

# sec news digest

Issue 94-150

August 9, 1994

---

## ENFORCEMENT PROCEEDINGS

---

### CEASE-AND-DESIST ORDERS AGAINST ABC PORTFOLIO DEVELOPMENT GROUP AND FRANK CARLSON, CARLSON ALSO SUSPENDED FOR ONE YEAR

The Commission instituted proceedings against ABC Portfolio Development Group, Inc. a/k/a Advanced Brokerage Concept Portfolio Development Group Inc., located in Scottsdale, Arizona and Frank C. Carlson, the firm's chairman and president. Pursuant to settlement offers, cease-and-desist orders were issued against the respondents and Carlson was suspended for one year from association with any investment adviser, investment company, broker, dealer or municipal securities dealer.

The Commission found that in 1993 the respondents had formed an investment pool of \$342,000, primarily through offers to U.S. Air Force personnel stationed in Germany. The investment pool was to be managed and advised by ABC. The public offering of investment pool interests was not registered, the investment pool was not registered as an investment company, and ABC was not registered as an investment adviser, as required by law.

The Commission also found that the respondents had violated certain antifraud provisions of the Federal securities laws in connection with the use of a promotional brochure during the course of the public offering, which lauded ABC's purported "unique investment concept," and which made extravagant claims of profitability. The brochure stated that ABC's trading system "is so unique and powerful that it could one day be dubbed the major breakthrough in market trading for the 21st Century."

The respondents consented to the Commission orders without admitting or denying the findings of the Commission set forth in the Order Instituting Proceedings. (Rel. 33-7080; 34-34507; IC-20449; IA-1433)

**CIVIL ACTION AGAINST SETH FIREMAN, RINA FIREMAN, EDWARD CUFFE AND CLIFTON MANAGEMENT AND TRADING, INC.**

The Commission announced the filing of a civil injunctive action in the United States District Court for the District of New Jersey against Clifton Management and Trading, Inc., (Clifton), its officers, Defendants Seth Fireman (Fireman) and Rina Rollhaus Fireman (Rina), and its employee Edward William Cuffe (Cuffe). The complaint alleges that the defendants engaged in a "free-riding" scheme in violation of the antifraud provisions of the Securities Act of 1933 and the Securities Exchange Act of 1934, thereunder, and that Clifton, Fireman and Rina violated Section 7(f) of the Exchange Act [15 U.S.C. § 78g(f)] and Regulation X promulgated by the Board of Governors of the Federal Reserve Board [12 C.F.R. § 224.3].

The complaint alleges that from July 1992 through December 1992 the defendants opened approximately 60 DVP/RVP accounts at approximately 48 brokerage firms through misrepresentations of their net worth and income. The defendants then bought and sold securities on the same day at different firms, when they did not have sufficient funds to pay for the securities, but intended to pay for them with proceeds from their sale. At least twice, brokerages incurred losses when Clifton failed to settle transactions when they could not do so profitably.

The complaint seeks a permanent injunction, an accounting, disgorgement and civil penalties. [SEC v. Seth Fireman, Rina Rollhaus Fireman, Edward William Cuffe and Clifton Management and Trading, Inc., USDC for the District of New Jersey, Civil Action No. 94-3840, JWB] (LR-14188)

**COMPLAINT FILED AGAINST HAROLD GLANTZ AND PETER BLOCK**

The Commission announced the filing of a complaint in the United States District Court for the Southern District of New York for Permanent Injunction and Other Equitable Relief against Harold Glantz (Glantz) and Peter H. Block (Block).

The complaint alleges that Glantz and Block violated the antifraud provisions of the federal securities laws by offering and selling fictitious securities. Specifically, the complaint asserts that, beginning in February 1992, Glantz and Block raised \$2 million from two investors by offering and selling securities in the form of prime bank notes, zero interest credit instruments and zero interest letters of credit. The complaint asserts that such securities are nonexistent, that Glantz and Block fraudulently misrepresented the risks associated with the investments, and that Glantz used approximately \$400,000 of the invested funds for his own personal expenses.

The Commission seeks permanent injunctive relief from further violations of the antifraud provisions of the federal securities laws, disgorgement, plus prejudgment interest, civil penalties and other ancillary relief. [SEC v. Harold Glantz and Peter H. Block, 94 Civ. 5737, CSH] (LR-14186)

#### JOHN HALL, JR., ENJOINED IN TRADING TRANSACTION SCHEME

The Commission announced that on July 26 in U.S. District Court for the Western District of Texas, a Final Judgment of Permanent Injunction and Other Equitable Relief was entered against defendant John Allen Hall, Jr. (Hall) enjoining him from future violations of the registration and antifraud provisions of the federal securities laws. Hall was also ordered to disgorge the sum of \$329,721.69 plus prejudgment interest; however, all but \$25,000, plus postjudgment interest, was waived based on his demonstrated financial inability to pay. The court also noted the appropriateness of civil penalties in this instance, but did not order Hall to pay the civil penalties based upon his demonstrated financial inability. Hall consented to the entry of the Final Judgment without admitting or denying the Commission's allegations.

The Commission's complaint, filed April 22, 1992, alleged that Hall participated in the fraudulent sale of unregistered securities in the form of investment contracts involving joint venture interests in an investment pool purported to be engaged in trade transactions involving the export and import of commodities, and raised at least \$10 million from at least 300 investors residing in 24 states. [SEC v. Custom Trading International Corporation, et al., Civil Action No. SA 92-CA-0425, USDC, WD TX, San Antonio] (LR-14187)

---

#### INVESTMENT COMPANY ACT RELEASES

---

#### FIRST AMERICAN FUNDS, INC., ET AL.

A notice has been issued giving interested persons until August 30 to request a hearing on an application filed by First American Funds, Inc. (FAF), et al. for an order under Section 17(b) of the Investment Company Act granting an exemption from Section 17(a); and permitting certain joint transactions under Section 17(d) of the Act and Rule 17d-1 thereunder. The requested order would permit certain series of FAF to acquire all of the assets of certain other series of FAF in exchange for shares of the acquiring series. (Rel. IC-20445 - August 5)

## FIRST AMERICAN MUTUAL FUNDS ET AL.

A notice has been issued giving interested persons until August 30 to request a hearing on an application filed by First American Mutual Funds (FAMF), et al. for an order under Section 17(b) of the Investment Company Act granting an exemption from Section 17(a); permitting certain joint transactions under Section 17(d) of the Act and Rule 17d-1 thereunder; and under Section 6(c) granting an exemption from the provisions of Section 15(f)(1)(A). The requested order would permit certain series of First American Investment Funds, Inc. (FAIF) to acquire all of the assets of certain series of FAMF in exchange for shares of FAIF. The order also would permit FAIF's board of directors to continue with its present membership following completion of the proposed transactions. (Rel. IC-20446 - August 5)

## DEFINED ASSETS FUNDS - EQUITY INCOME FUND, ET AL.

A notice has been issued giving interested persons until August 30 to request a hearing on an application filed by Defined Assets Funds - Equity Income Fund, et al. for an order under Sections 6(c) and 17(b) of the Investment Company Act that would grant an exemption from Section 17(a) of the Act. The order would permit a terminating series of a unit investment trust to sell portfolio securities to a new series of the trust. (Rel. IC-20447 - August 5)

---

## HOLDING COMPANY ACT RELEASES

---

### ALABAMA POWER COMPANY

A notice has been issued giving interested persons until August 29 to request a hearing on a proposal by Alabama Power Company (Alabama Power), a public-utility subsidiary company of The Southern Company (Southern), a registered holding company, to amend Alabama Power's charter in order to issue more unsecured debt without first obtaining an affirmative vote of the majority of the number of preferred shares outstanding (Proposal 1). Should Proposal 1 fail to receive the requisite number of votes, Alabama Power proposes to waive a charter provision in order to issue or assume, until October 1, 2004, securities representing unsecured debt having maturities of less than ten years in excess of 10% of capital, surplus, and secured debt, provided that the amount of securities representing unsecured debt having maturities of less than ten years outstanding on January 1, 2005, shall not to exceed such 10% limitation, and Alabama Power's total indebtedness represented

by unsecured securities shall not exceed 20% of capital, surplus, and secured debt. Both proposals require approval from Alabama Powers' preferred shareholders. In addition, Proposal 1 requires the approval of Southern as Alabama Power's sole common shareholder. The Commission has authorized Alabama Power to solicit proxies from its preferred shareholders to effect both proposals. (Rel. 35-26097)

#### THE SOUTHERN COMPANY, ET AL.

An order has been issued authorizing a proposal by The Southern Company (Southern), a registered holding company, and its subsidiaries, Alabama Power Company, Georgia Power Company, Gulf Power Company, Mississippi Power Company, Savannah Electric and Power Company, Southern Company Services, Inc., Southern Electric International, Inc., Southern Nuclear Operating Company, Inc., and Southern Electric Generating Company, a subsidiary of Alabama Power Company and Georgia Power Company, whereby Southern will, prior to December 31, 1997, issue and sell its authorized but unissued common stock, par value \$5 per share, in amounts up to 25 million additional shares pursuant to its Dividend reinvestment and Stock Purchase Plan; up to 9 million additional shares pursuant to The Southern Company Employee Savings Plan; and up to 3 million additional shares pursuant to The Employee Stock Ownership Plan of The Southern Company. (Rel. 35-26098)

---

#### SELF-REGULATORY ORGANIZATIONS

---

#### PROPOSED RULE CHANGE

The New York Stock Exchange filed a proposed rule change (SR-NYSE-94-28) relating to real estate investment trusts (REITs) portfolio market index target-term securities. Publication of the notice is expected in the Federal Register during the week of August 8. (Rel. 34-34492)

#### APPROVAL OF PROPOSED RULE CHANGE

The Commission approved a proposed rule change (SR-CSE-94-6) filed by the Cincinnati Stock Exchange amending and extending the pilot program on the exchange relating to the preferencing of public orders by approved dealers and other proprietary members. (Rel. 34-34493)

---

## SECURITIES ACT REGISTRATIONS

---

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

- S-6 OPPENHEIMER GLOBAL GROWTH & TREASURY SECURITIES TRUST SER 1,  
C/O NIKE SECURITIES L P, 1001 WARRENVILLE RD, LISLE, IL 60532  
(708) 241-4141 - INDEFINITE SHARES. (FILE 33-54849 - AUG. 02) (BR. 18  
- NEW ISSUE)
- S-3 OMNICON GROUP INC, 437 MADISON AVE, NEW YORK, NY 10022 (212) 415-3600 -  
58,466 (\$2,985,420) COMMON STOCK. (FILE 33-54851 - AUG. 02) (BR. 5)
- S-6 TAX EXEMPT SECURITIES TRUST NEW YORK TRUST 135,  
C/O DAVIS POLK & WARDWELL, 450 LEXINGTON AVE, NEW YORK, NY 10017  
(212) 450-4540 - INDEFINITE SHARES. (FILE 33-54853 - AUG. 02) (NEW ISSUE)
- S-6 TAX EXEMPT SECURITIES TRUST PENNSYLVANIA TRUST 110,  
C/O DAVIS POLK & WARDWELL, 450 LEXINGTON AVE, NEW YORK, NY 10017  
(212) 450-4540 - INDEFINITE SHARES. (FILE 33-54855 - AUG. 02) (NEW ISSUE)
- S-8 GENOVESE DRUG STORES INC, 80 MARCUS DR, MELVILLE, NY 11747  
(516) 420-1900 - 595,320 (\$6,995,010) COMMON STOCK. (FILE 33-54857 -  
AUG. 02) (BR. 4)
- S-3 LOUISIANA PACIFIC CORP, 111 SW FIFTH AVE, SUITE 4200, PORTLAND, OR 97204  
(503) 221-0800 - 750,000 (\$23,859,375) COMMON STOCK. (FILE 33-54859 -  
AUG. 02) (BR. 10)
- S-3 UNION OIL CO OF CALIFORNIA, 1201 W FIFTH ST, LOS ANGELES, CA 90017  
(213) 977-7600 (FILE 33-54861 - AUG. 02) (BR. 3)
- S-8 GREAT ATLANTIC & PACIFIC TEA CO INC, 2 PARAGON DR, MONTVALE, NJ 07645  
(201) 573-9700 - 1,500,000 (\$32,400,000) COMMON STOCK. (FILE 33-54863 -  
AUG. 02) (BR. 2)
- S-4 FIRST COMMERCE CORP /LA/, P O BOX 60279, 210 BARONNE STREET,  
NEW ORLEANS, LA 70160 (504) 561-2900 - 847,658 (\$21,683,091.60)  
COMMON STOCK. (FILE 33-54865 - AUG. 02) (BR. 1)
- S-8 MCKESSON CORP /DE/, MCKESSON PLZ ONE POST ST, SAN FRANCISCO, CA 94104  
(415) 983-8300 - 25,000,000 (\$25,000,000)  
OTHER SECURITIES INCLUDING VOTING TRUST. (FILE 33-54867 - AUG. 02)  
(BR. 4)
- S-3 PLM INTERNATIONAL INC, STEUART ST TOWER STE 900, ONE MARKET PLZ,  
SAN FRANCISCO, CA 94105 (415) 974-1399 - 2,445,000 (\$7,640,625)  
COMMON STOCK. (FILE 33-54869 - AUG. 02) (BR. 5)
- S-8 BEST BUY CO INC, 4400 W 78TH ST, BLOOMINGTON, MN 55435 (612) 947-2000 -  
1,500,000 (\$33,660,000) COMMON STOCK. (FILE 33-54871 - AUG. 02) (BR. 2)

REGISTRATIONS CONT.

- S-8 BEST BUY CO INC, 4400 W 78TH ST, BLOOMINGTON, MN 55435 (612) 947-2000 - 450,000 (\$10,098,000) COMMON STOCK. (FILE 33-54873 - AUG. 02) (BR. 2)
- S-8 BEST BUY CO INC, 4400 W 78TH ST, BLOOMINGTON, MN 55435 (612) 947-2000 - 2,000,000 (\$44,880,000) COMMON STOCK. (FILE 33-54875 - AUG. 02) (BR. 2)
- S-3 PUBLIC SERVICE CO OF COLORADO, 1225 17TH ST STE 300, DENVER, CO 80202 (303) 571-7511 - 306,000,000 (\$306,000,000) STRAIGHT BONDS. (FILE 33-54877 - AUG. 02) (BR. 8)
- SB-2 SINGING MACHINE CO INC, 6350 EAST ROGERS CIRCLE, BOCA RATON, FL 33487 (407) 993-3100 - 4,140,000 (\$15,318,000) COMMON STOCK. 240,000 (\$14,520) WARRANTS, OPTIONS OR RIGHTS. 540,000 (\$2,940,000) COMMON STOCK. UNDERWRITER: JW CHARLES CSG. (FILE 33-81974-A - JUL. 27) (BR. 3)
- SB-2 IMAGEX SERVICES INC, 1714 SHERMAN DR, UTICA, NY 13501 (315) 797-5860 - 400,000 (\$1,200,000) WARRANTS, OPTIONS OR RIGHTS. 400,000 (\$1,200,000) COMMON STOCK. (FILE 33-82180 - JUL. 29) (BR. 6 - NEW ISSUE)
- S-1 HB COMMUNICATIONS ACQUISITION CORP, 89 JUNE ROAD, NORTH SALEM, NY 10980 (914) 669-5811 - 7,090,909 (\$35,232,954.09) COMMON STOCK. 400,000 (\$40,000,000) PREFERRED STOCK. 2,499,999 (\$14,166,661) COMMON STOCK. (FILE 33-82184 - AUG. 01) (BR. 8)
- S-8 CALLOWAYS NURSERY INC, 4800 BLUE MOUND RD, FORT WORTH, TX 76106 (817) 624-8222 - 600,000 (\$3,144,681.50) COMMON STOCK. (FILE 33-82192 - JUL. 29) (BR. 10)
- S-8 FLIR SYSTEMS INC, 16505 SW 72ND AVE, PORTLAND, OR 97224 (503) 684-3731 - 340,000 (\$4,292,500) COMMON STOCK. (FILE 33-82194 - AUG. 01) (BR. 8)
- S-8 MAGAININ PHARMACEUTICALS INC, 5110 CAMPUS DRIVE, PLYMOUTH MEETING, PA 19462 (214) 941-4020 - 148,903 (\$297,806) COMMON STOCK. (FILE 33-82196 - AUG. 01) (BR. 4)
- S-8 AFFYMAX N V, DRENTENSTRAAT 20 1083 HK, AMSTERDAM NETHERLANDS 31-20-54. 04 (415) 496-2300 - 150,000 (\$1,912,500) COMMON STOCK. (FILE 33-82238 - AUG. 01) (BR. 4)
- S-8 WITTER DEAN DISCOVER & CO, TWO WORLD TRADE CENTER, NEW YORK, NY 10048 (212) 392-2222 - 3,500,000 (\$139,125,000) COMMON STOCK. (FILE 33-82240 - AUG. 01) (BR. 12)
- S-8 WITTER DEAN DISCOVER & CO, TWO WORLD TRADE CENTER, NEW YORK, NY 10048 (212) 392-2222 - 6,500,000 (\$258,375,000) COMMON STOCK. (FILE 33-82242 - AUG. 01) (BR. 12)
- S-8 WITTER DEAN DISCOVER & CO, TWO WORLD TRADE CENTER, NEW YORK, NY 10048 (212) 392-2222 - 500,000 (\$19,875,000) COMMON STOCK. (FILE 33-82244 - AUG. 01) (BR. 12)
- F-1 TARKETT INTERNATIONAL GMBH, MACHTWEIDEMEG 1-7, FRANKENTHAL D7227 GERMANY, 18 - FOREIGN GOVERNMENT AND AGENCY DEBT. (FILE 33-82248 - AUG. 01) (BR. 6)
- S-8 MADERA INTERNATIONAL INC, 23548 CALABASAS RD STE 203, CALABASAS, CA 91302 (818) 223-8807 - 2,000,000 (\$4,500,000) COMMON STOCK. (FILE 33-82250 - AUG. 01) (BR. 6)

REGISTRATIONS CONT.

- N-1A AAHSA TRUST, 901 E ST NW, STE 500, WASHINGTON, DC 20004 (202) 508-9425  
- INDEFINITE SHARES. (FILE 33-82256 - AUG. 01) (BR. 17 - NEW ISSUE)
- S-8 X RITE INC, 3100 44TH ST SW, GRANDVILLE, MI 49418 (616) 534-7663 -  
1,000,000 (\$27,375,000) COMMON STOCK. (FILE 33-82258 - AUG. 01) (BR. 11)
- S-8 X RITE INC, 3100 44TH ST SW, GRANDVILLE, MI 49418 (616) 534-7663 -  
400,000 (\$10,950,000) COMMON STOCK. (FILE 33-82260 - AUG. 01) (BR. 11)
- S-3 DIGI INTERNATIONAL INC, 6400 FLYING CLOUD DR, EDEN PRAIRIE, MN 55344  
(612) 943-9020 - 105,550 (\$1,213,825) COMMON STOCK. (FILE 33-82262 -  
AUG. 01) (BR. 9)
- S-3 HOLLYWOOD ENTERTAINMENT CORP, 10300 SW ALLEN BLVD, BEAVERTON, OR 97005  
(503) 677-1600 - 3,358,877 (\$67,597,399.63) COMMON STOCK. UNDERWRITER:  
MONTGOMERY SECURITIES, PIPER JAFFRAY INC. (FILE 33-82266 - AUG. 01)  
(BR. 12)
- N-2 FOREIGN & COLONIAL EMERGING MIDDLE EAST FUND INC, 225 FRANKLIN ST,  
BOSTON, MA 02109 (617) 426-9050 - 383,334 (\$5,750,010) COMMON STOCK.  
UNDERWRITER: NOMURA SECURITIES INTERNATIONAL INC. (FILE 33-82276 -  
AUG. 01) (BR. 16 - NEW ISSUE)
- SB-2 NEOPROBE CORP, 425 METRO PLACE W, STE 400, DUBLIN, OH 43017  
(614) 786-7180 - 3,125,000 (\$12,700,000) COMMON STOCK. (FILE 33-82278 -  
AUG. 01) (BR. 4)
- S-8 S3 INC, 2770 SAN TOMAS EXPWY, SANTA CLARA, CA 95051 (408) 980-5400 -  
950,000 (\$7,362,500) COMMON STOCK. (FILE 33-82280 - AUG. 01) (BR. 10)
- S-8 MILLER INDUSTRIES INC /TN/, 8503 HILLTOP DR, COLTEMAH, TN 37363  
(615) 238-4171 - 800,000 (\$9,200,000) COMMON STOCK. (FILE 33-82282 -  
AUG. 01) (BR. 4)
- S-1 UNIVAX BIOLOGICS INC, 12280 WILKINS AVE, ROCKVILLE, MD 20852  
(301) 770-3099 - 3,000,000 (\$21,000,000) COMMON STOCK. (FILE 33-82286 -  
AUG. 01) (BR. 4)
- S-3 KELLEY OIL CORP, 601 JEFFERSON ST STE 1100, HOUSTON, TX 77002  
(713) 652-5200 - 453,652 (\$12,418,723.50) PREFERRED STOCK. (FILE 33-82288  
- AUG. 02) (BR. 7)
- S-3 HMG WORLDWIDE CORP, 475 TENTH AVE, NEW YORK, NY 10018 (212) 736-2300 -  
245,000 (\$2,205,000) COMMON STOCK. (FILE 33-82290 - AUG. 02) (BR. 6)
- S-3 AU BON PAIN CO INC, 19 FID KENNEDY AVE, BOSTON, MA 02210 (617) 423-2100  
- 39,778 (\$765,726.50) COMMON STOCK. (FILE 33-82292 - AUG. 02) (BR. 12)
- S-8 PETCO ANIMAL SUPPLIES INC, 9151 REHCO RD, SAN DIEGO, CA 92121  
(619) 453-7845 - 590,000 (\$7,227,500) COMMON STOCK. (FILE 33-82302 -  
AUG. 01) (BR. 2)
- S-3 SPECTRUM HOLOBYTE INC, 2490 MARINER SQ LOOP STE 100, ALAMEDA, CA 94501  
(510) 522-3584 - 2,437,795 (\$13,724,785.85) COMMON STOCK. (FILE 33-82304 -  
AUG. 01) (BR. 10)



## REGISTRATIONS EFFECTIVE:

<u>NAME OF ISSUER</u>	<u>FILE NO.</u>	<u>DISPOSITION DATE</u>
ADAC LABORATORIES	33-82352	08/03/94
ADAC LABORATORIES	33-82406	08/03/94
ADVANCED TISSUE SCIENCES INC	33-82310	08/01/94
AFFYMAX N V	33-82238	08/01/94
ALCO STANDARD CORP	33-54779	08/04/94
ALDILA INC	33-81792	08/03/94
ALDILA INC	33-81792	08/03/94
AMERICAN BANKERS INSURANCE GROUP INC	33-82342	08/03/94
AMERICAN MAIZE PRODUCTS CO	33-54893	08/03/94
AMERICAN RESOURCE CORP INC	33-82312	08/01/94
AMERICAN RESOURCES OF DELAWARE INC	33-82422	08/04/94
ATLANTIC CITY SHOUBOAT INC	33-54325-02	08/03/94
ATLANTIC RICHFIELD CO /DE	33-53481	08/01/94
ATLANTIC RICHFIELD CO /DE	33-53481	08/01/94
ATLANTIC RICHFIELD CO /DE	33-53481	08/01/94
ATLANTIC RICHFIELD CO /DE	33-53481	08/01/94
BAREFOOT INC /DE	33-81822	08/02/94
BEST BUY CO INC	33-54871	08/02/94
BEST BUY CO INC	33-54873	08/02/94
BEST BUY CO INC	33-54875	08/02/94
BFP HOLDINGS CORP	33-79406	08/04/94
BFP HOLDINGS CORP	33-79406	08/04/94
BFP HOLDINGS CORP	33-79406	08/04/94
BFP HOLDINGS CORP	33-79406	08/04/94
BIG FLOWER PRESS INC	33-79408	08/04/94
BIG FLOWER PRESS INC	33-79408	08/04/94
BIG FLOWER PRESS INC	33-79408	08/04/94
BIG FLOWER PRESS INC	33-79408	08/04/94
BIG FLOWER PRESS INC	33-80330	08/04/94
BIG FLOWER PRESS INC	33-80330	08/04/94
BIG FLOWER PRESS INC	33-80330	08/04/94
BIRD MEDICAL TECHNOLOGIES INC	33-82340	08/03/94
CBC BANCSHARES INC	33-81496	08/03/94
CELESTIAL VENTURES CORP	33-82428	08/04/94
CHEMICAL BANKING CORP	33-54949	08/05/94
CITATION CORP /AL/	33-79804	08/02/94
CITATION CORP /AL/	33-79804	08/02/94
CITATION CORP /AL/	33-79804	08/02/94
CIVIC BANCORP	33-82460	08/03/94
CLINTRIALS RESEARCH INC	33-82416	08/04/94
COCA COLA ENTERPRISES INC	33-54951	08/05/94
COCA COLA ENTERPRISES INC	33-54953	08/05/94
COMMUNITY PSYCHIATRIC CENTERS /NV/	33-54845	08/01/94
COR THERAPEUTICS INC / DE	33-82306	08/02/94
COR THERAPEUTICS INC / DE	33-82308	08/02/94
DAVIDSON & ASSOCIATES INC	33-80302	08/04/94
ENGLE HOMES INC /FL	33-79036	08/03/94
EXTEN INDUSTRIES INC	33-82320	08/02/94
FEDERAL MOGUL CORP	33-54717	08/05/94
FIBERCHEM INC	33-82330	08/03/94
FIRST UNION CORP	33-54905	08/03/94
FLIR SYSTEMS INC	33-82194	08/01/94
FRANKLIN FINANCIAL SERVICES CORP /PA/	33-82420	08/04/94
FRANKLIN OPHTHALMIC INSTRUMENTS CO INC	33-82464	08/04/94
GARMENT GRAPHICS INC	33-82430	08/04/94
GENERAL INSTRUMENT CORP /DE/	33-54923	08/04/94
GENERAL MOTORS CORP	33-54833	08/01/94
GENERAL MOTORS CORP	33-54835	08/01/94

## REGISTRATIONS EFFECTIVE:

<u>NAME OF ISSUER</u>	<u>FILE NO.</u>	<u>DISPOSITION DATE</u>
GENERAL MOTORS CORP	33-54841	08/01/94
GENOVESE DRUG STORES INC	33-54857	08/02/94
GRAHAM CORP	33-82396	08/04/94
GRAHAM CORP	33-82398	08/04/94
GREAT ATLANTIC & PACIFIC TEA CO INC	33-54863	08/02/94
HALLIBURTON CO	33-54881	08/03/94
HARVEST HOME FINANCIAL CORP	33-75820	08/04/94
HARVEST HOME FINANCIAL CORP	33-75820	08/04/94
HEALTH O METER PRODUCTS INC /DE	33-80124	08/01/94
HEALTH O METER PRODUCTS INC /DE	33-80124	08/01/94
HEALTH O METER PRODUCTS INC /DE	33-80124	08/01/94
HEALTH O METER PRODUCTS INC /DE	33-80124	08/01/94
HEALTH O METER PRODUCTS INC /DE	33-80124	08/01/94
IMPERIAL BANCORP	33-82364	08/03/94
INFODYNAMX CORP	33-82394	08/03/94
INLAND RESOURCES INC\CO\	33-80392	08/03/94
INLAND RESOURCES INC\CO\	33-80392	08/03/94
INLAND RESOURCES INC\CO\	33-80392	08/03/94
INSIGNIA FINANCIAL GROUP INC	33-82414	08/04/94
INSITUFORM MID AMERICA INC	33-82328	08/03/94
INTEGRATED DEVICE TECHNOLOGY INC	33-54937	08/05/94
INTEGRATED HEALTH SERVICES INC	33-77380	08/01/94
INTEGRATED HEALTH SERVICES INC	33-81378	08/01/94
INTER REGIONAL FINANCIAL GROUP INC	33-54907	08/04/94
INTERNATIONAL FAST FOOD CORPORATION	33-79030	08/01/94
INTERNATIONAL FAST FOOD CORPORATION	33-79030	08/01/94
INTERNATIONAL FAST FOOD CORPORATION	33-79030	08/01/94
INTERNATIONAL FAST FOOD CORPORATION	33-79030	08/01/94
J&E BEAUTY SUPPLY INC	33-82338	08/03/94
JOHNSON WORLDWIDE ASSOCIATES INC	33-54899	08/03/94
KMART CORP	33-54879	08/03/94
LAIRD NORTON FINANCIAL GROUP INC	33-79866	08/03/94
LAIRD NORTON FINANCIAL GROUP INC	33-79866	08/03/94
LAIRD NORTON FINANCIAL GROUP INC	33-79866	08/03/94
LEGG MASON INC	33-54807	08/02/94
LONGS DRUG STORES CORP	33-54959	08/05/94
LYONDELL PETROCHEMICAL CO	33-53483	08/01/94
LYONDELL PETROCHEMICAL CO	33-53483	08/01/94
LYONDELL PETROCHEMICAL CO	33-53483	08/01/94
LYONDELL PETROCHEMICAL CO	33-53483	08/01/94
MADERA INTERNATIONAL INC	33-82250	08/01/94
MAGAININ PHARMACEUTICALS INC	33-82196	08/01/94
MAIN STREET COMMUNITY BANCORP INC	33-82426	08/04/94
MCKESSON CORP /DE/	33-54867	08/02/94
MEDICAL AMBULATORY CARE DELAWARE INC	33-79770	08/03/94
MEDICAL AMBULATORY CARE DELAWARE INC	33-79770	08/03/94
MEDICAL AMBULATORY CARE DELAWARE INC	33-79770	08/03/94
MEDICAL AMBULATORY CARE DELAWARE INC	33-79770	08/03/94
MERRILL LYNCH MUNICIPAL ABS INC	33-56254	08/03/94
MERRILL LYNCH MUNICIPAL ABS INC	33-56254	08/03/94
MERRILL LYNCH MUNICIPAL ABS INC	33-56254	08/03/94
MERRILL LYNCH MUNICIPAL ABS INC	33-56254	08/03/94
MERRILL LYNCH MUNICIPAL ABS INC	33-56254	08/03/94
MERRILL LYNCH MUNICIPAL ABS INC	33-56254	08/03/94
MERRILL LYNCH MUNICIPAL ABS INC	33-56254	08/03/94
METROCALL INC	33-81606	08/05/94
MEXICAN DEVELOPMENT GROUP	33-81368	08/03/94

## REGISTRATIONS EFFECTIVE:

<u>NAME OF ISSUER</u>	<u>FILE NO.</u>	<u>DISPOSITION DATE</u>
MILLER INDUSTRIES INC /TN/	33-79430	08/01/94
MILLER INDUSTRIES INC /TN/	33-79430	08/01/94
MILLER INDUSTRIES INC /TN/	33-82282	08/01/94
MONOCACY BANCSHARES INC	33-82332	08/03/94
MOVIE GALLERY INC	33-80120	08/02/94
MOVIE GALLERY INC	33-80120	08/02/94
MOVIE GALLERY INC	33-80120	08/02/94
MYLEX CORP	33-82334	08/03/94
NAPRO BIOTHERAPEUTICS INC	33-78016	08/01/94
NAPRO BIOTHERAPEUTICS INC	33-78016	08/01/94
NAPRO BIOTHERAPEUTICS INC	33-78016	08/01/94
NAPRO BIOTHERAPEUTICS INC	33-78016	08/01/94
NATIONAL SEMICONDUCTOR CORP	33-54931	08/05/94
NEVADA EMERGY COMPANY INC	33-82318	08/02/94
NIAGARA MOHAWK POWER CORP /NY/	33-54829	08/01/94
MORRELL CORP	33-82348	08/03/94
MORRELL CORP	33-82350	08/03/94
NORTH BANCSHARES INC	33-82356	08/02/94
NOVAMETRIX MEDICAL SYSTEMS INC	33-82336	08/03/94
OCEAN SHOWBOAT INC	33-54325-01	08/03/94
OMENS MORTGAGE INVESTMENT FUND	33-81896	08/01/94
PAGE AMERICA GROUP INC	33-81764	08/01/94
PETCO ANIMAL SUPPLIES INC	33-82302	08/01/94
PHILADELPHIA SUBURBAN CORP	33-54943	08/05/94
PLAYERS INTERNATIONAL INC /NV/	33-82122	08/04/94
POST PROPERTIES INC	33-81772	08/01/94
PRUDENTIAL BANK & TRUST CO	33-80768	08/01/94
PRUDENTIAL BANK & TRUST CO	33-80768	08/01/94
QUIDEL CORP /DE/	33-81510	08/02/94
RIGHTCHOICE MANAGED CARE INC	33-77798	08/01/94
RIGHTCHOICE MANAGED CARE INC	33-77798	08/01/94
RIGHTCHOICE MANAGED CARE INC	33-77798	08/01/94
RIGHTCHOICE MANAGED CARE INC	33-77798	08/01/94
RIGHTCHOICE MANAGED CARE INC	33-77798	08/01/94
RIGHTCHOICE MANAGED CARE INC	33-77798	08/01/94
RULE INDUSTRIES INC	33-82402	08/03/94
SAYETT GROUP INC	33-82410	08/01/94
SCRIPPS E W CO /DE	33-54591	08/04/94
SEARS RECEIVABLES FINANCING GROUP INC	33-79186	08/01/94
SEARS RECEIVABLES FINANCING GROUP INC	33-79186	08/01/94
SERENPET INC	33-82346	08/03/94
SHANDONG HUANENG POWER DEVELOPMENT CO	LT33-80748	08/03/94
SHANDONG HUANENG POWER DEVELOPMENT CO	LT33-80748	08/03/94
SHANDONG HUANENG POWER DEVELOPMENT CO	LT33-80748	08/03/94
SHOWBOAT INC	33-54325	08/03/94
SHOWBOAT INC	33-54325	08/03/94
SHOWBOAT INC	33-54325	08/03/94
SHOWBOAT INC	33-54325	08/03/94
SHOWBOAT INC	33-54327	08/03/94
SHOWBOAT INC	33-54327	08/03/94
SHOWBOAT OPERATING CO	33-54325-03	08/02/94
SI HANDLING SYSTEMS INC	33-81900	08/03/94
SILVERADO FOODS INC	33-79736	08/03/94
SILVERADO FOODS INC	33-79736	08/03/94
SILVERADO FOODS INC	33-79736	08/03/94
SJNB FINANCIAL CORP	33-79874	08/04/94
SJNB FINANCIAL CORP	33-79874	08/04/94
SJNB FINANCIAL CORP	33-79874	08/04/94
SKY SCIENTIFIC INC	33-82326	08/03/94

## REGISTRATIONS EFFECTIVE:

<u>NAME OF ISSUER</u>	<u>FILE NO.</u>	<u>DISPOSITION DATE</u>
SKY SCIENTIFIC INC	33-82412	08/04/94
SKY SCIENTIFIC INC	33-82442	08/05/94
SMITH CHARLES E RESIDENTIAL REALTY INC	33-82382	08/03/94
SMITH CHARLES E RESIDENTIAL REALTY LP	33-82382-01	08/03/94
SOUTHERN PACIFIC RAIL CORP	33-79950	08/04/94
SOUTHERN PACIFIC RAIL CORP	33-79950	08/04/94
SOUTHERN PACIFIC RAIL CORP	33-79950	08/04/94
SOUTHERN PACIFIC RAIL CORP	33-79950	08/04/94
SPECTRIAM CORP /CA/	33-79952	08/02/94
SPECTRIAM CORP /CA/	33-79952	08/02/94
SPECTRIAM CORP /CA/	33-79952	08/02/94
SPECTRIAM CORP /CA/	33-79952	08/02/94
ST BARBARA MINES LTD	33-81386	08/05/94
STORAGE EQUITIES INC	33-54755	08/04/94
SUNDOWNER OFFSHORE SERVICES INC	33-82344	08/03/94
SUNRISE TECHNOLOGIES INTERNATIONAL INC	33-82314	08/01/94
SYSTEMSOFT CORP	33-80620	08/04/94
SYSTEMSOFT CORP	33-80620	08/04/94
SYSTEMSOFT CORP	33-80620	08/04/94
S3 INC	33-82280	08/01/94
TIFFANY & CO	33-54847	08/01/94
TJIWI KIMIA INTERNATIONAL FINANCE CO BV	33-81170	08/02/94
TJIWI KIMIA INTERNATIONAL FINANCE CO BV	33-81170	08/02/94
TJIWI KIMIA INTERNATIONAL FINANCE CO BV	33-81170	08/02/94
TJIWI KIMIA INTERNATIONAL FINANCE CO BV	33-81170	08/02/94
TUSCAN INDUSTRIES INC	33-82418	08/04/94
UFSB 1994-C GRANTOR TRUST	33-81572-01	08/03/94
UNION FEDERAL SAVINGS BANK OF INDIANAPOLIS	33-81572	08/03/94
UNION FEDERAL SAVINGS BANK OF INDIANAPOLIS	33-81572	08/03/94
UNITED COMPANIES FINANCIAL CORP	33-54955	08/05/94
UNITED COMPANIES FINANCIAL CORP	33-54957	08/05/94
UNITED STATES FILTER CORP	33-82424	08/04/94
UNIVAR CORP	33-54883	08/03/94
VISHAY INTERTECHNOLOGY INC	33-54639	08/04/94
VISHAY INTERTECHNOLOGY INC	33-54639	08/04/94
WEATHERFORD INTERNATIONAL INC	33-80708	08/04/94
WITTER DEAN DISCOVER & CO	33-82240	08/01/94
WITTER DEAN DISCOVER & CO	33-82242	08/01/94
WITTER DEAN DISCOVER & CO	33-82244	08/01/94
WORLD OMNI LEASE SECURITIZATION L P	33-76448	08/05/94
WORLD OMNI LEASE SECURITIZATION L P	33-76448	08/05/94
WORLD OMNI LEASE SECURITIZATION L P	33-76448	08/05/94
WORLD OMNI 1994-A SECURITIZATION TRUST	33-76448-01	08/05/94
WORLD OMNI 1994-A SECURITIZATION TRUST	33-76448-01	08/05/94
X RITE INC	33-82258	08/01/94
X RITE INC	33-82260	08/01/94
XTRA CORP /DE/	33-54747	08/03/94
XTRA CORP /DE/	33-54747	08/03/94
XTRA INC	33-54747-01	08/03/94
XTRA INC	33-54747-01	08/03/94