

SEC NEWS DIGEST

Issue 2001-148

August 1, 2001

COMMISSION ANNOUNCEMENTS

PUBLIC APPEARANCES OF SENIOR COMMISSION OFFICIALS – AUGUST 2001

The following is a schedule for August 2001 of the public appearances of SEC officials, including the Chairman, Commissioners, and senior staff members. For additional information on events hosted by groups other than the Commission, please call the contact numbers listed. As events are subject to change, please confirm them with the SEC's Office of Public Affairs or the sponsoring organizations.

When: Saturday, August 4
Who: Commissioner Isaac Hunt
What: American Bar Association
Where: Chicago, Illinois
Contact: Lisa Klein Wager, (212) 309-6113

When: Monday, August 6
Who: David Martin
What: American Bar Association
Where: Chicago, Illinois
Contact: Rachel Patyrak, (312) 988-6187

When: Sunday, August 5 – Tuesday, August 7
Who: David Becker
What: American Bar Association
Where: Chicago, Illinois
Contact: Rachel Patyrak, (312) 988-6187

When: Tuesday, August 7
Who: Annette Nazareth
What: American Bar Association
Where: Chicago, Illinois
Contact: Brandon Becker, (202) 663-6979

COMMISSION ANNOUNCES PUBLIC REPORTING BY MARKET CENTERS UNDER EXECUTION QUALITY DISCLOSURE RULE

On July 31, the Commission announced the first posting by market centers of publicly available monthly reports of execution quality for listed securities. Rule 11Ac1-5, the order execution quality disclosure rule, requires each market center to make its execution quality files available for downloading at a free internet site that is readily accessible to the public. The reports cover the trading of listed securities for the month of June 2001. Reports covering the trading of Nasdaq securities will be made publicly available by market centers in September 2001.

A list of the web addresses of all self-regulatory organizations that maintain internet sites with downloadable files or with hyperlinks to the appropriate download sites will be made available on the SEC's internet site at: <http://www.sec.gov/investor/pubs/exquality.htm>.

For further information, contact: Susie Cho in the Division of Market Regulation at 202-942-0748. (Press Rel. 2001-80)

CHIEF ACCOUNTANT IS SEEKING CANDIDATES FOR FOUR PROFESSIONAL ACCOUNTING FELLOW POSITIONS

The Commission's Office of the Chief Accountant announced that it is now accepting applications for four professional Accounting Fellow positions in the Office of the Chief Accountant. The PAF program, which began in 1972, is designed to provide participating fellows with outstanding opportunities for public service to investors, personal development, and career advancement. During their two-year term, Fellows work directly with the Chief Accountant, are involved in the study and development of rule proposals under the Federal securities laws, liaison with professional accounting standard-setting bodies, and consult with registrants on accounting and reporting matters. The Chief Accountant would like to select individuals with the following backgrounds to begin in June 2002:

- One Fellow for a position with accounting for financial instruments and experience with financial institutions;
- One Fellow with experience in the application of international accounting and auditing standards;
- Two Fellows with experience in general with U.S. GAAP and GAAS.

Applicants should have ten to twelve years of experience in public accounting or its equivalent with at least three to six years as a manager, should be thoroughly familiar with the technical accounting and auditing literature as well as with current issues confronting the profession and must have a demonstrated history of public service.

Applicants are required to submit an essay, of not less than twelve pages that they have prepared on their own, identifying and describing public policy initiatives that could be

undertaken to improve transparency and financial reporting for investors either in the U.S. or internationally.

Interested applicants are to submit:

- A resume summarizing the applicant's qualifications including prior public service activities (e.g., public service positions, community service, volunteering);
- Standard Form 171, "Personal Qualification Statement," or Form OF-612, "Optional Application for Federal Employment," or any other written format that clearly identifies the announcement number, title, series, and grade of the job for which the applicant is seeking; and
- At least two letters of recommendation from non-relatives.

Standard Form 171, Form OF-612, and "Applying for a Federal Job," which highlights the information to be included in the resume or other written submission, can be obtained from the Office of Personnel Management, Securities and Exchange Commission, 450 Fifth Street, N.W., Washington, D.C., 20549. Form OF-612 is also available on the Internet at <http://www.opm.gov>. The application and essay should be submitted on or before December 14, 2001, to the Chief Accountant of the Securities and Exchange Commission. Inquiries about the program may be addressed to the attention of E. Michael Pierce in the Office of the Chief Accountant by calling 202-942-4400 (e-mail: PierceM@sec.gov).

The Commission's policy of affording equal employment opportunity to all interested candidates will be followed. (Press Rel. 2001-82)

CORRECTION

An article that appeared in the Digest yesterday pertaining to an extension of the comment period on the Nasdaq Stock Market Inc.'s application for registration as a national securities exchange should have indicated that the Commission acted on July 31st. See Press Release 2001-81.

ENFORCEMENT PROCEEDINGS

ABRAHAM AND SONS CAPITAL AND BRETT BRUBAKER SANCTIONED

The Commission has found that Abraham and Sons Capital, Inc., a registered investment adviser, and Brett G. Brubaker, its president, violated antifraud and related provisions of the securities laws in connection with representations they made to investors in a private, pooled hedge fund they managed, Abraham and Sons Limited Partnership. The Commission barred Brubaker from association with any broker, dealer, or investment adviser, subject to a right to apply to become so associated after five years; revoked the investment adviser registration of the firm; ordered that Brubaker and the firm cease and

desist from committing or causing any future violations of the provisions they were found to have violated; and ordered them both to pay a civil money penalty of \$50,000 each. "Respondents' behavior," according to the Commission, "represents a serious abuse of the trust placed in them by the Fund's investors and by the securities industry." (Rel. 34-44624; IA-1956; File No. 3-9448)

COMMISSION DISMISSES NASD APPEAL PROCEEDING ON CONCLUDING THAT THE NASD ACTED PROPERLY IN DENYING MEMBERSHIP APPLICATION OF SUSPENDED CALIFORNIA CORPORATION BFG SECURITIES, INC.

The Commission has dismissed the appeal of BFG Securities, Inc. (BFG), a California corporation suspended by the Secretary of State for the State of California in January 1997 for nonpayment of taxes, from the NASD's denial of BFG's application for NASD membership. In denying BFG's application, the NASD reasoned that, given BFG's corporate suspension, BFG could not lawfully be engaged in the securities (or any other) business, a prerequisite for NASD membership.

BFG's application first was denied in 1996 because of a disciplinary action against BFG's president. The NASD reinstated the application in 1998 and, thereafter, an NASD District Committee subcommittee again denied the application on the ground that, after the application was reinstated, BFG failed to supply information requested by NASD staff in support of the application. BFG appealed that denial, and in July 1999 another NASD District Committee sustained it. BFG then appealed to the NASD's National Adjudicatory Council. That body ultimately denied the application (without a hearing on BFG's claims of District Committee error and NASD staff improprieties) in response to an NASD staff motion seeking dismissal on the ground that, given the suspension of BFG's corporate powers, rights, and privileges, BFG could not lawfully be engaged in the securities business.

On review, the Commission found that the specific grounds on which the NASD based its application denial exist in fact; that the NASD's determination to deny the application was in accordance with NASD rules; that the NASD's rules are and were applied in a manner consistent with the purposes of the Securities Exchange Act of 1934; and that the NASD's action imposed no undue burden on competition. Among other things, the Commission concluded that during the pendency of BFG's application, BFG became, and remained, an entity unable lawfully to exercise any corporate powers and engage in any business. The Commission further concluded that the NASD's determination to deny BFG's application without a hearing on BFG's claims of District Committee error was consistent with the purposes of the Exchange Act, as BFG was given a full and fair opportunity to be heard concerning the specific issue of BFG's asserted incapacity to engage in securities business and the NASD staff established through unrefuted documentary evidence that BFG failed to meet a threshold operational capability standard specified in the NASD's By-Laws -- legal authority to transact business. (Rel. 34-44627; File No. 3-10202)

ADMINISTRATIVE PROCEEDINGS INSTITUTED, FINDINGS MADE AND REMEDIAL SANCTIONS IMPOSED AGAINST DANA C. GIACCHETTO AND THE CASSANDRA GROUP, INC.

On July 31, 2001, the Commission issued an Order Instituting Public Administrative Proceedings, Making Findings, and Imposing Remedial Sanctions (Order) against Dana C. Giacchetto and The Cassandra Group, Inc. These proceedings stem from the Commission's investigation of fraud and asset-kiting of client funds by a registered investment adviser, which resulted in the civil injunctive action SEC v. Dana C. Giacchetto and The Cassandra Group, Inc., 00 Civ. 2502, LTS, SDNY. The Order, which bars Giacchetto from associating with any investment adviser and revokes Cassandra's registration with the Commission as an investment adviser, follows the entry on June 27, 2001, of permanent injunctions against Giacchetto and Cassandra in the Injunctive Action. Both Giacchetto and Cassandra consented to the Order without admitting or denying the Commission's findings.

The Commission's complaint in the Injunctive Action alleged, among other things, that Giacchetto and Cassandra, since at least September 1997 through April 3, 2000, improperly transferred and took custody of at least \$20 million of client assets, of which a substantial portion was misappropriated. The complaint alleged that the assets were misappropriated chiefly by Giacchetto causing checks to be issued from clients' custodial accounts at Brown & Company Securities Corporation, a registered broker-dealer, and then endorsing the checks himself and depositing those funds into Cassandra's main operating bank account. The Complaint further alleged that Giacchetto and Cassandra used client funds to, among other things, make payments to other clients, some of which Giacchetto and Cassandra previously defrauded, as well as payments for Giacchetto's living expenses and Cassandra's operating expenses.

In the Injunctive Action, the District Court entered final judgments on June 27, 2001 which permanently enjoin Giacchetto and Cassandra from violating Section 17(a) of the Securities Act of 1933, Section 10(b) of the Securities Exchange Act of 1934 and Rule 10b-5 thereunder, and Sections 204, 206(1), 206(2), 206(4), and 207 of the Advisers Act of 1940 and Rules 204-2, 206(4)-2 and 206(4)-4 thereunder. The final judgments also direct Giacchetto to pay disgorgement and interest totaling \$14,376,332 and a civil penalty of \$100,000, and do not impose a civil penalty or disgorgement against Cassandra, which is in bankruptcy liquidation proceedings, on condition that Cassandra's assets are fully distributed in accordance with the applicable provisions of the United States Bankruptcy Code. The District Court entered the final judgments pursuant to Giacchetto's and Cassandra's offers of settlement to the Commission, in which Giacchetto and Cassandra neither admitted or denied the allegations contained in the Complaint.

In addition to the Injunctive Action, on August 2, 2000, Giacchetto plead guilty to one criminal count of fraud under the Advisers Act in violation of Section 206(1) and 206(2) in a related criminal action captioned USA v. Dana C. Giacchetto, 00 Cr. 430 (RPP). On February 7, 2001, the District Court sentenced Giacchetto to serve 57 months in a Federal

prison and be subject to post-incarceration supervised release for a term of 3 years. (Rel. IA-1957; File No. 3-10542)

SEC CHARGES A PARTNER AND TWO MANAGERS OF COOPERS & LYBRAND, LLP WITH FINANCIAL FRAUD IN CONNECTION WITH THEIR AUDIT OF THE ANNUAL FINANCIAL STATEMENTS OF ALLEGHENY HEALTH, EDUCATION AND RESEARCH FOUNDATION FOR THE FISCAL YEAR ENDING 1997

The Commission announced today that it filed a complaint in federal district court in Philadelphia, Pennsylvania charging three senior Coopers & Lybrand, LLP (now PricewaterhouseCoopers, LLP) certified public accountants with securities fraud in connection with their audit of the consolidated financial statements of Allegheny Health, Education and Research Foundation (AHERF) for the year ending June 30, 1997. The defendants are William F. Buettner, the engagement partner on the audit; Mark D. Kirstein, the senior manager on the audit; and Amy S. Frazier, the manager on the audit in charge of auditing, among other things, accounts receivable and bad debt reserves.

The complaint alleges that defendants Buettner, Kirstein and Frazier actively participated in a fraudulent scheme by AHERF, a Pennsylvania nonprofit healthcare organization, to mask its deteriorating financial condition and that of a group of its subsidiaries collectively known as the Delaware Valley Obligated Group (Delaware Valley). The defendants played an active role in the fraud by, among other things, helping AHERF plan fraudulent transfers of reserves and then conducting the 1997 audit in a manner that would not expose the transfers or their complicity in the transfers. Ultimately, the defendants knowingly or recklessly issued false and misleading unqualified 1997 audit opinions that enhanced the credibility of AHERF's reported financial statements. The audited financial statements were made available to, among others, investors in AHERF-related bonds. The audit opinions falsely state, among other things, that the audit was conducted in accordance with Generally Accepted Auditing Standards (GAAS) and that the financial statements were prepared in accordance with Generally Accepted Accounting Principles (GAAP) and fairly presented AHERF's financial condition. In fact, the financial statements materially misrepresented that AHERF and Delaware Valley had net income of \$21.9 million and \$23.7 million, respectively, for fiscal year 1997. Absent the fraud, AHERF and Delaware Valley would have posted substantial net losses of approximately \$37.7 million and \$35.9 million respectively.

The complaint charges Buettner with violating, and Kirstein and Frazier with violating or aiding and abetting Buettner's violations of, Section 10(b) of the Securities Exchange Act of 1934 and Rule 10b-5 thereunder. [SEC v. William F. Buettner, Mark D. Kirstein and Amy S. Frazier, USDC, EDPA, 01-CV-3898] (LR-17083; AAE Rel. 1431)

FINANCIAL FRAUD ACTION INVOLVING FORMER NASDAQ COMPANY

On August 1, the Commission announced the filing of an action in the United States District Court for the Northern District of Texas (Dallas Division), seeking an injunction

and civil penalties against Larry Biggs, Jr., Donald McLellan and Leslie D. Crone, all former officers of MAX Internet Communications, Inc., a former Nasdaq-listed company headquartered in Dallas.

According to the Commission, the Defendants overstated MAX's sales for the quarters ended September 30, 1999 and December 31, 1999 by 98%. These inflated sales prompted a 100% spike in MAX's stock price in only three months (from \$4.44 to more than \$28), and allowed MAX to jump from the OTC Bulletin Board to the Nasdaq. The Commission alleges that Biggs (former chief executive officer), McLellan (former president) and Crone (former chief financial officer) each knew that the revenue from these sales could not be recognized under generally accepted accounting principles, but nevertheless recorded them to protect MAX's stock price and to avoid loss of credibility in the investment community. The complaint also alleges that the Defendants misled MAX's auditors during quarterly reviews, and issued a false press release responding to a March 2000 WALL STREET JOURNAL article questioning MAX's rapid sales growth. According to the complaint, the Defendants even fabricated the sale of MAX's Brazilian subsidiary in an attempt to justify recording inventory parked at the subsidiary as a "sale" to a "third party."

In settling this matter, the Defendants have agreed to accept permanent injunctions barring future violations of the anti-fraud, record-keeping and reporting provisions of the federal securities laws. Additionally, Biggs and McLellan have consented to pay a \$40,000 penalty each, and Crone has consented to pay a \$20,000 penalty. Separately, Crone also has agreed to a Commission order barring him from practicing before the Commission as an accountant for three years.

The Commission's civil complaint charges that the Defendants violated Sections 10(b) and 13(b)(5) of the Securities Exchange Act of 1934 and Rules 10b-5, 13b2-1, 13b2-2 thereunder, and aided and abetted violations of Sections 13(a) and 13(b)(2) of the Exchange Act and Rules 12b-20 and 13a-13 thereunder. The Commission order against Crone, to be issued upon the Court's entry of a permanent injunction against him, will bar Crone from practicing before it for three years, pursuant to Rule 102(e) of the Commission's Rules of Practice.

On August 1, 2001, the Commission also instituted cease and desist proceedings against MAX and simultaneously accepted MAX's offer of settlement in which it agreed, without admitting or denying the findings in the Commission's order, to cease and desist from future violations of Sections 10(b), 13(a), 13(b)(2) and 13(b)(5) of the Securities Exchange Act of 1934 and Rules 10b-5, 12b-20, 13a-13 and 13b2-1 thereunder, federal securities laws. [SEC v. Larry Biggs, Jr., Donald McLellan and Leslie D. Crone, Civil Action No. 3-01CV1474-D] (LR-17084; AAE Rel. 1432); (Administrative Proceedings - Rel. 34-44633; AAE Rel. 1430; File No. 3-10543)

INVESTMENT COMPANY ACT RELEASES

FIRST AMERICAN INVESTMENT FUNDS, INC., ET AL.

A notice has been issued giving interested persons until August 23 to request a hearing on an application filed by First American Investment Funds, Inc., et al., for an order under Section 17(b) of the Investment Company Act for an exemption from Section 17(a) of the Act. The order would permit certain series of three registered open-end management investment companies to acquire all of the assets and liabilities of the series of an affiliated registered open-end management investment company. Because of certain affiliations, applicants may not rely on Rule 17a-8 under the Act. (Rel. IC-25095 – July 30)

INVESTMENT ADVISERS ACT RELEASES

KAMILCHE COMPANY

A notice has been issued giving interested persons until August 25 to request a hearing on an application filed by Kamilche Company for an order under Section 202(a)(11)(F) of the Investment Advisers Act. The order would declare Kamilche Company to be a person not within the intent of Section 202(a)(11) of the Advisers Act, which defines the term "investment adviser." (Rel. IA-1958 – July 31)

SELF-REGULATORY ORGANIZATIONS

PROPOSED RULE CHANGES

The International Securities Exchange filed a proposed rule change (SR-ISE-2001-19) relating to facilitation of customer orders. Publication of the proposal is expected in the Federal Register during the week of July 30. (Rel. 34-44612)

The National Association of Securities Dealers filed a proposed rule change (SR-NASD-2001-47) relating to audit trail and trading halt requirements for alternative trading systems that trade security futures. Publication of the proposal is expected in the Federal Register during the week of August 6. (Rel. 34-44623)

IMMEDIATE EFFECTIVENESS OF PROPOSED RULE CHANGES

A proposed rule change (SR-Amex-2001-38) filed by the American Stock Exchange to rebate marketing fees to specialists and registered options traders, has become immediately effective under Section 19(b)(3)(A) of the Securities Exchange Act of 1934. Publication of the proposal is expected in the Federal Register during the week of July 30. (Rel. 34-44598)

The National Securities Clearing Corporation filed a proposed rule change (SR-NSCC-2001-06), which was effective upon filing, to reduce certain fees and give NSCC the benefit of the fee reduction retroactive to January 1, 2001. Publication of the proposal and order is expected in the Federal Register during the week of August 6. (Rel. 34-44617)

The Emerging Markets Clearing Corporation filed a proposed rule change (SR-EMCC-01-01), which became effective immediately, to modify EMCC's fee schedule to charge members that use the MatchEM formats or the Datatrack/Autoroute communications network. Publication of the proposal is expected in the Federal Register during the week of August 6. (Rel. 34-44618)

The Government Securities Clearing Corporation filed a proposed rule change (SR-GSCC-2001-07), which became effective on filing, allowing GSCC to impose a fee on members that do not submit their trade data within one hour of trade execution. The proposed fee became effective July 1, 2001. Publication of the proposal is expected in the Federal Register during the week of August 6. (Rel. 34-44620)

A proposed rule change filed by the New York Stock Exchange (SR-NYSE-2001-20) relating to charges for exchange traded funds to dealings on an unlisted trading privileges basis has become effective under Section 19(b)(3)(A) of the Exchange Act. Publication of the proposal is expected in the Federal Register during the week of August 6. (Rel. 34-44622)

JOINT INDUSTRY PLANS - IMMEDIATE EFFECTIVENESS OF SEVENTH CHARGES AMENDMENT TO THE SECOND RESTATEMENT OF THE CONSOLIDATED TAPE ASSOCIATION PLAN

On July 3, 2001, pursuant to Rule 11Aa3-2 of the Securities Exchange Act of 1934, the Consolidated Tape Association (CTA) submitted an amendment to the CTA Plan to establish a Network A fee for dissemination of a real-time Network A last sale price information ticker of broadcast, cable, or satellite television (SR-CTA-2001-02). Publication of the notice in the Federal Register is expected during the week of August 6. (Rel. 34-44614)

JOINT INDUSTRY PLANS - IMMEDIATE EFFECTIVENESS OF SUBSTANTIVE AMENDMENT TO THE SECOND RESTATEMENT OF THE CONSOLIDATED TAPE ASSOCIATION PLAN

On July 16, 2001, pursuant to Rule 11Aa3-2 of the Securities Exchange Act of 1934, the Consolidated Tape Association (CTA) submitted an amendment to the CTA Plan to modify the definitions of Network A Eligible Security and Network B Eligible Security (SR-CTA-2001-03). Publication of the notice in the Federal Register is expected during the week of August 6. (Rel. 34-44615)

ACCELERATED APPROVAL OF PROPOSED RULE CHANGE

The Commission granted accelerated approval to a proposed rule change and Amendment Nos. 1 and 2 thereto submitted by the American Stock Exchange (SR-Amex-2001-23) relating to the listing and trading of Index-Linked Exchangeable Notes. Publication of the proposal is expected in the Federal Register during the week of August 6. (Rel. 34-44621)

WITHDRAWAL SOUGHT

A notice has been issued giving interested persons until August 17 to comment on the application for withdrawal from listing and registration (Lowe's Companies, Inc., Common Stock, par value \$.50) on the Pacific Stock Exchange. (Rel. 34-44630)

SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

Registration statements may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <publicinfo@sec.gov>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

S-8 BIACORE INTERNATIONAL AB, RAPSGATAN 7, S-754 50 UPPSALA, SWEDEN, V7
(461) 867-5700 - 300,000 (\$10,071,000) FOREIGN COMMON STOCK. (FILE
333-13734 - JUL. 18) (BR. 5)

S-8 DESCARTES SYSTEMS GROUP INC, 120 RANDALL ST, WATERLOO ONTARIO CAN,

A6

10 NEWS DIGEST, August 1, 2001

(519) 746-8110 - 208,107 (\$1,843,459.95) FOREIGN COMMON STOCK. (FILE 333-13746 - JUL. 20) (BR. 3)

S-8 METALINK LTD, 24 HABARZEL STREET, TEL AVIV ISRAEL, (011) 972-3645 - 1,500,000 (\$9,082,500) FOREIGN COMMON STOCK. (FILE 333-13750 - JUL. 24) (BR. 5)

S-8 ONESOURCE TECHNOLOGIES INC, 7419 E HELM DR, SCOTTSDALE, AZ 85260 (800) 279-0859 - 2,000,000 (\$280,000) COMMON STOCK. (FILE 333-65780 - JUL. 25) (BR. 2)

SB-2 CONCEPT DIGITAL INC /DE/, 1221 BRICKELL AVENUE SUITE 900, MIAMI, FL 33131 (305) 358-3678 - 4,032,978 (\$403,297.80) COMMON STOCK. (FILE 333-65782 - JUL. 25) (BR. 8)

S-8 ELECTRONIC DATA SYSTEMS CORP /DE/, 5400 LEGACY DR, H3-3D-05, PLANO, TX 75024 (972) 604-6000 - 17,500,000 (\$1,104,600,000) COMMON STOCK. (FILE 333-65784 - JUL. 25) (BR. 3)

S-8 ELECTRONIC DATA SYSTEMS CORP /DE/, 5400 LEGACY DR, H3-3D-05, PLANO, TX 75024 (972) 604-6000 - 3,700,000 (\$233,544,000) COMMON STOCK. (FILE 333-65786 - JUL. 25) (BR. 3)

S-8 TRICORD SYSTEMS INC /DE/, 2905 NORTHWEST BLVD, PLYMOUTH, MN 55441 (763) 557-9005 - 250,000 (\$705,000) COMMON STOCK. (FILE 333-65788 - JUL. 25) (BR. 3)

S-8 TRICORD SYSTEMS INC /DE/, 2905 NORTHWEST BLVD, PLYMOUTH, MN 55441 (763) 557-9005 - 3,000,000 (\$8,460,000) COMMON STOCK. (FILE 333-65790 - JUL. 25) (BR. 3)

S-8 SAFEWAY INC, 5918 STONERIDGE MALL RD, PLEASANTON, CA 94588 (925) 467-3000 - 500,000 (\$22,505,000) OTHER SECURITIES INCLUDING VOTING TRUST. (FILE 333-65792 - JUL. 25) (BR. 2)

SB-2 ZOMEX DISTRIBUTION INC, 7828 BIRCH BAY DRIVE JL404, BLAIN, WA 98230 (250) 767-2986 - 9,371,905 (\$937,190.50) COMMON STOCK. (FILE 333-65794 - JUL. 25) (BR. 9)

S-8 MERCK & CO INC, ONE MERCK DR, P O BOX 100, WHITEHOUSE STATION, NJ 08889 (908) 423-4044 - 750,000 (\$48,922,500) COMMON STOCK. (FILE 333-65796 - JUL. 25) (BR. 1)

S-8 MEDIA 100 INC, 290 DONALD LYNCH BLVD, MARLBOROUGH, MA 01752 (508) 460-1600 - 2,000,000 (\$2,833,000) COMMON STOCK. (FILE 333-65798 - JUL. 25) (BR. 3)

S-8 WACKENHUT CORP, 4200 WACKENHUT DRIVE, #100, PALM BEACH GARDEN, FL
33410
(561) 622-5656 - 400,000 (\$7,120,000) COMMON STOCK. (FILE 333-65800 -
JUL. 25) (BR. 8)

S-8 SPARTAN STORES INC, 850 76TH ST SW, P O BOX 8700, GRAND RAPIDS, MI
49518
(616) 878-2000 - 2,000,000 (\$32,020,000) COMMON STOCK. (FILE 333-65802
-
JUL. 25) (BR. 4)

S-8 INTERSIL CORP/DE, 7585 IRVINE CENTER DR, STE 100, IRVINE, CA 92618
(949) 341-7062 - 10,000,000 (\$260,150,000) COMMON STOCK. (FILE 333-
65804 -
JUL. 25) (BR. 5)

S-3 GRAPHON CORP/DE, 400 COCHRANE CIRCLE, MORGAN HILL, CA 95037
(408) 776-3232 - 2,675,000 (\$7,463,250) COMMON STOCK. (FILE 333-65806
-
JUL. 25) (BR. 3)

S-3 NEWMONT MINING CORP, ONE UNITED BANK CTR, 1700 LINCOLN ST, DENVER,
CO
80203 (303) 863-7414 (FILE 333-65807 - JUL. 25) (BR. 4)

S-8 ABAXIS INC, 1320 CHESAPEAKE TERRACE, SUNNYVALE, CA 94089 (408) 734-
0200
- 550,000 (\$2,948,000) COMMON STOCK. (FILE 333-65812 - JUL. 25) (BR.
1)

SB-2 IVOICE COM INC /DE, 750 HIGHWAY 34, 210 SOUTH FOURTH AVE, MATAWAN,
NJ
07747 (732) 441-7700 - 165,893,750 (\$11,612,562.50) COMMON STOCK.
(FILE
333-65814 - JUL. 25) (BR. 9)

S-8 PFF BANCORP INC, 350 SOUTH GAREY AVENUE, POMONA, CA 91766 (909) 623-
2323
- 625,000 (\$15,776,500) COMMON STOCK. (FILE 333-65816 - JUL. 25) (BR.
7)

S-8 CT COMMUNICATIONS INC /NC, 68 CABARRUS AVE EAST, P O BOX 227,
CONCORD,
NC 28025 (704) 788-0244 - 1,700,000 (\$26,180,000) COMMON STOCK. (FILE
333-65818 - JUL. 25) (BR. 7)

S-8 CHEAP TICKETS INC, 1440 KAPIOLANI BLVD, STE 800, HONOLULU, HI 96814
(808) 945-7439 - 1,208,019 (\$13,143,247) COMMON STOCK. (FILE 333-65820
-
JUL. 25) (BR. 5)

S-8 R H DONNELLEY CORP, ONE MANHATTANVILLE ROAD, PURCHASE, NY 10577
(914) 933-6800 - 4,000,000 (\$122,040,000) COMMON STOCK. (FILE 333-
65822 -
JUL. 25) (BR. 2)

- S-3 VALASSIS COMMUNICATIONS INC, 19975 VICTOR PARKWAY, LIVONIA, MI 48152
(313) 591-3000 - 275,100,000 (\$145,913,625) STRAIGHT BONDS. (FILE
333-65824 - JUL. 25) (BR. 2)
- S-8 ELECTRONIC DATA SYSTEMS CORP /DE/, 5400 LEGACY DR, H3-3D-05, PLANO,
TX
75024 (972) 604-6000 - 500,000 (\$31,560,000) COMMON STOCK. (FILE
333-65828 - JUL. 25) (BR. 3)
- S-1 VALICERT INC, 339 N BERNARDO AVE, MOUNTAIN VIEW, CA 94043 (650) 567-
5400
- 4,720,449 (\$12,562,450) COMMON STOCK. (FILE 333-65830 - JUL. 25)
(BR. 3)
- S-3 ADVANCED AERODYNAMICS & STRUCTURES INC/, 3060 AIRPORT WAY, LONG
BEACH,
CA 90806 (310) 988-2088 - 17,000,000 (\$3,784,000) COMMON STOCK. (FILE
333-65832 - JUL. 25) (BR. 5)
- S-8 SAGE INC/CA, 2460 N FIRST ST, STE 100, SAN JOSE, CA 95131 (408) 383-
5300
- 487,847 (\$6,000,518.10) COMMON STOCK. (FILE 333-65834 - JUL. 25)
(BR. 5)
- S-4 CAMCO FINANCIAL CORP, 6901 GLENN HIGHWAY, CAMBRIDGE, OH 43725
(740) 432-5641 - 966,613 (\$10,753,265) COMMON STOCK. (FILE 333-65836 -
JUL. 25) (BR. 7)
- S-3 PENWEST PHARMACEUTICALS CO, 2981 ROUTE 22, PATTERSON, NY 12563
(914) 878-3414 - 2,447,187 (\$44,428,680) COMMON STOCK. (FILE 333-65840
-
JUL. 25) (BR. 1)
- F-3 TRICOM SA, AVE LOPE DE VEGA NO 95, SANTO DOMINGO, G8 (809) 476-6000
-
\$100,503,000 FOREIGN COMMON STOCK. (FILE 333-65842 - JUL. 25) (BR. 7)
- S-8 CEPHEID, 1190 BORREGAS, X, SUNNYVALE, CA 94089 (408) 541-4191 -
2,865,915 (\$8,268,165) COMMON STOCK. (FILE 333-65844 - JUL. 25) (BR.
5)
- S-3 CT COMMUNICATIONS INC /NC, 68 CABARRUS AVE EAST, P O BOX 227,
CONCORD,
NC 28025 (704) 788-0244 - 500,000 (\$7,700,000) COMMON STOCK. (FILE
333-65846 - JUL. 25) (BR. 7)
- S-8 IQ POWER TECHNOLOGY INC, SUITE 708-A, 11 WEST HASTINGS STREET, V6E
2J3,
VANCOUVER, BC, (604) 669-3132 - 2,999,000 (\$4,348,550) COMMON STOCK.
(FILE 333-65848 - JUL. 25) (BR. 5)
- S-8 TRIQUINT SEMICONDUCTOR INC, 2300 NE BROOKWOOD PARKWAY, HILLSBORO, OR
97124 (503) 615-9000 - 11,946,341 (\$243,826,699) COMMON STOCK. (FILE
333-65850 - JUL. 25) (BR. 5)

S-8 OM GROUP INC, 50 PUBLIC SQ, STE 300, CLEVELAND, OH 44113 (216) 781-0083
 - 2,000,000 (\$113,060,000) COMMON STOCK. (FILE 333-65852 - JUL. 25)
 (BR. 2)

S-8 SEACHANGE INTERNATIONAL INC, 124 ACTON ST, 2ND FLOOR, MAYNARD, MA 01754
 (978) 897-0100 - 6,275,000 (\$134,367,148.10) COMMON STOCK. (FILE 333-65854 - JUL. 25) (BR. 7)

S-3 CENDANT CORP, 9 WEST 57TH STREET, NEW YORK, NY 10019 (973) 496-5036
 (FILE 333-65858 - JUL. 25) (BR. 8)

S-3 NEORX CORP, 410 W HARRISON ST, SEATTLE, WA 98119 (206) 281-7001 -
 800,000 (\$2,932,000) COMMON STOCK. (FILE 333-65862 - JUL. 26) (BR. 1)

SB-2 GLOBAL MEDICAL HOLDINGS INC, 2550 E. DESERT INN ROAD, #323, LAS VEGAS,
 NV 89121 (702) 596-9147 - 600,000 (\$300,000) COMMON STOCK. (FILE 333-65864 - JUL. 26) (NEW ISSUE)

RECENT 8K FILINGS

Form 8-K is used by companies to file current reports on the following events:

- Item 1. Changes in Control of Registrant.
- Item 2. Acquisition or Disposition of Assets.
- Item 3. Bankruptcy or Receivership.
- Item 4. Changes in Registrant's Certifying Accountant.
- Item 5. Other Materially Important Events.
- Item 6. Resignations of Registrant's Directors.
- Item 7. Financial Statements and Exhibits.
- Item 8. Change in Fiscal Year.
- Item 9. Regulation FD Disclosure.

The following companies have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. 8-K reports may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <publicinfo@sec.gov>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT	
		1	2	3	4	5	6	7	8	9			
A B WATLEY GROUP INC	DE					X	X					07/25/01	
ACCEL8 TECHNOLOGY CORP	CO					X						07/26/01	
ACTIVISION INC /NY	DE							X	X			07/31/01	
ADVANTA CORP	DE					X						07/27/01	
ALLEN TEST CO INC & CUT OVER TEST	MD	X										12/10/99	
ALLEN TEST CO INC & CUT OVER TEST	MD	X										12/10/99	
ALLEN TEST DR III	VA		X				△					12/31/99	

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT	
		1	2	3	4	5	6	7	8	9			
ALLEN TEST DR III	VA		X		X							12/31/99	
ALLEN TEST DR III	VA		X		X							12/31/99	
ALLEN TEST DR III	VA		X		X							12/31/99	
ALTRIMEGA HEALTH CORP	NV		X					X				07/19/01	
AMERICAN CLASSIC VOYAGES CO	DE				X	X						07/30/01	
AMERICAN GENERAL FINANCE CORP	IN				X	X						07/27/01	
AMERICAN HONDA RECEIVABLES CORP	CA		X			X						07/24/01	
AMERIQUEST MORT SEC INC FLOAT RATE	DE		X		X	X						07/31/01	
MORT PA TH CER SER 2001-1													
AMERIQUEST TECHNOLOGIES INC	DE				X		X					07/26/01	
AMERISOURCE BERGEN CORP	DE					X	X		X			07/31/01	
AMERISOURCE HEALTH CORP/DE	DE					X	X					07/25/01	
AMF BOWLING INC	DE			X			X					07/31/01	
AMF BOWLING WORLDWIDE INC	DE					X	X					07/31/01	
AMSOUTH AUTO TRUST 2000-1	NY					X	X					07/16/01	
APO HEALTH INC /NV/	NV	X	X		X	X	X	X	X			06/13/01	AMEND
ART TECHNOLOGY GROUP INC	DE					X	X					07/26/01	
ASCONI CORP	NV		X				X					04/12/01	AMEND
ASSET BACKED FDG CORP C BASS MTG LN	DE					X	X					07/25/01	
AS NKD CRT SER 2001-CB1													
AVANI INTERNATIONAL GROUP INC //	NV					X	X					07/26/01	
AVIRON	DE					X	X					07/27/01	
BA MASTER CREDIT CARD TRUST /								X				06/30/01	
BARNETT AUTO TRUST 1997-A	DE					X	X					07/16/01	
BARRA INC /CA	DE		X				X					07/16/01	
BB&T CORP	NC					X						07/31/01	
BE FREE INC	DE					X	X					07/30/01	
BERGEN BRUNSWIG CORP	NJ					X	X					07/26/01	
BERKLEY W R CORP	DE					X	X					07/26/01	
BHC COMMUNICATIONS INC	DE					X	X					07/30/01	
BICO INC/PA	PA					X						07/26/01	
BINDVIEW DEVELOPMENT CORP	TX					X	X					07/30/01	
BIO PLEXUS INC	CT	X						X				07/18/01	
BIOGAN INTERNATIONAL INC	DE					X						07/27/01	
BORDEN INC	NJ					X						07/16/01	
BRAZOS SPORTSWEAR INC /DE/	DE					X	X					07/25/01	
BUILDERS TRANSPORT INC	DE					X	X					07/25/01	
CABLETRON SYSTEMS INC	DE					X	X					07/27/01	
CANADIAN DERIVATIVES CLEARING CORP						X	X					05/07/01	
CELLPOINT INC	NV					X	X					07/25/01	
CENDANT CORP	DE					X	X					07/27/01	
CENIT BANCORP INC	DE					X	X					07/25/01	
CENTEX HOME EQUITY LOAN ASSET BACKE	DE					X	X					07/25/01	
D CERTS SERIES 2001 A													
CENTRUM INDUSTRIES INC	DE					X						06/27/01	
CHART INDUSTRIES INC	DE							X		X		07/30/01	
CHASE MANHATTAN AUTO OWNER TRUST 19	DE					X	X					07/16/01	
96-C													
CHASE MANHATTAN AUTO OWNER TRUST 19	DE					X	X					07/16/01	
97-A													
CHASE MANHATTAN AUTO OWNER TRUST 19	DE					X	X					07/16/01	
97-B													

NAME OF ISSUER	STATE		8K ITEM NO.									DATE	COMMENT
	CODE		1	2	3	4	5	6	7	8	9		
CHASE MANHATTAN AUTO OWNER TRUST 19 98-A	DE						X	X				07/16/01	
CHASE MANHATTAN AUTO OWNER TRUST 19 98-B	DE						X	X				07/16/01	
CHASE MANHATTAN AUTO OWNER TRUST 19 98-C	DE						X	X				07/16/01	
CHASE MANHATTAN AUTO OWNER TRUST 20 00-A	DE						X	X				07/16/01	
CHASE MANHATTAN AUTO OWNER TRUST 20 01 A	DE						X	X				07/19/01	
CHASE MANHATTAN HOME EQUITY LOAN TR UST 1995-1	NY						X	X				07/16/01	
CHRIS CRAFT INDUSTRIES INC	DE						X	X				07/30/01	
CISCO SYSTEMS INC	CA						X	X				07/27/01	
CIT GROUP INC	DE						X	X				07/18/01	
CLOSURE MEDICAL CORP	DE						X	X				07/30/01	
CNA SURETY CORP	DE						X	X				07/31/01	
CNB FINANCIAL CORP /NY/	NY						X	X				07/25/01	
CNH CAPITAL RECEIVABLES INC	DE						X	X				07/15/01	
CNH RECEIVABLES INC	DE						X	X				07/15/01	
COMERICA INC /NEW/	DE						X	X				07/25/01	
COMMUNICATION INTELLIGENCE CORP	DE						X	X				07/26/01	
CONOCO INC /DE	DE		X						X			07/16/01	
CORVU CORP	MN							X				07/30/01	
CREDIT SUISSE FIRST BOSTON MORTGAGE SECURITIES CORP	DE							X	X			07/27/01	
CREDIT SUISSE FIRST BOSTON MORTGAGE SECURITIES CORP	DE							X	X			07/30/01	
CWMBS INC	DE						X	X				07/30/01	
CYBERIAN OUTPOST INC	DE						X	X				07/27/01	
CYLINK CORP /CA/	CA						X	X				07/25/01	
CYSIVE INC	DE						X	X				07/26/01	
DEALER AUTO RECEIVABLES CO LLC	DE						X	X				07/16/01	
DEL GLOBAL TECHNOLOGIES CORP	NY						X					07/26/01	
DEL WEBB CORP	DE						X	X				07/31/01	
DELTA AIR LINES INC /DE/	DE						X	X				06/20/01	
DEMEGEN INC	CO		X						X			07/16/01	
DIGITAL LAVA INC	DE						X	X				07/31/01	
DIGITAL LIGHTHOUSE CORP	DE			X			X	X				07/16/01	
DLJ MORTGAGE ACCEPT CORP CSFB MTG P ASS THRU CERT SER 2001 S3							X	X				07/25/01	
DRKOOP COM INC	DE						X	X				07/31/01	
EB2B COMMERCE INC /NY/	NJ									X		07/30/01	
EL PASO CORP/DE	DE								X			07/30/01	
EL PASO CORP/DE	DE						X	X				07/30/01	AMEND
ENCORE VENTURES INC	NV	X	X						X	X		07/30/01	
ENTERCOM COMMUNICATIONS CORP	PA									X		07/31/01	
ENTERGY CORP /DE/	DE								X	X		07/31/01	
EOG RESOURCES INC	DE									X		07/30/01	
EQUALNET COMMUNICATIONS CORP	TX						X	X				07/19/01	
EQUITY TECHNOLOGIES & RESOURCES INC	DE						X		X			07/26/01	
EXCEL LEGACY CORP	DE						X	X				07/30/01	

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
EXOTICS COM INC	NV	X	X		X			X			05/09/01	
EXPRESS SCRIPTS INC	DE					X		X			07/25/01	
FASHIONMALL COM INC	DE	X									05/16/01	AMEND
FASHIONMALL COM INC	DE	X									07/30/01	
FEDERAL REALTY INVESTMENT TRUST	MD					X		X			06/30/01	
FINITY HOLDINGS INC	DE					X					07/13/01	
FIRST CENTURY BANKSHARES INC	WV					X		X			07/30/01	
FIRST HORIZON ASSET SECURITIES INC	DE					X		X			07/24/01	
FIRST PLACE FINANCIAL CORP /DE/	DE					X					07/17/01	
FIRST SECURITY BANCORP INC /KY/	KY					X					06/30/01	
FIRST UNION COMMERCIAL MORTGAGE SEC URITIES INC	NC					X		X			07/30/01	
FORD CREDIT FLOORPLAN CORP	DE							X			07/27/01	
FORD CREDIT FLOORPLAN CORP	DE							X			07/30/01	
FORD CREDIT FLOORPLAN LLC	DE							X			07/27/01	
FORD CREDIT FLOORPLAN LLC	DE							X			07/30/01	
FOUNTAIN PHARMACEUTICALS INC	DE		X			X					07/06/01	
FREEPORT MCMORAN COPPER & GOLD INC	DE					X					07/30/01	
FX ENERGY INC	NV					X					07/27/01	
GENERAL CHEMICAL GROUP INC	DE					X		X			07/13/01	
GENERAL MAGIC INC	DE					X		X			07/25/01	
GLOBAL INDUSTRIES LTD	LA		X								07/31/01	
GREENWICH CAPITAL ACCEPTANCE INC	DE					X		X			07/16/01	
GREENWICH CAPITAL ACCEPTANCE INC	DE					X		X			07/16/01	
HARRAHS ENTERTAINMENT INC	DE					X		X			07/31/01	
HARTCOURT COMPANIES INC	UT		X					X			05/16/01	AMEND
HEALTHSTAR CORP /UT/	DE					X		X			07/26/01	
HEARX LTD	DE					X		X			07/30/01	
HELLER FINANCIAL INC	DE					X		X			07/30/01	
HENLEY HEALTHCARE INC	TX					X		X			07/20/01	
HERSHA HOSPITALITY TRUST	MD		X					X			07/23/01	
HIGHLAND HOLDINGS INTERNATIONAL INC		X	X			X		X			07/31/01	
HUMANA INC	DE					X		X			07/30/01	
HUMANA INC	DE					X		X			07/31/01	
IASIS HEALTHCARE CORP	DE					X		X	X		07/30/01	
ICOA INC	NV					X		X			07/26/01	
IMPAC MORTGAGE HOLDINGS INC	MD							X			07/31/01	
INGRAM MICRO INC	DE							X	X		07/31/01	
INSIGHTFUL CORP	DE					X		X			07/31/01	
INTERLIANT INC	DE					X		X			07/24/01	
INTERPOOL INC	DE		X					X			10/24/00	AMEND
INTERPOOL INC	DE							X			04/03/01	AMEND
INTERWORLD CORP	DE							X			07/24/01	
ISONICS CORP	CA					X					07/26/01	
ITLA CAPITAL CORP	CA								X		07/31/01	
IVAX CORP /DE	FL								X		07/27/01	
J JILL GROUP INC	DE					X		X			07/31/01	
JDN REALTY CORP	MD					X		X			07/30/01	
JNS MARKETING INC	CO		X			X	X	X			07/27/01	
JP MORGAN CHASE COMMERCIAL MORTGAGE SECURITIES CORP	DE					X		X			07/19/01	
KANSAS CITY SOUTHERN INDUSTRIES INC	DE					X		X			06/30/01	

NAME OF ISSUER	STATE CODE	8K ITEM NO.								DATE	COMMENT	
		1	2	3	4	5	6	7	8			9
KEY PRODUCTION CO INC	DE					X					07/31/01	
KEYSTONE PROPERTY TRUST	MD							X	X	X	07/31/01	
KINDER MORGAN ENERGY PARTNERS L P	DE	X									07/31/01	
KINDER MORGAN INC	KS	X									07/31/01	
KINDER MORGAN MANAGEMENT LLC	DE	X									07/31/01	
LANGER BIOMECHANICS GROUP INC	NY					X	X	X			07/17/01	
LEVI STRAUSS & CO	DE					X					07/31/01	
LEXON TECHNOLOGIES INC	DE	X	X	X	X	X					07/31/01	
LINCOLN NATIONAL CORP	IN				X	X		X			07/30/01	
LSI LOGIC CORP	DE				X	X					07/26/01	
LTV CORP	DE				X	X					07/31/01	
LUCENT TECHNOLOGIES INC	DE				X	X					04/02/01	
MAIL WELL INC	CO				X						07/31/01	
MAINSTREET BANKSHARES INC	VA				X						07/17/01	
MASS MEGAWATTS POWER INC					X						07/18/01	
MDI ENTERTAINMENT INC	DE				X	X					07/27/01	
MDU COMMUNICATIONS INTERNATIONAL IN C	DE	X				X					07/27/01	AMEND
MEDIX RESOURCES INC	CO				X						07/26/01	
MELLON BANK N A	MA				X						07/16/01	
MELLON BANK PREMIUM FINANCE LOAN MA STER TRUST	NY				X						07/16/01	
MELLON PREMIUM FINANCE LOAN OWNER T RUST	DE				X						07/16/01	
MERCURY GENERAL CORP	CA				X	X					07/30/01	
MERRILL LYNCH MORTGAGE INVESTORS IN C	DE				X	X					07/27/01	
MILACRON INC	DE				X	X	X				07/30/01	
MONTANA POWER CO /MT/	MT				X	X					08/01/01	
MORGAN STANLEY DEAN WITTER CAPITAL I INC	DE	X									07/01/01	
MORTGAGE PASS-THROUGH CERTIFICATES SERIES 2000-7	DE				X	X					07/25/01	
MRV COMMUNICATIONS INC	DE				X	X					07/23/01	
NATIONSBANK OF DELAWARE NA	DE				X	X					07/16/01	
NATIONSCREDIT GRANTOR TRUST 1996-1	DE				X	X					07/11/01	
NATIONSCREDIT GRANTOR TRUST 1997-1	DE				X	X					07/11/01	
NATIONSCREDIT GRANTOR TRUST 1997-2	DE				X	X					07/11/01	
NAVISTAR FINANCIAL RETAIL RECEIVABL ES CORPORATION	DE					X					06/30/01	
NAVISTAR FINANCIAL SECURITIES CORP	DE					X					06/30/01	
NEORX CORP	WA				X	X					07/31/01	
NETWORK COMMERCE INC	WA				X	X					07/10/01	AMEND
NETWORK COMMERCE INC	WA				X	X					07/10/01	
NEW CENTURY EQUITY HOLDINGS CORP	DE					X					07/16/01	AMEND
NEWCOR INC	DE	X									07/23/01	
NORTH BAY BANCORP/CA	CA				X	X					07/24/01	
NOVASTAR FINANCIAL INC	MD				X	X					07/26/01	
NRG ENERGY INC	DE				X	X					07/25/01	
NRG ENERGY INC	DE				X	X					07/26/01	
NUTEK INC	NV	X									07/30/01	
OAKHURST CO INC	DE	X				X					07/18/01	

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
ONYX ACCEPTANCE OWNER TRUST 2001-A	DE					X					06/30/01	
ONYX ACCEPTANCE OWNER TRUST 2001-B	DE					X					06/30/01	
ORBIT E COMMERCE INC	ID					X	X				07/18/01	
ORBIT FR INC	DE					X	X				07/25/01	
OTG SOFTWARE INC	DE						X				07/25/01	AMEND
OTG SOFTWARE INC	DE					X	X				07/26/01	
OUTSOURCE INTERNATIONAL INC	FL					X	X				07/30/01	
PACIFIC GAS & ELECTRIC CO	CA					X					07/30/01	
PACIFICNET COM INC	DE			X	X	X					07/25/01	
PARKVALE FINANCIAL CORP	PA					X	X				07/27/01	
PATHNET TELECOMMUNICATIONS INC	DE					X	X				07/19/01	
PEABODY ENERGY CORP	DE						X	X			07/27/01	
PENWEST PHARMACEUTICALS CO	WA					X	X				07/31/01	
PETROQUEST ENERGY INC	DE					X	X				07/30/01	
PG&E CORP	CA					X					07/30/01	
PHARMACEUTICAL FORMULATIONS INC	DE					X					07/30/01	
PIONEER NATURAL RESOURCES CO	DE						X	X			06/30/01	
PMC SIERRA INC	DE					X	X				07/31/01	
POCAHONTAS BANCORP INC	DE		X				X				05/15/01	AMEND
PPL CORP	PA					X	X				07/24/01	
PRECIS SMART CARD SYSTEMS INC	OK	X	X				X				06/08/01	AMEND
PREVIEW SYSTEMS INC	DE		X				X				07/17/01	AMEND
PRINCETON VIDEO IMAGE INC	NJ					X	X				07/23/01	
PRO DEX INC	CO		X				X				07/30/01	
PRODUCTIVITY TECHNOLOGIES CORP /	DE				X		X				07/24/01	
PROGRESS ENERGY INC	NC						X	X			07/30/01	
PUBLIC SERVICE CO OF NEW MEXICO	NM							X	X		07/30/01	
PULTE CORP	MI					X	X				07/31/01	
PURINA MILLS INC/	DE					Y	X				07/24/01	
RALCORP HOLDINGS INC /MO	MO					X	X				07/31/01	
REPUBLIC SERVICES INC	DE					X	X				07/30/01	
RESIDENTIAL ASSET MORT PROD GMACM M	DE					X	X				05/25/01	
ORT PT CER SER 2001-J2												
RESIDENTIAL FUNDING MORTGAGE SECURI	DE					X	X				07/25/01	
TIES I INC												
RESTAURANT TEAMS INTERNATIONAL INC	TX				X		X				04/30/01	
RETURN ASSURED INC	DE					X	X				07/30/01	
RUBY MINING CO	CO				X						07/26/01	
SAF T HAMMER CORP/NV	NV		X				X				07/30/01	AMEND
SALIX PHARMACEUTICALS LTD						X					07/30/01	
SALOMON BROTHERS COMMERCIAL MORTGAG	DE					X	X				07/31/01	
E TRUST 2001-C1												
SANGUI BIOTECH INTERNATIONAL INC	CO					X	X				07/26/01	
SAVE THE WORLD AIR INC	NV					X					07/27/01	
SECURITY ASSET CAPITAL CORP/NV	NV				X		X				07/26/01	AMEND
SECURITY BIOMETRICS INC	NV				X		X				07/20/01	
SEMOTUS SOLUTIONS INC	NV						X				05/30/01	AMEND
SEMPER RESOURCES CORP	NV				X		X				04/04/01	AMEND
SHAW GROUP INC	LA					X	X				07/09/01	
SOBIESKI BANCORP INC	DE					X	X				07/27/01	
SOHU COM INC	DE					X	X				07/25/01	
SOUND ADVICE INC	FL					X	X				06/01/01	

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		1	2	3	4	5	6	7	8	9		
SOUTHWEST GAS CORP	CA					X					07/26/01	
SOUTHWEST GAS CORP	CA									X	07/31/01	
SOUTHWESTERN ENERGY CO	AR									X	06/30/01	
SPECIALTY LABORATORIES						X		X			07/24/01	
SPECIALTY LABORATORIES						X					07/31/01	
SPIEGEL CREDIT CORP III	DE					X		X			06/26/01	
SPIEGEL MASTER TRUST	DE					X		X			06/26/01	
STILWELL FINANCIAL INC	DE							X		X	07/31/01	
STRUCTURED ASSET MORTGAGE INVESTMEN TS INC	DE					X		X			07/27/01	
STRUCTURED ASSET SEC CORP MORT PASS THR CERTS SER 2001 3A	DE					X		X			07/25/01	
STRUCTURED ASSET SECURITIES CORP	DE					X		X			07/30/01	
STRUCTURED ASSET SECURITIES CORP	DE					X		X			07/30/01	
STRUCTURED ASSET SECURITIES CORP MO RT PAS THR CERT SER 01 7A	DE					X		X			07/25/01	
STRUCTURED SECURITIES ASSET CORP MO RT PAS THR CERT SER 01 4A	DE					X		X			07/25/01	
SUN EXPRESS GROUP INC	FL	X	X			X	X	X	X		07/27/01	
SUN EXPRESS GROUP INC	FL	X	X			X	X	X	X		07/27/01	AMEND
SUPERIOR INDUSTRIES INTERNATIONAL I NC	DE					X			X		07/27/01	
SWISS ARMY BRANDS INC	DE					X					06/30/01	
TANISYS TECHNOLOGY INC	WY					X					07/26/01	
TEAM SPORTS ENTERTAINMENT INC	DE		X					X			05/15/01	AMEND
THAON COMMUNICATIONS INC	NV							X			05/22/01	AMEND
THREE FIVE SYSTEMS INC	DE									X	07/30/01	
TIMKEN CO	OH					X					07/30/01	
TOWER AUTOMOTIVE INC	DE									X	07/19/01	
TOYOTA AUTO FINANCE RECEIVABLES LLC	DE					X		X			07/16/01	
TOYOTA MOTOR CREDIT RECEIVABLES COR P	CA					X		X			07/16/01	
TOYOTA MOTOR CREDIT RECEIVABLES COR P	CA					X		X			07/16/01	
TRANSACTION SYSTEMS ARCHITECTS INC	DE							X		X	12/14/00	AMEND
TRANSOCEAN SEDCO FOREX INC	E9									X	07/31/01	
TRIAD HOSPITALS INC	DE					X		X			07/30/01	
TRICO MARINE SERVICES INC	DE					X		X			07/30/01	
TRICON GLOBAL RESTAURANTS INC	NC					X					06/16/01	
TRINITY INDUSTRIES INC	DE					X		X			08/01/01	
UAL CORP /DE/	DE									X	07/30/01	
UAL CORP /DE/	DE									X	07/31/01	
UNITED SHIPPING & TECHNOLOGY INC	UT					X		X			07/30/01	
UNITED TELEVISION INC	DE					X		X			07/30/01	
UNITED TRADING COM	NV					X					07/24/01	
US AIRWAYS GROUP INC	DE					X		X			07/30/01	
US AIRWAYS INC	DE					X		X			07/30/01	
US BANCORP \DE\	DE					X					07/31/01	
US DATA AUTHORITY INC	FL	X									05/23/01	
USX CORP	DE							X		X	07/31/01	
V TWIN HOLDINGS INC	DC					X	X				04/26/01	AMEND
VENTRO CORP	DE							X			07/16/01	

NAME OF ISSUER	STATE	8K ITEM NO.									DATE	COMMENT	
	CODE	1	2	3	4	5	6	7	8	9			
VERIZON COMMUNICATIONS INC	DE					X	X					07/31/01	
VERSACOM INTERNATIONAL INC	UT						X	X				03/09/01	
VIRATA CORP	DE					X	X					07/25/01	
VIROPHARMA INC	DE					X	X					07/31/01	
WACHOVIA CORP/ NC	NC					X	X					07/30/01	
WAM NET INC	MN					X	X					07/30/01	
WAMU MORTGAGE PASS THROUGH CERT SER IES 2001-S8	DE					X	X					07/26/01	
WAMU MORTGAGE PASS THROUGH CERT SER IES 2001-S8	DE					X	X					07/26/01	
WASHINGTON MUTUAL MOR SEC CORP MOR PASS THRU CERT SER 2001-8	DE					X	X					07/26/01	
WEST COAST BANCORP /NEW/OR/	OR				X		X					07/24/01	
WESTERN DIGITAL CORP	DE					X	X					07/25/01	
WILLIAMS COMPANIES INC	DE					X	X					07/30/01	
WILLIAMS ENERGY PARTNERS L P	DE					X	X					07/26/01	
WORLDWIDE WEB NETWORK CORP	DE					X						07/30/01	
WRC MEDIA INC	DE					X	X					07/31/01	
WYOMING OIL & MINERALS INC	WY		X									07/18/01	
XCARE NET INC	DE		X				X					06/07/01	AMEND
XTRA CORP /DE/ 37POINT9	DE					X	X					07/31/01	
	NV	X	X			X	X					07/15/01	