

SEC NEWS DIGEST

Issue 2001-230

November 30, 2001

ENFORCEMENT PROCEEDINGS

COMMISSION FILES ACTION AGAINST INTERNET COMPANY AND OTHERS

On November 28, the Commission filed a complaint in federal court in Los Angeles alleging securities fraud against Hitsgalore.com, Inc. (Hitsgalore) and its former president, Stephen J. Bradford (Bradford). Hitsgalore was an Internet company located in Rancho Cucamonga, California, that maintained a website providing an Internet search engine and leasing advertising space to consumers. The company has changed its name to Diamond Hitts Productions, Inc. and relocated to Irvine, California. The complaint charges Hitsgalore and Bradford with fraud in connection with several press releases issued by the company in 1999 that contained false and misleading statements about a purported investment in Hitsgalore by a Scottsdale, Arizona, for-profit trust, Life Foundation Trust (Life Foundation). The complaint also charges Life Foundation and its trustee and administrator, Jeanette B. Wilcher (Wilcher), a 55 year-old resident of Scottsdale, Arizona, with aiding and abetting Hitsgalore's and Bradford's fraud and seeks disgorgement of over \$1 million from Life Foundation as a result of its illegal sale of Hitsgalore stock in violation of federal securities registration requirements.

The Commission's complaint alleges that Hitsgalore and Bradford violated the antifraud provisions of Section 10(b) of the Securities Exchange Act of 1934 and Rule 10b-5 thereunder, that Life Foundation and Wilcher aided and abetted those violations and that Life Foundation violated the securities registration provisions of Sections 5(a) and 5(c) of the Securities Act of 1933.

The Commission's complaint seeks permanent injunctions against all the defendants, civil penalties against Bradford, Life Foundation and Wilcher, and disgorgement of the approximately \$1 million in ill-gotten gains obtained by Life Foundation in connection with the illegal sale of its Hitsgalore stock. Simultaneous with the filing of the Commission's complaint, Hitsgalore and Bradford settled the action by consenting to a permanent injunction, without admitting or denying the allegations in the complaint, for the violations outlined above. [SEC v. Hitsgalore.com, Inc., Stephen J. Bradford, Life Foundation Trust and Jeanette B. Wilcher, Civil Action No. SACV 01-1133 GLT (ANx) (C.D. Cal.)) (LR-17249)

COURT GRANTS SUMMARY JUDGMENT AGAINST FORMER CFO OF SIRENA APPAREL GROUP, INC. IN FINANCIAL FRAUD ACTION

The Commission announced that on November 5 a federal judge in Orange County, California, granted summary judgment against Richard A. Gerhart, in a financial fraud case. Gerhart, the former CFO of Sirena Apparel Group, Inc., was charged with fraud and other provisions of the federal securities laws. In addition to the entry of a judgment of permanent injunction, Gerhart was ordered to pay a \$100,000 penalty and prohibited from serving as an officer and director of a public company.

The Commission alleged in its complaint that, to meet revenue and earnings projections for Sirena, a publicly traded company, Sirena's former CEO, Maurice Newman, and Gerhart caused Sirena to report false financial information in an earnings press release and a quarterly report for the third quarter ended March 31, 1999. The complaint further alleged that Gerhart ordered Sirena personnel to manipulate the company's accounting software to show artificial earnings and made misrepresentations to Sirena's auditors regarding those earnings. On September 20, 2000, Gerhart and Newman were criminally indicted for the same fraud and subsequently pled guilty to securities fraud and conspiracy.

In the Commission's civil case, the Court concluded that Gerhart violated the antifraud, books and records, knowing circumvention of internal controls and lying-to-an-accountant provisions of the Securities Exchange Act of 1934, as well as aiding and abetting violations of the reporting and recordkeeping provisions of the Exchange Act. [SEC v. Maurice B. Newman and Richard A. Gerhart; Civil Action No. SA CV 00-948-GLT (C.D.Cal)] (LR-17250; AAE Rel. 1475)

INVESTMENT COMPANY ACT RELEASES

GOLDEN AMERICAN LIFE INSURANCE COMPANY, ET AL.

An order has been issued on an application filed by Golden American Life Insurance Company, Golden American Life Insurance Company Separate Account B, Equitable Life Insurance Company of Iowa, Equitable Life Insurance Company of Iowa Separate Account A, United Life and Annuity Insurance Company, United Life and Annuity Insurance Company Separate Account One and the GCG Trust, pursuant to Section 26(c) of the Investment Company Act, allowing the substitution of securities issued by the International Equity Series of the GCG Trust with securities issued by the International Equity Portfolio of the Credit Suisse Warburg Pincus Trust. Pursuant to Section 17(b) of the Act, the order grants exemptions from Section 17(a) permitting the above-referenced substitution to be carried out by means of an in-kind redemption and purchase. (Rel. IC-25302 - November 29)

HOLDING COMPANY ACT RELEASES

ENTERGY CORPORATION, ET AL.

An order has been issued authorizing Entergy Corporation (Entergy), a registered holding company, and its public utility subsidiary companies, Entergy Arkansas Inc., Entergy Gulf States, Inc., Entergy Louisiana, Inc., Entergy Mississippi, Inc., and Entergy New Orleans, Inc.; System Energy Resources, Inc., a generating public utility subsidiary company of Entergy; Entergy Operations, Inc., a public utility subsidiary of Entergy; Entergy Services, Inc., Entergy's service company subsidiary; System Fuels, Inc., Entergy's indirect nonutility subsidiary, (Applicants) to extend to November 30, 2004 the time Applicants may engage in various external and intrasystem financing transactions. (35-27470)

SELF-REGULATORY ORGANIZATIONS

APPROVAL OF PROPOSED RULE CHANGES

The Commission approved a proposed rule change (SR-NASD-2001-19) and Amendment Nos. 1 and 2 thereto submitted by the National Association of Securities Dealers establishing reporting requirements for clearing members. Publication of the order is expected in the Federal Register during the week of December 3. (Rel. 34-45109)

The Commission approved a proposed rule change (SR-Phlx-2001-38) filed by the Philadelphia Stock Exchange relating to the definition of a controlled account. Publication of the order is expected in the Federal Register during the week of December 3. (Rel. 34-45114)

PROPOSED RULE CHANGES

The Chicago Stock Exchange filed a proposed rule change (SR-CHX-2001-17) relating to the eligibility of limit orders for trade through protection. Publication of the notice in the Federal Register is expected during the week of December 3. (Rel. 34-45115)

The National Association of Securities Dealers filed a proposed rule change (SR-NASD-2001-84) amending the description of the market capitalization listing standard to market value of listing securities. Publication of the notice in the Federal Register is expected during the week of December 3. (Rel. 34-45116)

ACCELERATED APPROVAL OF PROPOSED RULE CHANGE

The Commission granted approval to a proposed rule change filed by the Chicago Stock Exchange (SR-CHX-2001-08) to allow CHX Article XX, Rule 43(d), Trading in Nasdaq/NM Securities /Manual Executions to be administered under the CHX's Minor Rule Violation Plan. Publication of the order is expected in the Federal Register during the week of December 3. (Rel. 34-45117)

SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

Registration statements may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <publicinfo@sec.gov>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

F-1 CONVERIUM HOLDING AG, THE BANK OF NEW YORK, 620 AVENUE OF THE AMERICAS, NEW YORK, NY 10011 (212) 462-6682 - 31,635,000 (\$1,719,994,950) FOREIGN COMMON STOCK. (FILE 333-14106 - NOV. 19) (BR. 9 - NEW ISSUE)

F-6 CONVERIUM HOLDING AG/ADR, 620 AVENUE OF THE AMERICAS, FLOOR 6, NEW YORK, NY 10011 (212) 462-6682 - 100,000,000 (\$5,000,000) DEPOSITARY RECEIPTS FOR COMMON STOCK. (FILE 333-14108 - NOV. 19) (BR. 99 - NEW ISSUE)

F-9 ABITIBI CONSOLIDATED INC, 800 RENE LEVESQUE BLVD WEST, SUITE 1800, MONTREAL QUEBEC, E6 (514) 875-2160 - 600,000,000 (\$600,000,000) STRAIGHT BONDS. (FILE 333-14110 - NOV. 20) (BR. 4)

F-6 BWT AKTIENGESELLSCHAFT/ADR, 620 AVENUE OF THE AMERICAS, 6TH FLOOR, NEW YORK, NY 10286 (212) 462-6682 - 50,000,000 (\$2,500,000) DEPOSITARY RECEIPTS FOR COMMON STOCK. (FILE 333-14112 - NOV. 19) (BR. 99)

- NEW ISSUE)

F-9 SHAW COMMUNICATIONS INC, STE 900, 630 3RD AVE SW, CALGARY ALBERTA
CANADA,
AO (403) 750-4500 - 800,000,000 (\$504,160,000) FOREIGN PREFERRED STOCK.
(FILE 333-14114 - NOV. 20) (BR. 7)

S-8 DUCATI MOTOR HOLDING SPA, VIA CAVALIERI DUCATI 3, 40132, BOLOGNA
ITALY,
L6 00000 - 12,000,000 (\$16,068,000) FOREIGN COMMON STOCK. (FILE 333-
14116
- NOV. 20) (BR. 5)

F-6 ROADSHOW HOLDINGS LTD/ADR, 620 AVENUE OF THE AMERICAS, NEW YORK, NY
10286 (212) 462-6642 - 10,000,000 (\$500,000)
DEPOSITARY RECEIPTS FOR COMMON STOCK. (FILE 333-14118 - NOV. 20) (BR.
99
- NEW ISSUE)

F-6 DEUTSCHE LUFTHANSA AG /ADR/, 16 WALL ST, C/O BANK OF NEW YORK, NEW
YORK,
NY 10015 (212) 250-2500 - 80,000,000 (\$4,000,000)
DEPOSITARY RECEIPTS FOR COMMON STOCK. (FILE 333-14120 - NOV. 20) (BR.
99)

F-10 KINGSWAY FINANCIAL SERVICES INC, 5310 EXPLORER DRIVE,
MISSISSAUGA ONTARIO CANADA L4W 5H8, MISSISSAUGA, (847) 619-7610 -
8,625,000 (\$93,840,000) FOREIGN COMMON STOCK. (FILE 333-14122 - NOV.
21)

SB-2 WORLD ROLLER ALLIANCE INC, 812 N.E. 8TH AVENUE, DEERFIELD BEACH, FL
33441 (954) 421-4477 - 2,276,000 (\$4,552,000) COMMON STOCK. (FILE
333-73956 - NOV. 23) (NEW ISSUE)

S-3 GENTNER COMMUNICATIONS CORP, 1825 RESEARCH WAY, SALT LAKE CITY, UT
84119
(801) 975-7200 - 1,500,000 (\$25,500,000) COMMON STOCK. (FILE 333-73960
-
NOV. 23) (BR. 7)

S-3 HIBBETT SPORTING GOODS INC, 451 INDUSTRIAL LANE, BIRMINGHAM, AL
35211
(205) 942-4292 - 1,000,000 (\$27,355,000) COMMON STOCK. (FILE 333-73962
-
NOV. 23) (BR. 2)

RECENT 8K FILINGS

Form 8-K is used by companies to file current reports on the following events:

Item 1. Changes in Control of Registrant.

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- Item 2. Acquisition or Disposition of Assets.
- Item 3. Bankruptcy or Receivership.
- Item 4. Changes in Registrant's Certifying Accountant.
- Item 5. Other Materially Important Events.
- Item 6. Resignations of Registrant's Directors.
- Item 7. Financial Statements and Exhibits.
- Item 8. Change in Fiscal Year.
- Item 9. Regulation FD Disclosure.

The following companies have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. 8-K reports may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <publicinfo@sec.gov>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

NAME OF ISSUER	STATE	8K ITEM NO.									DATE	COMMENT
	CODE	1	2	3	4	5	6	7	8	9		
ACCLAIM ENTERTAINMENT INC	DE								X		08/03/01	AMEND
ACT MANUFACTURING INC	MA					X	X				11/29/01	
ALCOA INC	PA					X	X				11/28/01	
ALLIED WASTE INDUSTRIES INC	DE									X	11/29/01	
AMERIGAS EAGLE FINANCE CORP						X	X				11/20/01	
AMERIGAS FINANCE CORP	DE					X	X				11/20/01	
AMERIGAS PARTNERS LP	DE					X	X				11/20/01	
AMSOUTH AUTO TRUST 2000-1	NY					X	X				11/15/01	
AMX CORP /TX/	TX					X	X				11/28/01	
ANGELCITI MULTIMEDIA INC	NV				X		X				11/13/01	AMEND
AP EAGLE FINANCE CORP						X	X				11/20/01	
APPLEBEES INTERNATIONAL INC	DE					X					11/29/01	
APPLERA CORP	DE		X					X			11/16/01	
ARCHSTONE COMMUNITIES TRUST/	MD							X	X		11/27/01	
ARCHSTONE SMITH TRUST	MD							X	X		11/27/01	
ARCHSTONE SMITH TRUST	MD							X	X		11/27/01	
ASIA GLOBAL CROSSING LTD						X	X				11/20/01	
ASIA SUPERNET CORP	CO	X	X					X			10/13/00	
ASTRALIS PHARMACEUTICALS LTD	CO				X		X				11/02/01	
AUTHENTIDATE HOLDING CORP	DE					X					11/27/01	
BALTIC INTERNATIONAL USA INC	TX				X		X				05/25/01	AMEND
BANK OF AMERICA CORP /DE/	DE							X	X		11/28/01	
BAXTER INTERNATIONAL INC	DE					X	X				11/28/01	
BAXTER INTERNATIONAL INC	DE					X	X				11/28/01	
BE INC	CA		X								11/13/01	
BEAR STEARNS ASSET BACKED SECURITIE S INC	DE					X	X				11/08/01	
BMW AUTO LEASING LLC								X			11/26/01	
BMW MANUFACTURING LP								X			11/26/01	
BMW VEHICLE LEASE TRUST 2000-A								X			11/26/01	
BOISE CASCADE CORP	DE					X	X				11/26/01	
BOMBARDIER CAPITAL MORTGAGE SECURIT IZATION CORP SER 1998-A	DE							X			10/31/01	
BOMBARDIER CAPITAL MORTGAGE SECURIT IZATION CORP SER 1998-B	DE							X			10/31/01	
BOMBARDIER CAPITAL MORTGAGE SECURIT IZATION CORP SER 1998-B	DE							X			10/31/01	

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
BOMBARDIER CAPITAL MORTGAGE SECURIT IZATION CORP SER 1998-C	DE									X	10/31/01	
BOMBARDIER CAPITAL MORTGAGE SECURIT IZATION CORP SER 1999-A	DE									X	10/31/01	
BOMBARDIER CAPITAL MORTGAGE SECURIT IZATION CORP SER 2000-A	DE									X	10/31/01	
BOMBARDIER CAPITAL MORTGAGE SECURIT IZATION CORP SER 2001-A	DE									X	10/31/01	
BOMBARDIER CREDIT RECEIVABLES CORP SERIES 1997-1	DE									X	10/31/01	
BOMBARDIER CREDIT RECEIVABLES CORP SERIES 2000-1	DE									X	10/31/01	
BOND SECURITIZATION LLC	DE						X	X			11/20/01	
BRITTON & KOONTZ CAPITAL CORP	MS						X	X			11/28/01	
BURLINGTON INDUSTRIES INC /DE/	DE			X							11/15/01	
BURNHAM PACIFIC PROPERTIES INC	MD					X	X				11/19/01	
CALYPTE BIOMEDICAL CORP	DE					X	X				11/28/01	
CALYPTE BIOMEDICAL CORP	DE					X	X				12/28/01	
CAPSTEAD MORTGAGE CORP	MD					X	X				11/28/01	
CD WAREHOUSE INC	DE					X					11/26/01	
CERTIFIED SERVICES INC	NV		X			X	X				11/21/01	
CHASE CORP	MA		X								11/01/01	
CHASE CREDIT CARD MASTER TRUST	NY					X	X				11/15/01	
CHASE CREDIT CARD MASTER TRUST	NY					X	X				11/15/01	
CHASE MANHATTAN BANK /NY/	NY					X	X				11/15/01	
CHASE MANHATTAN BANK /NY/	NY					X	X				11/15/01	
CHASE MANHATTAN BANK USA	DE					X	X				11/15/01	
CHASE MANHATTAN BANK USA	DE					X	X				11/15/01	
CHASE MANHATTAN HOME EQUITY LOAN TR UST 1995-1	NY					X	X				11/15/01	
CHASE MANHATTAN MARINE OWNER TRUST 1997-A	NY					X	X				11/15/01	
CHASE MANHATTAN RV OWNER TRUST 1997 -A	DE					X	X				11/15/01	
CHASE MORTGAGE FINANCE CORP	DE					X	X				11/28/01	
CHEVY CHASE AUTO RECEIVABLES TRUST 1997-3	MD					X					10/31/01	
CHEVY CHASE AUTO RECEIVABLES TRUST 1997-4	MD					X					10/31/01	
CHEVY CHASE AUTO RECEIVABLES TRUST 1998-1	MD					X					10/31/01	
CHEVY CHASE AUTO RECEIVABLES TRUST 1998-2	MD					X					10/31/01	
CHEVY CHASE AUTO RECEIVABLES TRUST 2000-1	MD					X					10/31/01	
CHEVY CHASE AUTO RECEIVABLES TRUST 2000-2	MD					X					10/31/01	
CHEVY CHASE AUTO RECEIVABLES TRUST 2001-1	MD					X					10/31/01	
CHEVY CHASE AUTO RECEIVABLES TRUST 2001-2	MD					X					10/31/01	

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
CHEVY CHASE HOME LOAN TRUST 1996-1	MD					X					10/31/01	
CHEVY CHASE HOME LOAN TRUST 1997-1	MD					X					10/31/01	
CHIQUITA BRANDS INTERNATIONAL INC	NJ			X				X			11/28/01	
CIT EC EF 2001-A	DE					X					11/16/01	
CITICORP MORTGAGE SECURITIES INC	DE			X							11/29/01	
CLEVELAND CLIFFS INC	OH								X		11/21/01	
COLUMBIA BANKING SYSTEM INC	WA					X		X			11/13/01	
COMMERCIAL MORTGAGE PASS THR CERT S ER 2001-CKN5	DE			X				X			11/13/01	
COMMERCIAL MORTGAGE PASS THRU CERTI FICATES SERIES 2000 WF2	DE		X								11/15/01	
COMMERCIAL NET LEASE REALTY INC	MD					X		X			11/27/01	
COMMONWEALTH BANCORP INC	PA					X		X			11/27/01	
COUNTY BANK CORP	MI					X					11/29/01	
CRAY INC	WA					X		X			11/06/01	
CREDIT SUISSE FIRST BOSTON MORTGAGE SECURITIES CORP	DE							X			10/31/01	
CWMBS INC	DE					X		X			11/27/01	
CWMBS INC	DE					X		X			11/28/01	
CWMBS INC	DE					X		X			11/28/01	
CWMBS INC	DE					X		X			11/28/01	
CWMBS INC	DE					X		X			11/28/01	
CWMBS INC	DE					X		X			11/28/01	
DATA I/O CORP	WA					X					11/27/01	AMEND
DATA RETURN CORP	TX					X					11/14/01	
DEUTSCHE FLOORPLAN RECEIVABLES L P	DE					X		X			10/31/01	
DEVON ENERGY CORP/DE	DE					X		X			11/28/01	
DIAMOND OFFSHORE DRILLING INC	DE							X	X		11/29/01	
DIGI LINK TECHNOLOGIES INC/NJ/	DE								X		11/30/01	
DIGITAL LAVA INC	DE					X		X			11/29/01	
DRKOOP COM INC	DE			X				X			11/29/01	
DYNATEC INTERNATIONAL INC	UT			X		X					11/14/01	
DYNEGY HOLDINGS INC	DE					X		X	X		11/28/01	
DYNEGY INC /IL/	IL					X		X	X		11/28/01	
E SYNERGIES INC	CA			X				X			11/15/01	
ECOGEN INC	DE			X							11/14/01	
ECOLOGICAL SERVICES INC	DE					X					08/08/01	AMEND
EDISON BROTHERS STORES INC	DE					X		X			08/21/01	
EDUCATION MANAGEMENT CORPORATION						X		X			11/28/01	
ELCOM INTERNATIONAL INC	DE					X		X			11/28/01	
ELECTROSOURCE INC	DE			X		X					11/28/01	
EMERSON ELECTRIC CO	MO								X		11/28/01	
EQUITY ONE ABS INC	DE					X		X			11/16/01	
EQUITY ONE ABS INC	DE					X		X			11/26/01	
EQUITY ONE ABS INC	DE					X		X			11/26/01	
ESCO TECHNOLOGIES INC	MO							X			11/28/01	AMEND
ESENJAY EXPLORATION INC	OK					X					11/28/01	
EXELON CORP	PA								X		11/28/01	
FAIRCHILD SEMICONDUCTOR INTERNATION AL INC	DE					X		X			11/28/01	
FEDERAL REALTY INVESTMENT TRUST	MD							X			11/19/01	AMEND

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
FINANCIAL SERVICES VEHICLE TRUST										X	11/26/01	
FIRST LITCHFIELD FINANCIAL CORP	DE					X					11/29/01	
FIRST UNION COMM MORT TRUST COMM MO R PAS THR CER SER 1999-C1	NY	X									11/16/01	
FIRST UNION COMMERCIAL MORTGAGE SEC URITIES INC	NC					X	X				11/27/01	
FIRST UNION COMMERCIAL MORTGAGE SEC URITIES INC	NC					X	X				11/28/01	
FLEETBOSTON FINANCIAL CORP	RI					X	X				11/27/01	
FOX ENTERTAINMENT GROUP INC	DE		X					X			07/31/01	AMEND
FRANKLIN RECEIVABLES LLC	DE					X	X				10/31/01	
FREFAX INC	FL	X	X			X	X				11/13/01	
GEHL CO	WI					X	X				11/20/01	
GERBER SCIENTIFIC INC	CT					X					10/31/01	
GLOBAL CROSSING LTD						X	X				11/20/01	
GREATAMERICA LEASING RECEIVABLES 20 00-1 LLC	DE					X	X				11/20/01	
HEALTHEXTRAS INC	DE		X					X			11/30/01	
HEI INC	MN								X		11/29/01	
HERITAGE OAKS BANCORP	CA		X					X			11/09/01	
HOENIG GROUP INC	DE					X					11/29/01	
HORIZON PCS INC	DE					X	X				11/28/01	
HORMEL FOODS CORP /DE/	DE							X			02/24/01	AMEND
HOUSEHOLD AUTO RECEIVABLES CORP	NV		X					X			07/17/01	
HOUSEHOLD AUTOMOBILE REVOLVING TRUS T I	NV							X			11/19/01	
HOUSEHOLD AUTOMOTIVE TRUST III SERI ES 1999-1	NV							X			11/19/01	
HOUSEHOLD AUTOMOTIVE TRUST IV SERIE S 2000-1	DE							X			11/19/01	
HOUSEHOLD CONSUMER LOAN TRUST 1997- 1	DE							X			11/14/01	
HOUSEHOLD CONSUMER LOAN TRUST 1997- 2	DE							X			11/14/01	
HOUSE2HOME INC	DE					X					11/29/01	
HRSI FUNDING INC II	DE							X			11/15/01	
IBX GROUP INC	FL					X		X			11/05/01	AMEND
ILM II SENIOR LIVING INC /VA	VA					X	X				11/16/01	
IMAGISTICS INTERNATIONAL INC	DE					X	X				11/14/01	
IMMECOR CORP	CA					X					09/30/01	
IMMUNOGEN INC	MA					X	X				11/28/01	
IMPSAT FIBER NETWORKS INC	DE					X	X				11/26/01	
INFINEX VENTURES INC	NV					X					11/14/01	
INFINITE GROUP INC	DE					X					11/29/01	
INSWEB CORP	DE					X	X				11/27/01	
INTERNATIONAL FIBERCOM INC	AZ					X	X				11/20/01	
INTERNATIONAL MULTIFOODS CORP	DE		X					X			11/13/01	
INTERNET ADVISORY CORP	UT			X				X			11/14/01	
INTERWORLD CORP	DE					X					11/16/01	
INTRABIOTICS PHARMACEUTICALS INC /D E	DE					X	X				11/29/01	

NAME OF ISSUER	STATE	8K ITEM NO.									DATE	COMMENT
	CODE	1	2	3	4	5	6	7	8	9		
INTRACO SYSTEMS INC	NV					X					11/29/01	
IVAX CORP /DE	FL					X	X				11/27/01	
JUSTWEBIT COM INC	NV					X	X				02/16/01	AMEND
J2 COMMUNICATIONS /CA/	CA					X					11/26/01	
KAISER VENTURES INC	DE					X					11/28/01	
KAISER VENTURES LLC	DE					X					11/28/01	
KANA SOFTWARE INC	DE					X					11/26/01	
KEY3MEDIA GROUP INC	DE					X	X				11/27/01	
KRUPP REALTY LTD PARTNERSHIP IV	MA		X					X			11/14/01	
LABORATORY CORP OF AMERICA HOLDINGS	DE								X		11/28/01	
LEHMAN ABS CORP ASSET BACKED CERTIF ICATES SERIES 2001-B	DE						X	X			11/30/01	
LENDINGTREE INC						X	X				11/29/01	
LEVCOR INTERNATIONAL INC	DE				X		X				11/20/01	
LTV CORP	DE					X					11/29/01	
MACDERMID INC	CT					X					11/28/01	
MARITRANS INC /DE/	DE					X	X				11/20/01	
MAXUS REALTY TRUST INC	MO		X								11/15/01	
MEMC ELECTRONIC MATERIALS INC	DE		X	X	X						11/13/01	
METLIFE INC	DE					X	X				11/09/01	
METRICOM INC / DE	DE		X			X	X				10/26/01	
METTLER TOLEDO INTERNATIONAL INC/	DE		X				X				11/28/01	
MGI PHARMA INC	MN					X	X				11/26/01	
MICROTUNE INC	TX		X				X				11/15/01	AMEND
MIDWAY GAMES INC	DE					X	X				11/27/01	
MIMBRES VALLEY FARMERS ASSOCIATION INC	NM				X						11/27/01	
MINDARROW SYSTEMS INC	NV					X	X				11/28/01	
MINNESOTA CORN PROCESSORS LLC	CO					X	X				11/29/01	
MONEY STORE COMMERCIAL MORTGAGE INC	NJ					X	X				11/15/01	
MONEY STORE INVESTMENT CORP	NJ					X	X				11/15/01	
MONEY STORE SBA ADJUSTABLE RATE CER TIFICATES 1998-1	NJ					X	X				11/15/01	
MORGAN STANLEY ABS CAPITAL I INC	DE					X	X				11/26/01	
MORGAN STANLEY DEAN WIT CAP I INC C OMM MORT CERT 2001-TOP3			X								11/15/01	
MORTGAGE ASSET SECURITIZATION TRANS ACTIONS INC	DE					X	X				11/09/01	AMEND
MORTGAGE ASSET SECURITIZATION TRANS ACTIONS INC	DE					X	X				11/20/01	
MOTIENT CORP	DE					X	X				11/27/01	
MUTUAL RISK MANAGEMENT LTD	DO					X	X				11/15/01	
NATHANS FAMOUS INC	DE					X	X				11/19/01	
NATION ENERGY INC	DE					X					11/29/01	
NAVISTAR FINANCIAL RETAIL RECEIVABL ES CORPORATION	DE							X			10/31/01	
NAVISTAR FINANCIAL SECURITIES CORP	DE							X			10/31/01	
NAVTECH INC	DE					X	X				11/29/01	
NEW FRONTIER MEDIA INC /CO/	CO				X		X				11/26/01	
NEW WORLD RESTAURANT GROUP INC	DE					X	X				11/29/01	
NEXTHEALTH INC	DE					X	X				11/28/01	

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
NIAGARA MOHAWK HOLDINGS INC/NY	NY					X	X				11/29/01	
NIAGARA MOHAWK POWER CORP /NY/	NY					X	X				11/29/01	
NORTH FORK BANCORPORATION INC	DE						X	X			11/28/01	
NORTHEAST PENNSYLVANIA FINANCIAL CO RP	DE					X	X				11/26/01	
NORTHERN BORDER PARTNERS LP	DE					X					11/29/01	
NORTHERN BORDER PIPELINE CO	TX					X					11/29/01	
NORTHPORT INVESTMENTS INC	NV					X					10/04/01	
NSTOR TECHNOLOGIES INC	DE					X					11/20/01	
NTL INC/DE/	DE					X			X		11/29/01	
NXGEN NETWORKS INC	NV	X					X	X			10/28/01	
OFFICE DEPOT INC	DE						X	X	X		11/28/01	
OMNISKY CORP						X	X				11/27/01	
OUTSOURCE INTERNATIONAL INC	FL					X	X				11/15/01	
PARTNERRE LTD						X	X				11/27/01	
PLUG POWER INC	DE			X			X				11/20/01	
POLARIS INDUSTRIES INC/MN	MN						X	X	X		11/15/01	
POLYVISION CORP	NY	X					X				11/14/01	
PPI CAPITAL GROUP INC	UT					X	X				11/21/01	
PRIME GROUP REALTY TRUST	MD					X					11/26/01	
PRIME HOLDINGS & INVESTMENTS INC	NV						X				11/29/01	AMEND
PROGRESS ENERGY INC	NC						X	X	X		11/28/01	
PROVIDIAN FINANCIAL CORP	DE					X	X				11/28/01	
PROXIM INC /DE/	DE					X	X				11/28/01	
PUGET ENERGY INC /WA	WA					X					11/28/01	
PUGET SOUND ENERGY INC	WA					X					11/28/01	
PURCHASEPRO COM INC	NV				X		X				11/29/01	
PYR ENERGY CORP	DE					X	X				11/29/01	
Q COMM INTERNATIONAL INC	UT					X					11/28/01	
QUALCOMM INC/DE	DE	X					X				11/28/01	
QUEST DIAGNOSTICS INC	DE					X	X				11/26/01	
RATEXCHANGE CORP	DE					X					09/28/01	
RAZORFISH INC	DE					X	X				11/26/01	
RELIANT RESOURCES INC	DE						X				09/26/01	
RESIDENTIAL ACCREDIT LOANS INC	DE					X	X				11/27/01	
RESIDENTIAL ASSET MORTGAGE PRODUCTS INC	DE					X	X				11/28/01	
RESIDENTIAL ASSET MORTGAGE PRODUCTS INC	DE					X	X				11/29/01	AMEND
RESOURCE BANCSHARES MORTGAGE GROUP INC	DE					X	X				11/18/01	
RHYTHMS NET CONNECTIONS INC	DE					X	X				11/19/01	
RIVERSTONE NETWORKS INC	DE				X		X				11/21/01	
RMED INTERNATIONAL INC	CO	X					X				11/19/00	
ROBERTS REALTY INVESTORS INC	GA					X	X				11/29/01	
SALISBURY BANCORP INC	CT					X	X				11/27/01	
SCANSOFT INC	DE					X					11/28/01	
SECURITY CAPITAL GROUP INC/ SIMMONS FIRST NATIONAL CORP	MD	X					X				11/20/01	AMEND
SINCLAIR BROADCAST GROUP INC	AR							X	X		11/28/01	
SITESTAR CORP	MD						X				11/28/01	
	NV					X	X				11/19/01	

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	CODE	1	2	3	4	5	6	7	8	9		
STAR SCIENTIFIC INC	DE								X		04/25/01	AMEND
STARMEDIA NETWORK INC	DE				X	X					11/28/01	
STEWART & STEVENSON SERVICES INC	TX				X	X					11/28/01	
STRUCTURED ASSET SECURITIES CORP	DE				X	X					11/29/01	
SUCCESS BANCSHARES INC	DE				X	X					11/28/01	
SUN POWER CORP					X						11/29/01	AMEND
SUPREME HOSPITALITY	NV				X						12/31/01	
SURGICAL SAFETY PRODUCTS INC	NY				X	X					11/15/01	AMEND
TAIWAN FUND INC	DE								X		10/01/01	
TELECORP PCS INC /VA/					X	X					11/27/01	
TELEPHONE & DATA SYSTEMS INC /DE/	DE				X	X					11/27/01	
TENGTU INTERNATIONAL CORP	DE							X			11/27/01	
THERMADYNE CAPITAL CORP	DE			X		X					11/19/01	
THERMADYNE HOLDINGS CORP /DE	DE			X		X					11/19/01	
THERMADYNE MFG LLC	DE			X		X					11/19/01	
THIRD WAVE TECHNOLOGIES INC /WI	DE				X	X					11/28/01	
TOLL BROTHERS INC	DE				X	X					11/27/01	
TRUMP ATLANTIC CITY ASSOCIATES	NJ				X	X					11/28/01	
TRUMP ATLANTIC CITY FUNDING II INC	DE				X	X					11/28/01	
TRUMP ATLANTIC CITY FUNDING III INC	DE				X	X					11/28/01	
TRUMP ATLANTIC CITY FUNDING INC	DE				X	X					11/28/01	
TRUMP HOTELS & CASINO RESORTS FUNDI NG INC	DE				X	X					11/28/01	
TRUMP HOTELS & CASINO RESORTS HOLDI NGS LP	DE				X	X					11/28/01	
TRUMP HOTELS & CASINO RESORTS INC	DE				X	X					11/28/01	
TRUMPS CASTLE ASSOCIATES LP					X	X					11/28/01	
TRUMPS CASTLE FUNDING INC	NJ				X	X					11/28/01	
TRUMPS CASTLE HOTEL & CASINO INC	NJ				X	X					11/28/01	
U S AGGREGATES INC	DE				X	X					11/27/01	
U S VISION INC	DE				X	X					11/26/01	
UNICAPITAL CORP /DE/	DE				X	X					11/15/01	
UNIONBANCAL CORP	CA				X	X					11/28/01	
USX CAPITAL TRUST I					X	X					11/28/01	
USX CORP	DE				X	X					11/28/01	
VECTREN UTILITY HOLDINGS INC	IN				X	X					11/27/01	
VENTRO CORP	DE				X	X					11/26/01	
VERITAS DGC INC	DE					X					11/26/01	
VIDEO NETWORK COMMUNICATIONS INC	DE				X						11/27/01	
VIRTUALFUND COM INC	MN				X						10/30/01	
VISUAL DATA CORP	FL				X						11/21/01	
WAMU MORTGAGE PASS THROUGH CERTIFIC ATES SERIES 2001-AR4	DE							X			11/14/01	
WASHINGTON BANKING CO	WA				X	X					11/15/01	AMEND
WASHINGTON MUTUAL MORTGAGE SECURITI ES CORP	DE				X						11/27/01	
WASHINGTON MUTUAL MORTGAGE SECURITI ES CORP	DE				X						11/27/01	
WEBB INTERACTIVE SERVICES INC	CO				X	X					10/16/01	
WEINGARTEN REALTY INVESTORS /TX/	TX				X	X					11/28/01	
WESTPORT RESOURCES CORP /NV/	NV				X	X					11/29/01	

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	CODE	1	2	3	4	5	6	7	8	9			
WHITEWING LABS INC	CA	X										11/16/01	
WILLIAMS COMPANIES INC	DE				X	X						11/29/01	
WILLIAMS CONTROLS INC	DE				X							11/29/01	
WOMEN FIRST HEALTHCARE INC	DE				X							11/15/01	
XEROX CORP	NY				X							11/27/01	
XIN NET CORP	FL				X	X						11/02/01	
XO COMMUNICATIONS INC	DE				X	X						11/28/01	
YONKERS FINANCIAL CORP	DE				X	X						11/13/01	
ZENEX TELECOM INC	CO				X	X						11/21/01	
ZKID NETWORK CO	NV	X	X		X	X						05/01/01	AMEND