

# sec news digest

Issue 89-227

November 29, 1989

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## ADMINISTRATIVE PROCEEDINGS

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### PROCEEDINGS INSTITUTED AGAINST RICK EDWARD MYERS

The Commission instituted administrative proceedings against Rick E. Myers, doing business as Rick Edward Myers, CFP, of Ada, Michigan. The Order Instituting Public Proceedings alleges that Myers was permanently enjoined from future violations of Sections 5 and 17 of the Securities Act of 1933 and Section 10(b) of the Securities Exchange Act of 1934 and Rule 10b-5, and that he willfully violated those provisions of the securities laws. The Order also alleges that Myers failed to promptly amend his application for registration as an investment adviser to disclose his indictment on July 27, 1989 on 20 counts of mail fraud by a federal grand jury in Grand Rapids, Michigan.

A hearing will be scheduled to take evidence on the staff's allegations and to afford respondent an opportunity to present any defenses thereto. (Rel. 34-27457)

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## CIVIL PROCEEDINGS

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### DISTRICT COURT ENJOINS FREE-RIDERS

The Commission announced that on November 22 Judge Richard Owen, U.S. District Court for the Southern District of New York, issued an Opinion and Order which granted the Commission's motion for a preliminary injunction and other relief against Jury Matt Hansen, Fergus Sloan, Jr., and Fermat Associates. The Commission had alleged that defendants engaged, from 1985 to 1989, in a massive "free-riding" scheme in which they would order for purchase hundreds of millions of dollars of securities in broker-dealer cash accounts without sufficient funds to pay for such securities. Instead, the Commission alleged that defendants paid for the securities purchases with the proceeds of the same day sale of the same securities, in violation of the antifraud and credit extension provisions of the securities laws. Judge Owen continued a freeze over the defendants' assets which had previously been in effect, until there is a final disposition of the matter. The Commission is seeking over \$3 million in disgorgement from defendants. (SEC v. Jury Matt Hansen, et al., USDC SDNY, 89 Civ. 5242, RO). (LR-12306)

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## INVESTMENT COMPANY ACT RELEASES

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### NATIONAL BOND FUND

A notice has been issued giving interested persons until December 21 to request a hearing on an application filed by National Bond Fund and National Securities & Research Corporation for an order exempting them from the provisions of Section 17(a) of the Investment Company Act to permit the Adviser of the Fund to purchase certain portfolio securities from the Fund. (Rel. IC-17237 - Nov. 24)

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## HOLDING COMPANY ACT RELEASES

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### EASTERN EDISON COMPANY

Notices have been issued giving interested persons until December 11 to request a hearing on a proposal by the following company filed under Release 35-24986, dated November 16: EASTERN EDISON COMPANY, Montaup Electric Company, Blackstone Valley Electric Company, and EUA Service Corporation, subsidiaries of Eastern Utilities Associates, whereby the subsidiaries will issue short-term notes, through December 31, 1991, each in the aggregate principal amount outstanding at any one time not to exceed \$25 million for Eastern, \$40 million for Montaup, \$12 million for Blackstone, and \$5 million for EUA Service.

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## LISTING, DELISTING AND UNLISTED TRADING ACTIONS

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### UNLISTED TRADING SOUGHT

Notices have been issued giving interested persons until December 13 to comment on the application of the following stock exchanges for unlisted trading privileges in the specified number of issues which are listed and registered on one or more other national securities exchanges and are reported in the consolidated transaction reporting system: Boston Stock Exchange - 24 issues. (Rel. 34-27461); Philadelphia Stock Exchange - seven issues. (Rel. 34-27463); and the Midwest Stock Exchange - five issues. (Rel. 34-27464)

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## SELF-REGULATORY ORGANIZATIONS

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### NOTICE OF PROPOSED RULE CHANGES

A proposed rule change has been filed under Rule 19b-4 by: The Cincinnati Stock Exchange (SR-CSE-89-5) to increase the net capital requirement for Designated Dealers to \$100,000. (Rel. 34-27458); and The American Stock Exchange (SR-Amex-89-25) to amend Article VIII, Section 2(b) and (c) of its Constitution in order to clarify its arbitration procedures. (Rel. 34-27459)

Publication of the proposals are expected to be made in the Federal Register during the week of December 4.

### IMMEDIATE EFFECTIVENESS OF PROPOSED RULE CHANGE

The Municipal Securities Rulemaking Board filed an interpretation of Rules G-12 and G-15 concerning calculation of price and yield on continuously callable securities. The proposal (SR-MSRB-89-8) applies the Board's previous interpretations in this area to the securities in question. (Rel. 34-27460)

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## SECURITIES ACT REGISTRATIONS

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The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

- S-1 METS INC /IN/, SUITE 200, 10585 NORTH MERIDIAN ST, INDIANAPOLIS, IN 46290  
(317) 573-2200 - 958,334 (\$5,750,004) COMMON STOCK. 3,125,001 (\$6,250,002)  
COMMON STOCK. 1 (\$8) WARRANTS, OPTIONS OR RIGHTS. 83,333 (\$600,000) COMMON STOCK.  
1,200,000 (\$7,200,000) PREFERRED STOCK. UNDERWRITER: JAMES THOMAS ASSOCIATES INC.  
(FILE 33-32121 - NOV. 20) (BR. 9 - NEW ISSUE)
- S-1 REDWOOD EQUIPMENT LEASING INCOME FUND LP, 225 E REDWOOD ST, BALTIMORE, MD 21202  
(301) 727-4140 - 3,000,000 (\$75,000,000) LIMITED PARTNERSHIP CERTIFICATE. (FILE  
33-32125 - NOV. 20) (BR. 5 - NEW ISSUE)

- S-1 WAYNE BANCORP INC /DE/, 1195 HAMBURG TURNPIKE, WAYNE, NJ 07470 (201) 305-5500 - 1,150,000 (\$11,902,500) COMMON STOCK. UNDERWRITER: BECK RYAN, COMMUNITY CAPITAL GROUP. (FILE 33-32126 - NOV. 20) (BR. 1 - NEW ISSUE)
- N-1A SLH FUND FOR THE FUTURE, 31 WEST 52ND ST, NEW YORK, NY 10019 (212) 528-2744 - INDEFINITE SHARES. (FILE 33-32128 - NOV. 21) (BR. 18 - NEW ISSUE)
- S-18 MULTILIFE INC, SUITE 102, 5800 FRANKLIN, DENVER, CO 80216 (303) 292-2002 - 162,500 (\$1,300,000) COMMON STOCK. 325,000 (\$1,300,000) COMMON STOCK. 16,250 (\$1) WARRANTS, OPTIONS OR RIGHTS. 16,250 (\$156,000) COMMON STOCK. 32,500 (\$156,000) COMMON STOCK. UNDERWRITER: AESIR SECURITIES INC. (FILE 33-32136-D - NOV. 15) (BR. 3 - NEW ISSUE)
- S-1 PDC 1990 DRILLING PROGRAM, 103 EAST MAIN ST, BRIDGEPORT, WV 26330 (800) 624-3821 - 1,000 (\$20,000,000) LIMITED PARTNERSHIP CERTIFICATE. (FILE 33-32195 - NOV. 21) (BR. 4 - NEW ISSUE)
- S-1 NORTH OAKS PARTNERSHIP, 2330 WEST JOPPA RD, LUTHERVILLE, MD 21093 (301) 494-9200 - 13,600,000 (\$13,600,000) MORTGAGE BONDS. UNDERWRITER: ZIEGLER BC & CO. (FILE 33-32197 - NOV. 21) (BR. 6 - NEW ISSUE)
- S-8 HERITAGE MEDIA CORP, 13355 NOEL RD STE 1500, DALLAS, TX 75240 (214) 702-7380 - 5,000,000 (\$15,000,000) COMMON STOCK. (FILE 33-32200 - NOV. 21) (BR. 8)
- S-8 NORDSON CORP, 28601 CLEMENS RD, WESTLAKE, OH 44145 (216) 892-1580 - 600,000 (\$31,950,000) COMMON STOCK. (FILE 33-32201 - NOV. 21) (BR. 9)
- S-2 PRESIDIO OIL CO, 5613 DTC PKWY STE 750, C/O GRANT E THAYER, ENGLEWOOD, CO 80111 (303) 773-0100 - 11,500,000 (\$115,000,000) COMMON STOCK. (FILE 33-32202 - NOV. 21) (BR. 3)
- S-3 SUNSHINE MINING CO /DE/, 300 CRESCENT CT 15TH FLR, DALLAS, TX 75201 (214) 855-8700 - 5,750,000 (\$21,921,875) COMMON STOCK. UNDERWRITER: DREXEL BURNHAM LAMBERT. (FILE 33-32244 - NOV. 21) (BR. 3)
- S-4 BANKAMERICA CORP, BANK OF AMERICA CTR, 555 CALIFORNIA ST, SAN FRANCISCO, CA 94104 (415) 622-2091 - 125,000 (\$3,726,563) COMMON STOCK. (FILE 33-32245 - NOV. 21) (BR. 1)
- N-1A TRANSAMERICA TAX FREE FUND INC, 1000 LOUISIANA STE 6000, HOUSTON, TX 77002 (312) 719-6362 - INDEFINITE SHARES. (FILE 33-32246 - NOV. 21) (BR. 18)
- S-4 ROCHESTER TELEPHONE CORP, ROCHESTER TEL CENTER, 180 S CLINTON AVE, ROCHESTER, NY 14646 (716) 777-7330 - 263,254 (\$2,024,423.26) COMMON STOCK. (FILE 33-32247 - NOV. 21) (BR. 7)
- S-8 UTILICORP UNITED INC, 911 MAIN, KANSAS CITY, MO 64105 (816) 421-6600 - 500,000 (\$16,000,000) COMMON STOCK. (FILE 33-32248 - NOV. 21) (BR. 8)
- S-8 BELL SAVINGS HOLDINGS INC, 9 S 69TH ST, UPPER DARBY, PA 19082 (215) 734-1000 - 120,000 (\$1,560,000) COMMON STOCK. (FILE 33-32249 - NOV. 21) (BR. 2)
- S-3 TUESDAY MORNING INC, 14621 INWOOD RD, DALLAS, TX 75244 (214) 387-3562 - 200,000 (\$2,650,000) COMMON STOCK. (FILE 33-32250 - NOV. 21) (BR. 1)
- S-1 NEWBRIDGE GROUP INC, 40 WEST 57TH ST STE 415, NEW YORK, NY 10019 (212) 333-7201 - 10,000 (\$15,000,000) CONVERTIBLE DEBENTURES AND NOTES. UNDERWRITER: WHARTON FINANCIAL CORP INC. (FILE 33-32252 - NOV. 22) (BR. 11 - NEW ISSUE)
- S-1 BROOKSFILMS INC, 10201 W PICO BLVD, LOS ANGELES, CA 90035 (213) 203-1375 - 1,725,000 (\$18,975,000) COMMON STOCK. 575,000 (\$6,325,000) COMMON STOCK. 150,000 (\$1,650,000) WARRANTS, OPTIONS OR RIGHTS. 150,000 (\$550,000) COMMON STOCK. UNDERWRITER: OPPENHEIMER & CO INC. (FILE 33-32253 - NOV. 22) (BR. 12 - NEW ISSUE)
- S-3 NATIONAL CITY BANCSHARES INC, P O BOX 868, 227 MAIN ST, EVANSVILLE, IN 47705 (812) 425-6261 - 150,000 (\$4,500,000) COMMON STOCK. (FILE 33-32254 - NOV. 20) (BR. 2)

REGISTRATIONS EFFECTIVE

Oct. 5: Great Fingall Mining Company N.L., 33-31154.  
 Oct. 20: Western Mining Corporation Holdings Ltd., 33-31454.  
 Nov. 6: APA Optics, Inc., 33-30990; Chemical Banking Corporation, 33-31655; Fiberchem, Inc., 33-29338; Financial Corporation of Santa Barbara, 33-30605; Fleet Mortgage Securities, Inc., 33-31272; Fourth Financial Corporation, 33-31758; Hartford Life Insurance Company, 33-19093; National Total Income Fund, 33-31120; Nerco Inc., 33-31841; Olde Windsor Bancorp Inc., 33-27934; Panda Energy Corp., 33-30937-FW; Travelers Mortgage Services, Inc., 33-31669; Triton Energy Corporation, 33-31319; Tuboscope Holdings Corporation, 33-31102; Wolters Kluwer N.V., 33-31903.  
 Nov. 7: Chemex Pharmaceuticals, Inc., 33-30685; Chicago and North Western Holdings Corp., 33-31707; Davin Computer Corp., 33-27791; Del Electronics Corp., 33-30446; First Financial Management Corporation, 33-31915; The First Philippine Fund Inc., 33-30953; First Security Corporation, 33-31199; The Government Securities Income Fund, GNMA 1P, 33-25670; The Government Securities Income Fund, GNMA 1Q-NRA, 33-25672; IEA Income Fund X, L.P., 33-30245; Imagine America, Inc., 33-30053-NY; Letchworth Independent Bancshares Corporation, 33-31149-NY; RPS Corporation, 33-31851; Sierra Tahoe Bancorp, 33-28000; SynOptics Communications, Inc., 33-31779; Telephone and Data Systems, Inc., 33-31613;  
 Nov. 8: Amtech Corporation, 33-31209; Brown Disc Products Company, Inc., 33-31068; Citizens Bancshares, Inc., 33-31296; Dahlonga Bancorp, Inc., 33-31565; Energy Service Company, Inc., 33-31228; First USA Bank, 33-31412; First Virginia Banks, Inc., 33-31890; Fourth Financial Corporation, 33-31928; Fourth Energy Corporation, 33-30686; Lattice Semiconductor Corp., 33-31231; Lemon-Aid of America, Inc., 33-30736-D; MBNA American Bank, N.A., 33-30896; Magellan Technology, Inc., 33-31229-D; Matthews Studio Equipment Group, 33-30963-LA; Penril Corporation, 33-31824; Plantation Capital Corporation, 33-29763-A; Rochester Telephone Corporation, 33-31762, 33-31763, 33-31764, 33-31772; Sanwa Bank California/Sanwa Bank California Auto Grantor Trust 1989-1, 33-30204, 33-30204-01; Textainer Equipment Income Fund II, L.P., 33-29990; Valley Forge Scientific Corporation, 33-31008-NY; Weatherford International Incorporated, 33-31380.  
 Nov. 9: Advanced Environmental Recycling Technologies, Inc., 33-29593, 33-29595; Ajay Sports, Inc., 33-30760; Allegheny Bankshares Corporation, 33-30858; Apogee Robotics, Inc., 33-7805; Asset Growth Partners, Inc., 33-30783; BSD Bancorp, Inc., 33-31643; Bergen Brunswig Corporation, 33-31610; CRS Serrine, Inc., 33-31397; The Columbia Gas System, Inc., 33-31605; Consumer Power Company, 33-31866; Empire State Municipal Exempt Trust, Guaranteed Series 51, 33-30130; Fastenal Company, 33-31776; H.F. Ahmanson & Company, 33-27902; Huntington Bancshares Incorporated, 33-31504; Iowa National Bankshares Corp., 33-31676; MCI Communications Corp., 33-31600; Mass Microsystems, Inc., 33-31213; Microscience International Corporation, 33-30349; Millicom Incorporated, 33-31666; Nichols Institute, 33-31217; Ratners Group plc, 33-31027; PBM Bancorp, 33-28477; Pacificare Health Systems, Inc., 33-31541; Property Secured Investments, Inc., 33-26026; The Prospect Group, Inc., 33-28986; Thermo Environmental Corporation, 33-31917; Union Pacific Corporation, 33-31508.

**ACQUISITIONS OF SECURITIES**

Companies and individuals must report to the Commission within ten days on Schedule 13D if after the acquisition of equity securities of a public company their beneficial interest therein exceeds five percent. Persons eligible to use the short form (Schedule 13G) may in lieu of filing a Schedule 13D file a Schedule 13G within 45 days after the end of the calendar year in which the person became subject to Section 13(d)(1). Companies and individuals making a tender offer must have on file at the time the tender offer commences a Schedule 14D-1.

Below is a list of recent filings of Schedules 13D and 14D, which includes the following information: Column 1 - the company purchased (top), and the name of the purchaser; Column 2 - the type of security purchased; Column 3 - the type of form filed; Column 4 - the date the transaction occurred; Column 5 - the current number of shares (in 000's) owned (top) and the current percent owned; Column 6 - the CUSIP number (top) and the prior percent owned; and Column 7 - the status of the filing, i.e., new, update or revision.

NAME AND CLASS OF STOCK/OWNER	FORM	EVENT DATE	SHRS(000)/% OWNED	CUSIP/ PRIOR%	FILING STATUS
AMTECH CORP ANDERSON KENNETH W	COM	13D 11/ 8/89	334 5.4	03232910 0.0	NEW

ACQUISITIONS REPORTS CONT.

AMTECH CORP COOK DAVID P	COM	13D	11/ 8/89	767 12.4	03232910 0.0	NEW
AVON PRODS INC BASS ROBERT M.ET AL	COM	13D	11/10/89	3,310 6.1	05430310 0.0	NEW
BRUSH WELLMAN INC STATE OF WISCONSIN INVEST	COM ED	13D	10/31/89	851 5.1	11742110 0.0	NEW
CALVIN EXPL INC D A R A INC	COM	13D	11/ 6/89	2,400 36.4	13165810 0.0	NEW
CARME INC HARVEY THOMAS D	COM NEW	13D	11/15/89	258 9.6	14313220 7.7	UPDATE
CENDEL CORP GAMCO INVESTORS INC ET AL	COM	13D	11/ 8/89	3,069 5.5	15133410 0.0	NEW
DATAPRODUCTS CORP CREDITCORP INTL	COM	13D	11/ 8/89	1,039 5.2	23810710 0.0	NEW
EDISON CTL CORP KORNELSEN INVMT GROUP ET AL	COM	13D	11/10/89	105 0.5	28098310 0.0	NEW
EHRlich BOEER FINL CORP LEE JOHN D	COM	13D	11/15/89	181 5.2	28245210 0.0	NEW
ENVIROPACT INC LAIDLAW TRANS LTD ET AL	COM	13D	11/ 9/89	952 31.8	29391410 0.0	NEW
EPBAMONT N V MONTEDISON S R A ET AL	COM	14D-1	11/21/89	31,582 71.3	29420810 24.5	UPDATE
FARAH INC KORSANT PHILIP B ET AL	COM	13D	11/10/89	456 7.3	30736710 5.5	UPDATE
GTS CORP BENSEN ROGER D	COM	13D	9/30/89	403 15.4	36237010 13.6	UPDATE
GODDARD INDS LALLI JOSEPH A ET AL	COM	13D	10/23/89	144 7.7	38026210 0.0	NEW
HMO AMERICAN INC IRGAY ROBERT	COM	13D	11/ 8/89	435 6.2	40499010 6.3	UPDATE
HORN & HARDART BAKING CO DORFMAN MINNIE ESTATE OF	COM	13D	11/ 2/89	172 7.0	44048610 0.0	NEW
HOSPITALITY CAPITAL CORP HEAD DONALD R	COM	13D	11/ 6/89	614 18.6	44090920 11.5	UPDATE
JUSTIN INDS INC CHOCTAW SECS L P ET AL	COM	13D	11/ 8/89	370 6.7	48217110 0.0	NEW
KEYES OFFSHORE LTD PTNRS MARINE DRILLING CO	DEP UNIT LTD PTRN	13D	11/16/89	128 31.6	49329199 68.8	UPDATE
MANAGEMENT CO ENTMT GROUP I VIRGIN COMMUNICATIONS LTD	COM	13D	11/20/89	5,850 24.2	56167610 22.5	UPDATE
MEDCO CONTAINMENT SVCS INC BUELL JAMES R	COM	13D	11/10/89	2,280 4.9	58390510 5.0	UPDATE
MICRO DISPLAY SYS INC FISH EDWARD H ET AL	COM PAR \$0.08	13D	10/23/89	1,373 11.6	59493320 11.0	UPDATE
NATIONAL LAMPION INC DOCKTOR JACK H	COM	13D	10/31/89	84 5.5	63663510 5.3	UPDATE

## ACQUISITIONS REPORTS CONT.

ROLAND CO CONCORD INVTS CO	COM	13D	11/7/89	259 7.0	65528610 0.0	NEW
OXIDYNE GROUP INC GOULD INVESTORS ET AL	SH BEN INT	13D	11/8/89	499 11.0	69182710 0.0	NEW
PLAINS RES INC WEISMAN LAWRENCE I	PFD COMV \$1.30	13D	10/13/89	0 0.0	72654030 0.0	NEW
POP RADIO CORP KAUFMAN FUND INC	COM	13D	11/9/89	208 6.1	73281710 0.0	NEW
PRISM ENTMT CORP WALKER WIRT D III ET AL	COM	13D	11/13/89	291 13.2	74264310 11.9	UPDATE
RAGAN BRAD INC GOODYEAR TIRE & RUBBER CO	COM	13D	11/20/89	2,284 100.0	75062610 74.3	UPDATE
SJM CORP ROSCOE MOSS CO ET AL	COM	13D	11/13/89	874 30.9	78430510 29.6	UPDATE
SILVERCREST CORP SIGHT JAMES W ET AL	COM	13D	11/8/89	1,157 30.4	82836010 0.0	NEW
SKIPPER'S INC NATIONAL PIZZA CO	COM	14D-1	11/20/89	2,635 97.0	82999110 14.8	UPDATE
SKYLINK AMER INC ADEL JOHN SR	COM	13D	9/25/89	1 N/A	83085310 N/A	UPDATE
SOUTHMARK CORP DANESH ANDRE ET AL	COM	13D	11/3/89	6,171 13.6	84454410 0.0	NEW
SOUTHMARK CORP AMERICAN FINANCIAL CORP ET AL	SER D ADJ CUM PFD	13D	10/27/89	0 0.0	84454430 N/A	UPDATE
TECHNOLOGY 80 INC MARKUS DURNE A	COM	13D	11/8/89	182 11.9	87899010 10.0	UPDATE
TIFFANY & CO NEW MITSUKOSHI LTD	COM	13D	11/16/89	1,055 6.9	88654710 6.9	UPDATE
TOWN & COUNTRY CORP RELIANCE FINANCIAL SVCS CORP	CL A	13D	11/16/89	1,087 11.9	89262710 13.0	UPDATE
UNIVERSITY PATENTS INC SPORTS TOTD ET AL	COM	13D	11/10/89	869 18.7	91480210 16.9	UPDATE
XTRA CORP GINTEL ROBERT M ET AL	COM	13D	11/13/89	484 7.6	98413810 0.0	NEW
ACK COMPUTER SYS INC LENNANE JAMES F	COM	13D	11/17/89	850 6.5	00190310 0.0	NEW
ACCEPTANCE INS HLIGS INC STONERIDGE RESOURCES INC	COM	13D	11/17/89	190 10.7	00430910 9.5	UPDATE
ADIA SVCS INC ADIA C A	COM	13D	11/7/89	8,190 66.3	00687410 64.4	UPDATE
CML GROUP INC STATE OF WISCONSIN INVEST ED	COM	13D	11/13/89	628 9.4	12582010 8.3	UPDATE
CHECK FULL D NUTS CORP ORANGE CO INC	COM	13D	11/20/89	1,058 13.6	17026610 12.7	UPDATE
COSTCO WHSL CORP CARREFOUR NEDERLAND B V	COM	13D	10/24/89	5,926 19.2	22160710 17.9	UPDATE

ACQUISITIONS REPORTS CONT.

D O C OPTICS CORP FIDELITY INTL LTD ET AL	COM	13D	11/ 8/89	279 12.3	23324010 12.1	UPDATE
DUNKIN DONUTS INC A L ACQUISITION CORP	COM	14D-1	11/22/89	0 0.0	26550710 0.0	NEW
DUPHAM CORP FRANZ DONALD E JR ET AL	COM	13D	11/22/89	470 5.6	26669610 4.3	UPDATE
FINANCIAL CORP SANTA BARBARA ROSS LEONARD M ET AL	COM	13D	11/20/89	599 9.9	31744110 9.9	UPDATE
FRANCHISEIT CORP DARR JAMES J ET AL	COM PAR \$1.002	13D	11/ 8/89	712 5.9	25181730 5.7	UPDATE
G R I CORP FIDELITY INTL LTD ET AL	COM	13D	11/ 8/89	267 11.9	36223210 22.5	UPDATE
G R I CORP KELSO MANAGEMENT CO ET AL	COM	13D	11/14/89	255 11.3	36223210 0.0	NEW
GEORGIA GULF CORP SIMMONS HAROLD C. ET AL	COM	13D	11/21/89	2,320 9.5	37320010 8.4	UPDATE
HEI CORP ALEXANDER GEORGE G ET AL	COM	13D	11/26/89	600 12.2	40415910 12.2	UPDATE
HARLEY DAVIDSON INC GLAZER MALCOLM I ET AL	COM	13D	11/18/89	539 6.2	41282210 6.2	UPDATE
HEALTH-CHEM CORP SPEISER MARVIN M	COM	13D	10/16/89	1,832 25.8	42217410 24.6	UPDATE
MCCORMICK CAP INC THORSEN FRANCES PIERCE ET AL	COM	13D	10/30/89	59 4.1	57990910 54.6	UPDATE
NESTOR INC RELIANCE FINANCIAL SVCS CORP	COM	13D	11/20/89	1,165 19.4	64107410 20.5	UPDATE
PACE MEMBERSHIP WARE INC KMART CO	COM	14D-1	11/22/89	12,523 94.2	69391210 0.0	UPDATE
PEOPLES SVCS FINL CORP NEW MILFORD SVCS BK	COM	13D	11/15/89	90 3.7	71230010 9.8	UPDATE
PORTS OF CALL INC FIDELITY INTL LTD ET AL	COM	13D	11/14/89	469 18.9	73702210 23.3	UPDATE
PRESIDIO DIL CO SULLIVAN JOHN R	CL A	13D	8/31/89	439 4.4	74101630 5.8	REVISION
QUADREX CORP RELIANCE FINANCIAL SVCS CORP	COM	13D	11/20/89	767 9.9	74730910 11.1	UPDATE
RISK GEORGE INDS INC KIMBALL INVMT CO	COM	13D	6/29/89	3,000 35.7	76772010 0.0	NEW
RYKOFF-SEXTON INC STATE OF WISCONSIN INVEST	COM	ED	11/13/89	750 6.3	78375910 5.3	UPDATE
SERVICE RESOURCES CORP GAMCO INVESTORS INC ET AL	COM	13D	11/17/89	392 17.2	81760610 16.2	UPDATE
SMITH INTL INC DRESSER INDS	COM	13D	11/16/89	13,344 46.5	83211010 0.0	NEW
TELLABS INC STATE OF WISCONSIN INVEST	COM	ED	11/13/89	811 6.4	87966410 5.2	UPDATE

## RECENT 8K FILINGS

Form 8-K is used by companies to file current reports on the following events:

- Item 1. Changes in Control of Registrant.
- Item 2. Acquisition or Disposition of Assets.
- Item 3. Bankruptcy or Receivership.
- Item 4. Changes in Registrant's Certifying Accountant.
- Item 5. Other Materially Important Events.
- Item 6. Resignations of Registrant's Directors.
- Item 7. Financial Statements and Exhibits.

The companies listed below have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. Copies of the reports may be purchased from the Commission's Public Reference Room (when ordering, please give the date of the report). An invoice will be included with the requested material when mailed.

NAME OF ISSLER	STATE CODE	8K ITEM NO.								DATE	COMMENT
		1	2	3	4	5	6	7	8		
QUEBECOR INC						X			X	10/30/89	
RESIDENTIAL FUNDING MORTGAGE SECURITIES	DE					X			X	10/25/89	
RESORTS INTERNATIONAL INC	DE					X			X	11/13/89	
RESOURCE AMERICA INC	DE					X			X	10/31/89	
RIDGTOP RESOURCES INC/UT	UT	X							X	10/31/89	
ROCKY MOUNT UNDERGARMENT CO INC	DE					X			X	10/23/89	
RODMAN & RENSHAW CAPITAL GROUP INC	DE								X	10/30/89	
RWB MEDICAL INCOME PROPERTIES I LTD PART	LA				X					11/08/89	
SAGE ENERGY CO	TX		X			X			X	10/30/89	
SCIENTIFIC RADIO SYSTEMS INC	NY					X				11/06/89	
SEAGATE TECHNOLOGY INC	DE								X	10/02/89	AMEND
SEARS MORTGAGE SEC CORP MORT PASS THR CE	DE					X			X	10/31/89	
SEARS MORTGAGE SEC CORP MORT PASS THR CE	DE					X			X	10/31/89	
SEARS MORTGAGE SEC CORP MORT PASS THR CE	DE					X			X	10/31/89	
SECURED INVESTMENT RESOURCES FUND LP III	MO		X						X	10/30/89	
SECURITY INVESTORS LTD II	WI		X						X	09/12/89	
SPORTING LIFE INC	DE					X				11/03/89	
SUPER 8 MOTELS NORTHWEST I	WA			X						11/02/89	
SUPER 8 MOTELS NORTHWEST I	WA			X						11/10/89	
SUPER 8 MOTELS NORTHWEST II	WA			X						11/10/89	
SYMBION INC	UT					X			X	10/24/89	
TACITUS VENTURES INC	DE	X								10/10/89	AMEND
TEXACO INC	DE					X			X	11/09/89	
TEXAS TORTILLA BAKERY INC	DE					X				11/03/89	AMEND
TIDEWATER INC	DE					X				10/25/89	
TIERCO GROUP INC/DE	DE									09/30/89	AMEND
TIS MORTGAGE ACCEPTANCE CORP	DE					X			X	11/01/89	
TRENWICK GROUP INC	DE					X			X	11/02/89	
TYCO TOYS INC	DE					X			X	11/02/89	
U S MINT INC	CO					X				11/03/89	
UNION OIL CO OF CALIFORNIA	CA					X				11/10/89	
UNITED SERVICES ADVISORS INC	TX	X							X	10/27/89	
UNOCAL CORP/DE	DE					X				11/10/89	
UTILICORP UNITED INC	DE								X	03/23/89	AMEND
VINLAND PROPERTY TRUST	CA					X			X	10/21/89	
WASHINGTON MUTUAL SAVINGS BANK SERIES A	MA								X	09/30/89	
WETTERAU INC	MO					X				11/08/89	
WLR FOODS INC	VA								X	10/24/89	