

# SEC NEWS DIGEST

Issue 96-146

August 2, 1996

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## ENFORCEMENT PROCEEDINGS

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### FORMER SCHNEIDER SECURITIES, INC. REGISTERED REPRESENTATIVE AND STAT-TECH INTERNATIONAL CONSULTANT SETTLES SECTION 5 CHARGES

The Commission announced that it has accepted an Offer to settle administrative proceedings against Rodger E. Thornton. The Commission made findings that Thornton, a resident of Colorado Springs, Colorado, was a registered representative for Schneider Securities, Inc. from about 1988 to about October 1991. Thornton was employed as a consultant by Stat-Tech International Corp. during about November and December 1991. From in or about October 1991 through November 1991, Thornton willfully violated Sections 5(a) and 5(c) of the Securities Act by selling common stock of Stat-Tech when no registration statement was filed or in effect as to those securities. The Commission has ordered that Thornton be suspended from associating with any broker, dealer, municipal securities dealer, investment company or investment adviser for a period of six months and cease and desist from committing or causing any violations or future violations of Sections 5(a) and 5(c) of the Securities Act. (Rels. 33-7318; 34-37511)

### COMMISSION GRANTED PERMANENT INJUNCTION, DISGORGEMENT, PREJUDGMENT INTEREST AND A TRIPLE PENALTY ON ITS PARTIAL SUMMARY JUDGMENT MOTION AGAINST MICHAEL LEVINE

The Commission announced that on July 30 a federal judge awarded a \$221,172.63 penalty, the maximum available under the Insider Trading and Securities Fraud Enforcement Act of 1988, against defendant Michael Levine (Levine). The court found that Levine, 48, purchased DeSoto, Inc. (DeSoto) stock in violation of the tender offer antifraud provisions of the federal securities laws. Levine purchased this stock on November 9, 1989, the day that Sutton Holding Corp. announced its intention to commence a tender offer for DeSoto. Besides the penalty, the court also granted the Commission's request that Levine be permanently enjoined from violating Section 14(e) of the Securities Exchange Act of 1934 and Rule 14e-3 and be ordered to disgorge his illegal trading profits of \$73,724.21 plus prejudgment interest. The court granted this relief following the Commission's motion for partial summary judgment.

The court, finding that genuine issues of material fact exist, declined to grant summary judgment on the Commission's claims that Levine also violated Section 14(e) of the Exchange Act and Rule 14e-3 when he purchased DeSoto stock in October 1989, and when he communicated material, nonpublic information to David P. Schwartz (Schwartz) on November 8 or 9, 1989, under circumstances in which it was reasonably foreseeable that Schwartz would communicate that information to Edward A. Cantor (Cantor), who then purchased DeSoto stock. These claims remain for trial, as does the Commission's claim that Levine violated Section 10(b) of the Exchange Act and Rule 10b-5 by purchasing DeSoto stock in October and November 1989.

Cantor and Schwartz previously settled the action against them. For further information see Litigation Release Nos. 14329, 14678, and 14769. [SEC v. Cantor, 94 Civ. 8079, JGK, SDNY] (LR-14997)

#### PRIME BANK INSTRUMENT FRAUD CONVICTIONS

The Commission and the U.S. Attorney's Office for the Northern District of Illinois announced that on July 26 Joseph Polichemi, Lyle Neal, Oscar Olson and Charles Padilla were convicted for their involvement in "Prime Bank Instrument" frauds, including the Konex Roll Program, the subject of an action previously brought by the Commission against Polichemi, Neal, Olson and others for violations of the antifraud provisions of the federal securities laws. Specifically, Polichemi and Neal were convicted of wire fraud, conspiracy and money laundering, Padilla was convicted of wire fraud and Olson was convicted of money laundering. In addition, Neal and Padilla were convicted of perjury in connection with the Commission's investigation concerning the Konex Roll Program. Larry Oesterman and Edward Russey earlier pleaded guilty to charges relating to this scheme. John DeVincens was acquitted of all charges against him.

The Commission's complaint alleged that from January 1993 to June 1994 Neal's company raised at least \$12.5 million through the offer and sale of investments in the Konex Roll Program. In connection with that offering, Polichemi, Neal, Olson and others made false and misleading statements concerning the use of investor proceeds and the risks and returns associated with the investment. [USA v. Joseph Polichemi, Lyle Edward "Pete" Neal, Oscar William Olson, John Joseph DeVincens, Charles R. Padilla, Larry P. Oesterman and Edward W. Russey, N.D. Ill., No. 94 CR 555; SEC v. John D. Lauer, Clifton Capital Investors, L.P., Konex Holding Corp., Lyle E. Neal, Copol Investments Limited, Joseph Polichemi and Oscar William Olson, Jr., N.D. Ill., No. 94 C 3770] (LR-14998)

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## SELF-REGULATORY ORGANIZATIONS

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### APPROVAL OF PROPOSED RULE CHANGE

The Commission approved a proposed rule change (SR-Phlx-96-21) filed by the Philadelphia Stock Exchange with respect to index options exercise advices. (Rel. 34-37497)

### PROPOSED RULE CHANGES

The Chicago Board Options Exchange filed with the Commission a proposed rule change (SR-CBOE-96-45) to amend CBOE Rule 17.6 to adopt certain procedures for hearings in disciplinary cases, and proposed to amend CBOE Rule 17.9 to codify CBOE's practice regarding the publication of disciplinary decisions. Publication of the notice is expected in the Federal Register during the week of August 5. (Rel. 34-37500)

The Chicago Board Options Exchange filed with the Commission Amendment No. 2 to a proposed rule change (SR-CBOE-96-01) which will increase the SPX position and exercise limits, increase the SPX firm facilitation, index hedge, and money managers exemptions, and extend the broad-based index hedge exemption to broker-dealers. Publication of the notice is expected in the Federal Register during the week of August 5. (Rel. 34-37504)

### ACCELERATED APPROVAL OF PROPOSED RULE CHANGE

The Commission is approving, on an accelerated basis, a proposed rule change (SR-CBOE-96-53) filed by the Chicago Board Options Exchange to extend for an additional 15-day period a pilot test of a system that monitors news announcements pertaining to underlying securities after the close of trading in the primary market for the underlying securities, and automatically suspends the Exchange's automatic execution system in respect of options on those securities that are the subject of such announcements. Publication of the notice is expected in the Federal Register during the week of August 5. (Rel. 34-37505)

The New York Stock Exchange filed and requested accelerated approval of a proposed rule change (SR-NYSE-96-18) that would extend its pilot for the entry of LOC orders until July 31, 1997. Publication of the proposal is expected in the Federal Register during the week of August 5. (Rel. 34-37507)

## WITHDRAWALS GRANTED

An order has been issued granting the application of Penn Engineering & Manufacturing Corp., to withdraw from listing and registration its Common Stock, \$.01 Par Value and its Class A Common Stock, \$.01 Par Value on the American Stock Exchange. (Rel. 34-37506)

An order has been issued granting the application of Chase Corporation, to withdraw from listing and registration on its Common Stock, \$.10 Par Value on the Boston Stock Exchange. (Rel. 34-37508)

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## SECURITIES ACT REGISTRATIONS

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The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

- SB-2 CHICAGO PIZZA & BREWERY INC, 26131 MARGUERITE PWY STE A, MISSION VIEJO, CA 92692 - 3,491,863 (\$20,951,178) COMMON STOCK. 11,739,584 (\$2,934,896) WARRANTS, OPTIONS OR RIGHTS. 11,889,584 (\$78,471,254) COMMON STOCK. 300,000 (\$45,050) WARRANTS, OPTIONS OR RIGHTS. (FILE 333-5182-LA - JUN. 28) (BR. 9 - NEW ISSUE)
- SB-2 PACIFIC COAST APPAREL CO INC, 11828 TEALE ST, ULVER CITY, CA 90230 (310) 636-8432 - 2,875,000 (\$15,093,750) COMMON STOCK. 125,000 (\$12.50) WARRANTS, OPTIONS OR RIGHTS. 250,000 (\$1,425,000) COMMON STOCK. (FILE 333-5292-LA - JUL. 12) (BR. 9)
- SB-2 OSMOTICS CORP, 1125 17TH ST, STE 2310, DENVER, CO 80202 - 2,400,000 (\$19,467,500) COMMON STOCK. 100,000 (\$12,000) WARRANTS, OPTIONS OR RIGHTS. 100,000 (\$975,000) COMMON STOCK. (FILE 333-5306-D - JUL. 19) (BR. 9 - NEW ISSUE)
- F-10 GULF CANADA RESOURCES LTD, 401 NINTH AVE SW, P O BOX 130, CALGARY ALBERTA CANADA T2P 2H7, AO (403) 233-4000 - 250,000 (\$250,000,000) FOREIGN GOVERNMENT AND AGENCY DEBT. (FILE 333-5332 - JUL. 26) (BR. 4)
- S-3 PEAK TECHNOLOGIES GROUP INC, 9200 BERGER RD, COLUMBIA, MD 21046 (410) 312-6033 - 224,727 (\$4,494,540) COMMON STOCK. (FILE 333-5336 - JUL. 26) (BR. 3)
- S-4 MARTIN MARIETTA MATERIALS INC, 2710 WYCLIFF RD, RALEIGH, NC 27607 (919) 781-4550 - 37,350,000 (\$2,973,993,750) COMMON STOCK. (FILE 333-8895 - JUL. 26) (BR. 4)
- S-8 FREQUENCY ELECTRONICS INC, 55 CHARLES LINDBERGH BLVD, MITCHEL FIELD, NY 11553 (516) 794-4500 - 1,077,113 (\$7,472,471) COMMON STOCK. (FILE 333-8901 - JUL. 26) (BR. 5)
- S-3 FIRST CHICAGO NBD CORP, ONE FIRST NATIONAL PLAZA, CHICAGO, IL 60670 (312) 732-4000 - STRAIGHT BONDS. (FILE 333-8903 - JUL. 26) (BR. 7)

- S-8 CWM MORTGAGE HOLDINGS INC, 35 N LAKE AVE, MSN 35 13, PASADENA, CA 91101  
(800) -66-9-23 - 4,000,000 (\$68,250,000) COMMON STOCK. (FILE 333-8905 -  
JUL. 26) (BR. 8)
- S-8 NEW WEST EYEWORKS INC, 2104 WEST SOUTHERN AVE, TEMPE, AR 85282  
(602) 438-1330 - 200,000 (\$1,125,000) COMMON STOCK. (FILE 333-8907 -  
JUL. 26) (BR. 2)
- S-1 COSTILLA ENERGY INC, 400 WEST ILLINOIS, STE 1000, MIDLAND, TX 79701  
(915) 683-3092 - 100,000,000 (\$100,000,000) STRAIGHT BONDS. (FILE  
333-8909 - JUL. 26) (NEW ISSUE)
- S-1 ENTERPRISE SYSTEMS INC /DE/, 1400 S WOLF RD, WHEELING, IL 60090  
(847) 537-4800 - 1,983,750 (\$44,634,375) COMMON STOCK. (FILE 333-8911 -  
JUL. 26) (BR. 3)
- S-1 COSTILLA ENERGY INC, 400 WEST ILLINOIS, STE 1000, MIDLAND, TX 79701  
(915) 683-3092 - 4,600,000 (\$73,600,000) COMMON STOCK. (FILE 333-8913 -  
JUL. 26)
- S-8 ALRENCO INC, P O BOX 85, NEW ALBANY, IN 47151 (812) 949-3370 - 450,000  
(\$8,775,000) COMMON STOCK. (FILE 333-8915 - JUL. 26) (BR. 7)
- S-3 PROJECTAVISION INC, TWO PENN PLZ STE 640, NEW YORK, NY 10121  
(212) 971-3000 - 2,242,850 (\$6,728,550) COMMON STOCK. (FILE 333-8917 -  
JUL. 26) (BR. 4)
- S-1 ADVANCED FIBRE COMMUNICATIONS INC, 1445 MCDOWELL BLVD NORTH,  
P O BOX 751239, PETALUMA, CA 94975 (707) 794-7700 - \$64,400,000  
COMMON STOCK. (FILE 333-8921 - JUL. 26)
- S-8 GREENLAND CORP, 4180 LA JOLLA VILLAGE DR STE 315, LA JOLLA, CA 92037  
(619) 458-4226 - 2,000,000 (\$500,000) COMMON STOCK. (FILE 333-8923 -  
JUL. 26) (BR. 9)
- S-4 NATIONAL FIBERSTOK CORP, 5775 PEACHTREE DUNWOODY ROAD, SUITE C150,  
ATLANTA, GA 30342 (404) 256-1123 - 100,000,000 (\$100,000,000)  
STRAIGHT BONDS. (FILE 333-8925 - JUL. 26) (NEW ISSUE)
- S-8 PIONEER HI BRED INTERNATIONAL INC, 700 CAPITAL SQ, 400 LOCUST ST,  
DES MOINES, IA 50309 (515) 245-3500 - 3,000,000 (\$145,717,687.50)  
COMMON STOCK. (FILE 333-8927 - JUL. 26) (BR. 2)
- S-3 UNION FINANCIAL SERVICES I INC, 6991 E CAMELBACK RD, SUITE B 290,  
SCOTTSDALE, AZ 85251 (602) 947-7703 - 1,000,000 (\$1,000,000)  
STRAIGHT BONDS. (FILE 333-8929 - JUL. 26) (BR. 1)
- S-6 MUNICIPAL INVESTMENT TR FD MULTISTATE SER 215 DEF ASSET FDS,  
C/O DAVIS POLK & WARDWELL, 450 LEXINGTON AVENUE, NEW YORK, NY 10017  
(212) 450-4540 - INDEFINITE SHARES. (FILE 333-8931 - JUL. 26) (NEW ISSUE)
- S-3 UNISYS CORP, TOWNSHIP LINE & UNION MEETING RDS, BLUE BELL, PA 19424  
(215) 986-4011 (FILE 333-8933 - JUL. 26) (BR. 3)
- S-1 HEARTLAND COMMUNICATIONS & MANAGEMENT INC,  
1320 OLD CHAIN BRIDGE ROAD SUITE 220, - 5,000,000 (\$25,000,000)  
COMMON STOCK. (FILE 333-8935 - JUL. 26) (NEW ISSUE)
- S-8 IAS COMMUNICATIONS INC, 10751 SHELLBRIDGE WAY, SUITE 185,  
RICHMOND B C CANADA, A1 (604) 278-5996 - 50,000 (\$62,500) COMMON STOCK.  
(FILE 333-8937 - JUL. 26) (BR. 3)
- S-8 SECURITY DYNAMICS TECHNOLOGIES INC /DE/, ONE ALEWIFE CENTER, CAMBRIDGE,  
MA 02140 (617) 547-7820 - 2,110,000 (\$123,171,250) COMMON STOCK. (FILE  
333-8939 - JUL. 26) (BR. 3)
- S-1 HEMISPHERX BIOPHARMA INC, 1617 JFK BLVD, ONE PENN CENTER, PHILADELPHIA,  
PA 19103 (215) 988-0080 - 3,320,588 (\$6,641,176) COMMON STOCK. (FILE  
333-8941 - JUL. 26) (BR. 1)

- S-8 ITI TECHNOLOGIES INC, 2266 NORTH SECOND ST, NORTH ST PAUL, MN 55109  
(612) 777-2690 - 500,000 (\$15,750,000) COMMON STOCK. (FILE 333-8943 -  
JUL. 26) (BR. 3)
- S-8 ITI TECHNOLOGIES INC, 2266 NORTH SECOND ST, NORTH ST PAUL, MN 55109  
(612) 777-2690 - 75,000 (\$2,362,500) COMMON STOCK. (FILE 333-8945 -  
JUL. 26) (BR. 3)
- S-8 VIEWLOGIC SYSTEMS INC /DE/, 293 BOSTON POST RD WEST, MARLBORO, MA 01752  
(508) 480-0881 - 600,000 (\$7,242,000) COMMON STOCK. (FILE 333-8947 -  
JUL. 26) (BR. 3)
- S-3 MERCHANTS GROUP INC, 250 MAIN ST, BUFFALO, NY 14202 (716) 849-3333 -  
22,500 (\$368,550) COMMON STOCK. (FILE 333-8951 - JUL. 26) (BR. 1)
- S-3 EAGLE PACIFIC INDUSTRIES INC/MN, 2430 METROPOLITAN CENTRE,  
333 S SEVENTH ST, MINNEAPOLIS, MN 55402 (612) 371-9650 - 1,094,710  
(\$3,831,485) COMMON STOCK. (FILE 333-8953 - JUL. 26) (BR. 6)
- S-4 DUTY FREE INTERNATIONAL INC, 63 COPPS HILL RD, RIDGEFIELD, CT 06877  
(203) 431-6057 - 4,160,000 (\$59,280,000) COMMON STOCK. (FILE 333-8955 -  
JUL. 26) (BR. 2)
- S-8 VIEWLOGIC SYSTEMS INC /DE/, 293 BOSTON POST RD WEST, MARLBORO, MA 01752  
(508) 480-0881 - 800,000 (\$9,656,000) COMMON STOCK. (FILE 333-8957 -  
JUL. 26) (BR. 3)
- S-8 ILLINOIS COMMUNITY BANCORP INC, 210 E FAYETTE AVE, EFFINGHAM, IL 62401  
(217) 347-7127 - 70,357 (\$912,971.55) COMMON STOCK. (FILE 333-8959 -  
JUL. 26) (BR. 7)
- S-8 UNION BANKSHARES LTD, 1825 LAWRENCE ST, STE 444, DENVER, CO 80202  
(303) 298-5352 - 250,000 (\$3,093,750) COMMON STOCK. (FILE 333-8961 -  
JUL. 26) (BR. 7)
- S-3 CONTINENTAL AMERICAN TRANSPORTATION INC, 495 LOVERS LANE RD, CALHOUN, GA  
30701 (706) 629-8682 - 2,560,000 (\$17,700,000) COMMON STOCK. (FILE  
333-8963 - JUL. 26) (BR. 5)
- S-8 VIEWLOGIC SYSTEMS INC /DE/, 293 BOSTON POST RD WEST, MARLBORO, MA 01752  
(508) 480-0881 - 400,000 (\$4,828,000) COMMON STOCK. (FILE 333-8965 -  
JUL. 26) (BR. 3)
- S-3 KING WORLD PRODUCTIONS INC, 1700 BROADWAY, NEW YORK, NY 10019  
(212) 315-4000 - 550,000 (\$19,525,000) COMMON STOCK. (FILE 333-8969 -  
JUL. 26) (BR. 5)
- S-2 KUSHNER LOCKE CO, 11601 WILSHIRE BLVD 21ST FLR, LOS ANGELES, CA 95202  
(310) 445-1111 - 155,158 (\$155,158) COMMON STOCK. (FILE 333-8971 -  
JUL. 26) (BR. 5)
- S-8 WESTMARK GROUP HOLDINGS INC, 355 N E FIFTH AVE, STE 4, DELRAY BEACH, FL  
33483 (407) 243-8010 - 12,000 (\$9,000) COMMON STOCK. (FILE 333-8973 -  
JUL. 26) (BR. 8)
- S-8 WESTMARK GROUP HOLDINGS INC, 355 N E FIFTH AVE, STE 4, DELRAY BEACH, FL  
33483 (407) 243-8010 - 11,000 (\$8,250) COMMON STOCK. (FILE 333-8975 -  
JUL. 26) (BR. 8)
- S-4 HOLOGIC INC, 590 LINCOLN ST, WALTHAM, MA 02154 (617) 890-2300 -  
1,411,726 (\$44,302,449.75) COMMON STOCK. (FILE 333-8977 - JUL. 26)  
(BR. 1)
- S-3 SBC COMMUNICATIONS INC, 175 E. HOUSTON ROOM 9-N-4, P.O. BOX 2933,  
SAN ANTONIO, TX 78299 (210) 821-4105 - 15,000,000 (\$733,125,000)  
COMMON STOCK. (FILE 333-8979 - JUL. 26) (BR. 3)
- S-8 VEECO INSTRUMENTS INC, TERMINAL DR, PLAINVIEW, NY 11803 (516) 349-8300  
- 325,000 (\$3,209,375) COMMON STOCK. (FILE 333-8981 - JUL. 26) (BR. 1)

- S-8 HARVEYS CASINO RESORTS, HWY 50 & STATELINE AVE, P O BOX 128, LAKE TAHOE, NV 89449 (702) 588-2411 - 500,000 (\$9,750,000) COMMON STOCK. (FILE 333-8983 - JUL. 26) (BR. 5)
- S-3 HIGHWOODS PROPERTIES INC, 3100 SMOKETREE COURT, STE 600, RALEIGH, NC 27604 (919) 872-4924 - 265,376 (\$7,231,496) COMMON STOCK. (FILE 333-8985 - JUL. 26) (BR. 8)
- S-8 KRONOS INC, 400 FIFTH AVENUE, WALTHAM, MA 02154 (617) 890-3232 - 412,500 (\$10,881,750) COMMON STOCK. (FILE 333-8987 - JUL. 26) (BR. 6)
- S-8 WESTERN MICRO TECHNOLOGY INC, 254 E HACIENDA AVENUE, CAMPBELL, CA 95008 (408) 379-0177 - 350,000 (\$2,406,250) COMMON STOCK. (FILE 333-8989 - JUL. 26) (BR. 6)
- S-3 BOSTON LIFE SCIENCES INC /DE, 1601 TRAPELO RD, RESERVOIR PL, WALTHAM, MA 02154 (617) 890-8263 - 10,826,170 (\$10,826,170) COMMON STOCK. (FILE 333-8993 - JUL. 26) (BR. 1)
- S-1 MARATHON FINANCIAL CORP, 4095 VALLEY PIKE, WINCHESTER, VA 22602 (540) 869-6600 - 575,000 (\$3,306,250) COMMON STOCK. (FILE 333-8995 - JUL. 26) (BR. 7)
- S-3 APARTMENT INVESTMENT & MANAGEMENT CO, 1873 S BELLAIRE ST, SUITE 1700, DENVER, CO 80222 (303) 757-8101 - 372,688 (\$7,081,072) COMMON STOCK. (FILE 333-8997 - JUL. 26) (BR. 8)
- S-3 INTERNATIONAL LOTTERY INC, 6655 CREEK ROAD, CINCINNATI, OH 45242 (513) 792-7000 - 100,000 (\$968,750) COMMON STOCK. (FILE 333-8999 - JUL. 26) (BR. 6)
- S-8 METRICOM INC / DE, 980 UNIVERSITY AVENUE, LOS GRATOS, CA 95030 (408) 399-8200 - 650,000 (\$9,303,137.06) COMMON STOCK. (FILE 333-9001 - JUL. 26) (BR. 3)
- S-8 COASTCAST CORP, 3025 E VICTORIA ST, RANCHO DOMINGUEZ, CA 90221 (310) 638-0595 - 800,000 (\$14,950,000) COMMON STOCK. (FILE 333-9003 - JUL. 26) (BR. 6)
- S-8 METRICOM INC / DE, 980 UNIVERSITY AVENUE, LOS GRATOS, CA 95030 (408) 399-8200 - 225,000 (\$3,957,750) COMMON STOCK. (FILE 333-9005 - JUL. 26) (BR. 3)
- S-2 ACCUMED INTERNATIONAL INC, 920 N FRANKLIN ST, STE 402, CHICAGO, IL 60610 (312) 642-9200 - 5,462,500 (\$29,005,875) COMMON STOCK. (FILE 333-9011 - JUL. 26) (BR. 1)
- S-1 MILESTONE HEALTHCARE INC, 2501 CEDAR SPRINGS ROAD SUITE 600, DALLAS, TX 75201 (214) 871-9600 - 2,875,000 (\$34,500,000) COMMON STOCK. (FILE 333-9013 - JUL. 26) (NEW ISSUE)
- S-4 UNION PLANTERS CORP, 7130 GOODLETT FARMS PKWY, MEMPHIS, TN 38018 (901) 383-6000 - 16,600,000 (\$722,100,000) COMMON STOCK. (FILE 333-9015 - JUL. 26) (BR. 7)
- S-8 FIRST DATA CORP, 401 HACKENSACK AVE - 7TH FLR, HACKENSACK, NJ 07601 (201) 525-4702 - 10,000,000 (\$10,000,000) COMMON STOCK. (FILE 333-9017 - JUL. 29) (BR. 3)
- S-1 METZLER GROUP INC/FA, 520 LAKE COOK ROAD, DEERFIELD, IL 60015 (847) 945-0001 - 3,680,000 (\$58,880,000) COMMON STOCK. (FILE 333-9019 - JUL. 26) (NEW ISSUE)
- S-8 UNIVERSAL ELECTRONICS INC, 1864 ENTERPRISE PKWY W, TWINSBURG, OH 44087 (216) 487-1110 - 400,000 (\$3,925,000) COMMON STOCK. (FILE 333-9021 - JUL. 26) (BR. 2)
- S-8 WESTERN COUNTRY CLUBS INC, 1601 WEST EVANS, DENVER, CO 80223 (303) 934-2424 - 250,000 (\$1,062,500) COMMON STOCK. (FILE 333-9023 - JUL. 29) (BR. 2)

- S-1 HOLMES PROTECTION GROUP INC, 440 9TH AVE, NEW YORK, NY 10001  
(212) 760-0630 - 1,150,000 (\$11,212,500) COMMON STOCK. (FILE 333-9025 - JUL. 26) (BR. 1)
- S-8 BELL TECHNOLOGY GROUP LTD, 611 BROADWAY, STE 415, NEW YORK, NY 10012  
(212) 594-5300 - 360,000 (\$3,015,000) COMMON STOCK. (FILE 333-9027 - JUL. 26) (BR. 9)
- S-8 CABLETRON SYSTEMS INC, 35 INDUSTRIAL WAY, EAST ROCHESTER, NH 03867  
(603) 332-9400 - 241,536 (\$512,056.32) COMMON STOCK. (FILE 333-9029 - JUL. 26) (BR. 3)
- S-8 FIRST DATA CORP, 401 HACKENSACK AVE - 7TH FLR, HACKENSACK, NJ 07601  
(201) 525-4702 - 3,000,000 (\$221,070,000) COMMON STOCK. (FILE 333-9031 - JUL. 29) (BR. 3)
- S-1 SOUTHERN INVESTMENTS UK PLC, 800 PARK AVENUE AZTEC WEST,  
ALMONDSBURY BRISTOL BS12 4SE, ENGLAND, XO (44-) 145-4-20 - 235,000,000  
(\$235,000,000) STRAIGHT BONDS. (FILE 333-9033 - JUL. 29) (NEW ISSUE)

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#### RECENT 8K FILINGS

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Form 8-K is used by companies to file current reports on the following events:

- Item 1. Changes in Control of Registrant.
- Item 2. Acquisition or Disposition of Assets.
- Item 3. Bankruptcy or Receivership.
- Item 4. Changes in Registrant's Certifying Accountant.
- Item 5. Other Materially Important Events.
- Item 6. Resignations of Registrant's Directors.
- Item 7. Financial Statements and Exhibits.
- Item 8. Change in Fiscal Year.

The following companies have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. Copies of the reports may be purchased from the Commission's Public Reference Room (when ordering, please give the date of the report). An invoice will be included with the requested material when mailed.

#### 8K REPORTS

NAME OF ISSUER	STATE CODE	8K ITEM NO.								DATE	COMMENT
		1	2	3	4	5	6	7	8		
AAVID THERMAL TECHNOLOGIES INC	DE		X						X	05/16/96	AMEND
ABIOMED INC	DE		X							07/31/96	
ACCESS FINANCIAL MORTGAGE LOAN TRUST 199	NY		X							07/18/96	
ACCLAIM ENTERTAINMENT INC	DE				X			X		07/25/96	
AIRGAS INC	DE					X				07/31/96	
AMERICAN MOBILE SATELLITE CORP	DE				X					07/31/96	
AMERICAN OILFIELD DIVERS INC	LA					X				07/16/96	
APPLIED DIGITAL ACCESS INC	CA		X					X		07/16/96	
APPLIED MAGNETICS CORP	DE		X							08/01/96	
AQUASEARCH INC	CO							X		07/30/96	



NAME OF ISSUER	STATE CODE	8K ITEM NO.								DATE	COMMENT
		1	2	3	4	5	6	7	8		
ASSET SECUR COR COMM MORT PASS TH CERT S	NY	X									07/31/96AMEND
AST RESEARCH INC /DE/	DE			X	X						07/31/96
AT&T UNIVERSAL FUNDING CORP	DE			X	X						07/17/96
AVECOR CARDIOVASCULAR INC	MN	X		X							06/26/96AMEND
BACHMAN INFORMATION SYSTEMS INC /MA/	MA		X		X						07/18/96
BANKERS TRUST NEW YORK CORP	NY			X							07/26/96
BRENCO INC	VA	X			X						07/18/96
BUREAU OF ELECTRONIC PUBLISHING INC	DE			X	X						08/01/96
CALENERGY CO INC	DE		X								05/02/96
CARGILL FINANCIAL SERVICES CORP	DE	X									07/18/96
CATELLUS DEVELOPMENT CORP	DE			X							07/29/96
CBL & ASSOCIATES PROPERTIES INC	DE			X							07/31/96
CEEE GROUP CORP	CO	X	X			X					07/16/96
CENSTOR CORP /CA/	CA		X			X					07/18/96
CENTERIOR ENERGY CORP	OH			X	X						06/25/96
CF&I STEEL L P	DE			X							07/25/96
CHEVY CHASE AUTO RECEIVABLES TRUST 1995-	MD			X							07/15/96
CHEVY CHASE MASTER CREDIT CARD TRUST	MD			X							07/15/96
CHILDRENS BROADCASTING CORP	MN			X							07/30/96
CHOICES ENTERTAINMENT CORP	DE			X							07/26/96
CIGNA CORP	DE			X	X						07/30/96
CLEVELAND ELECTRIC ILLUMINATING CO	OH			X	X						06/25/96
COASTAL CARIBBEAN OILS & MINERALS LTD	DO			X	X						07/31/96
COMPUTER SCIENCES CORP	NV			X							08/01/96
COMPUTERVISION CORP /DE/	DE			X							07/25/96
CONTIMORTGAGE HOME EQUITY LN TR 1996-1	DE			X	X						07/15/96
CORNUCOPIA RESOURCES LTD				X	X						07/26/96
CWBS INC MORT PASS THRO CERT SER 1996-A	DE			X	X						06/25/96
CWBS INC MORTGAGE PASS THROUGH CERT SER	DE			X	X						06/25/96
CWBS INC MORTGAGE PASS THROUGH CERTIFIC				X	X						06/25/96
CWBS INC MORTGAGE PASS THROUGH CERTIFIC	DE			X	X						06/25/96
CWBS INC MORTGAGE PASS THROUGH CERTIFIC				X	X						06/25/96
DANIELSON HOLDING CORP	DE			X	X						07/29/96
DAYTON HUDSON RECEIVABLES CORP	MN			X							07/31/96
ECHO BAY MINES LTD	CO		X			X					07/16/96
EFI ELECTRONICS CORP	DE			X							07/25/96
ENTERPRISE BANCORP INC /MA/	MA		X			X					07/21/96
ENVIRONMENTAL PLUS INC /TX/	TX			X	X						07/22/96
EQUICON MORTGAGE LOAN TRUST 1995-2	NY	X									07/18/96
EXTEN INDUSTRIES INC	DE			X							07/15/96
FARM FAMILY HOLDINGS INC	DE			X							07/30/96
FIRST COASTAL CORP	DE			X	X						07/24/96
FIRST FINANCIAL HOLDINGS INC /DE/	DE	X									01/25/96
FIRST PLACE FINANCIAL CORP	NM				X	X					07/31/96
FIRST UNION NATIONAL BANK OF GEORGIA \	GA			X	X						07/15/96
FIRSTFED FINANCIAL CORP	DE			X							07/31/96
FIRSTFED FINANCIAL CORP	DE			X							07/31/96AMEND
FMC CORP	DE			X							07/24/96
FMC GOLD CO	DE			X							07/24/96
FOODMAKER INC /DE/	DE			X							07/26/96
GANDER MOUNTAIN INC	DE		X			X					07/25/96
GEODYNE ENERGY INCOME LIMITED PARTNERSHI	OK			X							07/17/96
GEODYNE ENERGY INCOME LTD PARTNERSHIP I-	OK			X							07/17/96
GEODYNE ENERGY INCOME LTD PARTNERSHIP I-	OK			X							07/17/96
GEODYNE ENERGY INCOME LTD PARTNERSHIP I-	OK			X							07/17/96
GEODYNE ENERGY INCOME LTD PARTNERSHIP I-	OK			X							07/17/96
GEODYNE ENERGY INCOME LTD PARTNERSHIP I-	OK			X							07/17/96
GEODYNE ENERGY INCOME LTD PARTNERSHIP II	OK			X							07/17/96



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		1	2	3	4	5	6	7	8		
MERIT SECURITIES CORP	VA				X	X				07/29/96	
MERRILL LYNCH & CO INC	DE				X	X				07/31/96	
METROCALL INC	DE		X			X				07/16/96	
MFS COMMUNICATIONS CO INC	DE				X	X				08/01/06	
MLF BANCORP INC	PA				X	X				07/25/96	
MODEL IMPERIAL INC	FL			X						07/18/96	
MORGAN STANLEY GROUP INC /DE/	DE					X				07/17/96	
NATIONSBANK CORP	NC				X	X				07/15/96	
NEOSTAR RETAIL GROUP INC	DE				X					07/31/96	
NEW CF&I INC	DE				X					07/25/96	
NGC CORP	DE				X					07/26/96	
NOMURA ASSET SECURITIES COR HOM EQ LN PA		X								07/31/96	
NUTRITION FOR LIFE INTERNATIONAL INC	TX				X	X				07/16/96	
OAKWOOD MORTGAGE INVESTORS INC					X	X				07/15/96	
OAKWOOD MORTGAGE INVESTORS INC OMI TRUST	PA				X	X				07/15/96	
OREGON STEEL MILLS INC	DE				X					07/25/96	
ORION DIVERSIFIED TECHNOLOGIES INC	NJ		X			X				07/18/96	
PACIFIC ANIMATED IMAGING CORP	DE		X			X				07/19/96	
PALM HARBOR HOMES INC /FL/	FL		X			X				08/01/96	
PCI SERVICES INC/DE	DE				X	X				07/23/96	
PENNZOIL CO /DE/	DE		X			X				07/31/96	
PIMCO ADVISORS L P /	DE				X	X				07/24/96	
PLANET RESOURCES INC	DE		X							07/31/96AMEND	
POLISH TELEPHONES & MICROWAVE CORP	TX					X				05/17/96AMEND	
PRICE ENTERPRISES INC	CA				X					07/26/96	
PRIMEENERGY CORP	DE		X							05/30/96AMEND	
PRUDENTIAL SEC SECURED FIN COR COM MOR P	NY				X	X				07/25/96	
QUADRAX CORP	DE				X					07/18/96	
QUARTERDECK CORP	DE		X			X				07/18/96	
RALCORP HOLDINGS INC	MO				X	X				08/01/96	
READING & BATES CORP	DE					X				07/31/96	
READING & BATES CORP	DE					X				08/01/96	
REALCO INC /NM/	NM	X								07/24/96	
REALMARK PROPERTY INVESTORS LIMITED PART	DE				X	X				07/16/96	
REALMARK PROPERTY INVESTORS LTD PARTNERS	DE				X	X				07/16/96	
REALMARK PROPERTY INVESTORS LTD PARTNERS	DE				X	X				07/16/96	
REALMARK PROPERTY INVESTORS LTD PARTNERS	DE				X	X				07/16/96	
REGAL INTERNATIONAL INC	DE					X				02/19/96AMEND	
REGENCY BANCORP	CA									05/07/00	
RESIDENTIAL ACCREDIT LOANS INC	DE					X				07/31/96	
RESIDENTIAL FUNDING MORTGAGE SECURITIES	DE				X	X				07/31/96AMEND	
RESIDENTIAL FUNDING MORTGAGE SECURITIES	DE					X				07/31/96	
REYNOLDS & REYNOLDS CO	OH					X				05/17/96AMEND	
ROCKEFELLER CENTER PROPERTIES INC	DE		X			X				07/17/96	
SALOMON BROTHERS MORT SEC VII INC MOR PA	NY				X	X				07/22/96AMEND	
SAXON MORTGAGE SECURITIES CORP	VA				X	X				07/25/96	
SCHAWK INC	DE				X					07/29/96	
SEARS CREDIT ACCOUNT MASTER TRUST II	NY				X	X				07/25/96	
SECURITY CAPITAL CORP/DE/	DE		X			X				05/17/96AMEND	
SECURITY CAPITAL PACIFIC TRUST	MD				X	X				08/01/96	
SECURITY INVESTMENTS GROUP INC	DE				X	X				07/02/96	
SEGUE SOFTWARE INC	DE				X					08/01/96	
SHARED TECHNOLOGIES CELLULAR INC	DE		X							07/27/96AMEND	
SHENANDOAH TELECOMMUNICATIONS CO/VA/	VA				X					07/30/96	
SLM FUNDING CORP	DE				X	X				07/31/96	
STANDARD FINANCIAL INC	DE				X					07/31/96	
STANDARD MANAGEMENT CORP	IN			X						07/31/96	
STAODYN INC	DE				X					07/31/96AMEND	

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		1	2	3	4	5	6	7	8			
STATEWIDE FINANCIAL CORP	NJ					X					12/31/96	
SUMMAGRAPHICS CORP	DE						X				07/23/96AMEND	
SUMMIT TECHNOLOGY INC	MA						X				05/15/96AMEND	
SYKES ENTERPRISES INC	FL	X						X			07/16/96	
SYSTEMS TECHNOLOGY ASSOCIATES INC	FL					X					07/30/96	
TAUBMAN CENTERS INC	MI					X	X				07/19/96	
TAUBMAN REALTY GROUP LTD PARTNERSHIP	DE	X						X			07/19/96	
TERRITORIAL RESOURCES INC	CO		X	X							07/19/96	
TMS AUTO HOLDINGS INC	DE					X					07/22/96	
TOLEDO EDISON CO	OH					X	X				06/25/96	
U S LONG DISTANCE CORP	DE					X	X				07/25/96	
UGI UTILITIES INC	PA							X			08/01/96	
UNICO INC	DE					X					07/30/96	
URANIUM RESOURCES INC /DE/	DE					X	X				05/31/96	
VETERINARY CENTERS OF AMERICA INC	DE	X						X			07/19/96	
VIACOM INC	DE					X					07/31/96	
VISTA INFORMATION SOLUTIONS INC	MN	X						X			02/28/95AMEND	
WASHINGTON MUTUAL SAVINGS BANK MORT BACK	WA							X			05/31/96	
WESTAMERICA BANCORPORATION	CA					X					07/31/96	
WFS REPUBLIC INC	CA					X					06/30/96	
WORLD OMNI 1996-A AUTOMOBILE LEASE SECUR	DE	X									06/30/96	