

# sec news digest

Issue 80-43

MAR 4 1980

March 3, 1980

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## RULES AND RELATED MATTERS

U.S. SECURITIES AND  
EXCHANGE COMMISSION

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### FINAL RULES RE ANNUAL REPORTS BY MUTUAL AND SUBSIDIARY SERVICE COMPANIES

The Commission prescribes the use of an amended report form U-13-60, revised to conform to the amended Uniform System of Accounts for Mutual and Subsidiary Service Companies, adopted by the Commission on February 2, 1979 (Rel. 35-20910 - 44 FR 8247) and to extend the filing date for the annual reports by mutual and subsidiary service companies under the Public Utility Holding Company Act of 1935 from April 1 to May 1 of each year. Adoption of these proposals will make the annual report consistent with the system of accounts and (1) simplify the preparation of the annual report, (2) more clearly disclose financial, accounting, and operational information needed by Federal and state authorities which regulate the affiliated public utility companies served by the service companies and (3) facilitate the conduct of audit and account inspection programs. The rule and report form shall be effective not later than January 1, 1981. (Rel. 35-21447)

FOR FURTHER INFORMATION CONTACT: Robert P. Wason (202) 523-5159.

### COMMENT PERIOD EXTENDED ON REVIEW OF GUIDES FOR THE PREPARATION AND FILING OF REGISTRATION STATEMENTS

The Commission has extended from February 29, 1980, to March 21, 1980, the date by which comments must be submitted with respect to the re-evaluation of the Guides for the Preparation and Filing of Registration Statements and Reports. (Rel. 33-6191)

FOR FURTHER INFORMATION CONTACT: Catherine Collins or Bruce S. Mendelsohn at (202) 272-2589.

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## COMMISSION ANNOUNCEMENTS

### FILES ON CORPORATE PAYMENTS MADE PUBLIC

The Commission, pursuant to requests under the Freedom of Information Act, has made available to the public certain previously non-public files in its possession relating to questionable corporate payments. Files concerning the following corporations will be made available beginning today: W. R. Grace & Co., Allergan Pharmaceuticals, and National Distributing Co. Inc. These files, and others made available previously, may be inspected at the Commission's Public Reference Room, Room 6101, 1100 L Street, N.W., Washington, D.C., between the hours of 9 a.m. and 5 p.m. Persons wishing further information may call the Public Reference Room at (202) 523-5360.

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## INVESTMENT COMPANY ACT RELEASES

### OPPENHEIMER SPECIAL FUND, INC.

An order has been issued on an application filed by Oppenheimer Special Fund, Inc. (Fund), pursuant to Sections 6(c) and 17(b) of the Act exempting certain transactions in connection with a proposed reorganization of the Fund with Moneco, Inc., from the provisions of Sections 22(c), 22(d), and 17(a) of the Act and Rule 22c-1 thereunder. (Rel. IC-11062 - Feb. 29)

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## HOLDING COMPANY ACT RELEASES

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### NEW ENGLAND ELECTRIC SYSTEM

A notice has been issued giving interested persons until March 27 to request a hearing on a proposal by New England Electric System and three of its subsidiaries concerning their short-term financial arrangements through March 31, 1981. (Rel. 35-21458 - Feb. 29)

### MIDDLE SOUTH UTILITIES, INC.

A notice has been issued giving interested persons until March 24 to request a hearing on a proposal of Middle South Utilities, Inc., a registered holding company, and Arkansas Power & Light Company, its subsidiary, whereby the subsidiary will issue and sell up to an aggregate of 5,600,000 shares of its common stock, at the par value of \$12.50 per share, to the parent company from time to time during the 1980 calendar year. The proceeds of such sales, estimated at \$70 million will be used by the subsidiary to repay short-term debt incurred to finance the subsidiary's construction program. (Rel. 35-21459 - Feb. 29)

### MISSISSIPPI POWER & LIGHT COMPANY

A notice has been issued giving interested persons until March 25 to request a hearing on a proposal of Mississippi Power & Light Company, subsidiary of Middle South Utilities, Inc., to issue from time to time on or before June 30, 1981, notes to banks and commercial paper to dealers in a maximum aggregate principal amount outstanding at any one time not to exceed the lesser of \$45 million or 10% of the capitalization of Mississippi. (Rel. 35-21460 - Feb. 29)

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## TRUST INDENTURE ACT RELEASES

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### CHRYSLER CORPORATION

A notice has been issued giving interested persons until March 24 to request a hearing on an application of Chrysler Corporation, pursuant to Section 310(b)(1)(ii) of the Trust Indenture Act of 1939, declaring that the successor trusteeship of J. Henry Schroder Bank & Trust Company, under certain existing qualified indentures of Chrysler Corporation, is not so likely to involve a material conflict of interest as to make it necessary to disqualify Schroder from acting as successor trustee. (Rel. TI-554)

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## SELF-REGULATORY ORGANIZATIONS

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### NOTICE OF PROPOSED RULE CHANGE

The Philadelphia Stock Exchange, Inc. has filed a proposed rule change under Rule 19b-4 (SR-PHLX-80-1) to delete its Rules 1016 regarding frontrunning of block transactions. Publication of the proposal is expected to be made in the Federal Register during the week of February 25. (Rel. 34-16613)

### APPROVAL OF PROPOSED RULE CHANGES

The Commission has approved the following proposed rule changes: (SR-BSE-79-3) filed by the Boston Stock Exchange (BSE), which would require orders for members' accounts subject to Section 11(a)(1)(G) of the Act and Rule 11a-1-1(T) thereunder, to bear an identifying notation on the order ticket allowing the executing broker to tell other members in the trading crowd that such orders must yield priority, parity, and precedence to orders for the account of any person who is not a member, member organization, or an associated person thereof. (Rel. 34-16616); and (SR-NYSE-79-49) filed by the New York Stock Exchange (NYSE), under which rule, proceeds arising from the transfer of the membership of an equity member of the NYSE, who is associated with a member organization will be subject only to sums owed the NYSE and floor contract debts. (Rel. 34-16617)

## SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC pursuant to the Securities Act of 1933. The information noted below has been taken from the cover page and the facing sheet of the prospectus and registration statement and will appear as follows: Form; Name, address and phone number (if available) of the issuer of the security; Title and the number or face amount of the securities being offered; Name of the managing underwriter (if applicable); Whether the offering is a rights offering; and File number and date filed.

- (S-8) IOWA PUBLIC SERVICE COMPANY, Orpheum Electric Bldg., Sioux City, Iowa 51102 (712-277-7500) - 110,000 shares of common stock. (File 2-66793 - Feb. 28)
- (S-16) TRANS UNION CORPORATION, 90 Half Day Rd., Lincolnshire, Ill. 60015 (312-295-4200) - 80,000 shares of common stock.
- (S-7) BIO-RAD LABORATORIES, INC., 2200 Wright Ave., Richmond, Cal. 94804 (415-234-4130) - 500,000 shares of Class A Common Stock. Underwriter: Bache Halsey Stuart Shields Inc. The company develops, manufactures and markets a broad range of high technology products used for the separation, analysis and measurement of complex chemical mixtures. (File 2-66797 - Feb. 29)
- (S-1) LOUISIANA GENERAL SERVICES, INC., 1233 West Bank Expressway, Harvey, La. 70058 - 400,000 shares of common stock. Underwriter: Edward D. Jones & Co. The company is engaged through subsidiaries principally in natural gas distribution and transmission; oil and gas exploration and development; life, accident and health insurance; and real estate activities. (File 2-66798 - Feb. 29)
- (S-14) TENNECO INC., Tenneco Bldg., Houston, Tex. 77002 (713-757-2131) - 11,359,500 shares of common stock and 3,029,200 shares of cumulative preference stock. (File 2-66799 - Feb. 29)
- (S-16) AVX CORPORATION, 60 Cutter Mill Rd., Great Neck, N.Y. 11021 (516-829-8500) - 1,650,000 shares of common stock. Underwriter: L.F. Rothschild, Unterberg, Towbin. The company designs, manufactures and sells multilayer ceramic capacitors, devices which temporarily store an electrical charge. (File 2-66800 - Feb. 29)
- (S-16) SPECTRA-PHYSICS, INC., 1250 West Middlefield Rd., Mountain View, Cal. 94042 (415-961-2550) - 350,877 shares of capital stock. (File 2-66801 - Feb. 29)
- (S-14) OHIO CITIZENS BANCORP, INC., One Levis Square, Toledo, Ohio 43603 (419-259-7700) - 1,065,952 shares of common stock. (File 2-66802 - Feb. 29)
- (S-16) BALLY MANUFACTURING CORPORATION, 2640 West Belmont Ave., Chicago, Ill. 60618 (312-267-6060) - 35,070 shares of common stock. (File 2-66803 - Feb. 29)
- (N-1) NOVA FUND, INC., 303 Wyman St., Waltham, Mass. 02154 (617-890-4415) - 1,000,000 shares of common stock. The Fund is an open-end diversified investment company, the primary investment objective of which is long term growth of capital. Granaham-Everitt Investments, Inc. is the Investment Adviser. (File 2-66807 - Feb. 29)
- (S-7) PANHANDLE EASTERN PIPE LINE COMPANY, 3000 Bissonnet St., Houston, Tex. 77005 (713-664-3401) - \$100 million of sinking fund debentures, due 1995. Underwriters: Kidder, Peabody & Co. Inc. and Merrill Lynch White Weld Capital Markets Group. The company and its subsidiary are primarily engaged in the purchase, transmission and sale of natural gas. (File 2-66808 - Feb. 29)
- (S-7) UPPER PENINSULA POWER COMPANY, 616 Sheldon Ave., Houghton, Mich. 49931 (906-482-0220) - 200,000 shares of common stock. Underwriter: Blyth Eastman Paine Webber. The company is engaged in the generation, purchase, transmission, distribution and sale of electric energy. (File 2-66809 - Feb. 29)
- (S-1) COLOR TILE, INC., 1700 One Tandy Center, Fort Worth, Tex. 76102 - 2,200,000 shares of common stock. Underwriters: Blyth Eastman Paine Webber Inc.; Merrill Lynch White Weld Capital Markets Group; E. F. Hutton & Company Inc.; and Rauscher Pierce Refsnes, Inc. The company operates stores selling a broad assortment of floor and wall tiles and related products for the do-it-yourself home improvement market. (File 2-66810 - Feb. 29)

- (S-16) INEXCO OIL COMPANY, Suite 1900, 1100 Milam Bldg., Houston, Tex. 77002 (713-651-3300) - 107,500 shares of common stock. The company explores for and produces oil and gas. (File 2-66812 - Feb. 29)
- (S-16) METPATH INC., One Malcolm Ave., Teterboro, N.J. 07608 (201-288-3600) - 33,333 shares of common stock. (File 2-66814 - Feb. 29)
- (S-16) PUBLIC SERVICE COMPANY OF NEW MEXICO, 414 Silver Avenue, S.W., Albuquerque, New Mexico 87102 (505-848-2700). Underwriters: Kidder, Peabody & Co. Inc. and Salomon Brothers. The company is an electric utility. (File 2-66820 - March 3)

REGISTRATIONS EFFECTIVE

- Feb. 25: Deere & Co., 2-66669.  
 Feb. 26: Bangor Punta Corp., 2-66458; Corporate Income Fund, Fifty-Third Short Term Series, 2-66572; Newbery Energy Corp., 2-66238; UA Columbia Cablevision, Inc., 2-65739; Vanderbilt Energy Corp., 2-66460.  
 Feb. 27: Inter-City Gas Limited, 2-66592; Louisville Gas & Electric Co., 2-66617; Todd Shipyards Corp., 2-66674.

REGISTRATIONS WITHDRAWN

- Feb. 14: Real Save, Inc., 2-64623.  
 Feb. 25: The Rovac Corp., 2-64591.

NOTE TO DEALERS. When applicable the 90-day period of time dealers are required to use the prospectus is noted above in parentheses after the name of the issuer. As to the other issuers, there may be no such requirement to use a prospectus, or the requirement may be for a period of only 40 days; see Section 4(3) of the Securities Act of 1933 and Rule 174 (17 CFR 230.174) thereunder.

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## RECENT 8K FILINGS

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Form 8-K is used by companies to file current reports on the following events:

- Item 1. Changes in Control of Registrant.
- Item 2. Acquisition or Disposition of Assets.
- Item 3. Bankruptcy or Receivership.
- Item 4. Changes in Registrant's Certifying Accountant.
- Item 5. Other Materially Important Events.
- Item 6. Resignations of Registrant's Directors.
- Item 7. Financial Statements and Exhibits.

The companies listed below have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. Copies of the reports may be purchased from the Commission's Public Reference Section (in ordering, please give the date of the report). An invoice will be included with the requested material when mailed.

COMPANY	ITEMS NO.	DATE
SOUTHERN NEW ENGLAND TELEPHONE CO	5	02/09/80
STIRLING HOMEX CORP	5	01/01/80
STRATTON CORP	5,7	01/25/80
SULLAIR CORP	5,7	02/11/80
SUNSHINE MINING CO.	2,7	02/09/80
SUSQUEHANNA CORP	5,7	01/04/80
TEMPLET INDUSTRIES INC	5,7	02/05/80
UNIVERSITY PROPERTIES INVESTMENT CORP	1,6	01/01/80
VISUAL ELECTRONICS CORP	5	04/10/79
WESPAC INVESTORS TRUST	5,7	01/28/80
WESTCALIND CORP	5	01/30/80
ZENITH RADIO CORP	5	02/13/80

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## ACQUISITIONS OF SECURITIES

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Companies and individuals must report to the Commission within 10 days on Schedule 13D if after the acquisition of equity securities of a public company their beneficial

interest therein exceeds 5%. Persons eligible to use the short form (Form 13-G) may in lieu of filing a Schedule 13D file a Form 13-G within forty-five days after the end of the calendar year in which the person became subject to Section 13(d)(1). The following companies or individuals filed Schedule 13D's during the period February 11-15, 1980. The number of shares of the security which are beneficially owned, and the number of shares concerning which there is a right to acquire are sent forth for each beneficial owner. \*Amended Acquisition Reports.

<u>REPORTING COMPANY OR INDIVIDUAL</u>	<u>ISSUER &amp; NUMBER OF SHARES</u>	<u>DATE FILED</u>
* Shamrock Associates Westwind Industries, N.V. (Netherland Antilles) Paul O. Koether Natalie I. Koether	Affiliated Capital Corp. (Houston, TX) Common Stock - 140,200 shs.	2-11-80
* Birtor Corporation Limited (British Virgin Islands)	Universal Foods Corporation (Milwaukee, WI) Common Stock - 675,000 shs. (10.8%)	2-12-80
* AEG-Telefunken Aktiengesell- schaft (West Germany)	Modular Computer Systems, Inc. (Fort Lauderdale, FL) Common Stock - 1,090,989 shs. (25%)	2-13-80
Initio, Inc. (Wholly-owned subsidiary of Initio Financial) Initio Financial, Inc. LFM Associates, Inc. Martin Fox, et al	Spencer Companies, Inc. (Boston, MA) Common Stock - 88,200 shs.	2-13-80
Penn Virginia Corp. E.I.N. Penn Virginia Equities Corp. E.I.N. (Wholly-owned subsidiary of Penn Virginia Corp.)	Valtek Incorporated (Springville, UT) Common Stock - 32,830 shs. Common Stock - 256,630 shs. on conversion of Debentures	2-13-80
* Amax Inc.	Adobe Oil & Gas Corp. (Midland, TX) Common Stock - 2,238,700 shs. (33.9%) Common Stock - 100,000 shs. on conversion of Preferred Stock	2-14-80
Quantum Overseas N.V. (Netherland Antilles) Soros Fund Management Co., Inc. George Soros	Atlantic Research Corp. (Alexandria, VA) Common Stock - 85,000 shs.	2-14-80
* Dwight L. Allison, Jr. Robert A. G. Monks Millicent C. S. Monks Ellen E. M. Higgins, et al	Boston Company, Inc. (Boston, MA) Class B Common Stock - 259,637 shs. (27.3%)	2-14-80
* Woodland Investment Partners Nicole F. Kohl Atlee M. Kohl	Capitol Food Industries, Inc. (Chicago, IL) Common Stock - 81,300 shs.	2-14-80
Lexington Investment Company	Commerce Southwest Inc. (Dallas, TX) Common Stock - 646,288 shs. (12.6%) Common Stock - 16,668 shs. on conversion of Preferred Stock Common Stock - 121,459 shs. on exercise of option	2-14-80
John D. Harrison	Delta Natural Gas Company, Inc. (Winchester, KY) Common Stock - 6,282 shs.	2-14-80
Minnie Harrison	Delta Natural Gas Company, Inc. (Winchester, KY) Common Stock - 6,222 shs.	2-14-80
* Fentress Bracewell	First Continental Life Group, Inc. (Houston, TX) Common Stock - 40,340 shs.	2-14-80
Richard A. Eliasberg	First Federated Life Insurance Co. (Baltimore, MD) Common Stock - 117,985 shs. (39.3%)	2-14-80

## ACQUISITION REPORTS cont.

Eliasberg Bros., Inc.	First Federated Life Insurance Co. (Baltimore, MD) Common Stock - 53,855 shs. (18%)	2-14-80
International Systems, Inc.	Florafax International, Inc. (Tulsa, OK) Common Stock - 147,253 shs.	2-14-80
Edward Hines, Individually, Co-Trustee and Executor	Edward Hines Lumber Co. (Chicago, IL) Common Stock - 229,948 shs. (13.1%) (Included above are shares owned by his wife, individually and as trustee.)	2-14-80
* Hughes, Inc.	Hughes Supply, Inc. (Orlando, FL) Common Stock - 120,448 shs.	2-14-80
* Husky Oil Ltd. (Canada) Husky Oil Co. Husky Oil Operations Ltd. (Canada)	Husky Exploration Ltd. (Denver, CO) Limited Partnership Interests - \$6,041,627 (44.5%)	2-14-80
* Geneve Corporation	Independence Mortgage Trust (Atlanta, GA) Shares of Beneficial Interest - 658,400 (25%)	2-14-80
John S. Runge	L R Company (Casper, WY) Common Stock - 2,534,976 shs. (36.68%)	2-14-80
* Rudi E. Scheidt	National Commerce Bancorporation (Memphis, TN) Common Stock - 208,862 shs.	2-14-80
Deutsche Gelatine Fabriken Stoess & Co. GmbH (West Germany)	R. P. Scherer Corporation (Troy, MI) Common Stock - 500,000 shs.	2-14-80
* VDO Adolf Schindling AG (West Germany)	Solid State Scientific, Inc. (Montgomeryville, PA) Common Stock - 324,200 shs. Common Stock - 270,800 shs. on conversion of Debentures	2-14-80
Donald C. Hoodes Roger B. Gustafson William R. White, Individually and Trustees	Sullair Corporation (Michigan City, IN) Common Stock - 700,090 shs. Common Stock - 65,120 shs. on exercise of options	2-14-80
Norman S. Andrews	Trans Graphics, Inc. (West Haven, CT) Common Stock - 358,140 shs. (52.82%)	2-14-80
* United Canso Oil & Gas Ltd. Shareholders Committee	United Canso Oil & Gas Ltd. (Calgary, Alberta, Canada) Capital Stock - 394,500 shs.	2-14-80
* Mitchell Wolfson	Wometco Enterprises Inc. (Miami, FL) Class A Common Stock - 1,286,743.5 shs. (14.6%)	2-14-80
* David F. Bolger	Worcester Bancorp, Inc. (Worcester, MA) Common Stock - 203,844 shs. (13.2%)	2-14-80
CLAL Industries Limited (Israel) (Controlled by CLAL (Israel)) CLAL (Israel) Ltd. (Israel)	American Israeli Paper Mills, Inc. (Hadera, Israel) Ordinary Stock - 37,433,183 shs. (31.1%)	2-15-80
* PEC Israel Economics Corp. Discount Bank Investment Corp. Ltd. IDB Bankholding Corp. Ltd. (Israel), et al	American Israeli Paper Mills, Inc. (Hadera, Israel) Ordinary Stock - 37,433,183 shs. (31.1%)	2-15-80
Gulf + Western Industries, Inc. Charles G. Bluhdorn	Burlington Industries, Inc. (Greensboro, NC) Common Stock - 1,800,000 shs.	2-15-80
* Cardev Ltd. (Wholly-owned subsidiary of Carma Developers Ltd. (Canada)	Christiana Companies, Inc. (Santa Monica, CA) Common Stock - 392,500 shs. (16.5%)	2-15-80

## ACQUISITION REPORTS cont.

A. E. Walker	Delta Natural Gas Co., Inc. (Winchester, KY) Common Stock - 7,460 shs.	2-15-80
* Harry Weinberg	Dillingham Corp. (Honolulu, HI) Common Stock - 1,311,064 shs.	2-15-80
* Minerals & Resources Corp. Ltd. (Bermuda)	Engelhard Minerals & Chemicals Corp. (NYC) Common Stock - 18,540,935 shs. (28.17%)	2-15-80
* De Rance, Inc.	Farmer Bros. Co. (Torrance, CA) Common Stock - 165,150 shs.	2-15-80
United California Bank Toni Brotman Roven, Individually and Co-Administrators	General Health Services Inc. (Culver City, CA) Common Stock - 1,087,892 shs. (42.4%)	2-15-80
* American Financial Corp. Carl H. Lindner Robert D. Lindner	Gulf United Corp. (Jacksonville, FL) Common Stock - 2,091,346 shs. (15.7%) (Group has entered into agreements to acquire an additional 2,000,000 shares.)	2-15-80
Nathan S. Ancell, Individually and Co-Executor	Interco Inc. (St. Louis, MO) \$7.75 Convertible Preferred Stock - 543,513 shs. (58.2%)	2-15-80
T. R. Anderson, Individually and Co-Trustee	Lehigh Coal & Navigation Co. (NYC) Common Stock - 442,578 shs. Common Stock - 67,482 shs. on conversion of Preferred Stock	2-15-80
* T. R. Anderson, Individually and Co-Trustee	Lehigh Coal & Navigation Co. (NYC) Common Stock - 553,168 shs. (15.1%) Common Stock - 67,482 shs. on conversion of Preferred Stock	2-15-80
Maynard B. Hasselquist, Individually and Co-Trustee	Lehigh Coal & Navigation Co. (NYC) Common Stock - 325,316 shs. Common Stock - 66,618 shs. on conversion of Preferred Stock	2-15-80
J. Ford Bell, Individually and Co-Trustee	Lehigh Coal & Navigation Co. (NYC) Common Stock - 265,447 shs. Common Stock - 25,280 shs. on conversion of Preferred Stock	2-15-80
Charles H. Bell, Individually and Co-Trustee	Lehigh Coal & Navigation Co. (NYC) Common Stock - 314,611 shs. Common Stock - 30,832 shs. on conversion of Preferred Stock	2-15-80
Herbert O. Perry	Lehigh Coal & Navigation Co. (NYC) Common Stock - 243,349 shs. Common Stock - 21,230 shs. on conversion of Preferred Stock	2-15-80
Samuel H. Bell, Individually and Co-Trustee	Lehigh Coal & Navigation Co. (NYC) Common Stock - 214,313 shs. Common Stock - 19,508 shs. on conversion of Preferred Stock	2-15-80
* Dover Limited (British Virgin Islands)	Package Machinery Co. (East Longmeadow, MA) Common Stock - 37,717 shs.	2-15-80
W. H. Hunt	Penn Central Corporation (NYC) Common Stock - 432,900 shs. Common Stock - 587,064 shs. on conversion of Preference Stock	2-15-80
Hollins College Corporation	Roanoke Electric Steel Corp. (Roanoke, VA) Common Stock - 165,785 shs. (13.98%)	2-15-80

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