

SEC NEWS DIGEST

Issue 2001-33

February 16, 2001

ENFORCEMENT PROCEEDINGS

FORMER MERRILL LYNCH INVESTMENT BANKING ASSOCIATE SANCTIONED FOR ILLEGAL INSIDER TRADING

On February 15, the Commission instituted public administrative proceedings against David M. Bonrouhi (Bonrouhi), a former investment banking associate at Merrill Lynch, Pierce, Fenner & Smith, Incorporated (Merrill Lynch), under the Securities Exchange Act of 1934 (Exchange Act). In an Order Instituting Public Proceeding Pursuant to Sections 15(b) and 19(h) of the Securities Exchange Act of 1934, Making Findings, and Imposing Remedial Sanctions (Order), the Commission simultaneously accepted Bonrouhi's Offer of Settlement, barring Bonrouhi from association with any broker or dealer, with a right to reapply after five years.

Bonrouhi consented to the issuance of the Order, without admitting or denying the Commission's findings that he engaged in illegal insider trading based on material, nonpublic information obtained in the course of his employment with Merrill Lynch. Bonrouhi previously consented to entry of a Final Judgment of Permanent Injunction and Other Relief in federal court for the Southern District of New York, which (1) permanently enjoins him from further violations of Section 17(a) of the Securities Act of 1933, Section 10(b) of the Exchange Act and Rule 10b-5 thereunder; (2) orders him to pay \$4,160 in disgorgement plus \$800.52 in prejudgment interest, and (3) orders him to pay \$4,160 in civil monetary penalties. (Rel 34-43967; File No 3-10423)

SEC BRINGS SETTLED INSIDER TRADING ACTION AGAINST THREE INDIVIDUALS FOR TRADING IN THE SECURITIES OF US AIRWAYS GROUP, INC.

On February 14, the Commission filed civil fraud charges in the United States District Court for the District of Columbia against Joanne M. Moore, Ann W. McCausland and Michael J. McCausland, alleging insider trading in the securities of US Airways Group, Inc. prior to the May 24, 2000 announcement that UAL Corporation had agreed to purchase US Airways.

The Commission's complaint alleges that on May 23, 2000, Joanne Moore, then a paralegal at the law firm of Swidler Berlin Shereff Friedman, LLP, learned about the

upcoming merger in the course of her employment at the law firm. According to the complaint, Joanne Moore tipped her secretary, Ann McCausland, about the upcoming merger. The complaint further alleges that Ann McCausland then called her brother, Michael McCausland, and sent him e-mails from her office telling him about the upcoming merger and recommending that he purchase US Airways stock. According to the complaint, within minutes of being tipped by his sister, Michael McCausland purchased 1,500 shares of US Airways stock at \$26 per share. When the merger was announced on May 24, 2000, the price of US Airways stock increased approximately 86%, from \$26.31 to \$49 per share. Michael McCausland sold his US Airways stock the day of the announcement, making a profit of \$33,138.57.

The defendants consented, without admitting or denying the allegations in the complaint, to the entry of final judgments permanently enjoining them from violating Section 10(b) of the Exchange Act and Rule 10b-5 thereunder. In addition, Michael McCausland has agreed to pay \$33,138.57 in disgorgement, \$1,512 in prejudgment interest, and a civil penalty of \$33,138.57. Ann McCausland and Joanne Moore were not assessed civil penalties based on their financial condition. [SEC v. Joanne M. Moore, Ann W. McCausland and Michael J. McCausland, United States District Court for the District of Columbia, Civil Action No. 1:01CV00336, D.D.C.] (LR-16899)

SEC CHARGES FOUR INDIVIDUALS WITH RESELLING UNREGISTERED SYSTEMS OF EXCELLENCE SECURITIES; SETTLEMENTS INCREASE RECOVERY FOR VICTIMS TO OVER \$15 MILLION

The Commission filed a lawsuit on January 15, 2001, against four defendants to recover ill-gotten gains they realized when they resold unregistered Systems of Excellence, Inc. (SOE) securities into an artificially inflated market that was being manipulated by others. Three of the defendants were also charged with insider trading in connection with those same transactions. Named in the complaint are: Maria Iacovelli, SOE's corporate secretary and board member; Richard Morrissey, a bookkeeper who performed in-house accounting services for SOE in 1995 and 1996; Jerry Thornthwaite, a medical doctor and researcher who provided marketing services to SOE in 1996; and Marvin Kogod, an investor in SOE's so-called "private placement" who realized a \$283,372 windfall when he resold SOE shares in June 1996.

According to the complaint, each Defendant unlawfully resold Software of Excellence, Inc. a.k.a. Systems of Excellence, Inc. securities through unregistered, non-exempt transactions in violation of the strict-liability registration provisions contained in Sections 5(a) and 5(c) of the Securities Act of 1933 (Securities Act). Additionally, Defendants Iacovelli, Morrissey and Thornthwaite resold certain of their respective securities while in possession of material non-public information, violating the antifraud provisions contained in Section 10(b) of the Securities Exchange Act of 1934 (Exchange Act) and Exchange Act Rule 10b-5. Collectively, Defendants reaped over \$1.7 million in illegal trading profits.

Simultaneously with the filing of the complaint, Iacovelli, Thornthwaite and Kogod, without admitting or denying the SEC's allegations, consented to the entry of court orders

that: (i) permanently enjoin Iacovelli and Thornthwaite from violating Section 10(b) of the Exchange Act and Rule 10b-5 thereunder, and Sections 5(a) and (c) of the Securities Act; (ii) permanently enjoin Kogod from violating Sections 5(a) and (c) of the Securities Act; (iii) require Iacovelli to disgorge \$519,057 plus prejudgment interest of \$222,199, provided that all but \$45,000 shall be waived and no penalties assessed based on her inability to pay, (iv) require Thornthwaite to disgorge \$631,959 plus prejudgment interest of \$270,530, provided that all shall be waived but for the payment of \$83,500, the surrender of 100,000 shares of Periotec stock and 5,000 shares of Medium 4 Com Inc. stock, and the liquidation or assignment of a certain Merrill Lynch annuity to the Court-appointed Receiver, and no penalties assessed based on his inability to pay; and (v) require Kogod to disgorge \$283,372. [SEC v. Maria Iacovelli, Richard Morrissey, Jerry Thornthwaite, and Marvin Kogod, Civil Action No. 1:01CV00344, GK, D.D.C.] (LR-16901)

HOLDING COMPANY ACT RELEASES

UNITIL CORPORATION, ET AL.

A supplemental order has been issued authorizing a proposal by Unitil Corporation (Unitil), a registered holding company, and its subsidiary companies, Concord Electric Company, Exeter & Hampton Electric Company, Fitchburg Gas and Electric Light (Fitchburg), Unitil Power Corp., Unitil Realty Corp., Unitil Resources, Inc. and Unitil Services Corp. The order authorizes Unitil to increase its short-term borrowing to \$45 million and Fitchburg to increase its short-term borrowing to \$30 million. (Rel 35-27345)

ALLIANT ENERGY CORPORATION, ET AL.

A notice has been issued giving interested persons until March 12, 2001, to request a hearing on a proposal by Alliant Energy Corporation (Alliant), a registered holding company, and its wholly-owned gas and electric utility subsidiary companies, Interstate Power Company (IPC) and IES Utilities Inc. (IESU, and collectively, Applicants). Applicants seek authorization for IPC to merge into IESU (New IESU). In addition, Applicants seek authorization for New IESU to increase to \$250 million its borrowing authority for borrowings from the intrasystem utility money pool, and to \$300 million for its long-term debt. An order has been issued authorizing IESU to solicit proxies from its preferred shareholders to (1) obtain required approval of the merger, and (2) amend IESU's Amended and Restated Articles of Incorporation to authorize a new series of preferred stock. (Rel. 35-27346)

SELF-REGULATORY ORGANIZATIONS

ACCELERATED APPROVAL OF PROPOSED RULE CHANGE

The Commission granted accelerated approval to a proposed rule change (SR-NASD-01-11) filed by the National Association of Securities Dealers relating to EWN II fees for subscribers to Nasdaq NWII service who are not NASD Members. Publication of the notice is expected in the Federal Register is expected during the week of February 19 (Rel. 34-43957)

PROPOSED RULE CHANGE

The Depository Trust Company filed a proposed rule change (SR-DTC-00-18) to establish the procedures and fees whereby DTC participants may order copies of certain notices received by DTC from a menu on DTC's Internet website through DTC's Legal Notice System. Publication of the proposal is expected in the Federal Register during the week of February 19. (Rel. 34-43964)

DELISTINGS GRANTED

An order has been issued granting the application of the American Stock Exchange to strike from listing and registration the Common Stock, \$ 10 par value, of Phoenix Restaurant Group, Inc., effective at the opening of business on February 15, 2001. (Rel. 34-43965)

An order has been issued granting the application of the Philadelphia Stock Exchange to strike from listing and registration call and put option contracts issued by The Options Clearing Corporation with respect to certain underlying securities, effective at the opening of business on February 15, 2001 (Rel. 34-43966)

SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

Registration statements may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <publicinfo@sec>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

F-1 STELMAR SHIPPING LTD, STATUS CENTER 2A AREOS STREET,
VOULIAGMENI GR 16671 ATHENS GREECE, ATHENS GREECE, J3 00000 (011) 301-
9670
- 8,050,000 (\$112,700,000) FOREIGN COMMON STOCK. (FILE 333-13128 -
FEB. 05) (BR. 5 - NEW ISSUE)

F-2 CRYSTALLEX INTERNATIONAL CORP, 700 W PENDER ST, STE 902,
VANCOUVER BRITISH COLUMBIA, A1 (604) 683-0672 - 6,926,306
(\$6,268,306.93)
FOREIGN COMMON STOCK. (FILE 333-13134 - FEB. 06) (BR. 4)

F-6 VODATEL NETWORKS HOLDINGS LTD /ADR/, ONE WALL STREET, NEW YORK, NY
10286
(212) 495-1784 - 10,000,000 (\$500,000)
DEPOSITARY RECEIPTS FOR COMMON STOCK. (FILE 333-13138 - FEB. 06) (BR.
99
- NEW ISSUE)

F-10 QUEBECOR WORLD INC, 612 ST JACQUES ST, MONTREAL QUEBEC CANA, E6
(514) 954-0101 - 30,000,000 (\$731,400,000) WARRANTS, OPTIONS OR RIGHTS.
(FILE 333-13142 - FEB. 07) (BR. 5)

S-8 COMPUGEN LTD, 72 PINCHAS ROSEN ST, 011-972-3-765-8585,
TEL AVIV 69512 ISRAEL, L3 00000 - 5,765,127 (\$40,903,576.07)
FOREIGN COMMON STOCK. (FILE 333-13144 - FEB. 07) (BR. 1)

S-1 CANDESCENT TECHNOLOGIES CORP, 6320 SAN IGNACIO ROAD, SAN JOSE, CA
95119
(408) 229-6150 - \$150,000,000 COMMON STOCK. (FILE 333-55188 - FEB. 08)
(BR. 36)

S-1 INSTINET GROUP LLC, 875 THIRD AVENUE, NEW YORK, NY 10022 (212) 310-
9500
- \$450,000,000 COMMON STOCK. (FILE 333-55190 - FEB. 08) (BR. 7
- NEW ISSUE)

S-1 STAR TELECOMMUNICATIONS INC, 223 EAST DE LA GUERRA STREET, STE 202,
SANTA BARBARA, CA 93101 (805) 899-1962 - 33,089,336 (\$14,993,605.38)
COMMON STOCK. (FILE 333-55192 - FEB. 08) (BR. 7)

S-3 EMPIRE DISTRICT ELECTRIC CO, 602 JOPLIN ST, JOPLIN, MO 64801
(417) 625-5100 (FILE 333-55210 - FEB. 08) (BR. 2)

S-8 CHEQUEMATE INTERNATIONAL INC, 330 WASHINGTON BLVD, MARINA DEL REY,
CA
90292 (310) 306-6666 - 50,000 (\$25,000) COMMON STOCK. (FILE 333-55212
-
FEB. 08) (BR. 9)

S-2 VYREX CORP, 2159 AVENIDA DE LA PLAYA, LA JOLLA, CA 92037 (619) 454-
4462
- 1,949,167 (\$974,584) COMMON STOCK. (FILE 333-55214 - FEB. 08) (BR.
9)

S-8 VICINITY CORP, 1135 A SAN ANTONIO ROAD, 415-237-0300, PALO ALTO, CA
94303 - 90,513 (\$590,145) COMMON STOCK. (FILE 333-55216 - FEB. 08)
(BR. 6)

S-3 FIRST ECOM COM INC, 902 HENLEY BLDG, 5 QUEENS ROAD CENTRAL,
HONG KONG SAR, (011) 852-2801 - 10,303,370 (\$15,455,055) COMMON STOCK.
(FILE 333-55218 - FEB. 08) (BR. 8)

S-8 MEDAREX INC, 707 STATE ROAD 206, PRINCETON, NJ 08540 (908) 713-6001
-
300,000 (\$8,268,000) COMMON STOCK. (FILE 333-55222 - FEB. 08) (BR. 1)

S-8 MEDAREX INC, 707 STATE ROAD 206, PRINCETON, NJ 08540 (908) 713-6001
-
500,000 (\$13,780,000) COMMON STOCK. (FILE 333-55224 - FEB. 08) (BR. 1)

N-2 GEN NET LEASE INCOME FUND CORPORATE & GOVERNMENT SERIES,
ONE OAKLAND TOWNE SQUARE 14TH FLOOR, SOUTHFIELD, MI 48076 (800) 546-
2630 -
3,000 (\$15,000,000) COMMON STOCK. (FILE 333-55226 - FEB. 08) (BR. 17)

S-4 NEW UNITEDGLOBALCOM INC, 4643 SOUTH ULSTER STREET SUITE 1300,
DENVER, CO
80237 (303) 770-4001 - 82,977,872 (\$1,508,512,820) COMMON STOCK.
14,363,983 (\$590,307,859) PREFERRED STOCK. (FILE 333-55228 - FEB. 08)
(BR. 8 - NEW ISSUE)

S-8 SECURITY CAPITAL CORP/DE/, 1111 N LOOP WEST STE 400, HOUSTON, TX
77008
(713) 880-7100 - 966,346 (\$5,918,869.25) COMMON STOCK. (FILE 333-55234
-
FEB. 08) (BR. 1)

S-11 CRUSADE MANAGEMENT LTD, LEVEL 11, 55 MARKET STREET,
SIDNEY NSW 2217 AUSTRALIA, - 1,000,000 (\$1,000,000) FLOATING RATE
NOTES.
(FILE 333-55236 - FEB. 08) (BR. 38)

S-3 SCHOLASTIC CORP, 555 BROADWAY, NEW YORK, NY 10012 (212) 343-6100
(FILE
333-55238 - FEB. 08) (BR. 5)

S-1 PHL VARIABLE INSURANCE CO /CT/,
C/O PHOENIX HOME LIFE MUTUAL INSURANCE C, ONE AMERICAN ROW, HARTFORD,
CT
06116 (860) 403-5788 - \$200,000,000 VARIABLE ANNUITY ISSUES. (FILE
333-55240 - FEB. 08) (BR. 20)

S-8 GRIC COMMUNICATIONS INC, 1421 MCCARTHY BLVD, MILPITAS, CA 95035
(408) 955-1920 - 1,725,375 (\$3,744,063.75) COMMON STOCK. (FILE 333-
55244 -
FEB. 08) (BR. 8)

S-8 IMANAGE INC, 2121 SOUTH EL CAMINO REAL 4TH FLOOR, SAN MATEO, CA
94403
(650) 356-1166 - 3,081,548 (\$12,616,119.83) COMMON STOCK. (FILE 333-
55246
- FEB. 08) (BR. 3)

S-4 BOSTONFED BANCORP INC, 17 NEW ENGLAND EXECUTIVE OFFICE PARK,
 BURLINGTON,
 MA 01803 (617) 273-0300 - 22,000,000 (\$22,000,000) COMMON STOCK. (FILE
 333-55250 - FEB. 08) (BR. 7)

S-3 LOWES COMPANIES INC, 1605 CURTIS BRIDGE RD, WILKESBORO, NC 28697
 (336) 658-4000 (FILE 333-55252 - FEB. 08) (BR. 6)

S-4 GALLAGHER ARTHUR J & CO, TWO PIERCE PL, ITASCA, IL 60143 (708) 773-
 3800
 - 5,866,136 (\$158,502,995) COMMON STOCK. (FILE 333-55254 - FEB. 09)
 (BR. 1)

S-8 SIMPLE TECHNOLOGY INC, 3001 DAIMLER ST, SANTA ANA, CA 92705
 (800) 367-7330 - 1,878,632 (\$8,835,394.16) COMMON STOCK. (FILE 333-
 55256 -
 FEB. 09) (BR. 3)

RECENT 8K FILINGS

Form 8-K is used by companies to file current reports on the following events

- Item 1. Changes in Control of Registrant
- Item 2. Acquisition or Disposition of Assets.
- Item 3. Bankruptcy or Receivership.
- Item 4. Changes in Registrant's Certifying Accountant.
- Item 5. Other Materially Important Events.
- Item 6. Resignations of Registrant's Directors
- Item 7. Financial Statements and Exhibits.
- Item 8. Change in Fiscal Year.
- Item 9. Regulation FD Disclosure

The following companies have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. 8-K reports may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <publicinfo@sec.gov> In most cases, this information is also available on the Commission's website. <www.sec.gov>

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
AFLAC INC	GA				X	X					02/13/01	
AIRPLANES LTD	DE				X						02/13/01	
AIRPLANES US TRUST	DE				X						02/13/01	
ALLBERRY INC	DE					X					05/05/00	
ALLSTATE CORP	DE				X	X					02/08/01	
AMERICAN EAGLE OUTFITTERS INC	DE					X					12/01/00	AMEND
AMERICAN WAGERING INC	NV				X	X					01/16/01	
ANGELAUDIO COM INC /DE/	NV				X	X					02/12/01	AMEND
APPLEBEES INTERNATIONAL INC	DE				X						02/13/01	
ARGONAUT TECHNOLOGIES INC	DE					X	X				01/31/01	
ARROW ELECTRONICS INC	NY				X	X		X			02/13/01	

NAME OF ISSUER	STATE	8K ITEM NO.									DATE	COMMENT
	CODE	1	2	3	4	5	6	7	8	9		
ASSISTED LIVING CONCEPTS INC	NV					X	X				02/12/01	
ATI NETWORKS INC /CO/	CO	X									12/31/00	
ATLANTIC COAST AIRLINES HOLDINGS IN C	DE		X								02/02/01	
AVNET INC	NY					X	X				02/12/01	
BAKER HUGHES INC	DE		X				X				11/30/00	AMEND
BALDWIN PIANO & ORGAN CO /DE/	DE		X				X				01/26/01	
BANCFIRST CORP /OK/	OK								X		02/12/01	
BARTON BEERS LTD	MD						X				05/05/00	
BARTON BRANDS LTD /DE/	DE						X				05/05/00	
BARTON BRANDS OF CALIFORNIA INC	CT						X				05/05/00	
BARTON BRANDS OF GEORGIA INC	GA						X				05/05/00	
BARTON CANADA LTD	DE						X				05/05/00	
BARTON DISTILLERS IMPORT CORP	NY						X				05/05/00	
BARTON FINANCIAL CORP	DE						X				05/05/00	
BARTON INC	DE						X				05/05/00	
BATAVIA WINE CELLARS INC	NY						X				05/05/00	
BECTON DICKINSON & CO	NJ								X		02/01/01	AMEND
BETHLEHEM CORP	PA						X				12/14/00	AMEND
BIFS TECHNOLOGIES CORP			X								02/13/01	
BIOPOOL INTERNATIONAL INC	DE					X	X				02/08/01	
BOMBARDIER CAPITAL MORTGAGE SECURIT IZATION CORP	VT		X				X				01/30/01	
BROWN TOM INC /DE	DE						X				01/12/01	AMEND
BUSINESS MALL COM INC	NV	X									01/24/01	
BUSYBOX COM INC	DE			X							02/07/01	
CACI INTERNATIONAL INC /DE/	DE		X				X				04/17/00	AMEND
CANANDAIGUA EUROPE LTD	NY						X				05/05/00	
CANANDAIGUA LTD	NY						X				05/05/00	
CANANDAIGUA WINE CO INC /NY/	NY						X				05/05/00	
CANDANAIGUA B V	DE						X				05/05/00	
CAPSULE COMMUNICATIONS INC DE	DE					X	X				02/09/01	
CELOX LABORATORIES INC	MN					X	X				02/12/01	
CENTRAL VERMONT PUBLIC SERVICE CORP	VT					X					02/12/01	
CHESAPEAKE ENERGY CORP	OK					X	X				02/12/01	
CIDCO INC	DE								X		02/12/01	
CIPRICO INC	DE					X					02/07/01	
CITIZENS COMMUNICATIONS CO	DE						X				11/30/00	
CLOROX CO /DE/	DE					X	X				02/01/01	
CLOUD PEAK CORP	DE						X				05/05/00	
COLLEGELINK COM INCORP	DE								X		02/13/01	
COMMAND SYSTEMS INC						X	X				02/12/01	
COMMUNITY BANK SYSTEM INC	DE	X									02/12/01	
COM21 INC	DE					X					12/31/00	
CONAGRA FOODS INC /DE/	DE					X	X				02/13/01	
CONECTIV	DE					X					02/12/01	
CONSTELLATION BRANDS INC	DE						X				05/05/00	
CONVERGENT COMMUNICATIONS INC /CO	CO		X				X				01/26/01	
DATAMEG CORP	NY								X		06/30/00	
DEERE & CO	DE						X				02/13/01	
DEERE JOHN CAPITAL CORP	DE					X	X				02/13/01	
DIAMOND OFFSHORE DRILLING INC	DE						X		X		02/12/01	
DIGITAL COURIER TECHNOLOGIES INC	DE					X			X		02/13/01	
DIODES INC /DEL/	DE		X				X				12/01/00	AMEND

NAME OF ISSUER	STATE	8K ITEM NO.									DATE	COMMENT
	CODE	1	2	3	4	5	6	7	8	9		
DIVINE INTERVENTURES INC	DE				X	X					02/12/01	
DLJ MORTGAGE ACCEPTANCE CORP	DE					X					01/29/01	
DLJ MORTGAGE ACCEPTANCE CORP	DE					X					01/30/01	
DOVER CORP	DE				X	X					02/12/01	
EAGLE CAPITAL INTERNATIONAL LTD	NV				X						02/09/01	
EINSTEIN NOAH BAGEL CORP	DE				X	X					02/12/01	
EL PASO ENERGY PARTNERS LP	DE	X				X					02/12/01	
ELDERTRUST	MD	X				X					01/31/01	
ELDERTRUST	MD				X						02/12/01	
ELGIN TECHNOLOGIES INC	DE				X						01/29/01	
ENERGYNORTH NATURAL GAS INC	NH							X			02/13/01	
ENTREPORT CORP	FL	X				X					01/31/01	
EPRESENCE INC	MA					X	X				02/12/01	
FACTORY CARD OUTLET CORP	DE				X	X					02/02/01	
FAIRFIELD COMMUNITIES INC	DE				X	X					02/12/01	
FASTNET CORP	PA							X			01/31/01	
FBR ASSET INVESTMENT CORP/VA	VA				X	X					02/08/01	
FETCHOMATIC GLOBAL INTERNET INC	NV				X						02/01/01	
FIRST COMMUNITY BANCSHARES INC /NV/	NV				X						12/31/00	
FIRST FINANCIAL BANCORP /CA/	CA				X						12/31/00	
FIRSTMARK CORP /ME/	ME				X	X					02/09/01	
FLEET BANK NATIONAL ASSOCIATION /RI	RI				X	X					02/12/01	
/												
FLEET CREDIT CARD MASTER TRUST II	NY				X	X					02/12/01	
FORD CREDIT AUTO RECEIVABLES TWO L	DE				X	X					01/31/01	
P												
FRANCISCAN VINEYARDS INC	DE					X					05/05/00	
FRIEDMAN BILLINGS RAMSEY GROUP INC	VA							X			02/13/01	
FRITZ COMPANIES INC	DE				X						02/28/01	
GAIAM INC	CO	X									01/29/01	
GAMOGEN INC	NY				X						02/07/01	
GARDEN BOTANIKA INC	WA				X						02/09/01	
GATX CAPITAL CORP	DE	X									02/13/01	
GATX CORP	NY				X						02/13/01	
GLOBAL TECHNOLOGIES LTD	DE				X						02/05/01	
GLOBAL TELEPHONE COMMUNICATION INC	NV							X			02/06/01	AMEND
/NV/												
GRANT PRIDECO INC	DE					X	X				02/12/01	
GRANT PRIDECO INC	DE					X	X				02/13/01	
GREAT TRAIN STORE CO	DE		X	X	X						12/21/00	
GREAT TRAIN STORE CO	DE		X	X	X						12/21/00	
GREATBIO TECHNOLOGIES INC	NV	X									02/13/01	AMEND
GRIFFIN LAND & NURSERIES INC	DE	X				X					01/26/01	
HAROLDS STORES INC	OK	X				X					02/13/01	
HEALTHCARE REALTY TRUST INC	MD					X	X				02/12/01	AMEND
HEALTHRITE INC	DE				X						02/12/01	
HILTON HOTELS CORP	DE				X	X					02/09/01	
HOLLYWOOD PARTNERS COM INC	DE			X		X					02/05/01	
HOMETOWN AUTO RETAILERS INC	DE				X	X					02/09/01	
HRPT PROPERTIES TRUST	MD				X						02/12/01	
HUDSON CITY BANCORP INC	DE				X	X					02/09/01	
I OMAGIC CORP/CA	NV				X						02/12/01	
IGEN INTERNATIONAL INC /DE	DE				X	X					02/08/01	
ILEX ONCOLOGY INC	DE				X	X					02/13/01	

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
ILLUMINET HOLDINGS INC	DE							X		X	02/12/01	
INCYTE GENOMICS INC	DE	X									02/13/01	
INFOWAVE SOFTWARE INC					X	X					01/29/01	
INSIGHT COMMUNICATIONS CO INC	DE				X	X					02/01/01	
ISONICS CORP	CA				X	X					02/01/01	
ITC LEARNING CORP	MD	X				X					12/15/00	AMEND
IVOICE COM INC /DE/	DE			X		X					02/12/01	
J JILL GROUP INC	DE				X	X					02/06/01	
JDS UNIPHASE CORP /CA/	DE					X		X			02/13/01	
JUMPMUSIC COM INC	NV		X	X							01/31/01	
J2 GLOBAL COMMUNICATIONS INC	DE	X				X					11/29/00	AMEND
J2 GLOBAL COMMUNICATIONS INC	DE					X		X			02/12/01	
KRUG INTERNATIONAL CORP	OH	X				X					01/29/01	
LAKES GAMING INC	MN				X	X					12/29/00	
LEATHER FACTORY INC	DE					X					11/30/00	AMEND
LINC CAPITAL INC	DE	X									02/12/01	
LINCOLN INTERNATIONAL CORP	KY	X									01/31/01	
LOWES COMPANIES INC	NC					X		X			02/12/01	
M V LEWIS CORP	DE					X					05/05/00	
MAGNA ENTERTAINMENT CORP	DE				X	X					02/09/01	
MAIL COM INC	DE				X	X					02/08/01	
MARKETING SPECIALISTS CORP	DE				X	X					02/07/01	
MCN ENERGY GROUP INC	MI				X						02/09/01	
MELLON BANK PREMIUM FINANCE LOAN MA STER TRUST	NY				X	X					02/09/01	
MELTRONIX INC	CA			X							02/06/01	
MERCANTILE BANKSHARES CORP	MD				X						02/02/01	
MERITAGE HOSPITALITY GROUP INC /MI/	MI				X						02/09/01	
MERRILL LYNCH DEP INC PUBLIC STEERS TRUST CERT SER 1998 H-23	DE				X	X					02/01/01	
METEOR INDUSTRIES INC	CO				X	X					01/11/01	
METLIFE INC	DE				X	X					02/13/01	
MIDLAND COGENERATION VENTURE LIMITE D PARTNERSHIP	MI				X						01/29/01	
MITY ENTERPRISES INC	UT					X		X			02/13/01	
MOHAWK INDUSTRIES INC	DE				X	X		X			02/08/01	
MONARCH IMPORT CO	IL					X					05/05/00	
MONOGRAM PICTURES INC	NV				X	X					02/08/01	
MORGAN STANLEY AIRCRAFT FINANCE	DE				X						02/13/01	
MORGAN STANLEY DEAN WITTER CAPITAL I TRUST 2001-PPM	DE	X				X					01/30/01	
MT VEEDER CORP	DE					X					05/05/00	
MYRIENT INC	NV				X						02/12/01	
MYRIENT INC	NV				X						02/12/01	
NETGATEWAY INC	DE			X							02/08/01	
NETWORK CONNECTION INC	GA			X							02/05/01	
NEW YORK COMMUNITY BANCORP INC	DE				X	X					02/06/01	
NEWFIELD EXPLORATION CO /DE/	DE	X				X					01/23/01	AMEND
NORTH FORK BANCORPORATION INC	DE				X	X					02/13/01	
NORTHEAST INDIANA BANCORP INC	DE				X	X					02/09/01	
NTELOS INC	VA	X				X					02/13/01	
NUVOX INC /DE/	DE				X	X					02/13/01	
ONI SYSTEMS CORP					X						02/12/01	
PERITUS SOFTWARE SERVICES INC	MA				X	X					02/08/01	

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT	
		1	2	3	4	5	6	7	8	9			
PHILADELPHIA CONSOLIDATED HOLDING C ORP	PA					X	X					02/12/01	
PHOENIX RESTAURANT GROUP INC	GA	X										01/26/01	
PIXELWORKS INC	OR	X					X					01/30/01	
PIZZA INN INC /MO/	MO	X										02/13/01	
POLAROID CORP	DE					X	X					02/12/01	
POLYPHENOLICS INC	NY						X					05/05/00	
POTOMAC ELECTRIC POWER CO	VA					X	X					02/09/01	
POTOMAC ELECTRIC POWER CO	VA					X						02/12/01	
PREMIER FINANCIAL BANCORP INC	KY	X										02/12/01	
PROTECTION ONE INC	DE					X	X					02/13/01	
PROTECTIVE LIFE CORP	DE									X		02/13/01	
PUBLIC SERVICE CO OF NORTH CAROLINA INC	SC					X						12/30/00	
PULTE CORP	MI					X						02/12/01	
QUESTAR MARKET RESOURCES INC	UT					X						01/13/00	
READY CAPITAL CORP	NY	X				X	X					01/25/01	
REDWOOD MORTGAGE INVESTORS VI	CA	X										02/13/01	
REDWOOD MORTGAGE INVESTORS VII	CA	X										02/13/01	
REDWOOD MORTGAGE INVESTORS VIII	CA	X										02/13/01	
REGENCY CENTERS CORP	FL					X						02/12/01	
REGENCY CENTERS CORP	FL					X						02/12/01	AMEND
REGENCY EQUITIES CORP	DE				X		X					02/05/01	
REGENCY GROUP LTD INC	NV									X		02/09/01	
RENAISSANCE GOLF PRODUCTS INC	DE	X										01/23/01	
RESIDENTIAL ACCREDIT LOANS INC	DE					X						01/25/01	
RESIDENTIAL ACCREDIT LOANS INC	DE						X					01/30/01	
RESIDENTIAL ASSET MORTGAGE PRODUCTS INC	DE					X						01/25/01	
RESIDENTIAL ASSET SECURITIES CORP	DE					X						01/25/01	
RESIDENTIAL FUNDING MORTGAGE SECURI TIES I INC	DE					X						01/25/01	
RESIDENTIAL FUNDING MORTGAGE SECURI TIES I INC	DE						X					01/30/01	
RESIDENTIAL FUNDING MORTGAGE SECURI TIES I INC	DE						X					01/30/01	
RESIDENTIAL FUNDING MORTGAGE SECURI TIES I INC	DE						X					01/30/01	
RESIDENTIAL FUNDING MORTGAGE SECURI TIES II INC	DE					X						01/22/01	
RIVIERA HOLDINGS CORP	NV					X	X					02/13/01	
ROBERTS TRADING CORP	NY						X					05/05/00	
ROHN INDUSTRIES INC	DE						X	X				02/12/01	
RPC INC	DE					X	X					02/12/01	AMEND
RUSSELL-STANLEY HOLDINGS INC	DE					X	X					02/09/01	
RYANS FAMILY STEAKHOUSES INC	SC	X										01/03/01	
SCOTSMAN HOLDINGS INC	DE					X	X					01/26/01	
SENIOR CARE INDUSTRIES INC	NV				X	X						05/08/00	AMEND
SENIOR CARE INDUSTRIES INC	NV				X							01/30/01	AMEND
SENIOR HOUSING PROPERTIES TRUST	MD	X					X					01/01/01	
SEPTIMA ENTERPRISES INC	CO	X				X	X					01/22/01	
SEQUA CORP /DE/	DE					X	X					02/09/01	
SHELDAHL INC	MN				X		X					02/13/01	
SIMON TRANSPORTATION SERVICES INC	NV	X										09/30/01	AMEND

NAME OF ISSUER	STATE	8K ITEM NO.									DATE	COMMENT
	CODE	1	2	3	4	5	6	7	8	9		
SOUTHWEST GAS CORP	CA								X		02/08/01	
SOVEREIGN BANCORP INC	PA					X		X			02/09/01	
STEVENS POINT BEVERAGE CO	WI							X			05/05/00	
STRATESEC INC	DE							X			11/30/00	AMEND
STROUDS INC	DE					X		X			02/02/01	
SYMPHONIX DEVICES INC	DE				X						02/12/01	
TALK CITY INC	DE					X					01/01/01	
TEAM COMMUNICATIONS GROUP INC	CA					X		X			02/12/01	
TORVEC INC	NY	X									02/12/01	AMEND
TRSG CORP	DE				X						02/13/01	
TRUE NORTH COMMUNICATIONS INC	DE								X		02/13/01	
UAL CORP /DE/	DE								X		02/14/01	
UNITED STATES LIME & MINERALS INC	TX					X		X			02/12/01	
UNIVERSAL CORP /VA/	VA							X			02/12/01	
UNUMPROVIDENT CORP	DE					X					02/12/01	
US AIRWAYS GROUP INC	DE					X			X		02/13/01	
US AIRWAYS INC	DE					X			X		02/13/01	
VECTREN CORP	IN					X		X			02/12/01	
VEGA ATLANTIC CORP/CO	CO	X						X			02/12/01	
VERADO HOLDINGS INC	DE					X					07/03/00	
VERIDA INTERNET CORP	NV		X								02/13/01	
VIROPHARMA INC	DE					X		X			02/08/01	
VITAFORT INTERNATIONAL CORP	DE				X			X			02/05/01	
WAMEX HOLDINGS INC	NY				X	X		X			01/29/01	AMEND
WARWICK COMMUNITY BANCORP INC	DE							X	X		02/13/01	
WELLS FARGO ASSET SECURITIES CORP	DE					X		X			02/12/01	
WILLIAMS SCOTSMAN INC	MD					X		X			02/07/01	
WJ COMMUNICATIONS INC	CA					X					02/12/01	
WOMEN COM NETWORKS INC	DE					X		X			02/05/01	
WOODS EQUIPMENT CO	DE					X					02/07/01	
WORLDWIDE XCEED GROUP INC	DE					X					02/09/01	
XOMA LTD	AZ					X		X			01/29/01	AMEND
ZAPME CORP	DE	X									01/31/01	
3TEC ENERGY CORP	DE		X					X			01/30/01	
5 G WIRELESS COMMUNICATIONS INC	NV		X			X					02/05/01	