional or modified activities. [Note: If yes, immediately notify the MEO, REO (where one exists) or the DCHA BEO. Amended EA or PEA submitted, based on \_\_\_\_\_]

### B. Status of Fulfilling Conditions in the IEE, including Mitigative Measures and Monitoring

\_\_\_\_\_ Environmental Status Report describing compliance measures taken is attached.

\_\_\_\_\_ For any condition that cannot be satisfied, a course of remedial action has been provided within an IEE Amendment. [Note: For conditions under an EA, consult the MEO, REO (where one exists) and/or BEO].

**USAID APPROVAL OF ENVIRONMENTAL STATUS REPORT:**

**Clearance:**

Mission Environmental Officer:\* \_\_\_\_\_ Date: \_\_\_\_\_

Food For Peace Officer: \_\_\_\_\_ Date: \_\_\_\_\_

DCHA Bureau Environmental Officer: \_\_\_\_\_ Date : \_\_\_\_\_

\*or USAID Environmental Representative, if MEO does not exist.

## **ENVIRONMENTAL STATUS REPORT (ESR) REPORT INSTRUCTIONS AND FORMAT**

In 2-10 pages or less, the Environmental Status Report should indicate whether steps need to be taken to amend previous environmental documentation and whether conditions are being met, e.g., mitigation plans are on schedule and the monitoring and evaluation measures being undertaken by the Cooperating Sponsor. In a Mission's comments and/or approval cable to DCHA/FFP, the Mission should state whether it concurs with the Environmental Status Report.

### **Section A. Status of the IEE/Categorical Exclusion/EA**

Use the answers to the following questions to determine if the status of the IEE has changed.

Use the same instructions for a Categorical Exclusion submission in the event all CS activities were Categorical Exclusions.

If any activities are covered under an Environmental Assessment (EA) which is typically activity or site-specific or a broader sectoral, thematic or geographic Programmatic Environmental Assessment (PEA), the questions below need to be interpreted in the context of the specific activity, sector or area.

#### **A1. Modified or New Activities:**

Have new activities been added or activities substantially modified?

Note what these are and reference an amended IEE, if the MYAP or SYAP has an approved IEE. Reference a Categorical Exclusion Document in the event the MYAP or SYAP required only a Categorical Exclusion Document **and** the new/modified activities are also categorically excluded. If they are not, a full IEE will need to be prepared.

Note: An amended MYAP or SYAP requires an IEE Amendment. Also remember that activities can be changed or added that do not require a MYAP/SYAP amendment, but which do alter Reg. 216 threshold decisions and would thus require an IEE Amendment.

#### **A2. Resolution of Deferrals:**

Did the previous IEE have deferrals? List these.

State if they are being resolved through an amended IEE to be submitted with this year's activity. If not, indicate when an amended IEE will be submitted in order to be able to go ahead with the activities.

If the deferred activities have been dropped from the sponsor's program, amend the current IEE to state that and recommend to the BEO that the deferral is no longer applicable.

**A3. Conditions:**

If experience has shown that conditions in the IEE cannot be complied with, note and reference an amended IEE, which discusses what substitute conditions are recommended in order to comply with the spirit of the original conditions (to avoid or reduce environmental effects).

Many conditions in IEEs relate to **Mitigation and Monitoring**. If based on Section B2 below, it proved not feasible to carry out all mitigation and monitoring and the sponsor desires to change the conditions for mitigation and monitoring spelled out in the IEE, discuss and reference an amended IEE.

**A4. Amendments:**

Based on the above, is an amended IEE needed?

Yes (If yes, attach here.)       No

If the previous documentation was a Categorical Exclusion Submission, is an amended Categorical Exclusion needed to deal with new Categorical Exclusions for new activities?

Yes (If yes, attach here.)       No       Not Applicable

Is the Sponsor unable to meet recommendations and/or conditions that are part of an EA or does the Sponsor believe an EA or PEA needs to be amended to cover additional or modified activities?

Yes       No       Not Applicable

If yes, immediately notify the MEO, REO (where available) or the DCHA BEO.

**A5.** Remember it is necessary to obtain the Mission's concurrence on an Environmental Status Report prior to proposal approval. Be sure to complete the ESR Facesheet. Proceed to Section B.

## **Section B. Status of Fulfilling Conditions in the IEE, including Mitigative Measures and Monitoring**

Take this opportunity to re-evaluate your mitigation and monitoring plan. Make sure the commitments made in the IEE are doable and realistic, in other words, not beyond the capabilities and resources of the CS to implement. Mitigation and monitoring can be part of normal visits to an area to check on activities, unless specific testing, surveys or the like have been required. Alternatively, experience to date may indicate that the IEE's mitigation and monitoring plan is not sufficiently specific or is lacking in some respect. If conditions or mitigation and monitoring are part of an activity-specific EA or sectoral PEA, the instructions below still apply.

**B1.** For each component of the program, list or reproduce (as an Annex to this report) the mitigative measures and monitoring or other conditions. [For activities placed under an umbrella process according to EPTM Annex G, do not reproduce the standard Environmental Screening Form and Review conditions; follow instructions at B3 below.]

**B2.** Describe status of complying with the conditions. Examples of the types of questions a Sponsor should answer to describe "status" follow.

- 1) What mitigative measures have been put in place? How is the successfulness of mitigative measures being determined? If they are not working, why not? What adjustments need to be made?
- 2) What is being monitored, how frequently and where, and what action is being taken (as needed) based on the results of the monitoring? In some situations, a CS will need to note that the monitoring program is still being developed with intent to satisfy the conditions. Alternatively, it could happen that the conditions cannot be achieved because of various impediments.

Sponsors are encouraged to construct table(s) of relevant status indicators.

**For any conditions that cannot be satisfied, propose a course of remedial action and amend the IEE.** In the case of an EA, consult the MEO, REO (where available), and the DCHA BEO, as amending an EA is a more elaborate process.

**B3.** If the CS is using Environmental Screening Forms\* (ESFs) and environmental reviews, prepare: i) a table listing the ESFs prepared and submitted; (ii) the Category(ies) the activity(ies) was/were placed in; and (iii) whether the ESF has been approved by the MEO. For any Category 2 or above activities, the chart should include the status of the Environmental Reviews, e.g., in preparation; submitted to MEO; approved by MEO; MEO referred to REO and BEO; and the date of approval by MEO or by REO or BEO, if appropriate.

**Section C. Cooperating Sponsor Recommendations for Beyond Compliance and Institutionalization of Environmentally Sound Practices**

Please outline plans or recommendations (in a page or less) for institutionalizing environmentally sound design and management practices in future activities of a similar nature.