

SEC NEWS DIGEST

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COMMISSION ANNOUNCEMENTS

SEC RELEASES LIST OF LIMIT ORDER TRANSPARENCY ROUNDTABLE PARTICIPANTS

The Commission today released the list of people who will participate in the Limit Order Transparency Roundtable, which will take place on Thursday, May 4, 2000 from 10 00 a m to noon at the Commission's headquarters in Washington, DC

Division of Market Regulation Director Annette L. Nazareth said, "We have a superb group of participants representing a broad cross section of the industry. We hope this initial dialogue will advance the goal of improving limit order transparency, which will become increasingly important as the markets move to decimal pricing"

LIST OF PARTICIPANTS

Mr. Matthew F. Andresen
President
Island ECN

Mr. Douglas Atkin
President & CEO
Instinet

Mr. Michael W. Clark
Managing Director
CS First Boston

Mr. Peter C. Cohan
Managing Director
Pershing

Mr. Robert Colby
Deputy Director, Division of Market
Regulation
SEC

Mr. Joseph Della Rosa
Managing Director
Goldman Sachs & Co.

Ms. Myra R. Drucker
Chief Investment Officer & Assistant
Treasurer
Xerox Corporation

Mr. Kevin Foley
Manager of Electronic Trading
Bloomberg LP

Mr. William R. Harts
Managing Director
Salomon Smith Barney

Mr. Peter Jenkins
Managing Director
Scudder Kemper

Mr. George K. Jennison
Senior Managing Director
Retail Equity Group
First Union Securities

Mr. Thomas M. Joyce
Managing Director/Head of Equity
Market Structures
Merrill Lynch

Mr. Richard Ketchum
President & Chief Operating Officer
National Association of Securities
Dealers, Inc.

Ms. Catherine R. Kinney
Group Executive Vice President
New York Stock Exchange, Inc

Ms. Kathy Levinson
President & Chief Operating Officer
E*Trade Securities, Inc.

Mr. Arthur Levitt
Chairman
SEC

Mr. Bernard L. Madoff
Chairman
Bernard L. Madoff Investment Securities

Ms. Annette L. Nazareth
Director, Division of Market Regulation
SEC

Mr. Kenneth D. Pasternak
President & CEO
Knight/Trimark Group

Mr. Robert Pozen
President
Fidelity Management & Research
Company

Mr. Gerald D. Putnam
Chief Executive Officer
Archipelago

Mr. George U. Sauter
Managing Director
The Vanguard Group

Mr. Devin Wenig
Executive Vice President of Marketing
Reuters America, Inc.

Mr. Stephen Williams
Senior Special Advisor
SEC
(Press Rel. 2000-55)

SEC RELEASES AGENDA FOR INVESTMENT ADVISER REGULATORY ISSUES ROUNDTABLE

The Commission today released the agenda for the Roundtable on Investment Adviser Regulatory Issues that it will host at its headquarters in Washington, DC on May 23, 2000, beginning at 9:00 a.m. The Roundtable will explore several significant regulatory issues that the Commission plans to address in the next year. Seating is available to all members of the public on a first-come, first-serve basis.

Interested persons are invited to submit written comments on any of the topics to be discussed at the Roundtable. Comments must be received on or before May 12, 2000, and should be submitted in triplicate to Jonathan G. Katz, Secretary, Securities and Exchange Commission, 450 Fifth Street, N.W., Washington, D.C. 20549-0609. Comments may also be submitted electronically at the following E-mail address: rule-comments@sec.gov. All submissions should refer to File No. 4-433; this File number should be included on the subject line if E-mail is used. Submissions will be available for public inspection and copying in the Commission's Public Reference Room, 450 Fifth Street, N.W., Washington, D.C. 20549. Electronic submissions also will be posted on the Commission's Internet web site (<http://www.sec.gov>).

For further information, contact Cynthia M. Fornelli, Senior Adviser to the Director, Division of Investment Management, (202) 942-0720, or J. David Fielder, Adviser to the Director, Division of Investment Management, (202) 942-0530, fielderd@sec.gov, at Securities and Exchange Commission, 450 Fifth Street, N.W., Washington, D.C. 20549-0506.

AGENDA FOR THE SEC ROUNDTABLE ON INVESTMENT ADVISER REGULATORY ISSUES

- I. INTRODUCTION
- II. INVESTMENT ADVISERS IN TODAY'S COMPETITIVE MARKETS / MODERNIZATION OF ADVISER REGULATION
 - A. Investment Advisers and Broker Dealers – Are the Lines Blurring? (Proposed rule 202(a)(11)-1: Deeming certain broker-dealers not to be investment advisers)
 - B. Should the other statutory exceptions from the definition of "investment adviser" be revisited?
 - C. Effectiveness of bifurcated regulatory regime under NSMIA
 - D. Review of the disclosure model
 - E. Is there a need for a self-regulatory organization?
- III. TRADING PRACTICES
 - A. Use of soft dollars
 - B. Obligation to seek best execution

- D. **Personal trading** (including whether there should there be a code of ethics requirement)
- E. **Custody**
- F. **Trading error correction**

IV. **CONFLICTS OF INTEREST**

- A. **Conflicts faced by advisers**
- B. **Proposed rule 206(4)-5: pay to play**
- C. **Possible rule modifying Section 206(3)'s restrictions on principal trading**
- D. **Supervision**

V. **ADVERTISING AND PERFORMANCE REPORTING**

- A. **Use of investment performance in advertising**
- B. **Revisions to the Advisers Act advertising rules**
- C. **Standardization of performance reporting**

VI. **TECHNOLOGY AND INVESTMENT ADVISER REGULATION**

- A. **Implications of Internet/Technology for Advisers**
- B. **Revisions to Form ADV**
- C. **The IARD: new electronic filing system**
- D. **Modifying the rules regarding maintenance of investment adviser books and records**
(Press Rel. 2000-56)

CHANGE IN THE MEETING: TIME CHANGE

The closed meeting scheduled for Wednesday, May 3, 2000, at 11:00 a.m., has been changed to Wednesday, May 3, 2000, at 2:00 p.m.

At times, changes in Commission priorities require alterations in the scheduling of meeting items. For further information and to ascertain what, if any, matters have been added, deleted or postponed, please contact: The Office of the Secretary at (202) 942-7070

RULES AND RELATED MATTERS

INTERPRETIVE RELEASE PROVIDING GUIDANCE ON THE USE OF ELECTRONIC MEDIA

On April 25, 2000, the Commission issued an interpretive release providing guidance on the use of electronic media by issuers of all types, including operating companies, investment companies and municipal securities issuers, as well as market intermediaries. The release updates previous guidance on the use of electronic media to deliver documents under the federal securities laws, discusses an issuer's liability for web site content and outlines basic

legal principles that issuers and market intermediaries should consider in conducting online offerings. For further information, please contact P.J. Himelfarb or Mark A. Borges, Office of Chief Counsel, Division of Corporation Finance, at (202) 942-2900. (Rel. 33-7856; 34-42728; IC-24426; File No. S7-11-00)

ENFORCEMENT PROCEEDINGS

COMMISSION ISSUES ORDER MAKING FINDINGS AND IMPOSING REMEDIAL SANCTIONS IN THE MATTER OF STEVEN BARKUS, RESPONDENT

The Commission announced that it issued an Order Making Findings and Imposing Remedial Sanctions pursuant to Sections 15(b) and 19(h) of the Securities Exchange Act of 1934 (Exchange Act) against Respondent Steven G. Barkus (Barkus).

Effective immediately, the Order bars Barkus from association with any broker or dealer, with a right to reapply for association after five years to the appropriate self-regulatory organization, or if there is none, to the Commission

In its Order, the Commission found that Barkus, who at all relevant times was a registered representative with registered broker-dealer Marsh, Block & Co., Inc., was permanently enjoined on June 10, 1998 by the United States District Court for the Central District of California, in the case of SEC v. American Telecom Interconnect, et al, CV 96-2952 (DDP)(C D Cal.), (SEC v. ATI) from future violations of Sections 5(a), 5(c), and 17(a) of the Securities Act of 1933, Section 10(b) of the Exchange Act and Rule 10b-5 thereunder. The Order further finds that the complaint in SEC v ATI alleged that from June 1992 to February 1994, Barkus, among others, offered and sold unregistered investments in sale-and-leaseback contracts for telephone equipment through fraudulent representations and omissions of material fact. (Rel. 34-42731; File No. 3-10000)

COMMISSION INSTITUTES AND SETTLES PROCEEDING AGAINST STOCK RECOMMENDATION WEB SITE AND ITS OWNER AND OPERATOR, ROBERT GARGANESE, A CONVICTED FELON

The Commission today instituted and settled a cease and desist proceeding against Genesis Trading and its owner and operator, Robert R. Garganese, finding that Genesis and Garganese violated the antifraud provisions of the Securities Exchange Act of 1934 (Exchange Act), Section 10(b) and Rule 10b-5 thereunder.

The cease and desist order against Genesis and Garganese makes findings that the respondents used a web site located at www.genestrading.com to disseminate false and misleading statements designed to entice day traders to sign up for and continue to use Genesis' self-proclaimed expert stock picking services in return for a subscription fee. For most of its existence, Garganese prominently described Genesis on the web site as "a company composed of professional traders" who have created a system called "Stock Tracker Plus." According to the site, the system is designed to track institutional buying

and selling. In truth, however, Genesis has been run solely by Garganese, who is not a professional trader. In addition, the Genesis picks are the product of commercially purchased software that does not specialize in tracking institutional buying and selling.

The Genesis web site also misrepresents the profit potential of its system. For example, the site boasts, without basis, that all a subscriber need do is “enter the trade and ride the wave of what is usually a \$2-\$10 price move within a few days.” The site also falsely claimed that over 80 percent of Genesis’ trading recommendations were profitable.

Garganese and Genesis, without admitting or denying the Commission’s findings, consented to the Commission’s Order and agreed to cease and desist from committing or causing any violation and any future violation of the antifraud provisions of the Exchange Act. In addition, Genesis must provide a copy of the Commission’s Order to all current Genesis subscribers and all prospective subscribers for a period of one year. (Rel. 34-42737; File No. 3-10194; Press Rel. 2000-57)

INJUNCTION AND FINES ORDERED AGAINST FORMER INCOMNET CHAIRMAN AND CEO SAM SCHWARTZ

United States District Judge Virginia A. Phillips issued a judgment on April 24, 2000, against Sam D. Schwartz, former chairman of the board and chief executive officer of Incomnet, Inc. The judgment enjoins Schwartz from future violations of the antifraud and reporting provisions of the federal securities laws and orders him to pay a civil penalty of \$85,000. The judgment was entered as part of a settlement between Schwartz and the Commission. Schwartz consented to the judgment, but neither admitted nor denied the allegations made by the Commission.

The Commission alleged in its amended complaint that Schwartz, a resident of Los Angeles, bought over a million shares of Incomnet common stock in at least 48 transactions and sold over 900,000 shares in at least 28 transactions during a period from June 1994 through July 1995. On only one occasion did Schwartz timely file reports to disclose his trading. In addition, Schwartz signed an Incomnet 8-K report that contained false or misleading statements concerning Schwartz’ disclosure of trading to Incomnet’s board of directors and the board’s authorization of that trading. Schwartz also approved press releases that contained misleading information concerning his trading. [SEC. Sam D Schwartz, et al., Civil Action No 98-6142 VAP, C.D. Cal.] (LR-16529)

JURY FINDS FORMER CHAIRMAN AND CEO OF INVESTMENT BANKING FIRM GUILTY OF INSIDER TRADING

On April 27, 2000, after a twelve-day trial, a federal jury in the United States District Court for the Southern District of New York found James J. McDermott, Jr., (McDermott), the former Chairman and Chief Executive Officer of Keefe, Bruyette & Woods, Inc, an investment banking firm in New York, and Anthony P. Pomponio (Pomponio), a New Jersey businessman, guilty on charges of participating in an insider trading scheme with Kathryn B. Gannon, a/k/a “Marilyn Star,” a co-defendant in the case and a fugitive believed

to be in Canada. McDermott was found guilty on one count of conspiracy and five out of six counts of insider trading. Pomponio was convicted on one count of conspiracy, three out of four counts of insider trading and one count of perjury for providing false testimony to the SEC (U.S. v. McDermott et al., 00 Cr. 61, KMW).

The Commission's civil action against McDermott, Gannon and Pomponio, filed at the same time as the U.S. Attorney for the Southern District of New York's criminal complaint, was stayed pending the outcome of the criminal trial. Once the stay is lifted, the Commission intends to pursue its claims against McDermott, Gannon and Pomponio, for engaging in illegal insider trading in violation of Section 10(b) of the Securities Exchange Act of 1934 and Rule 10b-5 thereunder, and seek injunctive relief, disgorgement, and civil monetary penalties. For further information, see LR-16395 (December 21, 1999). [SEC v James J. McDermott, Jr., Kathryn B. Gannon a/k/a Marilyn Star and Anthony P. Pomponio, Civil Action No 99 Civ. 12256, SDNY, MBM] (LR- 16530)

INVESTMENT COMPANY ACT RELEASES

COHESION TECHNOLOGIES, INC.

A notice has been issued giving interested persons until May 22 to request a hearing on an application filed by Cohesion Technologies, Inc. for an order under Sections 6(c) and 6(e) of the Investment Company Act of 1940 granting an exemption from all provisions of the Act, except Sections 9, 17(e) (as modified in the application), 17(f) (as modified in the application), and 37 through 53, and the rules and regulations under those sections, from the date the requested order is issued until the earlier of August 18, 2001 or the date applicant no longer may be deemed to be an investment company. (Rel IC-24425 – April 27)

ALEXANDER HAMILTON LIFE INSURANCE COMPANY OF AMERICA, ET AL.

An order has been issued pursuant to Section 26(b) of the Investment Company Act to Alexander Hamilton Life Insurance Company of America, Alexander Hamilton Variable Annuity Separate Account, Jefferson Pilot Financial Insurance Company, JPF Separate Account A, JPF Separate Account C, Jefferson Pilot LifeAmerica Insurance Company, JPF Separate Account B, Jefferson-Pilot Life Insurance Company, Jefferson-Pilot Separate Account A and Jefferson Pilot Investment Advisory Corporation (collectively, Applicants) approving the substitution of shares of the S&P 500 Index Portfolio of the Jefferson Pilot Variable Fund, Inc. for shares of the Fidelity Index 500 Portfolio of the Fidelity Variable Insurance Products Fund II. An order has also been granted to the Applicants pursuant to Section 17(b) of the Act exempting them from Section 17(a) of the Act to the extent necessary to permit the Applicants to carry out the substitution by means of in-kind redemption and purchase transactions. (Rel. IC-24427 – April 28)

PENN SERIES FUNDS, INC., ET AL.

An order has been issued on an application filed by Penn Series Funds, Inc., et al., exempting applicants from Section 15(a) of the Investment Company Act and Rule 18f-2 under the Act. The order permits applicants to enter into and materially amend investment subadvisory agreements without obtaining shareholder approval. ((Rel IC-24428 – April 28)

NOTICES OF DEREGISTRATIONS UNDER THE INVESTMENT COMPANY ACT

For the month of April, 2000, a notice has been issued giving interested persons until May 23, 2000, to request a hearing on any of the following applications for an order under Section 8(f) of the Investment Company Act declaring that the applicant has ceased to be an investment company:

Select Advisors Trust C [File No 811-8404]
Heritage U.S. Government Income Fund [File No 811-7980]
The Planters Funds - Tennessee Tax-Free Bond Fund [File No. 811-7065]
The Rodney Square Tax-Exempt Fund [File No 811-4372]
The Rodney Square Fund [File No. 811-3406]
The Rodney Square Strategic Equity Fund [File No 811-4808]
The Rodney Square Strategic Fixed-Income Fund [File No 811-4663]
The CRM Funds [File No. 811-9034]
Harris & Harris Group, Inc. [File No. 811-7074]
Life & Annuity Trust [File No. 811-8118]
(Rel. IC-24429 – April 28)

SEI INVESTMENTS MANAGEMENT CORPORATION, ET AL.

A notice has been issued giving interested persons until May 22, 2000, to request a hearing on an application filed by SEI Investments Management Corporation, et al. for an order that would grant relief from Sections 10(f), 12(d)(3), and 17(e) of the Investment Company Act of 1940 and from Rule 17e-1 under the Act. The order would permit certain registered open-end management investment companies advised by several investment advisers to engage in principal and brokerage transactions with a broker-dealer affiliated with one of the investment advisers and to purchase securities in certain underwritings. The transactions would be between the broker-dealer and a portion of the investment company's portfolio not advised by the adviser affiliated with that broker-dealer. The order also would permit these investment companies not to aggregate certain purchases from an underwriting syndicate in which an affiliated person of one of the investment advisers is a principal underwriter. Further, applicants request relief to permit a portion of an investment company's portfolio to purchase securities issued by a broker-dealer which is an affiliated person of an investment adviser to another portion, subject to the limits in Rule 12d3-1 under the Act (Rel. IC-24430 – April 28)

SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security, Title and the number and/or face amount of the securities being offered, Name of the managing underwriter or depositor (if applicable); File number and date filed, Assigned Branch, and a designation if the statement is a New Issue.

Registration statements may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <publicinfo@sec.gov>. In most cases, this information is also available on the Commission's website. <www.sec.gov>.

- S-8 GREENLAND CORP, 1935 AVENIDA DEL ORO, SUITE D, OCEANSIDE, CA 92056
(619) 458-4226 - 716,041 (\$358,020) COMMON STOCK. (FILE 333-35444 -
APR. 24) (BR. 9)
- S-3 SYMBOLLON CORP, 37 LORING DR, FRAMINGHAM, MA 01702 (508) 443-0165 -
1,734,360 (\$10,327,720.78) COMMON STOCK. (FILE 333-35446 - APR. 24)
(BR. 1)
- S-8 CORILLIAN CORP, 3855 SW 153RD DR, SUIT 300, BEAVERTON, OR 97006
(503) 627-0729 - 9,151,855 (\$56,174,021) COMMON STOCK. (FILE 333-35448 -
APR. 24) (BR. 3)
- S-8 PACIFICHEALTH LABORATORIES INC, 460 ROUTE 9 NORTH, WOODBURY, NJ 07095
(908) 636-6141 - 1,500,000 (\$3,888,307) COMMON STOCK. (FILE 333-35450 -
APR. 24) (BR. 9)
- S-8 CAPITOL FEDERAL FINANCIAL, 700 KANSAS AVENUE, TOPEKA, KS 66601
(785) 235-1341 - 5,293,005 (\$48,965,589) COMMON STOCK. (FILE 333-35452 -
APR. 24) (BR. 7)
- S-8 TCI SATELLITE ENTERTAINMENT INC, 8085 SOUTH CHESTER SUITE 300,
ENGLEWOOD, CO 80111 (303) 712-4600 - 500,000 (\$4,812,500) COMMON STOCK.
(FILE 333-35454 - APR. 24) (BR. 7)
- S-8 CNET NETWORKS INC, 150 CHESTNUT ST, SAN FRANCISCO, CA 94111
(415) 395-7800 - 1,684,025 (\$41,681,861) COMMON STOCK. (FILE 333-35458 -
APR. 24) (BR. 5)
- S-3 CATERPILLAR FINANCIAL SERVICES CORP, 3322 WEST END AVE, NASHVILLE, TN
37203 (615) 386-5931 - 4,000,000,000 (\$4,000,000,000) STRAIGHT BONDS.
(FILE 333-35460 - APR. 24) (BR. 7)
- S-8 AKAMAI TECHNOLOGIES INC, 500 TECHNOLOGY SQ, CAMBRIDGE, MA 02139
(617) 250-3000 - 48,089 (\$77,423) COMMON STOCK. (FILE 333-35462 - APR.
24)
(BR. 8)

S-8 AKAMAI TECHNOLOGIES INC, 500 TECHNOLOGY SQ, CAMBRIDGE, MA 02139
(617) 250-3000 - 450,930 (\$4,279,326) COMMON STOCK. (FILE 333-35464 -
APR. 24) (BR. 8)

S-8 STAC SOFTWARE INC, 12636 HIGH BLUFF DRIVE, SAN DIEGO, CA 92130
(619) 794-4300 - 250,000 (\$1,300,775) COMMON STOCK. (FILE 333-35466 -
APR. 24) (BR. 3)

S-8 SPARTA SURGICAL CORP, OLSEN CENTRE, 2100 MERIDIAN PARK BLVD, CONCORD,
CA
94520 (925) 825-8151 - 250,000 (\$343,750) COMMON STOCK. (FILE 333-35468 -
APR. 24) (BR. 5)

S-8 OPUS360 CORP, 733 THIRD AVE, 17TH FL, NEW YORK, NY 10017 (212) 301-2218
- 19,713,341 (\$102,314,952) COMMON STOCK. (FILE 333-35472 - APR. 24)
(BR. 8)

S-3 DYNATECH CORP, 3 NEW ENGLAND EXECUTIVE PARK, BURLINGTON, MA 01803
(617) 272-6100 - 4,983,048 (\$20,000,000) COMMON STOCK. (FILE 333-35476 -
APR. 24) (BR. 5)

S-4 THERMO ELECTRON CORP, 81 WYMAN ST, P O BOX 9046, WALTHAM, MA 02454
(781) 622-1000 - 17,394,047 (\$381,773,739) COMMON STOCK. (FILE 333-35478
APR. 24) (BR. 5)

S-3 AQUILA BIOPHARMACEUTICALS INC, 175 CROSSING BLVD,
BIOTECHNOLOGY RESEARCH PARK, FRAMINGHAM, MA 01702 (508) 797-5777 - 500,000
(\$1,953,125) COMMON STOCK. (FILE 333-35480 - APR. 24) (BR. 1)

S-8 STANCORP FINANCIAL GROUP INC, 1100 S W SIXTH AVENUE, PORTLAND, OR 97204
(503) 321-7000 - 1,696,371 (\$45,695,993) COMMON STOCK. (FILE 333-35484 -
APR. 24) (BR. 1)

S-4 NATIONAL COMMERCE BANCORPORATION, ONE COMMERCE SQ, MEMPHIS, TN 38150
(901) 523-3242 - 8,654,117 (\$1,658,584,647) COMMON STOCK. (FILE 333-35486
- APR. 24) (BR. 7)

S-4 VOICESTREAM WIRELESS CORP /DE, 3650 131ST AVENUE SE, SUITE 400,
BELLEVUE, WA 98006 (425) 650-4600 - 149,654,400 (\$151,150,944)
STRAIGHT BONDS. (FILE 333-35490 - APR. 24) (BR. 7)

S-3 KENSEY NASH CORP, 55 E UWCHLAN AVE, STE 204, EXTON, PA 19341
(610) 594-7156 - 3,680,000 (\$50,140,000) COMMON STOCK. (FILE 333-35494 -
APR. 24) (BR. 5)

S-8 NORSTAR GROUP INC, 6365 N W 6TH WAY, SUITE 160, FORT LAUDERDALE, FL
33309 (954) 772-0240 - 4,760,000 (\$1,816,500) COMMON STOCK. (FILE
333-35496 - APR. 24) (BR. 9)

S-3 PINNACLE SYSTEMS INC, 280 N BERNARDO AVE, MOUNTAIN VIEW, CA 94043
(650) 237-1600 - 360,352 (\$8,886,280.32) COMMON STOCK. (FILE 333-35498 -
APR. 24) (BR. 5)

S-8 GADZOOX NETWORKS INC, 5850 HELLYER AVENUE, SAN JOSE, CA 95138
(408) 360-6005 - 108,376 (\$434,512) COMMON STOCK. (FILE 333-35500 -
APR. 24) (BR. 5)

S-8 PEREGRINE SYSTEMS INC, 12670 HIGH BLUFF DR, SAN DIEGO, CA 92130
(619) 481-5000 - 81,460 (\$1,795,948.60) COMMON STOCK. (FILE 333-35504 -
APR. 25) (BR. 3)

SB-2 RX TECHNOLOGY HOLDINGS INC, PO BOX 9112, 504-727-9412, MANDEVILLE, LA
70470 (504) 727-9410 - 501,493 (\$3,008,958) COMMON STOCK. (FILE 333-35508
- APR. 25)

S-8 LIGHT MANAGEMENT GROUP INC, 3060 MAINWAY DRIVE, SUITE 301,
BURLINGTON ONTARIO C, A6 L7M 1 (915) 682-1761 - 220,000 (\$1,320,000)
COMMON STOCK. (FILE 333-35510 - APR. 25) (BR. 9)

RECENT 8K FILINGS

Form 8-K is used by companies to file current reports on the following events:

- Item 1 Changes in Control of Registrant.
- Item 2 Acquisition or Disposition of Assets
- Item 3 Bankruptcy or Receivership.
- Item 4 Changes in Registrant's Certifying Accountant.
- Item 5 Other Materially Important Events.
- Item 6 Resignations of Registrant's Directors
- Item 7 Financial Statements and Exhibits.
- Item 8 Change in Fiscal Year.
- Item 9 Regulation S Offerings

The following companies have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. 8-K reports may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D C. 20549 or at the following e-mail box address: <publicinfo@sec.gov>. In most cases, this information is also available on the Commission's website. <www.sec.gov>.

NAME OF ISSUER	STATE CODE	8K ITEM NO.										DATE	COMMENT
		1	2	3	4	5	6	7	8	9			
ACADIA GROUP INC	CO					X						04/28/00	
ADVANTA MORTGAGE LOAN TRUST 1998-4A	NY					X	X					04/30/00	
ADVANTA MORTGAGE LOAN TRUST 1998-4B	NY					X	X					04/30/00	
ADVANTA MORTGAGE LOAN TRUST 1998-4C	DE					X	X					04/30/00	
AFG RECEIVABLES CORP	CA					X						04/17/00	
AIRGAS INC	DE					X	X					04/28/00	
ALPHA HOSPITALITY CORP	DE					X						02/28/00	
ALTERNATE MARKETING NETWORKS INC	MI		X					X				04/28/00	AMEND
AMERICAN EXPRESS CENTURION BANK	UT					X	X					04/25/00	
AMERICAN EXPRESS CREDIT ACCOUNT MAS TER TRUST	DE					X	X					04/25/00	
AMERICAN EXPRESS RECEIVABLES FINANC ING CORP II	DE					X	X					04/25/00	
AMERICAN SAFETY INSURANCE GROUP LTD		X										04/30/00	
AMPHENOL CORP /DE/	DE					X	X					04/24/00	
ANGELICA CORP /NEW/	MO					X	X					04/24/00	
APPLIED DIGITAL SOLUTIONS INC	MO					X	X					04/24/00	

NAME OF ISSUER	STATE	8K ITEM NO.									DATE	COMMENT
	CODE	1	2	3	4	5	6	7	8	9		
ASSET BACKED SECURITIES CORP	DE	X									04/15/00	
ASSET BACKED SECURITIES CORP	DE	X									04/15/00	
AUTOBYTEL COM INC	DE		X					X			02/15/00	AMEND
AUTOBYTEL COM INC	DE					X	X				04/27/00	
BARNES GROUP INC	DE					X					04/27/00	
BB&T CORP	NC					X	X				04/28/00	
BLANCH E W HOLDINGS INC	DE					X	X				04/18/00	
BOMBARDIER CAPITAL MORTGAGE SECURIT IZATION CORP	VT	X									12/31/99	
BOMBARDIER CAPITAL MORTGAGE SECURIT IZATION CORP	VT							X			03/31/00	
BOMBARDIER CAPITAL MORTGAGE SECURIT IZATION CORP	VT							X			03/31/00	
BOMBARDIER CAPITAL MORTGAGE SECURIT IZATION CORP	VT							X			03/31/00	
BOMBARDIER CAPITAL MORTGAGE SECURIT IZATION CORP	VT							X			03/31/00	
BOMBARDIER CAPITAL MORTGAGE SECURIT IZATION CORP	VT							X			03/31/00	
BOMBARDIER CAPITAL MORTGAGE SECURIT IZATION CORP	VT							X			03/31/00	
BOMBARDIER CREDIT RECEIVABLES CORP	DE							X			03/31/00	
BRUNSWICK TECHNOLOGIES INC	ME					X	X				04/28/00	
BSB BANCORP INC	DE	X						X			04/19/00	
C ME RUN CORP	FL				X	X	X				02/08/00	
CAPRIUS INC	DE					X	X				04/27/00	
CEC INDUSTRIES CORP	NV		X								04/28/00	
CENTURY CASINOS	DE					X					04/28/00	
CENTURYTEL INC	LA					X					03/31/00	
CHARTER COMMUNICATIONS INC /MO/	DE		X					X			02/14/00	AMEND
CHASE COMMERCIAL MORTGAGE SECURITIE S CORP	NY					X	X				04/17/00	
CHASE COMMERCIAL MORTGAGE SECURITIE S CORP	NY					X	X				04/18/00	
CHASE MORTGAGE FINANCE CORP	DE					X	X				04/28/00	
CHECKFREE HOLDINGS CORP \GA\	DE					X	X				04/28/00	
CHINA BROADBAND CORP	NV	X				X	X	X			04/14/00	
CHORUS COMMUNICATIONS GROUP LTD	WI	X									04/27/00	
CIMNET INC/PA	DE		X			X	X				04/14/00	
COMMERCIAL FEDERAL CORP	NE					X	X				04/20/00	
COMMUNITY TRUST BANCORP INC /KY/	KY	X									04/25/00	
COMPUCREDIT CORP			X					X			04/13/00	
CONCENTRA OPERATING CORP	NV					X	X				04/26/00	
CONSOLIDATED CAPITAL OF NORTH AMERI CA INC	CO				X	X					04/26/00	
CORPORATE ASSET BACKED CORP	DE							X			04/15/00	
COURTYARD BY MARRIOTT II LIMITED PA RTNERSHIP /DE/	DE			X							04/28/00	
COURTYARD BY MARRIOTT LIMITED PARTN ERSHIP	DE			X							04/28/00	
CREDIT SUISSE FIRST BOSTON MORTGAGE SECURITIES CORP	DE	X									04/15/00	
CT HOLDINGS INC	DE					X					04/24/00	
DESIGNS INC	DE						X				04/28/00	

NAME OF ISSUER	STATE	8K ITEM NO.									DATE	COMMENT
	CODE	1	2	3	4	5	6	7	8	9		
DIME BANCORP INC	DE					X					04/28/00	
DONNELLEY R H INC						X					04/25/00	
DUKE ENERGY CORP	NC					X	X				04/20/00	
DUSA PHARMACEUTICALS INC	NJ					X	X				04/28/00	
ENTERGY CORP /DE/	DE					X	X				04/24/00	
EQUITABLE RESOURCES INC /PA/	PA		X					X			02/15/00	AMEND
FARMER MAC MORTGAGE SECURITIES CORP	DE	X									04/28/00	
FIRST FINANCIAL BANCORP /CA/	CA					X					04/25/00	
FIRST HORIZON ASSET SECURITIES INC	DE					X	X				04/26/00	
FPIC INSURANCE GROUP INC	FL				X		X				04/24/00	
FVNB CORP	TX					X	X				04/27/00	
GARB OIL & POWER CORP	UT				X		X				04/28/00	
GIGA INFORMATION GROUP INC	DE					X	X				04/26/00	
GOLDONLINE INTERNATIONAL INC	DE	X	X			X	X				04/26/00	
GOLDSTATE CORP	NV					X	X				04/27/00	
GRAHAM PACKAGING HOLDINGS CO	DE					X	X				03/30/00	
HELLER FUNDING CORP	DE					X	X				04/14/00	
HELLER FUNDING CORP	DE					X	X				04/14/00	
HIGHLAND BANCORP INC	DE					X	X				04/25/00	
HOUSEHOLD HOME EQUITY LOAN TRUST 19 99-1	DE							X			04/28/00	
HOUSEHOLD REVOLVING HOME EQUITY LOA N TRUST 1996-2	DE							X			04/28/00	
HYPERTENSION DIAGNOSTICS INC /MN	MN					X					04/21/00	
INDUSTRIAL SERVICES OF AMERICA INC /FL	FL					X					05/01/00	
INFE COM INC	FL		X								04/27/00	
INFOSPACE INC	DE						X				02/25/00	AMEND
INLAND RETAIL REAL ESTATE TRUST INC	MD						X				12/30/99	AMEND
INTERNATIONAL LEASE FINANCE CORP	CA						X				04/26/00	
INTERNATIONAL MERCANTILE CORP	MO		X								04/28/00	
INVISION TECHNOLOGIES INC	DE				X		X				04/24/00	
IVI CHECKMATE CORP	DE					X	X				04/27/00	
JACKSONVILLE BANCORP INC	TX					X	X				04/27/00	
JANEX INTERNATIONAL INC	CO				X		X				04/28/00	
K2 DESIGN INC	DE					X	X				04/24/00	
LAMONTS APPAREL INC	DE					X	X				04/27/00	
LOCAL FINANCIAL CORP /NV	DE					X	X				04/27/00	
LOCKHEED MARTIN CORP	MD					X	X				04/25/00	
MARRIOTT RESIDENCE INN II LIMITED P ARTNERSHIP	DE		X								04/28/00	
MARRIOTT RESIDENCE INN LIMITED PART NERSHIP	DE		X								04/28/00	
MCDONALDS CORP	DE						X				04/20/00	
MEDCOM USA INC	DE		X								04/15/00	
MEDIAONE GROUP INC	DE					X					03/31/00	
METRIKA SYSTEMS CORP	DE					X	X				04/27/00	
MODEM MEDIA POPPE TYSON INC	DE					X	X				04/27/00	
MOHAWK INDUSTRIES INC	DE					X	X				04/27/00	
MOORE BENJAMIN & CO	NJ					X					04/27/00	
NATIONAL STEEL CORP	DE					X	X				04/28/00	
NAVTECH INC	DE				X						04/25/00	
NBT BANCORP INC	DE					X	X				04/19/00	
NEOGEN CORP	MI		X					X			04/27/00	AMEND

NAME OF ISSUER	STATE	8K ITEM NO.									DATE	COMMENT
	CODE	1	2	3	4	5	6	7	8	9		
NORTHEAST OPTIC NETWORK INC	DE					X		X			04/25/00	
NYFIX INC	NY					X					04/21/00	
N2H2 INC	WA		X					X			04/28/00	AMEND
OLD KENT FINANCIAL CORP /MI/	MI					X					03/31/00	
OLD KENT FINANCIAL CORP /MI/	MI					X		X			04/10/00	
OMEGA RESEARCH INC	FL					X		X			01/19/00	AMEND
ONYX ACCEPTANCE OWNER TRUST 2000-A	DE					X					04/15/00	
ONYX SOFTWARE CORP/WA	WA					X		X			04/28/00	
OTS HOLDINGS INC	CO	X	X			X	X	X			04/07/00	
PEAPOD INC	DE					X					04/27/00	
PENN AKRON CORP	DE	X	X					X			04/14/00	
PEOPLES SIDNEY FINANCIAL CORP	DE					X		X			04/28/00	
PHILIP SERVICES CORP/DE	DE					X					04/18/00	
PHLO CORP	DE	X	X					X			12/07/99	AMEND
PINNACLE GLOBAL GROUP INC	TX					X					04/28/00	
PIRANHA INC	DE					X					04/28/00	
QUADRATECH INC \NV\	NV					X					04/28/00	
QUERYOBJECT SYSTEMS CORP	DE					X		X			04/20/00	
R H DONNELLEY CORP	DE					X					04/25/00	
RANDERS KILLAM GROUP INC	DE		X					X			04/14/00	
RECOM MANAGED SYSTEMS INC DE/	DE					X		X			04/28/00	
RELIASTAR FINANCIAL CORP	DE					X		X			04/27/00	
SEAHAWK DEEP OCEAN TECHNOLOGY INC	CO					X					04/21/00	
SERACARE INC	DE					X					04/19/00	
SHERWIN WILLIAMS CO	OH					X		X			04/26/00	
SI DIAMOND TECHNOLOGY INC	TX					X		X			04/20/00	
SIMTEK CORP	CO					X					04/28/00	
SITEK INC	DE					X					04/24/00	
STRUCTURED ASSET SECURITIES CORP	DE					X		X			04/25/00	
SUMMIT PROPERTIES INC	MD					X		X			04/20/00	
SUMMIT PROPERTIES PARTNERSHIP L P	DE					X		X			04/20/00	
THERMO INSTRUMENT SYSTEMS INC	DE					X		X			04/27/00	
THERMO TERRATECH INC	DE		X					X			04/14/00	
TITAN INTERNATIONAL INC	IL		X					X			04/14/00	
TRANSKARYOTIC THERAPIES INC	DE					X		X			04/26/00	
TRANSMEDIA ASIA PACIFIC INC	DE		X					X			04/13/00	
TRUE NORTH COMMUNICATIONS INC	DE					X		X			04/26/00	
U S LABORATORIES INC	DE					X		X			04/21/00	
U S TRUST CORP /NY	NY							X			02/22/00	AMEND
U S WEST COMMUNICATIONS INC	CO					X		X			04/28/00	
U S WEST COMMUNICATIONS INC	CO					X		X			04/28/00	
U S WEST INC /DE/	DE					X		X			03/31/00	
UNILAB CORP /DE/	DE					X					04/21/00	
UNIVERSAL MEDIA HOLDINGS INC	DE	X				X	X	X			04/13/00	AMEND
VALHI INC /DE/	DE					X		X			04/28/00	
VASTAR RESOURCES INC	DE					X					04/28/00	
VERMILION BANCORP INC	DE					X					06/30/00	
VIASOFT INC /DE/	DE					X		X			04/27/00	
WESCO FINANCIAL CORP	DE							X			02/18/00	AMEND
WESTERN WIRELESS CORP	WA					X					04/25/00	
WISCONSIN ELECTRIC POWER CO	WI					X					04/27/00	
WSI INTERACTIVE CORP						X		X			04/07/00	
Z TEL TECHNOLOGIES INC			X					X			04/14/00	AMEND
5B TECHNOLOGIES CORP	DE					X		X			04/17/00	