

SEC NEWS DIGEST

Issue 98-181

September 18, 1998

ENFORCEMENT PROCEEDINGS

COMMISSION ISSUES ORDER INSTITUTING CEASE AND DESIST PROCEEDINGS, MAKING FINDINGS, AND IMPOSING REMEDIAL RELIEF AGAINST STANLEY BERK, BAY AREA MANAGEMENT, ARMOR PENSION MANAGERS, AND MARINE MIDLAND BANK

On September 16, the Commission instituted, and simultaneously settled, public cease and desist proceedings pursuant to Section 8A of the Securities Act of 1933 (Securities Act) and Section 21C of the Securities Exchange Act of 1934 (Exchange Act), against Stanley Berk, Bay Area Management and Armor Pension Managers, two limited partnerships in which Berk was a general partner, and against Marine Midland Bank.

Berk, Bay Area, Armor and Marine Midland consented to the entry of an Order Making Findings and Imposing Remedial Relief (Order) that finds that from October 1992 through May 1993, Berk, Bay Area and Armor violated Section 7(f) of the Exchange Act and Regulation X promulgated by the Federal Reserve Board by causing Marine Midland and another bank to extend credit in violation of Regulation U promulgated by the Federal Reserve Board. The Order finds that Berk, Bay Area and Armor purchased securities through various broker-dealers without sufficient funds on deposit to pay for such transactions in their clearance accounts at Marine Midland and the other bank. The Order also finds that Berk, Bay Area and Armor violated Section 17(a) of the Securities Act, Section 10(b) of the Exchange Act and Rule 10b-5 thereunder, by failing to disclose their credit violations to the broker-dealers. The Order directs Berk, Bay Area, Armor and Marine Midland to cease and desist from further violating and/or causing violations of the above provisions of the securities laws and regulations of the Federal Reserve Board, directs Marine Midland to pay disgorgement and interest in the amount of \$29,889, and directs Berk, Bay Area and Armor to pay disgorgement and interest, but waives payment by Berk, Bay Area and Armor based on their demonstrated inability to pay. (Rels. 33-7580; 34-40444; File No. 3-9706)

ENFORCEMENT ACTIONS AGAINST THOMAS CASEY, BEVERLY JOHNSTON, NICK AVILA AND AUDRE RECOGNITION SYSTEMS, INC.

The Commission announced the filing of a civil injunctive action against Thomas F. Casey, the chairman and CEO of Audre Recognition Systems, Inc., a San Diego-based software company. The complaint

alleges that Casey ordered fraudulent nondisclosures in Audre's filings with the Commission, directed the falsification of corporate records, and made materially false statements to Audre's auditors in 1993 and 1994. The Commission's complaint seeks an order enjoining Casey from further violations of Section 17(a) of the Securities Act, Sections 10(b) and 13(b)(5) of the Exchange Act, and Rules 10b-5, 13b2-1, and 13b2-2, as well as the imposition of civil monetary penalties. Without admitting or denying the allegations in the Commission's complaint, Casey has agreed to a settlement whereby he would be fully enjoined by an order of the court but would not pay civil monetary penalties in view of his demonstrated financial inability to pay.

Separately, the Commission instituted a cease and desist proceeding against Beverly E. Johnston, Audre's former executive vice president, Nick R. Avila, Audre's former senior accountant, and Audre alleging that the respondents violated or caused violations of the antifraud, recordkeeping, reporting, and internal control provisions of the securities laws. Simultaneous with the institution of the proceeding, the Commission accepted offers of settlement whereby Audre, Johnston, and Avila, without admitting or denying the Commission's findings, consented to the entry of the Order requiring them to cease and desist from committing or causing the violations. (Administrative Proceeding in the Matter of Audre Recognition Systems, et al. - Rel. 34-40446, AAE Rel. 1076, File No. 3-9707); [SEC v. Thomas F. Casey, 1:98CV02214, TFH, D.D.C.] (LR-15884; AAE Rel. 1077)

SEC OBTAINS JUDGMENTS AGAINST FOUR MORE DEFENDANTS IN PILLSBURY INSIDER TRADING CASE

The Commission announced that it has obtained summary judgments against two defendants and settled judgments against two others in its insider trading case arising from the 1988 tender offer by Grand Metropolitan PLC for The Pillsbury Company. Granting in substantial part the Commission's motion for summary judgment against defendants Robert Falbo and Lorre Meade, U.S. District Judge Peter K. Leisure ruled that Falbo would be enjoined from violating Sections 10(b) and 14(e) of the Securities Exchange Act of 1934 and Rules 10b-5 and 14e-3 thereunder, and that both Falbo and Meade must disgorge their illicit trading profits and pay civil penalties. Specifically, Falbo was ordered to disgorge \$136,000 plus prejudgment interest and to pay a civil penalty of \$50,000, while Meade was ordered to disgorge \$34,125 plus prejudgment interest and to pay a civil penalty of \$5,000. Defendants Anthony Capricuso and Michael Nardino settled the case, without admitting or denying the allegations of the Commission's complaint, by consenting to entry of final judgments that permanently enjoin them from violating Exchange Act Sections 10(b) and 14(e) and Rules 10b-5 and 14e-3, and that order them to disgorge illicit trading profits of \$301,447 and \$177,317, respectively, plus prejudgment interest. Based on their demonstrated inability to pay, the disgorgement obligations of both Capricuso and Nardino were waived and no civil monetary penalties were imposed against them. [SEC v. Robert Falbo, et al., Civil

PERMANENT INJUNCTION ENTERED AGAINST STEVEN SCHAEFER, AMPG, INC. AND AMERICAN CAPITAL & EQUITY CORPORATION

The Commission announced that on April 7, 1998, the United States District Court for the Middle District of Florida entered a permanent injunction and other equitable relief, by consent, neither admitting nor denying the Commission's allegations, against Steven R. Schaefer (Schaefer), AMPG, Inc. (AMPG), an investment adviser registered with the Commission, and the following Schaefer-controlled corporations: American Capital & Equity Corporation (ACEC), Asset Management & Planning Group, Inc. (Asset Management), Bristol Insurance Group, Inc. (Bristol) and Steven R. Schaefer & Associates, Inc. (SSA).

The permanent injunction enjoins Schaefer, AMPG and ACEC from violations of Section 206 of the Investment Advisers Act, Section 17(a) of the Securities Act of 1933 and Section 10(b) of the Securities Exchange Act of 1934, and Rule 10b-5 thereunder. Schaefer, AMPG, ACEC, Asset Management, Bristol and SSA also consented to the entry of orders imposing an asset freeze. All of these defendants consented to an order requiring them to preserve records and to provide an accounting. Other relief, including determining the appropriateness and the amount of civil money penalties against Schaefer, AMPG and/or ACEC, and the appropriateness and the amount of disgorgement to be made by Schaefer, AMPG, ACEC, Asset Management, Bristol and/or SSA is pending. [SEC v. Steven Schaefer, AMPG, Inc., et al., USDC, MD Fla., Civil Action No. 98-343-CIV-ORL-22A] (LR-15886)

INVESTMENT COMPANY ACT RELEASES

THE AUSTRIA FUND, INC., ET AL.

A notice has been issued giving interested persons until October 13, 1998, to request a hearing on an application filed by The Austria Fund, Inc., et al. for an order under Section 6(c) of the Investment Company Act that would exempt applicants from Section 19(b) of the Act and Rule 19b-1 under the Act. The order would permit certain registered closed-end investment companies to make periodic capital gains distributions with respect to their common stock pursuant to a distribution policy. (Rel. IC-23439 - September 17)

SELF-REGULATORY ORGANIZATIONS

PROPOSED RULE CHANGES

The NASD Regulation, Inc. filed with the Commission a proposed rule change (SR-NASD-98-49) relating to NASD Code of Arbitration

Procedure Rule 10335 (Injunctive Relief Rule). On September 9 and 10, 1998, NASD Regulation filed two amendments to the proposed rule change. The proposed rule change would amend the NASD's pilot injunctive relief rule and make it a permanent part of the Code. Publication of the proposal is expected in the Federal Register during the week of September 21. (Rel. 34-40441)

The Depository Trust Company filed a proposed rule change (SR-DTC-98-19) relating to the enhancement of the current link between Deutsche Borse Clearing AG and DTC. Publication of the proposal is expected in the Federal Register during the week of September 21. (Rel. 34-40445; International Series Rel. 1157)

DELISTING GRANTED

An order has been issued granting the application of the Boston Stock Exchange to strike from listing and registration Hauppauge Digital Inc., Common Stock, \$0.01 Par Value. (Rel. 34-40447)

SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

- SB-2 FIRST COMMUNITY BANCSHARES INC /IN, 210 EAST HARRIMAN, BARGERSVILLE, IN
46216 (317) 442-5171 - 344,644 (\$4,170,192.40) COMMON STOCK. 1,000,000
(\$1,000,000) STRAIGHT BONDS. (FILE 333-63239 - SEP. 11) (BR. 7)
- S-1 ARGOSY EDUCATION GROUP INC, FIRST NATIONAL PLAZA,
20 SOUTH CLARK STREET 3RD FLOOR, CHICAGO, IL 60603 (312) 899-9900 -
\$34,500,000 COMMON STOCK. (FILE 333-63241 - SEP. 11) (BR. 8 - NEW ISSUE)
- S-3 WASHINGTON WATER POWER CO, 1411 E MISSION AVE, SPOKANE, WA 99202
(509) 489-0500 - 2,000,000 (\$34,625,000) COMMON STOCK. (FILE 333-63243 -
SEP. 11) (BR. 2)
- S-3 UNIGENE LABORATORIES INC, 110 LITTLE FALLS RD, FAIRFIELD, NJ 07004
(973) 882-0860 - 3,852,500 (\$5,046,775) COMMON STOCK. (FILE 333-63245 -
SEP. 11) (BR. 1)
- S-4 NORWEST CORP, NORWEST CTR, SIXTH & MARQUETTE, MINNEAPOLIS, MN 55479
(612) 667-1234 - 91,000,000 (\$6,958,750,000) COMMON STOCK. (FILE
333-63247 - SEP. 11) (BR. 7)
- S-8 SUMMIT MEDICAL SYSTEMS INC /MN/, 10900 RED CIRCLE DRIVE, SUITE 120,
MINNETONKA, MN 55343 (612) 939-2200 - 500,000 (\$578,125) COMMON STOCK.
(FILE 333-63249 - SEP. 11) (BR. 3)
- S-1 FUTURE MEDIA PRODUCTIONS, FUTURE MEDIA PRODUCTIONS INC,
25136 ANZA DRIVE, VALENCIA, CA 91355 (805) 294-5575 - \$50,000,000
COMMON STOCK. (FILE 333-63251 - SEP. 11) (BR. 2 - NEW ISSUE)

S-3 EQUITY INNS INC, 4735 SPOTTSWOOD STE 102, MEMPHIS, TN 38117
(901) 761-9651 - 696,304 (\$8,118,905) COMMON STOCK. (FILE 333-63253 -
SEP. 11) (BR. 8)

S-3 ALLIANCE PHARMACEUTICAL CORP, 3040 SCIENCE PARK RD, SAN DIEGO, CA 92121
(619) 558-4300 - 1,750,000 (\$6,072,500) COMMON STOCK. (FILE 333-63255 -
SEP. 11) (BR. 1)

S-8 FIRST NATIONAL LINCOLN CORP /ME/, P.O BOX 940, MAIN STREET,
DAMARISCOTTA, ME 04543 (207) 563-3195 - 40,464 (\$910,440) COMMON STOCK.
(FILE 333-63257 - SEP. 11) (BR. 7)

S-4 REPUBLIC GROUP INC, 811 EAST 30TH AVENUE, P O BOX 1307, HUTCHINSON, KS
67502 (316) 727-2700 - 100,000,000 (\$100,000,000) STRAIGHT BONDS. (FILE
333-63261 - SEP. 11) (BR. 4)

S-3 NORTH AMERICAN SCIENTIFIC INC, 7435 GREENBUSH AVE, NORTH HOLLYWOOD, CA
91605 (818) 503-9201 - 75,000 (\$595,500) COMMON STOCK. (FILE 333-63263 -
SEP. 11) (BR. 1)

S-3 GREEN TREE FINANCIAL CORP, 1100 LANDMARK TOWERS, 345 ST PETER ST,
SAINT PAUL, MN 55102 (612) 293-3400 - 3,000,000,000 (\$3,000,000,000)
PASS-THROUGH MORTGAGE-BACKED CERTIFICATE (FILE 333-63265 - SEP. 11)
(BR. 8)

S-1 PROSPERITY BANCSHARES INC, 3040 POST OAK BLVD SUITE 150, HOUSTON, TX
77058 (409) 543-2200 - 1,930,000 (\$28,950,000) COMMON STOCK. (FILE
333-63267 - SEP. 11) (BR. 7 - NEW ISSUE)

S-8 AVIS RENT A CAR INC, 900 OLD COUNTRY RD, GARDEN CITY, NY 11530
(516) 222-3000 - 6,000,000 (\$109,740,000) COMMON STOCK. (FILE 333-63269 -
SEP. 11) (BR. 5)

SB-2 SECURITY OF PENNSYLVANIA FINANCIAL CORP,
SECURITY SAVINGS ASSOCIATION OF HAZLETON, 31 W BROAD ST, HAZLETON, PA 18201
(717) 454-0824 - 1,944,075 (\$19,440,750) COMMON STOCK. (FILE 333-63271 -
SEP. 11) (BR. 7 - NEW ISSUE)

S-3 SPORTS AUTHORITY INC /DE/, 3383 N STATE RD 7, FORT LAUDERDALE, FL 33319
(305) 735-1701 - 1,000,000 (\$1,000,000) STRAIGHT BONDS. (FILE 333-63281 -
SEP. 11) (BR. 2)

S-1 TREX CO INC, 20 CAMERON ST, WINCHESTER, VA 22801 (540) 678-4070 -
\$51,750,000 COMMON STOCK. (FILE 333-63287 - SEP. 11) (NEW ISSUE)

S-3 RICH COAST INC, 475 HOWE ST STE 206, VANCOUVER BC CANADA, A1
(604) 684-8290 - 3,561,457 (\$2,782,388) COMMON STOCK (FILE 333-63289 -
SEP. 11) (BR. 4)

S-8 CALLOWAYS NURSERY INC, 4200 AIRPORT FREEWAY, FORT WORTH, TX 76117
(817) 624-8222 - 518,000 (\$727,817) COMMON STOCK. (FILE 333-63291 -
SEP. 11) (BR. 6)

S-8 TJX COMPANIES INC /DE/, 770 COCHITUATE RD, FRAMINGHAM, MA 01701
(508) 390-2662 - 40,000,000 (\$40,000,000)
OTHER SECURITIES INCLUDING VOTING TRUST. (FILE 333-63293 - SEP. 11)
(BR. 2)

S-8 KUSHNER LOCKE CO, 11601 WILSHIRE BLVD 21ST FLR, LOS ANGELES, CA 95202
(310) 445-1111 - 500,000 (\$1,503,125) COMMON STOCK. (FILE 333-63297 -
SEP. 11) (BR. 5)

S-8 GREENLAND CORP, 7084 MIRAMAR ROAD 4TH FL, SAN DIEGO, CA 82121
(619) 458-4226 - 291,666 (\$87,500) COMMON STOCK. (FILE 333-63299 -
SEP. 11) (BR. 9)

S-3 SAPIENT CORP, ONE MEMORIAL DR, CAMBRIDGE, MA 02142 (617) 621-0200 -
91,760 (\$3,042,417.50) COMMON STOCK. (FILE 333-63301 - SEP. 11) (BR. 3)

S-3 PUMA TECHNOLOGY INC, 2550 N FIRST ST, STE 500, SAN JOSE, CA 95131
(408) 321-7650 - 341,742 (\$847,520) COMMON STOCK. (FILE 333-63303 -
SEP. 11) (BR. 3)

- S-3 GREEN TREE FINANCIAL CORP, 1100 LANDMARK TOWERS, 345 ST PETER ST,
SAINT PAUL, MN 55102 (612) 293-3400 - 2,000,000,000 (\$2,000,000,000)
MORTGAGE BONDS. (FILE 333-63305 - SEP. 11) (BR. 8)
- S-8 MARINEMAX INC, 18167 US 19 N, SUITE 499, CLEARWATER, FL 33764
(813) 531-1700 - 2,480,000 (\$27,336,403) COMMON STOCK. (FILE 333-63307 -
SEP. 11) (BR. 2)
- S-1 VIRGINIA CAPITAL BANCSHARES INC,
C/O FREDERICKSBURG SAVINGS & LOAN ASSOC, 400 GEORGE ST, FREDERICKSBURG, VA
22404 (540) 899-5500 - 15,082,848 (\$150,828,480) COMMON STOCK. (FILE
333-63309 - SEP. 11) (NEW ISSUE)
- S-8 AMAZON COM INC, 1516 SECOND AVE 4TH FLOOR, PO BOX 80387, SEATTLE, WA
98101 (206) 622-2335 - 411,028 (\$3,176,662) COMMON STOCK. (FILE 333-63311
- SEP. 11) (BR. 2)
- S-8 MEDIRISK INC, TWO PIEDMONT CTR STE 400, 3565 PIEDMONT RD N E, ATLANTA,
GA 30305 (404) 364-6700 - 732,480 (\$1,867,824) COMMON STOCK. (FILE
333-63313 - SEP. 11) (BR. 8)
- S-8 ORACLE CORP /DE/, 500 ORACLE PKWY, REDWOOD CITY, CA 94065 (415) 506-7000
- 4,000,000 (\$80,625,000) COMMON STOCK. (FILE 333-63315 - SEP. 11)
(BR. 3)
- S-8 GENERAL AMERICAN ROYALTY INC, 4925 GREENVILLE AVE, STE 1005, DALLAS, TX
75206 (214) 361-8535 - 168,500 (\$1,685) COMMON STOCK. (FILE 333-63317 -
SEP. 14) (BR. 4)
- S-3 PAN PACIFIC RETAIL PROPERTIES INC, 1631-B S MELROSE DR, VISTA, CA 92083
(760) 727-1002 - 10,808,012 (\$194,544,216) COMMON STOCK. (FILE 333-63319 -
SEP. 14) (BR. 8)

RECENT 8K FILINGS

Form 8-K is used by companies to file current reports on the following events:

- Item 1. Changes in Control of Registrant.
- Item 2. Acquisition or Disposition of Assets.
- Item 3. Bankruptcy or Receivership.
- Item 4. Changes in Registrant's Certifying Accountant.
- Item 5. Other Materially Important Events.
- Item 6. Resignations of Registrant's Directors.
- Item 7. Financial Statements and Exhibits.
- Item 8. Change in Fiscal Year.
- Item 9. Regulation S Offerings.

The following companies have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. 8-K reports may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D C. 20549 or at the following e-mail box address: <public info @ sec>. In most cases, this information is also available on the Commission's website <www.sec.gov>.

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT	
		1	2	3	4	5	6	7	8	9			
AAMES CAPITAL CORP	CA					X	X					09/15/98	
ADC TELECOMMUNICATIONS INC	MN					X	X					09/16/98	
ADVANCED TOBACCO PRODUCTS INC	TX								X			09/03/98	
AERIAL COMMUNICATIONS INC	DE					X						09/08/98	
AETNA INDUSTRIES INC	VI					X	X					09/15/98	
AGRIBIOTECH INC	NV								X			08/22/97	AMEND
AIR & WATER TECHNOLOGIES CORP	DE					X	X					09/16/98	

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT	
		1	2	3	4	5	6	7	8	9			
ALADDIN CAPITAL CORP	NV				X	X					09/17/98		
ALADDIN GAMING ENTERPRISES INC	NV				X	X					09/17/98		
ALADDIN GAMING HOLDING LLC	NV				X	X					09/17/98		
AMERICAN INDUSTRIAL PROPERTIES REIT INC	TX				X	X					09/16/98		
AMERISERVE FOOD DISTRIBUTION INC /D E/	DE				X	X					09/09/98		
AMERISERVE TRANSPORTATION INC	NE				X	X					09/09/98		
APPLIED INNOVATION INC	DE				X	X					09/14/98		
ASPEN TECHNOLOGY INC /DE/	DE				X	X					05/27/98	AMEND	
ATLANTA MARRIOTT MARQUIS II LIMITED PARTNERSHIP	DE				X	X					09/10/98		
BALDWIN PIANO & ORGAN CO /DE/	DE				X	X					09/10/98		
BANC ONE CORP /OH/	OH					X					09/14/98		
BANK OF NEW ENGLAND CORP	MA				NO ITEMS							08/31/98	
BANKERS TRUST CORP	NY				X	X					09/16/98		
BAXTER INTERNATIONAL INC	DE				X						09/16/98		
BEAR STEARNS ASSET BACKED SECURITIE S INC	DE				X	X					06/30/98		
BODDIE NOELL PROPERTIES INC	MD		X			X					09/02/98		
BOLLE INC	DE					X					06/01/98	AMEND	
BUTLER NATIONAL CORP	DE			X		X					09/14/98		
CALUMET BANCORP INC /DE/	DE				X						09/09/98		
CAPCO AMERICA SECURITIZATION CORP	DE				X	X					09/16/98		
CARLYLE INDUSTRIES INC	DE					X					06/30/98	AMEND	
CENDANT CORP	DE				X	X					09/17/98	AMEND	
CENTRAL RESERVE LIFE CORP	OH				X	X					08/27/98	AMEND	
CERPLEX INC	DE				X	X					09/17/98		
CHAMPION FINANCIAL CORP /MD/	UT				X						08/31/98		
CHEVY CHASE CREDIT CARD TRUST 1998- A	MD				X						07/31/98		
CHICAGO CONSOLIDATED CORP	IL				X	X					09/09/98		
CHITTENDEN CORP /VT/	VT		X								09/17/98		
CIBER INC	DE				X	X					08/31/98		
CITIBANK SOUTH DAKOTA N A	DE		X								08/26/98		
COFFEE PEOPLE INC	OR						X				09/10/98		
COLORADO CASINO RESORTS INC	TX				X	X					09/17/98		
COMCAST CABLE COMMUNICATIONS INC	DE				X						09/17/98		
COMCAST CORP	PA				X						09/17/98		
COMMODORE APPLIED TECHNOLOGIES INC	DE				X	X					09/16/98		
CONNECT INC	DE				X						09/16/98		
CONNECTIVITY TECHNOLOGIES INC	DE				X						09/16/98		
CORE INC	MA		X			X					09/01/98		
CORN PRODUCTS INTERNATIONAL INC	DE				X	X					09/16/98		
CORNERSTONE PROPERTIES INC	NV					X					09/04/98	AMEND	
CRANE CO /DE/	DE					X					09/16/98		
CRESCENT REAL ESTATE EQUITIES CO	TX				X	X					01/16/98	AMEND	
CRESCENT REAL ESTATE EQUITIES CO	TX				X	X					06/30/98	AMEND	
CTS CORP	IN		X								09/01/98		
CYGNET FINANCIAL CORP	DE				X	X					09/17/98		
DANIELSON HOLDING CORP	DE		X								09/15/98		
DAOU SYSTEMS INC	DE					X					06/25/98	AMEND	
DATASTREAM SYSTEMS INC	DE				X	X					12/31/98		
DELIAS INC	DE				X	X					07/10/98	AMEND	
DELTA TRANSPORTATION LTD	WI				X	X					09/09/98		
DESERT SPRINGS MARRIOTT LIMITED PAR TNSHIP	DE				X	X					09/17/98		
DISCOVER CARD TRUST 1993-A	DE				X	X					09/15/98		
DOE RUN RESOURCES CORP	NY		X		X	X					08/31/98		
DRAVO CORP	PA				X	X					09/17/98		
DSP GROUP INC /DE/	DE				X	X					06/22/98	AMEND	

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
ELANTEC SEMICONDUCTOR INC	DE				X						09/09/98	
EMPIRE FUNDING HOME LOAN OWNER TRUS T 1998-1	DE				X	X					09/30/98	
ENTERPRISE SOFTWARE INC	DE	X				X					09/01/98	
ENTERTAINMENT PROPERTIES TRUST	MD				X	X					09/15/98	
ENVIROQ CORP /DE/	DE				X	X					08/27/98	
EQUIVEST FINANCE INC	FL				X	X					09/08/98	
EQUIVEST FINANCE INC	FL				X						09/11/98	
EYE TECHNOLOGY INC	DE				X		X				09/04/98	AMEND
FAMILY GOLF CENTERS INC	DE						X				06/30/98	AMEND
FARMER MAC MORTGAGE SECURITIES CORP	DE	X									09/17/98	
FIDELITY FEDERAL BANCORP	IN				X	X					09/04/98	AMEND
FIFTH DIMENSION INC	NJ				X						09/15/98	
FINGERHUT COMPANIES INC	MN				X						08/31/98	
FIRST ALLIANCE MORTGAGE LOAN TRUST 1998-1A	CA				X	X					08/20/98	
FIRST ALLIANCE MORTGAGE LOAN TRUST 1998-1F	CA				X	X					08/20/98	
FIRST ALLIANCE MORTGAGE LOAN TRUST 1998-2	CA				X	X					08/20/98	
FIRST CHICAGO NBD CORP	DE				X						09/14/98	
FIRST FINANCIAL BANKSHARES INC	TX				X						09/04/98	
FIRST FINANCIAL CORP /IN/	IN	X									09/16/98	
FIRSTBANCORPORATION INC	SC				X	X					08/28/98	
FLEXIINTERNATIONAL SOFTWARE INC/CT	DE					X					06/24/98	AMEND
FONIX CORP	DE	X			X	X					09/02/98	
FORESTRY INTERNATIONAL INC	CO	X		X	X						09/17/98	
FREMONT FUNDING INC	DE						X				09/15/98	
GOLDEN BOOKS FAMILY ENTERTAINMENT I NC	DE				X	X					09/08/98	
GO2NET INC	DE				X	X					06/23/98	
GREEN CAPITAL GROUP INC	NE				X	X					04/24/98	AMEND
GUTHRIE SAVINGS INC	DE				X	X					09/16/98	
HANOVER MARRIOTT LIMITED PARTNERSHI P	DE	X									09/10/98	
HARRIS CHEMICAL NORTH AMERICA INC	DE				X						09/01/98	
HAWAIIAN ELECTRIC INDUSTRIES INC	HI				X						09/14/98	
HEARST ARGYLE TELEVISION INC	DE				X	X					09/17/98	
HELLER FINANCIAL INC	DE				X	X					09/17/98	
HERMES EUROPE RAILTEL B V	P7					X					06/24/98	AMEND
HIGHWAYMASTER COMMUNICATIONS INC	DE				X	X					09/16/98	
HOSPITALITY WORLDWIDE SERVICES INC	NY					X					01/09/98	AMEND
HOST MARRIOTT SERVICES CORP	DE				X						09/16/98	
I STAT CORPORATION /DE/	DE				X						09/02/98	
ICN PHARMACEUTICALS INC	DE				X						09/16/98	
IDX SYSTEMS CORP	VT				X						09/11/98	
IMARK TECHNOLOGIES INC	DE				X	X					09/16/98	
INCO LTD					X	X					09/14/98	
INDUSTRIAL DISTRIBUTION GROUP INC	DE				X	X					09/16/98	
INTELECT COMMUNICATIONS INC	DE				X	X					09/16/98	
INTERNATIONAL AMERICAN HOMES INC	DE				X	X					09/17/98	
INTUIT INC	DE					X					06/22/98	AMEND
JORDAN AMERICAN HOLDINGS INC	FL		X		X						09/17/98	
KIMBALL INTERNATIONAL INC	IN				X						09/16/98	
LA TEKO RESOURCES LTD	A1				X						09/10/98	
LAM RESEARCH CORP	DE				X	X					09/14/98	
LAMINATING TECHNOLOGIES INC	DE				X						09/17/98	
LASALLE HOTEL PROPERTIES	MD	X				X					06/24/98	AMEND
LAUREL CAPITAL GROUP INC	PA				X						07/29/98	
LEHMAN ABS CORP	DE				X	X					09/17/98	

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
LEVIATHAN GAS PIPELINE PARTNERS L P	DE	X						X			08/14/98	
LIFESTYLE FURNISHINGS INTERNATIONAL LTD	DE				X						09/11/98	
MACERICH CO	MD							X			09/11/98	AMEND
MADDEN STEVEN LTD	NY			X	X	X					09/14/98	
MARQUEE GROUP INC	DE	X						X			09/16/98	
MARRIOTT DIVERSIFIED AMERICAN HOTEL S L P	DE				X	X					09/10/98	
MARRIOTT HOTEL PROPERTIES II LIMITED PARTNERSHIP	DE				X	X					09/10/98	
MARRIOTT HOTEL PROPERTIES LTD PARTNERSHIP	DE				X	X					09/16/98	
MCDONALD & CO INVESTMENTS INC	DE				X	X					09/15/98	
MEASUREMENT SPECIALTIES INC	NJ	X									06/30/98	AMEND
MEDIMMUNE INC /DE/	DE				X						09/16/98	
MEDLEY CREDIT ACCEPTANCE CORP	DE	X		X	X						03/30/98	
MEDTRONIC INC	MN					X					09/15/98	
MEGO MORTGAGE CORP	DE				X	X					09/02/98	
MENLEY & JAMES INC	DE				X						08/12/98	AMEND
MERCANTILE CREDIT CARD MASTER TRUST	NY				X	X					09/04/98	
MERIDIAN DIAGNOSTICS INC	OH				X	X					09/15/98	
METAL RECOVERY TECHNOLOGIES INC	DE							X			09/17/98	
METALS USA INC	DE	X				X					07/07/98	AMEND
MIAMI COMPUTER SUPPLY CORP	OH				X	X					09/08/98	
MICRO MEDIA SOLUTIONS INC	UT				X						06/11/97	
MICRO MEDIA SOLUTIONS INC	UT				X	X					08/15/97	
MICRO MEDIA SOLUTIONS INC	UT				X	X					09/14/98	
MICROFLUIDICS INTERNATIONAL CORP	DE	X				X					08/14/98	AMEND
MMCA AUTO OWNER TRUST 1998-1								X			08/31/98	
MOBILEMEDIA COMMUNICATIONS INC	DE					X					09/16/98	
MOBILEMEDIA CORP	DE					X					09/16/98	
MORTON INDUSTRIAL GROUP INC	GA					X	X				09/10/98	
MOTHERS WORK INC	DE					X	X				08/28/98	
MS ACQUISITION	DE				X	X					09/15/98	
MUTUAL BENEFIT CHICAGO MARRIOTT SUITE HOTEL PARTNERS L P	RI					X	X				09/10/98	
NATIONAL AUTO CREDIT INC /DE/	DE					X					08/10/98	
NEBCO EVANS HOLDING CO	DE					X	X				09/09/98	
NETPLEX GROUP INC	NY	X				X					06/22/98	AMEND
NEW ENGLAND ELECTRIC SYSTEM	MA	X		X	X						09/16/98	
NEW ENGLAND POWER CO	MA	X		X	X						09/16/98	
NEWFIELD EXPLORATION CO /DE/	DE					X	X				09/14/98	
NEWGOLD INC	DE				X	X					09/17/98	
NITCHES INC	CA					X					09/16/98	
NORTH BANCSHARES INC	DE	X									09/17/98	
NORTHLAND TRANSPORTATION SERVICES INC	NE					X	X				09/09/98	
NORWEST ASSET SECURITIES CORP	DE					X	X				09/17/98	
NUMEREX CORP /PA/	PA					X					05/15/98	AMEND
OCWEN ASSET INVESTMENT CORP	VA					X	X				09/17/98	
OPHIDIAN PHARMACEUTICALS INC	WI					X					09/15/98	
PACESETTER OSTRICH FARM INC	DE				X	X					09/17/98	
PACIFICORP /OR/	OR					X	X				09/16/98	
PAINWEBBER MORT AC CORP IV EMPIRE FUND HOME LON OWN TR 1998						X	X				09/30/98	
PAMECO CORP	GA	X					X				06/26/98	AMEND
PARADIGM TECHNOLOGY INC /DE/	DE					X	X				09/04/98	AMEND
PEASE OIL & GAS CO /CO/	NV					X	X				09/09/98	
PEEBLES INC	VA						X				06/29/98	AMEND
PENN AMERICA GROUP INC	PA					X	X				09/16/98	
PERCON INC	WA				X	X					09/10/98	

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PERRY-JUDDS INC	DE		X		X	X					09/02/98	
PETROQUEST ENERGY INC						X	X				09/01/98	
PIERCING PAGODA INC	DE				X						09/01/98	
POTOMAC HOTEL LTD PARTNERSHIP	DE				X	X					09/10/98	
POWERCOLD CORP	NV				X						09/16/98	
PROPERTY RESOURCES FUND VI	CA						X				06/30/98	AMEND
PROVIDENCE ENERGY CORP	RI				X						09/16/98	
PROVIDIAN MASTER TRUST					X						09/15/98	
PROVIDIAN NATIONAL BANK /NEW/					X						09/15/98	
PUBLICKER INDUSTRIES INC	PA	X									09/17/98	
PURSUIT VENTURE CORP	DE	X									04/09/98	
PURSUIT VENTURE CORP	DE	X									08/31/98	
QWEST COMMUNICATIONS INTERNATIONAL INC	DE		X				X				09/13/98	
RADIO ONE INC	DE						X				06/30/98	AMEND
RAILAMERICA INC /DE	DE				X	X					09/03/98	
RCM TECHNOLOGIES INC	NV					X					10/31/98	AMEND
READERS DIGEST ASSOCIATION INC	DE				X						09/30/98	
REGAL CINEMAS INC	TN				X	X					09/09/98	AMEND
RENT WAY INC	PA				X						09/16/98	
REPUBLIC ENGINEERED STEELS INC	DE	X				X					09/08/98	
RIDDELL SPORTS INC	DE				X						09/15/98	
RIGL CORP	NV		X								09/30/98	
RJR NABISCO HOLDINGS CORP	DE				X	X					08/08/98	
SALOMON BROS MORT SEC VII INC AST B K CERT SERIES 1998-OPT1	DE	X									08/25/98	
SALOMON BROS MORT SEC VII INC MORT PA THR CERT SER 1998-WFC1	DE	X									07/27/98	
SELKIRK COGEN FUNDING CORP	DE				X	X					09/16/98	
SELKIRK COGEN PARTNERS LP	DE				X	X					09/16/98	
SENECA FOODS CORP /NY/	NY	X			X	X					09/02/98	
SERVICO INC	FL				X	X					09/16/98	
SFX ENTERTAINMENT INC	DE					X					09/11/98	AMEND
SILICON STORAGE TECHNOLOGY INC	CA				X						09/16/98	
SIMON DEBARTOLO GROUP L P	DE				X	X					09/18/98	
SKYLINE MULTIMEDIA ENTERTAINMENT IN C	NY				X	X					09/02/98	
SODEXHO MARRIOTT SERVICES INC	DE				X						09/17/98	
SONAT INC	DE				X						09/17/98	
SOUTHERN MINERAL CORP	NV		X				X				07/02/98	AMEND
SOUTHERN NATURAL GAS CO	DE				X						09/17/98	
SOUTHWARD VENTURES DEPOSITARY TRUST	FL	X									09/11/98	
SPORTS AUTHORITY INC /DE/	DE				X	X					09/15/98	
STAGE STORES INC	DE				X	X					09/16/98	
STATE AUTO FINANCIAL CORP	OH					X					07/07/98	AMEND
STRATEGIC DIAGNOSTICS INC/DE/	DE				X	X					09/14/98	
SUMMIT BANK CORP	GA		X			X					09/11/98	AMEND
SVI HOLDINGS INC	NV		X			X					09/01/98	
SYSTEMS & COMPUTER TECHNOLOGY CORP	DE		X			X					09/01/98	
TADEO HOLDINGS INC	DE				X						08/24/98	
TECO ENERGY INC	FL				X						09/11/98	
TELEPHONE & DATA SYSTEMS INC /DE/	DE				X	X					09/08/98	
TERRA NATURAL RESOURCES CORP	NV				X	X					09/02/98	
TOYS R US INC	DE				X	X					09/16/98	
TRANSCOR WASTE SERVICES INC	FL	X									09/30/98	AMEND
TRANSNATIONAL FINANCIAL CORP	CA				X						09/14/98	
TRANSPORT CORPORATION OF AMERICA IN C	MN		X			X					06/30/98	AMEND
U S JET INC	NV	X	X		X	X	X	X			08/24/98	
UACSC 1998-C AUTO TRUST	NY				X	X					09/17/98	

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UCI MEDICAL AFFILIATES INC	DE								X		09/01/97	AMEND
UGLY DUCKLING CORP	DE				X	X					09/17/98	
UNICO INC /NM/	NM								X		06/30/98	AMEND
UNILAB CORP /DE/	DE				X	X					09/16/98	
UNITED FOODS INC	DE					X	X				09/17/98	
UNITED RENTALS INC /DE	DE		X					X			09/01/98	
UNITED RENTALS NORTH AMERICA INC	DE		X					X			09/01/98	
USA TECHNOLOGIES INC	PA					X					08/11/98	
VENCOR INC	DE					X	X				09/16/98	
WASTE CONNECTIONS INC/DE	DE		X					X			09/10/98	AMEND
WELLPOINT HEALTH NETWORKS INC /DE/	DE		X					X			09/01/98	
WEST TELESERVICES CORP	DE					X	X				09/16/98	
WORLD FINANCIAL NETWORK NATIONAL BA NK	OH					X	X				09/15/98	
WYANT CORP	NY							X			06/30/98	AMEND
X CEED INC	DE		X								09/09/98	