

# sec news digest

Issue 96-86

May 8, 1996

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## COMMISSION ANNOUNCEMENTS

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### COMMISSION FILES AMICUS CURIAE BRIEF IN CASE INVOLVING LLOYD'S OF LONDON

On May 6, the Commission filed an amicus curiae, or friend of the court, brief in an appeal of a private action brought by more than 600 American "Names" against Lloyd's of London. The case had been dismissed by the U.S. District Court for the Southern District of California because of an agreement between the Names and Lloyd's to resolve disputes in the courts of England under English law. In its brief the SEC argues that the effect of upholding the agreement would be to deprive the American Names of their rights under the federal securities laws because English courts applying English law will not entertain the Names' claims under the securities laws. Under the United States statutes, the SEC maintains, such an agreement is void and should not be enforced. (Press Rel. 96-69)

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## ENFORCEMENT PROCEEDINGS

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### COMPLAINT CHARGES ROYCE LABORATORIES, INC. AND PATRICK MCENANY WITH FRAUD

The Commission announced that it filed a complaint in the United States District Court for the Southern District of New York against Royce Laboratories, Inc. (Royce), a pharmaceutical manufacturer, and Patrick J. McEnany (McEnany), its president, alleging that defendants issued a materially false and misleading press release (Release). The Release, which concerned the status of the approval of Royce's drug Piroxicam, stated that the U.S. Food and Drug Administration (FDA) was "completing its review of the Company's [Piroxicam] application, and upon the anticipated receipt of final approval the Company intends to commence shipments of Piroxicam." Defendants allegedly omitted to disclose that, among other things, they were advised by the FDA it was prepared to withdraw tentative approval of Piroxicam and deny final approval.

The complaint seeks permanent injunctions against future violations of Section 10(b) of the Securities Exchange Act of 1934 and Rule 10b-5, and civil penalties against McEnany. Simultaneously with the filing of the complaint, the Commission submitted defendants' consents to the entry of final judgments permanently enjoining each of them from future violations of those provisions. McEnany's final judgment also orders him to pay a civil penalty of \$25,000. Defendants neither admit nor deny the allegations in the complaint. [SEC v. Royce Laboratories, Inc. and Patrick J. McEnany, 96 Civ. 3335, LLS, SDNY,] (LR-14902)

CIVIL ACTION AGAINST FUTURE VISION DIRECT MARKETING, INC., ET AL.

The Commission filed an action today alleging fraud in connection with the sales of securities in wireless cable television companies and radio broadcasting companies. The complaint, which was filed in the United States District Court for the District of New Jersey, names as defendants Future Vision Direct Marketing, Inc., Eastern Coordinated Financial, Philip Forma, James Barschow, Bruce Schroeder, Joseph Glenski, Alan Herr, Herbert Herr, Stanley Mindel, Frank Cardell, Thomas Ehrlich, Pacific Wireless Communications, Inc., Nationwide Wireless Corporation, Ronald H. Michel, Steven Regan, William Richard Horne, Daniel Kelly, and Philip J. Caratozzolo.

In its complaint, the Commission alleges that from late 1992 to May 1994, the defendants orchestrated or participated in the fraudulent offer and sale of the unregistered securities of two companies, Southern Tennessee Wireless, Limited-Liability Company, and Greater Columbia Basin Wireless, Limited-Liability Company, that purportedly were being established as wireless cable television broadcasting companies.

The Commission's complaint further alleges that from approximately March 1994 to January 1995, Alan Herr, Herbert Herr, and Stanley Mindel fraudulently offered and sold unregistered securities and memberships in Bloch Broadcasting Companies, Inc., WBDN, L.C., WGGG, L.C., and WCNX, L.C.

Defendants Alan Herr, Herbert Herr, Stanley Mindel, Philip Forma, Philip J. Caratozzolo and Nationwide Wireless Corporation have consented to settle the action by agreeing to the entry of permanent injunctions and other relief. None of the other defendants have settled the action. [SEC v. Future Vision Direct Marketing, Inc., et al., D.N.J.] (LR-14903)

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## INVESTMENT COMPANY ACT RELEASES

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### EAI SELECT MANAGERS EQUITY FUND, ET AL.

An order has been issued on an application filed by EAI Select Managers Equity Fund, et al., under Section 6(c) of the Investment Company Act exempting applicants from the provisions of Section 15(a) of the Act. The order permits the sub-advisers selected and monitored by Evaluation Associates Capital Markets, Inc., the investment adviser of EAI Select Manager Equity Fund, et al. to serve as investment advisers to the Fund without receiving prior shareholder approval. (Rel. IC-21938 - May 7)

### DELAWARE GROUP FOREIGN INVESTORS HIGH-YIELD FUND, L.P.

An order has been issued under Section 8(f) of the Investment Company Act declaring that Delaware Group Foreign Investors High-Yield Fund, L.P. has ceased to be an investment company. (Rel. IC-21939 - May 7)

### DELAWARE GROUP FOREIGN INVESTORS GOVERNMENT FUND, L.P.

An order has been issued under Section 8(f) of the Investment Company Act declaring that Delaware Group Foreign Investors Government Fund, L.P. has ceased to be an investment company. (Rel. IC-21940 - May 7)

### EVERGREEN TRUST, ET AL.

An order has been issued on an application filed by Evergreen Trust, et al. under Section 6(c) of the Investment Company Act for an exemption from Sections 13(a)(2), 18(f)(1), 22(f), and 22(g) of the Act, and Rule 2a-7 thereunder, under Sections 6(c) and 17(b) of the Act for an exemption from Section 17(a)(1) of the Act, and pursuant to Rule 17d-1 thereunder. The order permits certain investment companies to enter into deferred compensation arrangements with their trustees. (Rel. IC-21941 - May 7)

### UAM FUNDS, INC., ET AL.

An order has been issued on an application filed by UAM Funds, Inc., et al. pursuant to Section 17(d) of the Investment Company Act and Rule 17d-1 thereunder permitting certain investment companies to deposit their uninvested cash balances in one or more joint accounts to be used to enter into short-term investments. (Rel. IC-21942 - May 7)

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**SIGNIFICANT NO-ACTION, EXEMPTIVE AND INTERPRETIVE LETTERS**

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The following is a list of significant no-action and interpretative letters recently issued by the Division of Investment Management. These letters express the view of the Division respecting novel or important questions arising under the Securities Act of 1933, the Securities Exchange Act of 1934, the Trust Indenture Act of 1939, the Investment Company Act of 1940, and the Investment Advisers Act of 1940. Copies of these letters may be obtained by writing to the Public Reference Room, Securities and Exchange Commission, Washington, D.C. 20549, or by making a request in person at the Public Reference Room, 450 Fifth Street, N.W., Washington, D.C., Room 1024, stating the name of the subject company, the Act and the Section of the Act to which it relates, and the public availability date.

**DIVISION OF INVESTMENT MANAGEMENT**

<u>COMPANY</u>	<u>ACT/SECTION/RULE OR RELEASE</u>	<u>DATE MAILED</u>	<u>PUBLIC AVAILABILITY DATE</u>
Federated Investors	ICA'40, Rule 17a-7	4/21/94	4/21/94
Owens-Illinois, Inc.	ICA'40, Sections 3(c)(1), 3(c)(11)	6/24/94	6/24/94
The PanAgora Group Trust	ICA'40, Section 3(c)(1)	4/29/94	4/29/94
The PanAgora Group Trust	ICA'40, Section 3(c)(1)	6/30/94	6/30/94
Alexander Hamilton Funds	ICA'40, Section 11(a)	7/20/94	7/20/94
J.P. Morgan Structured Obligations Corporation	ICA'40, Rule 2a-7	7/27/94	7/27/94
North American Security Trust	ICA'40, Rule 34b-1 1933 Act, Rule 482	8/5/94	8/5/94
W.R. Huff Asset Management Co., L.P.	IAA'40, Rule 204-2(a)(12)	8/10/94	8/10/94
Donaldson, Lufkin & Jenrette Securities Corporation	ICA'40, Rules 2a-7, 3a-7	5/26/94	9/23/94

<u>COMPANY</u>	<u>ACT/SECTION/RULE OR RELEASE</u>	<u>DATE MAILED</u>	<u>PUBLIC AVAILABILITY DATE</u>
The Murray Johnstone Group	IAA'40, Section 203(a)	10/7/94	10/7/94
Philadelphia Stock Exchange, Inc.	IAA'40, Section 203(a), ICA'40 Section 7(a)	10/18/94	10/18/94
Linsco/Private Ledger Corp.	ICA'40, Section 22(d)	11/1/94	11/1/94
Coutts Global Fund	ICA'40, Section 7(d)	12/7/94	12/7/94
IDS Financial Corporation	ICA'40, Rule 34b-1; 1933 Act, Rule 482	12/19/94	12/19/94
Caxton Corporation	ICA'40, Section 3(c)(1)	12/28/94	12/28/94
Latham & Watkins	ICA'40, Section 3(c)(1)	12/28/94	12/28/94
UMB Bank, n.a. formerly known as United Missouri Bank of Kansas City, n.a.	ICA'40, Rule 3a-4	1/23/95	1/23/95
United Municipal Bond Fund	ICA'40, Rule 17a-7	1/27/95	1/27/95
PaineWebber Incorporated; Mitchell Hutchins Asset Management Inc.; the Kidder Peabody family of funds; and Kidder, Peabody Investment Trust	ICA'40, Sections 12(d)(3), 18(f)	1/27/95	1/27/95
The Victory Stock Index Fund	ICA'40, Section 12(d)(3), Rule 12d3-1	2/7/95	2/7/95
Citicorp Securities, Inc.	ICA'40, Rule 3a-7	4/6/95	8/4/95

<u>COMPANY</u>	<u>ACT/SECTION/RULE OR RELEASE</u>	<u>DATE MAILED</u>	<u>PUBLIC AVAILABILITY DATE</u>
Templeton Russia Fund, Inc.	ICA'40, Rule 17f-5	4/18/95	4/18/95
Unit Investment Trust Organi- zational Expenses	ICA'40, Section 26(a)(2)	5/9/95	5/9/95
The One Group	ICA'40, Section 10(f)	5/23/95	5/23/95
Norwest Bank Minnesota, N.A. and Society National Bank	ICA'40, Sections 17(d), 17(e)(1), Rule 17d-1	5/25/95	5/25/95
Salomon Brothers Inc.	ICA'40, Sections 17(a), 17(e)	5/26/95	5/26/95
Benson White & Company	ICA'40, Sections 3(a), 36(b) 1933 Act, Section 5	6/14/95	6/14/95
The T. Rowe Price Funds	ICA'40, Section 17(d)	7/31/95	7/31/95
Robertson Stephens Investment Trust	ICA'40, Section 18(f)	8/24/95	8/24/95
SMC Capital, Inc.	ICA'40, Section 17(d); IAA'40, Section 206	9/5/95	9/5/95
Thomson Advisory Group L.P.	IAA'40, Section 203	9/26/95	9/26/95
GPU Nuclear Corporation, et. al.	1935 Act, Sections 9(a), 10	9/27/95	9/27/95
Massachusetts Mutual Life Insurance Co., MassMutual Institutional Funds	ICA'40, Rule 34b-1	9/28/95	9/28/95
Entergy Corporation	1935 Act, Sections 9(a), 10	10/24/95	10/24/95

<u>COMPANY</u>	<u>ACT/SECTION/RULE OR RELEASE</u>	<u>DATE MAILED</u>	<u>PUBLIC AVAILABILITY DATE</u>
Mutual Series Fund, Inc.	ICA'40, Section 12(d)(1)(A)	11/7/95	11/7/95
Hon. Olena Berg U.S. Dept. of Labor	IAA'40, Section 202(a)(11)	12/5/95	12/5/95
The Equitable Life Assurance Society of the United States	ICA'40, Sections 2(a)(22), 3(a)	12/22/95	12/22/95
The Standish, Ayer & Wood, Inc. Stable Value Group Trust	ICA'40, Section 3(c)(1)	12/28/95	12/28/95
Principal Preservation Portfolios, Inc. and Prospect Hill Trust	ICA'40, Section 15(a), Rules 17a-8, 24f-2	1/11/96	1/11/96
G.T. Global Growth Series, et. al.	ICA'40, Section 2(a)(19)	2/2/96	2/2/96
Hon. Olena Berg Dept. of Labor	IAA'40, Section 202(a)(11)	2/22/96	2/22/96
Investment Funds Institute of Canada	ICA'40, Section 7(d)	3/4/96	3/4/96

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#### SECURITIES ACT REGISTRATIONS

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The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

S-8 RACOTEK INC, 7301 OHMS LANE STE 200, MINNEAPOLIS, MN 55439  
(612) 832-9800 - 1,100,000 (\$5,364,875) COMMON STOCK. (FILE 333-4182 -  
APR. 26) (BR. 10)

REGISTRATIONS CONT.

- S-8 CONCEPTUS INC, 1021 HOWARD AVE, SAN CARLOS, CA 94070 (415) 802-7240 - 1,673,448 (\$18,763,771.64) COMMON STOCK. (FILE 333-4186 - APR. 26) (BR. 8)
- S-8 CCF HOLDING CO, 101 NORTH MAIN ST, JONESBORO, GA 30236 (770) 478-8881 - 119,025 (\$1,441,687.88) COMMON STOCK. (FILE 333-4194 - APR. 26) (BR. 1)
- SB-2 US TRANSPORTATION SYSTEMS INC, 33 WEST MAIN STREET, ELMSFORD, NY 10523 (914) 345-3339 - 4,911,936 (\$24,847,180) COMMON STOCK. 230,001 (\$1,414,510) WARRANTS, OPTIONS OR RIGHTS. 81,936 (\$409,680) COMMON STOCK. 81,936 (\$10,242) WARRANTS, OPTIONS OR RIGHTS. (FILE 333-4104 - APR. 29) (BR. 4)
- S-1 ANESTA CORP /DE/, 825 N 300 WEST, STE 200, SALT LAKE CITY, UT 84103 (801) 595-1405 - 3,450,000 (\$45,712,500) COMMON STOCK. (FILE 333-4176 - APR. 29) (BR. 4)
- S-1 PATINA OIL & GAS CORP, 1625 BROADWAY, DENVER, CO 80202 (303) 592-8500 - 3,500,000 (\$43,750,000) COMMON STOCK. (FILE 333-4180 - APR. 29) (BR. 4)
- F-6 UNIFIED ENERGY SYSTEM OF RUSSIA \ADR\, 48 WALL ST, C/O BANK OF NEW YORK, NEW YORK, NY 10286 (212) 815-2009 - 50,000,000 (\$2,500,000) DEPOSITARY RECEIPTS FOR COMMON STOCK. (FILE 333-4184 - APR. 29) (BR. 99 - NEW ISSUE)
- S-8 MINIMED INC, 12744 SAN FERNANDO RD, SYLMAR, CA 91342 (818) 362-5958 - \$19,250,000 COMMON STOCK. (FILE 333-4190 - APR. 29) (BR. 8)
- S-8 MINIMED INC, 12744 SAN FERNANDO RD, SYLMAR, CA 91342 (818) 362-5958 - \$962,500 COMMON STOCK. (FILE 333-4192 - APR. 29) (BR. 8)
- S-8 SANFILIPPO JOHN B & SON INC, 2299 BUSSE RD, ELK GROVE VILLAGE, IL 60007 (847) 593-2300 - 200,000 (\$1,650,000) COMMON STOCK. (FILE 333-4196 - APR. 29) (BR. 3)
- S-3 TRIGEN ENERGY CORP, ONE WATER ST, WHITE PLAINS, NY 10601 (914) 948-9150 - 201,267 (\$4,025,340) COMMON STOCK. (FILE 333-4198 - APR. 29) (BR. 8)
- S-8 MACGREGOR SPORTS & FITNESS INC, 8100 WHITE HORSE ROAD, STANDING SPRINGS INDUSTRIAL PARK, GREENVILLE, SC 29611 (803) 294-5230 - 150,000 (\$211,875) COMMON STOCK. (FILE 333-4200 - APR. 29) (BR. 7)
- S-8 US CAN CORP, 900 COMMERCE DR, SUITE 302, OAK BROOK, IL 60521 (708) 571-2500 - 166,667 (\$2,875,005.75) COMMON STOCK. (FILE 333-4202 - APR. 29) (BR. 10)
- S-8 CITATION CORP /AL/, 2 OFFICE PARK CIRCLE, STE 204, BIRMINGHAM, AL 35223 (205) 871-5731 - 500,000 (\$7,750,000) COMMON STOCK. (FILE 333-4206 - APR. 29) (BR. 6)
- S-3 KFX INC, 1999 BROADWAY, STE 3200, DENVER, CO 80202 (303) 293-2992 - 2,038,000 (\$14,958,920) COMMON STOCK. (FILE 333-4298 - APR. 29) (BR. 2)

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RECENT 8K FILINGS

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Form 8-K is used by companies to file current reports on the following events:

- Item 1. Changes in Control of Registrant.
- Item 2. Acquisition or Disposition of Assets.



- Item 3. Bankruptcy or Receivership.
- Item 4. Changes in Registrant's Certifying Accountant.
- Item 5. Other Materially Important Events.
- Item 6. Resignations of Registrant's Directors.
- Item 7. Financial Statements and Exhibits.
- Item 8. Change in Fiscal Year.

The companies listed below have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. Copies of the reports may be purchased from the Commission's Public Reference Room (when ordering, please give the date of the report). An invoice will be included with the requested material when mailed.

NAME OF ISSUER	STATE CODE	8K ITEM NO.								DATE	COMMENT
		1	2	3	4	5	6	7	8		
AIRBORNE FREIGHT CORP /DE/	DE	X								04/23/96	
AIRGAS INC	DE				X					05/07/96	
ALLIANCE CAPITAL MANAGEMENT LP	DE					X				05/06/96	AMEND
ALLIED CAPITAL ADVISERS INC	MD				X					05/03/96	
ALLMERICA FINANCIAL CORP	DE				X		X			04/30/96	
ALTERNATE POSTAL DELIVERY INC	MI		X				X			03/29/96	
AMC ENTERTAINMENT INC	DE				X					05/06/96	
AMDAHL CORP	DE		X							04/22/96	
AMERCO /NV/	NV					X				04/25/96	
AMERCO /NV/	NV					X				04/25/96	
AMERICAN CLASSIC VOYAGES CO	DE				X					04/29/96	
AMERICAN RADIO SYSTEMS CORP /MA/	DE				X	X				04/29/96	
AMERITRUCK DISTRIBUTION CORP	DE				X	X				05/03/96	
AMRESCO RESIDENTIAL SECURITIES CORP MORT	NY				X	X				01/26/96	
ANICOM INC	DE					X				03/12/96	AMEND
ASSET SECURITIZATION CORP COMM MOR PASS	NY				X	X				12/11/95	
AVIVA PETROLEUM INC /TX/	TX				X	X				05/01/96	
BANCFIRST OHIO CORP	OH				X					05/01/96	
BANKERS TRUST NEW YORK CORP	NY		X							05/06/96	
BEDFORD BANCSHARES INC	VA				X	X				04/24/96	
BIG O TIRES INC	NV				X	X				04/30/96	
BIRMINGHAM UTILITIES INC	CT				X	X				04/30/96	
BOONTOWN INC	DE		X		X	X				04/23/96	
CALIFORNIA FEDERAL BANK FSB	CA				X	X				04/25/96	
CAPITAL ONE BANK	VA				X	X				04/15/96	
CAPITAL ONE MASTER TRUST	VA				X	X				04/15/96	
CAREER HORIZONS INC	DE					X				05/01/96	
CENCOM CABLE INCOME PARTNERS LP	DE		X			X				03/29/96	
CFI PROSERVICES INC	OR		X		X	X				04/17/96	
CHARTER POWER SYSTEMS INC	DE		X							02/22/96	AMEND
CHECKMATE ELECTRONICS INC	GA					X				04/18/96	
CINERGY CORP	DE				X	X				05/07/96	
CIT GROUP SECURITIZATION CORP II	DE				X	X				04/15/96	
CIT GROUP SECURITIZATION CORP II	DE				X	X				04/15/96	
CITATION CORP /AL/	DE		X		X	X				05/04/96	AMEND
CITI BANCSHARES INC	FL		X			X				04/19/96	
CITYSCAPE FINANCIAL CORP	DE				X					04/22/96	
CITYSCAPE FINANCIAL CORP	DE		X			X				04/23/96	
CITYSCAPE FINANCIAL CORP	DE				X	X				04/26/96	
CLARIFY INC	DE		X			X				04/18/96	
CLINICORP INC	DE				X	X				05/01/96	
CMC SECURITIES CORP II	DE				X	X				04/25/96	
CODED COMMUNICATIONS CORP /DE/	DE				X					05/01/96	
COMMUNITY CARE OF AMERICA INC	DE		X			X				04/30/96	
COMPUTER MANAGEMENT SCIENCES INC	FL		X			X				04/30/96	

8K REPORTS

NAME OF ISSUER	STATE CODE	8K ITEM NO.								DATE	COMMENT
		1	2	3	4	5	6	7	8		
COMSTOCK RESOURCES INC	NV	X								05/01/96	
CONNECTISYS CORP	DE			X						02/15/96	
CONSOLIDATED STORES CORP /DE/	DE	X				X				05/03/96	
CONTINENTAL AIRLINES INC /DE/	DE	X								05/03/96	
CONTINENTAL INFORMATION SYSTEMS CORP	NY					X				03/08/96AMEND	
CRA MANAGED CARE INC	MA					X				04/17/96AMEND	
CREATIVE MEDICAL DEVELOPMENT INC	DE	X								04/17/96	
CROWN CORK & SEAL CO INC	PA					X				02/22/96AMEND	
CWMBS INC RES ASST SC TR 1996-A2 MT PS T						X	X			04/01/96	
DEEPTTECH INTERNATIONAL INC	DE					X				05/02/96	
DEERE JOHN OWNER TRUST 1995-A	DE				X	X				01/16/96	
DEL GLOBAL TECHNOLOGIES CORP	NY					X				03/21/96AMEND	
DENAMERICA CORP	GA			X		X				04/29/96	
DIPLOMAT CORP	DE	X				X				12/27/95AMEND	
DST SYSTEMS INC	DE				X					04/30/96	
EASTERN AIR LINES INC	DE		X							03/31/96	
EDITEK INC	DE				X					04/30/96	
ENCORE GROUP INC	OR				X					04/23/96	
EQCC ASSET BACKED CORP	DE				X	X				04/25/96	
EQCC RECEIVABLES CORP	DE				X	X				04/25/96	
EQUITY CORP INTERNATIONAL	DE					X				04/23/96	
FERRELLGAS FINANCE CORP	DE	X		X	X					05/06/96	
FERRELLGAS L P	DE	X		X	X					05/06/96	
FERRELLGAS PARTNERS L P	DE	X		X	X					05/06/96	
FFW CORP	DE				X	X				04/19/96	
FINANCIAL TRUST CORP	PA			X						04/24/96AMEND	
FIRST OMNI BANK NA						X				04/23/96	
FIRST SIERRA EQUIPMENT LEASE TRUST 1996-					X	X				05/01/96	
FIRST UNION NATIONAL BANK OF GEORGIA \					X	X				04/23/96	
FMC CORP	DE				X					05/03/96	
FMC CORP	DE				X					05/06/96	
FMC GOLD CO	DE				X					05/03/96	
GE CAPITAL MOR SERV INC REMIC MUL CL PAS		X				X				04/29/96	
GE CAPITAL MORTGAGE SERVICES INC	NJ				X	X				04/25/96	
GENESIS HEALTH VENTURES INC /PA	PA				X	X				05/03/96	
GILBERT ASSOCIATES INC/NEW	DE			X						05/06/96	
GRACE W R & CO /NY/	NY				X	X				04/29/96	
GREAT LAKES AVIATION LTD	IA					X				04/08/96AMEND	
GREAT LAKES CHEMICAL CORP	DE				X	X				05/04/96	
GREENSTONE INDUSTRIES INC	DE				X	X				05/03/96	
GTE NORTH INC	WI					X				05/07/96	
HALLIBURTON CO	DE				X	X				05/06/96	
HARMAN INTERNATIONAL INDUSTRIES INC /DE/	DE				X					02/27/95	
HARTFORD STEAM BOILER INSPECTION & INSUR	CT				X					05/01/96	
HEALTH SYSTEMS INTERNATIONAL INC	DE				X	X				04/26/96	
HIAWATHA OIL & GAS CORP	DE	X			X	X-X				02/15/96	
HMN FINANCIAL INC	DE				X	X				05/03/96	
HOLLINGER INTERNATIONAL INC	DE				X	X				04/24/96	
HOME BANCORP/IN	IN				X					05/02/96	
HOME HOLDINGS INC	DE				NO ITEMS					05/02/96	
HOST FUNDING INC				X		X				04/22/96	
HUDSON TECHNOLOGIES INC /NY	NY	X				X				04/23/96	
ICH CORP /DE/	DE				X	X				03/31/96	
II-VI INC	PA	X				X				02/22/96AMEND	

8K REPORTS

NAME OF ISSUER	STATE CODE	8K ITEM NO.								DATE	COMMENT
		1	2	3	4	5	6	7	8		
INLAND MONTHLY INCOME FUND III INC	MD		X					X		12/28/95	AMEND
INLAND MONTHLY INCOME FUND III INC	MD		X					X		03/29/96	AMEND
INSCI CORP	DE				X					04/29/96	
INTER REGIONAL FINANCIAL GROUP INC	DE				X	X				05/01/96	
INTERNATIONAL FAMILY ENTERTAINMENT INC	DE		X					X		04/29/96	
INTERNATIONAL WIRE GROUP INC	DE							X		03/05/96	AMEND
INTEVAC INC	CA				X	X				05/03/96	
INVESTORS INSURANCE GROUP INC	FL				X					04/29/96	
IVAC MEDICAL SYSTEMS INC	DE				X	X				03/29/96	
JUMPIN JAX CORP	NV				X					05/01/96	
LASER VISION CENTERS INC	DE				X	X				04/17/96	
LEGGETT & PLATT INC	MO		X							05/06/96	
LEHMAN HOME EQUITY LOAN TRUST 1994-1	NY				X	X				04/23/96	
LEHMAN HOME EQUITY LOAN TRUST 1996-1	NY				X	X				04/15/96	
LEVIATHAN GAS PIPELINE PARTNERS L P	DE							X		05/02/96	
LIDAK PHARMACEUTICALS	CA		X							03/14/96	
LORAL CORP /NY/	NY		X			X				04/23/96	
MAIL WELL INC	DE		X			X				05/02/96	
MANOR CARE INC/NEW	DE				X	X				05/07/96	
MCN CORP	MI				X					05/06/96	
MEDIMMUNE INC /DE	DE				X					04/25/96	
METALCLAD CORP	DE				X					05/31/96	AMEND
MICROFRAME INC	NJ		X							04/26/96	
MIDWEST EXPRESS HOLDINGS INC	DE				X	X				04/19/96	
MISSION BAY SUPER 8 LTD	CA		X			X				04/22/96	
MLCC MORTGAGE INVESTORS INC	DE				X	X				05/02/96	
MLCC MORTGAGE INVESTORS INC	DE				X	X				05/03/96	
MONEY STORE INC CLASSNOTES TR 1995-I ASS								X		04/16/96	
MONEY STORE INC CLASSNOTES TR 1995-1 ASS								X		04/15/96	
MORGAN STANLEY GROUP INC /DE/	DE				X	X				05/06/96	
MOSLER INC	DE				X					04/29/96	
MOUNTASIA ENTERTAINMENT INTERNATIONAL IN	GA				X	X				04/24/96	
MYLEX CORP	FL		X							02/21/96	AMEND
NATIONAL CONVENIENCE STORES INC /DE/	DE				X	X				04/29/96	
NORTH ATLANTIC TECHNOLOGIES INC	MN				X			X		04/19/96	
NRG GENERATING U S INC	DE				X					04/30/96	
OPTICAL CABLE CORP	VA					X				04/01/96	
OTTAWA FINANCIAL CORP	DE				X	X				04/22/96	
PACE INDUSTRIES INC	AR					X	X			05/02/96	
PACIFIC GULF PROPERTIES INC	MD		X	X						05/02/96	
PACIFIC GULF PROPERTIES INC	MD		X					X		05/07/96	AMEND
PACIFIC GULF PROPERTIES INC	MD							X		05/07/96	AMEND
PCT HOLDINGS INC /NV/	NV							X		11/30/95	AMEND
PEGASUS MEDIA & COMMUNICATIONS INC	DE				X	X				03/21/96	
PHYSICIANS HEALTH SERVICES INC	DE				X	X				04/17/96	
PRIME MEDICAL SERVICES INC /TX/	DE		X					X		04/26/96	
PRIME RETAIL INC	MD				X	X				04/29/96	
PRONET INC /DE/	DE				X					05/06/96	
PROVIDENT COMPANIES INC	TN				X	X				12/27/95	
PROVIDENT COMPANIES INC	TN				X	X				04/29/96	
PRUDENTIAL HOME MORTGAGE SECURITIES COMP	DE				X	X				04/30/96	
QUALITY DINING INC	IN				X	X				05/01/96	
QUANTUM CORP /DE/	DE				X	X				05/07/96	
QUANTUM LEARNING SYSTEMS INC	NV		X							05/06/96	
QUIZNOS CORP	CO					X				04/30/96	

8K REPORTS

NAME OF ISSUER	STATE CODE	8K ITEM NO.								DATE	COMMENT
		1	2	3	4	5	6	7	8		
RADISYS CORP	OR	X						X		04/29/96	
READING & BATES CORP	DE							X		05/06/96	
READING & BATES CORP	DE							X		05/07/96	
REGAL CINEMAS INC	TN			X	X					05/01/96	
REGENCY BANCORP	CA			X	X					04/26/96	
REGENCY REALTY CORP	FL	X						X		05/03/96	
RESIDENTIAL ACCREDIT LOANS INC	DE			X	X					04/25/96	
RESIDENTIAL ASSET SECURITIES CORP	DE			X	X					04/25/96	
RESIDENTIAL FUNDING MORTGAGE SECURITIES	DE			X	X					04/25/96	
RESIDENTIAL FUNDING MORTGAGE SECURITIES	DE			X	X					05/06/96AMEND	
RIDGEWOOD ELECTRIC POWER TRUST III	DE	X						X		04/16/96	
ROTECH MEDICAL CORP	FL	X						X		04/01/96	
ROTTLUND CO INC	MN							X		03/01/96AMEND	
SEA GALLEY STORES INC	DE			X	X					04/15/96	
SEMITOOL INC	NY	X						X		02/29/96AMEND	
SIGMA DESIGNS INC	CA	X						X		04/23/96	
SIMTEK CORP	CO									NO ITEMS	05/01/96
SLM FUNDING CORP	DE			X	X					04/25/96	
SMITHS FOOD & DRUG CENTERS INC	DE			X	X					05/06/96	
SPARTA PHARMACEUTICALS INC	DE							X		03/15/96	
SPARTA PHARMACEUTICALS INC	DE			X	X					04/30/96	
SPHERE DRAKE HOLDINGS LTD						X	X			04/28/96	
SPORTS HEROES INC	DE			X						04/29/96	
SPORTS SCIENCES INC	OH			X						05/03/96	
STANSBURY HOLDINGS CORP	UT			X	X	X				04/18/96	
STRUCTURED ASSET SEC CORP MORT PASS THRO	DE			X	X					04/25/96	
SULLIVAN COMMUNICATIONS INC /DE/	DE							X		03/12/96AMEND	
SULLIVAN GRAPHICS INC	NY							X		03/12/96AMEND	
SUN COMMUNITIES INC	MD	X						X		05/01/96	
SUNAMERICA INC	MD							X		09/30/95AMEND	
SUNAMERICA INC	MD							X		12/31/95AMEND	
SUNRISE PRESCHOOLS INC/DE/	DE			X	X					05/01/96AMEND	
TEXAS VANGUARD OIL CO	TX			X						05/06/96	
TEXTRON INC	DE			X						04/29/96	
TRACK DATA CORP	DE	X						X		03/26/96AMEND	
TRANSNATIONAL RE CORP	DE			X						05/02/96	
TRANSWORLD INS CO CLASSNOTES TR 1995-I A								X		04/16/96	
TRINET CORPORATE REALTY TRUST INC	MD			X	X					03/29/96AMEND	
UNC INC	DE			X	X					04/30/96	
UNITED CITIES GAS CO	IL			X						05/03/96	
VERNITRON CORP	DE	X						X		04/25/96	
WESTERN RESOURCES INC /KS	KS			X						04/25/96	
WESTERN RESOURCES INC /KS	KS	X								04/29/96	
WESTERN RESOURCES INC /KS	KS			X						05/06/96	
WINSTON RESOURCES INC	DE			X	X					05/01/96	
WITTER DEAN PRINCIPAL GUARANTEED FUND II	NY	X								03/31/96	
WORK RECOVERY INC	CO	X								04/29/96	
WORK RECOVERY INC	CO	X								04/29/96	
XPEDITE SYSTEMS INC	DE							X		11/20/95AMEND	
XTRA CORP /DE/	DE			X						03/31/96	