

sec news digest

Issue 90-12

JAN 19 1990

January 18, 1990

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J.S. SECURITIES AND
EXCHANGE COMMISSION

COMMISSION ANNOUNCEMENTS

JOINT PRESS RELEASE ON COOPERATION BETWEEN SECURITIES BUREAU AND SEC

The Securities Bureau of the Japanese Ministry of Finance and the United States Securities and Exchange Commission announced today that they had just completed day-long discussions regarding issues of mutual concern to the Japanese and United States financial markets. The discussions were led by Mr. M. Kadotani, Director-General of the Securities Bureau, and Mr. Richard C. Breeden, Chairman of the Securities and Exchange Commission (SEC). Senior advisors from both agencies participated in the talks which focused on a wide range of issues concerning internationalization of the world's securities markets. Items that were discussed included a review of the recent market developments, regulatory changes in securities transactions in both countries, reforms of systems related to the financial and capital markets, engagements in international cooperation and possible enhancement of cooperation under the 1986 Memorandum of Understanding between the Ministry of Finance and the SEC. Discussions between the Securities Bureau and the SEC have taken place annually since 1986 as part of a continuing effort by the parties to reinforce their cooperation and to exchange views. (Press Release 90-6)

ADMINISTRATIVE PROCEEDINGS

SANCTIONS IMPOSED ON WILLIAM R. BEACH

Administrative Law Judge Brenda P. Murray issued an initial decision barring Mr. William R. Beach, formerly of Valparaiso, Indiana, from being associated with a broker or dealer. Judge Murray acted pursuant to Section 15(b) of the Securities Exchange Act of 1934.

On September 27, 1988, Mr. Beach pled guilty to four counts of mail fraud as the result of grand jury charges that he obtained money while he was a registered representative by falsely representing himself to people as an agent of the Exchange National Bank of Chicago who would invest money in bank certificates of deposit. Mr. Beach did not work for the Exchange National Bank and did not invest the money in certificates of deposit. Mr. Beach is serving a thirty-three months sentence at the Marion Federal Penitentiary and is under a court order to repay 24 individuals and three couples a total of \$869,250.

WILLIAM FLYNN BARRED

The Commission instituted public administrative proceedings against William Flynn, a Denver-based registered representative of a "penny stock" brokerage firm. Flynn consented to the Order which finds that, on December 13, 1989, Flynn was permanently

enjoined from violations of the registration and antifraud provisions of the Securities Act and of the Securities Exchange Act by U.S. District Judge Jim R. Carrigan, District of Colorado (SEC v. William Flynn, et al., D. Colo., 88-C-1229) (LR-12230). Flynn neither admitted nor denied the allegations in the Commission's complaint.

The Order also bars Flynn from association with any broker, dealer, municipal securities dealer, investment company or investment adviser, with the proviso that he may make application to become so associated upon certain showings satisfactory to the Commission relating to repayment to be made by Flynn to four investors, who were allegedly defrauded by Flynn and the other defendants in the Commission's action. (Rel. 33-6851)

LISTING, DELISTING AND UNLISTED TRADING ACTIONS

UNLISTED TRADING GRANTED

Orders have been issued granting the applications of the following exchanges for unlisted trading privileges in the common stock of the specified number of issues which are listed and registered on one or more other national securities exchanges and are reported in the consolidated transaction reporting system: Midwest Stock Exchange - 14 issues (Rel. 34-27612) and; Philadelphia Stock Exchange - 20 issues (Rel. 34-27619).

DELISTINGS GRANTED

Orders have been issued granting the applications to strike the following securities from listing and registration on the specified stock exchanges: Jacobs Engineering Group, Inc. common stock (\$1 par) from the American Stock Exchange (Rel. 34-27618); and Cincinnati Stock Exchange - 12% Senior Debentures, due April 1, 2001 and June 1, 2001; Common Stock; and \$3.44 Exchange Preferred; and Cumulative Convertible Preferred stock of American Continental Corporation.

SELF-REGULATORY ORGANIZATIONS

NOTICE OF PROPOSED RULE CHANGE

The New York Stock Exchange filed a proposed rule change (SR-NYSE-90-01) under Rule 19b-4 of the Securities Exchange Act to amend the Exchange Stock Portfolio Rules 803 and 815 and the Basket Guidelines governing call markets and component stock quotations in Exchange Stock Portfolios. Publication of the proposal is expected to be made in the Federal Register during the week of January 16. (Rel. 34-27615)

SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing

underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

- S-3 INTERNATIONAL PAPER CO /NEW/, TWO MANHATTANVILLE RD, PURCHASE, NY 10577
(914) 397-1500 - 2,000,000 (\$107,750,000) COMMON STOCK. (FILE 33-32527 - DEC. 11)
(BR. 8)
- S-18 INDEPENDENT TELECOMMUNICATIONS NETWORK INC, 99 SOUTH SOUND CENTER, OLYMPIA, WA 98507
(206) 456-0190 - 4,500,000 (\$4,500,000) PREFERRED STOCK. (FILE 33-32761-S - DEC. 28)
(BR. 7 - NEW ISSUE)
- N-1A WITTER DEAN SEARS NEW YORK MUNICIPAL MONEY MARKET TRUST, TWO WORLD TRADE CTR,
NEW YORK, NY 10048 (212) 392-1600 - INDEFINITE SHARES. (FILE 33-32763 - JAN. 03)
(BR. 16 - NEW ISSUE)
- S-3 IOWA RESOURCES INC, 666 GRAND AVE, DES MOINES, IA 50303 (515) 281-2900 - 4,000,000
(\$84,760,000) COMMON STOCK. (FILE 33-32775 - JAN. 05) (BR. 8)
- S-1 CISCO SYSTEMS INC, 1350 WILLOW RD, MENLO PARK, CA 94025 (415) 326-1941 - 410,000
(\$6,355,000) COMMON STOCK. 2,810,000 (\$43,555,000) COMMON STOCK. UNDERWRITER:
MORGAN STANLEY & CO INC, SMITH BARNEY HARRIS UPHAM & CO INC. (FILE 33-32778 - JAN. 05)
(BR. 10 - NEW ISSUE)
- N-1A MANAGED MUNICIPAL FUND INC, 1290 AVE OF THE AMERICAS, NEW YORK, NY 10104
(212) 468-5000 - INDEFINITE SHARES. UNDERWRITER: LAWRENCE C J MORGAN GRENFELL INC.
(FILE 33-32819 - JAN. 08) (BR. 16 - NEW ISSUE)
- S-3 MCDONALDS CORP, ONE MCDONALDS PLZ, OAK BROOK, IL 60521 (708) 575-3000 - 400,000,000
(\$400,000,000) STRAIGHT BONDS. (FILE 33-32823 - JAN. 10) (BR. 12)
- S-8 BANCTEC INC, 4435 SPRING VALLEY RD, DALLAS, TX 75244 (214) 450-7700 - 200,000
(\$3,100,000) COMMON STOCK. (FILE 33-32824 - JAN. 10) (BR. 10)
- S-8 SIFCO INDUSTRIES INC, 970 E 64TH ST, CLEVELAND, OH 44103 (216) 881-8600 - 150,000
(\$2,081,250) COMMON STOCK. (FILE 33-32826 - JAN. 10) (BR. 10)
- N-1A ALLIANCE STRATEGIC MULTI MARKET TRUST INC, 1345 AVE OF THE AMERICAS, NEW YORK, NY
10105 (800) 221-5672 - INDEFINITE SHARES. UNDERWRITER: ALLIANCE FUND DISTRIBUTORS INC.
(FILE 33-32842 - JAN. 03) (BR. 16 - NEW ISSUE)
- S-1 FOUNTAIN PHARMACEUTICALS INC, 14900 LANDMARK BOULEVARD SUITE 300, DALLAS, TX 75240
(214) 934-3828 - 1,437,500 (\$5,750,000) COMMON STOCK. 11,500,000 (\$17,250,000)
COMMON STOCK. 125,000 (\$125) WARRANTS, OPTIONS OR RIGHTS. 125,000 (\$600,000)
COMMON STOCK. 1,000,000 (\$1,500,000) COMMON STOCK. UNDERWRITER: BLAIR DH & CO INC.
(FILE 33-32844 - JAN. 04) (BR. 4 - NEW ISSUE)
- N-1A ADVANTAGE MUNICIPAL BOND FUND, 60 STATE ST, BOSTON, MA 02109 (617) 742-5900 -
INDEFINITE SHARES. (FILE 33-32846 - JAN. 04) (BR. 17 - NEW ISSUE)
- S-1 MOUNTAIN CREDIT CORP, 2937 PARIS PIKE P O BOX 14037, LEXINGTON, KY 40512
(606) 299-5246 - 4,500,000 (\$4,500,000) OTHER SECURITIES INCLUDING VOTING TRUST.
500,000 (\$500,000) OTHER SECURITIES INCLUDING VOTING TRUST. (FILE 33-32851 - JAN. 04)
(BR. 11 - NEW ISSUE)
- S-1 DATRONIC EQUIPMENT INCOME FUND XIX L P, 425 NORTH MARTINGALE ROAD 18TH FLOOR,
SCHAUMBURG, IL 60173 (708) 330-1050 - 200,000 (\$100,000,000)
LIMITED PARTNERSHIP CERTIFICATE. (FILE 33-32858 - JAN. 08) (BR. 5 - NEW ISSUE)
- S-3 OKLAHOMA GAS & ELECTRIC CO, 321 N HARVEY AVE, OKLAHOMA CITY, OK 73102 (405) 272-3000
- 110,000,000 (\$110,000,000) MORTGAGE BONDS. (FILE 33-32870 - JAN. 09) (BR. 8)
- S-8 PIEDMONT MANAGEMENT CO INC, 80 MAIDEN LANE, NEW YORK, NY 10038 (212) 363-4650 -
300,000 (\$4,050,000) COMMON STOCK. (FILE 33-32871 - JAN. 09) (BR. 9)
- N-1A WITTER DEAN PRECIOUS METALS & MINERALS TRUST, TWO WORLD TRADE CNTR, NEW YORK, NY
10048 (212) 392-1600 - INDEFINITE SHARES. UNDERWRITER: DEAN WITTER REYNOLDS INC.
(FILE 33-32872 - JAN. 09) (BR. 16 - NEW ISSUE)
- S-1 MCCAW CELLULAR COMMUNICATIONS INC, 5400 CARILLON POINT, KIRKLAND, WA 98033
(206) 827-4500 - 10,625,000 (\$425,000,000) COMMON STOCK. (FILE 33-32874 - JAN. 09)
(BR. 7)
- S-8 JOHNSON & JOHNSON, ONE JOHNSON & JOHNSON PLZ, NEW BRUNSWICK, NJ 08933 (201) 524-2483
- 23,000,000 (\$23,000,000) OTHER SECURITIES INCLUDING VOTING TRUST. 384,937
COMMON STOCK. (FILE 33-32875 - JAN. 09) (BR. 4)

ACQUISITION OF SECURITIES

Companies and individuals must report to the Commission within ten days on Schedule 13D if after the acquisition of equity securities of a public company their beneficial interest therein exceeds five percent. Persons eligible to use the short form (Schedule 13G) may in lieu of filing a Schedule 13D file a Schedule 13G within 45 days after the end of the calendar year in which the person became subject to Section 13(d)(1). Companies and individuals making a tender offer must have on file at the time the tender offer commences a Schedule 14D-1.

Below is a list of recent filings of Schedules 13D and 14D, which includes the following information: Column 1 - the company purchased (top), and the name of the purchaser; Column 2 - the type of security purchased; Column 3 - the type of form filed; Column 4 - the date the transaction occurred; Column 5 - the current number of shares (in 000's) owned (top) and the current percent owned; Column 6 - the CUSIP number (top) and the percent owned; and Column 7 - the status of the filing, i.e., new, update or revision.

NAME AND CLASS OF STOCK/OWNER	FORM	EVENT DATE	SHRS (000)/% OWNED	CUSIP/PRIOR%	FILING STATUS
C P OVERSEAS INC SOCIETE. SUISSE DE CIMENT	COM 13D	12/13/89	7,200 76.2	12591410 50.8	UPDATE
CARVER CORP WASH FIDELITY INTL LTD ET AL	COM 13D	12/29/89	178 4.9	14688110 4.6	UPDATE
CARVER CORP WASH KELSO MANAGEMENT CO ET AL	COM 13D	1/ 3/90	161 4.4	14688110 3.5	UPDATE
CIBOLA ENERGY CORP BROWN NORMAN K TRUSTEE ET AL	COM 13D	12/29/89	260 5.3	17163310 5.2	UPDATE
CIPRICO INC INTERPHASE CORP	COM 13D	1/10/90	0 0.0	17252910 N/A	UPDATE
CROSS & TRECKER CORP HENLEY GRP	COM 13D	4/19/89	0 0.0	22743010 8.1	UPDATE
CROWN CORK & SEAL INC 169077 CANADA INC	COM 13D	12/29/89	2,536 9.7	22825510 0.0	RVSION
MCORP CUNBILL PETER & ASSOC LTD	PFD CONV 13D	12/ 8/89	115 12.0	55299020 8.1	UPDATE
MASSBANK CORP READ MASS KILKENNY PARTNERS ET AL	COM 13D	12/19/89	249 8.8	57615210 9.8	UPDATE
MUSCOCHO EXPLS LTD ECHO BAY MINES	COM 13D	12/28/89	11,212 36.2	62738910 34.8	UPDATE
PATTEN CORP GRACE BROS ET AL	COM 13D	1/ 9/90	1,257 7.4	70336510 6.2	UPDATE
PITTMAY CORP GAMCO INVESTORS INC ET AL	COM 13D	1/ 3/90	440 16.8	72578610 29.0	RVSION

ACQUISITIONS REPORTS CONT.

PITTMAY CORP GAMCO INVESTORS INC ET AL	CL A	13D	1/ 3/90	3,043 27.3	72579020 0.0	NEW
POP RADIO CORP HUSSEY ROBERT F	COM	13D	12/16/89	193 5.9	73281710 4.4	UPDATE
PRIME BANCORP INC BRAUPOST LTD PARTNERSHIP	COM	13D	1/ 4/90	62 4.5	74191410 9.4	UPDATE
QED EXPL INC SIETE OIL GAS CORP	COM	14D-1	1/11/90	320 14.5	74790220 2.3	UPDATE
REGAL INTL INC NEWPORT INVESTMENTS	COM	13D	12/27/89	8,000 36.4	75881910 36.4	UPDATE
SAFEGUARD SCIENTIFICS INC BRAUPOST LTD PARTNERSHIP	COM	13D	1/ 3/90	568 11.0	78644910 9.4	UPDATE
SCAN OPTICS INC PROSPECT CO	COM	13D	11/27/89	0 0.0	80589410 7.2	UPDATE
SCHEIB EARL INC DRIDN CAP CORP ET AL	COM	13D	12/18/89	379 8.3	80639810 7.2	UPDATE
STANDARD MICROSYSTEMS CORP MICRON TECH	COM	13D	1/10/90	1,301 11.4	85362610 14.3	UPDATE
SUPREME EQUIP & SYS CORP TWEEDY BROWNE CO L P ET AL	COM	13D	1/ 2/90	107 10.0	86859610 11.5	UPDATE
THREE D DEPTS INC FIDELITY INTL LTD ET AL	CL B	13D	12/15/89	150 10.3	88553910 13.3	UPDATE
THREE ROSES CO FOUR D ACQUISITION	COM	13D	1/ 3/90	30,000 88.9	88575510 0.0	NEW
TOKHEIM CORP STATE OF WISCONSIN INVEST BD	COM	13D	12/28/89	349 5.5	88907310 4.7	UPDATE
TOWN & COUNTRY CORP RELIANCE FINANCIAL SVCS CORP	CL A	13D	1/ 8/90	0 0.0	89202710 11.9	UPDATE
UTL CORP DESAI CAPITAL MGMT INC ET AL	COM	13D	7/ 5/88	402 91.5	90339010 100.0	UPDATE
UNIONFED FINL CORP DESAI CAPITAL MGMT INC ET AL	COM	13D	10/ 6/89	334 4.4	90906210 8.3	UPDATE
WHEELABRATOR TECH INC NEW HENLEY GRP	COM NEW	13D	12/31/89	2,950 7.3	96290120 7.3	UPDATE
WYNNS INTL INC WYNN CARL E ESTATE OF	COM	13D	12/28/89	69 1.8	98319510 15.5	UPDATE
WYNNS INTL INC WYNN FOUNDATION	COM	13D	12/28/89	533 13.9	98319510 0.0	NEW

ACQUISITIONS REPORTS CONT.

NAME AND CLASS OF STOCK/OWNER	FORM	EVENT DATE	SHRS (000) / %OWNED	CUSIP / PRIOR%	FILING STATUS
UNITED INNS INC GAMCO INVESTORS INC ET AL	COM 13D	1/ 9/90	423 16.0	91068810 14.9	UPDATE
UNITED SEC FINL CORP ILL CAPITALCORP INC ET AL	COM 13D	12/28/89	7,738 88.6	91141810 88.2	UPDATE
VESTRO FOODS INC SCOTTISH INVMNT TR PLC	COM 13D	12/27/89	1,667 10.9	92599210 0.0	NEW
WESPAC INVS TR INTERNATIONAL HEALTH PRODS	SH BEN INT 13D	1/ 2/90	4,364 44.2	95103010 0.0	NEW
WESPAC INVS TR SYNTEK FINANCE CORP	SH BEN INT 13D	1/ 2/90	0 0.0	95103010 N/A	UPDATE
ADAC LABS DEINHAMMER ROBERT L	COM 13D	12/ 6/89	968 2.3	00531310 0.0	NEW
ADVANCE DISPLAY TECHNOLOGIES FIBERMEDIA CORP	COM 13D	12/12/89	5,000 11.7	00742210 0.0	NEW
AMERICAN INTL PETROLEUM CORP ROUHANA WILLIAM J JR ET AL	COM 13D	12/29/89	5,427 23.6	02690920 4.9	UPDATE
AMERICAN REP BANCORP CASTROGIOVANNI RAIMONDO	COM 13D	11/24/89	118 7.6	02924310 8.8	UPDATE
AMERICAN SHIP BLDG CO STEINBRENNER GEORGE M III	COM 13D	12/27/89	875 13.1	02960910 13.9	UPDATE
BSB BANCORP GENERAL EQUITIES ET AL	COM 13D	1/ 5/90	209 6.7	05565210 0.0	NEW
BINKS MFG CO FIDELITY INTL LTD	COM 13D	12/27/89	0 0.0	09052710 5.9	UPDATE
BINKS MFG CO INTEGRITY FUND INC ET AL	COM 13D	12/22/89	58 2.0	09052710 0.0	NEW
BINKS MFG CO KELSO MANAGEMENT CO ET AL	COM 13D	11/24/89	184 6.3	09052710 0.0	NEW
BUILDERS TRANSPORT INC GAY CHARLES CLARK	COM 13D	12/21/89	218 4.5	12008410 4.5	UPDATE
C P OVERSEAS INC SOCIETE SUISSE DE CIMENT	COM 13D	12/13/89	7,200 76.2	12591410 50.8	UPDATE
CARVER CORP WASH FIDELITY INTL LTD ET AL	COM 13D	12/29/89	178 4.9	14688110 4.6	UPDATE
CARVER CORP WASH KELSO MANAGEMENT CO ET AL	COM 13D	1/ 3/90	161 4.4	14688110 3.5	UPDATE
CIBOLA ENERGY CORP BROWN NORMAN K TRUSTEE ET AL	COM 13D	12/29/89	260 5.3	17163310 5.2	UPDATE

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CROSS & TRECKER CORP HENLEY GRP	COM	13D	4/19/89	0 0.0	22743010 8.1	UPDATE
CROWN CORK & SEAL INC 169077 CANADA INC	COM	13D	12/29/89	2,536 9.7	22825510 0.0	RVSIDM
CROWN RES CORP ECHO BAY MINES	COM	13D	12/ 1/89	998 8.7	22856910 0.0	NEW
DAMSON OIL CORP SMITH RANDALL D ET AL	COM	13D	12/31/89	43,721 2.9	23576610 1.4	UPDATE
FOREMOST CORP OF AMER FREY DAVID G	COM	13D	12/29/89	391 3.2	34546910 0.0	UPDATE
FOREMOST CORP OF AMER FREY EDWARD J	COM	13D	12/29/89	300 2.5	34546910 2.5	UPDATE
FOREMOST CORP OF AMER FREY FOUNDATION	COM	13D	12/29/89	1,800 15.0	34546910 15.0	UPDATE
FOREMOST CORP OF AMER FREY JOHN M	COM	13D	12/29/89	368 3.1	34546910 3.1	UPDATE
FOREMOST CORP OF AMER NEB GRAND RAPIDS	COM	13D	12/29/89	2,109 17.5	34546910 35.4	UPDATE
FOREMOST CORP OF AMER ROTTSCHAFFER MARY CAROLINE	COM	13D	12/29/89	292 2.4	34546910 7.4	UPDATE
HAL INC HAL ACQUISITION	COM	13D	12/29/89	226 10.4	40407310 0.0	NEW
JETRONIC INDS INC JETTAIR HLDGS	COM	14D-1	1/11/90	201 8.2	47717810 8.2	UPDATE
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LARRYS ICE CREAM INC KUMAR ROGER ET AL TRUSTEES	COM	13D	12/29/89	8,371 64.9	51729910 59.5	UPDATE
LEE DATA CORP FIDELITY INTL LTD ET AL	COM	13D	12/20/89	1,150 9.3	52376310 7.6	UPDATE
LEE DATA CORP KELSO MANAGEMENT CO ET AL	COM	13D	12/20/89	30 0.2	52376310 0.0	NEW
LONGVIEW FIBRE CO BASS ROBERT M. ET AL	COM	13D	1/ 9/90	888 8.1	54321310 7.1	UPDATE
MCR ASSOC BERSON MARC E	COM	13D	2/21/89	12,919 36.8	55270920 35.4	UPDATE
MCR ASSOC WASIK VINCENT A	COM	13D	2/21/89	3,494 9.9	55270920 0.0	NEW

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