

SEC NEWS DIGEST

Issue 2001-21

January 31, 2001

RULES AND RELATED MATTERS

PROPOSED RULE 19b-6: STREAMLINING THE SRO RULE FILING PROCESS

On January 10, the Commission proposed new Rule 19b-6 to streamline the self-regulatory organization (SRO) rule filing process. Under the Securities Exchange Act of 1934 (Exchange Act), SROs must file proposed rule changes with the Commission for notice, public comment, and Commission approval, prior to implementation. The Commission has proposed amendments to this process to accommodate changes in the marketplace and the need of SROs for greater certainty and flexibility. The competitive landscape has shifted dramatically since the Commission first began reviewing the SROs' proposed rules over 25 years ago. Increasingly, SROs operating securities markets are facing competition from foreign markets and alternative trading systems, which as broker-dealers are not subject to rule filing requirements.

To streamline the rule filing process, the Commission has proposed a revised rule that would (i) require that the Commission issue a release relating to a proposed SRO rule change within 10 business days of filing with the Commission or within such longer period as to which the SRO consents in writing, (ii) allow all but the most significant trading system changes to go into effect immediately, (iii) eliminate the current 5-day pre-filing requirement and the 30-day delayed operational period before a non-controversial rule change can be filed or become operative, (iv) clarify that where a proposed rule change becomes effective upon filing, no inference can be made regarding the proposed rule's consistency with the public interest, including whether it has an impact on competition, and (v) permit SROs to file proposed rule changes electronically (Rel 34-43860, File No S7-03-01)

ENFORCEMENT PROCEEDINGS

ORDER INSTITUTING PUBLIC ADMINISTRATIVE PROCEEDINGS, MAKING FINDINGS, AND IMPOSING REMEDIAL SANCTIONS ENTERED AGAINST ROBERT YOUNG

The Commission announced that on January 30 it instituted and settled administrative proceedings against Robert N Young, of Buffalo, New York Young consented, without admitting or denying the Commission's findings, to an order barring him from association with any broker, dealer, or investment adviser. The action was based upon an injunction entered against Young in SEC v. Robert N Young, 00 Cv. 1039S(M) (December 18, 2000, W D N Y)

The Commission filed a complaint in SEC v Young on December 18, 2000, alleging that from February 1994 through November 1996, while registered as an investment adviser and a registered representative of certain broker-dealers, Young fraudulently obtained approximately \$15 million from eleven of his clients and transferred the money to Academy Circle, Incorporated (ACI), a company he controlled The complaint alleges that Young obtained these funds by (i) making material misrepresentations to his clients about the expected returns, safety, and risks of investing in ACI or (ii) effecting unauthorized sales of securities in his clients' accounts and directing the proceeds of those sales to ACI In addition, the complaint alleges that Young willfully failed to disclose in the Form ADV and its amendments that he filed with the Commission that he recommended to clients that they buy or sell securities or investment products in which he had some financial interest. The complaint charges Young with violations of Section 17(a) of the Securities Act of 1933 (Securities Act), Section 10(b) of the Securities Exchange Act of 1934 (Exchange Act), Rule 10b-5 thereunder, and Sections 206(1), 206(2), and 207 of the Investment Advisers Act. In addition to seeking a permanent injunction, the complaint seeks a civil penalty and disgorgement plus prejudgment interest from Young

On January 3, the United States District Court for the Western District of New York entered a Final Judgment By Consent Against Robert N. Young (Final Judgment) that, among other things, (i) permanently enjoins Young from violating Section 17(a) of the Securities Act, Section 10(b) of the Exchange Act, Rule 10b-5 thereunder, and Sections 206(1), 206(2), and 207 of the Advisers Act and (ii) waives disgorgement and does not impose a civil penalty against Young based on his demonstrated inability to pay Young consented to the entry of the Final Judgment without admitting or denying the allegations in the Commission's complaint (Rel 34-43903, IA-1922, File No. 3-10414)

SEC FILES ACTION AGAINST MASSACHUSETTS INVESTMENT ADVISER FOR DEFRAUDING CLIENTS OF \$800,000 IN FICTITIOUS IPO POOL AND ARBITRAGE SCHEMES

The Commission announced today the filing of a civil injunctive action in federal court against Barry J Goodman of North Andover, Massachusetts, and his company New England Capital Advisory Group, LLC, of Beverly, Massachusetts, based upon allegations that Goodman defrauded clients of at least \$800,000 by soliciting investments in a non-

existent "IPO pool," and an "arbitrage" opportunity. The Commission alleged that, beginning in February 2000, Goodman, a former broker who is currently operating as an unregistered investment adviser, obtained \$500,000 from investors by falsely claiming that he had a special relationship with five investment banks through which he would obtain stock in initial public offerings and sell the IPO shares immediately for a profit. Goodman then allegedly collected an additional \$300,000 in a second scheme, in which he falsely represented that investor fund would be used in an arbitrage program buying stock in Lycos, Inc. Goodman's scheme was an affinity fraud targeting individuals of Middle Eastern descent.

The Commission's complaint alleges that, after receiving investors' funds, Goodman repeatedly represented that the IPO pool had purchased shares in specific IPOs and sold them at an overall profit of over 40%. In fact, however, according to the Commission's complaint, neither Goodman nor New England Capital ever had accounts at any of the investment banks and never purchased IPO shares in any of the companies he identified. Instead, Goodman allegedly used most of the investor funds from both the IPO pool and Lycos schemes for his personal benefit, transferring them to his personal bank account, making payments to third parties, and losing the rest in risky daytrading. The complaint further alleges that in order to transfer investor funds to his own use, Goodman forged the signature of his former partner on written instructions to the bank where investor funds had been placed in a New England Capital account.

The Commission's complaint alleges that Goodman and New England Capital violated the antifraud provisions of the federal securities laws, including Sections 206(1) and 206(2) of the Investment Advisers Act of 1940, Section 17(a) of the Securities Act of 1933, Section 10(b) of the Securities Exchange Act of 1934 and Rule 10b-5 thereunder. The complaint seeks injunctive relief, disgorgement of Goodman's ill-gotten gains and civil monetary penalties [SEC v Barry J. Goodman, et al., USDC for the District of Massachusetts, No. 01 CV 10163-JLT] (LR-16875).

COMMISSION FILES CIVIL ACTION AGAINST WILLIAM CLARK TO ENFORCE ADMINISTRATIVE ORDER DIRECTING THAT CLARK DISGORGE \$37,215 AND PAY A CIVIL PENALTY OF \$24,500

The Commission today announced that it filed a civil action in the United States District Court for the District of Columbia against William H. Clark (Clark) to enforce a Commission order entered against Clark that directed him to pay disgorgement, plus prejudgment interest, in the total amount of \$37,215 and a civil penalty of \$24,500.

On September 27, 1999, the Commission issued an Order instituting administrative proceedings against Clark, among others, alleging that he violated the antifraud provisions of the federal securities laws. The Order alleged that Clark recommended and sold shares of The Tracker Corporation of America (Tracker) common stock to one of his customers without disclosing to that customer that he had been or would be compensated by a public relations firm that was promoting Tracker for inducing this client to buy the stock (In the Matter of Steven J. Erlsten, et al., Securities Act of 1933).

Release No. 7744, Securities Exchange Act of 1934 Release No. 41919, Administrative Proceeding File No. 3-1033, September 27, 1999).

On April 4, 2000, the Commission accepted Clark's executed Offer of Settlement in which he consented, without admitting or denying the Commission's findings, to the entry of an Order Making Findings and Imposing Remedial Sanctions (Settlement Order), and agreed to pay \$61,715, within 30 days of the entry of the Settlement Order, representing full disgorgement, plus prejudgment interest, in the total amount of \$37,215 and a civil penalty in the amount of \$24,500.

On April 7, 2000, the Commission issued its Settlement Order (In the Matter of William H. Clark, Securities Act of 1933 Release No. 7847, Securities Exchange Act of 1934 Release No. 42650, Administrative Proceeding File No. 3-1033, April 7, 2000). To date, Clark has not paid the \$61,715 as provided in the Order. Thus, the Commission filed this action to enforce its Order [SEC v William H. Clark, Case No. 1:01CV00095, D.D.C.] (LR-16876)

COMMISSION CHARGES FORMER DATEK BROKER AND FOUR OTHERS WITH FRAUDULENT MANIPULATION SCHEME AND UNREGISTERED SALES OF IMMUNOGEN SECURITIES

SEC Also Charges Two Men in Second Fraud Involving Purchases of Bank IPO Shares

The Commission today filed a complaint in the U S District Court for the Southern District of New York against former Datek Securities broker Yezhak Dov Knoll, age 45, and four others – Ari Parnes, 39, his company ADAR Equities, LLC, and two ADAR employees. Shauel Seitler, 28, and Jacob Herman, 39 – for participating in a multi-million dollar fraud involving the unregistered sale of ImmunoGen, Inc convertible debentures and common stock in 1995. Parnes, Herman and Knoll live in Brooklyn and Seitler lives in Monsey, New York. Neither Datek nor ImmunoGen, a Nasdaq-listed biopharmaceutical company based in Cambridge, Massachusetts, is charged with any wrongdoing.

The Commission's complaint makes the following allegations:

The ImmunoGen scheme had three components. First, Parnes, the principal architect of the scheme, and the other ADAR defendants arranged for ImmunoGen to issue \$3.6 million of convertible debentures under Regulation S, which provides a safe harbor from the registration requirements of the Securities Act of 1933 for offers and sales of securities deemed to occur outside the United States. The debentures were nominally bought by five Panamanian companies with a post office box in Switzerland, but they were held by an attorney of Parnes's in New York and never left the United States.

Second, the ADAR defendants illegally sold short approximately 1.7 million shares of ImmunoGen in the U S market and used a variety of other manipulative techniques, including pre-arranged trading, "marking the close," and "piling on," to drive

ImmunoGen's stock price down. These trades were executed by Datek broker Knoll, who also executed illegal short sales in several of his own family's accounts. The short sales were illegal because, among other reasons, the defendants did not deliver much of the ImmunoGen stock they sold short for many weeks, and Knoll's firm Datek failed to buy or borrow ImmunoGen stock to cover the short sales.

Third, the ADAR defendants converted the Reg S debentures into Immunogen common stock at a 25 percent discount to the market price – which they had artificially depressed – and then used the stock to cover their short positions. By doing so they illegally distributed the securities in the United States and produced millions of dollars of illegal profits.

The ADAR defendants are further charged with participating in fourteen private placements of the securities of eleven issuers other than ImmunoGen. In each of these transactions, the ADAR defendants illegally acted as securities brokers without registering with the SEC. They received placement fees totaling \$1,087,218.50.

For their roles in the ImmunoGen fraud and the other private placements, the Commission's lawsuit charges Parnes, ADAR, Seitler, and Herman with violating Sections 7(f), 10(b) and 15(a) of the Securities Exchange Act of 1934, Rule 10b-5, and Sections 5 and 17(a) of the Securities Act. Knoll is charged with violating Section 10(b) of the Exchange Act, Rule 10b-5, and Sections 5 and 17(a) of the Securities Act for his participation in the ImmunoGen fraud.

The Commission's complaint also alleges that Parnes engaged in a second fraudulent scheme involving the illegal purchase of subscription rights to the initial public offerings of two banks, BostonFed Bancorp and Roslyn Bancorp. Myron Raisman, a 66-year-old attorney in Smithtown, New York, is charged with participating with Parnes in the Roslyn Bancorp fraud. By law, Parnes and Raisman were not permitted to participate in the IPOs because they were not depositors of the banks. According to the Commission's complaint, they secretly funded several depositors' stock purchases, and caused the depositors to submit to the banks subscription documents that falsely and misleadingly represented that the depositors were the true purchasers of the stock and had not transferred their subscription rights. For this conduct both men are charged with violating Section 10(b) of the Exchange Act and Rule 10b-5.

The Commission is seeking from each defendant a permanent injunction, an accounting, disgorgement of ill-gotten gains plus prejudgment interest, and civil money penalties [SEC v Ari Parnes, ADAR Equities, LLC, Shauel Seitler, Jacob Herman, Yezhak Dov Knoll, and Myron Raisman, 01 Civ 0763, LLS, SDNY] (LR-16877).

SELF-REGULATORY ORGANIZATIONS

APPROVAL OF REQUEST FOR EXTENSION OF TEMPORARY REGISTRATION

The Commission extended the Government Securities Clearing Corporation's temporary registration as a clearing agency through July 31, 2001. Publication of the notice and order is expected in the Federal Register during the week of February 5 (Rel. 34-43900)

IMMEDIATE EFFECTIVENESS OF PROPOSED RULE CHANGE

A proposed rule change filed by the Philadelphia Stock Exchange relating to a six-month pilot automatic price improvement program for equities trading in decimals (SR-PHLX 01-12) has become effective under Section 19(b)(3)(A) of the Securities Exchange Act of 1934. Publication of the proposal is expected in the Federal Register during the week of February 5 (Rel 34-43901)

WITHDRAWAL GRANTED

An order has been issued granting the application of Cerdian Corporation to withdraw its Common Stock, \$ 50 par value, from listing and registration on the Pacific Exchange and on the Chicago Stock Exchange, effective at the opening of business on January 30, 2001 (Rel 34-43899)

WITHDRAWAL SOUGHT

A notice has been issued giving interested persons until February 21, 2001, to comment on the application of 3Dshopping.com to withdraw its Common Stock, no par value, and Warrants to Purchase Common Stock from listing and registration on the American Stock Exchange (Rel 34-43902)

SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security, Title and the number and/or face amount of the securities being offered, Name of the managing underwriter or depositor (if applicable), File number and date filed, Assigned Branch, and a designation if the statement is a New Issue

Registration statements may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N W , Washington, D C. 20549 or at the following e-mail box address <publicinfo@sec>. In most cases, this information is also available on the Commission's website <www.sec.gov>

S-8 WILLAMETTE INDUSTRIES INC, 1300 SE FIFTH AVE SUITE 3800, P O BOX
22187,
PORTLAND, OR 97201 (503) 227-5581 - 2,000,000 (\$94,437,500) COMMON
STOCK.
(FILE 333-54156 - JAN. 23) (BR. 4)

S-3 HOME PROPERTIES OF NEW YORK INC, 850 CLINTON SQ, ROCHESTER, NY 14604
(716) 546-4900 - 2,550,000 (\$71,718,750) COMMON STOCK. (FILE 333-54160
-
JAN. 23) (BR. 8)

S-8 AVOCENT CORP, 4991 CORPORATE DRIVE, HUNTSVILLE, AL 35805 (256) 430-
4000
- 8,624,612 (\$245,204,895.60) COMMON STOCK. (FILE 333-54162 - JAN. 23)
(BR. 3)

S-8 VASCULAR SOLUTIONS INC, 2495 XENIUM LANE NORTH, MINNEAPOLIS, MN
55441
(612) 553-2970 - 4,000,000 (\$28,161,245) COMMON STOCK. (FILE 333-54164
-
JAN. 23) (BR. 5)

S-8 DYNAMIC MATERIALS CORP, 551 ASPEN RIDGE DR, LAFAYETTE, CO 80026
(303) 665-5700 - 125,000 (\$148,750) COMMON STOCK. (FILE 333-54166 -
JAN. 23) (BR. 6)

S-3 SVI HOLDINGS INC, 12707 HIGH BLUFF DRIVE, STE 335, SAN DIEGO, CA
92130
(858) 481-4405 - 4,431,757 (\$9,262,372) COMMON STOCK. (FILE 333-54168
-
JAN. 23) (BR. 6)

S-8 SENSE HOLDINGS INC, 7300 W MCNAB ROAD, SUNRISETAMARAC, FL 33321
(954) 747-1422 - 300,000 (\$204,000) COMMON STOCK. (FILE 333-54170 -
JAN. 23) (BR. 9)

S-8 STEWART & STEVENSON SERVICES INC, 2707 N LOOP W, HOUSTON, TX 77008
(713) 868-7700 - 138,750 (\$3,248,137.50) COMMON STOCK. (FILE 333-54172
-
JAN. 23) (BR. 5)

F-3 36ONETWORKS INC, 1510 1066 WEST HASTINGS ST, VANCOUVER,
BRITISH COLUMBIA, A1 (604) 681-1991 - 3,000,000,000 (\$3,000,000,000).
FOREIGN GOVERNMENT AND AGENCY DEBT. (FILE 333-54174 - JAN. 23) (BR. 6)

S-3 CABLETRON SYSTEMS INC, 35 INDUSTRIAL WAY, ROCHESTER, NH 03886
(603) 332-9400 - 40,000 (\$746,252) COMMON STOCK. (FILE 333-54176 -
JAN. 23) (BR. 3)

S-8 AAR CORP, 1100 N WOOD DALE RD, WOOD DALE, IL 60191 (630) 227-2000 -
767,937 (\$10,607,130) COMMON STOCK. (FILE 333-54178 - JAN. 23) (BR. 5)

SB-2 FOREFRONT INC, 540 N TAMiami TRAIL, SARASOTA, FL 34236 (941) 954-
1144 -
49,160,714 (\$12,290,178) COMMON STOCK. (FILE 333-54180 - JAN. 23) (BR.
9)

S-8 SSE TELECOM INC, 47823 WESTINGHOUSE DRIVE, SUITE 1, FREMONT, CA
94539
(51) 0; -657- - 550,000 (\$756,250) COMMON STOCK. (FILE 333-54182 -
JAN. 23; (BR. 7)

S-3 AAMES CAPITAL CORP, 350 SOUTH GRAND AVE 52ND FLOOR, C/O GARY K
JUDIS,
LOS ANGELES, CA 90071 (213) 210-5000 - 1,000,000 (\$1,000,000)
EQUIPMENT TRUST CERTIFICATES. (FILE 333-54184 - JAN. 23) (BR. 8)

S-8 BENCHMARK ELECTRONICS INC, 3000 TECHNOLOGY DRIVE, ANGLETON, TX 77515
(979) 849-6550 - 2,000,000 (\$59,336,489) COMMON STOCK. (FILE 333-54186
-
JAN. 23) (BR. 5)

F-1 DURANGO CORP, POSTASIO NO 150, CD INDUSTRIAL DURANGO DURANGO
MEXICO,
DURANGO, O5 34220 - 150,000,000 (\$150,000,000) STRAIGHT BONDS. (FILE
333-13082 - JAN. 19) (BR. 4 - NEW ISSUE)

S-8 BIOZHEM COSMECEUTICALS INC, 32238 PASEO ADELANTO, SUITE A,
SAN JUAN CAPISTRANO, CA 92675 (714) 488-2183 - 76,870 (\$38,435)
COMMON STOCK. (FILE 333-54194 - JAN. 24) (BR. 2)

S-8 ROSE GROUP OF NEVADA, 1748 INDEPENDENCE BLVD, BUILDING A, SARASOTA,
FL
34234 (941) 371-1622 - 580,000 (\$197,200) COMMON STOCK. (FILE 333-
54196 -
JAN. 24) (BR. 9)

S-8 METROMEDIA FIBER NETWORK INC, C/O METROMEDIA FIBER NETWORK SER INC,
1 NORTH LEXINGTON AVE, WHITE PLAINS, NY 10601 (914) 421-6700 -
20,000,000
(\$350,000,000) COMMON STOCK. (FILE 333-54198 - JAN. 24) (BR. 7)

S-8 EMISPHEPE TECHNOLOGIES INC, 765 OLD SAW MILL RIVER ROAD, TARRYTOWN,
NY
10591 (914) 347-2220 - 864,500 (\$21,854,560) COMMON STOCK. (FILE
333-54200 - JAN. 24) (BR. 1)

S-8 LYNCH INTERACTIVE CORP, 401 THEODORE FREMD AVENUE, RYE, NY 10580
(914) 921-8821 - 50,000 (\$1,775,000) COMMON STOCK. (FILE 333-54206 -
JAN. 24) (BR. 9)

S-1 STEMCELLS INC, 525 DEL REY AVE, STE C, SUNNYVALE, CA 94085
(408) 731-8670 - 65,000 (\$212,264) COMMON STOCK. (FILE 333-54208 -
JAN. 24) (BR. 1)

S-8 NETZERO INC, 2555 TOWNSLATE RD, WESTLAKE VILLAGE, CA 91361
(805) 418-2000 - 818,213 (\$2,560,379.28) COMMON STOCK. (FILE 333-54210
-
JAN. 24 .BR. 3.

S-8 AIR PRODUCTS & CHEMICALS INC /DE/, 7201 HAMILTON BLVD, ALLENTOWN, PA
18195 (610) 481-4911 - 3,879,452 (\$138,961,970.64) COMMON STOCK. (FILE
333-54224 - JAN. 24) (BR. 2)

S-8 FRANKLIN TELECOMMUNICATIONS CORP, 733 LAKEFIELD RD, WESTLAKE VILLAGE, CA
91361 (805) 373-8688 - 200,000 (\$87,500) COMMON STOCK. (FILE 333-54228
-
JAN. 24) (BR. 3)

S-8 LTX CORP, LTX PARK AT UNIVERSITY AVE, WESTWOOD, MA 02090 (781) 461-1000
- 2,325,000 (\$37,781,250) COMMON STOCK. (FILE 333-54230 - JAN. 24)
(BR. 5)

S-3 SAUL CENTERS INC, 8401 CONNECTICUT AVE, CHEVY CHASE, MD 20815
(301) 986-6207 - 1,000,000 (\$17,968,750) COMMON STOCK. (FILE 333-54232
-
JAN. 24) (BR. 8)

S-8 BOEING CO, P O BOX 3707 MS 1F 31, SEATTLE, WA 98124 (206) 655-2121 -
26,400 (\$1,499,784) COMMON STOCK. (FILE 333-54234 - JAN. 24) (BR. 5)

N-2 NUVEEN VIRGINIA DIVIDEND ADVANTAGE MUNICIPAL FUND, JOHN NUVEEN & CO
INC,
333 WEST WACKER DRIVE, CHICAGO, IL 60606 (312) 917-7794 - 871,666
(\$13,074,990) COMMON STOCK. (FILE 333-54242 - JAN. 24) (BR. 18)

S-8 MAXWELL SHOE CO INC, 101 SPRAGUE ST, P O BOX 37, READVILLE, MA 02137
(617) 364-5090 - 600,000 (\$6,993,750) COMMON STOCK. (FILE 333-54244 -
JAN. 24) (BR. 2)

S-8 NEOTHERAPEUTICS INC, 157 TECHNOLOGY DR, STE J-821, IRVINE, CA 92618
(949) 788-6700 - 300,000 (\$918,750) COMMON STOCK. (FILE 333-54246 -
JAN. 24) (BR. 1)

S-8 CISCO SYSTEMS INC, 170 W TASMAN DR, SAN JOSE, CA 95134 (408) 526-4000 -
1,392,884 (\$30,803,816.57) COMMON STOCK. (FILE 333-54248 - JAN. 24)
(BR. 3)

S-8 INTERWOVEN INC, 1195 W FREMONT AVE, STE 2000, SUNNYVALE, CA 94087
(408) 774-2000 - 9,021,711 (\$251,480,195) COMMON STOCK. (FILE 333-
54250 -
JAN. 24) (BR. 3)

S-8 PHOTON DYNAMICS INC, 6325 SAN IGNACIO AVENUE, SAN JOSE, CA 95119
(408) 226-9900 - 1,169,907 (\$23,307,466) COMMON STOCK. (FILE 333-54254
-
JAN. 24) (BR. 5)

S-3 RELIANT ENERGY RESOURCES CORP, 1111 LOUISIANA ST, HOUSTON, TX 77002
(713) 207-3000 - 600,000,000 (\$600,000,000) STRAIGHT BONDS. (FILE
333-54256 - JAN. 24) (BR. 2)

S-8 O2WIRELESS SOLUTIONS INC, 440 INTERSTATE PARKWAY NORTH, ATLANTA, GA
30339 (770) 763-5620 - 1,837,205 (\$18,316,934) COMMON STOCK. (FILE
333-54260 - JAN. 25) (BR. 5)

S-4 KANSAS CITY SOUTHERN INDUSTRIES INC, 114 W 11TH ST, KANSAS CITY, MO
 64105 (816) 983-1303 - 200,000,000 (\$200,000,000) STRAIGHT BONDS.
 (FILE
 333-54262 - JAN. 25) (BR. 5)

RECENT 8K FILINGS

Form 8-K is used by companies to file current reports on the following events:

- Item 1 Changes in Control of Registrant
- Item 2 Acquisition or Disposition of Assets
- Item 3 Bankruptcy or Receivership
- Item 4 Changes in Registrant's Certifying Accountant
- Item 5 Other Materially Important Events.
- Item 6 Resignations of Registrant's Directors
- Item 7 Financial Statements and Exhibits
- Item 8 Change in Fiscal Year
- Item 9 Regulation FD Disclosure

The following companies have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. 8-K reports may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D C 20549 or at the following e-mail box address <publicinfo@sec.gov>. In most cases, this information is also available on the Commission's website <www.sec.gov>.

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT	
		1	2	3	4	5	6	7	8	9			
ACME METALS INC /DE/	DE					X						01/29/01	
ACTEL CORP	CA		X									11/15/00	AMEND
ADC TELECOMMUNICATIONS INC	MN					X		X				01/29/01	
ADVANCED TECHNOLOGIES GROUP LTD	NV				X			X				01/17/01	AMEND
ADVANTAGE MARKETING SYSTEMS INC/OK	OK							X				01/23/01	
AES CORPORATION	DE					X						01/29/01	
ALBERTSONS INC /DE/	DE					X						01/24/01	
ALEXION PHARMACEUTICALS INC	DE					X		X				01/26/01	
ALLIED PRODUCTS CORP /DE/	DE		X			X		X				01/24/01	
AMB PROPERTY CORP	MD					X		X				01/22/01	
AMERICAN ITALIAN PASTA CO	DE					X				X		01/24/01	
AMERICAN SPORTS HISTORY INC	NV					X		X				01/24/01	
AMERICAN TOWER CORP /MA/	DE					X		X				01/29/01	
AMERICAS POWER PARTNERS INC	CO					X						01/29/01	
AMSOUTH AUTO TRUST 2000-1	NY					X		X				01/16/01	
ANTIGENICS INC /DE/	DE							X				11/16/00	AMEND
APPLEBEES INTERNATIONAL INC	DE					X		X				01/17/01	
APW LTD						X		X				01/23/01	
ARTESYN TECHNOLOGIES INC	FL					X		X				01/23/01	
ATLANTIC TECHNOLOGY VENTURES INC	DE					X						01/25/01	
AUTHORISZOR INC	DE					X		X				01/30/01	
AVANT IMMUNOTHERAPEUTICS INC	DE							X				12/01/00	AMEND
AVENUE ENTERTAINMENT GROUP INC /DE/			X					X				11/15/00	
B&G FOODS INC	DE					X		X				01/17/00	

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT	
		1	2	3	4	5	6	7	8	9			
BA MORTGAGE SECURITIES INC MORT PS THR CERT SER 1998-4	DE					X		X				01/25/01	
BA MORTGAGE SECURITIES INC PASS THR CERT SER 1998-5	DE					X		X				01/25/01	
BAMS MORTGAGE PASS THROUGH CERTIFIC ATES SERIES 1999-12	DE					X		X				01/25/01	
BAMS MORTGAGE PASS THROUGH CERTIFIC ATES SERIES 1999-12	DE					X		X				01/25/01	
BAMS MORTGAGE PASS THROUGH CERTIFIC ATES SERIES 1999-6	NC					X		X				01/25/01	
BAMS MORTGAGE PASS THROUGH CERTIFIC ATES SERIES 1999-8	DE					X		X				01/25/01	
BAMS MORTGAGE PASS THROUGH CERTIFIC ATES SERIES 1999-9	DE					X		X				01/25/01	
BAMS MORTGAGE PASS THROUGH CERTIFIC ATES SERIES 1999-9	DE					X		X				01/25/01	
BAMS MORTGAGE PASS THROUGH CERTIFIC ATES SERIES 1999-9	DE					X		X				01/25/01	
BANK OF AMERICA MORT SEC INC MORT P AS THRU CERT SER 2000-A	DE					X		X				01/25/01	
BANK OF AMERICA MORT SEC INC MORT P ASS THR CERT SER 1999-1	DE					X		X				01/25/01	
BANK OF AMERICA MORT SEC INC MORT P ASS THR CERT SER 1999-1	DE					X		X				01/25/01	
BANK OF AMERICA MORT SEC INC MORT P ASS THR CERT SER 1999-2	DE					X		X				01/25/01	
BANK OF AMERICA MORT SEC INC MORT P ASS THR CERT SER 2000-6	DE					X		X				01/25/01	
BANK OF AMERICA MORT SEC INC MORT P ASS THR CERT SEP 2000-7	DE					X		X				01/25/01	
BANK OF AMERICA MORT SEC INC MORT P ASS THRU CERT SER 2000 8	DE					X		X				01/25/01	
BANK OF AMERICA MORTGAGE SEC MORT P ASS THROU CERT SER 2000 5	DE					X		X				01/25/01	
BANK OF AMERICA MORTGAGE SECURITIES INC	DE					X		X				01/25/01	
BANK OF AMERICA MORTGAGE SECURITIES INC	DE					X		X				01/25/01	
BANK OF AMERICA MORTGAGE SECURITIES INC	DE					X		X				01/25/01	
BANK OF AMERICA 1999-10	NC					X		X				01/25/01	
BANK OF AMERICA 1999-11						X		X				01/25/01	
BANK OF AMERICA 1999-11						X		X				01/25/01	
BANK OF AMERICA 1999-3	DE					X		X				01/25/01	
BANK OF AMERICA 1999-4	DE					X		X				01/25/01	
BANK OF AMERICA 1999-5	DE					X		X				01/25/01	
BANKRATE INC	FL					X		X				01/29/01	
BEAR STEARNS ASSET BACKED SECURITIE S INC	DE					X		X				01/30/01	
BEAR STEARNS COMMERCIAL MORTGAGE SE CURITIES INC	DE							X				01/16/01	
BEAR STEARNS COMMERCIAL MORTGAGE SE CURITIES INC	DE							X				01/16/01	
BELCO OIL & GAS CORP	NV							X	X			01/23/01	

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
BINDVIEW DEVELOPMENT CORP	TX				X	X					01/30/01	
BIOSYNTECH INC					X	X					01/26/01	
BLACK WARRIOR WIRELINE CORP	DE				X	X					01/29/01	
BLACKROCK INC /NY	DE				X	X					01/16/01	
BOMBARDIER CAPITAL MORTGAGE SECURIT IZATION CORP	VT							X			12/31/00	
BOMBARDIER CAPITAL MORTGAGE SECURIT IZATION CORP	VT							X			12/31/00	
BORLAND SOFTWARE CORP	DE				X	X					01/22/01	
BREAKAWAY SOLUTIONS INC	DE					X					01/19/01	AMEND
CARMINA TECHNOLOGIES INC	UT			X							01/18/01	
CHARTER ONE FINANCIAL INC	DE				X	X					01/23/01	
CHASE INDUSTRIES INC	DE					X		X			01/26/01	AMEND
CHITTENDEN CORP /VT/	VT				X						01/29/01	
CINEMASTAR LUXURY THEATERS INC	CA			X		X					01/03/01	AMEND
CITIBANK CREDIT CARD ISSUANCE TRUST	DE				X						01/30/01	
CITIBANK CREDIT CARD MASTER TRUST I	DE				X						01/30/01	
CITIBANK NEVADA NATIONAL ASSOCIATIO N	DE				X						01/30/01	
CITIBANK SOUTH DAKOTA N A	DE				X						01/30/01	
COLONIAL BANCGROUP INC	DE					X		X			01/29/01	
COMERICA INC /NEW/	DE				X	X					01/29/01	
COMMAND SYSTEMS INC					X	X					01/26/01	
COMMERCIAL MORTGAGE PASS THROUGH CE RTIFICATES SERIES 1999 C2	DE							X			01/18/01	
COMMERCIAL MORTGAGE PASS THROUGH CE RTIFICATES SERIES 1999 C2	DE							X			01/18/01	
COMMERCIAL MORTGAGE PASS THRU CERTI FICATES SERIES 2000 WF2	DE							X			01/16/01	
COMMONWEALTH EDISON CO	IL		X			X					01/12/01	
COMMUNITY CAPITAL CORP /SC/	SC				X	X					01/29/01	
COMMUNITY TRUST FINANCIAL SERVICES CORPORATION	GA				X	X					01/25/01	
CONSECO FINANCE CORP	DE					X					01/26/01	
CONSECO FINANCE SECURITIZATIONS COR P	DE					X					01/26/01	
CONSTELLATION 3D INC	FL				X	X					01/30/01	
COVEST BANCSHARES INC	DE				X	X					01/30/01	
CREDIT SUISS FIP BOS MR SC CRP CDMC MR BD PS TH CT SF 2000-5	DE				X	X					12/18/00	
CREDIT SUISS FIR BOS MR SC CRP CDMC MR BD PS TH CT SF 2000-5	DE				X	X					01/18/01	
CREDIT SUISSE FIRST BOSTON MOR PASS THR CERT SER 2000-9	DE				X	X					12/25/00	
CREDIT SUISSE FIRST BOSTON MOR PASS THR CERT SER 2000-9	DE				X	X					01/25/01	
CREDIT SUISSE FIRST BOSTON MORTGAGE SECURITIES CORP	DE				X	X					01/29/01	
CREDIT SUISSE FIRST BOSTON MORTGAGE SECURITIES CORP	DE				X	X					01/30/01	
CURIS INC	DE				X	X					01/30/01	
CWABS INC	DE				X	X					01/30/01	
CWABS INC ASSET-BACKED CERTIFICATES SERIES 2000-3	DE				X	X					09/25/00	

NAME OF ISSUER	STATE CODE	BK ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
CWABS INC ASSET-BACKED CERTIFICATES SERIES 2000-3	DE					X	X				10/25/00	
CWABS INC ASSET-BACKED CERTIFICATES SERIES 2000-3	DE					X	X				11/25/00	
CWABS INC ASSET-BACKED CERTIFICATES SERIES 2000-3	DE					X	X				12/25/00	
CWMBS INC	DE						X				01/26/01	
CWMBS INC RESIDENTIAL ASSET SC TR 2 000-A6	DE					X	X				01/25/01	
CWMBS INC RESIDENTIAL ASSET SECURIT IZATION TRUST 2000-A3	DE					X	X				01/25/01	
CWMBS INC RESIDENTIAL ASSET SECURIT IZATION TRUST 2000-A4	DE					X	X				01/25/01	
CWMBS INC RESIDENTIAL ASSET SECURIT IZATION TRUST 2000-A5	DE					X	X				01/25/01	
CWMBS RESIDENTIAL ASSET SECURITIZAT ION TRUST 2000-A1	DE					X	X				01/25/01	
CYBERGUARD CORP	FL					X					01/18/01	
DALLAS SEMICONDUCTOR CORP	DE					X	X				01/28/01	
DCI TELECOMMUNICATIONS INC	CO					X					01/30/01	
DEVON ENERGY CORP/DE	DE					X					01/29/01	
DIAL CORP /NEW/	DE	X									01/29/01	
DYNEX CAPITAL INC	VA	X									01/29/01	
EAGLE CAPITAL INTERNATIONAL LTD	NV					X					01/26/01	
EATON CORP	OH					X					01/30/01	
EB2B COMMERCE INC /NY/	NJ					X					01/25/01	
EB2B COMMERCE INC /NY/	NJ					X					01/25/01	
EGAMES INC	PA					X	X				01/25/01	
EGLOBE INC	DE			X							01/19/01	
EL PASO ENERGY CORP/DE	DE					X	X				01/29/01	
EL PASO ENERGY PARTNERS LP	DE					X	X				01/29/01	
ELECTRONIC BUSINESS SERVICES INC	DE					X					01/29/01	
EMERGENT GROUP INC/NY	NV					X	X				01/26/01	
EMERSON ELECTRIC CO	MO					X					01/09/01	
EMISPHERE TECHNOLOGIES INC	DE							X			01/30/01	
ENVIRO CLEAN OF AMERICA INC	NV							X			12/28/00	AMEND
EXODUS COMMUNICATIONS INC	DE					X	X				01/24/01	
F&M NATIONAL CORP	VA					X					01/25/01	
FIFTH THIRD BANCORP	OH					X	X				11/20/00	AMEND
FINOVA CAPITAL CORP	DE					X					01/22/01	
FINOVA GROUP INC	DE					X					01/22/01	
FIRST COMMUNITY CORP /SC/	SC					X	X				12/20/00	
FIRST ECOM COM INC	NV					X					01/29/01	
FIRST HORIZON MORTGAGE PASS THROUGH TRUST 2000 H	NY					X	X				10/25/00	
FIRST HORIZON MORTGAGE PASS THROUGH TRUST 2000 H	NY					X	X				11/25/00	
FIRST HORIZON MORTGAGE PASS THROUGH TRUST 2000 H	NY					X	X				12/25/00	
FIRST HORIZON MORTGAGE PASS THROUGH TRUST 2000 H	NY					X	X				01/25/01	
FIRST HORIZON MORTGAGE PASS THROUGH TRUST 2000 1	NY					X	X				05/25/00	

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT	
		1	2	3	4	5	6	7	8	9			
FIRST HORIZON MORTGAGE PASS THROUGH TRUST 2000 1	NY					X	X					06/25/00	
FIRST HORIZON MORTGAGE PASS THROUGH TRUST 2000 1	NY					X	X					07/25/00	
FIRST HORIZON MORTGAGE PASS THROUGH TRUST 2000 1	NY					X	X					08/25/00	
FIRST HORIZON MORTGAGE PASS THROUGH TRUST 2000 1	NY					X	X					09/25/00	
FIRST HORIZON MORTGAGE PASS THROUGH TRUST 2000 1	NY					X	X					10/25/00	
FIRST HORIZON MORTGAGE PASS THROUGH TRUST 2000 1	NY					X	X					11/25/00	
FIRST HORIZON MORTGAGE PASS THROUGH TRUST 2000 1	NY					X	X					12/25/00	
FIRST HORIZON MORTGAGE PASS THROUGH TRUST 2000 1	NY					X	X					01/25/01	
FIRST HORIZON MORTGAGE PASS THROUGH TRUST 2000 2	NY					X	X					06/25/00	
FIRST HORIZON MORTGAGE PASS THROUGH TRUST 2000 2	NY					X	X					07/25/00	
FIRST HORIZON MORTGAGE PASS THROUGH TRUST 2000 2	NY					X	X					08/25/00	
FIRST HORIZON MORTGAGE PASS THROUGH TRUST 2000 2	NY					X	X					09/25/00	
FIRST HORIZON MORTGAGE PASS THROUGH TRUST 2000 2	NY					X	X					10/25/00	
FIRST HORIZON MORTGAGE PASS THROUGH TRUST 2000 2	NY					X	X					11/25/00	
FIRST HORIZON MORTGAGE PASS THROUGH TRUST 2000 2	NY					X	X					12/25/00	
FIRST HORIZON MORTGAGE PASS THROUGH TRUST 2000 2	NY					X	X					01/25/01	
FIRST HORIZON MORTGAGE PASS THROUGH TRUST 2000 3	NY					X	X					08/25/00	
FIRST HORIZON MORTGAGE PASS THROUGH TRUST 2000 3	NY					X	X					09/25/00	
FIRST HORIZON MORTGAGE PASS THROUGH TRUST 2000 3	NY					X	X					10/25/00	
FIRST HORIZON MORTGAGE PASS THROUGH TRUST 2000 3	NY					X	X					11/25/00	
FIRST HORIZON MORTGAGE PASS THROUGH TRUST 2000 3	NY					X	X					12/25/00	
FIRST HORIZON MORTGAGE PASS THROUGH TRUST 2000 3	NY					X	X					01/25/01	
FIRST HORIZON MORTGAGE PASS THROUGH TRUST 2000 4	NY					X	X					10/25/00	
FIRST HORIZON MORTGAGE PASS THROUGH TRUST 2000 4	NY					X	X					11/25/00	
FIRST HORIZON MORTGAGE PASS THROUGH TRUST 2000 4	NY					X	X					12/25/00	
FIRST HORIZON MORTGAGE PASS THROUGH TRUST 2000 4	NY					X	X					01/25/01	
FIRST HORIZON MORTGAGE PASS THROUGH TRUST 2000 5	NY					X	X					12/25/00	

NAME OF ISSUER	STATE	BK ITEM NO.									DATE	COMMENT	
	CODE	1	2	3	4	5	6	7	8	9			
FIRST HORIZON MORTGAGE PASS THROUGH TRUST 2000 5	NY					X		X				01/25/01	
FIRST MONTAUK FINANCIAL CORP	NJ		X									01/18/01	
FIRST UNION COMMERCIAL MORTGAGE SECURITIES INC	NC							X				01/18/01	
FIRST UNION COMMERCIAL MORTGAGE SECURITIES INC	NC							X				01/18/01	
FIRST UNION COMMERCIAL MORTGAGE SECURITIES INC	NC							X				01/18/01	
FIRST UNION COMMERCIAL MORTGAGE SECURITIES INC	NC							X				01/18/01	
FIRST UNION COMMERCIAL MORTGAGE SECURITIES INC	NC							X				01/18/01	
FIRST UNION COMMERCIAL MORTGAGE SECURITIES INC	NC							X				01/18/01	
FIRST UNION COMMERCIAL MORTGAGE SECURITIES INC	NC							X				01/18/01	
FIRSTFED FINANCIAL CORP	DE		X									01/31/01	
FORD MOTOR CREDIT CO	DE					X		X				01/29/01	
FRIEDMAN BILLINGS RAMSEY GROUP INC	VA					X						01/30/01	
FURNITURE BRANDS INTERNATIONAL INC	DE		X									01/25/01	
GENESCO INC	TN								X			01/30/01	
GENESIS ENERGY LP	DE					X						01/30/01	
GLOBALMEDIA COM	NV					X		X				01/23/01	
GMAC COMMERCIAL MORTGAGE SECURITIES INC	DE	X										01/25/01	
GOODRICH B F CO	NY							X	X			01/29/01	
GRAND COURT LIFESTYLES INC	DE					X		X				01/18/01	
GRANDBANC INC	MD					X		X				01/30/01	
GREAT BASIN WATER CO						X	X	X				01/23/01	
GREENPOINT HELOC 2000 2						X		X				01/16/01	
GREENPOINT HM EQ LN AS BK NT SR 200 0-1 CL A2 VA RT A3 BK NT						X		X				01/16/01	
GREENPOINT HM EQ LN TR 1999-2 REV H M EQ ASS BK NOT SE 1999-2	DE					X		X				01/16/01	
GREIF BROTHERS CORP	DE					X						01/30/01	
GS MORTGAGE SEC CORE II COMM MORT P A THRO CERT SER 1997-GL1	DE	X										01/25/01	
GUEST SUPPLY INC	NJ					X		X				01/30/01	
HARRAHS ENTERTAINMENT INC	DE					X						01/29/01	
HEALTHAXIS INC	PA	X	X			X		X				01/26/01	
HELLER FUNDING CORP	DE					X		X				12/26/00	
HELLER FUNDING CORP	DE					X		X				01/16/01	
HELLER FUNDING CORP	DE					X		X				01/16/01	
HENLEY HEALTHCARE INC	TX					X						01/30/01	
HOLMES MICROSYSTEMS INC	TX	X	X			X	X	X				01/12/01	
HONDA AUTO RECEIVABLES 1999-1 OWNER TRUST	CA					X		X				12/31/00	
HONDA AUTO RECEIVABLES 2000-1 OWNER TRUST	CA					X		X				12/31/00	
HUNT CORP	PA					X		X				01/15/01	
HYDRIL CO	DE								X			01/29/01	
IASIS HEALTHCARE CORP	DE								X			01/29/01	
ICHOR CORP	DE							X				01/30/01	AMEND

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
ILLUMINET HOLDINGS INC	DE					X	X				01/30/01	
IMPAC SECURED ASSETS CORP	CA									X	01/29/01	
IMPAC SECURED ASSETS CORP MORTGAGE PASS THRU CERT SER 2000-4	CA					X	X				12/26/00	
	STATE											
INCARA PHARMACEUTICALS CORP	DE					X	X				01/22/01	
INDEPENDENCE COMMUNITY BANK CORP	DE					X	X				01/30/01	
INDEPENDENT BANKSHARES INC	TX									X	11/13/00	AMEND
INDYMAC ABS INC HOME EQUITY LN AST BK TR SE SPMD 2000-A	DE					X	X				01/25/01	
INDYMAC MBS INC RESIDENTIAL ASSET S ECURITIZATION TR 2000-A8						X	X				01/25/01	
INFORETECH WIRELESS TECHNOLOGY INC	NV		X					X			01/12/01	
INFORM WORLDWIDE HOLDINGS INC	CO	X			X	X					01/30/01	
INGRAM MICRO INC	DE					X					12/08/00	
INSIGHT COMMUNICATIONS CO INC	DE					X	X		X		01/26/01	
INTERCOUNTY BANCSHARES INC	OH	X									01/24/01	
INTERNATIONAL BUSINESS MACHINES CORP P	NY					X					01/29/01	
INTERNATIONAL ISOTOPES INC	TX					X					01/29/01	
INTERNATIONAL SPEEDWAY CORP	FL					X					01/30/01	
INTERNET MULTI-MEDIA CORP	NV				X	X	X				01/29/01	
INTERNET PICTURES CORP	DE	X							X		01/12/01	
INTUIT INC	DE					X	X				01/24/01	
ITC DELTACOM INC	DE					X	X				01/29/01	
J P MORGAN CHASE & CO	DE					X					01/30/01	
JONES LANG LASALLE INC	MD					X	X				01/29/01	
JP REALTY INC	MD					X					01/29/01	
KANKAKEE BANCORP INC	DE					X	X				01/29/01	
KEVCO INC	TX								X	X	01/26/01	
KINDER MORGAN ENERGY PARTNERS L P	DE									X	01/30/01	
KINDER MORGAN INC	KS									X	01/30/01	
LAM SW INC	NV					X	X				08/23/00	AMEND
LANDAMERICA FINANCIAL GROUP INC	VA					X	X				01/30/01	
LAS VEGAS GAMING INC	NV							X			12/28/00	
LEVI STRAUSS & CO	DE					X	X				01/11/01	
LIGHTPATH TECHNOLOGIES INC	DE									X	01/23/01	
LITTON INDUSTRIES INC	DE					X	X				01/23/01	
LMKI INC	NV				X						01/25/01	
LMKI INC	NV					X					01/30/01	
MACK CALI REALTY L P	DE					X	X				01/29/01	
MACKENZIE INVESTMENT MANAGEMENT INC	DE					X	X				01/29/01	
MACROMEDIA INC	DE	X						X			01/12/01	AMEND
MAGNETEK INC	DE							X			01/29/01	AMEND
MATTSON TECHNOLOGY INC	DE							X			01/01/01	AMEND
MAXIM INTEGRATED PRODUCTS INC	DE					X	X				01/28/01	
MBIA INC	CT					X	X				01/30/01	
MEDICAL RESOURCES MANAGEMENT INC	NV					X	X				01/23/01	
MEDICALOGIC/MEDSCAPE INC	OR							X	X		01/30/01	
MEDITECH PHARMACEUTICALS INC	NV				X		X				09/06/00	AMEND
MEDIX RESOURCES INC	CO					X					01/18/01	
MERCHANTS & MANUFACTURERS BANCORPORATION INC	WI	X						X			01/29/01	
MINIMED INC	DE					X					01/29/01	

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
MLMI RESECURITIZATION PASS THROUGH CERT SERIES 2000-1C	DE					X	X				11/30/00	
MLMI RESECURITIZATION PASS THROUGH CERT SERIES 2000-1D	DE					X	X				11/30/00	
MOBILE PET SYSTEMS INC	DE					X	X				12/07/00	
MONEY STORE INVESTMENT CORP	NJ					X	X				01/16/01	
MONTANA POWER CO /MT/	MT	X									01/30/01	
MORGAN STANLEY ABS CAPITAL I TRUST 2000-1	DE					X	X				11/27/00	
MORGAN STANLEY DEAN WITTER CAP I IN C DEP FOR SEP 2001-TOPI	DE					X	X				01/29/01	
MORGAN STANLEY DEAN WITTER CAPITAL I TRUST 2001-PPM	DE					X	X				01/30/01	
NATIONAL CITY CREDIT CARD MASTER TR UST								X			01/16/01	
NELSON THOMAS INC	TN		X								01/30/01	
NET PERCEPTIONS INC	DE					X	X				01/30/01	
NETOPIA INC	DE					X	X				01/23/01	
NEXTEL COMMUNICATIONS INC	DE					X	X				01/29/01	
NISSAN AUTO RECEIVABLES 2000-B OWNE R TRUST		X									12/15/00	
NISSAN AUTO RECEIVABLES 2000-C OWNE R TRUST		X									11/15/00	
NORD RESOURCES CORP	DE				X	X					01/05/01	
NORTEK INC	DE					X					01/29/01	
NORTEL NETWORKS CORP						X					01/29/01	
NORTEL NETWORKS LTD						X					01/29/01	
NORTHLAND CRANBERRIES INC /WI/	WI						X	X			01/30/01	
NOVOSTE CORP /FL/	FL					X	X				01/29/01	
ONEOK INC /NEW/	OK					X	X				01/29/01	
OSAGE SYSTEMS GROUP INC	DE	X					X				01/09/01	
OWENS CORNING	DE					X					01/30/01	
PATAPSCO BANCORP INC	MD						X				11/13/00	AMEND
PECO ENERGY CO	PA	X					X				01/12/01	
PENWEST PHARMACEUTICALS CO	WA					X	X				01/25/01	
PFIZER INC	DE					X	X				01/30/01	
PHILIPS INTERNATIONAL REALTY CORP	MD						X				11/30/00	AMEND
PNC MORTGAGE SECURITIES CORP MORT P ASS THRO CERT SER 2000-9	DE	X									01/30/01	
POLYCOM INC	DE					X	X				01/18/01	
POLYONE CORP						X	X				01/29/01	
PORTAL SOFTWARE INC	DE					X					01/29/01	
PORTOLA PACKAGING INC	DE	X					X				01/12/01	
PROCTER & GAMBLE CO	OH					X					01/30/01	
PROVIDENT FINANCIAL GROUP INC	OH					X					01/30/01	
PROVIDENT HOME EQUITY LOAN TRUST 20 00-A	OH					X	X				11/27/00	
PUBLIC SERVICE CO OF NEW MEXICO	NM							X			01/25/01	
PUGET ENERGY INC /WA	WA					X					01/29/01	
PUGET SOUND ENERGY INC	WA					X					01/29/01	
QUIXOTE CORP	DE	X					X				01/30/01	
RALCORP HOLDINGS INC /MO	MO					X	X				01/30/01	
REDDING BANCORP	CA					X					01/30/01	
RELIANCE GROUP HOLDINGS INC	DE							X			01/23/01	

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
REPUBLIC SERVICES INC	DE					X	X				01/29/01	
RESIDENTIAL ASSET MORTGAGE PRODUCTS INC	DE					X	X				01/24/01	
RESIDENTIAL ASSET SECURITIZATION TR UST 2000-A2	DE					X	X				01/25/01	
RESIDENTIAL ASSET SECURITIZATION TR UST 2000-A7	DE					X	X				01/25/01	
REVLON CONSUMER PRODUCTS CORP	DE						X				01/30/01	
REVLON INC /DE/	DE						X				01/30/01	
RIGHTCHOICE MANAGED CARE INC /DE	MO						X				11/30/00	AMEND
RUSSELL-STANLEY HOLDINGS INC	DE					X	X				01/26/01	
SAFECO CORP	WA					X					01/30/01	
SALOMON BROTHERS COMMERCIAL MORT TR 2000-C3	DE	X									01/30/01	
SAN HOLDINGS INC	CO					X	X				01/25/01	
SANFILIPPO JOHN B & SON INC	DE					X					01/25/01	
SAXON ASSET SECURITIES TR 2000-2 MO RT LN AS BK CER SE 2000-2	VA					X	X				11/27/00	
SEMPRA ENERGY	CA					X	X				01/25/01	
SEQUOIA MORT TRUST 4 MORT LOAN ASSE T BACK PASS-THROUGH CERT	DE					X	X				01/22/01	
SIX FLAGS INC	DE					X	X				01/29/01	
SIX FLAGS OPERATIONS INC	DE					X	X				01/29/01	
SKYGIVERS INC	NV	X	X				X				11/15/00	AMEND
SODEXHO MARRIOTT SERVICES INC	DE					X	X				01/29/01	
SPECTRASITE HOLDINGS INC	DE					X	X				01/16/01	
SPIEGEL MASTER TRUST	DE					X					01/16/01	
SPMD INC 2000 B	DE					X	X				01/25/01	
STAR TELECOMMUNICATIONS INC	DE					X	X				08/18/00	
STARBRIDGE GLOBAL INC						X	X				12/01/00	
STOCKGROUP COM HOLDINGS INC	CO					X					01/19/01	
STRUCTURED ASSET SECURITIES CORP	DE					X	X				01/26/01	
STRUCTURED ASSET SECURITIES CORP	DE					X	X				01/26/01	
SYMPHONIX DEVICES INC	DE					X	X				01/22/01	
SYNERGY TECHNOLOGIES CORP	CO					X					01/16/01	
TANGER FACTORY OUTLET CENTERS INC	NC	X									01/29/01	
TANGER PROPERTIES LTD PARTNERSHIP / NC/	NC	X									01/29/01	
TELETECH HOLDINGS INC	DE					X	X				12/20/00	
TIME WARNER TELECOM INC	DE					X	X				01/29/01	
TIME WARNER TELECOM INC	DE					X	X				01/29/01	
TITANIUM METALS CORP	DE	X									01/29/01	
U S INTERACTIVE INC/PA	DE		X			X	X				01/19/01	
U S WIRELESS DATA INC	CO	X					X				11/16/00	AMEND
U S WIRELESS DATA INC	CO	X					X				11/16/00	AMEND
UNION CARBIDE CORP /NEW/	NY					X	X				01/29/01	
UNION PLANTERS MORT FIN CORP PAS TH RU CERT SE 2000 1	DE					X	X				01/25/01	
UNITED PARCEL SERVICE INC	DE						X				01/29/01	
UNITED STATIONERS INC	DE					X	X				01/29/01	
UNITED STATIONERS SUPPLY CO	IL					X	X				01/29/01	
UNIVERSAL COMPRESSION HOLDINGS INC	DE					X	X				01/29/01	
UNIVERSAL COMPRESSION INC	TX					X	X				01/29/01	
UNIVERSAL CORP /VA/	VA						X				01/26/01	

NAME OF ISSUER	STATE	8K ITEM NO.									DATE	COMMENT
	CODE	1	2	3	4	5	6	7	8	9		
UNOCAL CORP	DE					X					01/25/01	
US AUTOMOTIVE MANUFACTURING INC	DE		X		X	X					01/22/01	
UTAH MEDICAL PRODUCTS INC	UT					X					01/23/01	
VALLEY NATIONAL BANCORP	NJ		X					X			01/19/01	
VDC COMMUNICATIONS INC	DE					X					01/26/01	
VECTREN CORP	IN					X	X				01/26/01	
VERITEC INC	NV					X					01/12/01	
VERSATA INC	DE		X					X			11/17/00	AMEND
VESTA INSURANCE GROUP INC	DE	X									01/30/01	
VIANET TECHNOLOGIES INC	NV		X					X			01/12/01	
VIRAGEN INC	DE				X	X					01/22/01	
VIZACOM INC	DE				X	X					01/01/15	
WABASH NATIONAL CORP /DE	DE				X	X					01/18/01	
WALT DISNEY CO/	DE					X					01/29/01	
WATSON PHARMACEUTICALS INC	NV					X	X				01/05/01	
WEATHERFORD INTERNATIONAL INC /NEW/	DE					X	X				01/30/01	
WEIGHT WATCHERS INTERNATIONAL INC	VA		X					X			01/16/01	
WELLS FARGO ASSET SECURITIES CORP	DE					X	X				01/29/01	
WESCO INTERNATIONAL INC	DE					X	X				01/30/01	
WOLVERINE WORLD WIDE INC /DE/	MI							X	X		01/30/01	
XOMA LTD	AZ					X	X				01/29/01	
XTRA CORP /DE/	DE					X					01/30/01	
3045 CORP	FL							X			01/16/01	