

# Securities and Commodity Contracts Intermediation and Brokerage: 2002

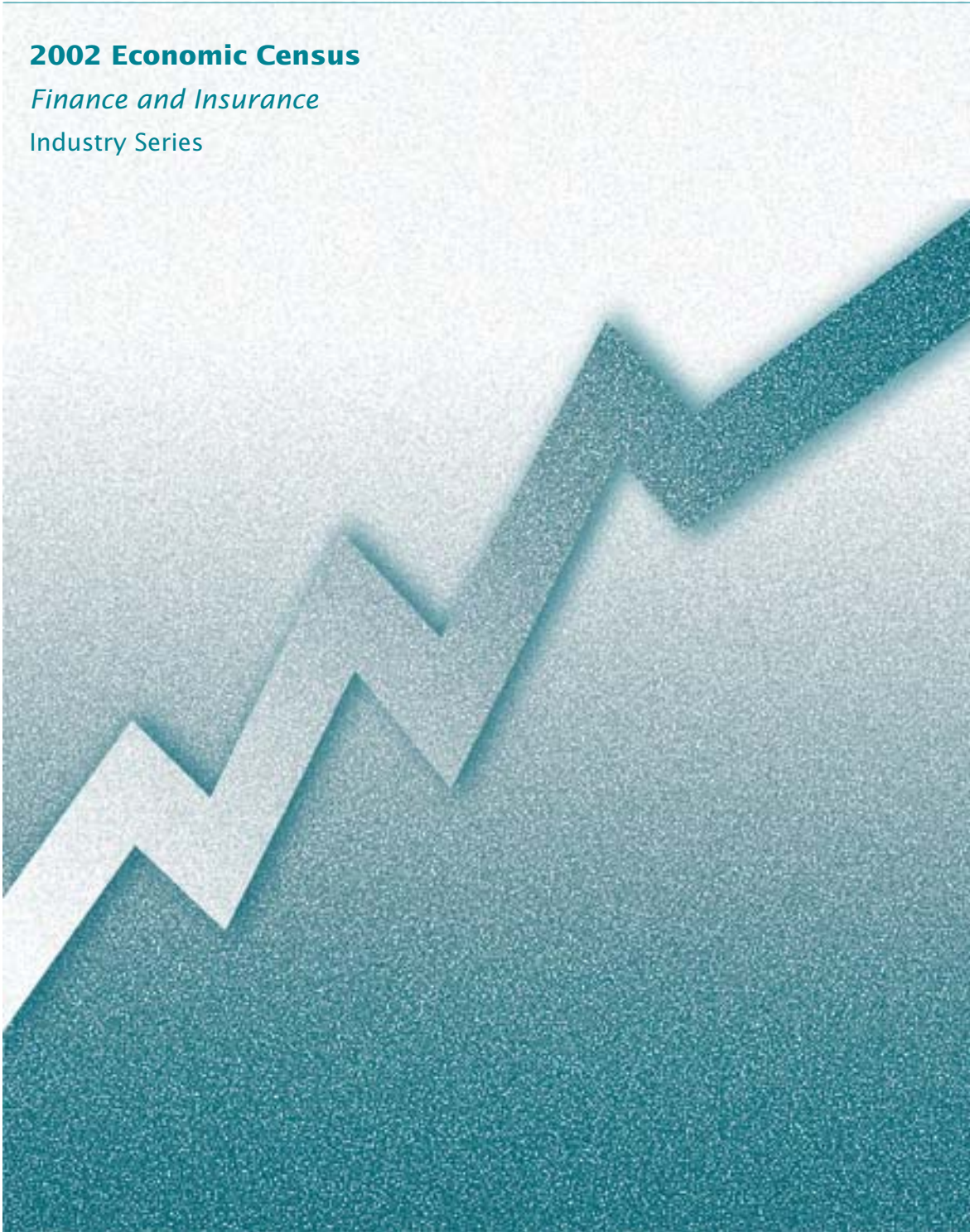
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## 2002 Economic Census

*Finance and Insurance*

Industry Series



U S C E N S U S B U R E A U

*Helping You Make Informed Decisions*

U.S. Department of Commerce  
Economics and Statistics Administration  
U.S. CENSUS BUREAU



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-- Not applicable for this report.

**Table 1. Summary Statistics for the United States: 2002**

[These data are preliminary and are subject to change; they will be superseded by data released in later reports. Includes only establishments of firms with payroll. For meaning of abbreviations and symbols, see introductory text. For explanation of terms, see Appendix A. Data based on the 2002 Economic Census. For information on confidentiality protection, sampling error, nonsampling error, and definitions, see note at end of table]

2002 NAICS code	Kind of business	Estab-lishments (number)	Revenue (\$1,000)	Annual payroll (\$1,000)	First-quarter payroll (\$1,000)	Paid employees for pay period including March 12 (number)	Percent of revenue—	
							From admini-strative records <sup>1</sup>	Estimated <sup>2</sup>
5231	Securities and commodity contracts intermediation and brokerage .....	35 789	215 607 852	69 793 962	26 164 194	525 863	1.0	11.4
52311	Investment banking and securities dealing .....	5 814	104 011 148	31 486 454	13 876 750	142 636	.5	5.0
523110	Investment banking and securities dealing .....	5 814	104 011 148	31 486 454	13 876 750	142 636	.5	5.0
52312	Securities brokerage .....	27 479	104 811 597	36 427 781	11 668 478	361 209	1.2	18.0
523120	Securities brokerage .....	27 479	104 811 597	36 427 781	11 668 478	361 209	1.2	18.0
52313	Commodity contracts dealing .....	1 085	3 904 504	834 829	284 617	9 662	3.1	2.8
523130	Commodity contracts dealing .....	1 085	3 904 504	834 829	284 617	9 662	3.1	2.8
52314	Commodity contracts brokerage .....	1 411	2 880 603	1 044 898	334 349	12 356	8.2	12.7
523140	Commodity contracts brokerage .....	1 411	2 880 603	1 044 898	334 349	12 356	8.2	12.7

<sup>1</sup>Includes revenue information obtained from administrative records of other federal agencies.

<sup>2</sup>Includes revenue information that was imputed based on historic data, administrative data, industry averages, or other statistical methods.

Note: The data in this table are based on the 2002 Economic Census. To maintain confidentiality, the Census Bureau suppresses data to protect the identity of any business or individual. The census results in this table contain nonsampling error. Data users who create their own estimates using data from this table should cite the Census Bureau as the source of the original data only. See also explanation of terms and geographic definitions. For the full technical documentation, see Appendix C.

**Table 2. Comparative Statistics for the United States (1997 NAICS Basis): 2002 and 1997**

[These data are preliminary and are subject to change; they will be superseded by data released in later reports. Includes only establishments of firms with payroll. For meaning of abbreviations and symbols, see introductory text. For explanation of terms, see Appendix A. Data based on the 2002 and 1997 Economic Censuses. For information on confidentiality protection, sampling error, nonsampling error, and definitions, see note at end of table]

1997 NAICS code	Kind of business	Establishments (number)	Revenue (\$1,000)	Annual payroll (\$1,000)	Paid employees for pay period including March 12 (number)
5231	Securities and commodity contracts intermediation and brokerage .....	35 789	215 607 852	69 793 962	525 863
	2002..	26 049	196 417 397	49 982 735	449 201
	1997..				
52311	Investment banking and securities dealing .....	5 814	104 011 148	31 486 454	142 636
	2002..	4 136	118 385 783	22 330 285	140 782
	1997..				
523110	Investment banking and securities dealing .....	5 814	104 011 148	31 486 454	142 636
	2002..	4 136	118 385 783	22 330 285	140 782
	1997..				
52312	Securities brokerage .....	27 479	104 811 597	36 427 781	361 209
	2002..	19 869	72 756 442	26 519 842	290 656
	1997..				
523120	Securities brokerage .....	27 479	104 811 597	36 427 781	361 209
	2002..	19 869	72 756 442	26 519 842	290 656
	1997..				
52313	Commodity contracts dealing .....	1 085	3 904 504	834 829	9 662
	2002..	630	2 241 406	340 990	4 519
	1997..				
523130	Commodity contracts dealing .....	1 085	3 904 504	834 829	9 662
	2002..	630	2 241 406	340 990	4 519
	1997..				
52314	Commodity contracts brokerage .....	1 411	2 880 603	1 044 898	12 356
	2002..	1 414	3 033 766	791 618	13 244
	1997..				
523140	Commodity contracts brokerage .....	1 411	2 880 603	1 044 898	12 356
	2002..	1 414	3 033 766	791 618	13 244
	1997..				

Note: The data in this table are based on the 2002 and 1997 Economic Censuses. To maintain confidentiality, the Census Bureau suppresses data to protect the identity of any business or individual. The census results in this table contain nonsampling error. Data users who create their own estimates using data from this table should cite the Census Bureau as the source of the original data only. See also explanation of terms and geographic definitions. For the full technical documentation, see Appendix C.

**Table 3. Product Lines by Kind of Business for the United States: 2002**

[These data are preliminary and are subject to change; they will be superseded by data released in later reports. Includes only establishments of firms with payroll. For meaning of abbreviations and symbols, see introductory text. For explanation of terms, see Appendix A. Data based on the 2002 Economic Census. For information on confidentiality protection, sampling error, nonsampling error, and definitions, see note at end of table]

2002 NAICS code	2002 Product line code	Kind of business and product line	Establishments with the product line		Product line revenue			Response coverage <sup>2</sup> (percent)
			Number	Total revenue (\$1,000)	Amount <sup>1</sup> (\$1,000)	As percent of total revenue of—		
						Establishments with the product line	All establishments <sup>1</sup>	
5231		Securities and commodity contracts intermediation and brokerage .....	35 789	X	215 607 852	X	100.0	67.0
		Loan income:						
	55010	Loans to financial businesses .....	182	4 931 163	783 762	15.9	.4	X
	55020	Loans to nonfinancial businesses - secured .....	203	1 920 931	371 701	19.4	.2	X
	55030	Loans to nonfinancial businesses - unsecured .....	154	1 555 923	43 942	2.8	Z	X
	55040	Loans to governments .....	78	517 844	11 856	2.3	Z	X
		Securities origination products:						
	55310	Public offering products - equity securities .....	6 035	91 305 099	6 821 859	7.5	3.2	X
	55320	Public offering products - debt securities .....	4 472	70 433 479	5 668 877	8.0	2.6	X
	55330	Private placement - equity securities .....	1 193	30 133 883	714 931	2.4	.3	X
	55340	Private placement - debt securities .....	551	22 844 382	847 700	3.7	.4	X
		Brokering and dealing products - debt instruments:						
	55410	Negotiable certificates of deposit .....	5 584	19 096 841	799 853	4.2	.4	X
	55420	Commercial paper issued by financial institutions .....	292	3 036 167	301 016	9.9	.1	X
	55430	Commercial paper issued by nonfinancial institutions .....	127	696 549	96 032	13.8	Z	X
	55440	Bankers acceptance .....	26	131 248	2 109	1.6	Z	X
	55450	Treasury bills .....	1 389	32 263 318	1 884 996	5.8	.9	X
	55460	Other money market instruments .....	2 318	12 488 739	661 493	5.3	.3	X
	55470	Corporate and trust notes and bonds .....	7 378	45 041 963	3 811 355	8.5	1.8	X
	55480	National government notes and bonds .....	6 115	63 207 108	9 256 079	14.6	4.3	X
	55490	State provincial and local governments notes and bonds .....	6 927	60 111 690	4 340 366	7.2	2.0	X
	55610	Brokering and dealing products, equities .....	25 174	182 327 639	79 730 565	43.7	37.0	X
		Brokering and dealing products - derivative contracts:						
	55710	Futures contracts, exchange-traded .....	2 477	42 419 760	6 061 914	14.3	2.8	X
	55720	Option contracts, exchange-traded .....	5 206	39 667 549	1 857 904	4.7	.9	X
	55730	Forward contracts, traded over-the-counter .....	282	4 450 780	233 709	5.3	.1	X
	55740	Swaps, traded over-the-counter .....	104	8 852 584	225 428	2.5	.1	X
	55750	Option contracts traded over-the-counter .....	2 608	9 232 636	210 756	2.3	.1	X
	55760	Other derivative contracts, traded over-the-counter .....	213	22 542 082	540 106	2.4	.3	X
	55810	Brokering and dealing foreign currency fees - wholesale .....	239	723 773	279 913	38.7	.1	X
	55910	Brokering and dealing investment company securities, including mutual funds, close-end funds, and unit investment trusts .....	14 125	30 882 362	8 204 109	26.6	3.8	X
	56010	Brokering and dealing other financial instruments, including commodity pools and face-amount certificates .....	3 282	8 864 810	1 272 369	14.4	.6	X
	56110	Brokerage correspondent products - fees .....	383	3 747 599	317 863	8.5	.1	X
	56210	Securities lending fees, including securities borrowed from a broker's inventory and margin accounts .....	614	35 980 675	2 254 636	6.3	1.0	X
	56310	Repurchase agreements - net gains (losses) .....	544	50 371 783	7 861 473	15.6	3.6	X
	56410	Financing related to securities, excluding securities lending and repurchase agreements - net .....	461	15 399 054	955 569	6.2	.4	X
	56510	Trading debt instruments on own account - net gains (losses) .....	645	63 199 579	12 511 994	19.8	5.8	X
	56610	Trading equities on own account - net gain (losses) .....	2 352	62 366 795	2 766 274	4.4	1.3	X
	56710	Trading derivatives contracts on own account - net gain (losses) .....	253	29 504 461	5 684 742	19.3	2.6	X
	56810	Trading foreign currency on own account - net gain (losses) .....	141	23 059 376	329 928	1.4	.2	X
	56910	Trading other securities and commodity contracts on own account - net gain (losses) .....	321	40 632 068	1 340 767	3.3	.6	X
	57410	Trust products for business and governments - fiduciary fees .....	1 450	25 078 218	251 666	1.0	.1	X
		Management of financial market and clearing products:						
	57510	Payment clearing and settlement fees .....	518	7 792 226	482 747	6.2	.2	X
	57520	Security and commodity contract trade execution, clearing and settlement fees .....	1 443	13 244 188	575 841	4.3	.3	X
	57530	Access fees for security and commodity contract trade execution and clearing systems .....	76	2 504 409	32 815	1.3	Z	X
	57540	Security and commodity contract exchange and clearinghouse listing fees .....	40	1 847 756	20 466	1.1	Z	X
		Financial planning and investment management products:						
	57710	Financial planning and investment management services for businesses and government .....	2 672	72 815 808	11 109 990	15.3	5.2	X
	57720	Financial planning and investment management services for individuals .....	11 906	79 355 712	16 725 541	21.1	7.8	X
	57810	Other products supporting financial services - fees .....	11 471	110 875 368	17 350 840	15.6	8.0	X
52311		Investment banking and securities dealing .....	5 814	X	104 011 148	X	100.0	71.5
		Loan income:						
	55010	Loans to financial businesses .....	98	4 140 684	737 439	17.8	.7	71.5
	55011	Interest income .....	90	4 124 618	736 127	17.8	.7	X
	55012	Origination fees .....	13	18 136	1 224	6.7	Z	X
	55013	Other fees .....	8	3 975	88	2.2	Z	X
	55020	Loans to nonfinancial businesses - secured .....	114	1 571 608	321 394	20.5	.3	71.5
	55021	Interest income .....	101	1 569 439	266 041	17.0	.3	X
	55022	Origination fees .....	48	86 234	18 499	21.5	Z	X
	55023	Other fees .....	45	248 786	36 854	14.8	Z	X
	55030	Loans to nonfinancial businesses - unsecured .....	64	1 112 919	15 602	1.4	Z	71.5
	55031	Interest income .....	61	1 111 728	11 970	1.1	Z	X
	55033	Other fees .....	17	9 148	3 511	38.4	Z	X
	55040	Loans to governments .....	27	23 923	3 120	13.0	Z	69.5
	55041	Interest income .....	23	23 598	2 731	11.6	Z	X
	55043	Other fees .....	8	707	82	11.6	Z	X
		Securities origination products:						
	55310	Public offering products - equity securities .....	1 150	74 884 906	5 255 683	7.0	5.1	X
	55320	Public offering products - debt securities .....	975	57 744 909	5 085 105	8.8	4.9	X
	55330	Private placement - equity securities .....	557	26 919 125	630 308	2.3	.6	X
	55340	Private placement - debt securities .....	347	21 292 122	709 356	3.3	.7	X

See footnotes at end of table.

**Table 3. Product Lines by Kind of Business for the United States: 2002—Con.**

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2002 NAICS code	2002 Product line code	Kind of business and product line	Establishments with the product line		Product line revenue			Response coverage <sup>2</sup> (percent)
			Number	Total revenue (\$1,000)	Amount <sup>1</sup> (\$1,000)	As percent of total revenue of—		
						Establishments with the product line	All establishments <sup>1</sup>	
52311		Investment banking and securities dealing—Con.						
		Brokering and dealing products - debt instruments:						
	55410	Negotiable certificates of deposit	151	825 849	204 902	24.8	.2	71.5
	55411	Fees and commissions	119	673 339	157 045	23.3	.2	X
	55412	Net gains (losses) in trading accounts, excluding interest income	19	146 844	46 615	31.7	Z	X
	55413	Interest income from trading accounts	21	7 623	1 242	16.3	Z	X
	55420	Commercial paper issued by financial institutions	32	235 065	12 481	5.3	Z	71.5
	55421	Fees and commissions	24	114 423	2 627	2.3	Z	X
	55422	Net gains (losses) in trading accounts, excluding interest income	8	120 642	9 260	7.7	Z	X
	55430	Commercial paper issued by nonfinancial institutions	32	194 501	50 965	26.2	Z	71.5
	55431	Fees and commissions	29	194 480	39 040	20.1	Z	X
	55440	Bankers acceptance	13	113 372	2 080	1.8	Z	71.4
	55441	Fees and commissions	10	78 144	1 521	1.9	Z	X
	55450	Treasury bills	154	20 973 848	345 317	1.6	.3	51.8
	55451	Fees and commissions	96	16 371 347	168 741	1.0	.2	X
	55452	Net gains (losses) in trading accounts, excluding interest income	39	4 582 366	17 573	.4	Z	X
	55453	Interest income from trading accounts	41	18 605 691	159 003	.9	.2	X
	55460	Other money market instruments	172	4 151 085	70 728	1.7	.1	71.5
	55461	Fees and commissions	81	314 320	10 393	3.3	Z	X
	55462	Net gains (losses) in trading accounts, excluding interest income	19	1 163 342	50 499	4.3	Z	X
	55463	Interest income from trading accounts	89	2 724 108	9 801	.4	Z	X
	55470	Corporate and trust notes and bonds	490	23 440 992	1 574 729	6.7	1.5	38.0
	55471	Fees and commissions	369	18 262 877	746 406	4.1	.7	X
	55472	Net gains (losses) in trading accounts, excluding interest income	135	19 182 936	461 317	2.4	.4	X
	55473	Interest income from trading accounts	130	8 483 295	365 888	4.3	.4	X
	55474	Margin interest	17	647 675	1 118	.2	Z	X
	55480	National government notes and bonds	374	33 574 799	5 187 036	15.4	5.0	48.1
	55481	Fees and commissions	276	28 443 898	2 006 502	7.1	1.9	X
	55482	Net gains (losses) in trading accounts, excluding interest income	106	14 506 663	1 823 658	12.6	1.8	X
	55483	Interest income from trading accounts	81	21 997 537	1 354 231	6.2	1.3	X
	55490	State provincial and local governments notes and bonds	466	30 990 122	1 484 239	4.8	1.4	46.2
	55491	Fees and commissions	310	28 887 132	601 883	2.1	.6	X
	55492	Net gains (losses) in trading accounts, excluding interest income	162	10 323 739	471 315	4.6	.5	X
	55493	Interest income from trading accounts	178	20 874 326	409 661	2.0	.4	X
	55494	Margin interest	13	155 261	1 380	.9	Z	X
	55610	Brokering and dealing products, equities	2 642	90 554 186	27 947 798	30.9	26.9	62.0
	55611	Fees and commissions	2 427	88 781 135	16 570 809	18.7	15.9	X
	55612	Net gains (losses) in trading accounts, excluding interest income	639	18 720 267	2 133 255	11.4	2.1	X
	55613	Interest income from trading accounts	661	37 216 865	9 022 108	24.2	8.7	X
	55614	Margin interest	287	31 444 941	221 626	.7	.2	X
		Brokering and dealing products - derivative contracts:						
	55710	Futures contracts, exchange-traded	276	31 648 512	2 556 594	8.1	2.5	71.4
	55711	Fees and commissions	224	29 117 580	368 201	1.3	.4	X
	55712	Net gains (losses) in trading accounts, excluding interest income	44	8 282 416	2 071 173	25.0	2.0	X
	55713	Interest income from trading accounts	30	11 520 375	117 118	1.0	.1	X
	55720	Option contracts, exchange-traded	432	22 566 259	719 757	3.2	.7	64.7
	55721	Fees and commissions	283	20 279 846	283 430	1.4	.3	X
	55722	Net gains (losses) in trading accounts, excluding interest income	155	2 602 341	292 499	11.2	.3	X
	55723	Interest income from trading accounts	90	12 717 215	142 381	1.1	.1	X
	55724	Margin interest	9	5 867	1 447	24.7	Z	X
	55730	Forward contracts, traded over-the-counter	29	2 024 057	40 564	2.0	Z	71.5
	55731	Fees and commissions	26	21 779	19 762	90.7	Z	X
	55740	Swaps, traded over-the-counter	37	7 811 237	142 495	1.8	.1	52.4
	55741	Fees and commissions	28	7 797 333	135 382	1.7	.1	X
	55742	Net gains (losses) in trading accounts, excluding interest income	9	13 904	6 657	47.9	Z	X
	55760	Other derivative contracts, traded over-the-counter	74	19 149 492	298 512	1.6	.3	71.5
	55761	Fees and commissions	74	19 149 492	298 512	1.6	.3	X
	55810	Brokering and dealing foreign currency fees - wholesale	11	6 241	1 040	16.7	Z	X
	55910	Brokering and dealing investment company securities, including mutual funds, close-end funds, and unit investment trusts	464	7 202 772	199 701	2.8	.2	X
	56010	Brokering and dealing other financial instruments, including commodity pools and face-amount certificates	61	281 870	34 324	12.2	Z	X
	56110	Brokerage correspondent products - fees	93	240 266	90 490	37.7	.1	X
	56210	Securities lending fees, including securities borrowed from a broker's inventory and margin accounts	233	26 540 525	2 000 134	7.5	1.9	X
	56310	Repurchase agreements - net gains (losses)	236	41 442 202	5 491 789	13.3	5.3	X
	56410	Financing related to securities, excluding securities lending and repurchase agreements - net	29	6 006 644	162 257	2.7	.2	X
	56510	Trading debt instruments on own account - net gains (losses)	305	54 577 770	11 949 841	21.9	11.5	X
	56610	Trading equities on own account - net gain (losses)	599	51 505 280	2 104 146	4.1	2.0	X
	56710	Trading derivatives contracts on own account - net gain (losses)	87	23 507 560	2 635 642	11.2	2.5	X
	56810	Trading foreign currency on own account - net gain (losses)	19	20 245 770	86 329	.4	.1	X
	56910	Trading other securities and commodity contracts on own account - net gain (losses)	50	36 303 011	523 176	1.4	.5	X
	57410	Trust products for business and governments - fiduciary fees	21	19 528 093	135 214	.7	.1	X
		Management of financial market and clearing products:						
	57510	Payment clearing and settlement fees	69	294 352	28 083	9.5	Z	X
	57520	Security and commodity contract trade execution, clearing and settlement fees	24	6 602 628	88 409	1.3	.1	X
	57530	Access fees for security and commodity contract trade execution and clearing systems	11	593 904	8 321	1.4	Z	X
		Financial planning and investment management products:						
	57710	Financial planning and investment management services for businesses and government	814	57 528 566	7 652 100	13.3	7.4	X
	57720	Financial planning and investment management services for individuals	647	36 673 291	4 427 755	12.1	4.3	X
	57810	Other products supporting financial services - fees	1 145	71 060 416	13 050 279	18.4	12.5	X
523110		Investment banking and securities dealing	5 814	X	104 011 148	X	100.0	71.5

See footnotes at end of table.

**Table 3. Product Lines by Kind of Business for the United States: 2002—Con.**

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2002 NAICS code	2002 Product line code	Kind of business and product line	Establishments with the product line		Product line revenue			Response coverage <sup>2</sup> (percent)
			Number	Total revenue (\$1,000)	Amount <sup>1</sup> (\$1,000)	As percent of total revenue of—		
						Establishments with the product line	All establishments <sup>1</sup>	
523110		Investment banking and securities dealing—Con.						
		Loan income:						
	55010	Loans to financial businesses .....	98	4 140 684	737 439	17.8	.7	71.5
	55011	Interest income .....	90	4 124 618	736 127	17.8	.7	X
	55012	Origination fees .....	13	18 136	1 224	6.7	Z	X
	55013	Other fees .....	8	3 975	88	2.2	Z	X
	55020	Loans to nonfinancial businesses - secured .....	114	1 571 608	321 394	20.5	.3	71.5
	55021	Interest income .....	101	1 569 439	266 041	17.0	.3	X
	55022	Origination fees .....	48	86 234	18 499	21.5	Z	X
	55023	Other fees .....	45	248 786	36 854	14.8	Z	X
	55030	Loans to nonfinancial businesses - unsecured .....	64	1 112 919	15 602	1.4	Z	71.5
	55031	Interest income .....	61	1 111 728	11 970	1.1	Z	X
	55033	Other fees .....	17	9 148	3 511	38.4	Z	X
	55040	Loans to governments .....	27	23 923	3 120	13.0	Z	69.5
	55041	Interest income .....	23	23 598	2 731	11.6	Z	X
	55043	Other fees .....	8	707	82	11.6	Z	X
		Securities origination products:						
	55310	Public offering products - equity securities .....	1 150	74 884 906	5 255 683	7.0	5.1	X
	55320	Public offering products - debt securities .....	975	57 744 909	5 085 105	8.8	4.9	X
	55330	Private placement - equity securities .....	975	26 919 125	630 308	2.3	.6	X
	55340	Private placement - debt securities .....	347	21 292 122	709 356	3.3	.7	X
		Brokering and dealing products - debt instruments:						
	55410	Negotiable certificates of deposit .....	151	825 849	204 902	24.8	.2	71.5
	55411	Fees and commissions .....	119	673 339	157 045	23.3	.2	X
	55412	Net gains (losses) in trading accounts, excluding interest income .....	19	146 844	46 615	31.7	Z	X
	55413	Interest income from trading accounts .....	21	7 623	1 242	16.3	Z	X
	55420	Commercial paper issued by financial institutions .....	22	235 065	12 481	5.3	Z	71.5
	55421	Fees and commissions .....	24	114 423	2 627	2.3	Z	X
	55422	Net gains (losses) in trading accounts, excluding interest income .....	8	120 642	9 260	7.7	Z	X
	55430	Commercial paper issued by nonfinancial institutions .....	32	194 501	50 965	26.2	Z	71.5
	55431	Fees and commissions .....	29	194 480	39 040	20.1	Z	X
	55440	Bankers acceptance .....	13	113 372	2 080	1.8	Z	71.4
	55441	Fees and commissions .....	10	78 144	1 521	1.9	Z	X
	55450	Treasury bills .....	154	20 973 848	345 317	1.6	.3	51.8
	55451	Fees and commissions .....	96	16 371 347	168 741	1.0	.2	X
	55452	Net gains (losses) in trading accounts, excluding interest income .....	39	4 582 366	17 573	4.4	Z	X
	55453	Interest income from trading accounts .....	41	18 605 691	159 003	.9	.2	X
	55460	Other money market instruments .....	172	4 151 085	70 728	1.7	.1	71.5
	55461	Fees and commissions .....	81	314 320	10 393	3.3	Z	X
	55462	Net gains (losses) in trading accounts, excluding interest income .....	19	1 163 342	50 499	4.3	Z	X
	55463	Interest income from trading accounts .....	89	2 724 108	9 801	.4	Z	X
	55470	Corporate and trust notes and bonds .....	490	23 440 992	1 574 729	6.7	1.5	38.0
	55471	Fees and commissions .....	369	18 262 877	746 406	4.1	.7	X
	55472	Net gains (losses) in trading accounts, excluding interest income .....	135	19 182 936	461 317	2.4	.4	X
	55473	Interest income from trading accounts .....	130	8 483 295	365 888	4.3	.4	X
	55474	Margin interest .....	17	647 675	118	.2	Z	X
	55480	National government notes and bonds .....	374	33 574 799	5 187 036	15.4	5.0	48.1
	55481	Fees and commissions .....	276	28 443 898	2 006 502	7.1	1.9	X
	55482	Net gains (losses) in trading accounts, excluding interest income .....	106	14 506 663	1 823 658	12.6	1.8	X
	55483	Interest income from trading accounts .....	81	21 997 537	1 354 231	6.2	1.3	X
	55490	State provincial and local governments notes and bonds .....	466	30 990 122	1 484 239	4.8	1.4	46.2
	55491	Fees and commissions .....	310	28 887 132	601 883	2.1	.6	X
	55492	Net gains (losses) in trading accounts, excluding interest income .....	162	10 323 739	471 315	4.6	.5	X
	55493	Interest income from trading accounts .....	178	20 874 326	409 661	2.0	.4	X
	55494	Margin interest .....	13	155 261	1 380	.9	Z	X
	55610	Brokering and dealing products, equities .....	2 642	90 554 186	27 947 798	30.9	26.9	62.0
	55611	Fees and commissions .....	2 427	88 781 135	16 570 809	18.7	15.9	X
	55612	Net gains (losses) in trading accounts, excluding interest income .....	639	18 720 267	2 133 255	11.4	2.1	X
	55613	Interest income from trading accounts .....	661	37 216 865	9 022 108	24.2	8.7	X
	55614	Margin interest .....	287	31 444 941	221 626	.7	.2	X
		Brokering and dealing products - derivative contracts:						
	55710	Futures contracts, exchange-traded .....	276	31 648 512	2 556 594	8.1	2.5	71.4
	55711	Fees and commissions .....	224	29 117 580	368 201	1.3	.4	X
	55712	Net gains (losses) in trading accounts, excluding interest income .....	44	8 282 416	2 071 173	25.0	2.0	X
	55713	Interest income from trading accounts .....	30	11 520 375	117 118	1.0	.1	X
	55720	Option contracts, exchange-traded .....	432	22 566 259	719 757	3.2	.7	64.7
	55721	Fees and commissions .....	283	20 279 846	283 430	1.4	.3	X
	55722	Net gains (losses) in trading accounts, excluding interest income .....	155	2 602 341	292 499	11.2	.3	X
	55723	Interest income from trading accounts .....	90	12 717 215	142 381	1.1	.1	X
	55724	Margin interest .....	9	5 867	1 447	24.7	Z	X
	55730	Forward contracts, traded over-the-counter .....	29	2 024 057	40 564	2.0	Z	71.5
	55731	Fees and commissions .....	26	21 779	19 762	90.7	Z	X
	55740	Swaps, traded over-the-counter .....	37	7 811 237	142 495	1.8	.1	52.4
	55741	Fees and commissions .....	28	7 797 333	135 382	1.7	.1	X
	55742	Net gains (losses) in trading accounts, excluding interest income .....	9	13 904	6 657	47.9	Z	X
	55760	Other derivative contracts, traded over-the-counter .....	74	19 149 492	298 512	1.6	.3	71.5
	55761	Fees and commissions .....	74	19 149 492	298 512	1.6	.3	X
	55810	Brokering and dealing foreign currency fees - wholesale .....	11	6 241	1 040	16.7	Z	X
	55910	Brokering and dealing investment company securities, including mutual funds, close-end funds, and unit investment trusts .....	464	7 202 772	199 701	2.8	.2	X
	56010	Brokering and dealing other financial instruments, including commodity pools and face-amount certificates .....	61	281 870	34 324	12.2	Z	X
	56110	Brokerage correspondent products - fees .....	93	240 266	90 490	37.7	.1	X
	56210	Securities lending fees, including securities borrowed from a broker's inventory and margin accounts .....	233	26 540 525	2 000 134	7.5	1.9	X
	56310	Repurchase agreements - net gains (losses) .....	236	41 442 202	5 491 789	13.3	5.3	X
	56410	Financing related to securities, excluding securities lending and repurchase agreements - net .....	29	6 006 644	162 257	2.7	.2	X
	56510	Trading debt instruments on own account - net gains (losses) .....	305	54 577 770	11 949 841	21.9	11.5	X
	56610	Trading equities on own account - net gain (losses) .....	599	51 505 280	2 104 146	4.1	2.0	X
	56710	Trading derivatives contracts on own account - net gain (losses) .....	87	23 507 560	2 635 642	11.2	2.5	X

See footnotes at end of table.

**Table 3. Product Lines by Kind of Business for the United States: 2002—Con.**

[These data are preliminary and are subject to change; they will be superseded by data released in later reports. Includes only establishments of firms with payroll. For meaning of abbreviations and symbols, see introductory text. For explanation of terms, see Appendix A. Data based on the 2002 Economic Census. For information on confidentiality protection, sampling error, nonsampling error, and definitions, see note at end of table.]

2002 NAICS code	2002 Product line code	Kind of business and product line	Establishments with the product line		Product line revenue			Response coverage <sup>2</sup> (percent)
			Number	Total revenue (\$1,000)	Amount <sup>1</sup> (\$1,000)	As percent of total revenue of—		
						Establishments with the product line	All establishments <sup>1</sup>	
523110		Investment banking and securities dealing—Con.						
	56810	Trading foreign currency on own account - net gain (losses) . . . . .	19	20 245 770	86 329	.4	.1	X
	56910	Trading other securities and commodity contracts on own account - net gain (losses) . . . . .	50	36 303 011	523 176	1.4	.5	X
	57410	Trust products for business and governments - fiduciary fees . . . . .	21	19 528 093	135 214	.7	.1	X
		Management of financial market and clearing products:						
	57510	Payment clearing and settlement fees . . . . .	69	294 352	28 083	9.5	Z	X
	57520	Security and commodity contract trade execution, clearing and settlement fees . . . . .	24	6 602 628	88 409	1.3	.1	X
	57530	Access fees for security and commodity contract trade execution and clearing systems . . . . .	11	593 904	8 321	1.4	Z	X
		Financial planning and investment management products:						
	57710	Financial planning and investment management services for businesses and government . . . . .	814	57 528 566	7 652 100	13.3	7.4	X
	57720	Financial planning and investment management services for individuals . . . . .	647	36 673 291	4 427 755	12.1	4.3	X
	57810	Other products supporting financial services - fees . . . . .	1 145	71 060 416	13 050 279	18.4	12.5	X
52312		Securities brokerage . . . . .	27 479	X	104 811 597	X	100.0	61.9
		Loan income:						
	55010	Loans to financial businesses . . . . .	73	761 980	44 019	5.8	Z	61.7
	55011	Interest income . . . . .	62	728 468	42 852	5.9	Z	X
	55012	Origination fees . . . . .	8	32 940	1 070	3.2	Z	X
	55020	Loans to nonfinancial businesses - secured . . . . .	84	349 023	50 307	14.4	Z	61.9
	55021	Interest income . . . . .	46	329 816	15 118	4.6	Z	X
	55022	Origination fees . . . . .	22	4 122	481	11.7	Z	X
	55023	Other fees . . . . .	33	193 767	34 708	17.9	Z	X
	55030	Loans to nonfinancial businesses - unsecured . . . . .	71	361 600	10 480	2.9	Z	61.9
	55031	Interest income . . . . .	66	331 482	3 851	1.2	Z	X
	55040	Loans to governments . . . . .	46	491 566	8 385	1.7	Z	61.7
	55041	Interest income . . . . .	46	491 566	8 385	1.7	Z	X
		Securities origination products:						
	55310	Public offering products - equity securities . . . . .	4 868	16 405 111	1 556 374	9.5	1.5	X
	55320	Public offering products - debt securities . . . . .	3 494	12 688 492	583 772	4.6	.6	X
	55330	Private placement - equity securities . . . . .	627	3 210 379	83 845	2.6	.1	X
	55340	Private placement - debt securities . . . . .	204	1 552 260	138 344	8.9	.1	X
		Brokering and dealing products - debt instruments:						
	55410	Negotiable certificates of deposit . . . . .	5 425	18 240 362	593 204	3.3	.6	61.0
	55411	Fees and commissions . . . . .	5 395	16 816 337	469 989	2.8	.4	X
	55412	Net gains (losses) in trading accounts, excluding interest income . . . . .	50	3 366 806	59 908	1.8	.1	X
	55413	Interest income from trading accounts . . . . .	58	1 844 465	56 354	3.1	.1	X
	55414	Margin interest . . . . .	14	11 856	6 953	58.6	Z	X
	55420	Commercial paper issued by financial institutions . . . . .	258	2 799 518	288 218	10.3	.3	61.8
	55421	Fees and commissions . . . . .	252	1 473 470	283 442	19.2	.3	X
	55422	Net gains (losses) in trading accounts, excluding interest income . . . . .	14	720 400	23	Z	Z	X
	55423	Interest income from trading accounts . . . . .	8	1 350 264	4 753	.4	Z	X
	55430	Commercial paper issued by nonfinancial institutions . . . . .	95	502 048	45 067	9.0	Z	44.5
	55431	Fees and commissions . . . . .	86	233 859	7 109	3.0	Z	X
	55433	Interest income from trading accounts . . . . .	6	263 108	37 922	14.4	Z	X
	55450	Treasury bills . . . . .	1 224	11 242 092	1 537 509	13.7	1.5	61.8
	55451	Fees and commissions . . . . .	1 194	9 734 865	210 272	2.2	.2	X
	55452	Net gains (losses) in trading accounts, excluding interest income . . . . .	38	5 333 136	364 609	6.8	.3	X
	55453	Interest income from trading accounts . . . . .	60	5 315 486	958 907	18.0	.9	X
	55454	Margin interest . . . . .	8	100 055	3 721	3.7	Z	X
	55460	Other money market instruments . . . . .	2 129	8 286 405	587 964	7.1	.6	59.7
	55461	Fees and commissions . . . . .	1 946	7 332 060	430 679	5.9	.4	X
	55462	Net gains (losses) in trading accounts, excluding interest income . . . . .	107	2 770 725	50 159	1.8	Z	X
	55463	Interest income from trading accounts . . . . .	177	2 720 344	105 045	3.9	.1	X
	55464	Margin interest . . . . .	62	116 341	2 081	1.8	Z	X
	55470	Corporate and trust notes and bonds . . . . .	6 880	21 571 275	2 234 471	10.4	2.1	59.0
	55471	Fees and commissions . . . . .	6 779	20 519 244	1 801 675	8.8	1.7	X
	55472	Net gains (losses) in trading accounts, excluding interest income . . . . .	222	4 853 537	262 863	5.4	.3	X
	55473	Interest income from trading accounts . . . . .	203	4 633 294	167 184	3.6	.2	X
	55474	Margin interest . . . . .	262	400 579	2 749	.7	Z	X
	55480	National government notes and bonds . . . . .	5 718	29 586 218	4 062 294	13.7	3.9	38.9
	55481	Fees and commissions . . . . .	5 619	23 972 825	3 491 821	14.6	3.3	X
	55482	Net gains (losses) in trading accounts, excluding interest income . . . . .	152	8 567 873	468 956	5.5	.4	X
	55483	Interest income from trading accounts . . . . .	251	8 583 554	100 380	1.2	.1	X
	55484	Margin interest . . . . .	62	186 985	1 137	.6	Z	X
	55490	State provincial and local governments notes and bonds . . . . .	6 448	29 033 860	2 850 732	9.8	2.7	45.9
	55491	Fees and commissions . . . . .	6 327	26 025 662	2 249 484	8.6	2.1	X
	55492	Net gains (losses) in trading accounts, excluding interest income . . . . .	205	10 148 786	437 929	4.3	.4	X
	55493	Interest income from trading accounts . . . . .	359	8 963 043	159 185	1.8	.2	X
	55494	Margin interest . . . . .	112	189 010	4 134	2.2	Z	X
	55610	Brokering and dealing products, equities . . . . .	22 252	90 703 956	51 473 546	56.7	49.1	35.6
	55611	Fees and commissions . . . . .	22 080	89 787 846	41 754 311	46.5	39.8	X
	55612	Net gains (losses) in trading accounts, excluding interest income . . . . .	3 541	19 462 348	3 899 636	20.0	3.7	X
	55613	Interest income from trading accounts . . . . .	1 223	14 247 777	1 752 674	12.3	1.7	X
	55614	Margin interest . . . . .	5 665	27 649 287	4 066 925	14.7	3.9	X
		Brokering and dealing products - derivative contracts:						
	55710	Futures contracts, exchange-traded . . . . .	825	7 641 814	1 333 137	17.4	1.3	41.3
	55711	Fees and commissions . . . . .	704	7 213 185	853 556	11.8	.8	X
	55712	Net gains (losses) in trading accounts, excluding interest income . . . . .	143	3 070 175	138 564	4.5	.1	X
	55713	Interest income from trading accounts . . . . .	82	2 745 322	338 977	12.3	.3	X
	55714	Margin interest . . . . .	35	299 253	2 040	.7	Z	X
	55720	Option contracts, exchange-traded . . . . .	4 181	15 290 964	671 808	4.4	.6	59.6
	55721	Fees and commissions . . . . .	4 136	15 144 171	566 274	3.7	.5	X
	55722	Net gains (losses) in trading accounts, excluding interest income . . . . .	53	2 087 981	51 635	2.5	Z	X
	55723	Interest income from trading accounts . . . . .	68	1 971 158	50 520	2.6	Z	X

See footnotes at end of table.



**Table 3. Product Lines by Kind of Business for the United States: 2002—Con.**

[These data are preliminary and are subject to change; they will be superseded by data released in later reports. Includes only establishments of firms with payroll. For meaning of abbreviations and symbols, see introductory text. For explanation of terms, see Appendix A. Data based on the 2002 Economic Census. For information on confidentiality protection, sampling error, nonsampling error, and definitions, see note at end of table.]

2002 NAICS code	2002 Product line code	Kind of business and product line	Establishments with the product line		Product line revenue			Response coverage <sup>2</sup> (percent)
			Number	Total revenue (\$1,000)	Amount <sup>1</sup> (\$1,000)	As percent of total revenue of—		
						Establishments with the product line	All establishments <sup>1</sup>	
52312		Securities brokerage—Con.						
		Brokering and dealing products - derivative contracts—Con.						
		Option contracts, exchange-traded—Con.						
	55720	Margin interest .....	211	372 794	3 379	.9	Z	X
	55724	Forward contracts, traded over-the-counter .....	166	2 073 173	122 824	5.9	.1	61.9
	55730	Fees and commissions .....	160	2 072 572	69 684	3.4	Z	X
	55731	Net gains (losses) in trading accounts, excluding interest income .....	25	1 761 865	17 634	1.0	Z	X
	55732	Interest income from trading accounts .....	25	1 761 368	35 257	2.0	Z	X
	55733	Margin interest .....	6	1 659	49	3.0	Z	X
	55734	Swaps, traded over-the-counter .....	35	695 949	64 980	9.3	.1	61.9
	55741	Fees and commissions .....	29	694 578	64 287	9.3	.1	X
	55742	Net gains (losses) in trading accounts, excluding interest income .....	6	1 371	693	50.5	Z	X
	55750	Option contracts traded over-the-counter .....	2 544	7 019 233	185 508	2.6	.2	61.9
	55751	Fees and commissions .....	2 541	7 018 250	132 246	1.9	.1	X
	55752	Net gains (losses) in trading accounts, excluding interest income .....	22	1 761 547	17 586	1.0	Z	X
	55753	Interest income from trading accounts .....	24	1 762 529	35 665	2.0	Z	X
	55760	Other derivative contracts, traded over-the-counter .....	109	3 279 555	236 862	7.2	.2	61.1
	55761	Fees and commissions .....	109	3 279 555	184 832	5.6	.2	X
	55762	Net gains (losses) in trading accounts, excluding interest income .....	30	1 822 613	26 015	1.4	Z	X
	55763	Interest income from trading accounts .....	30	1 822 613	26 015	1.4	Z	X
	55810	Brokering and dealing foreign currency fees - wholesale .....	92	293 472	48 211	16.4	Z	X
	55910	Brokering and dealing investment company securities, including mutual funds, close-end funds, and unit investment trusts .....	13 654	23 676 940	8 004 062	33.8	7.6	X
	56010	Brokering and dealing other financial instruments, including commodity pools and face-amount certificates .....	3 149	7 840 956	524 032	6.7	.5	X
	56110	Brokerage correspondent products - fees .....	277	3 496 515	224 286	6.4	.2	X
	56210	Securities lending fees, including securities borrowed from a broker's inventory and margin accounts .....	375	9 198 266	251 535	2.7	.2	X
	56310	Repurchase agreements - net gains (losses) .....	296	8 590 358	2 347 663	27.3	2.2	X
	56410	Financing related to securities, excluding securities lending and repurchase agreements - net .....	426	9 363 868	792 336	8.5	.8	X
	56510	Trading debt instruments on own account - net gains (losses) .....	315	8 372 350	552 329	6.6	.5	X
	56610	Trading equities on own account - net gain (losses) .....	1 716	10 813 412	658 184	6.1	.6	X
	56710	Trading derivatives contracts on own account - net gain (losses) .....	76	4 569 786	2 111 848	46.2	2.0	X
	56810	Trading foreign currency on own account - net gain (losses) .....	16	2 386 560	2 096	.1	Z	X
	56910	Trading other securities and commodity contracts on own account - net gain (losses) .....	92	3 109 760	113 191	3.6	.1	X
	57410	Trust products for business and governments - fiduciary fees .....	1 423	5 549 774	116 335	2.1	.1	X
		Management of financial market and clearing products:						
	57510	Payment clearing and settlement fees .....	402	7 251 914	293 457	4.0	.3	X
	57520	Security and commodity contract trade execution, clearing and settlement fees .....	1 282	6 076 976	156 161	2.6	.1	X
	57530	Access fees for security and commodity contract trade execution and clearing systems .....	57	1 879 272	24 106	1.3	Z	X
	57540	Security and commodity contract exchange and clearinghouse listing fees .....	27	1 814 289	18 865	1.0	Z	X
		Financial planning and investment management products:						
	57710	Financial planning and investment management services for businesses and government .....	1 816	15 238 558	3 446 033	22.6	3.3	X
	57720	Financial planning and investment management services for individuals .....	11 202	42 157 321	12 136 576	28.8	11.6	X
	57810	Other products supporting financial services - fees .....	10 157	37 922 932	4 226 841	11.1	4.0	X
523120		Securities brokerage .....	27 479	X	104 811 597	X	100.0	61.9
		Loan income:						
	55010	Loans to financial businesses .....	73	761 980	44 019	5.8	Z	61.7
	55011	Interest income .....	62	728 468	42 852	5.9	Z	X
	55012	Origination fees .....	8	32 940	1 070	3.2	Z	X
	55020	Loans to nonfinancial businesses - secured .....	84	349 023	50 307	14.4	Z	61.9
	55021	Interest income .....	46	329 816	15 118	4.6	Z	X
	55022	Origination fees .....	22	4 122	481	11.7	Z	X
	55023	Other fees .....	33	193 767	34 708	17.9	Z	X
	55030	Loans to nonfinancial businesses - unsecured .....	71	361 600	10 480	2.9	Z	61.9
	55031	Interest income .....	66	331 482	3 851	1.2	Z	X
	55040	Loans to governments .....	46	491 566	8 385	1.7	Z	61.7
	55041	Interest income .....	46	491 566	8 385	1.7	Z	X
		Securities origination products:						
	55310	Public offering products - equity securities .....	4 868	16 405 111	1 556 374	9.5	1.5	X
	55320	Public offering products - debt securities .....	3 494	12 688 492	583 772	4.6	.6	X
	55330	Private placement - equity securities .....	627	3 210 379	83 845	2.6	.1	X
	55340	Private placement - debt securities .....	204	1 552 260	138 344	8.9	.1	X
		Brokering and dealing products - debt instruments:						
	55410	Negotiable certificates of deposit .....	5 425	18 240 362	593 204	3.3	.6	61.0
	55411	Fees and commissions .....	5 395	16 816 337	469 989	2.8	.4	X
	55412	Net gains (losses) in trading accounts, excluding interest income .....	3	3 366 806	59 908	1.8	.1	X
	55413	Interest income from trading accounts .....	58	1 844 465	56 354	3.1	.1	X
	55414	Margin interest .....	14	11 856	6 953	58.6	Z	X
	55420	Commercial paper issued by financial institutions .....	258	2 799 518	288 218	10.3	.3	61.8
	55421	Fees and commissions .....	252	1 473 470	283 442	19.2	.3	X
	55422	Net gains (losses) in trading accounts, excluding interest income .....	14	720 400	23	Z	Z	X
	55423	Interest income from trading accounts .....	8	1 350 264	4 753	.4	Z	X
	55430	Commercial paper issued by nonfinancial institutions .....	95	502 048	45 067	9.0	Z	44.5
	55431	Fees and commissions .....	86	233 859	7 109	3.0	Z	X
	55433	Interest income from trading accounts .....	6	263 108	37 922	14.4	Z	X

See footnotes at end of table.

**Table 3. Product Lines by Kind of Business for the United States: 2002—Con.**

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2002 NAICS code	2002 Product line code	Kind of business and product line	Establishments with the product line		Product line revenue			Response coverage <sup>2</sup> (percent)
			Number	Total revenue (\$1,000)	Amount <sup>1</sup> (\$1,000)	As percent of total revenue of—		
						Establishments with the product line	All establishments <sup>1</sup>	
523120		Securities brokerage—Con.						
		Brokering and dealing products - debt instruments—Con.						
	55450	Treasury bills .....	1 224	11 242 092	1 537 509	13.7	1.5	61.8
	55451	Fees and commissions .....	1 194	9 734 865	210 272	2.2	.2	X
	55452	Net gains (losses) in trading accounts, excluding interest income ...	38	5 333 136	364 609	6.8	.3	X
	55453	Interest income from trading accounts .....	60	5 315 486	958 907	18.0	.9	X
	55454	Margin interest .....	8	100 055	3 721	3.7	Z	X
	55460	Other money market instruments .....	2 129	8 286 405	587 964	7.1	.6	59.7
	55461	Fees and commissions .....	1 946	7 332 060	430 679	5.9	.4	X
	55462	Net gains (losses) in trading accounts, excluding interest income ...	107	2 770 725	50 159	1.8	Z	X
	55463	Interest income from trading accounts .....	177	2 720 344	105 045	3.9	.1	X
	55464	Margin interest .....	62	116 341	2 081	1.8	Z	X
	55470	Corporate and trust notes and bonds .....	6 880	21 571 275	2 234 471	10.4	2.1	59.0
	55471	Fees and commissions .....	6 779	20 519 244	1 801 675	8.8	1.7	X
	55472	Net gains (losses) in trading accounts, excluding interest income ...	222	4 853 537	262 863	5.4	.3	X
	55473	Interest income from trading accounts .....	203	4 633 294	167 184	3.6	.2	X
	55474	Margin interest .....	262	400 579	2 749	.7	Z	X
	55480	National government notes and bonds .....	5 718	29 586 218	4 062 294	13.7	3.9	38.9
	55481	Fees and commissions .....	5 619	23 972 825	3 491 821	14.6	3.3	X
	55482	Net gains (losses) in trading accounts, excluding interest income ...	152	8 567 873	468 956	5.5	.4	X
	55483	Interest income from trading accounts .....	251	8 583 554	100 380	1.2	.1	X
	55484	Margin interest .....	62	186 985	1 137	.6	Z	X
	55490	State provincial and local governments notes and bonds .....	6 448	29 033 860	2 850 732	9.8	2.7	45.9
	55491	Fees and commissions .....	6 327	26 025 662	2 249 484	8.6	2.1	X
	55492	Net gains (losses) in trading accounts, excluding interest income ...	205	10 148 786	437 929	4.3	.4	X
	55493	Interest income from trading accounts .....	359	8 963 043	159 185	1.8	.2	X
	55494	Margin interest .....	112	189 010	4 134	2.2	Z	X
	55610	Brokering and dealing products, equities .....	22 252	90 703 956	51 473 546	56.7	49.1	35.6
	55611	Fees and commissions .....	22 080	89 787 846	41 754 311	46.5	39.8	X
	55612	Net gains (losses) in trading accounts, excluding interest income ...	3 541	19 462 348	3 899 636	20.0	3.7	X
	55613	Interest income from trading accounts .....	1 223	14 247 777	1 752 674	12.3	1.7	X
	55614	Margin interest .....	5 665	27 649 287	4 066 925	14.7	3.9	X
		Brokering and dealing products - derivative contracts:						
	55710	Futures contracts, exchange-traded .....	825	7 641 814	1 333 137	17.4	1.3	41.3
	55711	Fees and commissions .....	704	7 213 185	853 556	11.8	.8	X
	55712	Net gains (losses) in trading accounts, excluding interest income ...	143	3 070 175	138 564	4.5	.1	X
	55713	Interest income from trading accounts .....	82	2 745 322	338 977	12.3	.3	X
	55714	Margin interest .....	35	299 253	2 040	.7	Z	X
	55720	Option contracts, exchange-traded .....	4 181	15 290 964	671 808	4.4	.6	59.6
	55721	Fees and commissions .....	4 136	15 144 171	566 274	3.7	.5	X
	55722	Net gains (losses) in trading accounts, excluding interest income ...	53	2 087 981	51 635	2.5	Z	X
	55723	Interest income from trading accounts .....	68	1 971 158	50 520	2.6	Z	X
	55724	Margin interest .....	211	372 794	3 379	.9	Z	X
	55730	Forward contracts, traded over-the-counter .....	166	2 073 173	122 624	5.9	.1	61.9
	55731	Fees and commissions .....	160	2 072 572	69 684	3.4	.1	X
	55732	Net gains (losses) in trading accounts, excluding interest income ...	25	1 761 865	17 634	1.0	Z	X
	55733	Interest income from trading accounts .....	25	1 761 368	35 257	2.0	Z	X
	55734	Margin interest .....	6	1 659	49	3.0	Z	X
	55740	Swaps, traded over-the-counter .....	35	695 949	64 980	9.3	.1	61.9
	55741	Fees and commissions .....	29	694 578	64 287	9.3	.1	X
	55742	Net gains (losses) in trading accounts, excluding interest income ...	6	1 371	693	50.5	Z	X
	55750	Option contracts traded over-the-counter .....	2 544	7 019 233	185 508	2.6	.2	61.9
	55751	Fees and commissions .....	2 541	7 018 250	132 246	1.9	.1	X
	55752	Net gains (losses) in trading accounts, excluding interest income ...	22	1 761 547	17 586	1.0	Z	X
	55753	Interest income from trading accounts .....	24	1 762 529	35 665	2.0	Z	X
	55760	Other derivative contracts, traded over-the-counter .....	109	3 279 555	236 862	7.2	.2	61.1
	55761	Fees and commissions .....	109	3 279 555	184 832	5.6	.2	X
	55762	Net gains (losses) in trading accounts, excluding interest income ...	30	1 822 613	26 015	1.4	Z	X
	55763	Interest income from trading accounts .....	30	1 822 613	26 015	1.4	Z	X
	55810	Brokering and dealing foreign currency fees - wholesale .....	92	293 472	48 211	16.4	Z	X
	55910	Brokering and dealing investment company securities, including mutual funds, close-end funds, and unit investment trusts .....	13 654	23 676 940	8 004 062	33.8	7.6	X
	56010	Brokering and dealing other financial instruments, including commodity pools and face-amount certificates .....	3 149	7 840 956	524 032	6.7	.5	X
	56110	Brokerage correspondent products - fees .....	277	3 496 515	224 286	6.4	.2	X
	56210	Securities lending fees, including securities borrowed from a broker's inventory and margin accounts .....	375	9 198 266	251 535	2.7	.2	X
	56310	Repurchase agreements - net gains (losses) .....	296	8 590 358	2 347 663	27.3	2.2	X
	56410	Financing related to securities, excluding securities lending and repurchase agreements - net .....	426	9 363 868	792 336	8.5	.8	X
	56510	Trading debt instruments on own account - net gains (losses) .....	315	8 372 350	552 329	6.6	.5	X
	56610	Trading equities on own account - net gain (losses) .....	1 716	10 813 412	658 184	6.1	.6	X
	56710	Trading derivatives contracts on own account - net gain (losses) .....	76	4 569 786	2 111 848	46.2	2.0	X
	56810	Trading foreign currency on own account - net gain (losses) .....	16	2 386 560	2 096	.1	Z	X
	56910	Trading other securities and commodity contracts on own account - net gain (losses) .....	92	3 109 760	113 191	3.6	.1	X
	57410	Trust products for business and governments - fiduciary fees .....	1 423	5 549 774	116 335	2.1	.1	X
		Management of financial market and clearing products:						
	57510	Payment clearing and settlement fees .....	402	7 251 914	293 457	4.0	.3	X
	57520	Security and commodity contract trade execution, clearing and settlement fees .....	1 282	6 076 976	156 161	2.6	.1	X
	57530	Access fees for security and commodity contract trade execution and clearing systems .....	57	1 879 272	24 106	1.3	Z	X
	57540	Security and commodity contract exchange and clearinghouse listing fees .....	27	1 814 289	18 865	1.0	Z	X
		Financial planning and investment management products:						
	57710	Financial planning and investment management services for businesses and government .....	1 816	15 238 558	3 446 033	22.6	3.3	X
	57720	Financial planning and investment management services for individuals .....	11 202	42 157 321	12 136 576	28.8	11.6	X

See footnotes at end of table.

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2002 NAICS code	2002 Product line code	Kind of business and product line	Establishments with the product line		Product line revenue			Response coverage <sup>2</sup> (percent)
			Number	Total revenue (\$1,000)	Amount <sup>1</sup> (\$1,000)	As percent of total revenue of—		
						Establishments with the product line	All establishments <sup>1</sup>	
523120		Securities brokerage—Con.						
	57810	Other products supporting financial services - fees .....	10 157	37 922 932	4 226 841	11.1	4.0	X
52313		Commodity contracts dealing .....	1 085	X	3 904 504	X	100.0	82.2
		Loan income:						
	55010	Loans to financial businesses .....	6	28 269	2 304	8.2	.1	82.0
	55011	Interest income .....	6	28 269	2 304	8.2	.1	X
	55030	Loans to nonfinancial businesses - unsecured .....	12	76 997	13 510	17.5	.3	82.2
	55031	Interest income .....	12	76 997	13 510	17.5	.3	X
		Securities origination products:						
	55310	Public offering products - equity securities .....	6	8 629	3 436	39.8	.1	X
		Brokering and dealing products - debt instruments:						
	55410	Negotiable certificates of deposit .....	6	30 572	1 718	5.6	Z	82.2
	55413	Interest income from trading accounts .....	6	30 572	890	2.9	Z	X
	55450	Treasury bills .....	6	45 448	1 796	4.0	Z	82.2
	55451	Fees and commissions .....	6	45 448	700	1.5	Z	X
	55460	Other money market instruments .....	15	49 665	2 772	5.6	.1	82.2
	55462	Net gains (losses) in trading accounts, excluding interest income .....	6	28 188	725	2.6	Z	X
	55463	Interest income from trading accounts .....	12	48 972	1 772	3.6	Z	X
	55470	Corporate and trust notes and bonds .....	6	28 112	1 406	5.0	Z	82.2
	55471	Fees and commissions .....	6	28 112	862	3.1	Z	X
	55480	National government notes and bonds .....	9	31 314	4 646	14.8	.1	82.2
	55482	Net gains (losses) in trading accounts, excluding interest income .....	9	31 314	4 009	12.8	.1	X
	55483	Interest income from trading accounts .....	6	29 657	345	1.2	Z	X
	55490	State provincial and local governments notes and bonds .....	6	27 878	1 679	6.0	Z	82.2
	55493	Interest income from trading accounts .....	6	27 878	583	2.1	Z	X
	55610	Brokering and dealing products, equities .....	95	449 447	192 414	42.8	4.9	82.2
	55611	Fees and commissions .....	80	438 854	103 200	23.5	2.6	X
	55612	Net gains (losses) in trading accounts, excluding interest income .....	28	133 027	87 292	65.6	2.2	X
	55613	Interest income from trading accounts .....	18	130 861	1 922	1.5	Z	X
		Brokering and dealing products - derivative contracts:						
	55710	Futures contracts, exchange-traded .....	405	1 094 432	717 062	65.5	18.4	79.9
	55711	Fees and commissions .....	284	888 099	524 043	59.0	13.4	X
	55712	Net gains (losses) in trading accounts, excluding interest income .....	153	291 962	135 740	46.5	3.5	X
	55713	Interest income from trading accounts .....	112	698 926	52 016	7.4	1.3	X
	55714	Margin interest .....	22	55 991	5 263	9.4	.1	X
	55720	Option contracts, exchange-traded .....	169	627 493	123 695	19.7	3.2	80.3
	55721	Fees and commissions .....	105	533 482	91 972	17.2	2.4	X
	55722	Net gains (losses) in trading accounts, excluding interest income .....	71	106 429	22 859	21.5	.6	X
	55723	Interest income from trading accounts .....	51	311 757	8 138	2.6	.2	X
	55724	Margin interest .....	17	17 482	726	4.2	Z	X
	55730	Forward contracts, traded over-the-counter .....	40	301 584	29 674	9.8	.8	81.9
	55731	Fees and commissions .....	37	296 928	26 567	8.9	.7	X
	55732	Net gains (losses) in trading accounts, excluding interest income .....	23	59 898	2 307	3.9	.1	X
	55740	Swaps, traded over-the-counter .....	18	268 630	9 254	3.4	.2	82.2
	55741	Fees and commissions .....	9	236 953	2 817	1.2	.1	X
	55742	Net gains (losses) in trading accounts, excluding interest income .....	9	31 677	6 437	20.3	.2	X
	55750	Option contracts traded over-the-counter .....	21	67 470	25 067	37.2	.6	82.2
	55751	Fees and commissions .....	12	34 211	4 454	13.0	.1	X
	55752	Net gains (losses) in trading accounts, excluding interest income .....	12	60 768	20 063	33.0	.5	X
	55760	Other derivative contracts, traded over-the-counter .....	21	44 707	3 983	8.9	.1	76.0
	55761	Fees and commissions .....	17	35 269	2 874	8.1	.1	X
	55763	Interest income from trading accounts .....	8	39 205	631	1.6	Z	X
	55810	Brokering and dealing foreign currency fees - wholesale .....	129	353 514	225 563	63.8	5.8	X
	56010	Brokering and dealing other financial instruments, including commodity pools and face-amount certificates .....	49	701 483	674 347	96.1	17.3	X
	56110	Brokerage correspondent products - fees .....	6	9 839	2 108	21.4	.1	X
	56210	Securities lending fees, including securities borrowed from a broker's inventory and margin accounts .....	6	241 884	2 967	1.2	.1	X
	56310	Repurchase agreements - net gains (losses) .....	12	339 223	22 021	6.5	.6	X
	56410	Financing related to securities, excluding securities lending and repurchase agreements - net .....	6	28 542	976	3.4	Z	X
	56510	Trading debt instruments on own account - net gains (losses) .....	18	245 945	8 902	3.6	.2	X
	56610	Trading equities on own account - net gain (losses) .....	37	48 103	3 944	8.2	.1	X
	56710	Trading derivatives contracts on own account - net gain (losses) .....	67	990 416	825 140	83.3	21.1	X
	56810	Trading foreign currency on own account - net gain (losses) .....	104	347 657	238 565	68.6	6.1	X
	56910	Trading other securities and commodity contracts on own account - net gain (losses) .....	138	446 402	263 788	59.1	6.8	X
	57410	Trust products for business and governments - fiduciary fees .....	6	351	117	33.3	Z	X
		Management of financial market and clearing products:						
	57510	Payment clearing and settlement fees .....	31	237 433	157 117	66.2	4.0	X
	57520	Security and commodity contract trade execution, clearing and settlement fees .....	49	430 550	246 179	57.2	6.3	X
		Financial planning and investment management products:						
	57710	Financial planning and investment management services for businesses and government .....	31	47 244	11 050	23.4	.3	X
	57720	Financial planning and investment management services for individuals .....	28	58 529	26 629	45.5	.7	X
	57810	Other products supporting financial services - fees .....	92	1 250 222	59 778	4.8	1.5	X
523130		Commodity contracts dealing .....	1 085	X	3 904 504	X	100.0	82.2
		Loan income:						
	55010	Loans to financial businesses .....	6	28 269	2 304	8.2	.1	82.0
	55011	Interest income .....	6	28 269	2 304	8.2	.1	X
	55030	Loans to nonfinancial businesses - unsecured .....	12	76 997	13 510	17.5	.3	82.2
	55031	Interest income .....	12	76 997	13 510	17.5	.3	X

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						Establishments with the product line	All establishments <sup>1</sup>	
523130		Commodity contracts dealing—Con.						
	55310	Securities origination products: Public offering products - equity securities .....	6	8 629	3 436	39.8	.1	X
	55410	Brokering and dealing products - debt instruments: Negotiable certificates of deposit .....	6	30 572	1 718	5.6	Z	82.2
	55413	Interest income from trading accounts .....	6	30 572	890	2.9	Z	X
	55450	Treasury bills .....	6	45 448	1 796	4.0	Z	79.2
	55451	Fees and commissions .....	6	45 448	700	1.5	Z	X
	55460	Other money market instruments .....	15	49 665	2 772	5.6	.1	82.2
	55462	Net gains (losses) in trading accounts, excluding interest income .....	6	28 188	725	2.6	Z	X
	55463	Interest income from trading accounts .....	12	48 972	1 772	3.6	Z	X
	55470	Corporate and trust notes and bonds .....	6	28 112	1 406	5.0	Z	82.2
	55471	Fees and commissions .....	6	28 112	862	3.1	Z	X
	55480	National government notes and bonds .....	9	31 314	4 646	14.8	.1	82.2
	55482	Net gains (losses) in trading accounts, excluding interest income .....	9	31 314	4 009	12.8	.1	X
	55483	Interest income from trading accounts .....	6	29 657	345	1.2	Z	X
	55490	State provincial and local governments notes and bonds .....	6	27 878	1 679	6.0	Z	82.2
	55493	Interest income from trading accounts .....	6	27 878	583	2.1	Z	X
	55610	Brokering and dealing products, equities .....	95	449 447	192 414	42.8	4.9	82.2
	55611	Fees and commissions .....	80	438 854	103 200	23.5	2.6	X
	55612	Net gains (losses) in trading accounts, excluding interest income .....	28	133 027	87 292	65.6	2.2	X
	55613	Interest income from trading accounts .....	18	130 861	1 922	1.5	Z	X
	55710	Brokering and dealing products - derivative contracts: Futures contracts, exchange-traded .....	405	1 094 432	717 062	65.5	18.4	79.9
	55711	Fees and commissions .....	284	888 099	524 043	59.0	13.4	X
	55712	Net gains (losses) in trading accounts, excluding interest income .....	153	291 962	135 740	46.5	3.5	X
	55713	Interest income from trading accounts .....	112	698 926	52 016	7.4	1.3	X
	55714	Margin interest .....	22	55 991	5 263	9.4	.1	X
	55720	Option contracts, exchange-traded .....	169	627 493	123 695	19.7	3.2	80.3
	55721	Fees and commissions .....	105	533 482	91 972	17.2	2.4	X
	55722	Net gains (losses) in trading accounts, excluding interest income .....	71	106 429	22 859	21.5	.6	X
	55723	Interest income from trading accounts .....	51	311 757	8 138	2.6	.2	X
	55724	Margin interest .....	17	17 482	726	4.2	Z	X
	55730	Forward contracts, traded over-the-counter .....	40	301 584	29 674	9.8	.8	81.9
	55731	Fees and commissions .....	37	296 928	26 567	8.9	.7	X
	55732	Net gains (losses) in trading accounts, excluding interest income .....	23	59 898	2 307	3.9	.1	X
	55740	Swaps, traded over-the-counter .....	18	268 630	9 254	3.4	.2	82.2
	55741	Fees and commissions .....	9	236 953	2 817	1.2	.1	X
	55742	Net gains (losses) in trading accounts, excluding interest income .....	9	31 677	6 437	20.3	.2	X
	55750	Option contracts traded over-the-counter .....	21	67 470	25 067	37.2	.6	82.2
	55751	Fees and commissions .....	12	34 211	4 454	13.0	.1	X
	55752	Net gains (losses) in trading accounts, excluding interest income .....	12	60 768	20 063	33.0	.5	X
	55760	Other derivative contracts, traded over-the-counter .....	21	44 707	3 983	8.9	.1	76.0
	55761	Fees and commissions .....	17	35 269	2 874	8.1	.1	X
	55763	Interest income from trading accounts .....	8	39 205	631	1.6	Z	X
	55810	Brokering and dealing foreign currency fees - wholesale .....	129	353 514	225 563	63.8	5.8	X
	56010	Brokering and dealing other financial instruments, including commodity pools and face-amount certificates .....	49	701 483	674 347	96.1	17.3	X
	56110	Brokerage correspondent products - fees .....	6	9 839	2 108	21.4	.1	X
	56210	Securities lending fees, including securities borrowed from a broker's inventory and margin accounts .....	6	241 884	2 967	1.2	.1	X
	56310	Repurchase agreements - net gains (losses) .....	12	339 223	22 021	6.5	.6	X
	56410	Financing related to securities, excluding securities lending and repurchase agreements - net .....	6	28 542	976	3.4	Z	X
	56510	Trading debt instruments on own account - net gains (losses) .....	18	245 945	8 902	3.6	.2	X
	56610	Trading equities on own account - net gain (losses) .....	37	48 103	3 944	8.2	.1	X
	56710	Trading derivatives contracts on own account - net gain (losses) .....	67	990 416	825 140	83.3	21.1	X
	56810	Trading foreign currency on own account - net gain (losses) .....	104	347 657	238 565	68.6	6.1	X
	56910	Trading other securities and commodity contracts on own account - net gain (losses) .....	138	446 402	263 788	59.1	6.8	X
	57410	Trust products for business and governments - fiduciary fees .....	6	351	117	33.3	Z	X
	57510	Management of financial market and clearing products: Payment clearing and settlement fees .....	31	237 433	157 117	66.2	4.0	X
	57520	Security and commodity contract trade execution, clearing and settlement fees .....	49	430 550	246 179	57.2	6.3	X
	57710	Financial planning and investment management products: Financial planning and investment management services for businesses and government .....	31	47 244	11 050	23.4	.3	X
	57720	Financial planning and investment management services for individuals .....	28	58 529	26 629	45.5	.7	X
	57810	Other products supporting financial services - fees .....	92	1 250 222	59 778	4.8	1.5	X
52314		Commodity contracts brokerage .....	1 411	X	2 880 603	X	100.0	68.9
	55030	Loan income: Loans to nonfinancial businesses - unsecured .....	7	4 407	4 350	98.7	.2	X
	55310	Securities origination products: Public offering products - equity securities .....	11	6 453	6 366	98.7	.2	X
	55330	Private placement - equity securities .....	9	4 379	778	17.8	Z	X
	55480	Brokering and dealing products - debt instruments: National government notes and bonds .....	14	14 777	2 103	14.2	.1	60.4
	55481	Fees and commissions .....	11	14 708	2 038	13.9	.1	X
	55490	State provincial and local governments notes and bonds .....	7	59 830	3 716	6.2	.1	66.2
	55491	Fees and commissions .....	7	59 830	3 716	6.2	.1	X
	55610	Brokering and dealing products, equities .....	185	620 050	116 807	18.8	4.1	68.7
	55611	Fees and commissions .....	180	616 925	104 923	17.0	3.6	X
	55612	Net gains (losses) in trading accounts, excluding interest income .....	19	17 020	2 382	14.0	.1	X
	55613	Interest income from trading accounts .....	14	519 447	5 548	1.1	.2	X

See footnotes at end of table.

**Table 3. Product Lines by Kind of Business for the United States: 2002—Con.**

[These data are preliminary and are subject to change; they will be superseded by data released in later reports. Includes only establishments of firms with payroll. For meaning of abbreviations and symbols, see introductory text. For explanation of terms, see Appendix A. Data based on the 2002 Economic Census. For information on confidentiality protection, sampling error, nonsampling error, and definitions, see note at end of table]

2002 NAICS code	2002 Product line code	Kind of business and product line	Establishments with the product line		Product line revenue			Response coverage <sup>2</sup> (percent)
			Number	Total revenue (\$1,000)	Amount <sup>1</sup> (\$1,000)	As percent of total revenue of—		
						Establishments with the product line	All establishments <sup>1</sup>	
52314		Commodity contracts brokerage—Con.						
		Brokering and dealing products - derivative contracts:						
	55710	Futures contracts, exchange-traded .....	971	2 035 002	1 455 121	71.5	50.5	53.0
	55711	Fees and commissions .....	945	2 021 245	1 340 108	66.3	46.5	X
	55712	Net gains (losses) in trading accounts, excluding interest income ...	80	155 861	12 078	7.7	.4	X
	55713	Interest income from trading accounts .....	112	1 106 553	86 172	7.8	3.0	X
	55714	Margin interest .....	23	199 125	16 763	8.4	.6	X
	55720	Option contracts, exchange-traded .....	424	1 182 833	342 644	29.0	11.9	39.5
	55721	Fees and commissions .....	413	1 176 174	329 835	28.0	11.5	X
	55722	Net gains (losses) in trading accounts, excluding interest income ...	14	6 778	1 542	22.8	.1	X
	55723	Interest income from trading accounts .....	20	905 127	11 205	1.2	.4	X
	55730	Forward contracts, traded over-the-counter .....	27	51 966	40 847	78.6	1.4	68.9
	55731	Fees and commissions .....	27	51 966	40 840	78.6	1.4	X
	55740	Swaps, traded over-the-counter .....	14	76 768	8 699	11.3	.3	66.8
	55741	Fees and commissions .....	14	76 768	8 697	11.3	.3	X
	55750	Option contracts traded over-the-counter .....	14	74 031	55 307	74.7	1.9	68.2
	55751	Fees and commissions .....	14	74 031	55 307	74.7	1.9	X
	55760	Other derivative contracts, traded over-the-counter .....	9	68 328	749	1.1	Z	68.5
	55761	Fees and commissions .....	9	68 328	749	1.1	Z	X
	55810	Brokering and dealing foreign currency fees - wholesale .....	7	70 546	5 099	7.2	.2	X
	55910	Brokering and dealing investment company securities, including mutual funds, close-end funds, and unit investment trusts .....	7	2 650	346	13.1	Z	X
	56010	Brokering and dealing other financial instruments, including commodity pools and face-amount certificates .....	23	40 501	39 666	97.9	1.4	X
	56110	Brokerage correspondent products - fees .....	7	979	979	100.0	Z	X
	56510	Trading debt instruments on own account - net gains (losses) .....	7	3 514	922	26.2	Z	X
	56710	Trading derivatives contracts on own account - net gain (losses) .....	23	436 699	112 112	25.7	3.9	X
	56910	Trading other securities and commodity contracts on own account - net gain (losses) .....	41	772 895	440 612	57.0	15.3	X
		Management of financial market and clearing products:						
	57510	Payment clearing and settlement fees .....	16	8 527	4 090	48.0	.1	X
	57520	Security and commodity contract trade execution, clearing and settlement fees .....	88	134 034	85 092	63.5	3.0	X
		Financial planning and investment management products:						
	57710	Financial planning and investment management services for businesses and government .....	11	1 440	807	56.0	Z	X
	57720	Financial planning and investment management services for individuals .....	29	466 571	134 581	28.8	4.7	X
	57810	Other products supporting financial services - fees .....	77	641 798	13 942	2.2	.5	X
523140		Commodity contracts brokerage .....	1 411	X	2 880 603	X	100.0	68.9
		Loan income:						
	55030	Loans to nonfinancial businesses - unsecured .....	7	4 407	4 350	98.7	.2	X
		Securities origination products:						
	55310	Public offering products - equity securities .....	11	6 453	6 366	98.7	.2	X
	55330	Private placement - equity securities .....	9	4 379	778	17.8	Z	X
		Brokering and dealing products - debt instruments:						
	55480	National government notes and bonds .....	14	14 777	2 103	14.2	.1	60.4
	55481	Fees and commissions .....	11	14 708	2 038	13.9	.1	X
	55490	State provincial and local governments notes and bonds .....	7	59 830	3 716	6.2	.1	66.2
	55491	Fees and commissions .....	7	59 830	3 716	6.2	.1	X
	55610	Brokering and dealing products, equities .....	185	620 050	116 807	18.8	4.1	68.7
	55611	Fees and commissions .....	180	616 925	104 923	17.0	3.6	X
	55612	Net gains (losses) in trading accounts, excluding interest income ...	19	17 020	2 382	14.0	.1	X
	55613	Interest income from trading accounts .....	14	519 447	5 548	1.1	.2	X
		Brokering and dealing products - derivative contracts:						
	55710	Futures contracts, exchange-traded .....	971	2 035 002	1 455 121	71.5	50.5	53.0
	55711	Fees and commissions .....	945	2 021 245	1 340 108	66.3	46.5	X
	55712	Net gains (losses) in trading accounts, excluding interest income ...	80	155 861	12 078	7.7	.4	X
	55713	Interest income from trading accounts .....	112	1 106 553	86 172	7.8	3.0	X
	55714	Margin interest .....	23	199 125	16 763	8.4	.6	X
	55720	Option contracts, exchange-traded .....	424	1 182 833	342 644	29.0	11.9	39.5
	55721	Fees and commissions .....	413	1 176 174	329 835	28.0	11.5	X
	55722	Net gains (losses) in trading accounts, excluding interest income ...	14	6 778	1 542	22.8	.1	X
	55723	Interest income from trading accounts .....	20	905 127	11 205	1.2	.4	X
	55730	Forward contracts, traded over-the-counter .....	27	51 966	40 847	78.6	1.4	68.9
	55731	Fees and commissions .....	27	51 966	40 840	78.6	1.4	X
	55740	Swaps, traded over-the-counter .....	14	76 768	8 699	11.3	.3	66.8
	55741	Fees and commissions .....	14	76 768	8 697	11.3	.3	X
	55750	Option contracts traded over-the-counter .....	14	74 031	55 307	74.7	1.9	68.2
	55751	Fees and commissions .....	14	74 031	55 307	74.7	1.9	X
	55760	Other derivative contracts, traded over-the-counter .....	9	68 328	749	1.1	Z	68.5
	55761	Fees and commissions .....	9	68 328	749	1.1	Z	X
	55810	Brokering and dealing foreign currency fees - wholesale .....	7	70 546	5 099	7.2	.2	X
	55910	Brokering and dealing investment company securities, including mutual funds, close-end funds, and unit investment trusts .....	7	2 650	346	13.1	Z	X
	56010	Brokering and dealing other financial instruments, including commodity pools and face-amount certificates .....	23	40 501	39 666	97.9	1.4	X
	56110	Brokerage correspondent products - fees .....	7	979	979	100.0	Z	X
	56510	Trading debt instruments on own account - net gains (losses) .....	7	3 514	922	26.2	Z	X
	56710	Trading derivatives contracts on own account - net gain (losses) .....	23	436 699	112 112	25.7	3.9	X
	56910	Trading other securities and commodity contracts on own account - net gain (losses) .....	41	772 895	440 612	57.0	15.3	X
		Management of financial market and clearing products:						
	57510	Payment clearing and settlement fees .....	16	8 527	4 090	48.0	.1	X
	57520	Security and commodity contract trade execution, clearing and settlement fees .....	88	134 034	85 092	63.5	3.0	X

See footnotes at end of table.

**Table 3. Product Lines by Kind of Business for the United States: 2002—Con.**

[These data are preliminary and are subject to change; they will be superseded by data released in later reports. Includes only establishments of firms with payroll. For meaning of abbreviations and symbols, see introductory text. For explanation of terms, see Appendix A. Data based on the 2002 Economic Census. For information on confidentiality protection, sampling error, nonsampling error, and definitions, see note at end of table]

2002 NAICS code	2002 Product line code	Kind of business and product line	Establishments with the product line		Product line revenue			Response coverage <sup>2</sup> (percent)
			Number	Total revenue (\$1,000)	Amount <sup>1</sup> (\$1,000)	As percent of total revenue of—		
						Establishments with the product line	All establishments <sup>1</sup>	
523140		Commodity contracts brokerage—Con.						
	57710	Financial planning and investment management products: Financial planning and investment management services for businesses and government .....	11	1 440	807	56.0	Z	X
	57720	Financial planning and investment management services for individuals .....	29	466 571	134 581	28.8	4.7	X
	57810	Other products supporting financial services - fees .....	77	641 798	13 942	2.2	.5	X

<sup>1</sup>Product line revenue and/or product line percents may not sum to totals due to exclusion of selected lines to avoid disclosing data for individual companies, due to rounding, and/or due to exclusion of lines that did not meet publication criteria.

<sup>2</sup>Revenue of establishments reporting product line revenue as percent of total revenue.

Note: The data in this table are based on the 2002 Economic Census. To maintain confidentiality, the Census Bureau suppresses data to protect the identity of any business or individual. The census results in this table contain nonsampling error. Data users who create their own estimates using data from this table should cite the Census Bureau as the source of the original data only. See also explanation of terms and geographic definitions. For the full technical documentation, see Appendix C.

**Table 4. Concentration by Largest Firms for the United States: 2002**

[These data are preliminary and are subject to change; they will be superseded by data released in later reports. Includes only firms and establishments of firms with payroll. Excludes data for establishments of these firms that are classified in other categories than those specified in this table. For meaning of abbreviations and symbols, see introductory text. For explanation of terms, see Appendix A. For method of assignment to categories shown, see Appendix C. Data based on the 2002 Economic Census. For information on confidentiality protection, sampling error, nonsampling error, and definitions, see note at end of table]

2002 NAICS code	Kind of business and largest firms based on revenue	Establishments (number)	Revenue		Annual payroll (\$1,000)	First-quarter payroll (\$1,000)	Paid employees for pay period including March 12 (number)
			Amount (\$1,000)	As percent of total			
5231	Securities and commodity contracts intermediation and brokerage						
	All firms .....	35 789	215 607 852	100.0	69 793 962	26 164 194	525 863
	4 largest firms .....	1 911	70 593 556	32.7	21 769 932	7 717 232	118 058
	8 largest firms .....	2 070	101 570 499	47.1	32 817 427	14 431 099	148 065
	20 largest firms .....	14 090	145 490 188	67.5	47 040 866	19 562 365	312 871
	50 largest firms .....	16 702	172 146 719	79.8	53 982 163	21 688 224	368 153
52311	Investment banking and securities dealing						
	All firms .....	5 814	104 011 148	100.0	31 486 454	13 876 750	142 636
	4 largest firms .....	50	40 674 115	39.1	11 177 137	3 911 052	38 165
	8 largest firms .....	168	66 539 621	64.0	20 119 090	9 952 322	60 452
	20 largest firms .....	1 085	85 326 235	82.0	24 362 878	11 738 807	90 626
	50 largest firms .....	1 357	93 820 359	90.2	27 056 621	12 705 976	106 599
523110	Investment banking and securities dealing						
	All firms .....	5 814	104 011 148	100.0	31 486 454	13 876 750	142 636
	4 largest firms .....	50	40 674 115	39.1	11 177 137	3 911 052	38 165
	8 largest firms .....	168	66 539 621	64.0	20 119 090	9 952 322	60 452
	20 largest firms .....	1 085	85 326 235	82.0	24 362 878	11 738 807	90 626
	50 largest firms .....	1 357	93 820 359	90.2	27 056 621	12 705 976	106 599
52312	Securities brokerage						
	All firms .....	27 479	104 811 597	100.0	36 427 781	11 668 478	361 209
	4 largest firms .....	2 168	38 982 599	37.2	13 935 601	4 835 724	100 039
	8 largest firms .....	2 706	52 252 882	49.9	18 115 826	6 141 563	137 673
	20 largest firms .....	13 936	71 514 648	68.2	24 824 445	8 251 361	239 225
	50 largest firms .....	17 051	86 525 972	82.6	29 568 296	9 792 441	278 312
523120	Securities brokerage						
	All firms .....	27 479	104 811 597	100.0	36 427 781	11 668 478	361 209
	4 largest firms .....	2 168	38 982 599	37.2	13 935 601	4 835 724	100 039
	8 largest firms .....	2 706	52 252 882	49.9	18 115 826	6 141 563	137 673
	20 largest firms .....	13 936	71 514 648	68.2	24 824 445	8 251 361	239 225
	50 largest firms .....	17 051	86 525 972	82.6	29 568 296	9 792 441	278 312
52313	Commodity contracts dealing						
	All firms .....	1 085	3 904 504	100.0	834 829	284 617	9 662
	4 largest firms .....	10	1 368 516	35.0	172 041	101 901	720
	8 largest firms .....	20	1 882 066	48.2	274 533	121 580	1 539
	20 largest firms .....	37	2 557 856	65.5	413 490	176 862	4 042
	50 largest firms .....	79	3 133 664	80.3	586 479	225 466	5 488
523130	Commodity contracts dealing						
	All firms .....	1 085	3 904 504	100.0	834 829	284 617	9 662
	4 largest firms .....	10	1 368 516	35.0	172 041	101 901	720
	8 largest firms .....	20	1 882 066	48.2	274 533	121 580	1 539
	20 largest firms .....	37	2 557 856	65.5	413 490	176 862	4 042
	50 largest firms .....	79	3 133 664	80.3	586 479	225 466	5 488
52314	Commodity contracts brokerage						
	All firms .....	1 411	2 880 603	100.0	1 044 898	334 349	12 356
	4 largest firms .....	55	1 053 101	36.6	451 138	187 123	4 211
	8 largest firms .....	94	1 461 883	50.7	510 940	203 664	5 172
	20 largest firms .....	114	1 782 000	61.9	633 835	236 861	6 329
	50 largest firms .....	168	2 055 498	71.4	745 083	262 262	7 532
523140	Commodity contracts brokerage						
	All firms .....	1 411	2 880 603	100.0	1 044 898	334 349	12 356
	4 largest firms .....	55	1 053 101	36.6	451 138	187 123	4 211
	8 largest firms .....	94	1 461 883	50.7	510 940	203 664	5 172
	20 largest firms .....	114	1 782 000	61.9	633 835	236 861	6 329
	50 largest firms .....	168	2 055 498	71.4	745 083	262 262	7 532

Note: The data in this table are based on the 2002 Economic Census. To maintain confidentiality, the Census Bureau suppresses data to protect the identity of any business or individual. The census results in this table contain nonsampling error. Data users who create their own estimates using data from this table should cite the Census Bureau as the source of the original data only. See also explanation of terms and geographic definitions. For the full technical documentation, see Appendix C.