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sec news digest

U.S. SECURITIES AND EXCHANGE COMMISSION

Issue 91-88

May 7, 1991

COMMISSION ANNOUNCEMENTS

CHAIRMAN BREEDEN TO TESTIFY

Chairman Breeden will testify on Tuesday, May 7, beginning at 10:00 a.m. before the Senate Banking Committee. The subject of the hearing, which will be in Room 538 of the Dirksen Senate Office Building, is S. 543 and S. 713.

ADMINISTRATIVE PROCEEDINGS

NOTICE OF PERMANENT SUSPENSION ENTERED AGAINST CECIL MATHIS

On May 3, the Commission announced that Cecil S. Mathis has been permanently suspended, pursuant to Rule 2(e)(3)(ii) of the Commission's Rules of Practice, from appearing or practicing as an attorney before the Commission. The permanent suspension is based upon an order of permanent injunction, to which Mathis consented, entered on January 7, 1991 [SEC v. Lifeline Healthcare Group, Inc., Civil Action No. 89 C-964 B, N.D. Oklahoma]. Mathis is a former Assistant Regional Administrator of the Commission's Fort Worth Regional Office. (Rel. 34-29164)

MICHAEL BEATTY BARRED

The Commission announced that it has ordered that Michael C. Beatty (Beatty) be barred from association with any broker or dealer. Beatty failed to answer an Order which instituted administrative proceedings against him on August 20, 1990. The Commission found, based on the Order Instituting Proceedings, that on June 1, 1990, Beatty was permanently enjoined, by default, by the U.S. District Court for the District of Minnesota, from violating the registration and antifraud provisions of the federal securities laws. The Commission also found that Beatty raised over \$4 million in the offer and sale of interests in four limited partnerships, ITS-1985-I, Ltd., ITS-1986-VIII, Ltd., ITS-1987-X, Ltd., and ITS-1987-XI, Ltd., and unregistered securities in the form of promissory notes issued by Investment Tax Services, Inc. The Commission also found that Beatty made materially false and misleading statements and omissions in the sale of these securities concerning the safety of the investments, the use of proceeds, his disciplinary history and the risks associated with the investments. (34-29141)

CIVIL PROCEEDINGS

COMMISSION FILES MOTION FOR DISMISSAL OF INJUNCTIVE ACTION PENDING AGAINST TRANS GLOBAL HOLDINGS, INC.

On May 6, the Commission announced the filing of a Motion for Dismissal of the injunctive action pending against Trans Global Holdings, Inc. (Trans Global) in the U.S. District Court for the Southern District of New York. The Commission moved for the dismissal because Trans Global no longer exists as an operating corporation, and its Certificate of Incorporation in the State of Delaware was forfeited for failure to appoint an agent. On April 19, 1991, in the same action, Judge Michael B. Mukasey permanently enjoined defendant Michael Stern (Stern), chairman and president of Trans Global, from violations of Sections 10(b) and 14(e) of the Exchange Act and Rule 10b-5 thereunder. Without admitting or denying the facts alleged in the Commission's complaint, Stern consented to the entry of the Final Judgment.

On May 23, 1989, Stern and Trans Global issued a press release stating, among other things, that Stern was "in the final stages of putting together a group of investors" who would provide up to \$600 million in equity to finance cash acquisitions of NWA, Inc. (NWA) and Pan Am Corporation (Pan Am). The press release further stated that "both a major Wall Street investment banking firm and a domestic banking institution had indicated an interest in participating by arranging for the debt." The complaint alleged that these statements were false or misleading and that the press release, taken as a whole, was materially false and misleading. [SEC v. Stern and Trans Global Holdings, Inc., USDC SDNY, 91 Civ. 0937, MBM] (LR-12850)

INVESTMENT COMPANY ACT RELEASES

MUTUAL BENEFIT LIFE INSURANCE COMPANY

An order has been issued pursuant to Section 6(c) of the Investment Company Act exempting The Mutual Benefit Life Insurance Company, Mutual Benefit Variable Contract Account-11 of Mutual Benefit Life Insurance Company (Account), Directed Services, Inc. and Dreyfus Service Corporation (collectively, Applicants) from Sections 26(a)(2)(C) and 27(c)(2) of the Act. Applicants are exempted to the extent necessary to permit the deduction of mortality and expense risk charges from the assets of the Account under a Deferred Variable Annuity certificate issued pursuant to the Deferred Master Group Contract. The order also exempts Applicants from Sections 2(a)(35), 26(a)(2)(C) and 27(c)(2) to the extent necessary to permit the deduction of a premiumbased sales load from the accumulation value of the Account. (Rel. IC-18132 - May 6)

NATIONAL HOME LIFE ASSURANCE COMPANY OF NEW YORK

A notice has been issued giving interested persons until May 31 to request a hearing on an application by National Home Life Assurance Company of New York, National Home Life Assurance Company of New York Separate Account B (Account) and the Vanguard Variable Insurance Fund. The application is for an order pursuant to Section 6(c) of the Investment Company Act granting exemptions from the provisions of Sections 26(a)(2)(C) and 27(c)(2) of the Act. The exemptions would be granted to the extent

necessary to permit the deduction from the Account of the mortality and expense risk charges imposed under certain variable annuity contracts. (Rel. IC-18133 - May 6)

THE CONNECTICUT LIGHT AND POWER COMPANY

A notice has been issued giving interested persons until May 28 to request a hearing on a proposal by The Connecticut Light and Power Company, an electric public-utility subsidiary company of Northeast Utilities, a registered holding company. The proposal seeks to lease and purchase certain electric utility assets from The Farmington River Power Company, and its parent, The Stanley Works, for a total consideration of \$7.5 million. (Rel. 35-25307)

LISTING, DELISTING AND UNLISTED TRADING ACTIONS

DELISTING GRANTED

An order has been issued granting the application of the <u>Boston Stock Exchange</u> to strike from listing and registration Technodyne, Inc., Common Stock, \$.05 Par Value. (Rel. 34-29161)

SIGNIFICANT NO-ACTION AND INTERPRETATIVE LETTERS

INTERPRETATION OF NEW RULES UNDER SECTION 16 OF THE EXCHANGE ACT

The Division of Corporation Finance has announced the publication of staff correspondence interpreting the new Section 16 rules. Copies of the letters may be obtained by <u>writing</u> to, or by making a request <u>in person</u> at, the Public Reference Room, Securities and Exchange Commission, 450 5th Street, N.W., Room 1024, Washington, D.C. 20549. Each request must state the name of the subject company, the Act and the Section of the Act to which it relates, and the public availability date.

| Letter | Availability | Subject |
|---------------------|--------------|--|
| General Mills, Inc. | May 7, 1991 | Rule 16a-1(c) |
| Ralston Purina Co. | May 7, 1991 | Correction of letter issued April 29, 1991 |

SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

- S-18 COMPUTER PETROLEUM COPR /MN, 6949 VALLEY CREEK RD, ST PAUL, NN 55125 (612) 738-1088
 690,000 (\$3,795,000) COMMON STOCK. 33,000 (\$33) WARRANTS, OPTIONS OR RIGHTS.
 33,000 (\$217,800) COMMON STOCK. UNDERWRITER: KINNARD JOHN G & CO INC. (FILE
 33-39995-C APR. 22) (BR. 9 NEW ISSUE)
- S-18 CHATEAU MORTGAGE INCOME FUND INC, 7995 66TH ST, PINELLAS PARK, FL 34665 750,000 (\$7,500,000) PREFERRED STOCK. (FILE 33-40001-A APR. 16) (BR. 6 NEW ISSUE)
- S-4 NEWELL CO /DE/, 29 EAST STEPHENSON ST, NEWELL CENTER, FREEPORT, IL 61032 (815) 235-4171 1,724,138 (\$6,442,724.40) COMMON STOCK. (FILE 33-40121 APR. 29) (BR. 6)
- S-8 JONES MEDICAL INDUSTRIES INC /DE/, 11604 LILBURN PARK RD, ST LOUIS, MO 63146 (314) 432-7557 798,940 (\$5,097,655) COMMON STOCK. (FILE 33-40184 APR. 29) (BR. 4)
- S-6 INSURED MUNICIPALS INCOME TRUST INV QA TA EX TR MU SE 146, 1001 WARRENVILLE RD, C/O VAN KAMPEN MERRITT INC, LISLE, IL 60532 1,000 (\$1,020,000) UNIT INVESTMENT TRUST. DEPOSITOR: VAN KAMPEN MERRITT INC. (FILE 33-40187 APR. 26) (BR. 18 NEW ISSUE)
- S-6 INSURED MUNICIPALS INCOME TRUST IN QU TA EX TR MU SE 147, 1001 WARRENVILLE RD, C/O VAN KAMPEN MERRITT INC, LISLE, IL 60532 1,000 (\$1,020,000) UNIT INVESTMENT TRUST. DEPOSITOR: VAN KAMPEN MERRITT INC. (FILE 33-40188 APR. 26) (BR. 18 NEW ISSUE)
- S-6 INSURED MUNICIPALS INCOME TRUST INV QU TA EX TR MU SE 148, 1001 WARRENVILLE RD, C/O VAN KAMPEN MERRITT INC, LISLE, IL 60532 - 1,000 (\$1,020,000) UNIT INVESTMENT TRUST. DEPOSITOR: VAN KAMPEN MERRITT INC. (FILE 33-40189 - APR. 26) (BR. 18 - NEW ISSUE)
- S-3 DELTA AIR LINES INC /DE/, HARTSFIELD ATLANTA INTL AIRPORT, ATLANTA, GA 30320 (404) 765-2600 1,000,000,000 (\$1,000,000,000) STRAIGHT BONDS. (FILE 33-40190 APR. 26) (BR. 3)
- S-1 VARCO INTERNATIONAL INC, 743 N ECKHOFF ST, ORANGE, CA 92668 (714) 978-1900 7,475,000 (\$79,421,875) COMMON STOCK. UNDERWRITER: HOWARD WEIL LABOUISSE FRIEDRICHS, LEHMAN BROTHERS, NEEDHAM & CO INC. (FILE 33-40191 APR. 26) (BR. 3)
- S-8 PENNZOIL CO /DE/, PENNZOIL PL, P O BOX 2967, HOUSTON, TX 77252 (713) 546-4000 850,000 (\$63,325,000) COMMON STOCK. (FILE 33-40192 APR. 26) (BR. 11)
- S-8 LONE STAR INDUSTRIES INC, 300 FIRST STAMFORD PL, P O BOX 120014, STAMFORD, CT 06912 (203) 969-8600 300,000 (\$1,631,100) COMMON STOCK. (FILE 33-40193 APR. 26) (BR. 10)
- S-3 MCDONALDS CORP, ONE MCDONALDS PLZ, OAK BROOK, IL 60521 (708) 575-3000 7,754,712 (\$274,414,920) COMMON STOCK. (FILE 33-40194 APR. 26) (BR. 12)
- S-1 STAODYN INC, 1225 FLORIDA AVE, PO BOX 1379, LONGMONT, CO 80501 (303) 772-3631 690,000 (\$3,105,000) COMMON STOCK. 345,000 (\$3,105,000) COMMON STOCK. 60,000 (\$102) WARRANTS, OPTIONS OR RIGHTS. 60,000 (\$324,000) COMMON STOCK. 30,000 (\$270,000) COMMON STOCK. UNDERWRITER: COHIG & ASSOCIATES INC. (FILE 33-40195 APR. 26) (BR. 8)
- S-3 BALL CORP, 345 S HIGH ST, P O BOX 2407, MUNCIE, IN 47307 (317) 747-6100 300,000 (\$8,231,250) COMMON STOCK. (FILE 33-40196 APR. 26) (BR. 9)
- S-1 DIGICON INC, 3701 KIRBY DR, STE 112, HOUSTON, TX 77098 (713) 526-5611 707,547 (\$1,500,000) COMMON STOCK. (FILE 33-40197 APR. 29) (BR. 4)
- S-8 BALL CORP, 345 S HIGH ST, P O BOX 2407, MUNCIE, IN 47307 (317) 747-6100 50,000 (\$1,371,875) COMMON STOCK. (FILE 33-40199 APR. 26) (BR. 9)
- S-3 AMERICAN CLAIMS EVALUATION INC, 375 N BROADWAY, JERICHO, NY 11753 (516) 938-8000 120,000 (\$435,000) COMMON STOCK. (FILE 33-40200 APR. 29) (BR. 9)

REGISTRATIONS CONTINUED

- S-2 ADVANCED NMR SYSTEMS INC, 30 SONAR DR, WOBURN, MA 01801 (617) 938-6046 1,894,000 (\$8,769,220) COMMON STOCK. 498,000 (\$2,833,620) COMMON STOCK. 498,000 (\$996,000) COMMON STOCK. (FILE 33-40201 APR. 29) (BR. 8)
- S-1 CELEBRITY RESORTS INC, 1064 HOWELL BRANCH RD, WINTER PARK, FL 32789 (407) 629-2552
 2,625,000 (\$5,250,000) COMMON STOCK. 591,667 (\$1,331,251) COMMON STOCK. 425,000
 (\$318,750) WARRANTS, OPTIONS OR RIGHTS. 425,000 (\$850,000) COMMON STOCK. (FILE 33-40203 APR. 29) (BR. 12)
- S-1 PARKDALE CAPITAL GROUP INC, 4332 CARROLLWOOD VILLAGE DR, TAMPA, FL 33624
 (813) 960-1595 250,000 (\$2,500,000) COMMON STOCK. 1,250,000 (\$5,000,000)
 COMMON STOCK. 115,000 (\$1,500,000) COMMON STOCK. (FILE 33-40204 APR. 29) (BR. 14
 NEW ISSUE)
- \$-8 MCDONNELL DOUGLAS CORP, P O BOX 516, ST LOUIS, MO 63166 (314) 232-8484 180,000 (\$8,487,000) COMMON STOCK. (FILE 33-40205 APR. 29) (BR. 12)
- S-8 MCDONNELL DOUGLAS CORP, P O BOX 516, ST LOUIS, MO 63166 (314) 232-8484 510,000 (\$24.033.750) COMMON STOCK. (FILE 33-40206 APR. 29) (BR. 12)
- S-8 MCDONNELL DOUGLAS CORP, P 0 BOX 516, ST LOUIS, MO 63166 (314) 232-8484 11,000 (\$518,375) COMMON STOCK. (FILE 33-40207 APR. 29) (BR. 12)
- S-3 NATIONAL MEDICAL ENTERPRISES INC /NV/, 2700 COLORADO AVE, SANTA MONICA, CA 90404 (213) 315-8000 1,909,274 (\$93,554,426) COMMON STOCK. UNDERWRITER: MERRILL LYNCH & CO. (FILE 33-40212 APR. 29) (BR. 5)
- S-3 FOOTHILL GROUP INC, 11111 SANTA MONICA BLVD, LOS ANGELES, CA 90025 (213) 478-8383 3,864,465 (\$16,887,712.50) COMMON STOCK. (FILE 33-40213 APR. 29) (BR. 12)
- S-8 PENRIL DATACOMM NETWORKS INC, 1300 QUINCE ORCHARD BLVD, GAITHERSBURG, MD 20878 (301) 417-0552 666,667 (\$6,916,670.13) COMMON STOCK. (FILE 33-40216 APR. 29) (BR. 9)
- S-3 PENRIL DATACOMM NETWORKS INC, 1300 QUINCE ORCHARD BLVD, GAITHERSBURG, MD 20878 (301) 417-0552 480,000 (\$4,980,000) COMMON STOCK. (FILE 33-40217 APR. 29) (BR. 9)
- S-8 PENRIL DATACOMM NETWORKS INC, 1300 QUINCE ORCHARD BLVD, GAITHERSBURG, MD 20878 (301) 417-0552 133,333 (\$1,383,329.88) COMMON STOCK. (FILE 33-40218 APR. 29) (BR. 9)
- S-3 CYTOGEN CORP, 600 COLLEGE RD EAST, PRINCETON, NJ 08540 (609) 987-8270 2,300,000 (\$34,785,500) COMMON STOCK. UNDERWRITER: LEHMAN BROTHERS, MERRILL LYNCH & CO. (FILE 33-40219 APR. 29) (BR. 8)
- S-1 METROPOLITAN MORTGAGE & SECURITIES CO INC, W 929 SPRAGUE AVE, SPOKANE, WA 99204 (509) 838-3111 - 200,000 (\$20,000,000) PREFERRED STOCK. (FILE 33-40221 - APR. 29) (BR. 12)
- S-3 MAGNETEK INC, 11150 SANTA MONICA BLVD, 15TH FL, LOS ANGELES, CA 90025 (213) 473-6681 2,192,154 (\$33,298,819.26) COMMON STOCK. (FILE 33-40222 APR. 29) (BR. 7)
- S-8 AMETEK INC, STATION SQUARE, PAOLI, PA 19301 (215) 647-2121 5,902,723 (\$73,415,117) COMMON STOCK. (FILE 33-40223 APR. 29) (BR. 8)
- S-3 CML GROUP INC, 524 MAIN ST, ACTON, MA 01720 (508) 264-4155 15,000 (\$555,000) COMMON STOCK. (FILE 33-40224 APR. 29) (BR. 2)
- S-3 MELLON BANK CORP, ONE MELLON BANK CTR, 500 GRANT ST, PITTSBURGH, PA 15258 (412) 234-5000 5,750,000 (\$171,062,500) COMMON STOCK. UNDERWRITER: FIRST BOSTON CORP, GOLDMAN SACHS & CO, INVEMED ASSOCIATES, KIDDER PEABODY & CO, MERRILL LYNCH & CO. (FILE 33-40227 APR. 30) (BR. 1)

- S-3 TRANSAMERICA FINANCE CORP, 1150 S OLIVE ST, LOS ANGELES, CA 90015 (213) 742-4321 (FILE 33-40236 APR. 29) (BR. 13)
- S-1 PROMUS COMPANIES INC, 1023 CHERRY ROAD, MEMPHIS, TN 38117 (901) 762-8600 UNDERWRITER: MORGAN STANLEY & CO INC, SALOMON BROTHERS INC. (FILE 33-40237 APR. 30) (BR. 13)
- S-6 DEFINED ASSET FDS MUN INVT TR FD INTERMEDIATE TERM SER 176, P O BOX 9051, C/O MERRILL LYNCH PIERCE FENNER & SMITH, PRINCETON, NJ 08543 INDEFINITE SHARES. (FILE 33-40238 APR. 30) (BR. 22 NEW ISSUE)
- S-6 DEFINED ASSET FDS MUN INVT TR FD MULTISTATE SERIES 8S, P O BOX 9051, C/O MERRILL LYNCH PIERCE FENNER & SMITH, PRINCETON, NJ 08543 - INDEFINITE SHARES. (FILE 33-40239 - APR. 30) (BR. 22 - NEW ISSUE)
- S-6 DEFINED ASSET FDS GOV SEC INC FD MON PYMT US TREAS SERIES 11, P O BOX 9051, C/O MERRILL LYNCH PIERCE FENNER & SMITH, PRINCETON, NJ 08543 INDEFINITE SHARES. (FILE 33-40240 APR. 30) (BR. 17 NEW ISSUE)
- S-6 NUVEEN TAX EXEMPT UNIT TRUST SERIES 613, 333 WEST WACKER DR,
 C/O JOHN NUVEEN & CO INC, CHICAGO, IL 60606 INDEFINITE SHARES. DEPOSITOR:
 NUVEEN JOHN & CO INC. (FILE 33-40241 APR. 30) (BR. 22 NEW ISSUE)

ACQUISITION OF SECURITIES

Companies and individuals must report to the Commission within ten days on Schedule 13D if after the acquisition of equity securities of a public company their beneficial interest therein exceeds five percent. Persons eligible to use the short form (Schedule 13G) may in lieu of filing a Schedule 13D file a Schedule 13G within 45 days after the end of the calendar year in which the person became subject to Section 13(d)(1). Companies and individuals making a tender offer must have on file at the time the tender offer commences a Schedule 14D-1.

Below is a list of recent filings of Schedules 13D and 14D, which includes the following information: Column 1 - the company purchased (top), and the name of the purchaser; Column 2 - the type of security purchased; Column - 3 - the type of form filed; Column 4 - the date the transaction occurred; Column 5 - the current number of shares (in 000's) owned (top) and the current percent owned; Column 6 - the CUSIP number (top) and the percent owned; and Column 7 - the status of the filing, i.e., new, update or revision.

| NAME AND CLASS OF STOCK | OWNER | FORM | EVENT DATE | SHRS(000)/ | CUSIP/ PRIOR% | FILING STATUS |
|-------------------------|-------|------|------------------|------------|------------------|------------------|
| | | | | | | |
| ALBA WALDENSIAN INC | COM | | | 837 | 0120411 | 0 |
| HICKORY FURNITURE CO | ET AL | 13D | 4/30/91 | 46.1 | 42.0 | UPDATE |
| AMTECH CORP | COM | | | 0 | 0323291 | 0 |
| PEROT H ROSS | | 130 | 4/1 <i>7</i> /91 | 0.0 | 4.2 | UPDATE |
| AMTECH CORP | COM | | • | 26 | 03232910 | ס |
| POSS J MICHAEL | | 130 | 4/17/91 | 0.4 | 0.4 | UPDATE |

| NAME AND CLASS OF STOCK/OWNER | <u> </u> | FORM | | | CUSIP/ FILING PRIOR% STATUS |
|--|----------|-----------------|----------|---------------|--------------------------------|
| AMTECH CORP WALTER BROTHERS | COM | 130 | 4/17/91 | 15 0.2 | 03232910 1.2 UPDATE |
| AMTECH CORP WALTER JOHN T JR | COM | 170 | 4/17/91 | 15 0.2 | 03232910 1.2 UPDATE |
| MALIER JOHN I JR | | 130 | 4/1//71 | 0.2 | 1.2 OPDATE |
| ANAC HOLDING CORP HORGENS E & WATERFALL B E | | EXCH PFD 13D | 4/23/91 | 1,380 14.1 | 03235420 9.3 UPDATE |
| DRUG SCREENING SYS INC | COM | | | 606 | 26223810 |
| PAPPAJOHN JOHN | | 130 | 4/11/91 | | 0.0 NEW |
| GIANT YELLOWKNIFE MINES LTD | COM | | | 4,908 | 37458610 |
| BANK OF NEW ZEALAND | | 130 | 11/ 1/90 | 58.4 | 0.0 NEW |
| HEALTHCO INTL INC | COM | | | 0 | |
| AIRLIE GROUP ET AL. | | 130 | 4/30/91 | 0.0 | 9.8 UPDATE |
| HOMECORP INC | COM | | | 47 | |
| SJOGREN C STEVEN ET AL | | 130 | 4/23/91 | 6.1 | 0.0 NEW |
| HUDSON GEN CORP | COM | | | | 44378410 |
| GAMCO INVESTORS INC ET AL | | 130 | 4/30/91 | 55.9 | 57.7 UPDATE |
| INSPIRATION RES CORP | COM | | | • | 45772910 |
| MINORCO | | 130 | 5/ 1/91 | 56.1 | 56.1 UPDATE |
| INTERSPEC INC | COM | | | - | 46099710 |
| NORSK HYDRO | | 130 | 4/29/91 | 23.7 | 24.5 UPDATE |
| ITHACA BANCORP INC | COM | | | | 46564810 |
| BROWN HARRY JOE JR | | 130 | 4/22/91 | 4.4 | 5.3 UPDATE |
| KOLLMORGEN CORP | COM | | | | 50044010 |
| GAMCO INVESTORS INC ET AL | | 130 | 4/30/91 | 25.5 | 24.9 UPDATE |
| KYSOR INDL CORP DEL | COM | | | • | 50156610 |
| KYSOR INDUSTRIAL CORP ESO | T | 130 | 4/19/91 | 22.6 | 23.0 UPDATE |
| MEDICAL MKTG GROUP INC | COM | | | 1,220 | 58494310 |
| WYGOD MARTIN J | | 130 | 4/22/91 | 10.9 | 0.0 NEW |
| | OM | | | 2,513 6 | 7050910 |
| TORCHMARK CORP ET AL | | 130 | 2/28/91 | 29.0 | 27.2 UPDATE |
| OCEAN DRILLING & EXPL CO | COM | | | 31,472 | 67478610 |
| MURPHY OIL CO | | 14D-1 | 5/ 2/91 | 61.1 | 59.9 UPDATE |
| PIEDMONT FED CORP | COM | | | 128 | 72014210 |
| GARRETT DAVID TAYLOR | | 130 | 4/10/91 | 5.1 | 0.0 NEW |
| PRATT HOTEL CORP | COM | | | 34,275 | 73979310 |
| PRATT EDWARD T JR | | 130 | 8/31/90 | 67.7 | |

| | | | EVENT SHI | . 4 £000 Š2 | ALIQTO / C I | ากนิ้น |
|--|--------------|-----------|-------------|-------------|-----------------|---------|
| NAME AND CLASS OF STOCK/OWNE | ₹ | FORM | | XOWNED | | |
| | | | | | | _ |
| PRATT HOTEL CORP | COM | 495 | 0.74.00 | | 73979310 | |
| PRATT JACK E | | 130 | 8/31/90 | 68.9 | 51.2 | UPDATE |
| PRATT HOTEL CORP | COM | | | 32.648 | 73979310 |) |
| PRATT WILLIAM D | 55 1. | 130 | 8/31/90 | 64.5 | 0.0 | NEW |
| | | | | | | |
| PUBLIC SVC CO N H | COM | | | | 74448210 | |
| GOLDMAN SACHS & CO | | 13D | 4/24/91 | 5.2 | 0.0 | NEW |
| PURITAN BENNETT CORP | COM | | | 776 | 74629910 | , |
| PRIMECAP MGHT CO | CON | 130 | 4/24/91 | | | UPDATE |
| THE STATE OF | | 100 | 4, = 4, 7 . | ••• | | |
| R B & W CORP | COM | | | 197 | 74925210 |) |
| ROULSTON INV CAP LTD PRTS | SHP | 13D | 4/22/91 | 3.7 | 5.0 | UPDATE |
| CAATOUL & CAATOUL ODD | 000 | | | 47 577 | 7054/740 | |
| SAATCHI & SAATCHI ORD ROTHSCHILD J ET AL | ORD | 13D | 4/22/91 | | 78514310 0.0 | |
| KOINSCHILD J EI AL | | 130 | 4/22/71 | 0.0 | 0.0 | NE W |
| SEAGRAM LTD | COM | | | 16,442 | 81185010 |) |
| WEINBERG JOHN L ET AL | | 13D | 5/ 1/91 | 17.5 | | |
| | | | | | | |
| SENSORMATIC ELECTRS CORP | COM | 470 | | | 81726510 | |
| SOROS GEORGE ET AL | | 130 | 4/19/91 | 4.1 | 5.4 | UPDATE |
| SOUTHERN HOSPITALITY CORP | COM | | | 1.611 | 84305720 |) |
| DOMINION BANK MIDDLE TN | | 13D | 2/ 6/91 | • | | UPDATE |
| | | | | | | |
| SOUTHERN HOSPITALITY CORP | COM | 4.00- | | 560 | 84305720 | |
| ZELLE ROBERT K | | 130 | 2/ 6/91 | 8.1 | 28.9 | UPDATE |
| TSS LTD | COM | | | 1 035 | 87288910 | |
| SHEA E & M REAL PPTY TR E | | 13D | 2/18/91 | 11.9 | | NEM |
| | | | • | | | |
| U S WEST NEWVECTOR GROUP | CLASS | | | | 91289210 | |
| GOLDMAN SACHS & CO | | 130 | 4/29/91 | 1.0 | 1.2 | UPDATE |
| VONS COS INC | COM | | | 13 551 | 92886910 | |
| SAFEWAY STORES INC ET AL | CON | 13D | 5/ 1/91 | | 33.2 | |
| | | | 2, .,,, | 33.0 | 33.2 | 5. 5AIL |
| WHITTAKER CORP | CON P | AR \$0.01 | | | 96668040 | |
| PEGASUS HLDG ET AL | | 130 | 4/29/91 | 9.3 | 10.5 | UPDATE |

RECENT 8K FILINGS

Form 8-K is used by companies to file current reports on the following events:

- Item 1. Changes in Control of Registrant.
- Item 2.
- Item 3.
- Acquisition or Disposition of Assets.
 Bankruptcy or Receivership.
 Changes in Registrant's Certifying Accountant.
 Other Materially Important Events.
 Resignations of Registrant's Directors.
 Financial Statements and Exhibits.
 Change in Fiscal Year Item 4.
- Item 5.
- Item 6. Item 7.
- Change in Fiscal Year. Item 8.

The companies listed below have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. Copies of the reports may be purchased from the Commission's Public Reference Room (when ordering, please give the date of the report). An invoice will be included with the requested material when mailed.

| | STATE | 8K ITEM NO. | | |
|--|------------|--------------------|----------------------|---------|
| NAME OF ISSUER | CODE | 12345678 | DATE | COMMENT |
| AIR & WATER TECHNOLOGIES CORP | DE | ХХ | 04/17/91 | |
| AMERICAN EDUCATIONAL PRODUCTS INC | CO | x ^ ^ | | AMEND |
| ATLANTIC AMERICAN CORP | GA | x x | 04/23/91 | MILEND |
| ATLANTIS GROUP INC /DE/ | DE | $\hat{\mathbf{x}}$ | 04/10/91 | |
| BANPONCE CORP | J L | . ^ x ^ | 04/09/91 | |
| BIO MONITOR INC | DE | x | 04/08/91 | |
| BIO MONITOR INC | DE | X | 04/11/91 | |
| BOUNTY GROUP INC | DE | x x x | 04/11/91 | |
| CAROLINA FIRST BANCSHARES INC | NC | x x | 04/15/91 | |
| CLARK EQUIPMENT CO /DE/ | DE | X X | 04/22/91 | |
| CMS DATA CORP | FL | NO ITEMS | | AMEND |
| DELTA AIR LINES INC /DE/ | DE | X X | 04/23/91 | A ICHO |
| FIRST FINANCIAL BANCORPORATION /IA/ | IA | x | 02/14/91 | AMEND |
| FIRST INTERSTATE BANK OF NEVADA NATIONAL | ••• | x | 02/15/91 | MILIO |
| GI GROUP INC | FL | NO ITEMS | 04/16/91 | |
| ILLINOIS CENTRAL CORP | DE | X | 04/25/91 | |
| INACOMP COMPUTER CENTERS INC | MI | хx | 04/17/91 | |
| INDEPENDENT BANKGROUP INC | VT | x x | 04/19/91 | |
| JACOBS JAY INC | WA | ^ ^ x | 04/17/91 | |
| KANEB PIPE LINE PARTNERS L P | DE | NO ITEMS | 04/16/91 | AMEND |
| LIBRARY BUREAU INC | NY | X | 04/24/91 | MACAD |
| LTX CORP | HA | ^ x | 04/18/91 | |
| MACKINAC FINANCIAL CORP | IM | хx | 04/23/91 | |
| MARCAM CORP | HA | x î | 04/09/91 | |
| METCALF & EDDY COMPANIES INC | DE | ^ x x̂ | 04/17/91 | |
| NORWEST CORP | DE | x î | 04/19/91 | |
| PROCTER & GAMBLE CO | OH | ^ x x | 04/30/91 | |
| RALSTON PURINA CO | MO | x x | 04/30/91 | |
| REPUBLIC HOLDINGS CORP | DE | ^ x̂ | 04/10/91 | |
| ROCHESTER TELEPHONE CORP | NY | x ^ | 04/23/91 | |
| SALOMON INC | DE | хх | 04/23/91 | |
| SAN VAL INC | CO | x ^ | 04/23/91 | |
| SCI MED LIFE SYSTEMS INC | MN | | 04/17/91 | |
| SEABOARD BANCORP INC | VA | X X X X | 02/21/91 | AMEND |
| US WEST INC | CO | ^ x | 04/30/91 | AMERU |
| WESTLAND DEVELOPMENT CO INC | NM | x ^ | 04/16/91 | |
| ACQUISITION INDUSTRIES INC /CO/ | CO | | 04/10/91 | |
| AG AUTOMOTIVE WAREHOUSES INC | DE | X X X X | 02/25/91 | |
| AG AUTOMOTIVE WAREHOUSES INC | DE | x x | | |
| AMERIBANC INVESTORS GROUP | ND. | x x | 02/28/91 | |
| AMERICAN CONFECTIONERY CORP | | x î | 04/24/91 04/12/91 | |
| AMERICAN HEALTH PROPERTIES INC | UT | | | |
| AMERICAN INFORMATION TECHNOLOGIES CORP | DE De | X X | 04/23/91 | |
| AMERICAN MEDICAL BUILDINGS INC | DE | X X | 04/26/91 04/22/91 | |
| AMERICAN PACIFIC CORP/DE | | | - | |
| AMERICAN RETIREMENT VILLAS PROPERTIES II | DE | X NO ITEMS | 04/29/91 | AMENA |
| | CA | | 01/14/91 | AMEND |
| AMERIFAX INC /DE/ | DE | X | 04/29/91 | |
| AMRE INC AND CORP | DE | X | 04/23/91 | |
| ARM FINANCIAL CORP | IN | X X | 04/15/91 | |
| AND TIMARCIAL CORP | NV | x x | 04/15/91 | |

| | STATE | 8K ITEM NO. | 1 | | |
|--|-------|-------------|-----|----------|---------|
| NAME OF ISSUER | CODE | 123456 | | DATE | COMMENT |
| BANCORP OF MISSISSIPPI INC | MS | x | X | 04/23/91 | |
| BATON ROUGE BANCSHARES INC | LA | X | | 04/29/91 | |
| BAYLY CORP | DE | X | X | 04/22/91 | |
| BETA PHASE INC /DE/ | DE | X | | 04/18/91 | |
| BIOGEN INC | MA | x | X | 04/23/91 | |
| BRIGHTON INFORMATION SYSTEMS CORP | DE | x | x | 04/17/91 | |
| BUFFALO INC | FL | X | | 04/24/91 | |
| BYTEX CORP | DE | NO ITEMS | | 04/25/91 | |
| CAMELOT CORP | CO | | X | 01/31/91 | AMEND |
| CAMERA PLATFORMS INTERNATIONAL INC | DE | X | X | 04/01/91 | |
| CAPROCK CORP | CO | X | | 04/24/91 | |
| CENTRAL STEEL & WIRE CO | DE | X | | 05/01/91 | |
| CENTURY PROPERTIES FUND XX | CA | X | X | 04/16/91 | |
| CHEMEX PHARMACEUTICALS INC | DE | x | | 04/25/91 | |
| CHILDRENS CREATIVE WORKSHOP LTD | VK | X | | 04/23/91 | |
| CHILDRENS DISCOVERY CENTERS OF AMERICA I | DE | X | X | 04/12/91 | |
| CIGNA CORP | DE | X | X | 05/01/91 | |
| CINCINNATI MICROWAVE INC | OH | X | X | 04/25/91 | |
| CITADEL ASSET MANAGEMENT LTD | CO | X | X | 04/24/91 | |
| CODORUS VALLEY BANCORP INC | PA | X | | 04/24/91 | |
| COLLAGEN CORP /DE | DE | X | X | 04/04/91 | |
| COLUMBIA GAS SYSTEM INC | DE | X | X | 05/01/91 | |
| CONSOLIDATED FIBRES INC | DE | X | X | 04/26/91 | |
| CONSTON CORP | PA | X | X | 04/18/91 | |
| CONTINENTAL INFORMATION SYSTEMS CORP | NY | ХX | | 03/14/91 | |
| COUNTRY WIDE TRANSPORT SERVICES INC | DE | X | | 04/17/91 | AMEND |
| CPC REXCEL INC | DE | X | | 04/16/91 | |
| CROWN RESOURCES CORP | WA | X | X | 04/16/91 | |
| DAYTON HUDSON CORP | MN | X | X | 04/25/91 | |
| DENTO MED INDUSTRIES INC | NY | X | X | 04/22/91 | |
| DIAGNOSTIC SCIENCES INC /NEW/ | DE | | X | 02/28/91 | AMEND |
| DIVERSIFIED HUMAN RESOURCES GROUP INC | TX | X | | 04/22/91 | |
| DURAKON INDUSTRIES INC | MI | X | X | 04/15/91 | |
| EAC INDUSTRIES INC | NY | X | X | 04/22/91 | |
| ENTERGY CORP | FL | X | | 04/22/91 | |
| EVERFLOW EASTERN PARTNERS LP | DE | X | X | 02/15/91 | AMEND |
| F A COMPUTER TECHNOLOGIES INC | DE | X | X | 04/19/91 | |
| FAMILY DOLLAR STORES INC | DE | X | | 04/24/91 | |
| FCC 1990-A GRANTOR TRUST | CA | X | X | 04/15/91 | |
| FINGERMATRIX INC | NY | X | | 04/26/91 | |
| FIREMANS FUND MORTGAGE CORP | DE | X | X | 04/25/91 | |
| FIRST FEDERAL ENTERPRISES INC | FL | X | X | 04/30/91 | |
| FIRST FILMS INC | CO | X | X | 04/18/91 | |
| FIRST SECURITY CORP OF KENTUCKY | KY | X | | 04/29/91 | |
| FIRST UNITED INC | DE | | X | 04/06/91 | AMEND |
| FIRSTCORP INC | DE | X | X | 04/25/91 | |
| FLAGSHIP FINANCIAL CORP | PA | X | X | 04/24/91 | |
| FLEMING COMPANIES INC /OK/ | OK | X | | 04/30/91 | |
| FRENCHTEX INC | FL | X | X · | 08/13/90 | |
| GE CAPITAL MORTGAGE SERVICES INC | NJ | X | | 04/25/91 | |
| GENERAL HOMES CORP /TX/ | TX | X | X | 03/25/91 | |
| GEOSTAR CORP /DE/ | DE | X | X | 02/27/91 | |
| GEOTHERMAL RESOURCES INTERNATIONAL INC | DE | NO ITEMS | | 04/19/91 | |

| | STATE | 8K 1T | EM NO. | | | |
|--|-------|-------|--------|---|----------|---------|
| NAME OF ISSUER | CODE | | 456 | | DATE | COMMENT |
| CLCA TROUTCE INC | | | | | 04/23/91 | |
| GIGA TRONICS INC | CA | | • | (| | |
| GW UTILITIES LTD | w | | v | X | 02/15/91 | |
| HARCOURT BRACE JOVANOVICH INC | NY | | X | v | 04/26/91 | |
| HARPER GROUP INC /DE/ | DE | v | X | X | 04/23/91 | |
| HATHAWAY CORP | co | X | | X | 04/15/91 | |
| HOME SAVINGS OF AMERICA FA | | | X | X | 04/25/91 | |
| HYDRAULIC CO | DE | | X | | 04/24/91 | |
| IMMUNE RESPONSE INC | co | | X | X | 04/11/91 | |
| INLAND LAND APPRECIATION FUND II LP | DE | X | | X | 04/16/91 | |
| INTERLINE RESOURCES CORP | υT | X | X | X | 03/31/91 | |
| INTERNATIONAL META SYSTEMS INC/DE/ | DE | | X | | 04/26/91 | |
| ITT FINANCIAL CORP | DE | | | X | 04/22/91 | |
| KERKHOFF INDUSTRIES INC | | | X | X | 04/25/91 | |
| LIBERTY MEDIA CORPORATION | DE | | X | X | 04/22/91 | |
| LIFEWAY FOODS INC | ΙL | | X | X | 04/13/91 | |
| LONG ISLAND CITY FINANCIAL CORP | DE | | X | | 04/29/91 | |
| LRH INCOME PROPERTIES LTD | CA | | X | | 04/11/91 | |
| MANAGEMENT TECHNOLOGIES INC | NY | | X | | 04/24/91 | |
| MARINE MIDLAND CREDIT CARD TRUST 1990 A | | | X | X | 04/15/91 | |
| MARINE MIDLAND 1987-1 CARS SM TRUST | NY | | X | X | 04/15/91 | |
| MARINE MIDLAND 1988-1 CARS R TRUST | NY | | X | X | 04/15/91 | |
| MARINE MIDLAND 1988-2 CARS R TRUST | NY | | X | X | 04/15/91 | |
| MARINE MIDLAND 1989 1 CARS R TRUST | NY | | X | X | 04/15/91 | |
| MARINE MIDLAND 1989-2 CARS R TRUST | NY | | X | X | 04/15/91 | |
| MAY DEPARTMENT STORES CO | NY | | X | X | 04/25/91 | |
| MDC HOLDINGS INC | DE | | X | | 03/01/91 | |
| MELLON BANK CORP | PA | | X | X | 04/30/91 | |
| MERRILL LYNCH & CO INC | DE | | X | X | 05/01/91 | |
| MILLER BUILDING SYSTEMS INC | DE | | X | | 04/23/91 | |
| MOLECULAR BIOSYSTEMS INC | DE | | X | | 04/25/91 | |
| MONTANA PRECISION HINING LTD | WA | | X | X | 04/26/91 | |
| MSR EXPLORATION LTD | | | X | X | 04/19/91 | |
| NATIONAL ENTERPRISES INC | IN | x | X | | 04/24/91 | |
| NATIONAL MEDIA CORP | DE | X | • | X | 04/16/91 | |
| NATURAL ALTERNATIVES INTERNATIONAL INC | DE | | X | X | 04/25/91 | |
| NAVISTAR FINANCIAL DEALER NOTE TRUST 199 | DE | | ΩX | X | 04/25/91 | |
| NDE ENVIRONMENTAL CORP | DE | ХX | ^ | x | 04/15/91 | |
| NEW IBERIA BANCORP INC | LA | x | | ^ | 04/29/91 | |
| NEW ORLEANS PUBLIC SERVICE INC | LA | ^ | х | | • - | |
| NEWPORT INVESTMENTS INC | CO | vv | ^ | v | 04/22/91 | |
| NL INDUSTRIES INC | NJ | хх | v | X | 04/30/91 | |
| | NJ | | X | X | 04/26/91 | |
| NORWEST AUTOMOBILE TRUST 1990 A OMICRON HOLDINGS INC | ~~ | | X | X | 04/15/91 | • |
| OSICOM TECHNOLOGIES INC | CO | | X | X | 04/25/91 | |
| OUTBOARD MARINE CORP | NJ | | X | | 04/29/91 | |
| PARKER AUTOMOTIVE CORP | DE | | X | X | 04/18/91 | |
| | DE | | X | | 04/23/91 | |
| PLACER BANCORPORATION | CA | | X | | 04/30/91 | |
| PROSPECT PARK FINANCIAL CORP /DE/ | DE | Х | | X | 04/19/91 | |
| PROTECTIVE LIFE CORP | DE | | X | | 04/25/91 | |
| PUBLIC SERVICE CO OF NEW HAMPSHIRE | NH | | X | | 05/01/91 | |
| PUBLICKER INDUSTRIES INC | PA | | X | X | 04/19/91 | |
| QUIKSILVER INC | DE | | | X | 02/13/91 | AMEND |
| RESTAURANT HOTLINE SYSTEMS INC | NY | | X | X | 04/23/91 | |

| | STATE | SK ITEM NO. | |
|--|-------|--|----------------|
| NAME OF ISSUER | CODE | 12345678 | DATE COMMENT |
| | | | |
| ROCHESTER MEDICAL CORPORATION | MN | Χ | 04/24/91 |
| ROTECH MEDICAL CORP | FL | X | 12/28/90 AMEND |
| RURAL HOUSING TRUST 1987-1 | DE | X | 04/01/91 |
| SCI MED LIFE SYSTEMS INC | MN | . х х | 04/24/91 |
| SCIENTIFIC INDUSTRIES INC | DE | X | 04/26/91 |
| SEALRIGHT CO INC | DE | X | 12/28/90 AMEND |
| SENIOR SERVICE CORP | DE | х х | 02/05/91 AMEND |
| SFFED CORP | DE | X X | 04/30/91 |
| SHAWMUT NATIONAL CREDIT CARD TRUST 1990 | | X X | 02/08/91 |
| SHOWSCAN CORP | DE | X X | 04/22/91 |
| SHURGARD INCOME PROPERTIES SEVEN | WA | х х | 01/29/91 |
| SIERRA CAPITAL REALTY TRUST VI CO | MO | X X | 04/04/91 |
| SIERRA CAPITAL REALTY TRUST VII CO | МО | X X | 04/04/91 |
| SIERRA CAPITAL REALTY TRUST VIII CO | Ю | X X | 04/04/91 |
| SIERRA REAL ESTATE EQUITY TRUST 83 | CA | X X | 04/04/91 |
| SIERRA REAL ESTATE EQUITY TRUST 84 CO | МО | X X | 04/04/91 |
| SOUTHERN CALIFORNIA EDISON CO | CA | X X | 04/30/91 |
| SOUTHERN NEW ENGLAND TELEPHONE CO | CT | X X | 04/11/91 |
| STEPAN CO | DE | X | 03/28/91 |
| SUFFIELD FINANCIAL CORP | DE | X | 04/29/91 |
| SURVIVAL TECHNOLOGY INC | DE | X X | 04/16/91 |
| SWISS CHALET INC | PR | X | 04/19/91 |
| SYSTEM ENERGY RESOURCES INC | AR | X | 04/22/91 |
| TEXAS UTILITIES ELECTRIC CO | TX | X | 04/30/91 |
| THRIFTY TEL INC | DE | X | 04/05/91 |
| TONKA CORP | 1404 | X | 04/30/91 |
| TRADITIONAL INDUSTRIES INC | CA | $\mathbf{x} \mathbf{x} \mathbf{x} \mathbf{x}$ | 03/18/91 |
| TRANSCANADA PIPELINES LTD | | X X | 04/25/91 |
| TRANSCO ENERGY CO | DE | X | 04/30/91 |
| TREMONT CORPORATION | DE | x x | 04/24/91 |
| U S ENVIRONMENTAL INC | DE | x x | 05/25/91 |
| UNIMED INC | DE | х х | 02/15/91 |
| UNITED FEDERAL BANCORP INC | DE | X | 04/22/91 |
| UNITED THERMAL CORP | DE | хх | 04/29/91 |
| UNIVERSITY PATENTS INC | DE | X | 11/30/90 AMEND |
| UNIVERSITY PATENTS INC | DE | X | 04/17/91 |
| UST FASTBACS 1988-A GRANTOR TRUST | KA | NO ITEMS | 04/22/91 |
| VISION SCIENCES INC | CA | X | 03/29/91 |
| VOLUNTEER BANCSHARES INC | TN | X | 04/16/91 |
| WESTERN FEDERAL SAVINGS & LOAN ASSOCIATI | • • • | × | 04/25/91 |
| WESTLAND DEVELOPMENT CO INC | ММ | x | 04/16/91 AMEND |
| WILLIAMS CONTROLS INC | DE | хх | 04/18/91 |
| XSCRIBE CORP /CA/ | CA | x x | 04/22/91 |
| YUKON ENERGY CORP | MN | ^x x̂ | 04/15/91 |
| 1600 MARKET STREET ASSOCIATES | PA | x ^ ^ | 04/19/91 |
| IOON WAKEL SIKEEL MOOULINIES | FA | ^ | U-7 17/7 1 |