

# SEC NEWS DIGEST

Issue 99-121

June 24, 1999

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## ENFORCEMENT PROCEEDINGS

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### ORDER ISSUED DECLARING INITIAL DECISION FINAL AS TO STIRES & CO., INC., EXCEPT REDUCING AMOUNT OF CIVIL PENALTY

The Commission has issued an Order Declaring Initial Decision Final as to Respondent Stires & Co., Inc., Except Reducing Amount of Civil Penalty (Order). Stires & Co., Inc. (now known as Stires, O'Donnell & Co., Inc.), is a registered broker-dealer located in New York, New York.

Following a three-day hearing, Chief Administrative Law Judge Brenda P. Murray issued an initial decision dated August 11, 1998, finding that respondents Sidney H. Stires and Stires & Co., Inc. (Stires & Co.), willfully violated the antifraud provisions of the Securities Act of 1933 (Securities Act) and the Securities Exchange Act of 1934 (Exchange Act) by, among other things, making material misrepresentations to potential investors and failing to perform required due diligence in connection with a purported private placement of nonexistent securities they called "EURO-GICs." In the initial decision, Judge Murray ordered that Mr. Stires be suspended from being associated with a broker or dealer for ninety days; that both respondents cease and desist from committing or causing any violations and any future violations of Section 17(a) of the Securities Act and Sections 10(b) and 15(a) of the Exchange Act and Rule 10b-5; that Stires & Co. pay a civil penalty of \$300,000; and that Mr. Stires pay a civil penalty of \$100,000.

Mr. Stires paid the civil penalty and served the suspension imposed on him in the initial decision. Stires & Co. filed a petition for review of the initial decision, and, on November 9, 1998, the Commission granted Stires & Co.'s unopposed motion to remand the proceedings to Judge Murray for consideration of its ability to pay the civil money penalty assessed against it.

Thereafter, Stires & Co. submitted, and the Commission accepted, an Offer of Settlement in which Stires & Co. agreed to the entry of the Order. The Order provides that Stires & Co.'s petition for review of the initial decision is deemed withdrawn and declares that the initial decision has become the final decision of the Commission as

to Stires & Co., except that the civil penalty against Stires & Co. is reduced to \$150,000. (Rel. 33-7691; 34-41551; File No. 3-9120)

**PERMANENT INJUNCTIONS ORDERED AGAINST GLOBAL FINANCIAL TRADERS, LTD., BGSB HOLDING CORP., MICHAEL REILLY AND JOHN KENNA**

The Commission announced that on June 2 the Honorable Denny Chin, U.S. District Judge, Southern District of New York, entered final orders of permanent injunction, by consent, against Global Financial Traders, Ltd. (Global), BGSB Holding Corp. (BGSB), Michael R. Reilly (Reilly), and John J. Kenna. The defendants were enjoined from future violations of the antifraud and market manipulation provisions of the federal securities laws. Reilly was ordered to pay \$200,000 in disgorgement. Payment of disgorgement by Kenna, Global, and BGSB was waived based on the demonstrated inability of those defendants to pay disgorgement. Civil penalties were waived for these four defendants. Litigation is pending against two other defendants, Vincent J. Iovine (Iovine) and David S. Coleman (Coleman).

The Commission's complaint alleged that Global, BGSB, Reilly, and Kenna had realized over \$5.8 million in net profits from sales of an OTC Bulletin Board stock through a telemarketing campaign by personnel at Global, which Reilly and Kenna controlled, and by misrepresenting the market for the stock in a newsletter published by Global. They also failed to disclose Reilly and Kenna's interest in the security. According to the complaint, Iovine and Coleman, both traders at registered broker-dealers, maintained the market price within a desired trading range by bidding for and purchasing the stock. It was alleged that the conduct of the defendants violated Section 10(b) of the Securities Exchange Act of 1934 and Regulation M. [SEC v. Global Financial Traders, Ltd., et al., 97 Civ 1753, DC, USDC, SDNY] (LR-16192)

**CIVIL ACTION AGAINST JOHN MANION, ET AL.**

The Commission filed a civil action on June 22 in the United States District Court for the District of Arizona against six defendants for insider trading in the stock of Bio-Dental Technologies Corp (Bio-Dental) prior to the June 3, 1996 announcement that Zila, Inc. (Zila) would acquire Bio-Dental. Named as defendants are John R. Manion (Manion), Rocco J. Anselmo (Anselmo), James L. Rammelt (Rammelt), Ivan Kron (Kron), Donald S. Italia (Italia) and William E. Sklar (Sklar). At the same time, the Commission settled its action against five of the six defendants, Anselmo, Rammelt, Kron, Italia and Sklar. As part of the settlement, these parties agreed to pay a total of \$250,286 in disgorgement, interest and civil penalties.

The complaint alleges that Anselmo, a Zila executive who resides in Scottsdale, Arizona, purchased 10,000 Bio-Dental shares, obtaining profits of \$23,363, using inside information he had obtained by working on the Bio-Dental acquisition. Anselmo also tipped the news of Zila's intended acquisition of Bio-Dental to three of his

friends: Rammelt, a resident of Racine, Wisconsin; Kron, a resident of Pompano Beach, Florida; and Italia, a resident of San Mateo, California. Anselmo's tippees purchased a total of 31,200 Bio-Dental shares, obtaining total profits of \$67,294.

Sklar, a resident of Tucson, Arizona, provided public relations services to Zila and suggested to Zila that it consider acquiring Bio-Dental. Approximately one month before Zila announced its acquisition of Bio-Dental, Sklar purchased 9,000 Bio-Dental shares, obtaining profits of \$20,045.

Manion, the only defendant not settling the Commission's action, resides in Apopka, Florida. Manion is the owner of Continental Capital & Equity Corp., a financial public relations consulting company. The complaint alleges that in connection with Manion's providing a fairness opinion to Zila regarding the acquisition, he obtained the terms of the proposed acquisition and Bio-Dental financial information. Days after providing a favorable opinion to Zila on the Bio-Dental acquisition, Manion purchased 13,000 Bio-Dental shares, obtaining profits of \$28,024.

The complaint alleges that by engaging in insider trading in the securities of Bio-Dental, the defendants violated Section 10(b) of the Securities Exchange Act of 1934 and Rule 10b-5 thereunder. Against each defendant, the complaint seeks permanent injunctive relief, disgorgement of the defendants' profits and the imposition of civil penalties.

Simultaneous with the filing of the complaint, Anselmo, Rammelt, Kron, Italia and Sklar, without admitting or denying the allegations contained in the complaint, consented to the entry of judgments against them. The judgment permanently enjoins them from future antifraud violations and orders them to disgorge trading profits together with prejudgment interest thereon and pay a civil penalty equal to their profits.

The Commission acknowledges the assistance provided by NASD Regulation, Inc., in connection with this matter. [SEC v. John R. Manion, Rocco J. Anselmo, James L. Rammelt, Ivan Kron, Donald S. Italia and William E. Sklar, Action No. CIV 99-1103 PHX, ROS, D. Ariz.] (LR-16194)

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## INVESTMENT ADVISERS ACT RELEASES

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### CSX FINANCIAL MANAGEMENT, INC.

A notice has been issued giving interested persons until July 19, 1999, to request a hearing on an application filed by CSX Financial Management, Inc. for an order under Section 202(a)(11)(F) of the Investment Advisers Act. The order would declare CSX Financial Management, Inc. to be a person not within the intent of Section

202(a)(11) of the Advisers Act, which defines the term "investment adviser." (Rel. IA-1805 - June 23)

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## INVESTMENT COMPANY ACT RELEASES

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### ORDERS OF DEREGISTRATION UNDER THE INVESTMENT COMPANY ACT

Orders have been issued under Section 8(f) of the Investment Company Act declaring that each of the following has ceased to be an investment company:

Alameda-Contra Costa Medical Association Collective  
Investment Trust For Retirement Plans

[File No. 811-5887]

(Rel. IC-23874 - June 23, 1999)

Bascom Hill Balanced Fund, Inc.

[File No. 811-4825]

(Rel. IC-23875 - June 23, 1999)

Bascom Hill Investors, Inc.

[File No. 811-2825]

(Rel. IC-23876 - June 23, 1999)

Madison Bond Fund, Inc.

[File No. 811-5952]

(Rel. IC-23877 - June 23, 1999)

The Highland Family of Funds

[File No. 811-7867]

(Rel. IC-23878 - June 23, 1999)

Marquis Funds

[File No. 811-7830]

(Rel. IC-23879 - June 23, 1999)

Oppenheimer Mortgage Income Fund

[File No. 811-4712]

(Rel. IC-23880 - June 23, 1999)

Principal Tax-Exempt Cash Management Fund, Inc.

[File No. 811-5548]

(Rel. IC-23881 - June 23, 1999)

Stonebridge Growth Fund, Inc.

[File No. 811-916]

(Rel. IC-23882 - June 23, 1999)

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## HOLDING COMPANY ACT RELEASES

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### GPU, INC., ET AL.

An order has been issued authorizing a proposal by GPU, Inc. (GPU), a registered holding company, and its electric public utility subsidiaries Jersey Central Power & Light Company, Metropolitan Edison Company (Met-Ed), and Pennsylvania Electric Company (Penelec) (collectively, GPU Subsidiaries). Met-Ed and Penelec have been

authorized to each issue and sell up to \$150 million in short-term indebtedness from time to time through December 31, 2000. In addition, the applicants have been authorized, through December 31, 2003, to: (1) extend the period during which the GPU Subsidiaries may issue short-term unsecured promissory notes; (2) permit GPU to issue and sell commercial paper in amounts up to \$100 million; and (3) extend GPU's authority to issue and sell short-term notes in amounts up to \$250 million. (Rel. 35-27041)

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## SECURITIES ACT REGISTRATIONS

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### APPROVAL OF PROPOSED RULE CHANGE

The Commission approved a proposed rule change (SR-NASD-99-20) filed by the National Association of Securities Dealers relating to firm quotation requirements. Publication of the notice in the Federal Register is expected during the week of June 28. (Rel. 34-41543)

### WITHDRAWALS GRANTED

An order has been issued granting the application of e4L, Inc. (formerly, National Media Corporation) to withdraw its Common Stock, par value \$.01, from listing and registration on the Philadelphia Stock Exchange. (Rel. 34-41544)

An order has been issued granting the application of SoftNet Systems, Inc. to withdraw its Common Stock, \$.01 par value per share, from listing and registration on the American Stock Exchange. (Rel. 34-41545)

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## SECURITIES ACT REGISTRATIONS

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The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

Registration statements may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <publicinfo@sec>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

F-6 BORD TELECOM EIREANN PLC/ADR, 111 WALL ST, NEW YORK, NY 10043  
(212) 657-5100 - 150,000,000 (\$7,500,000)  
DEPOSITARY RECEIPTS FOR COMMON STOCK (FILE 333-10452 - JUN. 14) (BR 99  
- NEW ISSUE)

F-3 ORCKIT COMMUNICATIONS LTD, 38 NAHALAT YITZHAK ST, TEL AVIV 67448 ISRAE,  
L3 - 2,300,000 (\$63,112,000) FOREIGN COMMON STOCK (FILE 333-10458 -  
JUN 15) (BR. 7)

SB-2 SUNHAWK COM CORP, 223 TAYLOR AVENUE NORTH, SUITE 200, SEATTLE, WA 98109  
(206) 728-6063 - 1,500,000 (\$12,192,000) COMMON STOCK. (FILE 333-80849 -  
JUN 17) (BR 9 - NEW ISSUE)

S-1 VERITAS SOFTWARE CORP /DE/, 1600 PLYMOUTH STREET, MOUNTAIN VIEW, CA  
94043 (650) 335-8000 - 12,460,000 (\$12,460,000) STRAIGHT BONDS. (FILE  
333-80851 - JUN 17) (BR 3)

S-1 DEJA COM INC, 437 FIFTH AVENUE, NEW YORK, NY 10015 (212) 431-4920 -  
\$57,500,000 COMMON STOCK (FILE 333-80853 - JUN. 17) (BR 8 - NEW ISSUE)

S-8 WESTON ROY F INC, 1 WESTON WAY, C/O A FREDERICK THOMPSON, WEST CHESTER,  
PA 19380 (610) 701-3000 - 600,000 (\$1,787,400) COMMON STOCK. (FILE  
333-80855 - JUN 17) (BR. 6)

S-8 AXCESS INC/TX, 3208 COMMANDER DR, CARROLLTON, TX 75006 (972) 407-6080 -  
900,000 (\$2,304,000) COMMON STOCK (FILE 333-80857 - JUN. 17) (BR. 5)

S-8 WESTON ROY F INC, 1 WESTON WAY, C/O A FREDERICK THOMPSON, WEST CHESTER,  
PA 19380 (610) 701-3000 - 200,000 (\$656,000) COMMON STOCK. (FILE  
333-80859 - JUN 17) (BR 6)

S-8 APPLIX INC /MA/ 112 TURNPIKE RD, WESTBORO, MA 01581 (508) 870-0300 -  
800,000 (\$4,225,000) COMMON STOCK (FILE 333-80861 - JUN 17) (BR 3)

S-4 HEALTHEON WEBMD CORP, 4600 PATRICK HENRY DRIVE, SANTA CLARA, CA 95054 -  
190,597,448 (\$6,692,528,022) COMMON STOCK. (FILE 333-80863 - JUN 17)  
(BR 3 - NEW ISSUE)

S-8 BUCKHEAD AMERICA CORP, 4243 DUNWOODY CLUB DR, STE 200, ATLANTA, GA 30350  
(404) 393-2662 - 90,000 (\$540,000) COMMON STOCK. (FILE 333-80865 -  
JUN 17) (BR 8)

N-2 NUVEEN MICHIGAN QUALITY INCOME MUNICIPAL FUND INC, 333 W WACKER DR,  
CHICAGO, IL 60606 (312) 917-8200 - 560 (\$14,000,000) PREFERRED STOCK.  
(FILE 333-80867 - JUN 17) (BR. 18)

S-8 SPORTSMANS GUIDE INC, 411 FARWELL AVENUE SO, ST PAUL, MN 55075  
(612) 451-3030 - 600,000 (\$3,037,500) COMMON STOCK (FILE 333-80869 -  
JUN 17) (BR 2)

N-2 NUVEEN PENNSYLVANIA INVESTMENT QUALITY MUNICIPAL FUND INC,  
333 W WACKER DRIVE, CHICAGO, IL 60606 (312) 917-8200 - 880 (\$22,000,000)  
PREFERRED STOCK. (FILE 333-80871 - JUN. 17) (BR 18)

S-8 BROOKLINE BANCORP INC, 160 WASHINGTON STREET, BROOKLINE, MA 02147  
(617) 730-3500 - 1,917,451 (\$21,125,907) COMMON STOCK. (FILE 333-80875 -  
JUN 17) (BR 7)

S-8 COASTAL BANCORP INC, 5718 WESTHEIMER, SUITE 600, HOUSTON, TX 77057  
(713) 435-5000 - 340,000 (\$5,440,000) COMMON STOCK. (FILE 333-80877 -  
JUN 17) (BR 7)

SB-2 GREAT XPECTATIONS MARKETING INC, 8925 STERLING STREET, SUITE 120,  
IRVING, TX 75063 (972) 929-2900 - 6,768,490 (\$6,768.49) COMMON STOCK.  
(FILE 333-80879 - JUN 17) (BR. 9)

S-3 GENESYS TELECOMMUNICATIONS LABORATORIES INC, 1155 MARKET ST 11TH FLOOR,  
SAN FRANCISCO, CA 94103 (415) 437-1100 - 207,500 (\$4,584,453) COMMON STOCK  
(FILE 333-80881 - JUN 17) (BR 3)

S-8 COMPUTER ASSOCIATES INTERNATIONAL INC, ONE COMPUTER ASSOCIATES PLAZA,  
ISLANDIA, NY 11788 (516) 342-5224 (FILE 333-80883 - JUN. 17) (BR 3)

S-8 TASTY FRIES INC, 650 SENTRY PKWY STE ONE, BLUE BELL, PA 19422  
(610) 941-2109 - 775,000 (\$395,250) COMMON STOCK (FILE 333-80885 -  
JUN 17) (BR 4)

S-8 SPRINGS INDUSTRIES INC, 205 N WHITE ST, FORT MILL, SC 29715  
(803) 547-1500 - 1,750,000 (\$67,867,187 50) COMMON STOCK (FILE 333-80887  
- JUN 17) (BR 2)

S-8 SPRINGS INDUSTRIES INC, 205 N WHITE ST, FORT MILL, SC 29715  
(803) 547-1500 - 100,000 (\$3,878,125) COMMON STOCK (FILE 333-80889 -  
JUN 17) (BR 2)

S-8 INTERMEDIA COMMUNICATIONS INC, 3625 QUEEN PALM DR, STE 720, TAMPA, FL  
33619 (813) 829-0011 - 650,000 (\$14,930,500) COMMON STOCK (FILE  
333-80891 - JUN 17) (BR 7)

S-8 INTERMEDIA COMMUNICATIONS INC, 3625 QUEEN PALM DR, STE 720, TAMPA, FL  
33619 (813) 829-0011 - 1,000,000 (\$22,970,000) COMMON STOCK. (FILE  
333-80893 - JUN. 17) (BR 7)

S-8 NCRIC GROUP INC, 1115 30TH STRRET NW, WASHINGTON, DC 20007  
(200) 07 - - 300,000 (\$2,100,000) COMMON STOCK. (FILE 333-80895 -  
JUN 17) (BR 9)

S-8 GATEWAY ENERGY CORP/NE, 500 DALLAS STREET, STE 2615, HOUSTON, NE 68154  
(402) 330-8268 - 925,750 (\$240,695) COMMON STOCK (FILE 333-80897 -  
JUN 17) (BR 2)

S-8 HARRAHS ENTERTAINMENT INC, 1023 CHERRY ROAD, MEMPHIS, TN 38117  
901 762-8600 - 2 500,000 (\$53,828,250) COMMON STOCK (FILE 333-80899 -  
JUN 17 (BR 5)

S-3 IMPERIAL CREDIT INDUSTRIES INC, 23550 HAWTHORNE BLVD, STE 110, TORRANCE,  
CA 90505 (310) 373-1704 - 5,258,570 (\$43,330,615 80) COMMON STOCK (FILE  
333-80901 - JUN. 17) (BR 7)

S-3 BANK ONE CORP, ONE FIRST NATIONAL PLAZA, CHICAGO, IL 60670  
(312) 732-4000 (FILE 333-80903 - JUN 17) (BR 7)

S-6 LONE STAR STEAKHOUSE & SALOON INC, 224 E DOUGLAS STE 700, WICHITA, KS  
67202 (316) 264-8899 - 118,767 (\$1,218,754 62) COMMON STOCK (FILE  
333-80905 - JUN 17) (BR 5)

S-6 BLUE RHINO CORP, 104 CAMBRIDGE PLAZA DRIVE, WINSTON SALEM, NC 27104  
(336) 659-6900 - 162,069 (\$996,724) COMMON STOCK (FILE 333-80907 -  
JUN 17 (BR 2)

S-6 BLUE RHINO CORP, 104 CAMBRIDGE PLAZA DRIVE, WINSTON SALEM, NC 27104  
(336) 659-6900 - 100,000 (\$1,695,000) COMMON STOCK (FILE 333-80909 -  
JUN 17) (BR 2)

S-8 BLUE RHINO CORP, 104 CAMBRIDGE PLAZA DRIVE, WINSTON SALEM, NC 27104  
(336) 659-6900 - 1,200,000 (\$14,220,000) COMMON STOCK (FILE 333-80911 -  
JUN 17) (BR 2)

S-6 ALLEN TELECOM INC, 25101 CHAGRIN BLVD # 350, BEACHWOOD, OH 44122  
(216) 765-5818 - 206,000 (\$1,860,180) COMMON STOCK. (FILE 333-80913 -  
JUN 17) (BR. 7)

S-1 HEADHUNTER NET INC, 6410 ATLANTIC BLVD, STE 160, ATLANTA, GA 30071  
(770) 300-9272 - \$46,000,000 COMMON STOCK. (FILE 333-80915 - JUN. 17)  
(BR. 2)

S-4 OMNICARE INC, 100 E RIVERCENTER BLVD, STE 1600, COVINGTON, KY 41011  
(606) 392-3300 - 85,243 (\$209,697.78) COMMON STOCK. (FILE 333-80917 -  
JUN 17) (BR 1)

S-3 FIFTH THIRD BANCORP, 38 FOUNTAIN SQ PLZ, FIFTH THIRD CENTER, CINCINNATI,  
OH 45263 (513) 579-5300 - 36,804 (\$2,385,635 28) COMMON STOCK. (FILE  
333-80919 - JUN 17) (BR 7)

S-6 SLM HOLDING CORP, 11600 SALLIE MAE DR, RESTON, VA 21093 (703) 810-3000  
- 25,000,000 (\$25,000,000) OTHER SECURITIES INCLUDING VOTING TRUST (FILE  
333-80921 - JUN 17) (BR 7)

S-8 SMARTIRE SYSTEMS INC, 150 13151 VANIER PL, RICHMOND BC, V6V 2J1,  
(604) 276-9884 - 490,425 (\$1,359,258.25) COMMON STOCK (FILE 333-80923 -  
JUN 17) (BR 9)

S-8 STATION CASINOS INC, 2411 W SAHARA AVE, LAS VEGAS, NV 89102  
(702) 367-2411 - 4,500,000 (\$75,656,250) COMMON STOCK. (FILE 333-80925 -  
JUN 17) (BR 5)

S-3 PANERA BREAD CO, 19 FID KENNEDY AVE, BOSTON, MA 02210 (617) 423-2100 -  
454,179 (\$2,867,004 90) COMMON STOCK. (FILE 333-80927 - JUN. 17) (BR. 5)

S-1 NUCENTRIX BROADBAND NETWORKS INC, 200 CHISOLM PLACE, SUITE 200, PLANO,  
TX 75075 (972) 423-9494 - 3,363,536 (\$100,906,080) COMMON STOCK. (FILE  
333-80929 - JUN 17) (BR 7)

S-8 GENE LOGIC INC, 708 QUINCE ORCHARD, ROAD, GAITHERSBURG, MD 20878  
(301) 987-1700 - 1,200,000 (\$4,725,000) COMMON STOCK. (FILE 333-80931 -  
JUN 17) (BR 1)

S-1 CAMPBELL STRATEGIC ALLOCATION FUND LP, 210 W PENNSYLVANIA AVE, STE 770,  
BALTIMORE MD 21204 (410) 296-3301 - 160,000,000 (\$160,000,000)  
COMMON STOCK (FILE 333-80933 - JUN 17) (BR 8)

S-6 G III APPAREL GROUP LTD /DE/, 345 WEST 37TH ST, NEW YORK, NY 10018  
(212) 629-8830 - 50,000 (\$103,932) COMMON STOCK. (FILE 333-80937 -  
JUN 17) (BR 2)

S-8 LATITUDE COMMUNICATIONS INC, 2121 TASMAN DRIVE, SANTA CLARA, CA 95054 -  
5,030,876 (\$45,555,527.14) COMMON STOCK (FILE 333-80939 - JUN 17)  
BR 3

S-3 BANK OF AMERICA MORTGAGE SECURITIES INC, 345 MONTGOMERY STREET,  
LOWER LEVEL 2 UNIT 8152, SAN FRANCISCO, CA 94104 (415) 445-4779 -  
1,000,000 (\$1,000,000) PASS-THROUGH MORTGAGE-BACKED CERTIFICATE. (FILE  
333-80941 - JUN 17) (BR 8)

S-6 ADC TELECOMMUNICATIONS INC, 12501 WHITEWATER DR., MINNETONKA, MN 55343  
(612) 938-8080 - 39,400 (\$1,846,875) COMMON STOCK (FILE 333-80943 -  
JUN 17) (BR 7)

S-8 ADC TELECOMMUNICATIONS INC, 12501 WHITEWATER DR., MINNETONKA, MN 55343  
(612) 938-8080 - 6,600,000 (\$309,375,000) COMMON STOCK (FILE 333-80945 -  
JUN 17) (BR 7)

S-4 CONNECTICUT WATER SERVICE INC / CT, 93 W MAIN ST, CLINTON, CT 06413  
(860) 669-8630 - 310,346 (\$1,841,000) COMMON STOCK (FILE 333-80947 -  
JUN 17) (BR 2)

N-2 NUVEEN NEW JERSEY INVESTMENT QUALITY MUNICIPAL FUND INC,  
333 W WACKER DRIVE, CHICAGO, IL 60606 (312) 917-8200 - 1,280 (\$32,000,000)  
PREFERRED STOCK (FILE 333-80949 - JUN. 17) (BR. 18)

S-8 CONOCO INC /DE, 600 NORTH DAIRY ASHFORD, HOUSTON, TX 77079  
(281) 293-1000 - 18,840,000 (\$524,580,960) COMMON STOCK. (FILE 333-80951 -  
JUN 17) (BR 4)

S-8 CONOCO INC /DE, 600 NORTH DAIRY ASHFORD, HOUSTON, TX 77079  
(281) 293-1000 - 20,724,000 (\$577,039,060) COMMON STOCK. (FILE 333-80953 -  
JUN 17) (BR 4)

S-8 CONOCO INC /DE, 600 NORTH DAIRY ASHFORD, HOUSTON, TX 77079  
(281) 293-1000 - 100,000 (\$2,784,400) COMMON STOCK. (FILE 333-80955 -  
JUN 17) (BR 4)

S-8 CONOCO INC /DE, 600 NORTH DAIRY ASHFORD, HOUSTON, TX 77079  
(281) 293-1000 - 14,000,000 (\$389,816,000) COMMON STOCK. (FILE 333-80957 -  
JUN 17) (BR 4)



S-8 CONOCO INC /DE, 600 NORTH DAIRY ASHFORD, HOUSTON, TX 77079  
(281) 293-1000 - 750,000 (\$20,883,000) COMMON STOCK (FILE 333-80959 -  
JUN 17) (BR 4)

S-4 DOBSON COMMUNICATIONS CORP, 13439 NORTH BROADWAY EXTENSION SUITE 200,  
OKLAHOMA CITY, OK 73114 (405) 391-8500 - 181,230 (\$181,230,000)  
PREFERRED STOCK (FILE 333-80961 - JUN 17) (BR. 7)

S-8 SECURE COMPUTING CORP, ONE ALMADEN BLVD, STE 400, SAN JOSE, CA 95113  
(612) 628-2700 - 300,000 (\$1,035,937.50) COMMON STOCK (FILE 333-80963 -  
JUN 17) (BR 3)

S-8 HNC SOFTWARE INC/DE, 5930 CORNERSTONE CT W, SAN DIEGO, CA 92121  
(619) 546-8877 - 2,450,000 (\$71,881,208.74) COMMON STOCK. (FILE 333-80965  
- JUN 17) (BR 3)

S-8 BEST BUY CO INC, 7075 FLYING CLOUD DR, EDIN PRARIE, MN 55344  
(612) 947-2000 - 40,000,000 (\$40,000,000)  
OTHER SECURITIES INCLUDING VOTING TRUST. (FILE 333-80967 - JUN 17)  
(BR 2)

S-8 DIXIE GROUP INC, 1100 S WATKINS ST, CHATTANOOGA, TN 37404 (615) 698-2501  
- 200,000 (\$1,675,000) COMMON STOCK (FILE 333-80971 - JUN 18) (BR 2)

S-8 OMNI ENERGY SERVICES CORP, 4500 NE INTERSTATE 49, CARENCRO, LA 70520  
(818) 896-6664 - 650,000 (\$2,848,929.12) COMMON STOCK (FILE 333-80973 -  
JUN 18) (BR 4)

S-8 US ONCOLOGY INC, 16825 NORTHCHASE DR, STE 1300, HOUSTON, TX 77060  
(281) 873-2674 - 2,576,365 (\$28,178,993) COMMON STOCK (FILE 333-80977 -  
JUN 18) (BR 1)

S-3 ORTEC INTERNATIONAL INC, 3960 BROADWAY, BLDG 28, NEW YORK, NY 10032  
(718) 326-4698 - 1,031,247 (\$7,650,564) COMMON STOCK (FILE 333-80979 -  
JUN 18) (BR 1)