

SEC NEWS DIGEST

Issue 2000-3

January 5, 2000

COMMISSION ANNOUNCEMENTS

COMMISSION STEPS DOWN Y2K MONITORING AS SECURITIES INDUSTRY TRANSITION REMAINS SMOOTH AT MID-WEEK

As the first business week of the year 2000 reaches its midpoint, U.S. securities markets, clearing agencies, major broker-dealers, transfer agents, and mutual funds continue to report a smooth transition into the new year. With confidence rising that the securities industry has conquered the Y2K challenge, the Securities and Exchange Commission will today step down its Y2K monitoring efforts. The SEC has jointly agreed with the New York Stock Exchange and the NASD to cease special data collection efforts after reports are filed at 6:00 p.m. on Wednesday, January 5, 2000. In the event a material Y2K problem arises after 6:00 p.m. on Wednesday, the entity experiencing the problem will report it through regular channels.

"We are very pleased that the securities industry has transitioned smoothly into the year 2000," said Robert Colby, Deputy Director of the SEC's Division of Market Regulation. "We are grateful to the NYSE, Nasdaq, the Securities Industry Association, the Investment Company Institute, and a broad spectrum of industry participants for sharing information and coordinating efforts during the transition period." Mr. Colby concluded, "The preparation for Y2K involved unprecedented coordination among financial regulators within the U.S. and abroad, and we hope to continue these close working relationships through coordination in other areas in the future." (Press Rel. 2000-01)

SEC SUES "TOKYO JOE," INTERNET WEBSITE OPERATOR AND STOCK PICKER, FOR SECURITIES FRAUD

The Commission today brought civil fraud charges against Yun Soo Oh Park a/k/a Tokyo Joe, and his Company, Tokyo Joe's Societe Anonyme, for allegedly engaging in a scheme to defraud Societe Anonyme members from at least July 1998 to at least June 1999. The SEC alleges that Park engaged in scalping, illegal touting, and lying about his performance record.

Park and Societe Anonyme charged their members or clients up to \$200 per month for Park's stock picks and other investment advice. The

Commission alleges that, on numerous occasions, Park misled those members by failing to disclose or lying to them about the fact that he already owned -- and was contemporaneously selling -- the very stock he was recommending they buy. In such instances, Park purchased the stock shortly before his recommendation to buy and profited by selling the stock into the buying flurry that followed his recommendation, a practice known as scalping. On some occasions, Park expressly recommended that his subscribers hold a stock for several days or longer or assigned a certain target price while Park himself was selling the same stock that day and/or selling it below his target price. The Commission alleges Park reaped substantial illegal profits from the above-described activities.

In addition, the Commission alleges that Park posted on his Internet website materially false and misleading performance results. By doing so, Park recruited new members for Societe Anonyme and urged current members to follow his recommendations. Finally, the Commission alleges that Park engaged in illegal touting. In connection with at least one of his stock picks, Park failed to disclose that he had received shares of common stock from an issuer in exchange for recommending the stock.

SEC Enforcement Director Richard H. Walker said, "The Internet has witnessed the rapid growth of websites run by self-proclaimed investment gurus. Today's action makes clear that we will not tolerate fraudulent conduct or undisclosed conflicts of interest by those peddling investment advice on the Internet."

SEC Midwest Regional Director Mary Keefe said, "Whether on the Internet or otherwise, those who counsel others to buy and sell securities have the responsibility to speak truthfully and fairly disclose to their clients the financial benefits they reap from their advice. This action confirms that we take this responsibility seriously."

The Commission's action, filed in the U.S. District Court for the Northern District of Illinois, seeks a permanent injunction against Park and Societe Anonyme, disgorgement of their ill-gotten gains including prejudgment interest, and civil penalties.

Park resides in New York, NY and during the relevant period was Societe Anonyme's sole officer and shareholder. Park operates Societe Anonyme from his home in New York.

For further information, call Tim Warren at (312) 353-7394 or Dan Gregus at (312) 353-7423. (Press Rel. 2000-02); [SEC v. Yun Soo Oh Park a/k/a Tokyo Joe and Tokyo Joe's Societe Anonyme Corp., N.D.IL., Case No. 00C 0049] (LR-16399)

PUBLIC APPEARANCES OF SENIOR COMMISSION OFFICIALS -- JANUARY 2000

The following is a schedule for January 2000 of the public appearances of SEC officials, including the Chairman, Commissioners

and senior staff members. For additional information on events hosted by groups other than the Commission, please call the contact numbers listed. As events are subject to change, please confirm them with the SEC's Office of Public Affairs or the sponsoring organizations.

When: Thursday, January 13

Who: Annette Nazareth, Director of the Division of Market Regulation

What: ALI-ABA Course of Study: Broker-Dealer Regulation

Where: Washington, DC

Contact: Alex Hart, (215) 243-1653

When: Friday, January 14

Who: Chairman Arthur Levitt

What: Rainbow-Push Wall Street Project

Where: New York, NY

Contact: Stephanie Gadlin, (773) 373-3366

When: Friday, January 14

Who: Richard Walker, Director of the Division of Enforcement

What: DC Bar Luncheon

Where: Washington, DC

Contact: Kenneth Winer, (202) 672-5528

When: Monday, January 24

Who: Commissioner Laura Unger

What: American Conference Institute: Securities Trading on the Internet

Where: New York, NY

Contact: Jordana Silverstein, (212) 352-3220

When: Wednesday, January 26 - Friday, January 28

Who: Commissioner Norman Johnson; Commissioner Laura Unger; Richard Walker, Director of the Division of Enforcement; Lynn Turner, Chief Accountant; David Becker, General Counsel

What: Northwestern University's Annual Securities Regulation Institute

Where: San Diego, CA

Contact: Northwestern's Office of Continuing Professional Education, (312) 503-8932

When: Wednesday, January 26 - Sunday, January 30

Who: Chairman Arthur Levitt; Annette Nazareth, Director of the Division of Market Regulation

What: World Economic Forum 2000 Annual Meeting

Where: Davos, Switzerland

Contact: SEC Office of Public Affairs, (202) 942-0020

When: Friday, January 28

Who: Lynn Turner, Chief Accountant

What: ALI-ABA Committee on Continuing Professional Education: Accountants' Liability Conference

Where: San Francisco, CA

Contact: Alex Hart, (215) 243-1653

ENFORCEMENT PROCEEDINGS

THE COMMISSION DECLINES TO REVIEW AMEX APPEAL

The Commission denied a request by Amex under Rule 11Aa3-2(e) to review a determination of the Consolidated Tape Association (CTA). A majority of the CTA voted to exclude transactions in a derivative product known as Diamonds from the calculation of Amex's share of annual CTA revenue. Diamonds are interests in a unit investment trust that holds stock in the companies that comprise the Dow Jones Industrial Average. Under the CTA Plan, which governs the CTA's operation, a participant's share of the annual revenue does not include any security that the participant has an exclusive right to trade. The CTA voted to deny Amex that portion of CTA revenues attributable to Diamonds until all CTA members could freely trade Diamonds.

The Commission stated that Rule 11Aa3-2(e) granted the Commission discretion, but did not impose the obligation, to consider an appeal from action taken in connection with the CTA Plan. When it enacted Rule 11Aa3-2(e), the Commission made clear that it would use its authority to review actions under the rule to further the objectives of the Exchange Act, not to act as an arbiter of individual competitive interests. The primary issue raised by the appeal is whether a license agreement between Amex and Dow Jones grants Amex the exclusive right to trade Diamonds. The Commission concluded that the Amex's appeal did not raise issues involving the broad objectives of the national market system - the public interest, the protection of investors, or the maintenance of fair and orderly markets. The Commission further noted that the Amex acknowledged that judicial relief is available to resolve this dispute. (Rel. 34-42312; File No. 3-9756)

INSIDER TRADING ACTION SETTLED AGAINST MICHELLE NGUYEN, LISA NGUYEN AND HAO VU

On November 18, the Honorable Alicemarie H. Stotler, United States District Judge for the Central District of California, entered judgments of permanent injunction and other relief against Michelle Nguyen (Nguyen), Lisa Nguyen (L. Nguyen) and Hao Vu (Vu). The judgments permanently enjoin Nguyen, L. Nguyen and Vu from violating the antifraud provisions of the federal securities laws. The final judgments also ordered payment of disgorgement of the defendants' ill-gotten gains, plus prejudgment interest, of \$41,662.50 for Nguyen, \$15,305.37 for L. Nguyen, and \$26,000.00 for Vu and imposed civil penalties of \$41,662.50 for Nguyen, \$15,305.37 for L. Nguyen, and \$26,000.00 for Vu.

The Commission's complaint, filed June 22, 1999, alleged that Nguyen purchased shares in her then-employer, Trimedyne, Inc. (Trimedyne) while in possession of confidential corporate information and tipped

her sister L. Nguyen and brother Vu, both of whom also purchased Trimedyne shares while in possession of the confidential corporate information.

The final judgments enjoin Nguyen, L. Nguyen and Vu from future violations of Section 17(a) of the Securities Act of 1933 and Section 10(b) of the Securities Exchange Act of 1934 and Rule 10b-5 thereunder. Nguyen, L. Nguyen and Vu consented to the entry of the final judgments without admitting or denying the Commission's allegations. [SEC v. Michelle Nguyen, Lisa Nguyen and Hao Vu, Civil Action No. SACV 99-830 AHS, ANx, C.D. Cal.] (LR-16398)

INVESTMENT COMPANY ACT RELEASES

STANDISH, AYER & WOOD INVESTMENT TRUST, ET AL.

A notice has been issued giving interested persons until January 26 to request a hearing on an application filed by Standish, Ayer & Wood Investment Trust, et al. for an order exempting applicants from Section 17(a) of the Investment Company Act. The order would permit certain limited partnerships to transfer all their assets to corresponding new series of a registered open-end management investment company in exchange for shares of the new series. (Rel. IC-24231 - January 3)

H&Q HEALTHCARE INVESTORS AND H&Q LIFE SCIENCES INVESTORS

A notice has been issued giving interested persons until January 28 to request a hearing on an application filed by H&Q Healthcare Investors and H&Q Life Sciences Investors for an order exempting applicants from Section 19(b) of the Investment Company Act and Rule 19b-1 under the Act. The order would permit applicants to make up to four distributions of net long-term capital gains in any one taxable year, so long as they maintain in effect a distribution policy calling for quarterly distributions of a fixed percentage of net asset value. (Rel. IC-24232 - January 3)

HARRIS INSIGHT FUNDS TRUST AND HARRIS TRUST AND SAVINGS BANK

An order has been issued on an application filed by Harris Insight Funds Trust and Harris Trust and Savings Bank granting an exemption from Section 15(a) of the Investment Company Act and Rule 18f-2 under the Act. The order permits applicants to enter into and materially amend sub-advisory agreements without shareholder approval. (Rel. IC-24233 - January 4)

SELF-REGULATORY ORGANIZATIONS

JOINT INDUSTRY PLAN RELEASES

The Commission approved an extension until June 30, 2000, to the Reporting Plan for Nasdaq/National Market Securities Traded on an Exchange on an Unlisted or Listed Basis, Submitted by the National Association of Securities Dealers, Inc., and the Boston, Chicago, and Philadelphia Stock Exchanges. (Rel. 34-42268)

The Commission approved Amendment No. 10 to the Reporting Plan for Nasdaq/National Market Securities Traded on an Exchange on an Unlisted or Listed Basis, Submitted by the National Association of Securities Dealers, Inc., and the Boston, Chicago, Philadelphia and Cincinnati Stock Exchanges, which, among other things, adds the Cincinnati Stock Exchange to the list of Plan Participants. (Rel. 34-42269)

Pursuant to Rule 11Aa3-2(c)(1) of the Securities Exchange Act of 1934, the Commission granted accelerated approval to plan amendments submitted by the Participants (SR-CTA/CQ-99-03) on behalf of Network B to the Consolidated Tape Association and the Consolidated Quotation Plans. Publication of the order in the Federal Register is expected during the week of January 3. (Rel. 34-42314)

ACCELERATED APPROVAL OF PROPOSED RULE CHANGE

The Commission granted accelerated approval to a proposed rule change filed by the Depository Trust Company (SR-DTC-99-25) to modify DTC's Failure-To-Settle procedures to permit DTC to borrow temporarily from all participants with net credit positions in the unlikely circumstance that DTC's liquidity resources are inadequate to complete settlement. Publication of the proposal is expected in the Federal Register during the week of January 3. (Rel. 34-42281)

APPROVAL OF PROPOSED RULE CHANGES

The Commission approved a proposed rule change submitted by the Pacific Exchange (SR-PCX-99-25) to allow Lead Market Makers to perform certain Floor Broker functions. Publication of the order in the Federal Register is expected during the week of January 3. (Rel. 34-42301)

The Commission approved a proposed rule change, as well as noticed and granted accelerated approval of Amendment No. 2 thereto, submitted by the American Stock Exchange (SR-Amex-99-23) requiring a member seeking to break certain trades to obtain written Floor Official approval. Publication of the proposal is expected in the Federal Register during the week of January 3. (Rel. 34-42308)

IMMEDIATE EFFECTIVENESS OF PROPOSED RULE CHANGES

A proposed rule change (SR-NYSE-99-52) filed by the New York Stock Exchange to extend through February 15, 2000, the existing Pilot Fee Structure governing the reimbursement of NYSE member organizations for costs incurred in the transmission of proxy and other

shareholder communication materials to shareholders owning securities in street name has become immediately effective under Section 19(b)(3)(A) of the Securities Exchange Act of 1934. Publication of the notice is expected in the Federal Register during the week of January 3. (Rel. 34-42304)

The Options Clearing Corporation filed a proposed rule change (SR-OCC-99-11). The proposed rule change grants the chairman of OCC's board of directors the express authority to vote on behalf of OCC the stock of OCC's wholly-owned subsidiaries. Publication of the proposal is expected in the Federal Register during the week of January 10. (Rel. 34-42309)

PROPOSED RULE CHANGE

The American Stock Exchange filed a proposed rule change (SR-Amex-99-25) eliminating the requirement that the Chairman also be the CEO. Publication of the proposal is expected in the Federal Register during the week of January 3. (Rel. 34-42307)

DELISTINGS GRANTED

An order has been issued granting the application of the New York Stock Exchange to strike from listing and registration Mobil Corporation, 8-3/8% Notes, due February 12, 2001; 8-5/8% Debentures, due August 15, 2021; 8% Debentures, due August 12, 2032; 7-5/8% Debentures, due February 23, 2033. (Rel. 34-42288)

An order has been issued granting the application of the New York Stock Exchange to strike from listing and registration Outdoor Systems, Inc., 9-3/8% Senior Subordinated Notes, due October 15, 2006, and 8-7/8% Senior Subordinated Notes, due June 15, 2007. (Rel. 34-42289)

An order has been issued granting the application of the New York Stock Exchange to strike from listing and registration National-Standard Company, Common Stock, \$.01 par value per share. (Rel. 34-42290)

An order has been issued granting the application of the New York Stock Exchange to strike from listing and registration Pioneer Hi-Bred International, Inc., 5.75% Senior Notes, due January 15, 2009. (Rel. 34-42291)

An order has been issued granting the application of the New York Stock Exchange to strike from listing and registration World Color Press, Inc., 6% Convertible Senior Subordinated Notes, due October 1, 2007. (Rel. 34-42292)

An order has been issued granting the application of the New York Stock Exchange to strike from listing and registration Tenneco Inc., 8.075% Notes, due October 1, 2002; 6.70% Notes, due December 15, 2005; 9.20% Debentures, due November 15, 2012; and 7.45% Debentures, due December 15, 2025. (Rel. 34-42293)

An order has been issued granting the application of the New York Stock Exchange to strike from listing and registration Cold Metal Products, Inc., Common Stock, par value \$.01 per share. (Rel. 34-42294)

An order has been issued granting the application of the New York Stock Exchange to strike from listing and registration Breed Technologies, Inc., Common Stock, par value \$.01 per share. (Rel. 34-42295)

An order has been issued granting the application of the New York Stock Exchange to strike from listing and registration Advocat Inc., Common Stock, par value \$.01 per share. (Rel. 34-42296)

An order has been issued granting the application of the New York Stock Exchange to strike from listing and registration Wyman-Gordon Company, Common Stock, \$ 1.00 par value. (Rel. 34-42297)

An order has been issued granting the application of the New York Stock Exchange to strike from listing and registration Freeport-McMoRan Oil and Gas Royalty Trust, Units of Beneficial Interest. (Rel. 34-42298)

An order has been issued granting the application of the New York Stock Exchange to strike from listing and registration Mariner Post-Acute Network, Inc., Common Stock, par value \$.01 per share. (Rel. 34-42299)

An order has been issued granting the application of the American Stock Exchange to strike from listing and registration CPS Systems, Inc., Common Stock, \$.01 par value. (Rel. 34-42311)

RECENT 8K FILINGS

Form 8-K is used by companies to file current reports on the following events

- Item 1 Changes in Control of Registrant
- Item 2 Acquisition or Disposition of Assets
- Item 3 Bankruptcy or Receivership
- Item 4 Changes in Registrant's Certifying Accountant
- Item 5 Other Materially Important Events
- Item 6 Resignations of Registrant's Directors
- Item 7 Financial Statements and Exhibits
- Item 8 Change in Fiscal Year.
- Item 9 Regulation S Offerings.

The following companies have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. 8-K reports may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N W , Washington, D C 20549 or at the following e-mail box address <publicinfo@sec.gov>. In most cases, this information is also available on the Commission's website <www.sec.gov>.

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT	
		1	2	3	4	5	6	7	8	9			
ACCUIMAGE DIAGNOSTICS CORP	NV				X		X					12/29/99	
ADVANTA CONDUIT RECEIVABLES MORT LO AN TRUST 1999-4	NV				X		X					12/31/99	
ADVANTA MORTGAGE LOAN TRUST 1998-4A	NY				X		X					12/31/99	
ADVANTA MORTGAGE LOAN TRUST 1998-4B	NY				X		X					12/31/99	
ADVANTA MORTGAGE LOAN TRUST 1998-4C	DE				X		X					12/31/99	
ADVANTA MORTGAGE LOAN TRUST 1999-1	NY				X		X					12/31/99	
ADVANTA MORTGAGE LOAN TRUST 1999-2	NY				X		X					12/31/99	
ADVANTA MORTGAGE LOAN TRUST 1999-3	NY				X		X					12/31/99	
ADVANTA REVOLVING HOME EQUITY LOAN TRUST 1999 B	NY				X		X					12/31/99	
ADVANTA REVOLVING HOME EQUITY LOAN TRUST 1999-A	NY				X		X					12/31/99	
ADVANTAGE LEARNING SYSTEMS INC	WI				X		X					12/30/99	
AIR PACKAGING TECHNOLOGIES INC	DE				X							01/03/00	
AK STEEL HOLDING CORP	DE				X		X					01/04/00	
ALBANY MOLECULAR RESEARCH INC	NY		X				X					12/21/99	
ALLBERRY INC	DE				X							01/04/00	
ALLEGHANY CORP /DE	DE				X		X					12/30/99	
AMERICA ONLINE INC	DE				X		X					12/21/99	
AMFM INC	DE				X		X					01/01/00	
ARXA INTERNATIONAL ENERGY INC	DE				X							01/04/00	
ASIA SUPERNET CORP	CO				X		X					12/22/99	
BA MORTGAGE SECURITIES INC MORT PS THR CERT SER 1998-4	DE				X		X					11/25/99	
BA MORTGAGE SECURITIES INC PASS THR CERT SER 1998-5	DE				X		X					11/25/99	
BANK OF AMERICA MORTGAGE SECURITIES INC	DE				X		X					11/25/99	
BANYAN STRATEGIC REALTY TRUST	MA		X		X		X					12/16/99	
BARTON BEERS LTD	MD				X							01/04/00	
BARTON BRANDS LTD /DE/	DE				X							01/04/00	
BARTON BRANDS OF CALIFORNIA INC	CT				X							01/04/00	
BARTON BRANDS OF GEORGIA INC	GA				X							01/04/00	
BARTON CANADA LTD	DE				X							01/04/00	
BARTON FINANCIAL CORP	DE				X							01/04/00	
BARTON INC	DE				X							01/04/00	
BATAVIA WINE CELLARS INC	NY				X							01/04/00	
BEACON CAPITAL PARTNERS INC	MD				X		X					01/03/00	
BETHLEHEM CORP	PA				X		X					12/31/99	
BIG BUCK BREWERY & STEAKHOUSE INC	MI				X		X					12/30/99	
BLOCK MORTGAGE FINANCE ASSET BACKED CERTIFICATES SER 1999 1	NY				X		X					12/27/99	
BLOCK MORTGAGE FINANCE INC ASSET BA CKED CERT SERIES 1999 2	DE				X		X					12/27/99	
BOSTON LIFE SCIENCES INC /DE	DE				X		X					12/29/99	
BRUNSWICK CORP	DE		X									01/04/00	
BUDGET GROUP INC	DE				X		X					01/04/00	
CAMDEN NATIONAL CORP	ME		X				X					12/20/99	
CANANDAIGUA BRANDS INC	DE				X							01/04/00	
CANANDAIGUA EUROPE LTD	NY				X							01/04/00	
CANANDAIGUA LTD	NY				X							01/04/00	
CANANDAIGUA WINE CO INC /NY/	NY				X							01/04/00	
CANDANAIGUA B V	DE				X							01/04/00	
CAPSTAR BROADCASTING PARTNERS INC	DE				X		X					01/01/00	
CARRIER1 INTERNATIONAL S A	N4						X					11/23/99	
CASINO JOURNAL PUBLISHING GROUP INC	DE				X							12/03/99	
CCPC HOLDING CO INC	DE		X				X					11/04/99	AMEND
CHARTER COMMUNICATIONS HOLDINGS CAP ITAL CORP	DE				X		X					12/27/99	

NAME OF ISSUER	STATE CODE	8K ITEM NO.								DATE	COMMENT	
		1	2	3	4	5	6	7	8			9
CHARTER COMMUNICATIONS HOLDINGS LLC	DE				X	X					12/27/99	
CHARTER COMMUNICATIONS INC /MO/					X	X					12/27/99	
CHEMDEX CORP	DE				X	X					12/10/99	
CHL MORTGAGE PASS THROUGH TRUST 1998 18	DE				X	X					11/25/99	
CLOUD PEAK CORP	DE				X						01/04/00	
COMCAST CABLE COMMUNICATIONS INC	DE				X	X					12/23/99	
COMCAST CORP	PA				X	X					12/23/99	
COMPOSITECH LTD	DE				X	X					12/27/99	
CONCURRENT COMPUTER CORP/DE	DE				X	X					01/03/00	
CONEXANT SYSTEMS INC	DE		X			X					12/18/99	
CONSECO FINANCE SECURITIZATIONS CORP	DE				X	X					11/30/99	
COUNTRYWIDE HOME EQUITY LOAN TRUST 1999-C	CA				X	X					12/31/99	
CREE INC	NC				X						01/03/00	
CSX TRADE RECEIVABLES CORP	DE				X	X					12/27/99	
CUMBERLAND TECHNOLOGIES INC	FL		X								12/31/99	
CWABS INC ASSET BACKED CERTIFICATES SERIES 1998-3	DE				X	X					11/25/99	
CWABS INC ASSET BACKED CERTIFICATES SERIES 1999-1	DE				X	X					11/25/99	
CWABS INC ASSET-BACKED CERTIFICATES SERIES 1998-2	DE				X	X					11/25/99	
CWMBS INC CHL MORTGAGE PASS THROUGH TRUST 1999-2	DE				X	X					11/25/99	
CWMBS INC CHL MORTGAGE PASS THROUGH TRUST 1999-3	DE				X	X					11/25/99	
CWMBS INC CHL MORTGAGE PASS THROUGH TRUST 1999-4	DE				X	X					11/25/99	
CWMBS INC MORT PASS THR CERT SER 1999-10					X	X					11/25/99	
CWMBS INC MORT PASS THR CERT SER 1999-11					X	X					11/25/99	
CWMBS INC MORT PASS THR CERT SER 1999-12					X	X					11/25/99	
CWMBS INC MORT PASS THR CERT SER 1999-6					X	X					11/25/99	
CWMBS INC MORT PASS THR CERT SER 1999-7					X	X					11/25/99	
CWMBS INC MORT PASS THR CERT SER 1999-8					X	X					11/25/99	
CWMBS INC MORT PASS THR CERT SER 1999-9					X	X					11/25/99	
CWMBS INC MORT PASS THRO CERT SER 1998-12	DE				X	X					11/25/99	
CWMBS INC MORTGAGE PASS THROUGH CERTIFICATES SERIES 1998-9	DE				X	X					11/25/99	
CWMBS INC RASTA 1999-A1 1999-A					X	X					11/25/99	
CWMBS INC RASTA 1999-A2 1999-B					X	X					11/25/99	
CWMBS INC RASTA 1999-A3 1999-C					X	X					11/25/99	
CWMBS INC RASTA 1999-A4 1999-D					X	X					11/25/99	
CWMBS INC RESIDENTIAL ASSET SECURITIZATION TRUST 1998-A11	DE				X	X					11/25/99	
CWMBS INC RESIDENTIAL ASSET SECURITIZATION TRUST 1998-A14	DE				X	X					11/25/99	
CWMBS INC RESIDENTIAL ASSET SECURITIZATION TRUST 1998-A8	DE				X	X					11/25/99	
CWMBS INC RESIDENTIAL ASSET SECURITIZATION TRUST 1999-A5	DE				X	X					11/25/99	

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
DIAGNON CORP	DE					X					01/03/00	
DIEBOLD INC	OH		X					X			10/21/99	AMEND
DISCOVER CARD MASTER TRUST I	DE					X					12/31/99	
DISCOVERY INVESTMENTS INC	NV	X	X			X		X			12/20/99	
DLJ MORTGAGE ACCEPT CORP FIR NAT TR SE 1999-2 MOR PAS TH CER	DE					X		X			12/31/99	
DLJ MORTGAGE ACCEPT CORP FIR NAT TR SE 1999-3 MOR PAS TH CER						X		X			12/31/99	
DLJ MORTGAGE ACCEPT CORP FIR NAT TR SE 1999-4 MOR PAS TH CER	DE					X		X			12/31/99	
DOMINION RESOURCES INC /VA/ EDO CORP	VA					X					12/21/99	
EDO CORP	NY					X		X			01/02/00	
EIS INTERNATIONAL INC /DE/ EMERGENCY FILTRATION PRODUCTS INC/ NV	DE					X		X			12/17/99	
EMERGENCY FILTRATION PRODUCTS INC/ NV	NV					X		X			12/03/99	AMEND
EPLUS INC	DE					X					12/20/99	
EQUITABLE RESOURCES INC /PA/ EQUITY ONE ABS INC	PA					X		X			01/03/00	
EQUITY ONE ABS INC	DE					X					12/27/99	
EQUITY ONE ABS INC	DE					X					12/27/99	
ESG RE LTD						X					01/04/00	
F&M BANCORP	MD		X					X			12/30/99	
F&M NATIONAL CORP	VA					X					01/03/00	
FINANCIAL ASSET SEC INC MORT PART S ECURITIES SER 1997-NAMC2						X		X			12/31/99	
FINGERMATRIX INC	NY					X		X			09/22/99	
FIRST CHOICE HEALTH NETWORK INC	WA	X									12/30/99	
FIRST MIDWEST FINANCIAL INC	DE					X		X			12/27/99	
FIRST PLACE FINANCIAL CORP	NM					X		X			12/16/99	AMEND
FIRST UNION COMMERCIAL MORTGAGE SEC URITIES INC	NC	X									12/18/99	
FOCAL COMMUNICATIONS CORP	DE					X		X			01/03/00	
FRANCISCAN VINEYARDS INC	DE					X					01/04/00	
FRANKLIN ELECTRONIC PUBLISHERS INC	PA					X					01/01/00	
FREMONT GENERAL CORP	NV		X					X			12/20/99	
FUND AMERICA INVESTORS CORP II PASS THRO CERT SERIES 1998-A	DE	X									12/26/99	
FX ENERGY INC	NV					X					12/31/99	
GATEFIELD CORP	DE					X		X			01/04/00	
GLOBALDIGITALCOMMERCE COM INC	DE					X		X			12/22/99	
GMAC COMMERCIAL MORTGAGE SECURITIES INC	DE							X			12/15/99	
GO2NET INC	DE		X					X			10/22/99	AMEND
HALIFAX CORP	VA					X					01/04/00	
HALLIBURTON CO	DE					X		X			12/30/99	
HEADHUNTER NET INC	GA	X						X			12/17/99	
HEALTHWATCH INC	MN					X					12/28/99	
HEALTHWATCH INC	MN					X					12/30/99	
HUMANA INC	DE					X		X			01/03/00	
IMPAC SECURED ASSETS CORP MORTGAGE PASS THR CER SER 1999-2	CA							X			12/20/99	
IMRGLOBAL CORP	FL					X		X			01/03/00	
INDYMAC MORTGAGE HOLDINGS INC	DE					X					01/01/00	
INDYMAC RAST 1998-A5	DE					X		X			11/25/99	
INSCI STATEMENTS COM CORP	DE					X					12/17/99	
INSCI STATEMENTS COM CORP	DE					X					12/22/99	
INTERFACE SYSTEMS INC	MI	X						X			12/22/99	
INTERNATIONAL SPEEDWAY CORP	FL							X			07/01/99	
JUST FOR FEET INC	DE							X			12/07/99	AMEND
KAISER GROUP INTERNATIONAL INC	DE					X					12/31/99	
KANSAS CITY POWER & LIGHT CO	MO		X								01/02/00	
KOLLMORGEN CORP	NY					X		X			12/13/99	

NAME OF ISSUER	STATE CODE	BK ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
LAMONTS APPAREL INC	DE				X	X					12/27/99	
LANNETT CO INC	DE	X									01/04/00	
LEISURE CONCEPTS INTERNATIONAL INC		X									12/20/99	
LITTLE SWITZERLAND INC/DE	DE				X	X					12/22/99	
LSE FINANCIAL CORP	IN				X	X					01/03/00	
LUCOR INC /FL/	FL	X									12/16/99	
LYCOS INC	DE					X					12/03/99	AMEND
M V LEWIS CORP	DE				X						01/04/00	
MACDERMID INC	CT				X						09/27/99	AMEND
MITCHAM INDUSTRIES INC	TX				X	X					12/16/99	
MONTANA POWER CO /MT/	MT	X				X					12/17/99	
MORGAN J P COMMERCIAL MORTGAGE FINA NCE CORP	DE	X									12/15/99	
MORTGAGE PARTICIPATION SECURITIES S ERIES 1997 NAMC1	VA				X	X					12/31/99	
MT VEEDER CORP	DE				X						01/04/00	
MULTI COLOR CORP	OH	X				X					12/16/99	AMEND
NEW CENTURY HOME EQUITY LOAN TRUST SERIES 1999-NCB	DE				X	X					12/31/99	
NEW CENTURY MORT SEC INC HOME EQUIT Y LOAN TRUST SER 1999 NCD	DE	X			X	X					12/30/99	
NEW ENGLAND LIFE PENSION PROPERTIES IV	MA	X				X					12/20/99	
NEW ENGLAND PENSION PROPERTIES V	MA	X				X					12/20/99	
NEWCOR INC	DE				X	X					12/17/99	
NEWFIELD EXPLORATION CO /DE/	DE				X						01/03/00	
NIELSEN MEDIA RESEARCH INC	DE	X	X		X						01/04/00	
NORWEST ASSET SECURITIES CORP	DE				X	X					12/22/99	
OBJECTSOFT CORP	DE				X	Y					12/30/99	
ON TECHNOLOGY CORP	DE				X						12/29/99	
PAGE AMERICA GROUP INC	NY		X			X					12/21/99	
PAINWEBBER MOR ACCEPT CORP IV FREM CNT HOME LN TR 1999-3	DE				X	X					12/21/99	
PC CONNECTION INC	NH				X	X					01/01/00	
PEOPLESOFT INC	DE	X			X	X					12/31/99	
PERKINS FAMILY RESTAURANTS LP	DE				X	X					12/22/99	
PIERCE INTERNATIONAL INC	CO	X									12/20/99	
PINNACLE HOLDINGS INC	DE				X	X					01/03/00	
PINNACLE RESOURCES INC	WY					X					08/30/99	AMEND
PIONEER AMERICAN HOLDING CO CORP	PA	X									01/04/00	
PMCC FINANCIAL CORP	DE				X	X					01/03/00	
POLYPHENOLICS INC	NY				X						01/04/00	
POTOMAC ELECTRIC POWER CO	VA				X	X					12/22/99	
PREMIER LASER SYSTEMS INC	CA					X					10/21/99	AMEND
PROVIDIAN MASTER TRUST					X						12/15/99	
PROVIDIAN NATIONAL BANK /NEW/					X						12/15/99	
PRUDENTIAL SECURITIES SECURED FINAN CING CORP	DE				X	X					12/20/99	
PRUDENTIAL SECURITIES SECURED FINAN CING CORP	DE	X				X					12/23/99	
QUIDEL CORP /DE/	DE	X				X					12/20/99	
RAWLINGS SPORTING GOODS CO INC	DE				X	X					12/30/99	
RESIDENTIAL ACCREDIT LOANS INC	DE				X	X					12/25/99	
RESIDENTIAL ASSET SECURITIES CORP	DE				X	X					12/25/99	
RESIDENTIAL FUNDING MORTGAGE SECURI TIES I INC	DE				X	X					12/25/99	
RESIDENTIAL FUNDING MORTGAGE SECURI TIES II INC	DE				X	X					12/25/99	
RESTAURANT CO	DE				X	X					12/22/99	
ROBERTS TRADING CORP	NY				X						01/04/00	

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
RYANS FAMILY STEAKHOUSES INC	SC		X								12/29/99	
SALOMON BROS MORT SEC VII INC MORT PASS-THR CERT SER 1999-NC	DE				X	X					12/31/99	
SALOMON BROS MORT SEC VII INC MORT PS THR CERT SER 1999-NC4	DE				X	X					12/31/99	
SALOMON BROTHERS MRT SEC VII INC MR T PS THR CERT 1999-2	DE				X	X					12/27/99	
SAPIENT CORP	DE				X	X					01/03/00	
SATCON TECHNOLOGY CORP	DE					X					10/21/99	AMEND
SAWTEK INC \FL\	FL				X	X					01/04/00	
SCV EPI VINEYARDS INC	DE				X						01/04/00	
SEAHAWK DEEP OCEAN TECHNOLOGY INC	CO				X						12/16/99	
SEARS ROEBUCK & CO	NY				X	X					01/04/00	
SELLER & MASTER SERVICER RESIDENTIA L ASS SEC TR 1998-A6	DE				X	X					11/25/99	
SENSAR CORP /NV/	NV					X					01/04/00	
SILVERTHORNE PRODUCTION CO	CO	X									12/06/99	
SIMI WINERY INC	DE				X						01/04/00	
SKYMALL INC	NV				X						12/22/99	
SKYMALL INC	NV				X						12/31/99	
SOFTWARE COM INC	DE						X				10/20/99	AMEND
SOFTWARES INC	VA	X					X				12/21/99	
SOLUTIA INC	DE		X				X				12/22/99	
SOUTHWEST ROYALTIES HOLDINGS INC	DE				X						12/30/99	AMEND
SOUTHWEST ROYALTIES INC	DE				X						12/30/99	AMEND
SPARTA FOODS INC	MN				X	X					12/31/99	
SSB VEHICLE SECURITIES INC BMW VEHI CLE OWNER TRUST 1999-A	DE				X	X					12/27/99	
STARNET FINANCIAL INC	DE		X								10/13/99	AMEND
STRATUS PROPERTIES INC	DE				X						12/28/99	
SUPERIOR BANK FSB AFC MORTGAGE LN A SSET BY NOTES SER 1999-3	IL				X	X					12/27/99	
SUPERIOR BANK FSB AFC MORTGAGE LN A SSET BK NOTES SER 1999-3	IL				X	X					12/27/99	
SUPERIOR BANK FSB AFC MORTGAGE LN A SSET BK NOTES SER 1999-3	IL				X	X					12/27/99	
TEAM FINANCIAL INC /KS	KS					X					12/02/99	AMEND
TELTRAN INTERNATIONAL GROUP LTD	DE	X			X						12/18/99	
TESORO PETROLEUM CORP /NEW/	DE	X			X	X					12/17/99	
THEHEALTHCHANNEL COM INC	DE				X						01/04/00	
THERMOGENESIS CORP	DE				X	X					12/23/99	
THOUSAND TRAILS INC /DE/	DE		X		X						12/16/99	
TMP WORLDWIDE INC	DE		X		X						10/21/99	AMEND
TOYOTA AUTO LEASE TRUST 1997-A	CA					X					11/30/99	
TOYOTA AUTO LEASE TRUST 1998 C	CA					X					11/30/99	
TOYOTA AUTO LEASE TRUST 1998-B	CA					X					11/30/99	
TRANSAMERICAN ENERGY CORP	DE				X	X					12/30/99	
TRANSAMERICAN REFINING CORP	TX				X	X					12/30/99	
TRIMOL GROUP INC	DE				X						12/27/99	
U S WEST INC /DE/	DE				X	X					12/31/99	
UBARTER COM INC	NV				X	X					01/04/00	
UNIDYNE CORP	DE				X						12/29/99	
UNITED AUTO GROUP INC	DE				X	X					12/22/99	
UNITED COMPANIES FINANCIAL CORP	LA				X	X					12/29/99	
VALLEY NATIONAL BANCORP	NJ				X	X					12/14/99	
VANTIVE CORP	DE	X									12/31/99	
VIKING DISTILLERY INC	GA				X						01/04/00	
VINTAGE PETROLEUM INC	DE				X	X					10/21/99	
VISTA INFORMATION SOLUTIONS INC	DE		X		X						12/17/99	
VLASIC FOODS INTERNATIONAL INC		X			X	X					12/17/99	
VOICE MOBILITY INTERNATIONAL INC	NV				X						12/20/99	

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	CODE	1	2	3	4	5	6	7	8	9		
WATCHGUARD TECHNOLOGIES INC	DE		X					X			10/19/99	AMEND
WESTERN RESOURCES INC /KS	KS					X	X				01/03/00	
WESTMED VENTURE PARTNERS 2 LP	DE	X									12/30/99	
WESTVACO CORP	DE				X	X					12/31/99	
WILLIAM LYON HOMES	DE				X	X					01/04/00	
WINSTAR COMMUNICATIONS INC	DE				X	X					12/15/99	
WPI GROUP INC	NH		X								12/21/99	
ZILA INC	DE		X					X			01/03/00	