

# sec news digest

Issue 92-196

October 8, 1992

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U.S. SECURITIES  
EXCHANGE COMMISSION

## NOTICE OF COMMISSION MEETINGS

Following is a schedule of Commission meetings which will be conducted under provisions of the Government in the Sunshine Act. In general, the Commission expects to follow a schedule of holding closed meetings on Tuesdays, and open meetings on Thursday mornings. Meetings on Wednesdays, and if necessary on Thursday afternoons, will be either open or closed according to the requirements of agenda items under consideration.

Visitors are welcome at all open meetings, insofar as space is available.

Meetings will be held in the Commission Meeting Room, Room 1C30, at the Commission's headquarters building, 450 Fifth Street, N.W., Washington, D.C. Persons wishing to photograph or videotape Commission meetings must obtain permission in advance from the Secretary of the Commission. Persons wishing to tape record a Commission meeting should notify the Secretary's office 48 hours in advance of the meeting.

### OPEN MEETING - THURSDAY, OCTOBER 15, 1992 - 10:00 A.M.

The subject matter of the October 15 open meeting will be:

Consideration of whether to adopt several amendments to the Commission's proxy rules under Section 14(a) of the Securities Exchange Act of 1934. The amendments as initially proposed were issued for public comment on June 17, 1991 (Rel. Nos. 34-29315; IC-18201; File No. S7-22-91) and were repropoed for public comment on June 23, 1992 (Rel. Nos. 34-30849; IC-18803; File S7-15-92). The Commission also will consider whether to adopt new disclosure requirements regarding executive compensation. The executive compensation disclosure amendments were proposed for public comment on June 23, 1992 (Rel. Nos. 33-6940; 34-30851; File No. S7-16-92). The Commission received a combined total of more than 1100 letters in response to its request for comment on the repropoed proxy rule and proposed executive compensation amendments. For further information regarding the proxy rule amendments, contact: David Sirignano at (202) 272-3097, or Elizabeth Murphy or James Budge at (202) 272-2589. For further information regarding executive compensation amendments, contact: Catherine Dixon at (202) 272-2589 or Gregg Corso at (202) 272-3097.

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## COMMISSION ANNOUNCEMENTS

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### DOUGLAS SCARFF ACCEPTS POSITION IN NEW YORK REGIONAL OFFICE

The Commission announced today that Douglas Scarff, currently the Boston Regional Administrator, will later this fall join the New York Regional Office (NYRO). Mr. Scarff will occupy a new Associate Regional Administrator position, established as part of a broader reorganization of the NYRO, with responsibilities in the area of investment company and investment adviser regulation.

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## ADMINISTRATIVE PROCEEDINGS

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### NASD ACTION AGAINST HOWARD ALWEIL MODIFIED

The Commission has modified sanctions imposed by the NASD on Howard Alweil of Los Angeles, California, a former salesman for an NASD member firm. The Commission affirmed the NASD's imposition of censure a one-year suspension from association with any NASD member and a requirement that Alweil requalify by examination before functioning again in any capacity requiring registration. However, the Commission reduced the \$30,000 fine imposed on Alweil to \$15,000.

The Commission found as had the NASD that in 1983 Alweil effected unauthorized transactions in a customer's account. The Commission set aside similar NASD findings with respect to another customer account and, because the NASD had specified that it was fining Alweil \$15,000 with respect to each account, reduced Alweil's fine by \$15,000. However, in light of Alweil's serious misconduct and his prior disciplinary record, the Commission affirmed the fine as reduced and the other sanctions assessed by the NASD. (Rel. 34-31278)

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## CIVIL PROCEEDINGS

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### INFORMATION MANAGEMENT TECHNOLOGIES CORPORATION CONSENTS TO INJUNCTION

The Commission announced that on September 30 Information Management Technologies Corporation (IMTECH) and its wholly-owned subsidiary, INSCI Corp. (INSCI), were enjoined by consent from violating the antifraud, periodic reporting, books and records, and internal accounting controls provisions of the federal securities laws alleged in a complaint filed simultaneously. The Commission's complaint alleges that in or about December 1989 and continuing until at least June 1991, IMTECH and INSCI engaged in a fraudulent scheme to increase revenues by prematurely and improperly recognizing sales. The complaint further alleges that the scheme was devised and operated by certain of IMTECH's and INSCI's present and/or former officers, directors, employees, and/or others, and involved the recognition of revenue in contravention of generally accepted accounting principles (GAAP). IMTECH has consented to restate and amend certain periodic reports, disclose the impact of such restatements on its operating results, and adopt accounting policies, practices, procedures and controls

to ensure revenue is recognized in accordance with GAAP. [SEC v. Information Management Technologies Corporation and INSCI Corp., 92 Civ. 7108, LAP, SDNY] (LR-13398; AAE Rel. 427)

**COMPLAINT FILED AGAINST MICHAEL WHELCHEL, WL FINANCIAL, INC. AND FINANCIAL MANAGEMENT OF VIRGINIA, INC.**

The Commission announced the filing of a complaint for permanent injunction and other equitable relief on September 24, 1992 in the U.S. District Court for the Eastern District of Virginia. WL Financial (WL), Inc., a private Virginia corporation, Financial Management Group of Virginia, Inc. (Financial Management), a registered investment adviser, and Michael A. Whelchel (Whelchel), President and Chairman of the Board of Financial Management and control person of WL, were named as defendants in the action. The complaint seeks to permanently enjoin the defendants from violations of the federal securities laws and also seeks disgorgement together with prejudgment interest, civil penalties and an accounting.

The complaint alleges that Whelchel and WL violated the antifraud provisions of the federal securities laws by engaging in a fraudulent scheme to sell approximately \$330,000 worth of securities in WL to advisory clients of Financial Management through the use of false and misleading representations concerning the intended business activities of WL and the use of offering proceeds. The complaint further alleges that Whelchel misappropriated at least \$42,000 of the offering proceeds for his own personal expenses.

The complaint alleges that Whelchel caused Financial Management and WL to operate as unregistered broker-dealers and caused WL to operate as an unregistered investment adviser. [SEC v. Michael A. Whelchel, et al., USDC for the Eastern District of Virginia, Civil Action No. 92-1345-A] (LR-13399)

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**CRIMINAL PROCEEDINGS**

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**PROCEEDINGS AGAINST EDWIN WHEELER**

The Commission announced that on September 23, 1992, Edwin W. "Bud" Wheeler (Wheeler), brother of Ronald Wheeler, Sr., the former owner of Stoneridge Securities in Las Vegas, Nevada, was indicted on charges of securities fraud in violation of Section 17(a) of the Securities Act of 1933. The indictment alleges that on or about June 25, 1987 Wheeler filed a false Form S-18 with the Commission registering units of CTI Technical, Inc. (CTI) securities for sale to the public. The registration statement falsely stated that CTI, as a blind pool, had no business opportunities under contemplation for acquisition when, in fact, prior to the initial public offering, Wheeler already had begun negotiations to acquire Davis, Inc. The registration statement also failed to identify Wheeler as a promoter and control person. [U.S. v. Edwin "Bud" Wheeler, CR-S-228-LDG, RLH] (LR-13401)

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## INVESTMENT COMPANY ACT RELEASES

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### MEXICO CAPITAL GROWTH FUND, INC.

A notice has been issued giving interested persons until October 30 to request a hearing on an application filed by Mexico Capital Growth Fund, Inc. for an order under Section 8(f) declaring that applicant has ceased to be an investment company. (Rel. IC-18997 - October 5)

### PUTNAM ARIZONA TAX EXEMPT INCOME FUND, ET AL.

A conditional order has been issued under Section 17(d) of the Investment Company Act and Rule 17d-1 thereunder to permit Putnam Arizona Tax Exempt Income Fund, et al. to operate a joint trading account for the purpose of investing in repurchase agreements and short-term money market instruments. (Rel. IC-18998 - October 5)

### SUNAMERICA FUND GROUP II

An order has been issued under Section 8(f) of the Investment Company Act declaring that SunAmerica Fund Group II has ceased to be an investment company. (Rel. IC-18999 - October 6)

### IIC INDUSTRIES INC.

An order has been issued under Section 8(f) of the Investment Company Act declaring that IIC Industries Inc. has ceased to be an investment company. (Rel. IC-19000 - October 6)

### CITIUS-ALPHA FUND, INC.

An order has been issued under Section 8(f) of the Investment Company Act declaring that Citius-Alpha Fund, Inc. has ceased to be an investment company. (Rel. IC-19001 - October 6)

### SANFORD BERNSTEIN FUND, INC.

A conditional order has been issued under Section 6(c) of the Investment Company Act exempting Sanford C. Bernstein Fund, Inc. from the provisions of Section 12(d)(3) of the Act and Rule 12d3-1 thereunder. The exemptions apply to the extent necessary to permit them to acquire securities of certain foreign issuers engaged in securities related activities in accordance with the conditions of the proposed amendments to Rule 12d3-1. (Rel. IC-19002; International Series Rel. 469 - October 6)

### ML VENTURE PARTNERS II, L.P., ET AL.

A conditional order has been issued on an application filed by ML Venture Partners II, L.P. (MLVP II), ML Oklahoma Venture Partners, Limited Partnership (ML Oklahoma), Merrill Lynch Venture Capital Inc. (Management Company), Merrill Lynch Interfunding,

Ine., Merrill Lynch & Co., Inc. and DLJ Capital Management Corporation pursuant to Sections 57(c) and 57(i) of the Act and Rule 17d-1 thereunder. The order grants an exemption from Section 57(a)(1) of the Act to permit MLVP II and ML Oklahoma to purchase certain securities from the Management Company and permitting a joint arrangement relating to such investments that otherwise would be prohibited under Section 57(a)(4) of the Act. (Rel. IC-19003 - October 6)

#### COMMUNITY INVESTMENT PARTNERS II, L.P., ET AL.

A notice has been issued giving interested persons until November 2, 1992 to request a hearing on an application filed by Community Investment Partners II, L.P., et al. for a conditional order under Section 6(c) of the Investment Company Act. The conditional order would determine that the Independent General Partners of the Partnership are not "interested persons" of the Partnership, CIP Management, L.P., Edward D. Jones & Co., L.P., or The Jones Financial Companies, a Limited Partnership solely by reason of being general partners of the Partnership and co-partners of CIP Management, L.P. The conditional order would also determine that the Independent General Partners of the Partnership will not be deemed to be "interested persons" of such Partnership solely by virtue of their service as independent general partners of Community Investment Partners, L.P. Finally, the conditional order would determine that persons who become limited partners of the Partnership who own less than 5% of the limited partnership interests in the Partnership will not be "affiliated persons" of the Partnership or any of its other partners solely by reason of their status as limited partners. (Rel. IC-19004 -October 6)

#### SMITH BARNEY EQUITY FUNDS, INC., ET AL.

A notice has been issued giving interested persons until November 2, 1992 to request a hearing on an application filed by Smith Barney Equity Funds, Inc., et al. for an order under Section 6(c) of the Investment Company Act granting an exemption from Sections 2(a)(32), 2(a)(35), 18(f), 18(g), 18(i), 22(c), and 22(d) of the Act and Rule 22c-1 thereunder. The order would permit applicants to issue three classes of shares representing interests in the same portfolio of securities and to impose and, under certain circumstances, waive a contingent deferred sales charge on certain redemptions of their shares. (Rel. IC-19005 - October 7)

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### HOLDING COMPANY ACT RELEASES

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#### TRANSCANADA PIPELINES LIMITED

An order has been issued in connection with the development by TransCanada Pipelines Limited (TransCanada), a diversified Canadian energy company, of an electric generating project (Project) in Canada, exempting TransCanada and its subsidiaries as such under Section 3(a)(5) from all provisions of the Act, except Section 9(a)(2). The order also grants an exemption under Section 3(b) for a wholly owned Canadian subsidiary that may be organized in connection with the Project. (Rel. 35-25647; International Series 468)

## UNITIL CORPORATION

An order has been issued authorizing a proposal by UNITIL Corporation (UNITIL), a registered holding company. UNITIL proposes to double the number of its shares of outstanding common stock, no par value, through a two-for-one stock split. (Rel. 35-25648)

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## LISTING, DELISTING AND UNLISTED TRADING ACTIONS

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### UNLISTED TRADING PRIVILEGES GRANTED

Orders have been issued granting the applications of two exchanges for unlisted trading privileges in issues which are listed and registered on one or more other national securities exchanges and are reported in the consolidated transaction reporting system. The exchanges and number of issues are as follows: Midwest Stock Exchange - 2 issues (Rel. 34-31286); and Pacific Stock Exchange - 1 issue (Rel. 34-31287)

### DELISTING GRANTED

An order has been issued granting the application of the New York Stock Exchange to strike from listing and registration Pinelands, Inc., Common Stock, \$.01 Par Value. (Rel. 34-31288)

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## SELF-REGULATORY ORGANIZATIONS

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### PROPOSED RULE CHANGE

The National Association of Securities Dealers filed a proposed rule change (SR-NASD-92-27) that would add a requirement that issuers file notification on a designated form of the issuance of additional shares of securities included in the NASDAQ system or notification of the creation of stock plans, stock purchase plans or other remuneration plans at least 15 days prior to creation of such plan. The NASD is also proposing to impose a fee on issuers to be paid when such issuers notify the NASD of the issuance of an additional amount of an already included security in connection with the certain transactions. These transactions include acquisitions, mergers or consolidations, public offerings, rights and subscription offerings, exchange offers and private placements.

In addition, the NASD will require an issuer in the NASDAQ system to notify the NASD when there is a change in the issuer's transfer agent or registrar. Publication of the proposal is expected in the Federal Register during the week of October 12. (Rel. 34-31289)

### CORRECTION

The September 29th issue of the Digest inadvertently reported that the Commission granted accelerated approval of a proposed rule change (SR-OCC-92-5) filed by The Options Clearing Corporation (Rel. 34-31242; International Series Rel. 462). The correct proposed rule change is (SR-OCC-92-25).

## SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

- S-6 NUVEEN TAX EXEMPT UNIT TRUST SERIES 666, 333 WEST WACKER DRIVE, CHICAGO, IL 60606 - INDEFINITE SHARES. (FILE 33-49079 - OCT. 01) (NEW ISSUE)
- S-8 PLY GEM INDUSTRIES INC, 777 THIRD AVE, NEW YORK, NY 10017 (212) 832-1550 - 2,500,000 (\$25,312,500) COMMON STOCK. (FILE 33-52514 - SEP. 28) (BR. 9)
- S-8 STARBUCKS CORPORATION, 2203 AIRPORT WAY S, STE 650, SEATTLE, WA 98134 (206) 447-1575 - 914,543 (\$27,436,290) COMMON STOCK. (FILE 33-52524 - SEP. 28) (BR. 2)
- S-8 PREFERRED HEALTH CARE LTD, 15 RIVER RD STE 300, WILTON CTR, WILTON, CT 06897 (203) 762-0993 - 200,000 (\$2,475,000) COMMON STOCK. (FILE 33-52578 - SEP. 28) (BR. 9)
- S-8 VANGUARD CELLULAR SYSTEMS INC, 2002 PISGAH CHURCH RD STE 300, GREENSBORO, NC 27408 (919) 282-3690 - 75,000 (\$1,603,125) COMMON STOCK. (FILE 33-52592 - SEP. 30) (BR. 7)
- S-8 UNITED STATES EXPLORATION INC, 1901 NEW ST, INDEPENDENCE, KS 67301 (316) 331-8102 - 4,300,000 (\$2,687,500) COMMON STOCK. (FILE 33-52594 - SEP. 30) (BR. 11)
- S-8 REGENCY BANCSHARES INC, 225 FOURTH STREET N W, HICKORY, NC 28601 (704) 323-1600 - 400,000 (\$5,700,000) COMMON STOCK. (FILE 33-52596 - SEP. 30) (BR. 1)
- S-8 CHESHIRE FINANCIAL CORP, 194 WEST ST, KEENE, NH 03431 (603) 352-2502 - 100,000 (\$1,087,500) COMMON STOCK. (FILE 33-52598 - SEP. 30) (BR. 2)
- S-8 PACIFIC TELECOM INC, 805 BROADWAY, P O BOX 9901, VANCOUVER, WA 98668 (206) 696-0983 - 250,000 (\$6,312,500) COMMON STOCK. (FILE 33-52600 - SEP. 30) (BR. 7)
- S-8 KELLER INDUSTRIES LIMITED, 520 900 6TH AVE S W, CALGARY ALBERTA T2P 3K2, A6 - 400,000 (\$400,000) COMMON STOCK. (FILE 33-52602 - SEP. 30) (BR. 12)
- S-8 EVRO FINANCIAL CORP, 2218 JACKSON BLVD, STE 7, RAPID CITY, SD 57702 (605) 343-2081 - 250,000 (\$218,750) COMMON STOCK. (FILE 33-52610 - SEP. 30) (BR. 5)
- F-1 KOREA TELECOM, 100 SEJONG RO CHONGRO GU, SEOUL KOREA, M5 (822) 750-3427 - 100,000,000 (\$100,000,000) FOREIGN GOVERNMENT AND AGENCY DEBT. UNDERWRITER: SALOMON BROTHERS INC. (FILE 33-52636 - SEP. 29) (BR. 7 - NEW ISSUE)
- S-3 COOKER RESTAURANT CORP /OH/, 1530 BETHEL ROAD, COLUMBUS, OH 43220 (614) 222-3050 - 23,000,000 (\$23,000,000) CONVERTIBLE DEBENTURES AND NOTES. UNDERWRITER: BRADFORD J C & CO. (FILE 33-52646 - SEP. 30) (BR. 12)
- S-8 TSI CORP /DE/, INNOVATION DR, WORCESTER, MA 01605 (508) 755-0550 - 700,000 (\$3,500,000) COMMON STOCK. (FILE 33-52650 - SEP. 30) (BR. 8)
- S-8 TSI CORP /DE/, INNOVATION DR, WORCESTER, MA 01605 (508) 755-0550 - 150,000 (\$750,000) COMMON STOCK. (FILE 33-52652 - SEP. 30) (BR. 8)

REGISTRATIONS CONTINUED

- S-8 TSI CORP /DE/, INNOVATION DR, WORCESTER, MA 01605 (508) 755-0550 - 600,000 (\$3,000,000) COMMON STOCK. (FILE 33-52654 - SEP. 30) (BR. 8)
- S-B PROVINCE OF BRITISH COLUMBIA, 1251 AVENUE OF AMERICAS, NEW YORK, NY 10020 - 600,000,000 (\$600,000,000) FOREIGN GOVERNMENT AND AGENCY DEBT. (FILE 33-52656 - SEP. 30) (BR. 9)
- S-1 OUTBACK STEAKHOUSE INC, 550 N RED ST STE 204, TAMPA, FL 33609 (813) 282-1225 - 3,450,000 (\$80,661,000) COMMON STOCK. (FILE 33-52658 - SEP. 30) (BR. 12)
- S-8 NACCO INDUSTRIES INC, 5875 LANDERBROOK DRIVE, MAYFIELD HTS, OH 44124 (216) 449-9600 - 98,791 (\$3,852,849) COMMON STOCK. (FILE 33-52660 - SEP. 30) (BR. 9)
- S-8 AICORP INC, 138 TECHNOLOGY DR, WALTHAM, MA 02254 (617) 891-6500 - 2,000,000 (\$6,750,000) COMMON STOCK. (FILE 33-52662 - SEP. 30) (BR. 10)
- S-8 AMERICAN DENTAL LASER INC, 2600 WEST BEAVER, TROY, MI 48084 (313) 649-0000 - 1,218,181 (\$9,233,326) COMMON STOCK. (FILE 33-52664 - SEP. 30) (BR. 8)
- S-8 ANCHOR BANCORP WISCONSIN INC, 25 WEST MAIN ST, MADISON, WI 53703 (608) 252-8700 - 650,000 (\$8,009,312) COMMON STOCK. (FILE 33-52666 - SEP. 30) (BR. 2)
- S-1 AMERICAN EQUITY BANCORP INC, 640 DIVISION ST, STEVENS POINT, WI 54481 (715) 344-8080 - 462,800 (\$4,628,000) COMMON STOCK. UNDERWRITER: BAIRD MARK CAPITAL GROUP. (FILE 33-52668 - SEP. 30) (BR. 2 - NEW ISSUE)
- S-2 CASCADE NATURAL GAS CORP, 222 FAIRVIEW AVE N, SEATTLE, WA 98109 (206) 624-3900 - 690,000 (\$15,525,000) COMMON STOCK. UNDERWRITER: JONES EDWARD D & CO, PIPER JAFFRAY INC, RAGEN MACKENZIE INC. (FILE 33-52672 - SEP. 30) (BR. 7)
- S-8 DIBRELL BROTHERS INC, 512 BRIDGE ST, DANVILLE, VA 24541 (804) 792-7511 - 250,000 (\$9,171,875) COMMON STOCK. (FILE 33-52708 - OCT. 01) (BR. 7)



## ACQUISITION OF SECURITIES

Companies and individuals must report to the Commission within ten days on Schedule 13D if after the acquisition of equity securities of a public company their beneficial interest therein exceeds five percent. Persons eligible to use the short form (Schedule 13G) may in lieu of filing a Schedule 13D file a Schedule 13G within 45 days after the end of the calendar year in which the person became subject to Section 13(d)(1). Companies and individuals making a tender offer must have on file at the time the tender offer commences a Schedule 14D-1.

Below is a list of recent filings of Schedules 13D and 14D, which includes the following information: Column 1 - the company purchased (top), and the name of the purchaser; Column 2 - the type of security purchased; Column 3 - the type of form filed; Column 4 - the date the transaction occurred; Column 5 - the current number of shares (in 000's) owned (top) and the current percent owned; Column 6 - the CUSIP number (top) and the percent owned; and Column 7 - the status of the filing, i.e., new, update or revision.

NAME AND CLASS OF STOCK/OWNER	FORM	EVENT DATE	SHRS(000)/ %OWNED	CUSIP/ PRIOR%	FILING STATUS
ALPNET INC	COM		1,068	02108910	
OGILVY & MATHER INC	13D	9/24/92	8.5	0.0	NEW
COMMUNICATIONS & ENTNT CORP	COM PAR \$ .01		611	20290210	
DORIAN INDS INC	13D	4/ 7/92	4.6	4.8	UPDATE
FINANCIAL DATA SYS INC	COM		667	31746310	
RELIANCE FINANCIAL SVCS CORP	13D	10/ 5/92	24.0	12.0	UPDATE
FIRETECTOR INC	COM		302	31831940	
INVESTORS MONEY MGMT ET AL	13D	9/ 1/92	16.6	0.0	NEW
GLOBAL SPILL MGMT INC	COM		343	38899410	
EE CORP	13D	9/24/92	6.5	10.7	UPDATE
MONTCLAIR BANCORP	COM		473	61219010	
COLLECTIVE BANCORP INC	13D	9/23/92	19.8	0.0	NEW
PROTEIN DATABASES INC	COM		46,545	74369610	
PRINCETON/MONTROSE PRTRS ET AL	13D	9/ 8/92	34.9	36.7	UPDATE
REGIONAL BANCORP INC DEL	COM		149	75892010	
STERLING GRACE CAP MGMT ET AL	13D	9/29/92	6.5	7.9	UPDATE
SUN ELEC CORP	COM		9,594	86671310	
SNAP ON TOOLS CORP	13D	10/ 6/92	100.0	0.0	UPDATE
TODD SHIPYARDS CORP	COM		877	88903910	
MACTIER JAMES ALLAN	13D	10/ 1/92	7.3	7.3	UPDATE

## RECENT 8K FILINGS

Form 8-K is used by companies to file current reports on the following events:

- Item 1. Changes in Control of Registrant.
- Item 2. Acquisition or Disposition of Assets.
- Item 3. Bankruptcy or Receivership.
- Item 4. Changes in Registrant's Certifying Accountant.
- Item 5. Other Materially Important Events.
- Item 6. Resignations of Registrant's Directors.
- Item 7. Financial Statements and Exhibits.
- Item 8. Change in Fiscal Year.

The companies listed below have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. Copies of the reports may be purchased from the Commission's Public Reference Room (when ordering, please give the date of the report). An invoice will be included with the requested material when mailed.

NAME OF ISSUER	STATE CODE	8K ITEM NO.								DATE	COMMENT
		1	2	3	4	5	6	7	8		
RANCON CURRENT YIELD 12 PLUS L P	CA				X					09/15/92	
RANCON PACIFIC REALTY L P	CA				X					09/15/92	
RANCON REALTY FUND I	CA				X					09/15/92	
RANCON REALTY FUND IV	CA				NO ITEMS					09/15/92	
REN CORP USA	TN	X			X	X				09/18/92	
REXENE CORP	DE				X	X				09/18/92	
RIDDELL SPORTS INC	DE				X	X				09/23/92	
RURAL ELECTRIC COOPERATIVE GRANTOR TRUST	NY				X	X				10/04/92	
RURAL ELECTRIC COOPERATIVE GRANTOR TRUST	NY				X	X				10/05/92	
RURAL ELECTRIC COOPERATIVE GRANTOR TRUST	NY				X	X				10/05/92	
RURAL ELECTRIC COOPERATIVE GRANTOR TRUST	NY				X	X				10/05/92	
RURAL ELECTRIC COOPERATIVE GRANTOR TRUST	NY				X	X				10/05/92	
RURAL HOUSING TRUST 1987-1	DE				X					10/01/92	
SCHWARTZ BROTHERS INC	DE			X						09/30/92	
SECURITY PACIFIC HOME EQUITY TRUST 1991-	CA						X			09/10/92	
SHAWMUT NATIONAL GRANTOR TRUST 1992-A					X					09/15/92	
SHAWMUT NATIONAL REMIC TRUST 1992-A					X					09/15/92	
SIMTEK CORP	CO				NO ITEMS					10/01/92	
SOMERSET BANKSHARES INC	MA				X					09/28/92	
SOMERSET GROUP INC	IN				NO ITEMS					08/07/92	AMEND
SONIC CORP	DE					X				06/29/92	AMEND
SPORT OF KINGS INC	DE				NO ITEMS					10/01/92	
SPROUSE REITZ STORES INC	OR				X	X				09/25/92	
ST PAUL COMPANIES INC /MN/	MN									10/05/92	
STANLEY WORKS	CT				X	X				10/02/92	
SWING N SLIDE CORP /DE/	DE				X	X				08/28/92	
SYBASE INC	DE			X		X				09/24/92	
TENNESSEE GAS PIPELINE CO	DE				X	X				10/01/92	
THOMSON ADVISORY GROUP LP	DE				X					09/30/92	
TIDEWATER INC	DE				X	X				09/24/92	
TRAVELERS CORP	CT				NO ITEMS					09/17/92	

8K REPORTS CONT.

NAME OF ISSUER	STATE CODE	8K ITEM NO.								DATE	COMMENT
		1	2	3	4	5	6	7	8		
TRAVELERS CORP	CT	NO ITEMS								09/20/92	
TRISTATE BANCORP INC	OH	NO ITEMS								02/07/00	
TWO BI 2 INC	CO								X	09/25/92	
T2 MEDICAL INC	DE			X		X				10/02/92	
UNION PLANTERS CORP	TN			X		X				10/02/92	
UNITED INSURANCE COMPANIES INC	DE			X						09/15/92	
UNIVERSAL CAPITAL CORP	CO			X						08/17/92	
VIDEOCART INC	DE			X		X				09/16/92	
VIGORO CORP	DE			X		X				10/02/92	
WASTE RECOVERY INC	TX			X						09/28/92	
WEST TEXAS UTILITIES CO	TX	NO ITEMS								10/02/92	
WNS INC	TX			X						10/02/92	
WOLVERINE WORLD WIDE INC /DE/	DE			X		X				09/21/92	
YANKEE ENERGY SYSTEM INC	CT			X						08/26/92	
ZENOX INC	DE			X	X	X				09/19/92	

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## SEC PUBLIC INFORMATION NUMBERS

Members of the public seeking information and/or material from the Commission continue to complain of being incorrectly referred to the wrong telephone number by Commission staff. The following information is furnished to assist you in directly calling the appropriate office:

Consumer Affairs (202-272-7440): Investor inquiries and complaint processing information.

Freedom of Information Branch (202-272-7420): Requests concerning FOIA, Privacy Act, Sunshine Act, confidential treatment matters, etc.

Personnel Locator (202-272-2550): Requests for names and phone numbers of Commission personnel.

Public Affairs (202-272-2650): Information about matters in the SEC News Digest, the Commission's operations, and calls from the press not directed to particular individuals, and other related matters.

Public Reference (202-272-7450): Requests for information on whether or not a document has been filed, etc.

Publications Unit (202-272-7460/7461): Requests for forms, studies, directories, etc.

Office of the Secretary (202-272-2600): Requests for information on the Commission calendar.

SEC Information Line (202-272-3100/5624): General Information about SEC operations and activities through a series of recorded messages.

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