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SEC NEWS DIGEST

Issue 2000-188

September 29, 2000

COMMISSION ANNOUNCEMENTS

SUPPLEMENTARY NOTICE

The Commission issued a supplementary notice relating to the Securities and Exchange Commission Advisory Committee on Market Information's first meeting on October 10, 2000. The Commission finds good cause to hold the meeting on October 10, 2000, less than 15 days after the original notice was published in the Federal Register. Publication of the notice in the Federal Register is expected during the week of October 2. (Rel. 34-43380)

FEE RATE ADVISORY #2

When fiscal year 2001 starts on October 1, 2000, the SEC will be operating under a continuing resolution that runs through October 6. Therefore, as specified in our previous Fee Rate Advisory dated September 25, 2000, the fee rate on filings made pursuant to Section 6(b) of the Securities Act of 1933 will remain at the current rate of \$264 per \$1,000,000. Please note that when an appropriations bill is enacted, the fee rate will decrease to \$250 per \$1,000,000. We will issue further notices as appropriate to keep filers and registrants informed of developments affecting the Section 6(b) fee rate. This information will be posted at the SEC's Internet website at <http://www.sec.gov>. Please contact the Filer Support Unit in the Office of Filings and Information Services at (202) 942-8900 if you have any questions. (Press Rel. 2000-146)

ENFORCEMENT PROCEEDINGS

**DEAN HARRIS BARRED FROM ASSOCIATION WITH ANY BROKER OR DEALER
AND FROM PARTICIPATING IN AN OFFERING OF PENNY STOCK**

On September 28, the Commission announced that it instituted and simultaneously settled an administrative proceeding against Dean H. Harris (Harris), a former registered representative with Oppenheimer & Co., Inc. (Oppenheimer), who consented, without admitting or denying the allegations against him, to the entry of an order barring him from association with any broker or dealer and from participating in an offering of penny stock. The order was based upon the entry of a permanent injunction against Harris in SEC v. Dean H. Harris for violations of Section 17(a) of the Securities Act of 1933 and Section 10(b) of the Securities Exchange Act of 1934 and Rule 10b-5 thereunder. It was also based upon Harris's criminal conviction involving related conduct.

The Commission's complaint alleged that, from April 1995 through August 1997, Harris acted with others to cause the transfer of approximately \$13 million of State Mutual Insurance Company (State Mutual) funds in Rome, Georgia to purchase securities for the benefit of himself and others without State Mutual's knowledge about the purpose of these transfers and without its authorization and consent. Specifically, the complaint alleged that Harris acted with an employee of State Mutual and others to cause the fraudulent withdrawal of approximately \$11 million from State Mutual's accounts to purchase speculative penny stocks, which he knew were inappropriate and improper for a mutual insurance company and for which he received kickbacks of approximately \$1.3 million. Further, the complaint alleged that Harris acted with the employee and others to cause the fraudulent withdrawal of approximately \$2 million to purchase a Treasury Note in State Mutual's name, which was then sold and for which Respondent Harris received \$105,000 of the proceeds for his personal benefit. (Rel. 34-43374; File No. 3-10321)

SECURITIES AND EXCHANGE COMMISSION BARS CHARLES BENNETT FROM ASSOCIATION WITH A BROKER OR DEALER

On September 27, the Commission issued an Order Instituting Proceedings, Making Findings and Imposing Remedial Sanctions Pursuant to Sections 15(b)(6) and 19(h) of the Securities Exchange Act of 1934 against Charles T. Bennett (Bennett), a former principal of Duke & Company, Inc. (Duke), a broker-dealer. Without admitting or denying the Commission's findings, Bennett consented to the Order, which bars him from association with any broker or dealer.

The Order barring Bennett from association with a broker or dealer was based on the entry of injunctions against him. In the injunctive action, the Commission alleged, among other things, that Bennett orchestrated a massive market manipulation designed to increase the price of Paravant Computer Systems, Inc. (Paravant) securities, which Duke had taken public, from approximately June 3, 1996 through June 21, 1996. Bennett consented to a final judgment that permanently enjoins him from violating Section 17(a) of the Securities Act of 1933, Section 10(b) of the Exchange Act of 1934, and Rule 10b-5 thereunder, and Rule 101 and 102 of Regulation M. The final judgment also permanently enjoins Bennett from aiding and abetting any future violations of Sections 10(b) and 15(c) of the Exchange Act and Rules 10b-3 and 15c1-2 thereunder. (Rel. 34-43375; File No. 3-10322)

ORDER ISSUED BARRING STANLEY H. VAN ETTEN.

On September 28, the Commission issued an Order Instituting Public Administrative Proceedings Pursuant to Sections 15(b) and 19(h) of the Securities Exchange Act of 1934, Making Findings and Imposing Remedial Sanctions against Stanley H. Van Etten of Raleigh, North Carolina. The Order bars Van Etten from association with any broker or dealer, provided that he may reapply to become associated after two years. The Order finds that on September 17, 1999, the United States District Court for the Northern District of Georgia enjoined Van Etten from violating the registration, reporting and antifraud provisions of the securities laws. The Order further finds that the Commission's complaint alleged that, between April 1995 and March 1998, Van Etten and others offered and sold interests in a multilevel marketing program which, in fact, functioned as a pyramid scheme. Van Etten consented to the order without admitting or denying the findings, except that the entry of the injunction was admitted. (Rel. 34-43379, File No. 3-10324)

SEC INSTITUTES ADMINISTRATIVE PROCEEDING AGAINST MUMTAZ SAXENA BASED ON ENTRY OF INJUNCTION

On September 28, the Commission instituted an administrative proceeding against Mumtaz Saxena based on the entry of an injunction prohibiting her from violating the antifraud and registration provisions of the federal securities laws. In the Order, the Division of Enforcement alleges that, on July 25, 2000, Saxena and her husband were permanently enjoined by the District Court for the District of Massachusetts from violating the registration and antifraud provisions of the federal securities laws. The Commission's complaint in the injunctive proceeding, filed on September 18, 1998, alleged that between October 1995 and August 1996, Saxena and her husband established an investment adviser, Saxena Capital Management, and two investment companies, Index Timing Fund and Saxena Growth Fund. The complaint alleged that Saxena violated the registration provisions of the federal securities laws by selling unregistered interests in the investment companies and violated the antifraud provisions of the Securities Act, the Securities Exchange Act and the Investment Advisers Act by making material misrepresentations and omissions to investors. The complaint further alleged that Saxena and her husband established the investment adviser and investment companies to evade an order previously entered by the Commission barring Saxena's husband from the securities industry. The Commission's complaint alleged that although Saxena nominally was the principal of these entities, Saxena's husband was actively involved with all of them from the outset and that the funds' investment decisions tracked recommendations in newsletters that Saxena's husband published via an Internet web site entitled "Vital Information." The civil action was captioned SEC v. Sanjay Saxena and Mumtaz Saxena, Civil Action No. 98-1 1918-EFH. The Commission seeks remedial sanctions. (Rels. IA-1899, IC-24668, File No. 3-10319)

**LIVETRADE.COM, ALEX MOORE & CO., INC., AND MICHAEL WEISSMAN SETTLE
BROKER-DEALER REGISTRATION, RECORD-KEEPING, AND FINANCIAL REPORTING
CHARGES RELATING TO ONLINE TRADING SERVICES**

**RELATED ADMINISTRATIVE AND CEASE-AND-DESIST PROCEEDING INSTITUTED
AGAINST PAUL NORTMAN OF ALEX MOORE**

On September 28, the SEC instituted and simultaneously settled administrative and cease-and-desist proceedings against Livetrade.com, Inc., a Garden City, New York based online trading firm, Alex Moore & Co., Inc., a Garden City, New York based broker-dealer, and Michael Weissman, Livetrade's Chief Executive Officer. Without admitting or denying the Commission's findings, Livetrade, Alex Moore and Weissman consented to the issuance of an order making the following findings.

From May 1998 through December 1999, Livetrade and Alex Moore jointly provided online securities trading services. Although Alex Moore was registered with the Commission as a broker-dealer, Livetrade was not. Under the Livetrade/Alex Moore arrangement, Livetrade solicited customers to open online trading accounts through a web site and by advertising on the Internet and elsewhere; transmitted account opening documents; provided the online trading software, which, among other things, routed customer orders to the electronic communications networks (ECNs) for execution; paid the majority of Alex Moore's expenses for overhead; and received transaction-based compensation.

Under the Livetrade/Alex Moore arrangement, Alex Moore acted as the broker-dealer of record for trades executed by means of Livetrade's software; maintained customer accounts; established the clearing arrangement; and paid transaction-based compensation to Livetrade.

Accordingly, the order finds that Livetrade willfully violated the broker-dealer registration provision of the Securities Exchange Act of 1934 and Weissman willfully aided and abetted Livetrade's violations by, among other things, negotiating the arrangement between Livetrade and Alex Moore, overseeing Livetrade's solicitation of customers, and deciding not to register Livetrade as a broker-dealer. The order further finds that Alex Moore willfully aided and abetted Livetrade's violations of the broker-dealer registration provision by entering into the arrangement with Livetrade and providing Livetrade with transaction-based compensation although it knew that Livetrade was acting as a broker-dealer and was not registered as such.

The order also finds that Alex Moore failed to comply with certain broker-dealer record-keeping and financial reporting requirements in that from May 1998 through December 1998, Alex Moore failed to accurately record and report certain assets and liabilities relating to its online securities trading activities. The order finds that Alex Moore created and maintained inaccurate books and records, including trial balances and general ledgers; failed to notify the Commission that its books and records were inaccurate or file related reports; and filed inaccurate FOCUS reports for the quarters ended June 30, 1998 and September 30, 1998, and the year ended December 31, 1998.

The order censures Livetrade, Alex Moore and Weissman and requires them to pay penalties as follows: Livetrade (\$50,000); Alex Moore (\$25,000); and Weissman (\$10,000). The order further directs each of them to cease and desist from committing or causing violations of Section 15(a) of the Exchange Act and directs Alex Moore to cease and desist from committing or causing violations of Section 17(a) of the Exchange Act and Rules 17a-3, 17a-5, and 17a-11 thereunder.

In a related action, the SEC instituted public administrative and cease-and-desist proceedings against Paul Nortman. The Division of Enforcement alleges that Nortman, age 69, controlled Alex Moore and was responsible for the accounting function at Alex Moore. The Division further alleges that he (1) prepared the records and reports that were used to generate Alex Moore's trial balances and general ledgers; (2) provided to the Financial and Operations Principal (FINOP) all the information from which the FINOP prepared Alex Moore's FOCUS reports for the quarters ended June 30, 1998 and September 30, 1998 and the year ended December 31, 1998 or otherwise participated in the preparation of such reports; and (3) although aware of Alex Moore's assets and liabilities relating to its online securities trading activities, failed to include that information in Alex Moore's books and records or provide that information to the FINOP. As a result, the Division alleges that Nortman willfully aided and abetted violations of Sections 15(a) and 17(a) of the Exchange Act and Rules 17a-3, 17a-5, and 17a-11 thereunder.

A hearing will be held before an administrative law judge to determine whether the allegations against Nortman are true, and, if so, what remedial action, if any, is appropriate. (In the Matter of Alex Moore & Co., Inc., Livetrade.com, Inc., and Michael Weissman, Rel. 34-43382, File No. 3-10326; In the Matter of Paul Nortman, Rel. 34-43381, File No. 3-10325)

U.S. ATTORNEY FOR MIDDLE DISTRICT OF FLORIDA FILES CHARGES AGAINST ORCHESTRATOR OF FICTITIOUS INTERNATIONAL BANK DEBENTURE SCHEME INVESTIGATED BY SEC; DEFENDANT TERRY KOONTZ AGREES TO PLEAD GUILTY

The Commission announced today that on September 20 the U.S. Attorney's Office for the Middle District of Florida (Tampa) filed an information against Terry V. Koontz, charging Koontz with conspiracy, securities fraud, and wire fraud in relation to a scheme in which he orchestrated the sale of \$23 million of fraudulent securities, together with Koontz's plea agreement, which waived indictment to the charges and agreed to forfeit various assets purchased with proceeds of the fraud. The charges arise out of a prime bank Ponzi scheme investigated by the Commission. Koontz and others conducted this scheme, in which they induced more than 80 individuals in 16 states to invest over \$20 million in a fictitious "international bank debenture trading" program called Private Pool, LLC. On September 17, 1998, the Commission filed an emergency action against Koontz and others in the U.S. District Court for the District of Massachusetts alleging that Koontz orchestrated this scheme and utilized various sales agents to solicit investments from unwary investors, promising them a return of 1% per week for a forty-week period. Upon filing of the Commission's emergency action, the Court granted the

Commission's request for a temporary restraining order and an asset freeze against Koontz and others. In October 1998, the Court granted the Commission's request for a preliminary injunction against Koontz and others. The complaint also alleged that Koontz provided others with fraudulent documents for distribution to investors. Moreover, the complaint alleged that Koontz used the invested funds to pay other investors and sales commissions, and that he dissipated at least \$9.9 million of investor funds for personal purposes. Koontz is the fifth defendant to be charged by the Tampa U.S. Attorney as a result of this scheme. Stewart Koral (false statements), Joseph Papisidero (misprision of a felony), Richard J. Fulcher (mail fraud), and Jeffrey A. DeVille (securities and wire fraud) have all previously entered guilty pleas in U.S. District Court in Tampa, Florida. In addition, the District Court in Massachusetts has entered judgments in the Commission's action against defendants Fulcher, Walter Lapp, Lawrence Seppanen, and Thomas Dolan, all of whom acted as sales agents. The Commission's action is continuing as to Koontz and other defendants. [SEC v. Terry V. Koontz, et al., Civil Action No. 98cv119044NG, D.Mass.; U.S. v. Terry V. Koontz, Action No. 8:00-CR-341-T-24F, M.D. Fl.] (LR-16727)

SEC SETTLES CIVIL ENFORCEMENT ACTION AGAINST AND BARS FOUR FORMER WORLD MARKETING ALLIANCE REPRESENTATIVES BASED UPON FICTITIOUS INTERNATIONAL BANK DEBENTURE SCHEME

The Commission announced today that judgments were entered in its civil enforcement action against four salesmen who sold fictitious prime bank instruments in a \$19 million offering fraud. The Commission also entered an Order Instituting Proceedings, Making Findings and Imposing Remedial Sanctions, by consent, against Richard J. Fulcher, of Moseley, Virginia, Thomas J. Dolan, of Northport, New York, Lawrence E. Seppanen, of Marlton, New Jersey, and Walter G. Lapp, of Hamilton, New Jersey, all former registered representatives associated with World Marketing Alliance, Inc. (WMA), barring each of them from association with any broker or dealer. The Commission's order is based upon the entry of permanent injunctions in the civil action.

In its civil injunctive action, the Commission alleged that Fulcher, Dolan, Seppanen, and Lapp were among several individuals involved in a scheme in which more than 80 individuals in 16 states were induced to invest over \$19 million in a fictitious "international bank debenture trading" program called Private Pool, LLC. The complaint also alleged that Fulcher, Dolan, Seppanen, and Lapp made false representations to investors about the Private Pool program, including representations that investors would earn a return of 1% per week for a 40-week trading period, that their funds would be secured by government bonds in a two-to-one ratio, and that investors would receive a security interest in the bonds evidenced by a UCC-1 financing statement filed with the State of New York. The complaint alleged that "international bank debenture trading" does not exist and that the invested funds were used by the scheme's promoters to pay other investors and sales commissions and for personal purposes, including the purchase of homes, jewelry, and automobiles, including Cadillacs, BMWs, and a Mercedes Benz.

Fulcher, Dolan, Seppanen, and Lapp consented, without admitting or denying the allegations of the Commission's complaint, to the entry of a final judgment permanently enjoining them from violating the antifraud and registration provisions of the federal securities laws. Fulcher also consented to the issuance of a final judgment ordering him to pay disgorgement of \$660,553 plus interest and a \$75,000 civil penalty. Based on their demonstrated financial inability to pay, the Court waived the payment of disgorgement and prejudgment interest by and did not impose a civil penalty against Dolan, Seppanen, and Lapp. The Commission's action is continuing as to the remaining defendants and relief defendants. [SEC v. Terry V. Koontz, et al., Civil Action No. 98cv11904NG, D. Mass.] (LR-16736); (Rel. 34-43373; File No. 3-10320)

CIVIL COMPLAINT FILED AGAINST FOUR WISCONSIN RESIDENTS FOR INSIDER TRADING

On September 28, the Commission announced that it filed an insider trading case in the United States District Court for the Eastern District of Wisconsin against Timothy J. Sullivan, a resident of Hartland, Wisconsin, James G. Appleton and Lance P. Lins, residents of Green Bay, Wisconsin and James A. Budzinski, a resident of Muskego, Wisconsin. The complaint alleges that Sullivan, Appleton, Lins and Budzinski violated the antifraud provisions of the federal securities laws by insider trading in the stock of Sullivan Dental Products, Inc. The Commission is seeking a permanent injunction, disgorgement of ill-gotten gains plus prejudgment interest and a civil penalty from each of the defendants.

In its complaint, the Commission alleges that Sullivan, the President, Chief Financial Officer and a director of Sullivan Dental, tipped Appleton, Lins and Budzinski about an impending merger between Sullivan Dental and Henry Schein, Inc. Appleton, Lins and Budzinski all purchased Sullivan Dental stock and in turn, tipped certain members of their families and friends, all of whom also purchased Sullivan Dental stock. As a result of their insider trading in Sullivan Dental stock, Sullivan, Appleton, Lins and Budzinski and their tippees received approximately \$175,602.63 in realized and unrealized profits. [SEC v. Sullivan, Appleton, Lins and Budzinski, E.D. Wis., Civil Action No. 00C1299] (LR-16737)

SEC CHARGES LEAH INDUSTRIES AND TWO INDIVIDUALS -- FALSELY CLAIMED DELOITTE AND "COOPERS" WERE LEAH'S AUDITORS

On September 28, the Commission charged Leah Industries, Inc. (Leah), its Chief Executive Officer, Birte Boock, and Irwin Boock, a controlling person of Leah, with violating the antifraud provisions of the federal securities laws. The Commission's complaint alleged that during 1998, Leah, a corporation publicly traded on the Over-the-Counter Bulletin Board, made various material misrepresentations regarding its relationships with prominent international accounting firms.

The complaint alleges the following. On December 10, 1998, Birte Boock and Irwin Boock directed Leah to issue a press release stating that Leah had engaged Deloitte &

Touche (D&T) to serve as its auditor. On December 23, 1998, Leah issued a follow-up press release reporting earnings for the first nine months of 1998. This release further stated that D&T concurred with Leah's reporting of earnings and with its decision to report a "conservative" financial picture for its investors. These representations were false. D&T never served as Leah's auditor.

Earlier in 1998, Birte Boock had made a similar misrepresentation regarding the accounting firm PriceWaterhouseCoopers. On August 20, 1998, Birte Boock informed investors during a conference call that the Moscow office of "Coopers'" was conducting an audit of Leah's books. She added that she expected Leah to be listed on the American Stock Exchange by the end of 1998, because by then, Leah would have the audit "in hand." These representations were also false. PriceWaterhouseCoopers never served as Leah's auditor.

While Leah was disseminating this materially false information, Irwin Boock sold 537,500 shares of Leah stock, and obtained approximately \$319,050 in proceeds from these sales. Leah sold approximately 56,000 shares of its stock and obtained approximately \$30,724 from these sales.

The Commission charged that Leah, Birte Boock, and Irwin Boock violated Section 17(a) of the Securities Act of 1933 and Section 10(b) of the Securities Exchange Act of 1934 and Rule 10b-5 thereunder. The Commission is seeking permanent injunctions against all of the defendants. The Commission is also seeking disgorgement and prejudgment interest from Leah and Irwin Boock, and civil penalties against Irwin Boock and Birte Boock.

On March 12, 1999, the Commission suspended trading in Leah securities because of questions about the accuracy of information that Leah was disseminating. [SEC v. Leah Industries, Inc., Birte Boock and Irwin Boock, U.S. Dist. Court, D. Colo.] (LR-16738)

INVESTMENT COMPANY ACT RELEASES

BRAZOS INSURANCE FUNDS, ET AL.

An order has been issued pursuant to Section 6(c) of the Investment Company Act exempting Brazos Insurance Funds (Trust) and John McStay Investment Counsel, L.P. (Adviser) from the provisions of Sections 9(a), 13(a), 15(a) and 15(b) of the Act, and Rules 6e-2(b)(15) and 6e-3(T)(b)(15) thereunder, to permit shares of any current or future series of the Trust designed to fund variable insurance products and shares of any other investment company or series thereof now or in the future registered under the Act that is designed to fund variable insurance products and for which the Adviser, or any of its affiliates, may serve in the future as investment adviser, administrator, principal underwriter or sponsor (the Trust and such other investment companies referred to

collectively as Insurance Products Funds) to be sold to and held by (1) variable annuity and variable life insurance separate accounts of both affiliated and unaffiliated life insurance companies; (2) qualified pension and retirement plans outside of the separate account context; and (3) the Adviser to an Insurance Products Fund and affiliates thereof. (Rel. IC-24667 - September 27)

SELF-REGULATORY ORGANIZATIONS

ACCELERATED APPROVAL OF PROPOSED RULE CHANGE

The Commission granted accelerated approval to a proposed rule change submitted by the American Stock Exchange (SR-Amex-00-53) under Rule 19b-4 of the Securities Exchange Act of 1934 relating to the streetTrackssm Dow Jones Global Titans Index. Publication of the proposal is expected in the Federal Register during the week of October 2, 2000. (Rel. 34-43338)

APPROVAL OF PROPOSED RULE CHANGE

The Commission approved a proposed rule change submitted under Rule 19b-4 by the Philadelphia Stock Exchange (SR-PHLX-97-46) to amend its by-laws. (Rel. 34-43339)

PROPOSED RULE CHANGES

The Pacific Exchange filed a proposed rule change (SR-PCX-00-08) and Amendment No. 1 under Rule 19b-4 of the Securities Exchange Act of 1934 relating to the dissolution of the appointments committee. Publication of the proposal is expected in the Federal Register during the week of October 2, 2000. (Rel. 34-43342)

On June 16, 2000, the National Association of Securities Dealers filed with the Commission, pursuant to Rule 19b-4 under the Securities Exchange Act of 1934, a proposal (SR-NASD-00-38) to amend its rules to (1) codify an NASD staff interpretation that the non-cash compensation provisions in NASD Rule 2820(g) apply to group variable contracts that are exempted securities; and (2) adopt new NASD Rule 0116 to enumerate the NASD rules and interpretive materials that apply to exempted securities, including government securities, other than municipal securities. Publication of the notice is expected to appear in the Federal Register during the week of October 2, 2000. (Rel. 34-43370)

WITHDRAWAL SOUGHT

A notice has been issued giving interested persons until October 18 to comment on the application of AMERSCO, INC. to withdraw its 10% Senior Subordinated Notes, due 2004 from listing and registration on the New York Stock Exchange.

Notice of this application was previously issued by the Commission as Securities Exchange Act Release No. 43267 on September 8, 2000. Such notice, however, failed to appear in the Federal Register, as required, and so is being reissued. (Rel. 34-43348)

SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File Number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

Registration statements may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <publicinfo@sec.gov>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

F-1 ARRAN TWO LTD, 22 GRENVILLE ST, 44-1534-609000 ST HELIER JERSEY,
CHANNEL ISLANDS JE4 8PX, X0 00000 - 1,000,000 (\$1,000,000) STRAIGHT
BONDS.
(FILE 333-12540 - SEP. 15) (BR. 8 - NEW ISSUE)

F-1 UNITED MICROELECTRONICS CORP, NO 13 INNOVATION RD I,
SCIENCE BASED INDUSTRIAL PARK, HSINCHU TAIWAN ROC, (011) 886-3577 -
10,000,000 (\$28,700,000) COMMON STOCK. (FILE 333-12556 - SEP. 19) (BR.
5)

S-8 BRANDERA COM INC, 5255 YONGE STREETT, #705,
TORONTO ONTARIO CANADA M2N6P4, A6 6 - 4,857,393 (\$5,003,114.79)
COMMON STOCK. (FILE 333-12560 - SEP. 18) (BR. 3)

S-8 APIVA COM WEB CORP, 4372 44B AVE, DELTA BRITISH COLUMBIA CANADA, A1
(604) 946-2264 - 1,680,162 (\$792,301) COMMON STOCK. (FILE 333-12562 -
SEP. 18) (BR. 4)

F-1 NEW SKIES SATELLITES NV, ROOSEVELYPLANTSOEN 4 2517 KR THE HAGUE,
011-31-70-306-4100, THE NETHERLANDS, P7 00000 - 32,775,000
(\$360,525,000)
FOREIGN COMMON STOCK. (FILE 333-12564 - SEP. 19) (BR. 7 - NEW ISSUE)

F-1 MACRONIX INTERNATIONAL CO LTD, NO 3 CREATION RD III,
SCIENCE BASED INDUSTRIAL PARK, HSINCHU TAIWAN, W1 - 250,000,000
(\$492,500,000) COMMON STOCK. (FILE 333-12566 - SEP. 19) (BR. 5)

F-10 STRESSGEN BIOTECHNOLOGIES CORP, 350 4243 GLANFORD AVE,
VICTORIA BC CAN V8Z 4B9, A1 - 6,900,000 (\$36,708,000) COMMON STOCK.
(FILE
333-12570 - SEP. 20) (BR. 1 - NEW ISSUE)

F-8 LEITCH TECHNOLOGY CORP, 25 DYAS RD, NORTH YORK ONTARIO M3B 1V7, A6
00000 - 252,950 (\$3,104,455.35) COMMON STOCK. (FILE 333-12574 - SEP. 20)
(BR. 3)

S-8 NEW VALLEY CORP, INTERNATIONAL PLACE, 100 SOUTHEAST SECOND STREET,
MIAMI, FL 33131 (305) 579-8000 - 2,700,070 (\$11,460,123) COMMON STOCK.
(FILE 333-46370 - SEP. 22) (BR. 8)

S-8 RAMPART CAPITAL CORP, 700 LOUISIANA, SUITE 2550, HOUSTON, TX 77002
(713) 223-4610 - 375,000 (\$738,300) COMMON STOCK. (FILE 333-46372 -
SEP. 22) (BR. 9)

S-4 TRANSOCEAN SEDCO FOREX INC, 4 GREENWAY PLAZA, HOUSTON, TX 77046
(713) 871-7500 - \$5,930,164,938 FOREIGN COMMON STOCK. (FILE 333-46374
-
SEP. 22) (BR. 4)

S-2 HARTFORD LIFE INSURANCE CO, 200 HOPMEADOW ST, P O BOX 2999,
SIMSBURY, CT
06089 (860) 843-5445 - \$500,000,000 VARIABLE ANNUITY ISSUES. (FILE
333-46376 - SEP. 22) (BR. 20)

S-8 ELAST TECHNOLOGIES INC, 2505 RANCHO BEL AIR, LAS VEGAS, NV 89107
(702) 878-8310 - 100,000 (\$75,000) COMMON STOCK. (FILE 333-46378 -
SEP. 22) (BR. 8)

SB-2 PARADISE RESORTS & RENTALS INC, 2046 E MURRAY HOLLADAY RD, SUITE
202,
HOLLADAY, UT 84117 (888) 379-8693 - 2,000,000 (\$100,000) COMMON STOCK.
(FILE 333-46380 - SEP. 22) (NEW ISSUE)

S-8 SOUTHERN UNION CO, 504 LAVACA ST 8TH FL, AUSTIN, TX 78701 (512) 477-
5852
- 1,200,000 (\$23,400,000) COMMON STOCK. (FILE 333-46382 - SEP. 22)
(BR. 2)

S-8 UNIVERSAL HEALTH SERVICES INC, 367 S GULPH RD, KING OF PRUSSIA, PA
19406
(610) 768-3300 - 1,000,000 (\$50,148,843.75) COMMON STOCK. (FILE 333-
46384
- SEP. 22) (BR. 1)

S-8 MAGELLAN HEALTH SERVICES INC, 6950 COLUMBIA GATEWAY, STE 400,
COLUMBIA,
MD 21046 (410) 953-1000 - 1,000,000 (\$3,781,250) COMMON STOCK. (FILE
333-46386 - SEP. 22) (BR. 1)

SB-1 MOUNTAIN BANK HOLDING CO, 501 ROOSEVELT AVE EAST, PO BOX 98,
ENUMCLAW,
WA 98022 (206) 825-0100 - 75,000 (\$825,000) COMMON STOCK. (FILE 333-
46390
- SEP. 22) (BR. 7)

S-3 JMAR TECHNOLOGIES INC, 3956 SORRENTO VALLEY BLVD STE D, SAN DIEGO,
CA

92121 (619) 535-1706 - 229,347 (\$1,903,580) COMMON STOCK. (FILE 333-46392 - SEP. 22) (BR. 5)

S-3 PHOTOGEN TECHNOLOGIES INC, 7327 OAK RIDGE HIGHWAY, SUITE B, KNOXVILLE, TN 37931 (423) 769-4011 - \$40,000,000 COMMON STOCK. (FILE 333-46394 - SEP. 22) (BR. 1)

S-8 SATX INC, 4710 EISENHOWER BLVD, SUITE B-2, TAMPA, FL 33634 (813) 886-6452 - 1,400,000 (\$658,000) COMMON STOCK. (FILE 333-46396 - SEP. 22) (BR. 9)

S-8 DIGITAL LAVA INC, 13160 MINDANO WAY, STE 350, MARINA DEL REY, CA 90292 (888) 222-5282 - 1,000,000 (\$5,437,500) COMMON STOCK. (FILE 333-46398 - SEP. 22) (BR. 9)

S-8 RAMSAY YOUTH SERVICES INC, ONE ALHAMBRA PLAZA COLUMBUS CENTER, STE 750, CORAL GABLES, FL 33134 (305) 569-6993 - 1,350,000 (\$2,067,188) COMMON STOCK. (FILE 333-46400 - SEP. 22) (BR. 1)

S-8 INRANGE TECHNOLOGIES CORP, 13000 MIDLANTIC DR, LAUREL, NJ 08054 (856) 234-7900 - 11,585,000 (\$181,367,000) COMMON STOCK. (FILE 333-46402 - SEP. 22) (BR. 7)

S-3 CV THERAPEUTICS INC, 3172 PORTER DR, PALO ALTO, CA 94304 (650) 812-0585 - \$9,000,000 COMMON STOCK. (FILE 333-46404 - SEP. 22) (BR. 1)

S-3 WORLD AIRWAYS INC /DE/, 13873 PARK CENTER STE 490, C/O WASHINGTON DULLES INTL AIRPPORT, HERNDON, VA 20171 (703) 834-9200 - 699,867 (\$587,888) COMMON STOCK. (FILE 333-46406 - SEP. 22) (BR. 5)

S-8 THERMO ELECTRON CORP, 81 WYMAN ST, P O BOX 9046, WALTHAM, MA 02454 (781) 622-1000 - 563,874 (\$14,150,418.03) COMMON STOCK. (FILE 333-46408 - SEP. 22) (BR. 5)

S-8 NATCO GROUP INC, BROOKHOLLOW CENTRAL III, 2950 NORTH LOOP WEST STE 750, HOUSTON, TX 77092 (713) 683-9292 - 1,000,000 (\$8,625,000) COMMON STOCK. (FILE 333-46410 - SEP. 22) (BR. 6)

S-8 ADAC LABORATORIES, 540 ALDER DR, MILPITAS, CA 95035 (408) 321-9100 - 990,000 (\$12,493,800) COMMON STOCK. (FILE 333-46416 - SEP. 22) (BR. 5)

S-3 LECROY CORP, 700 CHESTNUT RIDGE RD, CHESTNUT RIDGE, NY 10977 (914) 425-2000 - 738,221 (\$13,151,407) COMMON STOCK. (FILE 333-46418 - SEP. 22) (BR. 5)

S-3 ODETICS INC, 1515 S MANCHESTER AVE, ANAHEIM, CA 92802 (714) 774-5000

1,199,815 (\$19,724,958) COMMON STOCK. (FILE 333-46420 - SEP. 22) (BR. 7)

S-8 VIRAGE LOGIC CORP, 46501 LANDING PARKWAY, FREEMONT, CA 94538
(510) 360-8000 - 3,189,640 (\$25,229,094) COMMON STOCK. (FILE 333-46422 - SEP. 22) (BR. 1)

SB-2 E CITYSOFTWARE INC, 2035 MONROE STREET, HOLLYWOOD, FL 33020
(954) 401-4994 - 3,853,000 (\$19,265,000) COMMON STOCK. (FILE 333-46424 - SEP. 22) (NEW ISSUE)

S-3 BOSTON BIOMEDICA INC, 375 WEST STREET, WEST BRIDGEWATER, MA 02379
(508) 580-1900 - 2,070,080 (\$11,126,680) COMMON STOCK. (FILE 333-46426 - SEP. 22) (BR. 1)

S-8 INFE COM INC, 8000 TOWERS CRESCENT DRIVE, SUITE 640, VIENNA, VA
22182 (703) 734-5650 - 1,000,000 (\$350,000) COMMON STOCK. (FILE 333-46428 - SEP. 22) (BR. 9)

S-8 NORTHWEST NATURAL GAS CO, 220 NW SECOND AVE, PORTLAND, OR 97209
(503) 226-4211 - 200,000 (\$4,638,000) COMMON STOCK. (FILE 333-46430 - SEP. 22) (BR. 2)

S-3 HCC INSURANCE HOLDINGS INC/DE/, 13403 NORTHWEST FRWY, HOUSTON, TX
77040 (713) 690-7300 - 300,000,000 (\$300,000,000) STRAIGHT BONDS. (FILE 333-46432 - SEP. 22) (BR. 1)

S-3 PHH CORP, 6 SYLVAN WAY, 6 SYLVAN WAY EXTERNAL REPORTING, PARSIPPANY,
NJ 07054 (410) 771-3600 - 2,625,000,000 (\$2,625,000,000) STRAIGHT BONDS.
(FILE 333-46434 - SEP. 22) (BR. 5)

S-8 LSI LOGIC CORP, 1551 MCCARTHY BLVD, MS D 106, MILPITAS, CA 95035
(408) 433-8000 - 2,500,000 (\$85,862,000) COMMON STOCK. (FILE 333-46436 - SEP. 22) (BR. 5)

S-8 BANCORP RHODE ISLAND INC, ONE TURKS HEAD PLACE, PROVIDENCE, RI 02903
(401) 456-5015 - 425,000 (\$5,817,188) COMMON STOCK. (FILE 333-46438 - SEP. 22) (BR. 7)

S-8 ARGONAUT TECHNOLOGIES INC, 887 INDUSTRIES RD, STE G, SAN CARLOS, CA
94070 (650) 598-1350 - 4,059,147 (\$49,381,878.94) COMMON STOCK. (FILE 333-46440 - SEP. 22) (BR. 1)

SB-2 INCHORUS COM, 2041 MISSION COLLEGE BLVD, SUITE 259, SANTA CLARA, CA
95054 (408) 496-6668 - 20,000,000 (\$8,800,000) COMMON STOCK. (FILE 333-46442 - SEP. 22) (BR. 9)

S-4 FIRSTENERGY CORP, 76 SOUTH MAIN ST, AKRON, OH 44308 (330) 384-5100 -
93,589,676 (\$1,616,293,704.52) COMMON STOCK. (FILE 333-46444 - SEP. 22)

(BR. 2)

- S-1 AMR RESEARCH INC, TWO OLIVER ST., BOSTON, MA 02110 (617) 574-5125 -
\$75,000,000 COMMON STOCK. (FILE 333-46446 - SEP. 22) (NEW ISSUE)
- S-1 ORCHID BIOSCIENCES INC, 303 COLLEGE RD. EAST, PRINCETON, NJ 08540
46448 - (609) 750-2200 - 4,025,000 (\$126,143,500) COMMON STOCK. (FILE 333-
SEP. 22) (BR. 1)
- S-1 CENTURION WIRELESS TECHNOLOGIES INC, 3425 NORTH 44TH ST, LINCOLN, NE
68504 (402) 487-4491 - \$80,500,000 COMMON STOCK. (FILE 333-46450 -
SEP. 22) (NEW ISSUE)
- S-3 SPIGADORO INC, 70 EAST 55TH STREET, 24TH FLOOR, NEW YORK, NY 10022 -
842,222 (\$1,473,888.50) COMMON STOCK. (FILE 333-46452 - SEP. 22) (BR.
3)
- S-8 TTM TECHNOLOGIES INC, 17550 NE 67TH COURT, REDMOND, WA 98052
46454 - (425) 883-7575 - 9,200,000 (\$149,075,731) COMMON STOCK. (FILE 333-
SEP. 22) (BR. 3)
- S-8 CONECTISYS CORP, 24730 AVENUE TIBBITTS #130, VALENCIA, CA 91355
(661) 295-6763 - 1,000,000 (\$540,000) COMMON STOCK. (FILE 333-46456 -
SEP. 22) (BR. 7)
- S-3 YAHOO INC, 3420 CENTRAL EXPRESSWAY, SUITE 201, SANTA CLARA, CA 95051
22) (408) 731-3300 - \$1,000,000,000 STRAIGHT BONDS. (FILE 333-46458 - SEP.
(BR. 3)
- S-4 FNB CORP \VA\, 105 ARBOR DR, CHRISTIANSBURG, VA 24068 (540) 381-4951
- 7,490,258 (\$5,992,206.60) COMMON STOCK. (FILE 333-46460 - SEP. 22)
(BR. 7)
- S-8 AFFILIATED RESOURCES CORP, 3050 POST OAK BLVD, STE 1080, HOUSTON, TX
77056 (281) 334-0405 - 2,500,000 (\$1,000,000) COMMON STOCK. (FILE
333-46462 - SEP. 22) (BR. 9)
- S-8 CROWN ENERGY CORP, 215 SOUTH STATE SUITE 650, SALT LAKE CITY, UT
84111 (801) 537-5610 - 350,000 (\$43,750) COMMON STOCK. (FILE 333-46464 -
SEP. 22) (BR. 4)
- S-8 MICRO ASI INC, 12655 N CENTRAL EXPRESSWAY, STE 1000, DALLAS, TX
75035 (972) 392-9636 - 200,000 (\$200,000) COMMON STOCK. (FILE 333-46466 -
SEP. 22) (BR. 4)
- S-3 POPMAIL COM INC, 1331 CORPORATE DR, SUITE 350, IRVING, TX 75038
46468 - (972) 550-5500 - 6,551,823 (\$3,891,782.86) COMMON STOCK. (FILE 333-
SEP. 22) (BR. 5)
- S-3 LASERSIGHT INC /DE, 3300 UNIVERSITY BLVD, SUITE 140, WINTER PARK, FL

32792 (407) 678-9900 - 2,314,286 (\$10,125,001) COMMON STOCK. (FILE 333-46470 - SEP. 22) (BR. 5)

S-8 HEXCEL CORP /DE/, 281 TRESSER BOULEVARD, TWO STAMFORD PLZ, STAMFORD, CT 06901 (203) 969-0666 - 275,000 (\$3,748,250) COMMON STOCK. (FILE 333-46472 - SEP. 22) (BR. 6)

SB-2 PLANET POLYMER TECHNOLOGIES INC, 9985 BUSINESS PARK WAY STE A, SAN DIEGO, CA 92131 (619) 549-5130 - 2,212,212 (\$5,019,739.50) COMMON STOCK. (FILE 333-46474 - SEP. 22) (BR. 6)

S-8 HEXCEL CORP /DE/, 281 TRESSER BOULEVARD, TWO STAMFORD PLZ, STAMFORD, CT 06901 (203) 969-0666 - 200,000 (\$2,726,000) COMMON STOCK. (FILE 333-46476 - SEP. 22) (BR. 6)

S-3 GENERAL DATACOMM INDUSTRIES INC, ROUTE 63, MIDDLEBURY, CT 06762 (203) 574-1118 - 3,000,000 (\$17,250,000) COMMON STOCK. (FILE 333-46478 - SEP. 22) (BR. 7)

S-3 FISCHER IMAGING CORP, 12300 N GRANT ST, DENVER, CO 80241 (303) 452-6800 - 1,000,000 (\$3,370,000) COMMON STOCK. (FILE 333-46480 - SEP. 22) (BR. 5)

S-3 CARDINAL HEALTH INC, 7000 CARDINAL PL, DUBLIN, OH 43017 (614) 717-5000 - 750,000,000 (\$750,000,000) STRAIGHT BONDS. (FILE 333-46482 - SEP. 22) (BR. 1)

S-3 ACCRUE SOFTWARE INC, 48634 MILMONT DRIVE, FREMONT, CA 94538 (510) 580-4500 - 974,273 (\$16,197,288.63) COMMON STOCK. (FILE 333-46484 - SEP. 22) (BR. 3)

S-8 AMCORE FINANCIAL INC, 501 SEVENTH ST, P O BOX 1537, ROCKFORD, IL 61104 (815) 968-2241 - 2,275,000 (\$43,225,000) COMMON STOCK. (FILE 333-46486 - SEP. 25) (BR. 7)

□

RECENT 8K FILINGS

Form 8-K is used by companies to file current reports on the following events:

- Item 1. Changes in Control of Registrant.
- Item 2. Acquisition or Disposition of Assets.
- Item 3. Bankruptcy or Receivership.
- Item 4. Changes in Registrant's Certifying Accountant.
- Item 5. Other Materially Important Events.
- Item 6. Resignations of Registrant's Directors.
- Item 7. Financial Statements and Exhibits.
- Item 8. Change in Fiscal Year.
- Item 9. Regulation S Offerings.

The following companies have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. 8-K reports may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <publicinfo@sec.gov>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

NAME OF ISSUER	STATE	8K ITEM NO.									DATE	COMMENT
	CODE	1	2	3	4	5	6	7	8	9		

NAME OF ISSUER	STATE	8K ITEM NO.									DATE	COMMENT
	CODE	1	2	3	4	5	6	7	8	9		

ADATOM COM INC	DE				X	X					09/25/00	
ADMINISTAFF INC \DE\	DE				X	X					09/13/00	AMEND
AETHER SYSTEMS INC	DE		X				X				09/14/00	
AFFILIATED RESOURCES CORP	CO				X						09/28/00	
ALCAN ALUMINIUM LTD /NEW					X	X					09/26/00	
ALL STATE PROPERTIES LP	DE		X				X				08/16/00	
ALLBERRY INC	DE				X						09/27/00	
AMERICAN BUSINESS FINANCIAL SERVICE S INC /DE/	DE				X	X					09/27/00	
AMERICAN HEALTHWAYS INC	DE								X		09/26/00	
AMERICAN MULTIPLEXER CORP	NC			X		X					09/28/00	
AMERICAN RIVER HOLDINGS	CA				X	X					09/27/00	
AMERON INTERNATIONAL CORP	DE				X						09/21/00	
AMWEST INSURANCE GROUP INC	DE				X	X					08/30/00	
ANCHOR HOLDINGS INC	DE										12/08/99	
ANCHOR HOLDINGS INC	DE										02/18/00	AMEND
ANCHOR HOLDINGS INC	DE										06/14/00	
ANCHOR HOLDINGS INC	DE										07/19/00	
ANCHOR HOLDINGS INC	DE										08/11/00	
ANMORE MANAGEMENT INC	Al		X								09/12/00	AMEND
AQUA CHEM INC	DE		X				X				09/27/00	
ARISTOTLE CORP	DE		X				X				09/13/00	
AT PLAN INC						X	X				09/24/00	
AVNET INC	NY				X	X					09/27/00	
AXYS PHARMECUEICALS INC	DE				X	X					09/21/00	
BAJA INTERNATIONAL FOODS INC	NV		X		X	X	X				09/30/00	
BANDO MCGLOCKLIN CAPITAL CORP	WI			X			X				09/22/00	
BARON CAPITAL TRUST	DE				X						09/28/00	
BARTON BEERS LTD	MD				X						09/27/00	
BARTON BRANDS LTD /DE/	DE				X						09/27/00	

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
BARTON BRANDS OF CALIFORNIA INC	CT						X				09/27/00	
BARTON BRANDS OF GEORGIA INC	GA						X				09/27/00	
BARTON CANADA LTD	DE						X				09/27/00	
BARTON DISTILLERS IMPORT CORP	NY						X				09/27/00	
BARTON FINANCIAL CORP	DE						X				09/27/00	
BARTON INC	DE						X				09/27/00	
BATAVIA WINE CELLARS INC	NY						X				09/27/00	
BEAR STEARNS COMMERCIAL MORTGAGE SE CURITIES INC	DE						X	X			09/25/00	
BEAR STEARNS COMPANIES INC	DE						X	X			09/20/00	
BEAR STEARNS COMPANIES INC	DE						X				09/28/00	
BECTION DICKINSON & CO	NJ						X				09/26/00	
BESTFOODS	DE						X	X			09/28/00	
BICO INC/PA	PA						X				09/28/00	
BION ENVIRONMENTAL TECHNOLOGIES INC	CO						X				08/10/00	
BLUE RHINO CORP	DE						X	X			09/26/00	
BOISE CASCADE CORP	DE						X	X			09/28/00	
BRE PROPERTIES INC /MD/	MD		X					X			09/13/00	
BRILLIANT DIGITAL ENTERTAINMENT INC	DE						X	X			09/19/00	
BUSH BOAKE ALLEN INC	VA						X	X			09/25/00	
CALIFORNIA SOFTWARE CORP	NV						X				07/24/00	AMEND
CALLON PETROLEUM CO	DE						X	X			09/27/00	
CANANDAIGUA EUROPE LTD	NY						X				09/27/00	
CANANDAIGUA LTD	NY						X				09/27/00	
CANANDAIGUA WINE CO INC /NY/	NY						X				09/27/00	
CANDANAIGUA B V	DE						X				09/27/00	
CBCOM INC	DE						X		X		10/08/99	AMEND
CENTRAL EUROPEAN MEDIA ENTERPRISES LTD							X				09/14/00	
CERTRON CORP	CA						X		X		09/18/00	
CERTRON CORP	CA								X		09/18/00	AMEND
CHANGE TECHNOLOGY PARTNERS INC	DE						X	X			09/15/00	
CHASE COMMERCIAL MORTGAGE SECURITIE S CORP	NY						X	X			09/27/00	
CHASE FUNDING INC	NY						X	X			09/28/00	
CHASE MORTGAGE FINANCE CORP	DE						X	X			09/28/00	
CHEVY CHASE AUTO RECEIVABLES TRUST 1996-1	MD						X				08/20/00	
CHEVY CHASE AUTO RECEIVABLES TRUST 1996-2	MD						X				08/20/00	
CHEVY CHASE AUTO RECEIVABLES TRUST 1997-1	MD						X				08/20/00	
CHEVY CHASE AUTO RECEIVABLES TRUST 1997-2	MD						X				08/20/00	
CHEVY CHASE AUTO RECEIVABLES TRUST 1997-3	MD						X				08/20/00	
CHEVY CHASE AUTO RECEIVABLES TRUST 1997-4	MD						X				08/20/00	
CHEVY CHASE AUTO RECEIVABLES TRUST 1998-1	MD						X				08/20/00	
CHEVY CHASE AUTO RECEIVABLES TRUST 1998-2	MD						X				08/20/00	
CHEVY CHASE BANK FSB	MD		X				X		X		09/01/00	

NAME OF ISSUER	STATE CODE	1	2	3	4	5	6	7	8	9	DATE	COMMENT
CHEVY CHASE HOME LOAN TRUST 1996-1	MD					X					08/20/00	

NAME OF ISSUER	STATE CODE	1	2	3	4	5	6	7	8	9	DATE	COMMENT
CHEVY CHASE HOME LOAN TRUST 1997-1	MD					X					08/20/00	
CHRYSLER FINANCIAL CO LLC	MI					X	X				09/13/00	
CIMETRIX INC	NV					X					09/20/00	
CISCO SYSTEMS INC	CA					X					09/25/00	
CIT EQUIPMENT COLLATERAL 2000-1	NY					X					09/18/00	
CITICORP MORTGAGE SECURITIES INC	DE		X								09/28/00	
CLOUD PEAK CORP	DE					X					09/27/00	
COBALT NETWORKS INC	DE					X	X				09/18/00	
CODESTREAM HOLDINGS INC	CO				X		X				09/25/00	
COMCAST CABLE COMMUNICATIONS INC	DE					X	X				04/07/99	
COMET TECHNOLOGIES INC	NV					X					09/27/00	
COMMAND SECURITY CORP	NY							X			09/12/00	
COMMERCE ONE INC	DE		X					X			09/13/00	
COMMONWEALTH BIOTECHNOLOGIES INC	VA						X	X			09/25/00	
COMMUNITY BANCSHARES INC /DE/	DE					X					09/21/00	
COMTECH TELECOMMUNICATIONS CORP /DE	DE		X					X			07/10/00	AMEND
/												
CONEXANT SYSTEMS INC	DE					X					09/22/00	
CONSECO FINANCE CORP	DE					X	X				09/15/00	
CONSECO FINANCE CORP	DE					X	X				09/15/00	
CONSECO FINANCE CORP	DE					X	X				09/15/00	
CONSECO FINANCE CORP	DE							X			09/26/00	
CONSECO FINANCE CORP	DE							X			09/28/00	
CONSECO FINANCE SECURITIZATIONS COR	DE					X	X				09/15/00	
P												
CONSECO FINANCE SECURITIZATIONS COR	DE					X	X				09/15/00	
P												
CONSECO FINANCE SECURITIZATIONS COR	DE					X	X				09/15/00	
P												
CONSECO FINANCE SECURITIZATIONS COR	DE					X	X				09/15/00	
P												
CONSECO FINANCE SECURITIZATIONS COR	DE							X			09/26/00	
P												
CONSECO FINANCE SECURITIZATIONS COR	DE							X			09/28/00	
P												
CONSECO INC	IN					X	X				09/22/00	
CONSECO INC	IN					X	X				09/22/00	AMEND
CONSTELLATION BRANDS INC	DE					X					09/27/00	
CONVERGYS CORP	OH					X					09/26/00	
COOPERATIVE BANKSHARES INC	NC					X		X			09/21/00	

NAME OF ISSUER	STATE CODE	1	2	3	4	5	6	7	8	9	DATE	COMMENT
COREL CORP	A6					X	X				09/19/00	
COVAD COMMUNICATIONS GROUP INC	DE					X	X				09/11/00	
COVAD COMMUNICATIONS GROUP INC	DE		X					X			09/12/00	
CRAFTCLICK COM INC	UT	X				X	X	X			09/14/00	
CRAFTCLICK COM INC	UT	X				X	X	X			09/14/00	AMEND
CREDENCE SYSTEMS CORP	DE					X	X				09/26/00	

LIMITED PARTNERSHIP									
HARTCOURT COMPANIES INC	UT			X		X			09/25/00
HEALTHCENTRAL COM	DE	X				X			09/14/00
HEALTHRITE INC	DE			X		X			05/05/00 AMEND
HELLER FUNDING CORP	DE				X	X			09/13/00
HELLER FUNDING CORP	DE					X	X		09/14/00
HELLER FUNDING CORP	DE					X	X		09/25/00
HOUSEHOLD AUTOMOBILE REVOLVING TRUS	DE						X		09/28/00
T I									
HOUSEHOLD AUTOMOTIVE TRUST III SERI	DE						X		09/28/00
ES 1999-1									
HOUSEHOLD AUTOMOTIVE TRUST IV SERIE	DE						X		09/28/00
S 2000-1									
HOUSEHOLD AUTOMOTIVE TRUST V SERIES							X		09/28/00
2000 2									
I STORM INC	NV					X			09/22/00 AMEND
I-TRANSACTION NET INC	NJ	X							09/15/00
IGI INC	DE	X			X	X			09/15/00
IMAGEMAX INC	PA				X		X		09/21/00
IMPAC SECURED ASSETS CORP	CA						X		09/26/00
IMPAC SECURED ASSETS CORP	CA	X			X	X			09/28/00
INCOME GROWTH PARTNERS LTD X	CA				X		X		09/06/00
INKINE PHARMACEUTICAL CO INC	NY					X	X		09/21/00
INSYNQ INC	UT					X			09/18/00
INTERFOODS OF AMERICA INC	NV					X			09/22/00

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		

INTERFOODS OF AMERICA INC	NV	X					X				09/27/00	
INTERNATIONAL FLAVORS & FRAGRANCES INC	NY						X	X			09/25/00	
INTERNET HOLDINGS INC	UT	X						X			09/20/00	
INVESTAMERICA INC	NV	X						X			09/24/00	AMEND
JPM CO	PA	X									09/27/00	
K TEL INTERNATIONAL INC	MN					X		X			09/26/00	
KELLSTROM INDUSTRIES INC	DE					X		X			09/20/00	
KEYCORP STUDENT LOAN TRUST 1999 A						X		X			09/27/00	
KEYNOTE SYSTEMS INC	CA							X			06/02/00	AMEND
KITTY HAWK INC	DE					X		X			09/20/00	
LABORATORY CORP OF AMERICA HOLDINGS	DE					X		X			09/19/00	
LABORATORY CORP OF AMERICA HOLDINGS	DE					X		X			09/27/00	
LAHAINA ACQUISITIONS INC	CO					X					09/27/00	AMEND
LINCOLN BANCORP /IN/	IN	X						X			09/26/00	
M V LEWIS CORP	DE							X			09/27/00	
MAGELLAN PETROLEUM CORP /DE/	DE							X			09/27/00	
MALAN REALTY INVESTORS INC	MI					X		X			09/14/00	
MARSHALL & ILSLEY CORP/WI/	WI					X		X			09/18/00	
MBNA CORP	MD							X			09/28/00	
MEASUREMENT SPECIALTIES INC	NJ					X					09/18/00	AMEND
MEDICAL ADVISORY SYSTEMS INC	DE						X	X			09/21/00	
METROPOLITAN FINANCIAL CORP /OH/	OH							X			09/26/00	
MID STATE RACEWAY INC	NY					X					09/22/00	
MMCA AUTO OWNER TRUST 1999-1	DE							X	X		08/15/00	
MMCA AUTO OWNER TRUST 1999-2	DE							X	X		09/15/00	
MONARCH IMPORT CO	IL							X			09/27/00	
MONTANA POWER CO /MT/	MT	X									09/19/00	

MORTGAGE PASS THROUGH CERTIFICATE S ERIES 2000-7	DE	X								09/28/00	
MT VEEDER CORP	DE				X					09/27/00	
NAPCO SECURITY SYSTEMS INC	DE	X					X			07/27/00	AMEND
NATIONAL CITY CREDIT CARD MASTER TR UST							X			09/15/00	
NAVISTAR FINANCIAL DEALER NOTE MAST ER TRUST	DE				X		X			09/25/00	
NAVISTAR FINANCIAL RETAIL RECEIVABL ES CORPORATION	DE				X		X			09/15/00	
NAVISTAR FINANCIAL SECURITIES CORP	DE				X		X			09/25/00	
NCT FUNDING CO LLC	DE						X			09/18/00	
NCT GROUP INC	DE	X						X		12/31/00	
NETVOICE TECHNOLOGIES CORP	NV							X		05/19/00	AMEND

	STATE		8K ITEM NO.									
NAME OF ISSUER	CODE	1	2	3	4	5	6	7	8	9	DATE	COMMENT

NETWORK SIX INC	RI	X									09/27/00	
NEXTPATH TECHNOLOGIES INC	NV					X					08/23/00	
NMT MEDICAL INC	DE				X		X				09/21/00	
NORTH FORK BANCORPORATION INC	DE				X		X				09/26/00	
NORTH SHORE GAS CO /IL/	IL	X									09/27/00	
NORTH VALLEY BANCORP	CA				X		X				09/25/00	
NORTHERN STATES POWER CO /WI/	WI				X		X				09/25/00	
NVIDIA CORP/CA	DE				X						09/28/00	
OMEGA ENVIRONMENTAL INC	DE		X		X		X				09/28/00	
OMNINET MEDIACOM INC	IN								X		07/27/00	
OPTICAL CABLE CORP	VA				X						09/27/00	
OSI PHARMACEUTICALS INC	DE				X		X				09/27/00	
PACKETEER INC	DE	X						X			09/13/00	
PATRIOT SCIENTIFIC CORP	DE				X		X				09/25/00	
PENTON MEDIA INC	DE				X		X				09/27/00	
PEOPLES ENERGY CORP	IL	X									09/27/00	
PEOPLES GAS LIGHT & COKE CO	IL	X									09/27/00	
PHILIPS INTERNATIONAL REALTY CORP	MD							X			07/14/00	AMEND
PHYSICIANS RESOURCE GROUP INC	DE				X		X				09/21/00	
PMC SIERRA INC	DE	X						X			09/28/00	AMEND
PNC MORTGAGE SECURITIES CORP MORT P ASS THROU CERT SER 2000 6	DE	X									09/22/00	
POLYPHENOLICS INC	NY					X					09/27/00	
POWERCERV CORP	FL				X		X				09/25/00	
PROCTER & GAMBLE CO	OH				X						09/06/00	
RDC INTERNATIONAL INC	FL						X				06/30/00	AMEND
REDWOOD EMPIRE BANCORP	CA				X						09/27/00	
RENAL CARE GROUP INC	DE				X		X				09/20/00	
RESIDENTIAL ASSET FUNDING CORP	NC				X		X				09/25/00	
RESIDENTIAL ASSET MORTGAGE PRODUCTS INC	DE				X		X				09/27/00	
RESIDENTIAL FUNDING MORTGAGE SECURI TIES I INC	DE				X		X				09/25/00	
RESORT AT SUMMERLIN INC	NV				X		X				06/15/00	
RESORT AT SUMMERLIN L P	NV				X		X				06/15/00	
ROBERTS TRADING CORP	NY				X						09/27/00	
ROCK OF AGES CORP	DE				X						09/22/00	
ROYAL PRECISION INC	DE				X						09/28/00	
SAXON ASSET SECURITIES CO	VA				X						09/27/00	

SBA COMMUNICATIONS CORP	FL					X	X			09/26/00	
SBE INC	DE					X	X			07/14/00	AMEND
SEGWAY II CORP	NJ	X								04/26/00	

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		

SG FIBER CO	NV					X				X		09/20/00	
SIEBEL SYSTEMS INC	DE					X				X		09/27/00	
SIZZLER INTERNATIONAL INC	DE					X				X		09/25/00	
SOHU COM INC						X				X		09/28/00	
SOUTHERN CO	DE					X				X		09/27/00	
SOUTHWESTERN LIFE HOLDINGS INC	DE					X				X		09/28/00	
SPARTAN STORES INC	MI									X		08/01/00	AMEND
ST JOSEPH LIGHT & POWER CO	MO					X				X		09/28/00	
STATE AUTO FINANCIAL CORP	OH	X										09/28/00	
STEELTON BANCORP INC	PA					X				X		09/20/00	
STEVENS POINT BEVERAGE CO	WI					X						09/27/00	
STEWART & STEVENSON SERVICES INC	TX					X				X		09/28/00	
STEWART ENTERPRISES INC	LA					X						09/25/00	
STOCKWALK COM GROUP INC	MN					X						09/27/00	
STREAMEDIA COMMUNICATIONS INC	DE					X						09/27/00	
SUNBURST HOSPITALITY CORP	DE					X						09/21/00	
SUNDOG TECHNOLOGIES INC	DE					X				X		08/26/00	
SYSTEMAX INC	DE					X						09/28/00	
TECO ENERGY INC	FL					X				X		09/20/00	
TEHAMA BANCORP	CA					X				X		09/20/00	
TELESCAN INC	DE					X				X		09/25/00	
TITAN MOTORCYCLE CO OF AMERICA INC	NV					X				X		09/27/00	
TOTAL RESEARCH CORP	DE	X										05/30/00	AMEND
TOWER TECH INC	OK					X				X		09/25/00	
TRITON ENERGY LTD	E9					X				X		09/27/00	
TRITON ENERGY LTD	E9					X				X		09/28/00	AMEND
TRUSERV CORP	DE					X						06/29/00	
UNION CARBIDE CORP /NEW/	NY					X				X		09/27/00	
UNITED INDUSTRIAL CORP /DE/	DE					X				X		09/21/00	
UNITED ROAD SERVICES INC	DE					X						09/27/00	
VALUECLICK INC/CA	DE					X				X		09/22/00	
VIEWCAST COM INC	DE					X				X		09/14/00	
VIRTUALFUND COM INC	MN	X				X						09/27/00	
VOICE MOBILITY INTERNATIONAL INC	NV					X						09/26/00	
WASTEMASTERS INC	MD									X	X	09/18/00	
WATTAGE MONITOR INC						X				X		09/21/00	
WEBB INTERACTIVE SERVICES INC	CO									X		09/13/00	AMEND
WEBMD CORP	DE					X				X		09/28/00	
WELLS FARGO & CO/MN	DE					X				X		09/26/00	
WELLS FARGO ASSET SECURITIES CORP	DE					X				X		09/27/00	
WESTERFED FINANCIAL CORP	DE					X				X		09/20/00	
WESTMORELAND COAL CO	DE					X						09/28/00	

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		

WHATSONLINE COM INC	NV	X										09/15/00	
WHX CORP	DE					X						09/27/00	
WHX CORP	DE					X						09/28/00	
WIT SOUNDVIEW GROUP INC	DE					X				X		09/26/00	

WLR FOODS INC	VA		X	X	09/27/00
WODFI LLC	FL	X			08/31/00
WORLD OMNI AUTO RECEIVABLES LLC	DE	X			08/31/00
YAHOO INC	DE		X	X	09/01/00
YAHOO INC	DE		X	X	09/01/00 AMEND
ZEROS & ONES INC	NV			X	09/25/00
1STOPSALE COM HOLDINGS INC	DE		X		09/28/00
□					