

# SEC NEWS DIGEST

Issue 2000-71

April 14, 2000

---

## COMMISSION ANNOUNCEMENTS

---

### EDGAR CONFERENCE SCHEDULED

The Commission has scheduled an EDGAR Conference Thursday, April 27, 2000. The Conference will be held at the Department of Agriculture's Jefferson Auditorium located in the South Building at 14th Street and Independence Avenue, SW in Washington D.C. Topics will include the status of EDGAR and the EDGAR modernization effort, known as EDGAR II.

**Time:**

The Conference will start at 9:00 a.m. and will end at approximately 5:00 p.m. Lunch is scheduled for 12:30 p.m. until 2:00 p.m.

**Registration:**

Space is limited to 500 attendees (the number of seats in the facility). To ensure a space, please register early by sending an e-mail to [EDGARConference@sec.gov](mailto:EDGARConference@sec.gov). Please include a return receipt. This will be your confirmation.

**Information we need when you register:**

Please provide the names of all persons registering, the name of your company, and your telephone number.

**Special Accommodations:**

If you require auxiliary aids such as a sign-language interpreter or material on tape to attend a public meeting, please contact Pam Scott, Office of Filings and Information Services, to make arrangements. If you wish to have a sign-language interpreter, please contact Ms. Scott by C.O.B. April 20. You may reach Ms. Scott (202) 942-8606.

**Admission to the Agriculture Auditorium (Entrance):**

If you have registered for the conference by April 20 enter the facility by the Wing 5 entrance (on the Independence Avenue side of the building near 14th Street).

If you have not registered for the conference by April 20, you must enter using the Wing 4 entrance (in the middle of the building on the Independence Avenue side)

The Wing 1 entrance is handicapped accessible.

**ID's required:**

To be admitted, you must bring a picture ID issued by a federal or state agency, such as a driver's license. Work IDs will not be accepted.

**Security Procedures:**

All conference participants must pass through a metal detector. Please allow extra time for this procedure.

**Arrival Time:**

You will be able to enter the Jefferson Auditorium located approximately 30 yards to the right of Wing 5 entrance at 8:00 a.m. If you arrive earlier than 8:00 a.m., you may visit the Department of Agriculture Cafeteria, located in Wing 2 of the facility.

**Personal Equipment:**

We recommend that you do not bring computer equipment, tape recorders, or portable telephones with you to the conference. If you do, you MUST register this equipment when you enter the Department of Agriculture building by giving the guards the serial number, make, and model of the equipment and your name, your company name, and your social security number. This information will be used to authenticate ownership of the equipment when you leave the building. Please note that all briefcases and large bags will be searched upon leaving the facility.

**Solicitation Not Permitted:**

No solicitation of any kind is permitted in this government building. For example, filing agents and training agents may not leave brochures describing their services on the registration desk or on the chairs of those attending the conference, nor may they give this information to conference attendees entering or leaving the Jefferson Auditorium.

**Questions?**

If you have any questions about the EDGAR Conference, please call (202) 942-8900

## **SEC STAYS DEADLINES FOR DECIMAL PRICING IMPLEMENTATION AND REQUESTS COMMENT ON REVISED DECIMAL IMPLEMENTATION SCHEDULES**

On April 13, the Commission issued an Order suspending the deadlines for decimal pricing implementation set out in its Orders of January 28, 2000 and March 10, 2000. This action was necessary due to the NASD's recently announced inability to meet the originally planned implementation schedule for decimalization

In the Order, the Commission also requests comment on two alternatives for initiating decimal pricing in exchange-listed equity securities this year. The first alternative would begin decimal pricing in all exchange-listed securities by September 4, 2000. The second alternative would phase in decimal pricing in certain exchange-listed securities on a pilot basis. The Decimals Pilot, which could begin by September 4, 2000, would initially include a small number of securities, but would expand to include all listed securities by March 31, 2001. Under both alternatives, the NASD would begin trading in decimals by March 31, 2001. After reviewing comments, the Commission will issue an order establishing a new implementation schedule for decimal pricing.

The Commission remains committed to implementing decimal pricing in a timely and orderly manner. The Commission believes that an important step in this process is determining how to implement decimal pricing in a way that does not threaten the well-earned confidence that U.S. investors have placed in our markets. (Press Rel 2000-49, Rel 34-42685; File No 4-430)

---

## **ENFORCEMENT PROCEEDINGS**

---

### **JERRY BERMAN CONSENTS TO THE ENTRY OF AN ADMINISTRATIVE CEASE AND DESIST ORDER FOR SELLING UNREGISTERED SECURITIES AND ACTING AS A BROKER WITHOUT A LICENSE**

On April 13, the Commission settled previously instituted administrative and cease and desist proceedings, pursuant to Section 8A of the Securities Act of 1933 (Securities Act) and Sections 15(b)(6), 19(h) and 21C of the Securities Exchange Act of 1934 (Exchange Act), against Jerry C. Berman for willfully violating, and committing or causing violations of, Section 5 of the Securities Act and Section 15(a)(1) of the Exchange Act.

The Commission found that Berman, as a consultant to Stratcomm Media Ltd. (Stratcomm) and Corporate Relations Group, Inc. (CRG) its wholly-owned subsidiary, sold more than 300,000 shares of Stratcomm common stock to the public in violation of the registration provision of the federal securities laws, earning commissions from Stratcomm and CRG of over \$50,000. Stratcomm had not filed a registration statement concerning these common stock sales, nor was there a registration statement in effect or an exemption from registration available to Stratcomm. Moreover, Berman was not registered as a broker.

Without admitting or denying the Commission's findings, Berman consented to the entry of an order which requires him to cease and desist from committing or causing any violation, and any future violations, of Section 5 of the Securities Act and Section 15(a)(1) of the Exchange Act. Based upon a demonstrated inability to pay, the Commission waived payment of disgorgement and prejudgment interest and elected not to impose a civil money penalty. (Rel. 33-7850; 34-42680; File No. 3-10092)

### **FORMER PRESIDENT OF SHAWMUT INVESTMENT ADVISERS AND OTHERS SANCTIONED FOR FRAUDULENT USE OF OVER \$1.8 MILLION IN CLIENT BROKERAGE COMMISSIONS TO OBTAIN CLIENT REFERRALS**

The Commission announced today that Michael J. Rothmeier, the former president of Shawmut Investment Advisers, Inc (Shawmut Advisers), Donald C. Berry, the former chief investment officer of Shawmut Advisers, and Craig Janutol, the former owner of a Michigan brokerage firm, settled administrative and cease and desist proceedings brought on September 9, 1999 in connection with Shawmut Advisers' fraudulent use of \$1 8 million of its advisory clients' equity and fixed-income commissions to pay certain broker-dealers for client referrals. Rothmeier was ordered to cease and desist from committing or causing any violations and any future violations of the antifraud, books and records and reporting provisions of the Investment Advisers Act, suspended from association with any investment adviser for 9 months, and ordered to pay a \$15,000 penalty. Berry was ordered to cease and desist from committing or causing any violations and any future violations of the antifraud provisions of the Investment Advisers Act, suspended from association with any investment adviser for 6 months, and ordered to pay a \$5,000 penalty. Janutol was suspended from association with any broker or dealer for 6 months, suspended from association in a supervisory or proprietary capacity with any broker or dealer for an additional 12 months, and ordered to pay a \$5,000 penalty.

According to the Commission's Orders against Rothmeier and Berry, from mid-1993 through December 1995, Shawmut Advisers represented to its clients in its disclosure document, Form ADV, that it selected brokers to execute its clients' transactions on the basis of research the brokers provided. However, Shawmut Advisers directed client transactions to certain brokers on the basis of their ability to refer clients to Shawmut Advisers, and not based on research provided by the brokers. Rothmeier supported the direction of commissions to brokers selected on the basis of client referrals, knowing or with reason to know that the practice was required to be disclosed under the federal securities laws. Rothmeier also signed four amendments to the Form ADV in 1994 and 1995 knowing or with reason to know that the amendments omitted material information required to be disclosed in Part II of Form ADV. Berry, who was responsible for overseeing Shawmut Advisers' compliance with its broker selection procedures from September 1994 until December 1995, approved the undisclosed direction of commissions to brokers, knowing or with reason to know that the primary purpose was to compensate the brokers for client referrals, and not for the research they provided.

According to the Commission's Order against Janutol, the former owner and president of East West Institutional Services, Inc., Janutol failed reasonably to supervise a registered representative of East West with a view to preventing his violations of the federal securities

laws The registered representative entered into an arrangement with a Shawmut Advisers' salesman, which they agreed to conceal from Shawmut Advisers' clients, whereby the registered representative referred clients to the salesman in exchange for commissions directed to the registered representative and East West from Shawmut Advisers' clients' transactions. As a result, the registered representative aided and abetted and caused Shawmut Advisers' failure to disclose to its clients that it used brokerage commissions generated from its clients' transactions to compensate brokers for client referrals, in violation of the antifraud provisions of the Investment Advisers Act.

Although Janutol knew that the registered representative had been fired by another broker for unauthorized trading and had not been employed as a broker for three years, he failed to respond to various "red flags". For example, Janutol knew that East West did not execute or clear securities transactions and produced no research. Janutol also knew that soon after he was hired, the registered representative began to generate hundreds of thousands of dollars in brokerage commissions directed by investment advisers to the registered representative. Nonetheless, Janutol failed to inquire about that unusual activity, relying instead on the registered representative's assurances that there were no problems.

The proceedings are continuing against the remaining respondents, Clark T. Blizzard, Rudolph Abel, Christopher P. Roach, and East West, and trial is scheduled for September 18, 2000, before Administrative Law Judge Carol Fox Foelak (Craig Janutol - Rels IA-1865, 34-42681, File No. 3-10007; Donald C. Berry - Rels IA-1866; 34-42682, File No. 3-10007; Michael J. Rothmeier - IA-1867, 34-42683, File No. 3-10007)

## **SEC SETTLES CHARGES AGAINST FORMER INCOMNET DIRECTOR FOR IMPROPER DISCLOSURE CONCERNING SHORT SWING PROFITS**

On April 13, the Commission instituted, and simultaneously settled, administrative proceedings against Rita L. Schwartz, a former director of Incomnet, Inc. The Commission ordered Ms. Schwartz to cease and desist from committing or causing any violations or any future violations of the antifraud provisions of the federal securities laws and from causing any violations or any future violations of the provisions requiring periodic filings with the Commission.

The Commission found that Incomnet filed a Form 8-K on August 28, 1995, which stated that Incomnet's chief executive officer had tendered short swing profits to the corporation. The filing, which was approved by Schwartz and other members of the company's board of directors, included false or misleading statements that the short swing profits were earned by the company's chief executive officer in trading that had been authorized by the board of directors. Contrary to the information provided, the board of directors did not specifically authorize Incomnet's chief executive officer to purchase shares through an account held in another name, and did not authorize him to sell Incomnet shares through that account. Schwartz consented to the Commission's order without admitting or denying the Commission's findings.

The charges against Schwartz were brought under Sections 10(b) and 13(a) of the Securities Exchange Act of 1934 and Rules 10b-5, 12b-20, 13a-11 and 13a-13 promulgated under that statute (Rel. 34-42684; File No. 3-10187)

## **eCONNECT AND ITS PRESIDENT ENJOINED IN CONNECTION WITH MANIPULATION SCHEME OVER THE INTERNET**

The Commission announced that on April 7, 2000, the Honorable Margaret M Morrow, United States District Judge for the Central District of California, issued Judgments respectively against eConnect and Thomas S. Hughes (Hughes). The Commission's complaint, filed on March 23, 2000, alleges that since February 28, 2000, eConnect had issued false and misleading press releases claiming: (1) eConnect and its joint venture partner had a unique licensing arrangement with Palm, Inc., a manufacturer of hand-held personal computer products; and (2) a subsidiary of eConnect had a strategic alliance with a brokerage firm concerning a system that would permit cash transactions over the Internet. The Commission further alleges that eConnect had no licensing arrangement whatsoever with Palm, Inc., and the "strategic alliance" is no more than a letter of intent between a brokerage and a joint venture partner of eConnect. The complaint further alleges that the fraudulent press releases, which were disseminated through a wire service as well as by postings on internet bulletin boards, caused a dramatic rise in the price of eConnect stock from \$1.39 on February 28, 2000, to a high of \$21.88 on March 9, 2000, on heavy trading volume. The Commission suspended trading in eConnect's stock on March 13, 2000. The complaint alleges that despite the trading suspension and the Commission's related investigation, eConnect and Hughes continued to issue false and misleading statements concerning eConnect's business opportunities.

The Judgments against eConnect and Hughes enjoin them from future violations of the antifraud provisions, Section 10(b) of the Securities Exchange Act of 1934 and Rule 10b-5 thereunder. The Judgments additionally order that eConnect and Hughes shall pay any monetary relief in amounts subsequently to be determined by the Court. eConnect and Hughes consented to the entry of the Judgments without admitting or denying the Commission's allegations. [SEC v. eConnect and Thomas S. Hughes, Civil Action No. CV 00-02959 MMM, C.D. Cal.] (LR-16517)

---

## **INVESTMENT COMPANY ACT RELEASES**

---

### **MORGAN STANLEY CAPITAL INVESTORS, L.P. AND MORGAN STANLEY DEAN WITTER & CO.**

An order has been issued on an application filed by Morgan Stanley Capital Investors, L P. and Morgan Stanley Dean Witter & Co under Sections 6(b) and 6(e) of the Investment Company Act. The order exempts certain limited partnerships and limited liability companies (Partnerships) formed for the benefit of key employees of Morgan Stanley Dean Witter & Co. and certain of its affiliates from certain provisions of the Act. Each Partnership will be an "employees' securities company" as defined in Section 2(a)(13) of the Act. The order supersedes an existing order (Rel. IC-24389 - April 12)

## **MEDALLION FINANCIAL CORP.**

An order has been issued on an application filed by Medallion Financial Corp under Section 61(a)(3)(B) of the Investment Company Act approving applicant's amended stock option plan for directors who are neither employees nor officers of applicant. The order would supersede an existing order (Rel. IC-24390 – April 12)

## **BANKERS TRUST COMPANY, ET AL.**

An order has been issued on an application filed by Bankers Trust Company, et al to amend an existing order granting an exemption from Sections 12(d)(1), 17(a)(1), and 17(e) of the Investment Company Act, and under Section 17(d) of the Act and Rule 17d-1 under the Act. The amended order permits Deutsche Bank, A.G. to act as securities lending agent for and to accept fees from certain registered management investment companies based on a share of the revenue generated from securities lending transactions. The amended order also modifies one of the conditions of the existing order (Rel. IC-24391 – April 12)

---

## **SECURITIES ACT REGISTRATIONS**

---

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable), File number and date filed; Assigned Branch, and a designation if the statement is a New Issue.

Registration statements may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <publicinfo@sec.gov> In most cases, this information is also available on the Commission's website: <www.sec.gov>.

F-6 ROSSI RESIDENCIAL SA /ADR/, ONE WALL STREET, NEW YORK, NY 10286 -  
10,000,000 (\$500,000) DEPOSITARY RECEIPTS FOR COMMON STOCK. (FILE  
333-11762 - MAR. 31) (BR. 99 - NEW ISSUE)

F-6 QS COMMUNICATIONS AG /ADR/, ONE WALL STREET, NEW YORK, NY 10286 -  
20,000,000 (\$1,000,000) DEPOSITARY RECEIPTS FOR COMMON STOCK. (FILE  
333-11774 - APR. 04) (BR. 99 - NEW ISSUE)

S-8 DIVERSINET CORP, 160 NASHDENE RD, SCARBOROUGH ONTARIO, A6 - 400,000  
(\$7,525,000) FOREIGN COMMON STOCK. (FILE 333-11776 - APR. 04) (BR. 5)

F-1 QS COMMUNICATIONS CORP, MATHIAS BRUGGEN STRABE 55, 011-49-211-669-  
8000,  
50829 COLOGNE GERMANY, 18 00000 - \$550,000,000 FOREIGN COMMON STOCK.  
(FILE 333-11778 - APR. 03) (BR. 7 - NEW ISSUE)

F-3 NOKIA CORP, ETELAESPLANADI 12, HELSINKI FINLAND, H9 (035) 801-8071 -  
280,630 (\$58,546,433.75) FOREIGN COMMON STOCK. (FILE 333-11780 - APR.  
04)  
(BR. 7)

F-3 ALCATEL, 54 RUE LA BOETIE, 2288 BH, PARIS FRANCE 75008, IO 00000  
(331) 407-6101 - 9,946,800 (\$2,113,406,542.80) FOREIGN COMMON STOCK.  
(FILE 333-11784 - APR. 04) (BR. 7)

S-1 H POWER CORP, 1373 BROAD ST., CILFTON, NJ 07013 (973) 249-5444 -  
\$100,000,000 COMMON STOCK. (FILE 333-34234 - APR. 07) (BR. 36)

S-8 EDISON SCHOOLS INC, 521 FIFTH AVE, 15TH FL, NEW YORK, NY 10175  
(212) 419-1600 - 7,903,772 (\$171,353,770) COMMON STOCK. (FILE 333-34238  
-  
APR. 07) (BR. 8)

S-8 EDISON SCHOOLS INC, 521 FIFTH AVE, 15TH FL, NEW YORK, NY 10175  
(212) 419-1600 - 3,998,746 (\$70,129,600.88) COMMON STOCK. (FILE 333-  
34240  
- APR. 07) (BR. 8)

S-4 GEORGIA GULF CORP /DE/, 400 PERIMETER CTR TERRACE, STE 595, ATLANTA,  
GA  
30346 (770) 395-4500 - 200,000,000 (\$200,000,000) STRAIGHT BONDS. (FILE  
333-34244 - APR. 07) (BR. 2)

S-3 FRONTLINE CAPITAL GROUP, 1350 AVENUE OF THE AMERICAS, NEW YORK, NY  
10019  
(212) 931-8000 - 3,038,388 (\$107,862,774) COMMON STOCK. (FILE 333-34246  
-  
APR. 07) (BR. 8)

S-8 TIREX CORP, 3828 ST PATRICK, MONTREAL QUEBEC, A8 H4E1A (514) 878-0727  
-  
259,745 (\$174,029.15) COMMON STOCK. (FILE 333-34250 - APR. 07) (BR. 5)

S-8 WASHINGTON HOMES INC, 1802 BRIGHTSEAT RD, 6TH FLOOR, LANDOVER, MD  
20785  
(301) 772-8900 - 500,000 (\$2,859,500) COMMON STOCK. (FILE 333-34252 -  
APR. 07) (BR. 6)

SB-2 LAFAYETTE COMMUNITY BANCORP, 316 FERRY STREET, LAFAYETTE, IN 47901 -  
1,200,000 (\$12,000,000) COMMON STOCK. (FILE 333-34254 - APR. 07) (BR. 7  
- NEW ISSUE)

S-8 RELIV INTERNATIONAL INC, 136 CHESTERFIELD INDUSTRIAL BLVD, P O BOX  
405,  
CHESTERFIELD, MO 63006 (314) 537-9715 - 1,000,000 (\$1,181,625)  
COMMON STOCK. (FILE 333-34256 - APR. 07) (BR. 1)

S-1 NEN LIFE SCIENCES INC, 549 ALBANY STREET, BOSTON, MA 02118  
(617) 350-9000 - \$80,000,000 COMMON STOCK. (FILE 333-34258 - APR. 07)  
(BR. 36 - NEW ISSUE)



S-3 DELSOFT CONSULTING INC, 106 BOMBAY LANE, 770-518-4289, ROSWELL, GA  
30076  
(770) 518-4289 - 3,148,474 (\$4,281,870.24) COMMON STOCK. (FILE 333-34260  
-  
APR. 07) (BR. 9)

S-3 VOLKSWAGEN DEALER FINANCE LLC, 3800 HAMLIN ROAD, AUBURN HILLS, MI  
48326  
(248) 340-5000 - 1,000,000 (\$1,000,000) STRAIGHT BONDS. (FILE 333-34266  
-  
APR. 07) (BR. 7 - NEW ISSUE)

S-8 HLM DESIGN INC, 121 W TRADE ST, STE 2950, CHARLOTTE, NC 28202  
(704) 358-0779 - 159,955 (\$849,386) COMMON STOCK. (FILE 333-34268 -  
APR. 07) (BR. 6)

S-8 CABOT MICROELECTRONICS CORP, 870 NORTH COMMONS DRIVE, AURORA, IL 60504  
(630) 375-6631 - 475,000 (\$11,993,750) COMMON STOCK. (FILE 333-34270 -  
APR. 07) (BR. 6)

S-8 CABOT MICROELECTRONICS CORP, 870 NORTH COMMONS DRIVE, AURORA, IL 60504  
(630) 375-6631 - 3,500,000 (\$88,375,000) COMMON STOCK. (FILE 333-34272 -  
APR. 07) (BR. 6)

S-8 INTERACTIVE OBJECTS INC, 217 PINE STREET, SUITE 800, SEATTLE, WA 98101  
(206) 464-1008 - 4,000,000 (\$17,320,000) COMMON STOCK. (FILE 333-34274 -  
APR. 07) (BR. 9)

S-8 UBRANDIT COM, 12626 HIGH BLUFF DRIVE SUITE 200, SUITE A, SAN DIEGO, CA  
92130 (619) 350-9566 - 2,500,000 (\$12,421,875) COMMON STOCK. (FILE  
333-34276 - APR. 07) (BR. 8)

S-8 PARTSBASE COM INC, 7171 N FEDERAL HIGHWAY, SUITE 100, BOCA RATON, FL  
33487 (561) 443-3302 - 2,000,000 (\$9,017,800) COMMON STOCK. (FILE  
333-34278 - APR. 07) (BR. 8)

S-8 DEL MONTE FOODS CO, ONE MARKET PLZ, C/O DEL MONTE CORP, SAN FRANCISCO,  
CA 94105 (415) 247-3000 - 1,500,000 (\$14,812,500) COMMON STOCK. (FILE  
333-34280 - APR. 07) (BR. 2)

S-8 HASBRO INC, 1027 NEWPORT AVE, P O BOX 1059, PAWTUCKET, RI 02861  
(401) 431-8697 - 4,448,000 (\$72,002,000) COMMON STOCK. (FILE 333-34282 -  
APR. 07) (BR. 5)

S-4 WELLS FARGO & CO/MN, 420 MONTGOMERY STREET, SIXTH & MARQUETTE,  
SAN FRANCISCO, CA 94163 (612) 667-1234 - 30,225,000 (\$1,095,656,250)  
COMMON STOCK. (FILE 333-34284 - APR. 07) (BR. 7)

S-1 NETWORK ENGINES INC, 61 PLEASANT STREET, RANDOLPH, MA 02368  
(781) 961-4400 - \$86,250,000 COMMON STOCK. (FILE 333-34286 - APR. 07)  
(NEW ISSUE)

S-8 MONRO MUFFLER BRAKE INC, 200 HOLLEDER PKWY, ROCHESTER, NY 14615  
(716) 647-6400 - 150,000 (\$1,237,500) COMMON STOCK. (FILE 333-34290 -  
APR. 07) (BR. 5)

S-4 EXCHANGE NATIONAL BANCSHARES INC, 132 E HIGH ST, JEFFERSON CITY, MO  
65101 (314) 761-6100 - 212,745 (\$21,628,861.50) COMMON STOCK. (FILE  
333-34292 - APR. 07) (BR. 7)

SB-2 COLLEGIATE PACIFIC INC, 13950 SEMLAC, SUITE 200, FARMERS BRANCH, TX  
75235 (972) 243-8100 - 4,955,015 (\$45,112,461) COMMON STOCK. (FILE  
333-34294 - APR. 07) (BR. 2)

S-8 LAKELAND BANCORP INC, 250 OAK RIDGE RD, OAK RIDGE, NJ 07438  
(973) 697-2000 - 950,000 (\$10,093,750) COMMON STOCK. (FILE 333-34296 -  
APR. 07) (BR. 7)

S-4 SENSAR CORP /NV/, 50 WEST BROADWAY, SUITE 501, SALT LAKE CITY, UT  
84101  
(801) 350-0587 - 34,061,709 (\$57,375,282.67) COMMON STOCK. (FILE  
333-34298 - APR. 07) (BR. 5)

S-8 STARWOOD FINANCIAL INC, 1114 AVENUE OF THE AMERICAS 27TH FLOOR,  
NEW YORK, NY 10036 (212) 930-9494 - 5,426,269 (\$95,637,991) COMMON STOCK.  
(FILE 333-34300 - APR. 07) (BR. 8)

S-8 STORM HIGH PERFORMANCE SOUND CORP/FL, 8756 - 122ND AVENUE N.E., -,  
KIRKLAND, WA 98033 (425) 827-7817 - 2,000,000 (\$250,000) COMMON STOCK.  
(FILE 333-34302 - APR. 07) (BR. 9)

S-4 JOSTENS INC, 5501 NORMAN CTR DR, MINNEAPOLIS, MN 55437 (612) 830-3300  
- 728,124 (\$18,385,131) COMMON STOCK. (FILE 333-34304 - APR. 07) (BR. 2)

S-8 NETIVATION COM INC, 806 CLEARWATER LOOP SUITE N, POST FALLS, ID 83854  
(208) 777-4203 - 1,000,000 (\$4,430,000) COMMON STOCK. (FILE 333-34306 -  
APR. 07) (BR. 9)

SB-2 UTAH CLAY TECHNOLOGY INC, 3985 SOUTH 2000 EAST, SALT LAKE CITY, UT  
84124  
(801) 424-0223 - 590,000 (\$387,040) COMMON STOCK. (FILE 333-34308 -  
APR. 07)

S-8 FISERV INC, 255 FISERV DR, PO BOX 979, BROOKFIELD, WI 53045  
(414) 879-5000 - 6,000,000 (\$208,500,000) COMMON STOCK. (FILE 333-34310  
-  
APR. 07) (BR. 3)

S-8 DATAWATCH CORP, TOWER 3, 5TH FLOOR, 900 CHELMSFORD STREET, LOWELL, MA  
01851 (978) -44-1-22 - 250,000 (\$757,812.50) COMMON STOCK. (FILE  
333-34312 - APR. 07) (BR. 3)

S-8 BREAKAWAY SOLUTIONS INC, 50 ROWES WHARF 6TH FL, BOSTON, MA 02110  
(617) 960-3400 - 1,095,621 (\$36,155,493) COMMON STOCK. (FILE 333-34314 -  
APR. 07) (BR. 8)

S-1 BLAXXUN INTERACTIVE INC, MITTERSTRABE 9, 80336, MUNCHEN GERMANY, I8  
00000 - \$100,000,000 COMMON STOCK. (FILE 333-34316 - APR. 07) (NEW  
ISSUE)

S-8 AFFYMETRIX INC, 3380 CENTRAL EXPRESSWAY, SANTA CLARA, CA 95051  
(408) 522-6000 - 65,828 (\$2,489,950.34) COMMON STOCK. (FILE 333-34320 -  
APR. 07) (BR. 1)

S-8 CHATTOWN COM NETWORK INC, C/O RICHARD SURBER PRESIDENT,  
268 WEST 400 SOUTH SUITE 300, SALT LAKE CITY, UT 84101 (801) 575-8073 -  
750,000 (\$843,750) COMMON STOCK. (FILE 333-34322 - APR. 07) (BR. 1)

S-8 ESPEED INC, ONE WORLD TRADE CENTER, 103TH FLOOR, NEW YORK, NY 10048  
(212) 938-3773 - 10,625,000 (\$315,092,831) COMMON STOCK. (FILE 333-34324  
-  
APR. 07) (BR. 7)

S-8 ECOLLEGE COM, 10200 E GIRARD AVE BLDG A, DENVER, CO 80231 (303) 873-  
7400  
- 4,043,752 (\$26,411,748.10) COMMON STOCK. (FILE 333-34326 - APR. 07)  
(BR. 8)

S-1 BLUE VALLEY BAN CORP, 11935 RILEY, OVERLAND PARK, KS 66225  
(913) 338-1000 - 1,437,500 (\$11,500,000) PREFERRED STOCK. (FILE 333-  
34328  
- APR. 07) (NEW ISSUE)

S-3 GREENWICH CAPITAL ACCEPTANCE INC, 600 STEAMBOAT RD, GREENWICH, CT  
06830  
(203) 622-2700 - 1,000,000 (\$1,000,000)  
PASS-THROUGH MORTGAGE-BACKED CERTIFICATE. (FILE 333-34330 - APR. 07)  
(BR. 8)

S-8 PLANET ENTERTAINMENT CORP, 222 HIGHWAY 35, PO BOX 4085, MIDDLETON, NJ  
07748 - 100,000 (\$150,000) COMMON STOCK. (FILE 333-34334 - APR. 07)  
(BR. 5)

S-3 PHILLIPS PETROLEUM CO, PHILLIPS BUILDING, 800 PLAZA OFFICE BUILDING,  
BARTLESVILLE, OK 74004 (918) 661-6600 - 5,000,000,000 (\$5,000,000,000)  
STRAIGHT BONDS. (FILE 333-34336 - APR. 07) (BR. 4)

S-1 CEPHEID, 1190 BORREGAS, 408-969-0770, SUNNYVALE, CA 94089 (408) 541-  
4191  
- \$92,000,000 COMMON STOCK. (FILE 333-34340 - APR. 07)

S-1 GENUITY INC, 3 VAN DE GRAAFF DRIVE, BURLINGTON, MA 01803 (781) 262-  
3000  
- \$10,000,000 COMMON STOCK. (FILE 333-34342 - APR. 07) (NEW ISSUE)

S-8 PHARMACIA CORP /DE/, 100 ROUTE 206 NORTH, PEAPACK, NJ 07977  
(888) -76-8-55 - 6,420,000 (\$319,796,250) COMMON STOCK. (FILE 333-34344  
-  
APR. 07) (BR. 2)

S-8 ELOT INC, 301 MERRITT 7, CORPORATE PARK 1ST FLOOR, NORWALK, CT 06851  
(203) 876-7600 - 13,000,000 (\$58,909,500) COMMON STOCK. (FILE 333-34346  
-  
APR. 07) (BR. 3)

S-8 ECONTENT INC, 20505 US 19N #12-283, CLEARWATER, FL 34624 (727) 441-  
4442  
- 100,000 (\$109,000) COMMON STOCK. (FILE 333-34348 - APR. 07) (BR. 8)

S-3 LEARN2 COM INC, 1311 NANARIBECJ AVE, SUITE 210, WHITE PLAINS, NY 10605  
 (914) 682-4300 - 3,455,902 (\$11,508,153.66) COMMON STOCK. (FILE 333-  
 34350 - APR. 07) (BR. 3)

S-8 AMERICAN CAPITAL STRATEGIES LTD, 3 BETHESDA METRO CENTER, SUITE 860,  
 BETHESDA, MD 20814 (301) 951-6122 - 300,000 (\$7,650,000) COMMON STOCK.  
 (FILE 333-34352 - APR. 07) (BR. 22)

S-8 WOMEN FIRST HEALTHCARE INC, 12220 EL CAMINO ROAD SUITE 400, SAN DIEGO,  
 CA 92130 (619) 509-1171 - 250,000 (\$906,250) COMMON STOCK. (FILE  
 333-34354 - APR. 07) (BR. 1)

S-8 ADAPTEC INC, 691 S MILPITAS BLVD, M/S25, MILPITAS, CA 95035  
 (408) 945-8600 - 22,020 (\$384,960) COMMON STOCK. (FILE 333-34358 -  
 APR. 07) (BR. 3)

S-3 ADAPTEC INC, 691 S MILPITAS BLVD, M/S25, MILPITAS, CA 95035  
 (408) 945-8600 - 391,997 (\$13,732,145) COMMON STOCK. (FILE 333-34360 -  
 APR. 07) (BR. 3)

S-3 OPTICAL SENSORS INC, 7615 GOLDEN TRIANGLE DRIVE, STE A, EDEN PRARIE,  
 MN  
 55344 (617) 944-5857 - 1,750,000 (\$3,171,875) COMMON STOCK. (FILE  
 333-34362 - APR. 07) (BR. 5)

S-3 YAHOO INC, 3420 CENTRAL EXPRESSWAY, SUITE 201, SANTA CLARA, CA 95051  
 (408) 731-3300 - 10,000,000 (\$1,518,750,000) COMMON STOCK. (FILE  
 333-34364 - APR. 07) (BR. 3)

S-3 IMPCO TECHNOLOGIES INC, 16804 GRIDLEY PLACE, CERRITOS, CA 90701  
 (562) 860-6666 - 2,875,000 (\$84,992,187.50) COMMON STOCK. (FILE 333-  
 34366 - APR. 07) (BR. 5)

S-3 ELOT INC, 301 MERRITT 7, CORPORATE PARK 1ST FLOOR, NORWALK, CT 06851  
 (203) 876-7600 - 421,354 (\$1,909,366) COMMON STOCK. (FILE 333-34368 -  
 APR. 07) (BR. 3)

S-8 PALM INC, 5400 BAYFRONT PLAZA, SANTA CLARA, CA 95022 (408) 326-5000 -  
 25,500,000 (\$937,890,000) COMMON STOCK. (FILE 333-34370 - APR. 07)  
 (BR. 3)

S-3 ITC DELTACOM INC, 1791 O G SKINNER DRIVE, WEST POINT, GA 31833  
 (706) 645-3880 (FILE 333-34372 - APR. 07) (BR. 7)

S-8 FORTUNE NATURAL RESOURCES CORP, 515 WEST GREENS ROAD, SUITE 720,  
 HOUSTON, TX 77067 (713) 872-1170 - 810,000 (\$1,016,250) COMMON STOCK.  
 (FILE 333-34374 - APR. 07) (BR. 4)

S-2 FIELDWORKS INC, 7631 ANAGRAM DRIVE, EDEN PRAIRIE, MN 55344  
 (612) 974-7000 - \$9,096,284 COMMON STOCK. (FILE 333-34376 - APR. 07)  
 (BR. 3)

S-1 MAINCONTROL INC /DE, 7900 WESTPARK DRIVE, SUITE T500, MCLEAN, VA 22182  
 (703) 749-2308 - \$57,500,000 COMMON STOCK. (FILE 333-34378 - APR. 07)

- S-1 WORLDRES COM INC, 1510 FASHION ISLAND BLVD SUITE 100, SAN MATEO, CA 94404 (650) 356-3000 - \$69,000,000 COMMON STOCK. (FILE 333-34380 - APR. 07)
- S-8 INFORMATION ARCHITECTS CORP, 4064 COLONY RD, CHARLOTTE, NC 28211 (704) 365-2324 - 1,000,000 (\$12,406,250) COMMON STOCK. (FILE 333-34382 - APR. 07) (BR. 3)
- S-8 BOOKS A MILLION INC, 402 INDUSTRIAL LN, BIRMINGHAM, AL 35211 (205) 942-3737 - 300,000 (\$1,608,000) COMMON STOCK. (FILE 333-34384 - APR. 07) (BR. 2)
- S-8 INFORMATION ARCHITECTS CORP, 4064 COLONY RD, CHARLOTTE, NC 28211 (704) 365-2324 - 24,996 (\$103,793.28) COMMON STOCK. (FILE 333-34386 - APR. 07) (BR. 3)
- S-1 MEDIA METRIX INC, 250 PARK AVENUE SOUTH, 7TH FLOOR, NEW YORK, NY 10003 (212) 460-7980 - 868,756 (\$27,365,814) COMMON STOCK. (FILE 333-34388 - APR. 07) (BR. 8)
- S-1 LEADERSONLINE INC, 18401 VON KARMAN SUITE 500, IRVINE, CA 92612 (949) 752-1000 - \$75,000,000 COMMON STOCK. (FILE 333-34390 - APR. 10) (NEW ISSUE)
- S-3 MORGAN STANLEY DEAN WITTER & CO, 1585 BROADWAY, NEW YORK, NY 10036 (212) 761-4000 - 12,000,000,000 (\$2,000,000,000) STRAIGHT BONDS. (FILE 333-34392 - APR. 07) (BR. 7)
- S-1 COOPERATIVE HOLDINGS INC, 412-420 WASHINGTON AVENUE, BELLEVILLE, NJ 07109 (973) 759-8100 - \$82,800,000 COMMON STOCK. (FILE 333-34394 - APR. 07) (NEW ISSUE)
- S-8 FISERV INC, 255 FISERV DR, PO BOX 979, BROOKFIELD, WI 53045 (414) 879-5000 - 1,200,000 (\$41,700,000) COMMON STOCK. (FILE 333-34396 - APR. 10) (BR. 3)
- S-8 MAXIM PHARMACEUTICALS INC, 8899 UNIVERSITY CENTER LANE, STE 400, SAN DIEGO, CA 92122 (619) 453-4040 - 940,000 (\$43,005,000) COMMON STOCK. (FILE 333-34398 - APR. 10) (BR. 1)
- S-3 EXODUS COMMUNICATIONS INC, 2831 MISSION COLLEGE BLVD, SANTA CLARA, CA 95054 (408) 346-2200 - 393,368 (\$45,630,688) COMMON STOCK. (FILE 333-34408 - APR. 10) (BR. 8)
- S-3 ACTUATE CORP, 701 GATEWAY BLVD, SOUTH SAN FRANCISCO, CA 94080 (650) 425-2300 - 151,282 (\$6,542,947) COMMON STOCK. (FILE 333-34410 - APR. 10) (BR. 3)

---

## RECENT 8K FILINGS

---

Form 8-K is used by companies to file current reports on the following events

- Item 1 Changes in Control of Registrant.
- Item 2 Acquisition or Disposition of Assets
- Item 3 Bankruptcy or Receivership
- Item 4 Changes in Registrant's Certifying Accountant.
- Item 5 Other Materially Important Events.
- Item 6 Resignations of Registrant's Directors.
- Item 7 Financial Statements and Exhibits.
- Item 8 Change in Fiscal Year
- Item 9 Regulation S Offerings

The following companies have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. 8-K reports may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D C 20549 or at the following e-mail box address: <publicinfo@sec.gov>. In most cases, this information is also available on the Commission's website: <www.sec.gov>

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
ACCESSPOINT CORP /NV/	NV			X							04/12/00	
ACCESSPOINT CORP /NV/	NV			X							04/12/00	AMEND
ADEPT TECHNOLOGY INC	CA					X					04/12/00	
AFC MORTGAGE LOAN ASSET BACKED NOTE S SERIES 2000-1			X						X		03/28/00	
AGATE TECHNOLOGIES INC DE	DE				X			X			04/05/00	
AGRI BIOTECH INC	NV					X		X			04/11/00	
AIRPLANES LTD	DE					X					04/13/00	
AIRPLANES US TRUST	DE					X					04/13/00	
ALTEON INC /DE	DE					X					03/29/00	
ALTEON INC /DE	DE					X					04/06/00	
AMERICAN RESIDENTIAL EAGLE BOND TRU ST 1999-2 COLLATERALIZED	DE					X		X			03/27/00	
AMERICAN TOWER CORP /MA/	DE		X					X			03/30/00	
AMERICREDIT AUTOMOBILE RECEIVABLES TRUST 1999-D	DE					X		X			04/12/00	
AMERICREDIT AUTOMOBILE RECEIVABLES TRUST 2000-A	DE					X		X			04/12/00	
AMERISERVE FOOD DISTRIBUTION INC /D E/	DE					X		X			04/12/00	
ANTENNA PRODUCTS INC	DE	X									02/10/00	AMEND
APPLIED DIGITAL SOLUTIONS INC	MO		X								04/12/00	
APPLIED MATERIALS INC /DE	DE		X					X			03/29/00	
ARC COMMUNICATIONS INC	NJ				X						04/12/00	AMEND
ASCENT ENTERTAINMENT GROUP INC	DE	X						X			03/28/00	
ASTORIA FINANCIAL CORP	DE					X		X			04/12/00	
ATLANTIC RICHFIELD CO /DE	DE					X					04/13/00	
ATOMIC BURRITO INC	CO					X		X			03/30/00	
BALTIMORE GAS & ELECTRIC CO	MD		X								06/16/99	
BALTIMORE GAS & ELECTRIC CO	MD			X							06/29/99	

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
BALTIMORE GAS & ELECTRIC CO	MD		X								11/17/99	
BARPOINT COM INC	DE				X	X					04/12/00	
BASE TEN SYSTEMS INC	NJ				X	X					10/28/99	
BEACON CAPITAL PARTNERS INC	MD				X	X					04/10/00	
BEAR STEARNS ASSET BAC SE INC MOR B A LIB NOT CL A SE 1999-1	DE				X	X					03/27/00	
BEAR STEARNS ASSET BACKED CERTIFICA TES SERIES 2000-1	DE				X	X					02/25/00	
BEAR STEARNS ASSET BACKED CERTIFICA TES SERIES 2000-1	DE				X	X					03/27/00	
BEN & JERRYS HOMEMADE INC	VT				X	X					04/11/00	
BIOSPHERICS INC	DE				X	X					04/05/00	
BOEING CAPITAL CORP	DE	X									03/31/00	
BREDA TELEPHONE CORP	IA		X			X					03/29/00	
BUFFALO CAPITAL VIII LTD	CO	X			X	X	X				04/12/00	AMEND
CAMERON ASHLEY BUILDING PRODUCTS IN C	GA				X	X					04/12/00	
CANADIAN GENERAL CAPITAL	DE				X	X					12/30/99	
CANADIAN GENERAL CAPITAL	DE				X	X					03/30/00	
CAPITAL AUTO RECEIVABLES INC	DE					X					04/12/00	
CAPITAL ONE FINANCIAL CORP	DE				X	X					04/13/00	
CASMYN CORP	CO			X		X					03/31/00	
CATHAYONLINE INC	NV	X	X			X					01/18/99	
CHASE MANHATTAN BANK USA CHASE CRED IT CARD OWNER TR 2000-2	DE				X	X					04/13/00	
CHASE MORTGAGE FINANCE CORP	DE		X			X					03/28/00	
CHYRON CORP	NY				X						04/12/00	
COLUMBIA ENERGY GROUP	DE				X						04/13/00	
COMMERCIAL INTERTECH CORP	OH				X	X					04/11/00	
COMMUNITY CAPITAL CORP /SC/	SC				X	X					04/07/00	
CONSECO FINANCE SECURITIZATIONS COR P	DE				X	X					03/27/00	
CONSOLIDATED MEDICAL MANAGEMENT INC	MT	X									02/28/00	
CPAC INC	NY				X	X					04/05/00	
CPI CORP	DE	X									04/07/00	
CREDIT SUISSE FIRST BOSTON MORTGAGE SECURITIES CORP	DE				X	X					04/13/00	
CRIIMI MAE FINANCIAL CORP	MD				X						04/12/00	
CRIIMI MAE INC	MD				X						04/10/00	AMEND
CTI GROUP HOLDINGS INC	DE				X						04/06/00	
CYMER INC	NV			X							04/12/00	
DAIMLER BENZ AUTO GRANTOR TRUST 199 7 A	DE				X	X					03/20/00	
DAIMLER BENZ VEHICLE OWNER TRUST 19 98-A	DE				X	X					03/20/00	
DDI CAPITAL CORP/DYNAMIC DETAILS IN C	CA				X	X					04/11/00	
DDI CORP	CA				X	X					04/11/00	
DELTA FUNDING CORP /DE/	NY				X	X					03/30/00	
DELTA MILLS INC	DE				X						04/12/00	
DELTA WOODSIDE INDUSTRIES INC /SC/	SC				X						04/12/00	
DETOUR MAGAZINE INC	CO				X	X					04/03/00	AMEND
DIGITAL BRIDGE INC	NV			X		X					01/28/00	AMEND

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
DIME COMMUNITY BANCSHARES INC	DE					X	X				04/12/00	
DOVER DOWNS ENTERTAINMENT INC	DE	X									04/07/00	
E COM VENTURES INC	FL			X		X					04/04/00	AMEND
EMBRYO DEVELOPMENT CORP	DE			X		X					04/10/00	
ENTERTAINMENT INTERNET INC						X					12/27/99	
ENVISION DEVELOPMENT CORP /FL/	FL			X		X					04/04/00	
ENVISION DEVELOPMENT CORP /FL/	FL			X		X					04/04/00	AMEND
FAMOUS INTERNET MALL INC		X									03/02/00	
FARMER MAC MORTGAGE SECURITIES CORP	DE	X									03/29/00	
FIRST CHARTER CORP /NC/	NC					X	X				04/12/00	
FORME CAPITAL INC	DE		X				X				04/10/00	AMEND
FORME CAPITAL INC	DE		X				X				04/12/00	
FORTUNE NATURAL RESOURCES CORP	DE					X	X				04/12/00	
FOUNTAIN COLONY VENTURES INC	CO	X	X	X			X				04/06/00	
FOUR MEDIA CO	DE	X					X				04/10/00	
FTM MEDIA INC	CO					X	X				04/10/00	
GENERAL MOTORS ACCEPTANCE CORP	DE					X					04/13/00	
GENERAL MOTORS CORP	DE					X					04/13/00	
GLOBAL WEB INC	UT			X	X		X				04/12/00	AMEND
GS TELECOM LTD	CO	X									04/10/00	
HALLIBURTON CO	DE					X	X				04/10/00	
HALLIBURTON CO	DE					X	X				04/12/00	
HALSEY DRUG CO INC/NEW	NY		X			X	X				03/29/00	
HIGH VOLTAGE ENGINEERING CORP	MA		X				X				04/12/00	AMEND
HORMEL FOODS CORP /DE/	DE					X					03/27/00	
HUGHES ELECTRONICS CORP	DE					X					04/12/00	
HUNTCO INC	MO					X					03/31/00	
HUNTSMAN PACKAGING CORP	UT					X	X				03/31/00	
IBIS TECHNOLOGY CORP	MA					X	X				04/12/00	
IMPERIAL CREDIT INDUSTRIES INC	CA		X				X				03/28/00	
INFORMATION MANAGEMENT ASSOCIATES I NC	CT					X					04/10/00	
INSO CORP	DE					X					04/11/00	
INTERNATIONAL BUSINESS MACHINES COR P	NY						X				04/06/00	
INTERNATIONAL COSMETICS MARKETING C O	FL					X					03/31/00	
INTERNATIONAL WIRE GROUP INC	DE		X				X				03/29/00	
INTERPUBLIC GROUP OF COMPANIES INC	DE					X	X				04/03/00	
INVESTMENT PROPERTIES ASSOCIATES	NY		X								04/13/00	
JAGUAR INVESTMENTS INC	NV						X				03/28/00	
JAWS TECHNOLOGIES INC /NY	NV		X				X				01/28/00	AMEND
JDA SOFTWARE GROUP INC	DE		X			X	X				04/06/00	
JDN REALTY CORP	MD					X	X				04/12/00	
JDN REALTY CORP	MD					X	X				04/13/00	
LIDLAW GLOBAL CORP	DE		X								03/29/00	
LEON TOURS LTD						X					04/12/00	
LIBERTY HOUSING PARTNERS LTD PARTNE RSHIP	MA						X				02/01/00	AMEND
LIFEMINDERS COM INC			X				X				03/29/00	
LMKI INC	NV		X								06/01/99	
LUNA MEDICAL TECHNOLOGIES INC						X					03/17/00	
MARGO CARIBE INC	PR					X	X				04/12/00	



NAME OF ISSUER	STATE	8K ITEM NO.									DATE	COMMENT
	CODE	1	2	3	4	5	6	7	8	9		
MATHSOFT INC	MA				X	X					04/12/00	
MBNA CORP	MD				X						04/12/00	
MBNA CORP	MD				X						04/13/00	
MELLON AUTO GRANTOR TRUST 2000-1	DE							X			03/30/00	
MERLIN SOFTWARE TECHNOLOGIES INTERN ATIONAL INC	NV				X						03/20/00	AMEND
METACREATIONS CORP	DE				X						04/10/00	
METACREATIONS CORP	DE				X						04/10/00	
METLIFE INC	DE				X	X					04/12/00	
MIDWAY AIRLINES CORP	DE				X	X					03/31/00	
MILACRON INC	DE				X						04/06/00	
MILLENNIUM PHARMACEUTICALS INC	DE				X	X					04/12/00	
MISSION CRITICAL SOFTWARE INC	DE				X	X					04/08/00	
MLMI RESECURITIZATION PASS THROUGH CERT SERIES 2000-1A	DE				X	X					02/25/00	AMEND
MLMI RESECURITIZATION PASS THROUGH CERT SERIES 2000-1C	DE				X	X					03/01/00	
MLMI RESECURITIZATION PASS THROUGH CERT SERIES 2000-1D	DE				X	X					03/01/00	
MORGAN COOPER INC	TX				X						03/23/00	
MORGAN COOPER INC	TX						X				04/12/00	AMEND
MORGAN J P & CO INC	DE				X	X					04/06/00	
NEBCO EVANS HOLDING CO	DE				X	X					04/12/00	
NEIMAN MARCUS FUNDING CORP	DE		X								03/31/00	
NEOGEN CORP	MI				X						04/12/00	
NMT MEDICAL INC	DE				X	X					04/10/00	
NORTECH FOREST TECHNOLOGIES INC	DE	X	X				X				02/03/00	AMEND
NORTH FACE INC	DE				X						11/12/99	
NORTH VALLEY BANCORP	CA				X						03/28/00	
NORWEST ASSET SECURITIES CORP	DE				X	X					04/12/00	
OAK HILL FINANCIAL INC	OH				X	X					04/10/00	
OAK HILL FINANCIAL INC	OH				X	X					04/11/00	
OMNI NUTRACEUTICALS	UT				X	X					03/12/00	
ON COMMAND CORP	DE	X					X				03/28/00	
ONE LIBERTY PROPERTIES INC	MD		X				X				03/29/00	
ONLINE INTERNATIONAL CORP /NV/	NV				X	X					04/13/00	
OPHIDIAN PHARMACEUTICALS INC	DE				X						03/28/00	
OXIS INTERNATIONAL INC	DE				X						03/06/00	
PACIFIC CAPITAL BANCORP /CA/	CA	X									12/31/00	
PACIFIC DEVELOPMENT CORP	CO	X					X				03/23/00	AMEND
PARKER HANNIFIN CORP	OH		X				X				04/11/00	
PENN TREATY AMERICAN CORP	PA				X						04/06/00	
PEOPLES COMMUNITY BANCORP INC /DE/	DE		X		X	X					03/29/00	
PERINI CORP	MA				X						04/12/00	
PHARMACEUTICAL FORMULATIONS INC	DE				X	X					03/29/00	
PHARMACIA & UPJOHN INC	DE	X					X				03/31/00	
PHARMACIA CORP /DE/	DE		X		X	X					03/31/00	
PHARMAPRINT INC	DE						X				04/13/00	
PHILLIPS PETROLEUM CO	DE		X				X				03/31/00	
PHOTONICS CORP	CA	X		X	X						04/11/00	
PINNACLE SYSTEMS INC	CA				X						03/29/00	
PMC SIERRA INC	DE		X								04/06/00	

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
PNC MORT ACCEPT CORP COMMERC MORT P ASS THR CERT SER 1999 CM1	MO					X		X			04/10/00	
POPULAR INC	PR					X		X			04/10/00	
PROVIDENTIAL HOLDINGS INC	NV					X					04/11/00	
PRUDENTIAL SECURITIES SECURED FINAN CING CORP	DE					X		X			04/13/00	
QUADRAX CORP	DE						X				12/27/99	
QUOKKA SPORTS INC	DE		X					X			03/31/00	
RALSTON PURINA CO	MO				X						04/01/00	
REGENCY GROUP LTD INC	NV		X								04/10/00	
RESIDENTIAL ASSET MORTGAGE PRODUCTS INC	DE							X			04/13/00	
RESIDENTIAL ASSET SECURITIES CORP	DE							X			04/13/00	
RESOURCE ASSET INVESTMENT TRUST	MD					X					04/07/00	
SAFETY COMPONENTS INTERNATIONAL INC	DE					X		X			04/10/00	
SAFETY KLEEN CORP/	DE					X		X			04/04/00	
SAFETY KLEEN CORP/	DE					X					04/12/00	
SALOMON BROTHERS MORT SEC VII INC M ORT PAS TH CE SER 2000-1	DE		X					X			03/30/00	
SAN FABIAN RESOURCES INC	NY		X								04/10/00	
SAUER INC	DE							X			04/12/00	
SBS FUNDING INC	DE		X					X			01/14/00	AMEND
SBS OF GREATER NEW YORK INC	FL		X					X			01/14/00	AMEND
SCHICK TECHNOLOGIES INC	DE					X		X			04/06/00	
SEAWAY FOOD TOWN INC	OH					X		X			04/07/00	
SFAC NEW HOLDINGS INC	DE					X					04/10/00	
SFC NEW HOLDINGS INC	DE					X					04/10/00	
SGI INTERNATIONAL	UT		X					X			03/28/00	
SLM FUNDING CORP	DE							X	X		03/28/00	
SMITHFIELD FOODS INC	VA		X					X			04/12/00	AMEND
SOLA INTERNATIONAL INC	DE							X			03/30/00	
SPANISH BROADCASTING SYSTEM OF GREA TER MIAMI INC	FL		X					X			01/14/00	AMEND
SPANISH BROADCASTING SYSTEM OF ILLI NOIS INC	IL		X					X			01/14/00	AMEND
SPANISH BROADCASTING SYSTEM OF PUER TO RICO INC /DE/	DE		X					X			01/14/00	AMEND
SPANISH BROADCASTING SYSTEM OF PUER TO RICO INC /PR/	DE		X					X			01/14/00	AMEND
SPANISH BROADCASTING SYSTEM OF SAN ANTONIO INC	DE		X					X			01/14/00	AMEND
SPECIALTY CATALOG CORP	DE					X		X			04/11/00	
SSB VEHICLE SEC HUNTINGTON AUTO TRU ST 2000-A	DE					X		X			03/30/00	
STEWART & STEVENSON SERVICES INC	TX					X		X			04/12/00	
STRUCTURED ASSET MORTGAGE INVESTMEN TS INC	DE					X					03/25/00	
STRUCTURED ASSET MORTGAGE INVESTMEN TS INC	DE					X					03/25/00	
STRUCTURED ASSET MORTGAGE INVESTMEN TS INC	DE					X					03/25/00	
STRUCTURED ASSET MORTGAGE INVESTMEN TS INC	DE					X					03/27/00	

NAME OF ISSUER	STATE	8K ITEM NO.									DATE	COMMENT	
	CODE	1	2	3	4	5	6	7	8	9			
STRUCTURED ASSET MORTGAGE INVESTMEN TS INC	DE					X						03/27/00	
STRUCTURED ASSET MORTGAGE INVESTMEN TS INC	DE					X						03/27/00	
STRUCTURED ASSET MORTGAGE INVESTMEN TS INC	DE					X						03/27/00	
STRUCTURED ASSET MORTGAGE INVESTMEN TS INC	DE					X						03/27/00	
STRUCTURED ASSET MORTGAGE INVESTMEN TS INC	DE					X						03/27/00	
STRUCTURED ASSET MORTGAGE INVESTMEN TS INC	DE					X						03/27/00	
STRUCTURED ASSET MORTGAGE INVESTMEN TS INC	DE					X						03/27/00	
STRUCTURED ASSET MORTGAGE INVESTMEN TS INC	DE					X						03/27/00	
STRUCTURED ASSET MORTGAGE INVESTMEN TS INC	DE					X						03/27/00	
STRUCTURED ASSET MORTGAGE INVESTMEN TS INC	DE					X						04/03/00	
STRUCTURED ASSET SECURITIES CORP	DE					X		X				04/10/00	
SUMMIT BANCSHARES INC/CA	CA				X							03/27/00	AMEND
SYMMETRICOM INC	CA		X					X				03/29/00	
SYMPOSIUM CORP	DE							X				01/28/99	AMEND
SYNERGY TECHNOLOGIES CORP	CO				X							02/28/00	AMEND
TELESCAN INC	DE							X				04/10/00	
TELESCAN INC	DE					X		X				04/10/00	AMEND
TESSA COMPLETE HEALTH CARE INC/GA	GA	X	X		X	X		X	X			03/24/00	
TRACK N TRAIL INC	DE					X		X				04/12/00	
TRANSCANADA CAPITAL	DE					X		X				12/30/99	
TRANSCANADA CAPITAL	DE					X		X				03/30/00	
U S WIRELESS DATA INC	CO		X					X				03/28/00	
UNICORP INC /NEW	NV		X			X		X				03/06/00	
UNOCAL CORP	DE							X				03/16/00	
VA LINUX SYSTEMS INC	DE		X					X				03/28/00	
VA LINUX SYSTEMS INC	DE		X					X				04/05/00	
VENCOR INC /NEW/	DE					X		X				04/13/00	
VERTEX INDUSTRIES INC	NJ		X		X	X		X				03/31/00	
VERTICALNET INC	PA		X					X				03/31/00	
VETERINARY CENTERS OF AMERICA INC	DE					X						04/01/00	
VFINANCE COM	DE		X					X				03/31/00	
VIEW SYSTEMS INC	FL					X		X				02/28/00	AMEND
VIRAGEN INC	DE					X		X				04/17/00	
VISION TWENTY ONE INC	FL					X		X				04/11/00	
VISTA EYECARE INC	GA					X						04/05/00	
WADDELL & REED FINANCIAL INC	DE		X					X				03/31/00	
WELLS FARGO & CO/MN	DE					X		X				04/09/00	
WELLS REAL ESTATE INVESTMENT TRUST INC	MD		X					X				03/29/00	
WILSHIRE FINANCIAL SERVICES GROUP I NC	DE					X		X				04/13/00	

NAME OF ISSUER	STATE	8K ITEM NO.									DATE	COMMENT
	CODE	1	2	3	4	5	6	7	8	9		
WINCANTON CORP	NV	X	X			X		X			12/08/99	
WIND RIVER SYSTEMS INC	DE		X								03/31/00	
WMC SECURED ASSETS CORP WMC MORT PA SS THR CERT SER 1999-A	DE					X		X			12/31/99	
WORLDPORT COMMUNICATIONS INC	DE					X		X			04/06/00	
YANG HOLDING CO	FL					X		X			03/20/00	
ZIXIT CORP	TX					X		X			04/11/00	
2THEMART COM INC						X					03/22/00	AMEND