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# SEC NEWS DIGEST

Issue 2000-224

November 22, 2000

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## COMMISSION ANNOUNCEMENTS

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### COMMISSION MEETINGS

Following is a schedule of Commission meetings which will be conducted under provisions of the Government in the Sunshine Act. Meetings will be scheduled according to the requirements of agenda items under consideration.

Meetings will be held in the Commission Meeting Room, Room 1C30, at the Commission's headquarters building, 450 Fifth Street, N.W., Washington, D.C. Visitors are welcome at all open meetings, insofar as space is available. Persons wishing to photograph or videotape Commission meetings must obtain permission in advance from the Secretary of the Commission. Persons wishing to tape record a Commission meeting should notify the Secretary's office 48 hours in advance of the meeting.

Any member of the public who requires auxiliary aids such as a sign language interpreter or material on tape to attend a public meeting should contact Rochelle Franks, Office of Administrative and Personnel Management, to make arrangements. Ms. Franks can be reached at TTY number (202) 942-9558. If you are calling from a non-TTY number, please call the Relay Service at 1-800-877-8339.

### OPEN MEETING – WEDNESDAY, NOVEMBER 29, 2000 – 10:00 A.M.

The subject matter of the open meeting will be:

The Commission will hear oral argument on an appeal by Seaboard Investment Advisers, Inc. and Eugene W. Hansen (together, Respondents) as well as the Division of Enforcement from an administrative law judge's initial decision.

The law judge found that the United States District Court for the Eastern District of Virginia had issued an order, with Respondents' consent without admitting or denying liability, permanently enjoining the Respondents from violating Sections 206(1), 206(2), and 206(4) of the Investment Advisers Act of 1940 and Advisers Act Rule 206(4)-1(a)(5) and from violating an earlier Commission Order Making Findings and Imposing

Remedial Sanctions and Cease and Desist Order. On the basis of the injunction, the law judge revoked the registration of Seaboard and suspended Hansen from being associated with an investment adviser for a period of twelve months.

Among the issues likely to be argued are the following:

- (1) whether the record establishes that the Respondents were permanently enjoined from violating antifraud provisions of the securities laws and from violating an earlier Commission cease-and-desist order; and
- (2) if so, what sanction, if any, is appropriate in the public interest.

For further information, contact Alissa L. Baum at (202) 942-0923.

**CLOSED MEETING – WEDNESDAY, NOVEMBER 29, 2000 – 11:00 A.M.**

The subject matter of the closed meeting scheduled for Wednesday, November 29, will be: Post argument discussion; and an Opinion.

**CLOSED MEETING – THURSDAY, NOVEMBER 30, 2000 – 11:00 A.M.**

The subject matter of the closed meeting scheduled for Thursday, November 30, will be: Institution and settlement of injunctive actions; and Institution and settlement of administrative proceedings of an enforcement nature.

At times, changes in Commission priorities require alterations in the scheduling of meeting items. For further information and to ascertain what, if any, matters have been added, deleted or postponed, please contact: The Office of the Secretary at (202) 942-7070.

**COMMISSION RELEASES FINAL RULE REVISIONS ON AUDITOR INDEPENDENCE**

On November 21, the Commission released revisions of its auditor independence rules. The release can be accessed on the Commission's web site at <http://www.sec.gov/rules/final/33-7919.htm>. (Press Rel. 2000-177)

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**ENFORCEMENT PROCEEDINGS**

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**IN THE MATTER OF RALPH LEBLANC**

On November 21, an administrative law judge issued an initial decision In the Matter of Ralph W. LeBlanc. The Division of Enforcement (Division) alleged that from 1992 through February 1996, Respondent LeBlanc participated in the offer and sale of Alpha

Diversified Industries, Inc. (Alpha) common stock, which was a "penny stock" as defined by the Securities Exchange Act of 1934 (Exchange Act) and Rules thereunder, and that through that period Respondent LeBlanc was president and chairman of the board of directors of Alpha. The Division further alleged that on September 10, 1997, the Securities and Exchange Commission (Commission) commenced an action, SEC v. Alpha Diversified Industries, Inc., et al., Civil Action No. 97-2814 "B" (E.D. La.), in the United States District Court for the Eastern District of Louisiana, and on March 26, 1999, the Court entered a judgment enjoining Respondent LeBlanc from future violations of Section 17(a) of the Securities Act of 1933 and Section 10(b) of the Exchange Act and Rule 10b-5 thereunder.

The administrative law judge found that Respondent LeBlanc had been so enjoined and that it was in the public interest that he be barred from participating in an offering of penny stock.

Consequently, in the initial decision, pursuant to Section 15(b)(6) of the Exchange Act, Respondent Ralph W. LeBlanc was barred from participating in an offering of penny stock. (Initial Decision No. 174; File No. 3-10065)

### **NASD CENSURE AND FINE OF KEITH PERKINS SUSTAINED**

The Commission sustained the disciplinary action the National Association of Securities Dealers, Inc. (NASD) imposed on Keith S. Perkins, a general securities representative.

The NASD had found that Perkins violated Conduct Rule 2110 by creating and submitting false expense receipts for reimbursement of costs he did not incur. The NASD had censured Perkins and imposed a \$7,500 fine. (Rel. 34-43599; File No. 3-10109)

### **SEC SUES JEFFREY LEACH, HUBERT LEACH AND LMC ASSETS CORP. FOR FRAUDULENT MINI TENDER OFFERS**

The Commission today announced the filing of a lawsuit against Jeffrey L. Leach, his brother Hubert A. Leach, both of whom are residents of Philadelphia, Pennsylvania, and LMC Assets Corp., for fraud in connection with three mini tender offers. The complaint alleges that Jeffrey and Hubert Leach, president and former vice-president of LMC Assets, made millions of dollars in unlawful profits, and caused investors to suffer millions of dollars in losses, by making fraudulent mini tender offers for shares of Fleming Companies, Inc., Fruit of the Loom, Ltd., and Mattel, Inc. Mini tender offers are offers for less than five percent of a class of securities registered with the Commission. The fraudulent offers were made through two shell corporations that Jeffrey Leach created and controlled – defendant LMC Assets and Carnegie Investment Management, Ltd., a Cayman Islands company that recently filed a petition for bankruptcy.

The complaint alleges that all three tender offers were fraudulent for several reasons, including:

- The offering documents contained material omissions and false statements about the offeror's intent in making the offer, its ability to pay for the tendered shares, and other material matters.
- The defendants made numerous false and misleading statements outside of the offering documents; in particular, they made many false promises to tendering shareholders that they would be paid for their shares, typically by a date certain, and simply never paid for many shares tendered.
- The defendants structured the offers without the use of any escrow account or independent receiving agent, and failed to disclose that they could not pay for the shares; thus, they gained control of the shares before payment and obtained the equivalent of an unsecured loan and a free call option on the tendered shares. The defendants then used the unpaid-for shares as collateral to receive millions of dollars in cash from margin loans and to engage in extremely risky margin trading schemes.
- The defendants engaged in other practices that are specifically unlawful in connection with tender offers. For example, the defendants unlawfully amended the Mattel offer by changing the offering price but failing to give shareholders sufficient time to consider the amended offer. The defendants also unilaterally and illegally amended the Fruit of the Loom offer five weeks after its purported closing, and coerced shareholders into accepting a significantly lower price or the return of their shares. And, in connection with all three offers, the defendants failed to pay for or return the shares promptly after the close of each offer.

The Commission's complaint seeks to permanently enjoin Jeffrey Leach, Hubert Leach and LMC Assets from violating Sections 10(b) and 14(e) of the Securities Exchange Act of 1934, and Rules 10b-5 and 14e-1 thereunder. The complaint also seeks disgorgement of all ill-gotten gains and losses avoided, prejudgment interest, and civil monetary penalties against the defendants. [SEC v. Jeffrey L. Leach, et al., Civil Action No. 00 CV 5928, USDC, ED Pa.] (LR-16807)

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## INVESTMENT COMPANY ACT RELEASES

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### PMC CAPITAL, INC., ET AL.

An order has been issued on an application filed by PMC Capital, Inc., et al. under the Investment Company Act. The order permits (1) a business development company (BDC) to engage in a loan origination agreement with an affiliated real estate investment trust (REIT), (2) investment management agreements between the REIT and subsidiaries of the BDC, and (3) the establishment of special purpose entities owned by the BDC and the REIT to engage in joint loan securitizations. (Rel. IC-24743 – November 21)

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## SELF-REGULATORY ORGANIZATIONS

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### APPROVAL OF PROPOSED AMENDMENTS TO THE OPTIONS INTERMARKET LINKAGE PLAN

The Commission approved an amendment to the Options Intermarket Linkage Plan (Linkage Plan) submitted pursuant to Rule 11Aa3-2 under the Securities Exchange Act of 1934 by the Philadelphia Stock Exchange to add the Phlx as a participant to the Linkage Plan. (Rel. 34-43573)

The Commission approved an amendment to the Options Intermarket Linkage Plan (Linkage Plan) submitted pursuant to Rule 11Aa3-2 under the Securities Exchange Act of 1934 by the Pacific Exchange to add the PCX as a participant to the Linkage Plan. (Rel. 34-43574)

### ACCELERATED APPROVAL OF PROPOSED RULE CHANGES

The National Securities Clearing Corporation filed a proposed rule change (SR-NSCC-00-11) under Section 19(b)(1) of the Exchange Act. The Commission approved on an accelerated basis the proposed rule change which modifies NSCC's rules and procedures pertaining to account transfers in its Automated Customer Account Transfer Service. Publication of the notice and order is expected in the Federal Register during the week of November 27. (Rel. 34-43597)

The Commission granted accelerated approval to a proposed rule change submitted by the National Association of Securities Dealers, through its wholly owned subsidiary NASD Regulation, Inc. (SR-NASD-00-58), under Rule 19b-4 of the Securities Exchange Act of 1934 relating to member firm transactions with association employees. Publication of the proposal is expected in the Federal Register during the week of November 20. (Rel. 34-43580)

The Commission approved a proposed rule change (SR-NASD-00-15) filed by the National Association of Securities Dealers that amends NASD Rule 2520, "Margin Requirements," to revise the margin requirements for certain options and warrants. Publication of the order is expected in the Federal Register during the week of November 20. (Rel. 34-43581)

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## SECURITIES ACT REGISTRATIONS

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The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount

of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

Registration statements may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <publicinfo@sec.gov>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

- S-3 GOLDMAN SACHS GROUP INC, 85 BROAD ST, NEW YORK, NY 10004 (212) 902-1000  
- 1,000,000 (\$85,625,000) COMMON STOCK. (FILE 333-49958 - NOV. 15)  
(BR. 7)
- S-3 SCANA CORP, 1426 MAIN ST, P O BOX 764, COLUMBIA, SC 29201 (803) 217-9000  
- 300,000,000 (\$300,000,000) COMMON STOCK. (FILE 333-49960 - NOV. 15)  
(BR. 2)
- S-8 TECHNE CORP /MN/, 614 MCKINLEY PL N E, MINNEAPOLIS, MN 55413  
49962 - (612) 379-8854 - 1,500,000 (\$146,390,625) COMMON STOCK. (FILE 333-  
NOV. 15) (BR. 1)
- S-3 CENTEX CORP, P O BOX 199000, 2728 N HARWOOD, DALLAS, TX 75201  
15) (214) 981-5000 - \$1,100,000,000 COMMON STOCK. (FILE 333-49966 - NOV.  
(BR. 6)
- SB-2 SUPERLOTTO WS INC, 4275 EXECUTIVE SQ., SAN DIEGO, CA 92037  
(858) 546-2807 - 5,000,000 (\$500,000) COMMON STOCK. (FILE 333-49968 -  
NOV. 15) (NEW ISSUE)
- S-8 SEACOAST BANKING CORP OF FLORIDA, 815 COLORADO AVE, P O BOX 9012,  
STUART, FL 34994 (561) 287-4000 - 400,000 (\$9,750,000) COMMON STOCK.  
(FILE 333-49972 - NOV. 15) (BR. 7)
- S-3 TRITON PCS HOLDINGS INC, 375 TECHNOLOGY DRIVE, MALVERN, PA 19355  
49974 (610) 651-5900 - 300,000,000 (\$300,000,000) COMMON STOCK. (FILE 333-  
- NOV. 15) (BR. 7)
- S-8 LINCOLN ELECTRIC HOLDINGS INC, 22801 ST CLAIR AVE, CLEVELAND, OH  
44117 (216) 481-8100 - 500,000 (\$8,781,250) COMMON STOCK. (FILE 333-49976 -  
NOV. 15) (BR. 5)
- S-8 NETWORK ENGINES INC, 61 PLEASANT STREET, RANDOLPH, MA 02368  
49978 (781) 961-4400 - 1,710,929 (\$25,877,801.13) COMMON STOCK. (FILE 333-  
- NOV. 15) (BR. 5)

- S-3 TRANSWITCH CORP /DE, THREE ENTERPRISE DRIVE, SHELTON, CT 06484  
 (203) 929-8810 - 460,000,000 (\$460,000,000) COMMON STOCK. (FILE 333-  
 49980 - NOV. 15) (BR. 5)
- S-8 CAMTEK LTD, INDUSTRIAL ZONE PO BOX 631,  
 MIGDAL HAEMEK 011-972-6-644-0521, ISRAEL 10556, L3 00000 - 1,473,128  
 (\$4,699,278.30) COMMON STOCK. (FILE 333-49982 - NOV. 15) (BR. 5)
- S-8 COVAD COMMUNICATIONS GROUP INC, 2330 CENTRAL EXPRESSWAY, SANTA  
 CLARA, CA  
 95050 (408) 844-7500 - \$6,529,606.06 COMMON STOCK. (FILE 333-49984 -  
 NOV. 15) (BR. 7)
- S-8 SIEBEL SYSTEMS INC, 1855 SOUTH GRANT STREET, SAN MATEO, CA 94402  
 (650) 295-5000 - 486,523 (\$3,488,661.82) COMMON STOCK. (FILE 333-49986  
 - NOV. 15) (BR. 3)
- S-8 WEST POINTE BANCORP INC, 5701 WEST MAIN STREET, BELLEVILLE, IL 62226  
 (618) 234-5700 - 50,000 (\$1,905,761) COMMON STOCK. (FILE 333-49990 -  
 NOV. 15) (BR. 7)
- S-8 INKINE PHARMACEUTICAL CO INC, 1720 WALTON RD, SUITE 200, BLUE BELL,  
 PA  
 19422 (610) 260-9350 - 400,000 (\$283,000) COMMON STOCK. (FILE 333-  
 49992 - NOV. 15) (BR. 1)
- S-8 AVI BIOPHARMA INC, ONE SW COLUMBIA, STE 1105, PORTLAND, OR 97258  
 (503) 227-0554 - 250,000 (\$1,640,625) COMMON STOCK. (FILE 333-49994 -  
 NOV. 15) (BR. 1)
- S-8 AVI BIOPHARMA INC, ONE SW COLUMBIA, STE 1105, PORTLAND, OR 97258  
 (503) 227-0554 - 1,866,667 (\$10,325,919.42) COMMON STOCK. (FILE 333-  
 49996 - NOV. 15) (BR. 1)
- S-3 FUSION NETWORKS HOLDINGS INC, 8115 NW 29TH ST, MIAMI, FL 33122  
 (305) 477-6701 - 8,782,432 (\$7,986,640.16) COMMON STOCK. (FILE 333-  
 49998 - NOV. 15) (BR. 6)
- S-8 HOUSEHOLD INTERNATIONAL INC, 2700 SANDERS RD, PROSPECT HEIGHTS, IL  
 60070  
 (847) 564-6996 - 12,000,000 (\$601,875,600) COMMON STOCK. (FILE 333-  
 50000 - NOV. 15) (BR. 7)
- S-3 HMG WORLDWIDE CORP, 475 TENTH AVE 12TH FLOOR, NEW YORK, NY 10018  
 (212) 736-2300 - 700,000 (\$1,750,000) COMMON STOCK. (FILE 333-50002 -  
 NOV. 15) (BR. 6)
- S-8 LANDAMERICA FINANCIAL GROUP INC, 101 GATEWAY CTR PKWAY, GATEWAY ONE,  
 RICHMOND, VA 23235 (804) 267-8000 - 8,000,000 (\$8,000,000)  
 OTHER SECURITIES INCLUDING VOTING TRUST. (FILE 333-50004 - NOV. 15)  
 (BR. 1)

S-8 MARIMBA INC, 440 CLYDE AVE, MOUNTAIN VIEW, CA 94043 (650) 930-5282 -  
\$5,903,715 COMMON STOCK. (FILE 333-50006 - NOV. 15) (BR. 3)

S-8 EXACTECH INC, 4613 NW 6TH ST, GAINESVILLE, FL 32609 (352) 377-1140 -  
720,041 (\$7,460,859.20) COMMON STOCK. (FILE 333-50010 - NOV. 15) (BR.  
5)

S-8 TUPPERWARE CORP, 14901 S ORANGE BLOSSOM TRAIL, ORLANDO, FL 32802  
(407) 826-5050 - \$4,000,000 COMMON STOCK. (FILE 333-50012 - NOV. 15)  
(BR. 6)

S-8 EARTH SCIENCES INC, 8100 SOUTHPARK WAY, B-2, LITTLETON, CO 80120  
(303) -73-4-17 - \$420,948 COMMON STOCK. (FILE 333-50014 - NOV. 15)  
(BR. 4)

S-3 PENN TREATY AMERICAN CORP, 3440 LEHIGH ST, ALLENTOWN, PA 18103  
(610) 965-2222 - \$75,000,000 COMMON STOCK. (FILE 333-50016 - NOV. 15)  
(BR. 1)

S-4 HILB ROGAL & HAMILTON CO /VA/, 4235 INNSLAKE DR, GLEN ALLEN, VA  
23060  
(804) 747-6500 - 3,000,000 (\$122,062,500) COMMON STOCK. (FILE 333-  
50018 -  
NOV. 15) (BR. 1)

S-3 ARONEX PHARMACEUTICALS INC, 8707 TECHNOLOGY FOREST PLACE, THE  
WOODLANDS,  
TX 77381 (281) 367-1666 - \$24,000,000 COMMON STOCK. (FILE 333-50020 -  
NOV. 15) (BR. 1)

S-3 PROFESSIONAL DETAILING INC, 10 MOUNTAINVIEW ROAD, UPPER SADDLE  
RIVER, NJ  
07458 (201) 258-8450 - 1,131,194 (\$130,511,508) COMMON STOCK. (FILE  
333-50024 - NOV. 15) (BR. 8)

S-1 ALLIED RISER COMMUNICATIONS CORP, 1700 PACIFIC AVE, STE 400, DALLAS,  
TX  
75201 (214) 210-3000 - 150,000,000 (\$150,000,000) STRAIGHT BONDS.  
11,892,000 (\$44,595,000) COMMON STOCK. (FILE 333-50026 - NOV. 15) (BR.  
7)

S-3 NORDSTROM INC, 1617 SIXTH AVENUE, SUITE 500, SEATTLE, WA 98101  
(206) 628-2111 - 2,537,000 (\$45,031,750) COMMON STOCK. (FILE 333-50028  
-  
NOV. 15) (BR. 2)

S-8 AMERUS LIFE HOLDINGS INC, 699 WALNUT STREET, DES MOINES, IA 50309  
(515) 362-3600 - 1,600,000 (\$43,344,000) COMMON STOCK. (FILE 333-50030  
-  
NOV. 15) (BR. 1)

S-3 DEVON ENERGY CORP/DE, 20 N BROADWAY, STE 1500, OKLAHOMA CITY, OK  
73102  
(405) 235-3611 (FILE 333-50034 - NOV. 16) (BR. 4)



S-3 DEVON ENERGY CORP/DE, 20 N BROADWAY, STE 1500, OKLAHOMA CITY, OK  
 73102 (405) 235-3611 - 760,000,000 (\$352,738,800) STRAIGHT BONDS. (FILE  
 333-50036 - NOV. 16) (BR. 4)

S-8 AEROGEN INC, 1310 CORONADO DR, SANTA CLARA, CA 95054 (408) 543-2400  
 6,581,867 (\$67,523,285) COMMON STOCK. (FILE 333-50038 - NOV. 16) (BR.  
 5)

## RECENT 8K FILINGS

Form 8-K is used by companies to file current reports on the following events:

- Item 1. Changes in Control of Registrant.
- Item 2. Acquisition or Disposition of Assets.
- Item 3. Bankruptcy or Receivership.
- Item 4. Changes in Registrant's Certifying Accountant.
- Item 5. Other Materially Important Events.
- Item 6. Resignations of Registrant's Directors.
- Item 7. Financial Statements and Exhibits.
- Item 8. Change in Fiscal Year.
- Item 9. Regulation FD Disclosure.

The following companies have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. 8-K reports may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <publicinfo@sec.gov>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
ACCEL INTERNATIONAL CORP	DE					X					11/20/00	
ACCRUE SOFTWARE INC	DE								X		07/14/00	
ACE CASH EXPRESS INC/TX	TX					X			X		11/09/00	
ACE CASH EXPRESS INC/TX	TX		X						X		11/10/00	
ACTIVE VOICE CORP	WA					X			X		11/09/00	
ADVANTA BUSINESS RECIEVABLES CORP			X						X		11/16/00	
ADVANTA BUSINESS RECIEVABLES CORP									X		11/20/00	
AG-CHEM EQUIPMENT CO INC	MN					X			X		11/20/00	
AIR PRODUCTS & CHEMICALS INC /DE/	DE					X					11/16/00	
ALAMO GROUP INC	DE		X								12/31/00	
ALEXION PHARMACEUTICALS INC	DE		X						X		09/18/00	AMEND
AMERICAN RESTAURANT GROUP INC	DE					X					11/21/00	
AMERICAN RIVER HOLDINGS	CA					X			X		11/16/00	
AMERISTAR CASINOS INC	NV					X			X		11/17/00	
ANYTHING INTERNET CORP	CO					X	X				11/20/00	
APPLIED MEDICAL DEVICES INC	CO								X		11/17/00	
APTA HOLDINGS INC	DE								X		11/16/00	
ARDEN REALTY INC	MD		X								11/20/00	

NAME OF ISSUER	STATE CODE	BK ITEM NO.									DATE	COMMENT	
		1	2	3	4	5	6	7	8	9			
ARDEN REALTY LIMITED PARTNERSHIP	MD	X										11/20/00	
ARMITEC INC	DE			X	X				X			08/06/98	AMEND
AT PLAN INC					X			X				11/17/00	AMEND
ATWOOD OCEANICS INC	TX				X							11/21/00	
AUBURN NATIONAL BANCORPORATION INC	DE				X			X				11/22/00	
AURORA BIOSCIENCES CORP	DE				X			X				11/16/00	
AUTOTOTE CORP	DE							X				09/06/00	AMEND
AVOCENT CORP	DE		X					X				11/03/00	
BALANCED CARE CORP	DE				X			X				11/15/00	
BANC ONE AUTO GRANTOR TRUST 1997-B	NY				X			X				11/20/00	
BANC ONE HELOC TRUST 1998-1	OH				X			X				11/20/00	
BANC ONE HELOC 1999-1	OH				X			X				11/20/00	
BANK OF AMERICA MORTGAGE SECURITIES INC	DE				X			X				11/21/00	
BANK ONE TEXAS NATIONAL ASSOCIATION								X	X			11/20/00	
BEBE STORES INC								X	X			11/20/00	
BECTON DICKINSON & CO	NJ										X	11/20/00	
BIODELIVERY SCIENCES INTERNATIONAL INC	IN		X									11/20/00	
BIOGAN INTERNATIONAL INC	DE	X	X					X				08/01/00	AMEND
BIOSOURCE INTERNATIONAL INC	DE					X		X				11/16/00	
BLUEFLY INC	NY					X						11/20/00	
BOMBARDIER CAPITAL MORTGAGE SECURIT IZATION CORP	VT							X				10/31/00	
BOMBARDIER CAPITAL MORTGAGE SECURIT IZATION CORP	VT							X				10/31/00	
BOMBARDIER CAPITAL MORTGAGE SECURIT IZATION CORP	VT							X				10/31/00	
BOMBARDIER CAPITAL MORTGAGE SECURIT IZATION CORP	VT							X				10/31/00	
BOMBARDIER CAPITAL MORTGAGE SECURIT IZATION CORP	VT							X				10/31/00	
BOMBARDIER CAPITAL MORTGAGE SECURIT IZATION CORP	VT							X				10/31/00	
BOMBARDIER CREDIT RECEIVABLES CORP	DE							X				10/31/00	
BOMBARDIER CREDIT RECEIVABLES CORP	DE							X				10/31/00	
BRISTOL RETAIL SOLUTIONS INC	DE		X					X				11/06/00	
BUREAU OF NATIONAL AFFAIRS INC	DE		X					X				11/10/00	
BUSINESS MALL COM INC	NV							X	X			11/20/00	
C-PHONE CORP	NY							X	X			11/20/00	
CALIFORNIA COASTAL COMMUNITIES INC	DE				X			X				11/21/00	
CAMINUS CORP	DE							X				08/30/00	AMEND
CELEXX CORP	NV				X							11/21/00	
CENTERPOINT PROPERTIES TRUST	MD							X				11/17/00	
CENTEX CORP	NV					X		X				11/17/00	
CENTRAL VERMONT PUBLIC SERVICE CORP	VT					X						11/16/00	
CERTRON CORP	CA				X			X				09/18/00	AMEND
CHAMPION ENTERPRISES INC	MI					X		X				11/21/00	
CHANCELLOR GROUP INC/	NV		X			X		X				10/11/00	
CHEVY CHASE AUTO RECEIVABLES TRUST 1997-2	MD					X						11/20/00	
CHURCHILL DOWNS INC	KY		X									09/08/00	AMEND
CIRCUIT RESEARCH LABS INC	AZ							X				06/15/00	AMEND
CITICORP MORTGAGE SECURITIES INC	DE		X									11/21/00	

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT	
		1	2	3	4	5	6	7	8	9			
CLEVELAND CLIFFS INC	OH										X	11/16/00	
COACHMEN INDUSTRIES INC	IN				X		X					10/06/00	
COLLEGIATE PACIFIC INC	DE		X					X				09/07/00	AMEND
COMPUTER ASSOCIATES INTERNATIONAL I NC	DE										X	11/21/00	
COOPER INDUSTRIES INC	OH								X		X	11/21/00	
CPS AUTO RECEIVABLES TRUST 1998-4	CA										X	10/15/00	
CROSS TIMBERS OIL CO	DE					X		X				10/25/00	
CSB BANCORP INC /OH	OH					X		X				11/17/00	
CTC COMMUNICATIONS GROUP INC	DE					X						11/14/00	
CWABS INC	DE					X		X				11/16/00	
CWABS INC	DE					X		X				11/17/00	
CWMBS INC	DE											10/30/00	
CYPOST CORP	DE				X							10/24/00	AMEND
DAISYTEK INTERNATIONAL CORPORATION /DE/	DE					X		X				10/25/00	
DEAN FOODS CO	DE					X						11/21/00	
DEERE & CO	DE	X										11/21/00	
DEERE JOHN CAPITAL CORP	DE	X										11/21/00	
DEMANDSTAR COM INC	FL						X	X				11/20/00	
DEUTSCHE FLOORPLAN RECEIVABLES L P	DE						X	X				10/31/00	
DEUTSCHE RECREATIONAL ASSET FUNDING CORP	NV						X	X				10/31/00	
DEVX ENERGY INC	DE						X	X	X	X		11/10/00	
DIAL CORP /NEW/	DE									X		11/21/00	
DIGEX INC/DE	DE									X		11/17/00	
DIGITAL BRIDGE INC	NV		X							X		09/19/00	AMEND
DIGITAL ISLAND INC	DE									X		09/15/00	AMEND
DLJ COMMERCIAL MORT COMM MOR PASS T HR CERT SER 2000-CF1	DE									X		11/10/00	
DLJ COMMERCIAL MORT COMM MOR PASS T HR CERT 2000-CKP1	DE		X							X		11/07/00	
DOUBLECLICK INC	DE						X	X				09/24/00	AMEND
E SPIRE COMMUNICATIONS INC	DE							X				11/17/00	
EBENX INC	MN							X				08/29/00	AMEND
EMPIRE OF CAROLINA INC	DE			X			X	X				11/17/00	
EOP OPERATING LTD PARTNERSHIP	DE							X				11/15/00	
EUROTELECOM COMMUNICATIONS INC			X									11/17/00	
EXCALIBUR TECHNOLOGIES CORP	DE						X	X				11/20/00	
EXIDE CORP	DE						X	X				10/25/00	
FARMER MAC MORTGAGE SECURITIES CORP	DE	X										11/13/00	
FARMER MAC MORTGAGE SECURITIES CORP	DE	X										11/13/00	
FARMER MAC MORTGAGE SECURITIES CORP	DE	X										11/13/00	
FIFTH THIRD BANCORP	OH							X	X			11/20/00	
FINANCIAL ASSET SECURITIES CORP	DE		X							X		11/17/00	
FINANCIAL INTRANET INC/NY	NV						X			X		11/15/00	
FIRST ALLIANCE CORP /DE/	DE						X	X				11/13/00	
FIRST MIDWEST BANCORP INC	DE						X	X				11/16/00	
FIRST NATIONAL BANK OF ATLANTA							X	X				08/31/00	
FIRST NATIONAL BANK OF ATLANTA										X		11/17/00	
FIRST UNION COMMERCIAL MORTGAGE SEC URITIES INC	NC						X	X				11/20/00	
FIRST USA BANK NATIONAL ASSOCIATION							X	X				11/10/00	
FLEET CREDIT CARD MASTER TRUST II	NY		X									11/15/00	AMEND

NAME OF ISSUER	STATE	8K ITEM NO.									DATE	COMMENT
	CODE	1	2	3	4	5	6	7	8	9		
FORD CREDIT AUTO LOAN MASTER TRUST	DE					X		X			10/31/00	AMEND
FOREST OIL CORP	NY					X		X			11/17/00	
FORTUNE NATURAL RESOURCES CORP	DE				X			X			11/20/00	
FRONTIER ADJUSTERS OF AMERICA INC	AZ				X						11/20/00	
GENERAL MOTORS CORP	DE									X	11/20/00	
GENESEE & WYOMING INC	DE					X		X			11/03/00	
GENZYME CORP	MA					X					11/20/00	
GEORGIA PACIFIC CORP	GA			X							11/17/00	
GLOBAL MED TECHNOLOGIES INC	CO					X					11/20/00	
GLOBALNET INC	NV				X						05/30/00	AMEND
GLOBALSTAR LP	DE					X		X			11/17/00	
GLOBALSTAR TELECOMMUNICATIONS LTD	DE					X		X			11/17/00	
GOAMERICA INC	DE		X								11/07/00	
GOURMET GROUP INC	NV							X	X		09/28/00	AMEND
GREY GLOBAL GROUP INC	DE					X		X			11/13/00	
GULFMARK OFFSHORE INC	DE					X					11/20/00	
HALLWOOD ENERGY CORP	DE					X		X			09/08/00	
HANOVER COMPRESSOR CO /	DE							X			09/30/00	
HARCOURT GENERAL INC	DE					X					11/17/00	
HARLEY DAVIDSON CREDIT CORP	NV					X		X			11/30/00	
HARLEY DAVIDSON CREDIT CORP	NV					X		X			11/30/00	
HARRAHS ENTERTAINMENT INC	DE					X					11/13/00	
HEALTH PAK INC	DE	X				X		X			11/03/00	
HEALTHEXTRAS INC	DE					X		X			11/21/00	
HI SHEAR INDUSTRIES INC	DE					X					11/21/00	
HNC SOFTWARE INC/DE	DE							X			09/22/00	AMEND
HOMEOM COMMUNICATIONS INC	DE					X		X			11/14/00	
HOUSEHOLD CONSUMER LOAN TRUST 1996-1	DE		X								11/14/00	
HOUSEHOLD CONSUMER LOAN TRUST 1996-2	DE		X								11/14/00	
HOUSEHOLD FINANCE CORP HOUSEHOLD CO NSUMER LN TRUST 1995-1 /	DE	X									11/15/00	
HUGHES ELECTRONICS CORP	DE								X		11/20/00	
IASIS HEALTHCARE CORP	DE							X	X		11/21/00	
IDAHO POWER CO	ID							X			11/21/00	
IMH ASSETS CORP	DE					X		X			11/21/00	
IMPAC SECURED ASSETS CORP	CA		X					X			11/21/00	
IMX PHARMACEUTICALS INC	UT			X							11/20/00	
INDYMAC ABS INC	DE					X		X			11/20/00	
INDYMAC MBS INC								X			10/30/00	
INFORMATION HOLDINGS INC	DE		X					X			11/06/00	
INTERNET COM CORP	DE		X					X			09/08/00	
INTERNET COM CORP	DE		X					X			09/08/00	AMEND
INTUIT INC	DE					X					11/15/00	
INVERNESS MEDICAL TECHNOLOGY INC/DE	DE					X		X			11/01/00	AMEND
ISLE OF CAPRI CASINOS INC	DE	X									10/29/00	
ITT INDUSTRIES INC	IN					X		X			11/10/00	
JAYARK CORP	DE				X						11/13/00	
JLK DIRECT DISTRIBUTION INC	PA				X						11/21/00	
KAISER GROUP INTERNATIONAL INC	DE				X			X			11/17/00	
KASPER A S L LTD	DE				X			X			11/20/00	
KENSEY NASH CORP	DE							X			09/01/00	AMEND
KEVCO INC	TX							X	X		11/20/00	

NAME OF ISSUER	STATE CODE	BK ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
KEYSPAN CORP	NY		X						X		11/08/00	
KEYSPAN CORP	NY					X		X			11/20/00	
KOALA CORP /CO/	CO					X		X			11/17/00	
LAHAINA ACQUISITIONS INC	CO		X								11/21/00	
LAKEHEAD PIPELINE CO LP	DE					X		X			11/16/00	
LEAPNET INC	DE					X					11/17/00	
LECTEC CORP /MN/	MN					X		X			11/17/00	
LORAL SPACE & COMMUNICATIONS LTD	DO					X		X			11/17/00	
LUCAS EDUCATIONAL SYSTEMS INC	DE				X			X			11/15/00	
LUCENT TECHNOLOGIES INC	DE					X		X			11/13/00	
LUCENT TECHNOLOGIES INC	DE					X		X			11/21/00	
MANUFACTURED HOUS CONT SEN SUB PASS THR CERT SERIES 2000B	TN					X		X			11/07/00	
MARVEL ENTERPRISES INC	DE					X					11/16/00	
MAX INTERNET COMMUNICATIONS INC	NV					X		X			10/17/00	
MBNA CORP	MD					X					11/21/00	
MCMORAN EXPLORATION CO /DE/	DE		X								11/20/00	
MDU RESOURCES GROUP INC	DE					X		X			11/20/00	
MED WASTE INC	DE				X			X			11/17/00	
MEDICAL ASSURANCE INC	DE							X			11/21/00	
MEDIX RESOURCES INC	CO					X					11/16/00	
MERCANTILE CREDIT CARD MASTER TRUST	NY					X		X			11/10/00	
MERRILL LYNCH & CO INC	DE					X		X			11/20/00	
METALLURG HOLDINGS INC	DE								X		11/13/00	
METALLURG INC	DE								X		11/10/00	
METRIS MASTER TRUST	DE							X			11/17/00	
METRIS RECEIVABLES INC	DE							X			11/17/00	
METRO ONE TELECOMMUNICATIONS INC	OR					X		X			09/30/00	
METROPOLITAN MORTGAGE & SECURITIES CO INC	WA					X		X			11/17/00	
MGIC INVESTMENT CORP	WI					X		X			11/16/00	
MICRO LINEAR CORP /CA/	DE		X					X			11/20/00	AMEND
MID AMERICA BANCORP/KY/	KY					X					11/16/00	
MINNESOTA MINING & MANUFACTURING CO	DE					X		X			11/15/00	
MITY ENTERPRISES INC	UT							X	X		11/20/00	
MMCA AUTO OWNER TRUST 1997-1	DE					X		X			11/16/00	
MMCA AUTO OWNER TRUST 1998-1	DE					X		X			11/15/00	
MMCA AUTO OWNER TRUST 1999-1	DE					X		X			11/15/00	
MMCA AUTO OWNER TRUST 1999-2	DE					X		X			11/15/00	
MMCA AUTO OWNER TRUST 2000-1	DE					X		X			11/15/00	
MMCA AUTO RECEIVABLES TRUST	DE					X		X			11/15/00	
MORGAN J P COMMERCIAL MORTGAGE FINA NCE CORP	DE		X								11/15/00	
NATIONAL CITY CREDIT CARD MASTER TR UST									X		11/15/00	
NATIONAL DATA CORP	DE					X		X			11/15/00	
NATIONWIDE FINANCIAL SERVICES INC/	DE					X					11/22/00	
NEIMAN MARCUS GROUP INC	DE		X								11/14/00	
NEMATRON CORP	MI					X		X			11/14/00	
NETGATEWAY INC	DE					X		X			11/21/00	
NETSALON CORP						X		X			11/17/00	
NEW ENGLAND POWER CO	MA					X					11/21/00	
NEW VISUAL ENTERTAINMENT INC	UT					X		X			11/17/00	
NISOURCE INC/DE	DE					X					11/21/00	

NAME OF ISSUER	STATE	8K ITEM NO.									DATE	COMMENT
	CODE	1	2	3	4	5	6	7	8	9		
NORCAL WASTE SYSTEMS INC	CA					X					11/21/00	
NORTHEAST INDIANA BANCORP INC	DE					X	X				11/21/00	
NORTHEAST PENNSYLVANIA FINANCIAL CO RF	DE		X					X			11/10/00	
NXGEN NETWORKS INC	NV				X		X				11/15/00	
OHIO CASUALTY CORP	OH					X					11/17/00	
OLD KENT FINANCIAL CORP /MI/	MI					X	X				11/20/00	
ONYX SOFTWARE CORP/WA	WA					X	X				11/20/00	
ON2COM INC	CO		X					X			11/03/00	
OPENWAVE SYSTEMS INC	DE							X	X		11/20/00	
OPTION ONE MORTGAGE ACCEPTANCE CORP	DE					X	X				10/30/00	
ORIX CREDIT ALLIANCE RECEIVABLES 20 00 B CORP	DE					X	X				11/30/00	
OZ COM			X			X	X				11/08/00	
PAN WESTERN ENERGY CORP	OK				X		X				07/31/00	
PANERA BREAD CO	DE					X					11/11/00	
PARTNERS FIRST CREDIT CARD MASTER T RUST	DE							X			11/17/00	
PATAPSCO BANCORP INC	MD		X					X			11/13/00	
PCC GROUP INC	CA	X									11/07/00	
PEAPOD INC	DE		X					X			09/07/00	AMEND
PEOPLES BANK CREDIT CARD MASTER TRU ST	CT							X			10/31/00	
PEREGRINE SYSTEMS INC	DE					X					11/03/00	AMEND
PHAR MOR INC	PA					X	X				11/20/00	
PHILIP MORRIS COMPANIES INC	VA					X	X				11/22/00	
PHOENIX RESOURCES TECHNOLOGIES INC	NV		X					X			11/10/00	
PHOTON DYNAMICS INC	CA					X	X				11/21/00	
PLANETCAD INC	DE		X					X			11/14/00	
POGO PRODUCING CO	DE								X		11/19/00	
POGO PRODUCING CO	DE					X	X				11/19/00	
POGO PRODUCING CO	DE					X	X				11/19/00	
POINT WEST CAPITAL CORP	DE					X					11/16/00	
PREMIER NATIONAL BANCORP INC	NY					X	X				11/20/00	
PRIME RECEIVABLES CORP	DE							X			11/15/00	
PROCTER & GAMBLE CO	OH					X					11/20/00	
PROFESSIONALS GROUP INC	MI							X			11/21/00	
REGISTRY MAGIC INC	FL		X					X			11/06/00	
RESIDENTIAL ACCREDIT LOANS INC	DE					X	X				11/21/00	
RESIDENTIAL ASSET MORTGAGE PRODUCTS INC	DE							X			11/20/00	
RESIDENTIAL FUNDING MORTGAGE SECURI TIES I INC	DE					X	X				10/24/00	
RESIDENTIAL FUNDING MORTGAGE SECURI TIES I INC	DE					X	X				11/20/00	
RIGHT START INC /CA	CA					X					10/10/00	
SALISBURY BANCORP INC	CT					X	X				11/20/00	
SAMUELS JEWELERS INC	DE					X	X				11/17/00	
SANGUINE CORP	NV	X				X	X				06/08/00	AMEND
SATX INC	NV					X	X				11/14/00	
SELECT THERAPEUTICS INC	DE		X				X				11/03/00	
SHORE FINANCIAL CORP	VA					X	X				11/20/00	
SOLECTRON CORP	DE					X	X				11/21/00	
SPECIALTY EQUIPMENT COMPANIES INC	DE	X						X			11/21/00	

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT	
		1	2	3	4	5	6	7	8	9			
STRUCTURED ASSET MORTGAGE INVESTMEN TS INC	DE					X	X					11/21/00	
STRUCTURED ASSET SECURITIES CORP	DE					X	X					11/16/00	
STRUCTURED PRODUCTS CORP	DE					X	X					11/20/00	
STRUCTURED PRODUCTS CORP	DE					X	X					11/20/00	
TBM HOLDINGS INC	FL		X				X					11/10/00	
TELCOM SEMICONDUCTOR INC	DE					X	X					11/15/00	
TELECOMMUNICATION SYSTEMS INC /FA/			X				X					11/15/00	
TELECORP TRITEL HOLDING CO						X	X					11/13/00	
TELEPHONE & DATA SYSTEMS INC /DE/	DE					X	X					11/20/00	
TERADYNE INC	MA					X	X					11/16/00	
TEXAS EASTERN TRANSMISSION CORP	DE					X						10/31/00	
TEXAS INSTRUMENTS INC	DE									X		11/20/00	
TICKETMASTER ONLINE CITYSEARCH INC	DE					X						11/21/00	
TIDEWATER INC	DE					X						11/21/00	
TORVEC INC	NY	X										11/14/00	
TOSCO CORP	NV									X		10/25/00	
TPI LAND DEVELOPMENT III LIMITED PA RTNERSHIP	AZ			X				X				11/09/00	AMEND
TRUSTCO BANK CORP N Y	NY					X						11/21/00	
U S LIQUIDS INC	DE					X	X					11/16/00	
UNITED STATES CELLULAR CORP	DE					X	X					11/20/00	
UNITED STATIONERS INC	DE					X	X					11/21/00	
UNIVERSAL CORP /VA/	VA							X				11/21/00	
USBANCORP INC /PA/	PA	X										11/21/00	
V F CORP /PA/	PA					X	X					11/17/00	
VA LINUX SYSTEMS INC	DE					X	X					11/16/00	
VANDERBILT MORT & FI INC MAN HO CO SE SU PAS TH CE SE 2000A	TN					X	X					11/07/00	
VANDERBILT MORT & FIN INC SEN SUB P AS THR CERT SER 2000 C	TN					X	X					11/07/00	
VERITAS SOFTWARE CORP /DE/	DE					X						11/17/00	
VPN COMMUNICATIONS CORP	NV		X					X				11/06/00	
WACHOVIA CREDIT CARD MASTER TRUST						X	X					08/31/00	
WALKER B B CO	NC	X										11/21/00	
WHITNEY INFORMATION NETWORK INC	CO	X										09/30/00	
WORLD DIAGNOSTICS INC	DE						X					10/26/00	
WORLD FINANCIAL NETWORK NATIONAL BA NK	OH									X		11/15/00	
XDOGS COM INC	CO					X						11/17/00	AMEND
XEROX CORP	NY					X						10/31/00	
ZIASUN TECHNOLOGIES INC	NV					X						11/03/00	
ZIPLINK INC	DE					X		X				11/17/00	
ZOLTEK COMPANIES INC	DE		X					X				11/06/00	