

# sec news digest

Issue 94-241

December 20, 1994

---

## RULES AND RELATED MATTERS

---

### COMMISSION PROCEEDS WITH FULL IMPLEMENTATION OF EDGAR

The Commission has issued a release (Release Nos. 33-7122, 34-35113, 35-26191, 39-2326 and IC-20783) making the EDGAR interim rules final and applicable to all domestic registrants and third parties filing with respect to those registrants. The release also contains phase-in schedules and phase-in lists establishing the timetables by which registrants who are not already electronic filers will become subject to mandated electronic filing. Phase-in will recommence with Groups CF-05 and IM-03 on January 30, 1995, with other groups being phased in as set forth below:

#### Division of Corporation Finance

CF-05	January 30, 1995
CF-06	March 6, 1995
CF-07	May 1, 1995
CF-08	August 7, 1995
CF-09	November 6, 1995
CF-10	May 6, 1996

#### Division of Investment Management

IM-03	January 30, 1995
IM-04	March 6, 1995
IM-05	May 1, 1995
IM-06	November 6, 1995

The Commission also adopted minor and technical amendments to the EDGAR electronic filing rules, which will become effective on January 30, 1995. Filers are encouraged to submit test filings prior to their phase-in date in order to familiarize themselves with the electronic filing process. An updated version of the EDGAR Filer Manual, dated

January 1995, also has been adopted. See Release No. 33-7123. The updated Filer Manual, as well as upgrades to the EDGARLink software (Version 4.10), will be publicly available beginning January 17, 1995; compliance with the updated Filer Manual will become mandatory beginning January 30, 1995.

In conjunction with this rulemaking, the Commission authorized the release of a report prepared by the staff relating to EDGAR's performance during the Congressionally-mandated six-month test period that commenced January 1, 1994 and ended June 30, 1994. The report is available for inspection and copying in the public reference room in the Commission's headquarters.

FOR FURTHER INFORMATION CONTACT: In the Division of Corporation Finance, Barbara C. Jacobs or James R. Budge, Office of Disclosure Policy, at (202) 942-2910 (for issues involving EDGAR rules) and Sylvia J. Reis or Serena C. Swegle, CF EDGAR Policy (for EDGAR questions generally). In the Division of Investment Management, Anthony A. Vertuno or Ruth Armfield Sanders, EDGAR IM Project, at (202) 942-0591 (for Division of Investment Management filings) or Richard T. Miller, Office of Public Utility Regulation, at (202) 942-0545 (for filings under the Public Utility Holding Company Act of 1935).

---

## **ENFORCEMENT PROCEEDINGS**

---

### **COURT ISSUES TEMPORARY RESTRAINING ORDER AGAINST STRATTON OAKMONT**

The Commission announced that Judge Joyce Hens Green of the United States District Court for the District of Columbia has issued a temporary restraining order restraining and enjoining Stratton Oakmont, Inc. (Stratton), a broker-dealer registered with the Commission, from violating the Commission's Order of March 17. The Commission's Order required Stratton to retain an Independent Consultant to recommend appropriate sales policies, practices and procedures. The Court also ordered Stratton to take all necessary steps to comply with the recommendations set forth by the Independent Consultant to Stratton in a Report dated August 18, 1994. The Court directed Stratton to implement the Consultant's recommendations before the expiration of the temporary restraining order on December 29, 1994, and also ordered that a hearing on the Commission's motion for a preliminary injunction take place on January 9, 1995. (LR-14360)

### **WILLIAM K. FISHER ENJOINED**

The Commission announced the filing of a civil action on December 20, 1994 against William K. Fisher of Englewood, Colorado, for insider trading in options for Liberty Media Corporation (Liberty) securities prior to the October 8, 1993 announcement that Tele-Communications, Inc. (TCI) would acquire Liberty and the October 13, 1993 Bell Atlantic Corporation (Bell) announcement that it intended to acquire TCI.

Without admitting or denying the complaint's allegations, Fisher consented to the entry of an injunction permanently restraining and enjoining Fisher from violating Section 10(b) of the Securities Exchange Act of 1934 and Rule 10b-5, ordering him to disgorge \$40,113.97, which consists of \$22,781.25 of losses avoided, \$14,000 of gains that would have been realized had Fisher sold 200 option contracts on October 13, 1993, and \$3332.72 of prejudgment interest, and ordering him to pay a civil penalty of \$36,781.25.

The complaint alleges that in July 1993, Fisher's father, who was associated with TCI, was informed of ongoing Bell/TCI merger negotiations. In purchasing 400 call option contracts, Fisher, in breach of a duty, misappropriated from his father material, nonpublic information relating to the proposed business combinations involving Liberty, TCI and Bell. [SEC v. Fisher, No. 94C 7543, GMM, N.D.Ill.] (LR-14362)

#### COMMISSION SETS ASIDE NASD DISCIPLINARY ACTION AGAINST ARTHUR STELMACK

The Commission has set aside the disciplinary action taken by the National Association of Securities Dealers, Inc. against Arthur Stelmack, the registered financial and operations principal for member firm Chestnut Hill Securities, Inc. (CHS) of Los Angeles, California. The NASD found that Stelmack was responsible for CHS's conducting a securities business on July 13, 1992, with insufficient net capital. The Commission determined that the firm's net capital on the date charged in fact exceeded the minimum required by the Commission's net capital rule. Additionally, the Commission determined that the record in this proceeding did not provide a basis for the required finding that, on July 13, 1992, the firm effected a securities transaction, or induced or attempted to induce the purchase or sale of securities. (Rel. 34-35100)

#### PROCEEDINGS AGAINST JOSEPH BARBATO, NEAL HARPER AND FREDERICK KRAUSE

The Securities and Exchange Commission announced that on December 16 public administrative proceedings were instituted against Joseph J. Barbato, Neal C. Harper and Frederick J. Krause, for numerous violations of the antifraud provisions of the federal securities laws while they were employed at Stuart-James Co., a defunct broker-dealer. Specifically, the Division of Enforcement alleged that the respondents engaged in sales practices which violated Section 17(a) of the Securities Act of 1933 and Section 10(b) of the Securities Exchange Act of 1934 and Rule 10b-5 thereunder, including making unwarranted price predictions, making misrepresentations about the securities they were recommending, engaging in unsuitable trading on behalf of their customers and churning some of their customer accounts. It is further alleged that Harper and Krause effected unauthorized trades in their customers' accounts and that Krause declined to sell certain stock in a customer's account despite an unequivocal order to sell. Barbato resides in Tampa, Florida, where he is a broker for Raymond James and Associates, Inc. Neither Harper, who resides in Maitland, Florida, nor Krause, who resides in Elk Grove Village, Illinois, are currently associated with any broker-dealer. (Rel. 34-35105)

---

## INVESTMENT COMPANY ACT RELEASES

---

### UNITED FUNDS, INC.

A notice has been issued giving interested persons until January 10, 1995 to request a hearing on an application filed by United Funds, Inc. et al. for an order under Section 6(c) of the Investment Company Act exempting applicants from the provisions of Sections 2(a)(32), 2(a)(35), 18(f)(1), 18(g), 18(i), 22(c), and 22(d) of the Act and Rule 22c-1 thereunder. Applicants seek a conditional order permitting them to offer an unlimited number of classes, add a conversion feature, and assess and, under certain circumstances waive, a contingent deferred sales charge (CDSC) on redemptions of shares. The order would supersede a prior order that permits certain of the investment company applicants to assess and, under certain circumstances, waive a CDSC on redemptions of shares. (Rel. IC-20782 - December 16)

---

## HOLDING COMPANY ACT RELEASE

---

### SOUTHWESTERN ELECTRIC POWER COMPANY

A supplemental order has been issued authorizing a proposal by Southwestern Electric Power Company (SWEPCO), a subsidiary of Central and South West Corporation (CSW), a registered holding company. SWEPCO requests an extension, through December 31, 1997, of previously granted authority (by order dated March 31, 1993 (HCAR No. 25776)) to provide environmental laboratory services to nonaffiliated entities. (Rel. 35-26188)

### NEW ENGLAND ELECTRIC SYSTEM

An order has been issued authorizing a proposal by New England Electric System (NEES), a registered holding company. NEES proposes to make, from time to time from January 1, 1995 through December 31, 1996, one or more capital contributions to New England Power Company (NEP), Massachusetts Electric Company (Mass. Electric), The Narragansett Electric Company (Narragansett), and Granite State Electric Company (Granite), each a wholly owned electric-utility subsidiary of NEES. Such capital contributions shall not exceed an aggregate of \$50 million in each case for NEP, Mass. Electric and Narragansett, and \$3 million for Granite. (Rel. 35-26189)

## CSW CREDIT, INC.

A supplemental order has been issued authorizing a proposal by CSW Credit, Inc. (CSW Credit), a nonutility subsidiary of Central and South West Corporation (CSW), a registered holding company. CSW Credit proposes to extend, through December 31, 1995, its existing authorization to borrow funds and for CSW to make equity investments in CSW Credit to finance the factoring of receivables of associate and nonassociate electric public-utility companies. (Rel. 35-26190)

---

## SELF-REGULATORY ORGANIZATIONS

---

### APPROVAL OF PROPOSED RULE CHANGE

The Commission approved a proposed rule change submitted under Rule 19b-4 by the American Stock Exchange (SR-AMEX-94-14) to amend its rules for the Emerging Company Marketplace. (Rel. 34-35104)

The Commission approved a proposed rule change submitted under Rule 19b-4 by the American Stock Exchange (SR-AMEX-94-35) regarding automatic cancellation of open orders in expiring equity securities. (Rel. 34-35106)

The Commission approved a proposed rule change submitted under Rule 19b-4 by the American Stock Exchange and the New York Stock Exchange (SR-AMEX-94-34, NYSE-94-35) to amend their rules to implement additional listing standards for the listing of limited partnership rollup securities. (Rel. 34-35109)

### NOTICE OF FILING OF PROPOSED RULE CHANGES

Pursuant to Rule 19b-4 under the Securities Exchange Act on September 9, 1994, the Pacific Stock Exchange filed with the Commission a proposed rule change (SR-PSE-94-24) relating to financial arrangements of options market makers. Publication of the notice is expected in the Federal Register during the week of December 27. (Rel. 34-35107)

The New York Stock Exchange has filed a proposed rule change (SR-NYSE-94-40) under the Securities Exchange Act relating to the implementation of a three-day settlement standard for securities transactions. Publication is expected in the Federal Register during the week of December 19. (Rel. 34-35110)

### ACCELERATED APPROVAL OF PROPOSED RULE CHANGE

The Commission granted accelerated approval to proposed rule changes submitted by the Chicago Stock Exchange (CHX), the Cincinnati Stock Exchange (CSE), the Pacific Stock Exchange (PSE), the Chicago Board Options Exchange (CBOE), the Boston Stock Exchange (BSE), and the Philadelphia Stock Exchange (PHLX), (SR-CHX-94-24, SR-CSE-94-10, SR-

PSE-94-36, SR-CBOE-94-52, SR-BSE-94-16, SR-PHLX-94-68) under Rule 19b-4 to amend their rules to implement additional listing standards for the listing of limited partnership rollup securities. (Rel. 34-35111)

---

## SECURITIES ACT REGISTRATIONS

---

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

- S-4 CARLISLE PLASTICS INC, 1314 N. THIRD STREET, PHOENIX, AZ 85004  
(602) 2-407- - 15,000,000 (\$15,000,000) STRAIGHT BONDS. (FILE 33-56825 - DEC. 13) (BR. 5)
- S-8 SMITH A O CORP, 11270 W PARK PL STE 1200, MILWAUKEE, WI 53224  
(414) 359-4000 - 1,000,000 (\$21,937,500) COMMON STOCK. (FILE 33-56827 - DEC. 13) (BR. 4)
- S-3 HARKEN ENERGY CORP, 2505 NORTH HWY 360, STE 800, GRAND PRAIRIE, TX 75050  
(817) 695-4900 - 960,000 (\$1,862,400) COMMON STOCK. (FILE 33-56829 - DEC. 13) (BR. 3)
- S-8 BALLY ENTERTAINMENT CORP, 8700 W BRYN MAWR AVE, CHICAGO, IL 60631  
(312) 399-1300 - 200,000 (\$1,125,000) COMMON STOCK. (FILE 33-56831 - DEC. 13) (BR. 12)
- S-8 CERIDIAN CORP, 8100 34TH AVE S, MINNEAPOLIS, MN 55425 (612) 853-8100 - 1,000,000 (\$24,000,000) COMMON STOCK. (FILE 33-56833 - DEC. 13) (BR. 10)
- S-4 CHITTENDEN CORP /VT/, TWO BURLINGTON SQ P O BOX 820,  
C/O STOCKHOLDER RELATIONS, BURLINGTON, VT 05401 (802) 660-1410 - 630,000  
(\$8,912,080) COMMON STOCK. (FILE 33-56835 - DEC. 13) (BR. 1)
- S-3 HUGHES SUPPLY INC, 20 N ORANGE AVE, STE 200, P O BOX 2273, ORLANDO, FL  
32802 (407) 841-4755 - 246,376 (\$4,126,798) COMMON STOCK. (FILE 33-56837 - DEC. 13) (BR. 3)
- S-3 USL CAPITAL CORP/, 733 FRONT ST, SAN FRANCISCO, CA 94111 (415) 627-9000  
- 1,500,000,000 (\$1,500,000,000) STRAIGHT BONDS. (FILE 33-56839 - DEC. 13)  
(BR. 11)
- S-3 DIAL CORP /DE/, DIAL TOWER, DIAL CORPORATE CNTR, PHOENIX, AZ 85077  
(602) 207-4000 - 800,000 (\$16,900,000) COMMON STOCK. (FILE 33-56841 - DEC. 14) (BR. 2)
- SB-2 INTERNATIONAL BASIC RESOURCES INC,  
10901 EAST SPEEDWAY BOULEVARD SUITE A, TUCSON, AZ 85748 (512) 482-0829 -  
22,687,500 (\$22,687,500) COMMON STOCK. (FILE 33-87116 - DEC. 09) (BR. 3)
- S-3 AVALON PROPERTIES INC, 11 BURTIS AVE, NEW CANAAN, CT 06840  
(203) 972-4000 - 1,000,000 (\$18,437,500) COMMON STOCK. (FILE 33-87140 - DEC. 09) (BR. 5)

REGISTRATIONS CONT.

- S-8 TVG TECHNOLOGIES LTD, 53 HA-IRUSISM ST, NES ZIONA ISRAEL, L5 - 430,000 (\$322,500) COMMON STOCK. (FILE 33-87152 - DEC. 12) (BR. 9)
- N-1A JNL SERIES TRUST, 5901 EXECUTIVE DR, LANSING, MI 48911 (517) 394-3400 - INDEFINITE SHARES. (FILE 33-87244 - DEC. 09) (BR. 18 - NEW ISSUE)
- S-1 CONGOLEUM CORP, 3705 QUAKERBRIDGE RD, UNIVERSITY OFFICE PLZ 11, MERCERVILLE, NJ 08619 (609) 584-3000 - 4,715,000 (\$75,440,000) COMMON STOCK. UNDERWRITER: DONALDSON LUFKIN & JENRETTE SECS CORP, GOLDMAN SACHS & CO. (FILE 33-87282 - DEC. 13) (BR. 5)
- S-8 AM INTERNATIONAL INC, 1800 W CENTRAL RD, MT PROSPECT, IL 60056 (708) 818-1294 - 1,400,000 (\$13,125,000) COMMON STOCK. (FILE 33-87288 - DEC. 13) (BR. 10)
- S-8 AMWAY ASIA PACIFIC LTD, 28F CITICORP CENTRE, 18 WHITFIELD RD, CAUSEWAY BAY HONG KONG, K3 (852) 570-0878 - 452,250 (\$15,190,120) COMMON STOCK. (FILE 33-87290 - DEC. 13) (BR. 7)
- S-2 COMMUNITY CAPITAL CORP /SC/, 109 MONTAGUE AVE, GREENWOOD, SC 29648 (803) 941-8200 - 670,000 (\$8,040,000) COMMON STOCK. UNDERWRITER: INTERSTATE JOHNSON LANE CORP. (FILE 33-87310 - DEC. 12) (BR. 2)
- S-8 BACHMAN INFORMATION SYSTEMS INC /MA/, 8 NEW ENGLAND EXECUTIVE PARK, BURLINGTON, MA 01803 (617) 273-9003 - 300,000 (\$1,024,000) COMMON STOCK. (FILE 33-87314 - DEC. 12) (BR. 9)
- S-8 STRATACOM INC, 1400 PARKMOOR AVE, SAN JOSE, CA 95126 (408) 294-7600 - 2,000,000 (\$115,500,000) COMMON STOCK. (FILE 33-87316 - DEC. 12) (BR. 7)
- F-6 NAMPK LTD /ADR/, 48 WALL ST, C/O BANK OF NEW YORK, NEW YORK, NY 10286 (212) 495-1727 - 10,000,000 (\$500,000) DEPOSITARY RECEIPTS FOR COMMON STOCK. (FILE 33-87318 - DEC. 12) (BR. 99 - NEW ISSUE)
- S-8 LASER VIDEO NETWORK INC, 645 FIFTH AVE EAST WING, NEW YORK, NY 10022 (212) 888-0617 - 464,164 (\$1,290,956.13) COMMON STOCK. (FILE 33-87320 - DEC. 12) (BR. 11)
- S-8 NABORS INDUSTRIES INC, 515 W GREEN RD STE 1200, HOUSTON, TX 77067 (713) 874-0035 - 3,250,000 (\$22,546,875) COMMON STOCK. (FILE 33-87322 - DEC. 12) (BR. 3)
- S-8 NABORS INDUSTRIES INC, 515 W GREEN RD STE 1200, HOUSTON, TX 77067 (713) 874-0035 - 1,245,300 (\$8,639,268.75) COMMON STOCK. (FILE 33-87324 - DEC. 12) (BR. 3)
- S-3 UNITED INTERNATIONAL HOLDINGS INC, 4643 S ULSTER ST, STE 1300, DENVER, CO 80237 (303) 770-4001 - 1,786,699 (\$26,800,485) COMMON STOCK. (FILE 33-87326 - DEC. 06) (BR. 7)
- S-8 CANNONDALE CORP /DE/, 9 BROOKSIDE PL, GEORGETOWN, CT 06829 (203) 544-9800 - 707,500 (\$9,197,500) COMMON STOCK. (FILE 33-87328 - DEC. 12) (BR. 12)

RECENT 8-K FILINGS

Form 8-K is used by companies to file current reports on the following events:

- Item 1. Changes in Control of Registrant.
- Item 2. Acquisition or Disposition of Assets.
- Item 3. Bankruptcy or Receivership.
- Item 4. Changes in Registrant's Certifying Accountant.
- Item 5. Other Materially Important Events.
- Item 6. Resignations of Registrant's Directors.
- Item 7. Financial Statements and Exhibits.
- Item 8. Change in Fiscal Year.

The companies listed below have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. Copies of the reports may be purchased from the Commission's Public Reference Room (when ordering, please give the date of the report). An invoice will be included with the requested material when mailed.

NAME OF ISSUER	STATE CODE	8K ITEM NO.								DATE	COMMENT
		1	2	3	4	5	6	7	8		
ESSEX PROPERTY TRUST INC	MD	X						X		11/21/94	
EXCEL CREDIT CORP COMMERCIAL PASS THRO C	DE				X	X				12/01/94	
EXOLON ESK CO	DE					X				11/21/94	
FCC NATIONAL BANK				X	X					12/08/94	
FDIC REMIC TRUST 1994-C1	NY			X	X					11/25/94	
FEDERAL REALTY INVESTMENT TRUST	DC	X								11/30/94	
FIDELITY FEDERAL BANCORP	IN				X					12/09/94	
FIRST CASH INC	DE	X					X			10/01/94	AMEND
FIRST CITY BANCORPORATION OF TEXAS INC /	DE				X	X				11/21/94	
FLORIDA INCOME FUND II LTD PARTNERSHIP	OH	X								12/05/94	
FLORIDA STEEL CORP	FL			X		X				10/24/94	AMEND
FREQUENCY ELECTRONICS INC	DE				X					11/07/94	
FROST HANNA ACQUISITION GROUP INC	FL				X					12/07/94	
FROST HANNA MERGERS GROUP INC	FL				X					12/07/94	
FULL HOUSE RESORTS INC	DE			X						11/30/94	
FUND AMERICA INVESTORS CORP II PASS THRO	DE			X	X					11/25/94	
GE CAPITAL MORTGAGE SERVICES INC	NJ			X	X					11/25/94	
GENERAL MILLS INC	DE			X	X					12/14/94	
GENERAL MOTORS CORP	DE					X				12/16/94	
GOOD TIMES RESTAURANTS INC	NV				X	X				10/17/94	
GREAT PINES WATER CO INC	TX				X					11/23/94	
GROWTH ENVIRONMENTAL INC	IL		X							11/22/94	
GULF STATES UTILITIES CO	TX				X					12/16/94	
HOLOMETRIX INC	DE	X								11/29/94	AMEND
IDEX CORP /DE/	DE				X					12/12/94	



8K'S CONT.

NAME OF ISSUER	STATE CODE	8K ITEM NO.								DATE	COMMENT	
		1	2	3	4	5	6	7	8			
INTERNATIONAL ENERGY DEVELOPMENT CORP	DE				X						12/06/94	
IWERKS ENTERTAINMENT INC	DE				X						12/05/94	
JENNIFER CONVERTIBLES INC	DE				X	X					12/02/94	
JUST FOR FEET INC	AL		X			X					03/13/94	
KIDDER PEABODY ACCE CORP I COMM MOR PA T	DE				X	X					12/01/94	
KLEINERTS INC /PA/	PA			X		X					11/18/94	
LIBRARY BUREAU INC	NY				X						12/09/94	
LIVING CENTERS OF AMERICA INC	DE				X						11/17/94	AMEND
LYNCH CORP	IN						X				09/26/94	AMEND
MAIL WELL CORP	DE					X					12/08/94	
MAIL WELL HOLDINGS INC	DE					X					12/08/94	
MAINE PUBLIC SERVICE CO	ME					X					12/01/94	
MALVY TECHNOLOGY INC	DE	X	X								11/03/94	
MATHSOFT INC	MA					X					12/06/94	
MCNEIL REAL ESTATE FUND IV LTD	DE		X		X						11/21/94	
MECHANICAL TECHNOLOGY INC	NY		X				X				11/23/94	
MEDICALCONTROL INC	DE		X				X				11/30/94	
MEGATEST CORP	DE		X				X				11/22/94	
MICRO COMPONENT TECHNOLOGY INC	DE		X				X				11/22/94	
MICROPROBE CORP /DE/	DE					X	X				11/23/94	
MID AMERICA APARTMENT COMMUNITIES INC	TN					X					12/03/94	
MITEK SURGICAL PRODUCTS INC /DE	DE		X				X				12/07/94	
MOHAWK INDUSTRIES INC	DE					X	X				12/01/94	
NAHAMA & WEAGANT ENERGY CO	CA				X						12/05/94	
NAL FINANCIAL GROUP INC	DE	X	X		X		X	X			11/30/94	
NEUROCORP LTD	NV	X	X				X	X			11/23/94	
NIKE INC	OR		X								12/14/94	
NORTHERN STATES POWER CO /MN/	MN				X		X				12/13/94	
NUMEREX CORP /NY/	NY		X				X				11/30/94	
OMEGA ENVIRONMENTAL INC	DE						X				11/18/94	AMEND
ONE FINANCIAL PLACE LTD PARTNERSHIP	IL				X						11/29/94	
PACE GROUP INTERNATIONAL INC	OR					X					12/06/94	
PACIFIC SYNDICATION INC	DE	X	X			X	X				12/06/94	
PALLET MANAGEMENT SYSTEMS INC	FL	X			X		X				10/07/94	AMEND
PANAMSAT LP	DE										12/01/94	
PERCEPTRONICS INC	DE				X		X				12/01/94	
PHOTRONICS INC	CT		X				X				12/01/94	
PNC MORTGAGE SEC CORP MORT PASS THRO CER	DE				X		X				11/01/94	
PNC MORTGAGE SEC CORP MORT PASS THRO CER	DE				X		X				11/01/94	
PNC MORTGAGE SEC CORP MORT PASS THRO CER	DE				X		X				11/01/94	
PNC MORTGAGE SECURITIES CORP MOR PAS THR	DE				X		X				11/01/94	
PNC MORTGAGE SECURITIES CORP MOR PASS TH	DE				X		X				11/01/94	
PREMIER ACCEPTANCE CORP /MN/	DE				X		X				11/23/94	
PROVIDIAN CORP	DE					X					12/16/94	
PRUDENTIAL BACHE EQUITEC REAL ESTATE PAR	CA							X			11/21/94	
PRUDENTIAL SEC FIN ASSET FUNDING CORP PA					X		X				11/27/94	
PW PRIVATE CAPITAL TECHNOLOGY FUND LP	DE				X		X				11/16/94	
REHABCARE CORP	DE						X				10/12/94	
RESOLUTION TRUST CORP COMM MORT PASS THR						X	X				11/25/94	
RESPONSE USA INC	DE		X								11/22/94	
ROYAL INTERNATIONAL OPTICAL INC	PA	X					X				12/02/94	
SAFEGUARD SCIENTIFICS INC ET AL	PA		X				X				12/16/94	AMEND
SALOMON BROTHERS MORTGAGE SECURITIES VII	DE		X				X				11/29/94	
SCHAWK INC	DE				X		X				11/23/94	



8K'S CONT.

NAME OF ISSUER	STATE CODE	8K ITEM NO.								DATE	COMMENT
		1	2	3	4	5	6	7	8		
VENTURA ENTERTAINMENT GROUP LTD	DE		X					X		10/01/94	AMEND
VETERINARY CENTERS OF AMERICA INC	DE				X	X				11/30/94	
WEST COAST BANCORP INC	FL				X	X				11/29/94	
WESTERN FINANCIAL 1994-1 GRANTOR TRUST	CA				X					12/01/94	
WESTERN FINANCIAL 1994-2 GRANTOR TRUST	CA				X	X				12/01/94	
WESTERN FINANCIAL 1994-3 GRANTOR TRUST	CA				X	X				12/01/94	
WHITMAN MEDICAL CORP	NJ				X	X				11/30/94	
WORLD OMNI 1994-A AUTOMOBILE LEASE SECUR	DE				X					10/31/94	
WORTHINGTON FOODS INC /OH/	OH		X				X			11/22/94	
XSIRIUS INC	DE			X			X			12/13/94	

### SEC PUBLIC INFORMATION NUMBERS

The following information is furnished to assist in calling appropriate offices directly:

CONSUMER AFFAIRS - Voice: (202) 942-7040; TTY: (202) 942-7065

Investor inquiries and complaints.

FREEDOM OF INFORMATION ACT OPERATIONS - (202) 942-4320

Requests concerning FOIA, Privacy Act, Sunshine Act, confidential treatment matters, etc.

PUBLIC AFFAIRS - (202) 942-0020; TTY: (202) 942-0023

Information about matters in the SEC News Digest, the Commission's operations, and calls from the press not directed to particular individuals, and other related matters.

PUBLIC REFERENCE - Voice: (202) 942-8090; TTY: (202) 942-8092

Requests for information on whether or not a document has been filed, obtain Investment Advisers Packets, etc.

PUBLICATIONS UNIT - (202) 942-4040

Requests for forms, studies, directories, etc.

OFFICE OF THE SECRETARY - (202) 942-7070

Requests for information on the Commission calendar.

PERSONNEL HOTLINE - Voice: (202) 942-4150; TTY: (202) 942-4095

Provides access to information on employee telephone numbers, SEC job vacancies, employment programs, and employee benefits -- 24 hours a day, 7 days a week. Callers may leave recorded requests for application materials, benefit forms, or employment verifications.

SEC INFORMATION LINE - (202) 942-8088

General information about SEC operations and activities through a series of recorded messages.

SEC TOLL FREE INFORMATION SERVICE

1-800-SEC-0330

To order investor protection brochures, Commission publications, information about the Commission's enforcement program and procedures for filing complaints. How to obtain official company filings or find out if a securities firm or employee has been the subject of disciplinary action.

ELECTRONIC SEC INFORMATION

Fedworld, a public electronic bulletin board operated by the Department of Commerce, carries current issues of the SEC News Digest and the texts of various informational brochures, investor columns and bulletins, speeches, testimony and selected releases concerning rulemaking. Anyone with a modem and communications software can connect directly with Fedworld by dialing (703) 321-8020 or via the Internet.