Exhibit 300: Capital Asset Plan and Business Case Summary

Part I: Summary Information And Justification (All Capital Assets)

Section A: Overview (All Capital Assets)

1. Date of Submission:

2. Agency:	General Services Administration
3. Bureau:	Chief Information Officer
4. Name of this Capital Asset:	GSA SmartCard/HSPD12 Program
5. Unique Project (Investment) Identifier: (For IT investment only, see section 53. For all other, use agency ID system.)	023-30-01-12-01-1050-00
6. What kind of investment will this be in FY2009? (Please NOTE: Investments moving to O&M in FY2009, with Planning/Acquisition activities prior to FY2009 should not select O&M. These investments should indicate their current status.)	Mixed Life Cycle
7. What was the first budget year this investment was submitted to OMB?	FY2007

8. Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap:

This investment is made to meet the requirements of Homeland Security Presidential Directive 12 (HSPD12), Policy for a Common Identification Standard for Federal Employees and Contractors as implemented by OMB Memorandum M-05-25, Implementation of HSPD-12. It is directed at improving security and efficiency of access to Federal Buildings and Information Systems, and provides basic access control security which prevents unauthorized intrusion and permits safe and easy access by authorized individuals. The investment provides for the organizational changes in verifying an individual's suitability for employment by the Federal Government by conducting background investigations such as the National Agency Check with written Inquires (NACI) prior to access to facilities and information systems as well as the actual production of HSPD-12 compliant credentials (which are acquired though a shared service provider in accordance with OMB wishes). The investment also provides for a program management office which facilitates the various stakeholder groups working on such things as using the HSPD-12 credential for logical (network) access and physical (building) access, modifying contractual language to insure that HSPD-12 investigation requirements are satisfied for contractor personnel, and that tenant agencies of the Public Building Service (such as Congressional and Judicial offices) are considered in the implementation of HSPD-12.

9. Did the Agency's Executive/Investment Committee approve this request?	Yes
a. If "yes," what was the date of this approval?	7/23/2007
10. Did the Project Manager review this Exhibit?	Yes

11. Contact information of Project Manager?

Name

Phone Number

Email

a. What is the current FAC-P/PM certification level of the project/program manager?	TBD
12. Has the agency developed and/or promoted cost effective, energy-efficient and environmentally sustainable techniques or practices for this project?	No
a. Will this investment include electronic assets (including computers)?	Yes
b. Is this investment for new construction or major retrofit of a Federal building or facility? (answer applicable to non-IT assets only)	No
1. If "yes," is an ESPC or UESC being used to help fund this investment?	
2. If "yes," will this investment meet sustainable design principles?	Yes

3. If "yes," is it designed to be 30% more energy efficient than relevant code?

13. Does this investment directly support one of the PMA initiatives?   No

If "yes," check all that apply:

a. Briefly and specifically describe for each selected how this asset directly supports the identified initiative(s)? (e.g. If E-Gov is selected, is it an approved shared service provider or the managing partner?)   The GSA SmartCard/HSPD12 Program provides Federal ID Credential based on background checks produced by an accredited agency, and placed on an encrypted Smart Card. This smart card and supporting infrastructure enable rapid and secure access to Federal Buildings and Information Systems which enable safe access of Employees and Contractors, and provide the basic authentication method for the PMA initiative of Expanded E-Government.

14. Does this investment support a program assessed using the Program Assessment Rating Tool (PART)? (For more information about the PART, visit www.whitehouse.gov/omb/part.)   No

a. If "yes," does this investment address a weakness found during a PART review?   No

b. If "yes," what is the name of the PARTed program?

c. If "yes," what rating did the PART receive?

15. Is this investment for information technology?   Yes

If the answer to Question 15 is "Yes," complete questions 16-23 below. If the answer is "No," do not answer questions 16-23.

For information technology investments only:

16. What is the level of the IT Project? (per CIO Council PM Guidance)   Level 3

17. What project management qualifications does the Project Manager have? (per CIO Council PM Guidance)   (2) Project manager qualification is under review for this investment

18. Is this investment or any project(s) within this investment identified as "high risk" on the Q4 - FY 2007 agency high risk report (per OMB Memorandum M-05-23)   No

19. Is this a financial management system?   No

a. If "yes," does this investment address a FFMIA compliance area?   No

1. If "yes," which compliance area:

2. If "no," what does it address?

b. If "yes," please identify the system name(s) and system acronym(s) as reported in the most recent financial systems inventory update required by Circular A-11 section 52

20. What is the percentage breakout for the total FY2009 funding request for the following? (This should total 100%)

Hardware

Software

Services

Other

21. If this project produces information dissemination products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities?   No

22. Contact information of individual responsible for privacy related questions:

Name

Phone Number

Title

E-mail

23. Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration's approval?   No

Question 24 must be answered by all Investments:

24. Does this investment directly support one of the GAO High Risk Areas?   Yes

Section B: Summary of Spending (All Capital Assets)

1. Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in millions, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated "Government FTE Cost," and should be excluded from the amounts shown for "Planning," "Full Acquisition," and "Operation/Maintenance." The "TOTAL" estimated annual cost of the investment is the sum of costs for "Planning," "Full Acquisition," and "Operation/Maintenance." For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.

Table 1: SUMMARY OF SPENDING FOR PROJECT PHASES (REPORTED IN MILLIONS) (Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions)								
	PY-1 and earlier	PY 2007	CY 2008	BY 2009	BY+1 2010	BY+2 2011	BY+3 2012	BY+4 and beyond	Total
Planning:	2.396	2.657	1.902	0					
Acquisition:	0	0	0	0					
Subtotal Planning & Acquisition:	2.396	2.657	1.902	0					
Operations & Maintenance:	0.155	0.158	5.762	5.364					
TOTAL:	2.551	2.815	7.664	5.364					
Government FTE Costs should not be included in the amounts provided above.								
Government FTE Costs	0.502	0.513	0.52	0.535					
Number of FTE represented by Costs:	4	4	4	4					

Note: For the multi-agency investments, this table should include all funding (both managing partner and partner agencies). Government FTE Costs should not be included as part of the TOTAL represented.

2. Will this project require the agency to hire additional FTE's?   No

    a. If "yes," How many and in what year?

3. If the summary of spending has changed from the FY2008 President's budget request, briefly explain those changes:

Section C: Acquisition/Contract Strategy (All Capital Assets)

1. Complete the table for all (including all non-Federal) contracts and/or task orders currently in place or planned for this investment. Total Value should include all option years for each contract. Contracts and/or task orders completed do not need to be included.

Contracts/Task Orders Table:																* Costs in millions
Contract or Task Order Number	Type of Contract/ Task Order	Has the contract been awarded (Y/N)	If so what is the date of the award? If not, what is the planned award date?	Start date of Contract/ Task Order	End date of Contract/ Task Order	Total Value of Contract/ Task Order ($M)	Is this an Interagency Acquisition? (Y/N)	Is it performance based? (Y/N)	Competitively awarded? (Y/N)	What, if any, alternative financing option is being used? (ESPC, UESC, EUL, N/A)	Is EVM in the contract? (Y/N)	Does the contract include the required security & privacy clauses? (Y/N)	Name of CO	CO Contact information (phone/email)	Contracting Officer Certification Level (Level 1,2,3,N/A)	If N/A, has the agency determined the CO assigned has the competencies and skills necessary to support this acquisition? (Y/N)

2. If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why:

3. Do the contracts ensure Section 508 compliance?

    a. Explain why:

4. Is there an acquisition plan which has been approved in accordance with agency requirements?

    a. If "yes," what is the date?

    b. If "no," will an acquisition plan be developed?

        1. If "no," briefly explain why:

Section D: Performance Information (All Capital Assets)

In order to successfully address this area of the exhibit 300, performance goals must be provided for the agency and be linked to the annual performance plan. The investment must discuss the agency's mission and strategic goals, and performance measures (indicators) must be provided. These goals need to map to the gap in the agency's strategic goals and objectives this investment is designed to fill. They are the internal and external performance benefits this investment is expected to deliver to the agency (e.g., improve efficiency by 60 percent, increase citizen participation by 300 percent a year to achieve an overall citizen participation rate of 75 percent by FY 2xxx, etc.). The goals must be clearly measurable investment outcomes, and if applicable, investment outputs. They do not include the completion date of the module, milestones, or investment, or general goals, such as, significant, better, improved that do not have a quantitative or qualitative measure.

Agencies must use the following table to report performance goals and measures for the major investment and use the Federal Enterprise Architecture (FEA) Performance Reference Model (PRM). Map all Measurement Indicators to the corresponding "Measurement Area" and "Measurement Grouping" identified in the PRM. There should be at least one Measurement Indicator for each of the four different Measurement Areas (for each fiscal year). The PRM is available at www.egov.gov. The table can be extended to include performance measures for years beyond FY 2009.

Performance Information Table

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Category	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
2007	6. Carry out Social, Environmental and other Responsibilities as a Federal Agency	Customer Results	Service Accessibility	Access	Time to grant building and initial IT access.	35 days under old system.	Reduce to 25 days.	Actual time to grant access has dropped to 11 working days as of August 2007.
2007	6. Carry out Social, Environmental and other Responsibilities as a Federal Agency	Mission and Business Results	Homeland Security	Key Asset and Critical Infrastructure Protection	Number of GSA employees and contractors nationwide having HSPD-12 compliant credentials	No HSPD-12 compliant credentials are issued.	10% of GSA employees and contractors will have HSPD-12 compliant credentials.	As of the end of August 2007, less than 1% of GSA employees have HSPD-12 credentials. This is due to the recompete of the MSO contract.
2007	6. Carry out Social, Environmental and other Responsibilities as a Federal Agency	Processes and Activities	Security and Privacy	Security	Percentage of GSA employees and contractors nationwide who have HSPD12-compliant background checks.	Only 30% of GSA employees have a NACI (or better) background investigation.	70% of GSA employees and contractors will have NACI (or better) background check.	As of the end of August 2007, 67% of GSA employees have a validated NACI (or better) investigation on record.
2007	6. Carry out Social, Environmental and other Responsibilities as a Federal Agency	Technology	Reliability and Availability	Availability	Percent of employees and contractors using 2-factor authentication to access sensitive agency information.	No 2-factor authentication mechanism currently available.	10% of GSA employees and contractors accessing sensitive information will use 2-factor authentication.	As of the end of August 2007, no GSA employees are using 2-factor authentication. This is due to the recompete of the MSO contract. Those persons requiring 2-factor access will be pushed to the head of the line to receive the new credential.
2008	6. Carry out Social, Environmental	Customer Results	Service Accessibility	Access	Time to grant building and initial IT access.	35 days under old system.	Reduce to 15 days.	Not yet available.

Performance Information Table

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Category	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
	and other Responsibilities as a Federal Agency							
2008	6. Carry out Social, Environmental and other Responsibilities as a Federal Agency	Mission and Business Results	Homeland Security	Key Asset and Critical Infrastructure Protection	Number of GSA employees and contractors nationwide having HSPD-12 compliant credentials	No HSPD-12 compliant credentials are issued.	90% of GSA employees and contractors will have HSPD-12 compliant credentials.	Not yet available.
2008	6. Carry out Social, Environmental and other Responsibilities as a Federal Agency	Processes and Activities	Security and Privacy	Security	Percentage of GSA employees and contractors nationwide who have HSPD12-compliant background checks.	Only 70% of GSA employees have a NACI (or better) background investigation.	100% of GSA employees and contractors will have NACI (or better) background check.	Not yet available.
2008	6. Carry out Social, Environmental and other Responsibilities as a Federal Agency	Technology	Reliability and Availability	Availability	Percent of employees and contractors using 2-factor authentication to access sensitive agency information.	No 2-factor authentication mechanism currently available.	50% of GSA employees and contractors accessing sensitive information will use 2-factor authentication.	Not yet available.
2009	6. Carry out Social, Environmental and other Responsibilities as a Federal Agency	Customer Results	Service Accessibility	Access	Time to grant building and initial IT access.	35 days under old system.	Reduce to 10 days.	Not yet available.
2009	6. Carry out Social, Environmental and other Responsibilities as a Federal Agency	Mission and Business Results	Homeland Security	Key Asset and Critical Infrastructure Protection	Number of GSA employees and contractors nationwide having HSPD-12 compliant credentials	No HSPD-12 compliant credentials are issued.	100% of GSA employees and contractors will have HSPD-12 compliant credentials.	Not yet available.
2009	6. Carry out Social, Environmental and other Responsibilities as a Federal Agency	Processes and Activities	Security and Privacy	Security	Percentage of GSA employees and contractors nationwide who have HSPD12-compliant background checks.	Approximately 12,000 new employees and contractors per year.	100% of GSA employees and contractors nationwide have HSPD12-compliant background checks	Not yet available.
2009	6. Carry out Social, Environmental and other Responsibilities as a Federal Agency	Technology	Information and Data	Data Reliability and Quality	Percent of employees and contractors using 2-factor authentication to access sensitive agency information	No 2-factor authentication mechanism currently available	100% of GSA employees and contractors accessing sensitive information will use 2-factor authentication	Not yet available.

Section E: Security and Privacy (IT Capital Assets only)

In order to successfully address this area of the business case, each question below must be answered at the system/application level, not at a program or agency level. Systems supporting this investment on the planning and operational systems security tables should match the systems on the privacy table below. Systems on the Operational Security Table must be included on your agency FISMA system inventory and should be easily referenced in the inventory (i.e., should use the same name or identifier).

For existing Mixed-Life Cycle investments where enhancement, development, and/or modernization is planned, include the investment in both the "Systems in Planning" table (Table 3) and the "Operational Systems" table (Table 4). Systems which are already operational, but have enhancement, development, and/or modernization activity, should be included in both Table 3 and Table 4. Table 3 should reflect the planned date for the system changes to be complete and operational, and the planned date for the associated C&A update. Table 4 should reflect the current status of the requirements listed. In this context, information contained within Table 3 should characterize what updates to testing and documentation will occur before implementing the enhancements; and Table 4 should characterize the current state of the materials associated with the existing system.

All systems listed in the two security tables should be identified in the privacy table. The list of systems in the "Name of System" column of the privacy table (Table 8) should match the systems listed in columns titled "Name of System" in the security tables (Tables 3 and 4). For the Privacy table, it is possible that there may not be a one-to-one ratio between the list of systems and the related privacy documents. For example, one PIA could cover multiple systems. If this is the case, a working link to the PIA may be listed in column (d) of the privacy table more than once (for each system covered by the PIA).

The questions asking whether there is a PIA which covers the system and whether a SORN is required for the system are discrete from the narrative fields. The narrative column provides an opportunity for free text explanation why a working link is not provided. For example, a SORN may be required for the system, but the system is not yet operational. In this circumstance, answer "yes" for column (e) and in the narrative in column (f), explain that because the system is not operational the SORN is not yet required to be published.

Please respond to the questions below and verify the system owner took the following actions:

1. Have the IT security costs for the system(s) been identified   Yes
and integrated into the overall costs of the investment:

    a. If "yes," provide the "Percentage IT Security" for the
budget year:

2. Is identifying and assessing security and privacy risks a part   Yes
of the overall risk management effort for each system
supporting or part of this investment.

3. Systems in Planning and Undergoing Enhancement(s), Development, and/or Modernization - Security Table(s):			
Name of System	**Agency/ or Contractor Operated System?**	**Planned Operational Date**	**Date of Planned C&A update (for existing mixed life cycle systems) or Planned Completion Date (for new systems)**

4. Operational Systems - Security Table:							
Name of System	**Agency/ or Contractor Operated System?**	**NIST FIPS 199 Risk Impact level (High, Moderate, Low)**	**Has C&A been Completed, using NIST 800-37? (Y/N)**	**Date Completed: C&A**	**What standards were used for the Security Controls tests? (FIPS 200/NIST 800-53, NIST 800-26, Other, N/A)**	**Date Complete(d): Security Control Testing**	**Date the contingency plan tested**

5. Have any weaknesses, not yet remediated, related to any of
the systems part of or supporting this investment been
identified by the agency or IG?

    a. If "yes," have those weaknesses been incorporated into
the agency's plan of action and milestone process?

6. Indicate whether an increase in IT security funding is
requested to remediate IT security weaknesses?

    a. If "yes," specify the amount, provide a general description of the weakness, and explain how the funding request will
remediate the weakness.

7. How are contractor security procedures monitored, verified, and validated by the agency for the contractor systems above?

Section F: Enterprise Architecture (EA) (IT Capital Assets only)

In order to successfully address this area of the capital asset plan and business case, the investment must be included in the agency's EA and Capital Planning and Investment Control (CPIC) process and mapped to and supporting the FEA. The business case must demonstrate the relationship between the investment and the business, performance, data, services, application, and technology layers of the agency's EA.

1. Is this investment included in your agency's target   Yes
enterprise architecture?

    a. If "no," please explain why?

2. Is this investment included in the agency's EA Transition   Yes
Strategy?

    a. If "yes," provide the investment name as identified in   GSA Smart Card/HSPD12 Program
the Transition Strategy provided in the agency's most recent
annual EA Assessment.

    b. If "no," please explain why?

3. Is this investment identified in a completed (contains a target architecture) and approved segment architecture?　　　　No

    a. If "yes," provide the name of the segment architecture as provided in the agency's most recent annual EA Assessment.

4. Service Component Reference Model (SRM) Table:
Identify the service components funded by this major IT investment (e.g., knowledge management, content management, customer relationship management, etc.). Provide this information in the format of the following table. For detailed guidance regarding components, please refer to http://www.egov.gov.

Agency Component Name	Agency Component Description	FEA SRM Service Domain	FEA SRM Service Type	FEA SRM Component (a)	Service Component Reused Name (b)	Service Component Reused UPI (b)	Internal or External Reuse? (c)	BY Funding Percentage (d)
Logical Access Control System (LACS)	Links secure HSPD-12 PIV Card ID to IT assets.	Support Services	Security Management	Access Control			Internal	0
Physical Access Control System (PACS)	Manages HSPD-12 PIV Card ID for Access to Federal buildings.	Support Services	Security Management	Access Control			Internal	0
Credentialing & Identity Management System	Manages requests for background investigations and credential requests.	Support Services	Security Management	Identification and Authentication			No Reuse	20
HSPD-12 Card Production System (GSA US Access Program)	GSA business service: Takes biometrics and generates HSPD-12 PIV Card ID credential based on background check.	Support Services	Security Management	NEW			Internal	80

    a. Use existing SRM Components or identify as "NEW". A "NEW" component is one not already identified as a service component in the FEA SRM.

    b. A reused component is one being funded by another investment, but being used by this investment. Rather than answer yes or no, identify the reused service component funded by the other investment and identify the other investment using the Unique Project Identifier (UPI) code from the OMB Ex 300 or Ex 53 submission.

    c. 'Internal' reuse is within an agency. For example, one agency within a department is reusing a service component provided by another agency within the same department. 'External' reuse is one agency within a department reusing a service component provided by another agency in another department. A good example of this is an E-Gov initiative service being reused by multiple organizations across the federal government.

    d. Please provide the percentage of the BY requested funding amount used for each service component listed in the table. If external, provide the percentage of the BY requested funding amount transferred to another agency to pay for the service. The percentages in the column can, but are not required to, add up to 100%.

5. Technical Reference Model (TRM) Table:
To demonstrate how this major IT investment aligns with the FEA Technical Reference Model (TRM), please list the Service Areas, Categories, Standards, and Service Specifications supporting this IT investment.

FEA SRM Component (a)	FEA TRM Service Area	FEA TRM Service Category	FEA TRM Service Standard	Service Specification (b) (i.e., vendor and product name)
NEW	Service Access and Delivery	Delivery Channels	Extranet	Sun Web Server
Access Control	Service Access and Delivery	Service Requirements	Authentication / Single Sign-on	E-Authentication 2.0 (SAML 2.0)
Access Control	Service Access and Delivery	Service Requirements	Authentication / Single Sign-on	EnterpriseAir The PACS Data Connector
Access Control	Service Access and Delivery	Service Requirements	Authentication / Single Sign-on	Sun Java System Access Manager
Access Control	Service Access and Delivery	Service Requirements	Authentication / Single Sign-on	Sun Java System Federation Manager
Access Control	Service Access and Delivery	Service Requirements	Authentication / Single Sign-on	various building PACS, e.g. Lenel, Tyco, and S2 Systems
Access Control	Service Interface and Integration	Integration	Enterprise Application Integration	Metastorm BPM
Identification and Authentication	Service Interface and Integration	Interface	Service Description / Interface	Web Services for FSA MSO HSPD-12 Service
Identification and Authentication	Service Platform and Infrastructure	Software Engineering	Integrated Development Environment	Microsoft .Net
NEW	Service Platform and Infrastructure	Support Platforms	Platform Dependent	ActivIdentity ActivID Card Mgt System
NEW	Service Platform and Infrastructure	Support Platforms	Platform Dependent	Entrust Managed Services PKI
NEW	Service Platform and	Support Platforms	Platform Dependent	Oberthur Card Systems PIV EP

5. Technical Reference Model (TRM) Table:
To demonstrate how this major IT investment aligns with the FEA Technical Reference Model (TRM), please list the Service Areas, Categories, Standards, and Service Specifications supporting this IT investment.

FEA SRM Component (a)	FEA TRM Service Area	FEA TRM Service Category	FEA TRM Service Standard	Service Specification (b) (i.e., vendor and product name)
	Infrastructure			

    a. Service Components identified in the previous question should be entered in this column. Please enter multiple rows for FEA SRM Components supported by multiple TRM Service Specifications

    b. In the Service Specification field, agencies should provide information on the specified technical standard or vendor product mapped to the FEA TRM Service Standard, including model or version numbers, as appropriate.

6. Will the application leverage existing components and/or applications across the Government (i.e., FirstGov, Pay.Gov, etc)?   Yes

    a. If "yes," please describe.

The initiative will leverage existing government-wide managed services contracts to provide authentication and secure document access storage and retrieval.

Exhibit 300: Part II: Planning, Acquisition and Performance Information

Section A: Alternatives Analysis (All Capital Assets)

Part II should be completed only for investments identified as "Planning" or "Full Acquisition," or "Mixed Life-Cycle" investments in response to Question 6 in Part I, Section A above.

In selecting the best capital asset, you should identify and consider at least three viable alternatives, in addition to the current baseline, i.e., the status quo. Use OMB Circular A-94 for all investments and the Clinger Cohen Act of 1996 for IT investments to determine the criteria you should use in your Benefit/Cost Analysis.

1. Did you conduct an alternatives analysis for this project?   Yes

    a. If "yes," provide the date the analysis was completed?   6/30/2006

    b. If "no," what is the anticipated date this analysis will be completed?

    c. If no analysis is planned, please briefly explain why:

2. Alternative Analysis Results:			* Costs in millions
Use the results of your alternatives analysis to complete the following table:			
Alternative Analyzed	**Description of Alternative**	**Risk Adjusted Lifecycle Costs estimate**	**Risk Adjusted Lifecycle Benefits estimate**

3. Which alternative was selected by the Agency's Executive/Investment Committee and why was it chosen?

4. What specific qualitative benefits will be realized?

5. Will the selected alternative replace a legacy system in-part or in-whole?

    a. If "yes," are the migration costs associated with the migration to the selected alternative included in this investment, the legacy investment, or in a separate migration investment.

    b. If "yes," please provide the following information:

List of Legacy Investment or Systems		
Name of the Legacy Investment of Systems	**UPI if available**	**Date of the System Retirement**

Section B: Risk Management (All Capital Assets)

You should have performed a risk assessment during the early planning and initial concept phase of this investment's life-cycle, developed a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investment's life-cycle.

1. Does the investment have a Risk Management Plan?   Yes

    a. If "yes," what is the date of the plan?   4/23/2006

    b. Has the Risk Management Plan been significantly changed since last year's submission to OMB?   Yes

c. If "yes," describe any significant changes:

2. If there currently is no plan, will a plan be developed?

    a. If "yes," what is the planned completion date?

    b. If "no," what is the strategy for managing the risks?

3. Briefly describe how investment risks are reflected in the life cycle cost estimate and investment schedule:

Section C: Cost and Schedule Performance (All Capital Assets)

EVM is required only on DME portions of investments. For mixed lifecycle investments, O&M milestones should still be included

in the table (Comparison of Initial Baseline and Current Approved Baseline). This table should accurately reflect the milestones in the initial baseline, as well as milestones in the current baseline.

1. Does the earned value management system meet the criteria in ANSI/EIA Standard-748?   Yes

2. Is the CV% or SV% greater than +/- 10%? (CV%= CV/EV x   No
100; SV%= SV/PV x 100)

    a. If "yes," was it the CV or SV or both?

    b. If "yes," explain the causes of the variance:

    c. If "yes," describe the corrective actions:

3. Has the investment re-baselined during the past fiscal year?   No

a. If "yes," when was it approved by the agency head?

4. Comparison of Initial Baseline and Current Approved Baseline

Complete the following table to compare actual performance against the current performance baseline and to the initial performance baseline. In the Current Baseline section, for all milestones listed, you should provide both the baseline and actual completion dates (e.g., "03/23/2003"/ "04/28/2004") and the baseline and actual total costs (in $ Millions). In the event that a milestone is not found in both the initial and current baseline, leave the associated cells blank. Note that the 'Description of Milestone' and 'Percent Complete' fields are required. Indicate '0' for any milestone no longer active.

Milestone Number	Description of Milestone	Initial Baseline		Current Baseline				Current Baseline Variance		Percent Complete
		Planned Completion Date (mm/dd/yyyy)	Total Cost ($M) Estimated	Completion Date (mm/dd/yyyy)		Total Cost ($M)		Schedule (# days)	Cost ($M)	
				Planned	Actual	Planned	Actual			