

# sec news digest

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Issue 93-97

U.S. GOVERNMENT  
EXCHANGE COMMISSION

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## ADMINISTRATIVE PROCEEDINGS

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### COMMISSION DISMISSES APPEAL OF DATEK SECURITIES CORPORATION AND SHELDON MASCHLER

The Commission has dismissed an appeal by Datek Securities Corporation of Brooklyn, New York and Sheldon Maschler, the head trader of its Staten Island, New York branch office. A District Conduct Business Committee of the NASD brought a proceeding against Datek and Maschler for allegedly using profane and indecorous language in telephone conversations with registered representatives of other broker-dealers. The Committee censured and fined Datek and Maschler each \$5,000 and imposed costs of over \$3,000 and suspended Maschler from associating with any member firm in any capacity for one day, to commence on May 17, 1993.

Datek and Maschler filed an appeal to the NASD's National Business Conduct Committee, which denied the appeal, as it was filed almost two weeks after the time in which to appeal had expired. Datek and Maschler then appealed the District Committee's decision to the Commission and requested an interim stay of the sanctions. Noting that the Commission is precluded from considering the appeal because of the tardiness of the filing with the National Business Conduct Committee, the Commission dismissed the appeal and denied the request for a stay. (Rel. 34-32306)

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## CIVIL PROCEEDINGS

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### GARY LUBLINER, EFRAIM LUBLINER, ODED TAJKEF, RITA RUBIN AND ARIE LAIST CHARGED WITH INSIDER TRADING

The Commission announced the filing of a complaint on May 17 against Gary Lubliner (Lubliner), Efraim Lubliner, Oded Tajkef, Rita Rubin and Arie Laist alleging that each traded in the securities of Leo's Industries, Inc. (Leo's), while in possession of material, nonpublic information concerning Leo's poor financial condition. The complaint further alleges that Lubliner, a Leo's director, failed to file Forms 4 to report his sales of Leo's shares.

Without admitting or denying the allegations in the complaint, the defendants consented to permanent injunctions enjoining them from future violations of Section 17(a) of the Securities Act of 1933 and Section 10(b) of the Securities Exchange Act of 1934 (Exchange Act) and Rule 10b-5 thereunder, and to pay disgorgement of trading profits and losses avoided of \$58,291 with prejudgment interest and a one-time penalty

equal to their profits or losses avoided. Lubliner also consented to the entry of a permanent injunction from future violations of Section 16(a) of the Exchange Act and Rules 16a-2 and 16a-3 thereunder, the imposition of a civil penalty of \$5,000 and an order barring him from serving as an officer or director of any reporting company. [SEC v. Gary Lubliner, Efraim Lubliner, Oded Tajkef, Rita Rubin and Arie Laist, Civil Action No. 93-2858 KN, GHKx, C.D. Cal.] (LR-13638)

#### PERMANENT INJUNCTIONS ISSUED AND SPECIAL OFFICER APPOINTED TO TAKE CONTROL OVER UNREGISTERED LIMITED PARTNERSHIPS

On May 7, the Honorable William Matthew Byrne, Jr., U.S. District Court Judge for the Central District of California, issued permanent injunctions against Brokers Investment Corporation (BIC), and its principals, Norman D. Shubert, Daniel Steinberg; U.S. Fiberline Communications, Inc., U.S. Fiberline Communications of Nevada, Inc., Fiberline Network Communications, L.P. (collectively, Fiberline Companies) and their principals, William T. Grant, L. Scott Noreuil, Scott R. Nauert prohibiting violations of the securities registration and antifraud provisions of the federal securities laws. The Commission alleges in its complaint that BIC and its principals, pursuant to an arrangement with Fiberline and its principals, operated a boilerroom, soliciting approximately 6,000 investors to invest approximately \$109 million in 18 limited partnerships. With respect to 12 limited partnerships, the complaint alleges that the defendants misused investor funds for improper business expenses and personal use.

The Court also appointed Robert A. Baker as Special Officer of the Fiberline Companies and 23 entities named as relief defendants, and ordered the Fiberline Companies' principals to immediately resign their positions. All of the defendants, except one BIC principal and one relief defendant, consented to the Court's Order. [SEC v. Brokers Investment Corporation, et al., Civil Action No. 93-2524 DWW, JRx] (LR-13640)

#### INJUNCTION ENTERED AGAINST DOUGLAS LITCHFIELD

The Commission announced that on April 30, 1993 the U.S. District Court for the Northern District of Texas, Dallas Division, entered a Final Judgment of Permanent Injunction against Douglas Litchfield, which enjoins Litchfield from further violations of the securities registration, broker-dealer registration and fraud provisions of the Securities Act and Exchange Act. Litchfield consented to the entry of the judgment on a neither admit nor deny basis.

The Commission's complaint alleged that Litchfield and ten other defendants raised at least \$4.2 million from 400 investors through the sale of investment contract securities purportedly involving the mining of gold ore. Litchfield is currently serving concurrent state and federal prison sentences based in part on the activities alleged in the Commission's complaint. [SEC v. Oreo Mines, Inc., et al., USDC/ND/TX/Dallas Division, Civil Action No. 3-88-0364-T] (LR-13642)

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## CRIMINAL PROCEEDINGS

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### EDWIN WHEELER SENTENCED

The Commission announced that on May 18 Edwin W. "Bud" Wheeler (Wheeler) was convicted by a jury of securities fraud in violation of Section 17(a) of the Securities Act of 1933. In June of 1987, Wheeler filed a false Form S-18 with the Commission registering units of CTI Technical, Inc. (CTI) securities for sales to the public. The registration statement falsely stated that the officers and directors, who were merely figureheads for Wheeler, were the sole promoters of the company and responsible for its day to day operations. In fact, Wheeler was the true promoter and control person, which was not disclosed. CTI securities were sold to the public through Stoneridge Securities in Las Vegas, Nevada, using the false and misleading prospectus. (U.S. v. Edwin "Bud" Wheeler, CR-S-92-228-LDG, RLH] (LR-13644)

### GARY BLATTER SENTENCED

The Commission announced that on May 6 Gary Blatter (Blatter), an Orem, Utah lawyer was sentenced to three years probation and 200 hours of community service. The sentence was based upon Blatter's guilty plea to conspiring to obstruct the lawful functions of the Securities and Exchange Commission in the administration of its regulatory function in violation of Title 18, United States Code, Section 371, relating to participation with Blaine Chambers and Michael Wright in the sham initial "blind pool" public offering of Messidor, Ltd. securities.

Blatter formed Messidor using his cousins as figurehead officers and filed a false Form S-18 with the Securities and Exchange Commission which concealed the identities of the true promoters. The Messidor securities were "boxed" in a sham initial public offering and then re-sold to public investors, at grossly inflated prices, by Thomas Quinn through his European boiler room network. [U.S. v. Gary Blatter, et al., CR-S-92-059-PMP, RLH, USDC, District of Nevada] (LR-13645)

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## INVESTMENT COMPANY ACT RELEASES

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### THE VARIABLE ANNUITY LIFE INSURANCE COMPANY, ET AL.

An order has been issued pursuant to Section 6(c) of the Investment Company Act exempting the Variable Annuity Life Insurance Company, the Variable Annuity Life Insurance Company Separate Account A (Separate Account A) and the Variable Annuity Marketing Company from Sections 22(e), 27(c)(1), and 27(d) of the Act. The exemptions apply to the extent necessary to permit variable annuity contracts participating in Separate Account A to comply with certain provisions of the Optional Retirement Program of the State University System of Florida as administered by the Division of Retirement of the Florida Department of Management Services. (Rel. IC-19483 - May 19)

## BISON MONEY MARKET FUND

An order has been issued under Section 8(f) of the Investment Company Act declaring that Bison Money Market Fund has ceased to be an investment company. (Rel. IC-19484 - May 19)

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## LISTING, DELISTING AND UNLISTED TRADING ACTIONS

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### DELISTINGS GRANTED

An order has been issued granting the application of the American Stock Exchange to strike from listing and registration Medphone Corporation, Common Stock, Par Value \$.01, Redeemable Class A Warrants, expiring December 12, 1996. (Rel. 34-32327)

An order has been issued granting the application of the Philadelphia Stock Exchange to strike from listing and registration Hope Technologies Inc., Class B Common Stock, \$.0001 Par Value, Redeemable Class B Common Stock Purchase Warrants, Units Consisting of One Share of Class B Common Stock & Twenty Class B Common Stock Purchase Warrants. (Rel. 34-32335)

An order has been issued granting the application of the Boston Stock Exchange to strike from listing and registration New Generation Foods, Inc., Common Stock, \$.01 Par Value. (Rel. 34-32337)

An order has been issued granting the application of the New York Stock Exchange to strike from listing and registration Rhone-Poulenc S.A., American Depositary Shares (Representing Preferred Investment Certificates). (Rel. 34-32338)

### WITHDRAWALS SOUGHT

A notice has been issued giving interested persons until June 8, 1993 to comment on the application of American Healthcare Management, Inc. to withdraw its Common Stock, \$.01 Par Value, from listing and registration on the American Stock Exchange. (Rel. 34-32328)

A notice has been issued giving interested person until June 8, 1993 to comment on the application of Identix Incorporated to withdraw its Common Stock, No Par Value, from listing and registration on the Pacific Stock Exchange. (Rel. 34-32329)

### WITHDRAWALS GRANTED

An order has been issued granting the application of American Medical Holding, Inc. to withdraw from listing and registration its Common Stock, \$.01 Par Value, on the American Stock Exchange. (Rel. 34-32336)

An order has been issued granting the application of The May Department Stores Company to strike from listing and registration its Common Stock, \$1.00 Par Value, on the Pacific Stock Exchange. (Rel. 34-32339)

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## SELF-REGULATORY ORGANIZATIONS

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### APPROVAL OF PROPOSED RULE CHANGE

The Commission approved a proposed rule change filed by the American Stock Exchange (SR-Amex-92-31) to amend Amex Rule 179 to provide for automatic cancellation of orders in expiring rights and warrants. (Rel. 34-32320)

### ACCELERATED APPROVAL OF PROPOSED RULE CHANGE

The Commission granted temporary accelerated approval to a proposed rule change filed by the Boston Stock Exchange (SR-BSE-93-11) relating to examination specifications for its Floor Member Examination. (Rel. 34-32332)

### PROPOSED RULE CHANGE

The Boston Stock Exchange filed a proposed rule change (SR-BSE-93-7) to, among other things, amend its net capital rules to increase the minimum net capital requirement for specialists who do not carry customer accounts. The proposal also would include violations of trading in an inactive alternate and/or trading account in the BSE's Minor Rule Violation Plan. Publication of the proposal is expected in the Federal Register during the week of May 24. (Rel. 34-32333)

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## TRUST INDENTURE ACT RELEASES

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### COLEMAN WORLDWIDE CORPORATION

The Commission issued an order under Section 304(d) of the Trust Indenture Act on an application filed by Coleman Worldwide Corporation (Company) with respect to an indenture (Indenture) between the Company and Continental Bank, National Association, as trustee, conditionally exempting specified provisions of the Indenture from the requirements of Sections 313(a)(6), 313(b)(1), 314(c) and 314(d) of the Trust Indenture Act. (Rel. TI-2311)

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## MISCELLANEOUS

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### NEW STREET CAPITAL CORPORATION DPI-A CORPORATION

A notice has been issued giving interested persons until June 18, 1993 to request a hearing on an application by New Street Capital Corporation (New Street) and DPI-A Corporation (DPI-A) pursuant to Section 12(h) of the Securities Exchange Act of 1934 (1934 Act), as amended, for an order exempting New Street's Warrants and DPI-A's Common Stock from registration under Section 12(g) of the 1934 Act. (Rel. 34-32334)

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## SECURITIES ACT REGISTRATIONS

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The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

- SB-2 SARATOGA SPRINGS MINERAL WATER CO INC, 11 GEYSER RD, SARATOGA SPRINGS, NY 12866  
(518) 584-6363 - 1,150,000 (\$6,900,000) COMMON STOCK. 100,000  
WARRANTS, OPTIONS OR RIGHTS. 100,000 (\$720,000) COMMON STOCK. (FILE 33-62038-NY -  
MAY. 04) (BR. 11 - NEW ISSUE)
- S-3 KIRBY CORP, 1775 ST JAMES PL STE 300, HOUSTON, TX 77056 (713) 629-9370 - 2,247,191  
(\$36,376,404) COMMON STOCK. (FILE 33-62116 - MAY. 04) (BR. 4)
- S-8 VARCO INTERNATIONAL INC, 743 N ECKHOFF ST, ORANGE, CA 92668 (714) 978-1900 -  
1,000,000 (\$6,937,500) COMMON STOCK. (FILE 33-62118 - MAY. 04) (BR. 3)
- S-8 RAMTEK CORP, 810 WEST MAUDE AVE, SUNNYVALE, CA 94086 (408) 954-2700 - 555,395  
(\$677,582) COMMON STOCK. (FILE 33-62120 - MAY. 04) (BR. 9)
- S-3 SUNTRUST BANKS INC, 25 PARK PL NE, ATLANTA, GA 30303 (404) 588-7711 - 400,000  
(\$400,000,000) STRAIGHT BONDS. UNDERWRITER: DAIN BOSWORTH INC. (FILE 33-62162 -  
MAY. 05) (BR. 1)
- S-8 GUPTA CORP, 1060 MARSH RD, MENLO PARK, CA 94025 (415) 321-9500 - 3,090,020  
(\$27,884,622.96) COMMON STOCK. (FILE 33-62194 - MAY. 05) (BR. 10)
- S-1 SENETEK PLC /ENG/, 6 THE PINES COURT, STE D, ST LOUIS, MO 63141 (314) 542-2202 -  
1,900,000 (\$4,928,125) FOREIGN COMMON STOCK. (FILE 33-62214 - MAY. 06) (BR. 4)
- S-1 TELECOMMUNICATIONS INCOME FUND X LP, 100 SECOND ST SE, CEDAR RAPIDS, IA 52401  
(319) 365-2506 - 100,000 (\$25,000,000) LIMITED PARTNERSHIP CERTIFICATE. (FILE  
33-62228 - MAY. 06) (BR. 5 - NEW ISSUE)
- S-3 CONSOLIDATED EDISON CO OF NEW YORK INC, 4 IRVING PL, NEW YORK, NY 10003  
(212) 460-4600 - 665,000,000 (\$665,000,000) STRAIGHT BONDS. (FILE 33-62266 - MAY. 06)  
(BR. 7)
- S-3 NORTHERN TELECOM LTD, 3 ROBERT SPECK PKWY, MISSISSAUGA ONT CANADA L4Z 3C8, A6 00000  
(416) 897-9000 - 1,000,000 (\$36,500,000) FOREIGN COMMON STOCK. (FILE 33-62270 -  
MAY. 06) (BR. 7)
- N-2 VAN KAMPEN MERRITT CALIFORNIA VALUE MUNICIPAL INCOME TRUST, ONE PARKVIEW PLAZA,  
OAKBROOK TERRACE, IL 60181 (800) 225-2222 - 2,700,000 (\$40,500,000)  
COMMON SHARES OF BENEFICIAL INTEREST. (FILE 33-62304 - MAY. 06) (BR. 18)
- N-2 MUNIVEST NEW JERSEY FUND INC, 800 SCUDDERS MILL RD, PLAINSBORO, NJ 08536  
(609) 282-2800 - 20 (\$1,000,000) PREFERRED STOCK. UNDERWRITER: MERRILL LYNCH & CO.  
(FILE 33-62326 - MAY. 07) (BR. 17)
- N-2 MUNIVEST NEW YORK INSURED FUND INC, 800 SCUDDERS MILL RD, PLAINSBORO, NJ 08536  
(609) 282-2800 - 20 (\$1,000,000) PREFERRED STOCK. UNDERWRITER: MERRILL LYNCH & CO.  
(FILE 33-62328 - MAY. 07) (BR. 17)

REGISTRATIONS CONTINUED

- S-6 TAX EXEMPT SECURITIES TRUST SERIES 376, 1345 AVENUE OF THE AMERICAS, NEW YORK, NY 10105 - 1,497 (\$1,601,790) UNIT INVESTMENT TRUST. (FILE 33-62332 - MAY. 07) (BR. 16 - NEW ISSUE)
- S-4 COLUMBIA BANKING SYSTEM INC, 320 108TH AVE N E STE 300, BELLEVUE, WA 98004 (206) 646-9696 - 208,102 (\$2,913,428) COMMON STOCK. (FILE 33-62350 - MAY. 07) (BR. 1)
- S-1 VYSTAR GROUP INC, 2370 SOUTH 2700 WEST, SALT LAKE CITY, UT 84119 (801) 562-5556 - 4,025,000 (\$48,300,000) COMMON STOCK. (FILE 33-62352 - MAY. 07) (BR. 11)
- S-2 ICO INC, NORTHBOROUGH TOWER, 100 GLENBOROUGH DR - STE 250, HOUSTON, TX 77067 (713) 872-4994 - 3,680,000 (\$27,600,000) COMMON STOCK. (FILE 33-62358 - MAY. 07) (BR. 12)
- F-6 FIRST AUSTRALIAN RESOURCES N L, 111 WALL STREET, C/O CITIBANK NA, NEW YORK, NY 10043 (212) 657-7321 - 10,000,000 (\$500,000) DEPOSITARY RECEIPTS FOR COMMON STOCK. (FILE 33-62372 - MAY. 07) (NEW ISSUE)
- S-3 ARC INTERNATIONAL CORP, 4000 CHESSWOOD DR, DOWNSVIEW, ONTARIO CANADA M3J 2B9, A6 (416) 630-0200 - 500,000 (\$640,625) COMMON STOCK. (FILE 33-62376 - MAY. 07) (BR. 11)
- S-8 NATURAL WONDERS INC, 30031 AHERN STREET, UNION CITY, CA 94587 (510) 429-9900 - 150,000 (\$2,250,000) COMMON STOCK. (FILE 33-62390 - MAY. 07) (BR. 1)
- S-1 UNITED WASTE SYSTEMS INC, FOUR GREENWICH OFFICE PARK, GREENWICH, CT 06830 (203) 622-3131 - 2,983,707 (\$33,250,419) COMMON STOCK. (FILE 33-62420 - MAY. 07) (BR. 8)
- S-8 AMERICAN WATER WORKS CO INC, 1025 LAUREL OAK RD, VOORHEES, NJ 08043 (609) 346-8200 - 500,000 (\$13,625,000) COMMON STOCK. (FILE 33-62438 - MAY. 07) (BR. 7)
- S-8 ALCO INTERNATIONAL GROUP INC, 10085 CARROLL CANYON ROAD, SUITE 100, SAN DIEGO, CA 92128 (619) 695-9555 - 1,200,000 (\$2,328,000) COMMON STOCK. (FILE 33-62480 - MAY. 10) (BR. 4)
- S-1 AMERICAN BIOMED INC, 2408 D-5 TIMBERLOCH PL, WOODLANDS, TX 77380 (713) 367-3895 - 1,691,544 (\$6,237,568.50) COMMON STOCK. (FILE 33-62492 - MAY. 11) (BR. 8)
- S-3 PORTLAND GENERAL ELECTRIC CO /OR/, 121 SW SALMON ST, PORTLAND, OR 97204 (503) 464-8000 - 225,000,000 (\$225,000,000) MORTGAGE BONDS. (FILE 33-62514 - MAY. 11) (BR. 8)
- S-1 CALPROP CORP, 5456 MCCONNELL AVE, LOS ANGELES, CA 90066 (310) 306-4314 - 4,691,336 (\$6,004,910) PREFERRED STOCK. 6,000,000 COMMON STOCK. (FILE 33-62516 - MAY. 11) (BR. 9)
- S-1 TRITECH GROUP INC, 4400 NORTH FEDERAL HIGHWAY, SUITE 250-D, BOCA RATON, FL 33431 (407) 750-8580 - 200,000 (\$2,000,000) COMMON STOCK. 20,000 WARRANTS, OPTIONS OR RIGHTS. 40,000 (\$440,000) COMMON STOCK. (FILE 33-62546 - MAY. 12) (BR. 14)
- S-1 CONTINENTAL BROADCASTING LTD, 3100 FIRST CITY BANK TOWER, 201 MAIN ST, FORT WORTH, TX 76102 (817) 390-8500 - 120,000,000 (\$120,000,000) STRAIGHT BONDS. UNDERWRITER: CITICORP SECURITIES MARKETS INC, MERRILL LYNCH & CO, SALOMON BROTHERS INC. (FILE 33-62586 - MAY. 12) (BR. 8 - NEW ISSUE)

REGISTRATIONS CONTINUED

- S-8 CELGENE CORP /DE/, 7 POWDER HORN DR, WARREN, NJ 07059 (908) 271-1001 - 1,200,000 (\$12,600,000) COMMON STOCK. (FILE 33-62590 - MAY. 11) (BR. 8)
- S-1 FAIRFIELD MANUFACTURING CO INC, US ROUTE 52 SOUTH, LAFAYETTE, IN 47903 (317) 474-3474 - 85,000,000 (\$85,000,000) STRAIGHT BONDS. UNDERWRITER: MERRIL LYNCH & CO, SMITH BARNEY HARRIS UPHAM & CO INC. (FILE 33-62598 - MAY. 12) (BR. 9 - NEW ISSUE)
- S-1 SOUTHERN PACIFIC RAIL CORP, SOUTHERN PACIFIC BLDG, ONE MARKET PLAZA, SAN FRANCISCO, CA 94105 (415) 541-1000 - 31,625,000 (\$632,500,000) COMMON STOCK. UNDERWRITER: KIDDER PEABODY & CO INC, MORGAN STANLEY & CO INC, SALOMON BROTHERS INC. (FILE 33-62608 - MAY. 12) (BR. 5 - NEW ISSUE)
- F-6 BRIDAS ENERGY CORP /ADR/, 48 WALL ST, C/O BANK OF NEW YORK, NEW YORK, NY 10286 - 30,000,000 (\$1,500,000) DEPOSITARY RECEIPTS FOR COMMON STOCK. (FILE 33-62620 - MAY. 13) (BR. 99 - NEW ISSUE)
- S-1 NATIONAL RECORD MART INC /DE/, 507 FOREST AVE, CARNEGIE, PA 15106 (412) 276-6200 - 1,955,000 (\$17,595,000) COMMON STOCK. (FILE 33-62622 - MAY. 12) (BR. 2 - NEW ISSUE)
- S-1 FUTURE COMMUNICATIONS INC, 2144 ROYAL L STE 400, DALLAS, TX 75229 (214) 243-2268 - 4,800,000 (\$18,660,000) COMMON STOCK. 150,000 (\$150) WARRANTS, OPTIONS OR RIGHTS. 100,000 (\$430,000) COMMON STOCK. (FILE 33-62628 - MAY. 10) (BR. 7)
- S-8 LIFE PARTNERS GROUP INC, 7887 EAST BELLVIEW AVE, ENGLEWOOD CO, CO 80111 (303) 779-1111 - 800,000 (\$16,950,000) COMMON STOCK. (FILE 33-62630 - MAY. 11) (BR. 9)
- S-1 FRANKLIN BEN RETAIL STORES INC /DE/, 500 E NORTH AVENUE, CAROL STREAM, IL 60188 (708) 462-6100 - 28,750,000,000 (\$28,750,000) CONVERTIBLE DEBENTURES AND NOTES. UNDERWRITER: HAMILTON INVESTMENTS INC, PAINEWEBBER INC. (FILE 33-62632 - MAY. 11) (BR. 7)
- S-3 PS GROUP INC, 4370 LA JOLLA VILLAGE DR STE 1050, SAN DIEGO, CA 92122 (619) 546-5001 - 4,000,000 (\$42,000,000) COMMON STOCK. (FILE 33-62634 - MAY. 11) (BR. 4)
- S-8 CATALYST SEMICONDUCTOR INC, 2231 CALLE DE LUNA, SANTA CLARA, CA 95054 (807) 487-7700 - 2,191,067 (\$11,075,799) COMMON STOCK. (FILE 33-62636 - MAY. 11) (BR. 3)
- S-8 DELTA QUEEN STEAMBOAT CO, 30 ROBIN ST WHARF, NEW ORLEANS, LA 70130 (504) 586-0631 - 1,000,000 (\$14,500,000) COMMON STOCK. (FILE 33-62638 - MAY. 11) (BR. 4)
- S-8 DELTA QUEEN STEAMBOAT CO, 30 ROBIN ST WHARF, NEW ORLEANS, LA 70130 (504) 586-0631 - 385,253 (\$1,252,072) COMMON STOCK. (FILE 33-62640 - MAY. 11) (BR. 4)
- S-3 INTERSTATE POWER CO, 1000 MAIN ST, PO BOX 769, DUBUQUE, IA 52004 (319) 582-5421 - 500,000 (\$15,468,750) COMMON STOCK. (FILE 33-62644 - MAY. 11) (BR. 8)
- S-8 APPLIED INNOVATION INC, 651-C LAKEVIEW PLZ BLVD, COLUMBUS, OH 43085 (614) 846-9000 - 828,188 (\$14,803,860.50) COMMON STOCK. (FILE 33-62646 - MAY. 11) (BR. 3)
- S-8 PHELPS DODGE CORP, 2600 NORTH CENTRAL AVE, PHOENIX, AZ 85004 (602) 234-8100 - 6,000,000 (\$256,500,000) COMMON STOCK. (FILE 33-62648 - MAY. 11) (BR. 6)
- S-4 BACHMAN INFORMATION SYSTEMS INC /MA/, 8 NEW ENGLAND EXECUTIVE PARK, BURLINGTON, MA 01803 (617) 273-9003 - 650,000 (\$1,950,000) COMMON STOCK. (FILE 33-62650 - MAY. 11) (BR. 9)



REGISTRATIONS CONTINUED

- S-8 GENUINE PARTS CO, 2999 CIRCLE 75 PARKWAY, ATLANTA, GA 30339 (404) 953-1700 - 4,500,000 (\$154,557,867) COMMON STOCK. (FILE 33-62654 - MAY. 12) (BR. 4)
- S-3 BENTON OIL & GAS CO, 3000 ESPLANADA DR STE 2000, OXNARD, CA 93030 (805) 981-9901 - 10,333 (\$92,997) COMMON STOCK. (FILE 33-62656 - MAY. 12) (BR. 3)
- S-1 RCL CAPITAL CORP, 800 SECOND AVE, STE 608, NEW YORK, NY 10017 (212) 476-4200 - 4,600,000 (\$23,000,000) COMMON STOCK. 400,000 WARRANTS, OPTIONS OR RIGHTS. 10,400,000 (\$54,900,000) COMMON STOCK. (FILE 33-62890 - MAY. 12) (BR. 14 - NEW ISSUE)

ACQUISITION OF SECURITIES

Companies and individuals must report to the Commission within ten days on Schedule 13D if after the acquisition of equity securities of a public company their beneficial interest therein exceeds five percent. Persons eligible to use the short form (Schedule 13G) may in lieu of filing a Schedule 13D file a Schedule 13G within 45 days after the end of the calendar year in which the person became subject to Section 13(d)(1). Companies and individuals making a tender offer must have on file at the time the tender offer commences a Schedule 14D-1.

Below is a list of recent filings of Schedules 13D and 14D, which includes the following information: Column 1 - the company purchased (top), and the name of the purchaser; Column 2 - the type of security purchased; Column 3 - the type of form filed; Column 4 - the date the transaction occurred; Column 5 - the current number of shares (in 000's) owned (top) and the current percent owned; Column 6 - the CUSIP number (top) and the percent owned; and Column 7 - the status of the filing, i.e., new, update or revision.

NAME AND CLASS OF STOCK/OWNER	FORM	EVENT DATE	SHRS(000)/ %OWNED	CUSIP/ PRIOR%	FILING STATUS
AM COMMUNICATIONS INC	COM		1,873	00167410	
KEYSTONE VENTURE II LP	13D	3/11/93	9.3	0.0	NEW
ATTENTION MED CO	COM NEW		2,499	04972620	
STANLEY DAVID J	13D	5/10/93	50.5	50.5	UPDATE
CHALONE WINE GROUP LTD	COM		169	15763910	
GRAFF RICHARD HARTSHORNE	13D	3/29/93	3.8	3.7	RVISION
CHILDRENS DISCOVERY CTRS AME CL A			565	16875720	
GRUBER JON D ET AL	13D	5/10/93	19.6	11.3	UPDATE
CHILDRENS DISCOVERY CTRS AME CL A			565	16875720	
GRUBER JON D ET AL	13D	5/10/93	19.6	11.3	RVISION

## ACQUISITIONS CONT.

NAME AND CLASS OF STOCK/OWNER	FORM	EVENT DATE	SHRS(000)/ %OWNED	CUSIP/ PRIOR%	FILING STATUS
COMMUNITY TRUST FINL SVCS ROGERS KENNETH H	COM 13D	2/22/91	51 6.1	20407099 0.0	NEW
DIVERSIFIED HUMAN RES GROUP DITTO PPTYS CO	COM 13D	3/26/93	899 51.0	25525010 0.0	NEW
DIVERSIFIED HUMAN RES GROUP DITTO PPTYS CO	COM 13D	3/26/93	899 51.0	25525010 0.0	RVSION
DIVERSIFIED HUMAN RES GROUP USFG-DHRG L.P. NO 1	COM 13D	3/26/93	0 0.0	25525010 N/A	UPDATE
DIVERSIFIED HUMAN RES GROUP USFG-DHRG L.P. NO 1	COM 13D	3/26/93	0 0.0	25525010 N/A	RVSION
DIVERSIFIED HUMAN RES GROUP USFG DHRG L P 2	COM 13D	3/26/93	899 51.0	25525010 0.0	NEW
DIVERSIFIED HUMAN RES GROUP USFG DHRG L P 2	COM 13D	3/26/93	899 51.0	25525010 0.0	RVSION
FMS FINANCIAL CORP YATES CHARLES B	COM 13D	5/ 6/93	137 10.6	30250910 9.8	UPDATE
FMS FINANCIAL CORP YATES CHARLES B	COM 13D	5/ 6/93	137 10.6	30250910 9.8	RVSION
FINANCIAL DATA SYS INC FAIRCHILD LAWRENCE D	COM 13D	9/15/92	232 8.4	31746310 0.0	NEW
FINANCIAL DATA SYS INC FAIRCHILD LAWRENCE D	COM 13D	9/15/92	232 8.4	31746310 0.0	RVSION
FIRST PALMETTO FINL CORP SMALL SAMUEL R	COM 13D	5/13/93	56 8.4	33592610 8.4	UPDATE
FORSCHNER GROUP INC ELSENER CHARLES	COM 13D	4/14/93	0 0.0	34659010 9.3	UPDATE
FORSCHNER GROUP INC ELSENER CHARLES	COM 13D	4/14/93	0 0.0	34659010 9.3	RVSION
LOGIMETRICS INC KANOFKY ROYCE	COM 13D	3/16/93	124 5.4	54141010 0.0	NEW
LOGIMETRICS INC KANOFKY ROYCE	COM 13D	3/16/93	124 5.4	54141010 0.0	RVSION
MBNA CORP LERNER ALFRED	COM 13D	5/19/93	12,000 12.1	55499610 11.1	UPDATE

ACQUISITIONS CONT.

NAME AND CLASS OF STOCK/OWNER	FORM	EVENT DATE	SHRS(000)/ %OWNED	CUSIP/ PRIOR%	FILING STATUS
MAGNETIC TECH CORP HALL RICHARD S	COM PAR \$0.15 13D	5/14/93	128 7.1	55949220 4.5	UPDATE
MAGNETIC TECH CORP HALL RICHARD S	COM PAR \$0.15 13D	5/14/93	128 7.1	55949220 4.5	RVISION
NEWMONT MNG CORP HOLDGOLD INC ET AL	COM 13D	5/17/93	2,160 3.2	65163910 4.8	UPDATE
NEWMONT MNG CORP HOLDGOLD INC ET AL	COM 13D	5/17/93	2,160 3.2	65163910 4.8	RVISION
NOWSCO WELL SVC LTD HAMBLIN WATSA INVMT COUNSEL	COM 13D	5/11/93	963 5.9	67012210 8.9	UPDATE
NOWSCO WELL SVC LTD HAMBLIN WATSA INVMT COUNSEL	COM 13D	5/11/93	963 5.9	67012210 8.9	RVISION
UNIGENE LABS INC FARMITALIA CARLO ERBA SRL	COM 13D	7/ 3/91	690 3.5	90475310 0.0	NEW
UNIGENE LABS INC FARMITALIA CARLO ERBA SRL	COM 13D	7/ 3/91	690 3.5	90475310 0.0	RVISION
WORTHEN BKG INC STEPHENS J T TRUST ONE	COM 13D	5/ 7/93	1,333 8.0	98180110 0.0	NEW
WORTHEN BKG INC STEPHENS W R TRUST	COM 13D	5/ 7/93	1,680 10.0	98180110 9.9	UPDATE
WORTHEN BKG INC STEPHENS WARREN A ET AL	COM 13D	5/ 7/93	1,452 8.7	98180110 5.9	UPDATE

RECENT 8K FILINGS

Form 8-K is used by companies to file current reports on the following events:

- Item 1. Changes in Control of Registrant.
- Item 2. Acquisition or Disposition of Assets.
- Item 3. Bankruptcy or Receivership.
- Item 4. Changes in Registrant's Certifying Accountant.
- Item 5. Other Materially Important Events.
- Item 6. Resignations of Registrant's Directors.
- Item 7. Financial Statements and Exhibits.
- Item 8. Change in Fiscal Year.

The companies listed below have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. Copies of the reports may be purchased from the Commission's Public Reference Room (when ordering, please give the date of the report). An invoice will be included with the requested material when mailed.

NAME OF ISSUER	STATE CODE	8K ITEM NO.								DATE	COMMENT	
		1	2	3	4	5	6	7	8			
AAMES FINANCIAL CORP/DE	DE					X					05/10/93	
AIRSHIP INTERNATIONAL LTD	NY					X					05/14/93	
ALLIANT COMPUTER SYSTEMS CORP /DE/	DE	NO ITEMS									04/28/93	
ALLIED CLINICAL LABORATORIES INC	DE		X					X			05/03/93	
ALTERNATIVE DISTRIBUTORS CORP	DE			X				X			05/03/93AMEND	
AMERICAN BANKERS INSURANCE GROUP INC	FL		X					X			02/26/93AMEND	
AMERICAN INCOME PARTNERS IV C L P	MA		X								03/19/93AMEND	
AMERICAN INCOME PARTNERS IV D LP	MA		X								03/19/93AMEND	
AMSOUTH BANCORPORATION	DE				X			X			05/12/93	
ANCHOR BANCORP WISCONSIN INC	WI				X			X			05/17/93	
ATC ENVIRONMENTAL INC	DE		X					X			04/30/93	
ATEK METALS CENTER INC	OH		X					X			05/13/93	
AURORA ENVIRONMENTAL INC	DE				X			X			04/30/93	
BANKAMERICA CORP	DE				X			X			05/17/93	
BCP/ESSEX HOLDINGS INC	DE				X			X			05/07/93	
BENEFUND INC	CO						X	X			05/13/93	
BENSON EYECARE CORP	DE	NO ITEMS									04/30/93	
BIOSYS INC /CA/	CA		X					X			04/30/93	
BIRTCHE MEDICAL SYSTEMS INC	CA		X					X			03/01/93AMEND	
BMR FINANCIAL GROUP INC	GA				X			X			04/28/93	
BNY MASTER CREDIT CARD TRUST	DE				X			X			05/17/93	
BOK FINANCIAL CORP	OK	NO ITEMS									05/07/93	
BULL RUN CORP	GA		X					X			04/29/93	
CABOT OIL & GAS CORP	DE		X					X			05/03/93	
CALIFORNIA ENGELS MINING CO	CA		X					X			05/10/93	
CAPITOL HOME EQUITY LOAN TRUST 1990-1	MD							X			04/30/93	
CAPITOL HOME EQUITY LOAN TRUST 1991-1	MD							X			04/26/93	
CAPITOL HOME EQUITY LOAN TRUST 1992-1	MD							X			04/26/93	
CARLYLE REAL ESTATE LTD PARTNERSHIP X	IL				X			X			03/18/93	
CARLYLE REAL ESTATE LTD PARTNERSHIP XIII	IL				X			X			03/31/93	
CCR INC	UT				X						05/06/93AMEND	
CENTRAL LIFE ASSURANCE CO	IA				X			X			05/03/93	
CENTURA BANKS INC	NC				X			X			05/07/93	
CHATTEM INC	TN				X			X			05/14/93	
CHESAPEAKE & POTOMAC TELEPHONE CO OF WES	WV							X			05/07/93	
CHEVY CHASE EXTENDIBLE CREDIT CARD TRUST	MD				X			X			05/10/93	
CHEVY CHASE EXTENDIBLE CREDIT CARD TRUST	MD				X			X			05/10/93	
CIMFLEX TEKNOLEDGE CORP	DE				X			X			04/30/93	
CMC SECURITIES CORP I	DE		X					X			04/30/93	
COCA COLA ENTERPRISES INC	DE				X			X			05/13/93	
CONTINENTAL AIRLINES INC /DE/	DE				X			X			05/12/93	
CONTINENTAL INFORMATION SYSTEMS CORP	NY				X			X			05/14/93	
CORESTATES HOME EQUITY TRUST 1993-1	NY							X			05/17/93	
CROWN CENTRAL PETROLEUM CORP /MD/	MD				X						05/19/93	
CURAFLEX HEALTH SERVICES INC	DE							X			03/05/93AMEND	
DATAMETRICS CORP	DE				X			X			05/12/93	
DDI PHARMACEUTICALS INC	DE		X		X			X			05/10/93	
DIANON SYSTEMS INC	DE		X					X			04/30/93	
DIGITRAM SYSTEMS INC /DE	DE				X						05/14/93	
DISCOVER CARD TRUST 1990 A	DE				X			X			05/17/93	
DISCOVER CARD TRUST 1990 B	DE				X			X			05/17/93	