

SEC NEWS DIGEST

Issue 98-234

December 7, 1998

COMMISSION ANNOUNCEMENTS

SEC FILES AMICUS CURIAE BRIEF ADDRESSING ISSUES CONCERNING THE APPOINTMENT OF MULTIPLE LEAD COUNSEL UNDER THE LITIGATION REFORM ACT

On December 4, the Commission filed a friend of the court brief, at the request of the court, which addresses the issue whether, and under what circumstances, it is appropriate to approve the appointment of multiple lead counsel under the Private Securities Litigation Reform Act of 1995. The Commission took the positions that (1) courts generally should defer to lead plaintiff's choice of counsel (e.g., except in very unusual circumstances, courts should not force a lead plaintiff to retain counsel it has not selected itself); (2) where multiple counsel are proposed, however, particular problems, including lack of ability of the lead plaintiff to supervise counsel and increased attorneys fees, may arise, and the courts should actively exercise their discretion to review proposals for such arrangements; (3) such arrangements may be justified by the need for resources, skill, experience, or expertise necessary to manage the litigation effectively for the class; (4) the court should not rely on, or give weight to, generic asserted benefits of assuring input from more rather than fewer class members or lawyers or avoiding disputes among competing lead plaintiff movants and among their counsel; (5) the presumptive lead plaintiff should provide the court with full information about the structure and intended functioning of the proposed counsel group; (6) the court should provide greater scrutiny where it appears that the selection of counsel is marked by a conflict of interest or based on factors other than the merits of the firms; and (7) the court should place appropriate conditions on the appointment of multiple counsel and indicate a willingness to revisit the issue. [In Re Milestone Scientific Securities Litigation, No. 98-3404, AJL, D.N.J.] (LR-15991)

ENFORCEMENT PROCEEDINGS

COLLATERAL BAR ENTERED AGAINST BETTY RUBIN IN OIL AND GAS PONZI SCHEME

On November 30, 1998, the Commission accepted Betty Ann Rubin's (Rubin) Offer of Settlement wherein, without admitting or denying the Commission's findings, Rubin agrees to the entry of an administrative order barring her from association with any broker,

dealer, municipal securities dealer, investment adviser or investment company. The Order Instituting Administrative Proceeding Pursuant to Sections 15(b) and 19(h) of the Securities Exchange Act of 1934, issued on June 24, 1998, was based upon an Order of Permanent Injunction and Other Relief entered against Rubin in the United States District Court for the Central District of California on March 5, 1998.

The Commission's complaint in the District Court action alleged that Rubin, the sole owner and president of Lazar Frederick & Company (Lazar), a registered broker-dealer, misused and misappropriated investor funds through the fraudulent offer and sale of 29 oil and gas limited partnerships from May 1993 through December 1995. Rubin, through Lazar, raised \$35,534,000 from more than 2,000 investors in this alleged Ponzi-like scheme. The complaint further alleged that Lazar sales agents misrepresented that the partnerships had paid investors between 10% and 12% annually from partnership oil and gas revenue when in fact a large portion of the returns came from other investors' money.

The District Court judgment permanently enjoined Respondent Rubin from violations of Sections 5(a) and 17(a) of the Securities Act of 1933 and Sections 10(b) and 15(c) of the Securities Exchange Act of 1934, and Rules 10b-5 and 15c1-2 thereunder. [SEC v. KS Resources, Civil Action No. 95-8608 WDK, AJWx, C.D. Cal.] (LR-14766, 14866, 15331, 15525, 15567, 15574, 15668, 15713)

FINAL JUDGEMENT AGAINST JASON WALL

The Commission announced today that Jason S. Wall has consented to the entry of a final judgment permanently enjoining him from committing securities fraud. The final judgment has been submitted for approval to the United States District Court for the Southern District of New York.

The action was commenced on September 4, 1997, when the Commission filed a complaint alleging that Wall had obtained over \$200,000 from a then ongoing fraudulent offering of bogus securities. According to the complaint, Wall -- who held himself out to investors as a securities broker with Rothschild Global Investments, Inc. -- offered and sold supposed "private placements" in well-known companies and promised investors a return of twenty-five to thirty-five percent in eight weeks. The complaint alleged that Wall was not employed by Rothschild during the relevant period, never had access to the so-called private placements and, in fact, never invested the money as he represented he would do. The Commission charged Wall with violating Section 17(a) of the Securities Act of 1933, Section 10(b) of the Securities Exchange Act of 1934, and Rule 10b-5 thereunder. Wall consented to the entry of the final judgment without admitting or denying the allegations in the complaint.

In connection with the conduct charged in the Commission's complaint, Wall also was convicted of one criminal count of securities fraud on May 19, 1998. He was ordered to pay restitution

in the amount of \$425,154, and is currently serving a thirty-month prison sentence at the Allenwood Federal Prison Camp in Montgomery, Pennsylvania.

For further information, see LR-15471. [SEC v. Jason S. Wall, 97 Civ. 6526, JSR, S.D.N.Y.] (LR-15992)

COMMISSION SEEKS ASSET FREEZE AND TEMPORARY RESTRAINING ORDER AGAINST BROKER-DEALER, ITS CHAIRMAN AND AFFILIATED COMPANIES

The Commission announced that on December 3 in the United States District Court for the Southern District of New York it sought a temporary restraining order against The Brownstone Group a/k/a Brownstone Holdings, Inc. (Brownstone Holdings), Brownstone Capital Corp. (Brownstone Capital), Blackstone Entertainment Group (Blackstone Entertainment) and Gregory Cooper. The complaint seeks to freeze the defendants' assets, temporarily restrain the defendants from violating the antifraud provisions of the securities laws and appoint a Receiver for the three corporate defendants. The application for a temporary restraining order also seeks to freeze the assets of two relief defendants, Blackstone Unisex and Selene Alverio.

The complaint alleges that the defendants made false and misleading statements to investors in soliciting purchases of Brownstone Holdings and Blackstone Entertainment securities including that (1) the funds would be used for specified business and operational expenses when in fact they were used for other businesses and to pay personal expenses and (2) that an initial public offering was imminent when that was not the case.

In the complaint, the Commission also seeks preliminary and permanent injunctive relief against the defendants from violating the antifraud provisions of the securities laws, an officer and director bar against Cooper, an accounting from all defendants and the relief defendants and disgorgement of their unjust enrichment plus prejudgment interest, as well as civil penalties from the defendants. [SEC v. The Brownstone Group, et al., U.S.D.C., S.D.N.Y.] (LR-15993)

INVESTMENT COMPANY ACT RELEASES

UNUM LIFE INSURANCE COMPANY OF AMERICA, ET. AL.

A notice has been issued giving interested persons until December 28 to request a hearing on an application filed by UNUM Life Insurance Company of America (UNUM), UNUM's VA-I Separate Account, First UNUM Life Insurance Company (First UNUM) and First UNUM's VA-I Separate Account seeking an order pursuant to Section 26(b) of the Investment Company Act that would permit the separate accounts to substitute shares of Fidelity Variable Insurance Products Fund II Asset Manager Portfolio and Fidelity Variable Insurance Products Fund Growth

S-8 AML COMMUNICATIONS INC, 1000 AVENIDA ACASO, CAMARILLO, CA 93012
(805) 388-1345 - 403,414 (\$605,121) COMMON STOCK. (FILE 333-68097 -
NOV. 30) (BR. 7)

S-8 R&B FALCON CORP, 901 THREADNEEDLE, HOUSTON, TX 77079 (281) 496-5000 -
1,052,300 (\$10,753,243.24) COMMON STOCK. (FILE 333-68101 - NOV. 30)
(BR. 4)

S-8 INTERVOICE INC, 17811 WATERVIEW PKWY, DALLAS, TX 75255 (214) 669-3988 -
300,000 (\$7,537,500) COMMON STOCK. (FILE 333-68103 - NOV. 30) (BR. 7)

S-8 STRATEGIC DIAGNOSTICS INC/DE/, 111 PENCADER DR, NEWARK, DE 19702
(302) 456-6789 - 661,157 (\$1,446,281) COMMON STOCK. (FILE 333-68107 -
NOV. 30) (BR. 2)

S-3 AVIGEN INC \DE, 1201 HARBOR BAY PARKWAY, SUITE 1000, ALAMEDA, CA 94501
(510) 748-7150 - 1,435,938 (\$3,500,099) COMMON STOCK. (FILE 333-68117 -
DEC. 01) (BR. 1)

S-8 ANICOM INC, 6133 N RIVER RD, STE 1000, ROSEMONT, IL 60018 (847) 518-8700
- 200,000 (\$2,031,260) COMMON STOCK. (FILE 333-68119 - NOV. 30) (BR. 5)

S-8 INTERNATIONAL NETWORK SERVICES, 1213 INNSBRUCK DRIVE, SUNNYVALE, CA
94089 (408) 542-0100 - 280,254 (\$459,988) COMMON STOCK. (FILE 333-68121 -
DEC. 01) (BR. 3)

S-3 METTLER TOLEDO INTERNATIONAL INC/, IM LANGACHER P O BOX MT-100,
CH 8606 GREIFENSEE, SWITZERLAND, V8 10022 (212) 644-5900 - 6,497,500
(\$147,005,938) COMMON STOCK. (FILE 333-68123 - NOV. 30) (BR. 6)

S-8 WORLD ACCESS INC /NEW/, 945 EAST PACES FERRY ROAD, SUITE 2240, ATLANTA,
GA 30326 (404) 231-2025 - 1,050,000 (\$22,246,875) COMMON STOCK. (FILE
333-68125 - DEC. 01) (BR. 5)

S-3 CIRCLE INTERNATIONAL GROUP INC /DE/, 260 TOWNSEND ST, SAN FRANCISCO, CA
94107 (415) 978-0600 - 154,128 (\$2,730,956) COMMON STOCK. (FILE 333-68127
- DEC. 01) (BR. 5)

S-8 ADAMS GOLF INC, 2801 EAST PLANO PARKWAY, PLANO, TX 75074 (972) 673-9000
- 1,800,000 (\$7,200,000) COMMON STOCK. (FILE 333-68129 - DEC. 01) (BR. 5)

RECENT 8K FILINGS

Form 8-K is used by companies to file current reports on the following events:

- Item 1. Changes in Control of Registrant.
- Item 2. Acquisition or Disposition of Assets.
- Item 3. Bankruptcy or Receivership.
- Item 4. Changes in Registrant's Certifying Accountant.
- Item 5. Other Materially Important Events.
- Item 6. Resignations of Registrant's Directors.
- Item 7. Financial Statements and Exhibits.
- Item 8. Change in Fiscal Year.
- Item 9. Regulation S Offerings.

The following companies have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. 8-K reports may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <public info @ sec>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

| NAME OF ISSUER | STATE | 8K ITEM NO. | | | | | | | | | DATE | COMMENT |
|---|-------|-------------|---|---|---|---|---|---|---|---|----------|---------|
| | CODE | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | | |
| ABN AMRO MORTGAGE CORP | DE | X | | | | | | | | | 11/27/98 | |
| ABN AMRO MORTGAGE CORP | DE | X | | | | | | | | | 11/27/98 | |
| ALICO INC | FL | X | | | | | | | | | 08/31/98 | |
| AMERICAN AIRCARRIERS SUPPORT INC | DE | | | | X | X | | | | | 11/09/98 | |
| AMERICAN AIRCARRIERS SUPPORT INC | DE | | X | | X | X | | | | | 11/19/98 | |
| AMERICAN ASSET MANAGEMENT CORP | NJ | | | X | | | | | | | 11/30/98 | |
| AMERICAN RESIDENTIAL EAGLE INC | DE | | | | X | X | | | | | 11/25/98 | |
| AMERICORP | CA | | | X | | | | | | | 12/01/98 | |
| APOGEE ENTERPRISES INC | MN | | | | X | X | | | | | 12/03/98 | |
| ASSOCIATES CORPORATION OF NORTH AME RICA | DE | | | | | | X | | | | 12/01/98 | |
| AVIATION SALES CO | DE | | | | X | X | | | | | 11/24/98 | |
| BAB HOLDINGS INC | IL | | X | | | | | | | | 11/30/98 | |
| BANC ONE HELOC TRUST 1998-1 | OH | | | | X | X | | | | | 11/30/98 | |
| BEAR STEARNS ASSET BACKED SEC INC A SST BK CERT SER 1998-1 | DE | | | | X | X | | | | | 11/25/98 | |
| BELL ATLANTIC PENNSYLVANIA INC | PA | | | | | | X | | | | 12/02/98 | |
| BELL MICROPRODUCTS INC | CA | | X | | | | | | | | 11/19/98 | |
| BERGER HOLDINGS LTD | PA | | | X | | | | | | | 11/20/98 | AMEND |
| BIG FLOWER PRESS HOLDINGS INC /PRED / | DE | | | | X | X | | | | | 12/02/98 | |
| BIOFIELD CORP \DE\ | DE | | | | X | X | | | | | 12/02/98 | |
| BLOCK MORTGAGE FINANCE ASSET BACKED CERTIFICATES SER 1998-1 | DE | | | | X | X | | | | | 11/25/98 | |
| BLOCK MORTGAGE FINANCE INC ASSET BA CKED CERT SERIES 1998 2 | NY | | | | X | X | | | | | 11/25/98 | |
| BLYTH INDUSTRIES INC | DE | | | | X | X | | | | | 12/03/98 | |
| BOWL AMERICA INC | MD | | | | X | | | | | | 12/04/98 | |
| BOX USA GROUP INC | | | | | X | X | | | | | 12/01/98 | |
| BOX USA INC | | | | | X | X | | | | | 12/01/98 | |
| CANDIES INC | DE | | | | | X | | | | | 09/24/98 | AMEND |
| CELTIC INVESTMENT INC | DE | | X | | | X | | | | | 12/03/98 | AMEND |
| CENDANT CORP | DE | | | | X | X | | | | | 11/30/98 | |
| CHASE MANHATTAN BANK /NY/ CHASE MANHATTAN BANK /NY/ CHASE MANHATTAN BANK /NY/ CHASE MANHATTAN GRANTOR TRUST 1995- A | NY | | | | X | X | | | | | 11/16/98 | |
| CHASE MANHATTAN GRANTOR TRUST 1995- B | NY | | | | X | X | | | | | 11/16/98 | |
| CHASE MANHATTAN GRANTOR TRUST 1996- A | NY | | | | X | X | | | | | 11/16/98 | |
| COMPREHENSIVE CARE CORP | DE | | | | X | | | | | | 12/04/98 | |
| CONTISECURITIES ASSET FUNDING CORP | DE | | | | X | X | | | | | 12/01/98 | |
| CONVERGYS CORP | OH | | | | X | | | | | | 11/19/98 | |
| COUNTRYWIDE HOME EQUITY LOAN TRUST 1998-A | CA | | | | X | X | | | | | 11/30/98 | |
| COUNTRYWIDE HOME EQUITY LOAN TRUST 1998-B | CA | | | | X | X | | | | | 11/30/98 | |
| COUNTRYWIDE HOME EQUITY LOAN TRUST 1998-C | CA | | | | X | X | | | | | 11/30/98 | |
| COURTYARD BY MARRIOTT LIMITED PARTN ERSHIP | DE | | | | X | X | | | | | 06/24/98 | |
| DAIN RAUSCHER CORP | DE | | | | | X | | | | | 12/03/98 | |
| DATA I/O CORP | WA | | X | | | | | | | | 11/20/98 | |
| DUPONT E I DE NEMOURS & CO | DE | | | | X | | | | | | 12/04/98 | |
| ENHANCED SERVICES CO INC | CO | | | | X | X | X | | | | 11/24/98 | |
| FARNSWORTH BANCORP INC | NJ | | | X | | | | | | | 10/20/98 | |
| FIBERSTARS INC /CA/ FIELDS MRS ORIGINAL COOKIES INC | CA | | X | | | | | | | | 11/19/98 | |
| | DE | | X | | | | X | | | | 12/04/98 | |

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| FIRST UNION COMMERCIAL MORTGAGE SEC URITIES INC | DE | | | | | | | | | X | | 11/18/98 | |
| FITZGERALDS GAMING CORP | NV | | | | | X | | | | | | 10/29/98 | |
| FOOTSTAR INC | DE | | | | X | X | | | | | | 12/03/98 | |
| FOUR M CORP | MD | | | | X | X | | | | | | 12/01/98 | |
| FOUR M MANUFACTURING GROUP OF GEORGIA INC | | | | | X | X | | | | | | 12/01/98 | |
| FOUR M PAPER CORP | | | | | X | X | | | | | | 12/01/98 | |
| FPA MEDICAL MANAGEMENT INC | DE | | | | X | | | | | | | 08/18/98 | AMEND |
| FUTURE PETROLEUM CORP/UT/ | UT | | X | | | X | | | | | | 11/19/98 | |
| GLOBECOMM SYSTEMS INC | | | | | X | X | | | | | | 12/03/98 | |
| GREEN TREE FINANCIAL CORP | DE | | | | | X | | | | | | 12/02/98 | |
| GREEN TREE FINANCIAL CORP | DE | | | | | X | | | | | | 12/04/98 | |
| GREENWICH CAP ACCEPT INC SEQU MORT TR 3 MORT LN AS BCK CERT | DE | | | | X | X | | | | | | 11/25/98 | |
| HARRAHS ENTERTAINMENT INC | DE | | | | X | X | | | | | | 12/04/98 | |
| HELEN OF TROY LTD | | | | | X | X | | | | | | 12/01/98 | |
| HIBERNIA CORP | LA | X | | | | | | | | | | 12/04/98 | |
| HIGHWOODS PROPERTIES INC | MD | | | | X | | | | | | | 11/30/98 | |
| HIGHWOODS REALTY LTD PARTNERSHIP | NC | | | | X | | | | | | | 11/30/98 | |
| HORIZON MEDICAL PRODUCTS INC | GA | | X | | | X | | | | | | 12/04/98 | AMEND |
| IMC SECURITIES INC | DE | | | | X | X | | | | | | 12/02/98 | |
| INCYTE PHARMACEUTICALS INC | DE | | | | | X | | | | | | 09/21/98 | AMEND |
| INFORMIX CORP | DE | | | | | | | X | | | | 11/25/98 | |
| INTERCARGO CORP | DE | | | | X | X | | | | | | 12/04/98 | |
| ITC LEARNING CORP | MD | | | | | X | | | | | | 09/25/98 | AMEND |
| LA JOLLA PHARMACEUTICAL CO | DE | | | | X | X | | | | | | 11/19/98 | |
| LEC TECHNOLOGIES INC | DE | X | | | | X | | | | | | 11/20/98 | |
| LOGILITY INC | GA | | | | X | X | | | | | | 11/19/98 | |
| MCKESSON CORP | DE | | | | X | | | | | | | 12/03/98 | |
| MENLEY & JAMES INC | DE | | X | | X | X | | | | | | 11/23/98 | |
| MERIDIAN INDUSTRIAL TRUST INC | MD | | | | X | X | | | | | | 12/04/98 | |
| METROMEDIA FIBER NETWORK INC | DE | | | | X | X | | | | | | 11/20/98 | |
| MIAMI SUBS CORP | FL | X | | | | | | | | | | 11/25/98 | |
| MIM CORP | DE | | | | X | | | | | | | 11/24/98 | |
| MPEL HOLDINGS CORP | NY | | | | X | | | | | | | 12/04/98 | |
| MUTUAL BENEFIT CHICAGO MARRIOTT SUITE HOTEL PARTNERS L P | RI | | | | X | X | | | | | | 11/25/98 | |
| NATIONAL REGISTRY INC | DE | | | | X | X | | | | | | 11/30/98 | |
| NATIONSBANC MONTGOMERY FUNDING CORP | DE | | | | | X | | | | | | 11/25/98 | |
| NATIONSBANC MONTGOMERY FUNDING CORP | DE | | | | | X | | | | | | 11/25/98 | |
| NATIONSBANC MONTGOMERY FUNDING CORP | NY | | | | | X | | | | | | 11/25/98 | |
| MR PS TH CT SR 1998-03 | | | | | | | | | | | | | |
| NATURAL HEALTH TRENDS CORP | FL | | X | | X | | | | | | | 11/24/98 | |
| NCC INDUSTRIES INC | DE | | | | X | X | | | | | | 12/01/98 | |
| NFO WORLDWIDE INC | DE | | X | | X | X | | | | | | 11/20/98 | |
| NORLAND MEDICAL SYSTEMS INC | DE | | | | X | | | | | | | 11/30/98 | |
| NORWEST ASSET SEC CORP MORT PASS TH R CERT SER 1998 23 TRUST | NY | | | | | X | | | | | | 11/25/98 | |
| NORWEST ASSET SEC CORP MORT PASS TH R CERT SER 1998 24 TRUST | NY | | | | | X | | | | | | 11/25/98 | |
| NORWEST ASSET SEC CORP MORT PASS TH R CERT SER 1998-15 TRUST | DE | | | | | X | | | | | | 11/25/98 | |
| NORWEST ASSET SEC CORP MORT PASS TH R CERT SER 1998-16 TRUST | DE | | | | | X | | | | | | 11/25/98 | |
| NORWEST ASSET SEC CORP MORT PASS TH R CERT SER 1998-17 TRUST | NY | | | | | X | | | | | | 11/25/98 | |
| NORWEST ASSET SEC CORP MORT PASS TH R CERT SER 1998-18 TRUST | NY | | | | | X | | | | | | 11/25/98 | |

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| NORWEST ASSET SEC CORP MORT PASS TH NY R CERT SER 1998-19 TRUST | NY | | | | | | | | | X | | 11/25/98 | |
| NORWEST ASSET SEC CORP MORT PASS TH NY R CERT SER 1998-20 TRUST | NY | | | | | | | | | X | | 11/25/98 | |
| NORWEST ASSET SEC CORP MORT PASS TH NY R CERT SER 1998-21 TRUST | NY | | | | | | | | | X | | 11/25/98 | |
| NORWEST ASSET SEC CORP MORT PASS TH NY R CERT SER 1998-22 TRUST | NY | | | | | | | | | X | | 11/25/98 | |
| NORWEST ASSET SEC CORP MORT PASS TH R CERT SER 1998-26 TRUST | | | | | | | | | | X | | 11/25/98 | |
| NORWEST ASSET SEC CORP MORT PASS TH R CERT SER 1998-27 TRUST | | | | | | | | | | X | | 11/25/98 | |
| NORWEST ASSET SEC CORP MORT PASS TH R CERT SER 1998-28 TRUST | | | | | | | | | | X | | 11/25/98 | |
| NORWEST ASSET SECURITIES CORP MOR P NY AS TH CERT SER 1998-12 TR | NY | | | | | | | | | X | | 11/25/98 | |
| NORWEST ASSET SECURITIES CORP MOR P NY AS TH CERT SER 1998-13 TR | NY | | | | | | | | | X | | 11/25/98 | |
| NORWEST ASSET SECURITIES CORP MOR P NY AS TH CERT SER 1998-14 TR | NY | | | | | | | | | X | | 11/25/98 | |
| NORWEST INTEG STRUC ASS INC MORT PA NY SS THR CERT SER 1998 2 TR | NY | | | | | | | | | X | | 11/25/98 | |
| NORWEST INTEGRATED STRUCT ASSETS IN NY C PASS THRU SER 1998-1 TR | NY | | | | | | | | | X | | 11/25/98 | |
| NUMED HOME HEALTH CARE INC NV | NV | | | | | | | X | X | | | 11/23/98 | |
| NUMED HOME HEALTH CARE INC NV | NV | | | | | X | | X | | | | 12/01/98 | |
| OCWEN MORTGAGE LOAN ASSET BACKED CE DE RT SERIES 1998 OFS1 | DE | | | | | | | | | X | | 11/25/98 | |
| OCWEN MORTGAGE LOAN ASSET BACKED CE DE RT SERIES 1998-OFS2 | DE | | | | | | | | | X | | 11/25/98 | |
| OCWEN MORTGAGE LOAN ASSET BACKED CE RT SERIES 1998-OFS3 | | | | | | | | | | X | | 11/25/98 | |
| OSICOM TECHNOLOGIES INC NJ | NJ | X | | | | | | | | | | 12/03/98 | |
| PAGE PACKAGING CORP | | | | | X | X | | | | | | 12/01/98 | |
| PERITUS SOFTWARE SERVICES INC MA | MA | | | | X | X | | | | | | 11/25/98 | |
| PERITUS SOFTWARE SERVICES INC MA | MA | | | | X | X | | | | | | 12/02/98 | |
| PHOTOGEN TECHNOLOGIES INC NV | NV | | | | X | X | | | | | | 12/01/98 | |
| POSITRON CORP TX | TX | | X | | | | | | | | | 12/04/98 | |
| POTOMAC HOTEL LTD PARTNERSHIP DE | DE | | | | X | X | | | | | | 06/12/98 | |
| PRECISION CASTPARTS CORP OR | OR | | | | X | X | | | | | | 11/04/98 | |
| PROFIT RECOVERY GROUP INTERNATIONAL GA INC | GA | | | | X | | | | | | | 12/04/98 | |
| QAD INC DE | DE | | X | | | | | X | | | | 11/17/98 | |
| QUEEN SAND RESOURCES INC DE | DE | | | | X | X | | | | | | 11/24/98 | |
| QWEST COMMUNICATIONS INTERNATIONAL DE INC | DE | | | | X | | | | | | | 12/02/98 | |
| RECOTON CORP NY | NY | | | | X | X | | | | | | 11/30/98 | |
| REPUBLIC BANCORP INC MI | MI | | | | X | X | | | | | | 12/01/98 | |
| RESIDENTIAL ASSET FUNDING CORP NC | NC | | | | X | X | | | | | | 12/03/98 | |
| SAKS INC TN | TN | | | | X | X | | | | | | 11/25/98 | |
| SALOMON BR MOR SEC VII INC SERIES 1 DE 998-11 | DE | | | | | | | | | X | | 11/25/98 | |
| SALOMON BROTHERS MORT SEC VII INC A DE SSET BK CERT SER 1998-AQ1 | DE | | | | | | | | | X | | 11/25/98 | |
| SANDWICH BANCORP INC DE | DE | | X | | | | | X | | | | 12/04/98 | |
| SEAWAY FOOD TOWN INC OH | OH | | | | | X | | | | | | 12/04/98 | |
| SECURED INVESTMENT RESOURCES FUND L KS P | KS | | X | | | | | | | | | 08/27/97 | |
| SEROLOGICALS CORP DE | DE | | | | X | X | | | | | | 12/02/98 | |
| SHURGARD STORAGE CENTERS INC WA | WA | | | | X | X | | | | | | 12/03/98 | |

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| | CODE | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | | |
| SOUTHERN PACIFIC SE AS COR MT LN AS BK PA TH CE SE 1998-1 TR | NY | | | | | | | | X | | 11/25/98 | |
| SOUTHERN PACIFIC SECURED ASSETS COR P | CA | | | | | | | | X | | 11/25/98 | |
| SOUTHERN PACIFIC SECURED ASSETS COR P | CA | | | | | | | | X | | 11/25/98 | |
| STATION CASINOS INC | NV | | | | | | X | | | | 11/06/98 | |
| STRUCTURAL DYNAMICS RESEARCH CORP / OH/ | OH | X | | | | | | X | | | 12/04/98 | |
| STRUCTURED ASSET MORTGAGE INVESTMEN TS INC | DE | | | | | | | | X | | 11/30/98 | |
| STRUCTURED ASSET SEC CORP MORT PASS THR CERTS SERIES 1998-8 | DE | | | | | | | | X | | 11/25/98 | |
| STRUCTURED ASSET SEC CORP MORT PASS THRO CERT SERIES 1998-5 | DE | | | | | | | | X | | 11/25/98 | |
| STRUCTURED ASSET SECURITIES CORP | DE | | | | | X | X | | | | 11/20/98 | |
| SUMMIT ENVIRONMENTAL CORP INC | TX | X | | | | | | X | | | 12/02/98 | |
| SUNRISE TECHNOLOGIES INTERNATIONAL INC | DE | | | | | | | X | | | 12/04/98 | |
| TIERS ASSET BACKED SECURITIES SER C HAMT TR 1997-7 | DE | | | | | | | | X | | 12/01/98 | |
| TIG HOLDINGS INC | DE | | | | | X | X | | | | 12/03/98 | |
| TIPPERARY CORP | TX | | | | | X | X | | | | 11/18/98 | |
| TRANSCONTINENTAL REALTY INVESTORS I NC | NV | X | | | | | | X | | | 10/20/98 | |
| UNION PACIFIC RESOURCES GROUP INC | UT | | | | | X | | | | | 11/22/98 | |
| UNITED INVESTORS REALTY TRUST | TX | X | | | | | | | | | 09/22/98 | AMEND |
| UNIVERSAL CORP /VA/ | VA | | | | | X | X | | | | 12/03/98 | |
| UNIVIEW TECHNOLOGIES CORP | TX | | | | | X | X | | | | 12/01/98 | |
| UTILX CORP | DE | | | | | X | X | | | | 12/02/98 | |
| VANGUARD CELLULAR SYSTEMS INC | NC | | | | | X | X | | | | 12/03/98 | |
| XETA CORP | OK | | | | | | | X | | | 09/18/98 | AMEND |
| ZOMAX OPTICAL MEDIA INC | MN | | | | | X | X | | | | 12/02/98 | |