Exhibit 300: Capital Asset Plan and Business Case Summary
Part I: Summary Information And Justification (All Capital Assets)

Section A: Overview (All Capital Assets)

1. Date of Submission:

2. Agency: — General Services Administration

3. Bureau: — Office Of The Chief Financial Officer

4. Name of this Capital Asset: — GSA FMLOB Shared Service/Pegasys

5. Unique Project (Investment) Identifier: (For IT investment only, see section 53. For all other, use agency ID system.) — 023-30-01-01-01-1151-24

6. What kind of investment will this be in FY2009? (Please NOTE: Investments moving to O&M in FY2009, with Planning/Acquisition activities prior to FY2009 should not select O&M. These investments should indicate their current status.) — Multi-Agency Collaboration

7. What was the first budget year this investment was submitted to OMB? — FY2001 or earlier

8. Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap:

GSA is one of four Federal agencies selected to provide Financial Management Line of Business (FMLOB) services to other Federal agencies. GSA operates a shared-services financial operation providing a Commercial-off-the-Shelf financial system (in a private-vendor hosted environment), financial transaction processing, and financial analysis for its main business lines (Federal Acquisition Service, Public Buildings Service) and General Management and Administration (GM&A) offices. GSA also utilizes this shared-service operation to cross service 47 of external client agencies.

As a Federal SSP for larger customers, GSA serves as the manager of a private/public partnership providing IT hosting of a FSIO-certified core financial system, while enabling client agencies to adopt and leverage Government-wide standardized business processes and data and economies of scale and skill. For smaller agencies, GSA provides all of the SSC services with in-house resources. GSA also has the capability to offer additional value-added services to agencies, beyond just core financial management system functions. Our current customer base consists of GSA Services and Staff Offices and over 47 external client agencies. Of our 47 financial management client agencies, all are successfully using the Pegasys application as their financial management system of record.

GSA offers agencies the CGI Momentum Enterprise Solution; agencies may select to migrate to only the core, the core plus one or more additional modules, or the entire solution (includes additional functionality such as acquisitions and performance budgeting). Because potential client agencies are able to have their own instantiation of the application (same Momentum version, hosted on the Pegasys technical platform and in GSA's data center environment), there is no dependency to GSA's internal financial management system operations and existing GSA projects, but the benefits of economies of scale and skill are realized by common sharing of resources such as infrastructure.

Component Level Breakdown:

FY08 - Steady State

Security Contracts (C&A, IV&V)
Data Center Housing
Data Warehouse/Business Objects
O&M/Application Support-CGI Federal
Application Software-Core Financials
Intermediary Service Financial Tranaction System (FEDpay, Vitap)
Pegasys Hotline
FTE

FY08 - DM&E

Security Contracts (C&A, IV&V)
Data Warehouse
Asset Management
Accounts Receivable
Training
FTE

FY0

9. Did the Agency's Executive/Investment Committee approve this request?	Yes
a. If "yes," what was the date of this approval?	7/23/2007
10. Did the Project Manager review this Exhibit?	Yes

11. Contact information of Project Manager?

Name

Phone Number

Email

a. What is the current FAC-P/PM certification level of the project/program manager?	Senior/Expert-level
12. Has the agency developed and/or promoted cost effective, energy-efficient and environmentally sustainable techniques or practices for this project?	Yes
a. Will this investment include electronic assets (including computers)?	Yes
b. Is this investment for new construction or major retrofit of a Federal building or facility? (answer applicable to non-IT assets only)	No
1. If "yes," is an ESPC or UESC being used to help fund this investment?	No
2. If "yes," will this investment meet sustainable design principles?	No
3. If "yes," is it designed to be 30% more energy efficient than relevant code?	
13. Does this investment directly support one of the PMA initiatives?	Yes
If "yes," check all that apply:	Human Capital Budget Performance Integration Financial Performance Expanded E-Government Competitive Sourcing Eliminating Improper Payments
a. Briefly and specifically describe for each selected how this asset directly supports the identified initiative(s)? (e.g. If E-Gov is selected, is it an approved shared service provider or the managing partner?)	PMA Initiative 1 -- Strategic management of human capital Achievement of an outcome that maximizes the utilization of human resource assets needed to process financial transactions. Economies of scale through government-wide standardization of business processes and IT hosting will allow for a reduction in the number of personnel, relative to performance in the current disaggregated financial management systems environment.
14. Does this investment support a program assessed using the Program Assessment Rating Tool (PART)? (For more information about the PART, visit www.whitehouse.gov/omb/part.)	No
a. If "yes," does this investment address a weakness found during a PART review?	No
b. If "yes," what is the name of the PARTed program?	
c. If "yes," what rating did the PART receive?	
15. Is this investment for information technology?	Yes

If the answer to Question 15 is "Yes," complete questions 16-23 below. If the answer is "No," do not answer questions 16-23.

For information technology investments only:

16. What is the level of the IT Project? (per CIO Council PM Guidance)	Level 3
17. What project management qualifications does the Project Manager have? (per CIO Council PM Guidance)	(1) Project manager has been validated as qualified for this investment
18. Is this investment or any project(s) within this	Yes

investment identified as "high risk" on the Q4 - FY 2007
agency high risk report (per OMB Memorandum M-05-23)

19. Is this a financial management system?   Yes

    a. If "yes," does this investment address a FFMIA   No
compliance area?

        1. If "yes," which compliance area: N/A

        2. If "no," what does it address?   N/A

    b. If "yes," please identify the system name(s) and system acronym(s) as reported in the most recent financial
systems inventory update required by Circular A-11 section 52

Pegasys

Federal Supply Service Accounts Payable System - FEDPAY
Travel and Miscellaneous Reimbursements - e-GOV Travel
Utility Profile Payment System - UPPS
Virtual Invoice Tracking & Payment System - VITAP
Core Financial - COST FINANCIAL
Cost Allocation - COST ALLOCATION - G, PBS, FAS, GM&A
Credit Card - CREDIT CARD
External Reporting - EXTERNAL REPORTING
Fixed Assets - FIXED ASSETS
Lease Management - LEASE MANAGEMENT
Planning and Projection - PLANNING AND PROJECTION
Project Cost Accounting - PROJECT COST ACCOUNTING
Purchasing - PURCHASING
Security - SECURITY

20. What is the percentage breakout for the total FY2009 funding request for the following? (This should total 100%)

Hardware

Software

Services

Other

21. If this project produces information dissemination   Yes
products for the public, are these products published to the
Internet in conformance with OMB Memorandum 05-04 and
included in your agency inventory, schedules and priorities?

22. Contact information of individual responsible for privacy related questions:

Name

Phone Number

Title

E-mail

23. Are the records produced by this investment   Yes
appropriately scheduled with the National Archives and
Records Administration's approval?

Question 24 must be answered by all Investments:

24. Does this investment directly support one of the GAO   Yes
High Risk Areas?

Section B: Summary of Spending (All Capital Assets)

1. Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent
budget authority in millions, and are rounded to three decimal places. Federal personnel costs should be included only in
the row designated "Government FTE Cost," and should be excluded from the amounts shown for "Planning," "Full
Acquisition," and "Operation/Maintenance." The "TOTAL" estimated annual cost of the investment is the sum of costs for
"Planning," "Full Acquisition," and "Operation/Maintenance." For Federal buildings and facilities, life-cycle costs should
include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the
entire life-cycle of the investment should be included in this report.

Table 1: SUMMARY OF SPENDING FOR PROJECT PHASES (REPORTED IN MILLIONS) (Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions)								
	PY-1 and earlier	PY 2007	CY 2008	BY 2009	BY+1 2010	BY+2 2011	BY+3 2012	BY+4 and beyond	Total

	PY-1 and earlier	PY 2007	CY 2008	BY 2009	BY+1 2010	BY+2 2011	BY+3 2012	BY+4 and beyond	Total
Table 1: SUMMARY OF SPENDING FOR PROJECT PHASES (REPORTED IN MILLIONS) (Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions)									
Planning:	39.609	0	0	0					
Acquisition:	13.099	11.85	13.257	15.057					
Subtotal Planning & Acquisition:	52.708	11.85	13.257	15.057					
Operations & Maintenance:	83.612	18.258	18.987	19.757					
TOTAL:	136.320	30.108	32.244	34.814					
Government FTE Costs should not be included in the amounts provided above.									
Government FTE Costs	10.818	5.711	5.264	4.978					
Number of FTE represented by Costs:	114	58	54	51					

Note: For the multi-agency investments, this table should include all funding (both managing partner and partner agencies). Government FTE Costs should not be included as part of the TOTAL represented.

2. Will this project require the agency to hire additional FTE's?   No

    a. If "yes," How many and in what year?

3. If the summary of spending has changed from the FY2008 President's budget request, briefly explain those changes:

Section C: Acquisition/Contract Strategy (All Capital Assets)

1. Complete the table for all (including all non-Federal) contracts and/or task orders currently in place or planned for this investment. Total Value should include all option years for each contract. Contracts and/or task orders completed do not need to be included.

Contracts/Task Orders Table:																* Costs in millions
Contract or Task Order Number	Type of Contract/ Task Order	Has the contract been awarded (Y/N)	If so what is the date of the award? If not, what is the planned award date?	Start date of Contract/ Task Order	End date of Contract/ Task Order	Total Value of Contract/ Task Order ($M)	Is this an Interagency Acquisition ? (Y/N)	Is it performance based? (Y/N)	Competitively awarded? (Y/N)	What, if any, alternative financing option is being used? (ESPC, UESC, EUL, N/A)	Is EVM in the contract? (Y/N)	Does the contract include the required security & privacy clauses? (Y/N)	Name of CO	CO Contact information (phone/email)	Contracting Officer Certification Level (Level 1,2,3,N/A)	If N/A, has the agency determined the CO assigned has the competencies and skills necessary to support this acquisition? (Y/N)

2. If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why:

3. Do the contracts ensure Section 508 compliance?

    a. Explain why:

4. Is there an acquisition plan which has been approved in accordance with agency requirements?

    a. If "yes," what is the date?

    b. If "no," will an acquisition plan be developed?

        1. If "no," briefly explain why:

Section D: Performance Information (All Capital Assets)

In order to successfully address this area of the exhibit 300, performance goals must be provided for the agency and be linked to the annual performance plan. The investment must discuss the agency's mission and strategic goals, and performance measures (indicators) must be provided. These goals need to map to the gap in the agency's strategic goals and objectives this investment is designed to fill. They are the internal and external performance benefits this investment is expected to deliver to the agency (e.g., improve efficiency by 60 percent, increase citizen participation by 300 percent a year to achieve an overall citizen participation rate of 75 percent by FY 2xxx, etc.). The goals must be clearly measurable investment outcomes, and if applicable, investment outputs. They do not include the completion date of the module, milestones, or investment, or general goals, such as, significant, better, improved that do not have a quantitative or qualitative measure.

Agencies must use the following table to report performance goals and measures for the major investment and use the Federal Enterprise Architecture (FEA) Performance Reference Model (PRM). Map all Measurement Indicators to the corresponding "Measurement Area" and "Measurement Grouping" identified in the PRM. There should be at least one Measurement Indicator for each of the four different Measurement Areas (for each fiscal year). The PRM is available at www.egov.gov. The table can be extended to include performance measures for years beyond FY 2009.

Performance Information Table								
Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Category	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
2005	2.Superior Workplaces:Deliver and maintain productive workplaces consisting of office space, furnishings, technology, supplies, and related services.	Customer Results	Service Accessibility	Availability	Availibility of Service	Past performance	Meet customer service help desk hours of operation objectives	100% available, except during extreme conditions
2005	3.Best Value:Develop and deliver timely, accurate, and cost-effective acquisition services and business solutions.	Mission and Business Results	Financial Management	Asset and Liability Management	System meets financial audit requiements	Clean opinion	Maintain clean opinion	Clean financial audit opinion
2005	2.Superior Workplaces:Deliver and maintain productive workplaces consisting of office space, furnishings, technology, supplies, and related services.	Mission and Business Results	Financial Management	Cost Accounting / Performance Measurement	3-day month-end close	3-days	Maintain	100% met
2005	3.Best Value:Develop and deliver timely, accurate, and cost-effective acquisition services and business solutions.	Processes and Activities	Security and Privacy	Security	Percent of FM core applications currently certificated and accredited	100%	Maintain	100%
2005	3.Best Value:Develop	Technology	Efficiency	Improvement	Manage project risk achieve at	within 10%	Compare achievement	Within variance

Performance Information Table								
Fiscal Year	**Strategic Goal(s) Supported**	**Measurement Area**	**Measurement Category**	**Measurement Grouping**	**Measurement Indicator**	**Baseline**	**Target**	**Actual Results**
	and deliver timely, accurate, and cost-effective acquisition services and business solutions.				least 90 percent of the cost schedule and performance goals established in the Planning Phase		against baseline.	
2005	3.Best Value:Develop and deliver timely, accurate, and cost-effective acquisition services and business solutions.	Technology	Financial (Technology)	Overall Costs	FY06 GSA FM COE business case approved	Pending	Business case is approved and GSA becomes an FM COE	GSA selected as a COE
2005	2.Superior Workplaces:Deliver and maintain productive workplaces consisting of office space, furnishings, technology, supplies, and related services.	Technology	Reliability and Availability	Availability	System uptime will exceed 98%	99%	Maintain	99%
2006	2.Superior Workplaces:Deliver and maintain productive workplaces consisting of office space, furnishings, technology, supplies, and related services.	Customer Results	Service Accessibility	Availability	Availibility of Service	Past Performance	Meet customer service help desk hours of operation objectives	100% available, except under severe circumstances
2006	3.Best Value:Develop and deliver timely, accurate, and cost-effective acquisition services and business solutions.	Mission and Business Results	Financial Management	Reporting and Information	Meet month-end closing objective	3-day month-end close	Maintain	100
2006	2.Superior Workplaces:Deliver and maintain productive workplaces consisting of office space, furnishings, technology, supplies, and related services.	Processes and Activities	Security and Privacy	Security	Percent of FM core applications currently certificated and accredited	100%	Maintain	100%
2006	3.Best Value:Develop and deliver timely, accurate, and cost-effective acquisition services and business solutions.	Technology	Efficiency	Improvement	Manage project risk achieve at least 90 percent of the cost schedule and performance goals established in the Planning Phase	within 10%	Compare achievement against baseline	within 10% of est. variance
2006	2.Superior Workplaces:Deliver and maintain productive workplaces consisting of office space, furnishings, technology, supplies, and related services.	Technology	Reliability and Availability	Availability	System uptime will exceed 98%	99%	Maintain and/or Exceed	99%
2007	3.Best Value:Develop	Customer Results	Service Quality	Accuracy of Service or	% of customer satisfaction	80%	85%	Available after 10/01/2007

Performance Information Table

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Category	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
	and deliver timely, accurate, and cost-effective acquisition services and business solutions.			Product Delivered				
2007	3.Best Value:Develop and deliver timely, accurate, and cost-effective acquisition services and business solutions.	Mission and Business Results	Financial Management	Reporting and Information	# of days to complete month-end close objective	3-day close	Maintain 3-day close objective	100%
2007	2.Superior Workplaces:Deliver and maintain productive workplaces consisting of office space, furnishings, technology, supplies, and related services.	Mission and Business Results	Information and Technology Management	IT Infrastructure Maintenance	% completion of target FMEA-aligned AR-Billing solution	10% of EVMS variance	Stay within 10% variance for project cost, schedule and performance.	within 10% of est. variance per July 07 EVMS reporting
2007	2.Superior Workplaces:Deliver and maintain productive workplaces consisting of office space, furnishings, technology, supplies, and related services.	Processes and Activities	Financial (Processes and Activities)	Financial Management	% of Federal FM LOB Business Process Standards adopted by GSA FM COE	65%	Increase % of business processes and data definitions ready for GSA to implement	Standards still under development; to be completed Q4 FY07
2007	2.Superior Workplaces:Deliver and maintain productive workplaces consisting of office space, furnishings, technology, supplies, and related services.	Technology	Information and Data	Data Standardization or Tagging	% of reduction in non-standard data	Available after 10/01/2007	Increase % of standardized data objectives for AR/Billing processes/activities	Standards still under development; to be completed Q4 FY07
2008	3.Best Value:Develop and deliver timely, accurate, and cost-effective acquisition services and business solutions.	Customer Results	Service Coverage	Service Efficiency	# of customer agencies migrated successfully	1	1 (or more) customer agencies successfully migrated to GSA FM COE	Available after 10/01/2008
2008	2.Superior Workplaces:Deliver and maintain productive workplaces consisting of office space, furnishings, technology, supplies, and related services.	Mission and Business Results	Information and Technology Management	IT Infrastructure Maintenance	# in duplicative financial systems/applications reduced	Available after 10/01/2008	3	Available after 10/01/2008
2008	3.Best Value:Develop and deliver timely, accurate, and cost-effective acquisition services and business solutions.	Processes and Activities	Financial (Processes and Activities)	Costs	% of Customers agencies executive leadership reporting that GSA COE Meets or Exceeds Requirements for Financial Information and Processing	Available after 10/01/2008	Increase # of customers satisfied	Available after 10/01/2008
2008	2.Superior	Technology	Information and	Data	% of reduction	Available after	Increase % of	Available after

Performance Information Table

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Category	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
	Workplaces:Deliver and maintain productive workplaces consisting of office space, furnishings, technology, supplies, and related services.		Data	Standardization or Tagging	in non-standard data	10/01/2008	standardized data objectives for AR/Billing processes/activities	10/01/2008
2009	3.Best Value:Develop and deliver timely, accurate, and cost-effective acquisition services and business solutions.	Customer Results	Service Coverage	Service Efficiency	# of customer agencies migrated successfully	2	2 (or more) customer agencies successfully migrated to GSA FM COE	Available after 10/01/2009
2009	3.Best Value:Develop and deliver timely, accurate, and cost-effective acquisition services and business solutions.	Mission and Business Results	Financial Management	Reporting and Information	# of days to complete month-end close objective	3-day close	Maintain 3-day close objective	Available after 10/01/2009
2009	2.Superior Workplaces:Deliver and maintain productive workplaces consisting of office space, furnishings, technology, supplies, and related services.	Processes and Activities	Financial (Processes and Activities)	Financial Management	% of Federal FM LOB Business Process Standards adopted by GSA FM COE	75%	Increase % of business processes and data definitions ready for GSA to implement	Available after 10/01/2009
2009	2.Superior Workplaces:Deliver and maintain productive workplaces consisting of office space, furnishings, technology, supplies, and related services.	Technology	Information and Data	Data Standardization or Tagging	% of reduction in non-standard data	Available after 10/01/2009	Increase % of standardized data objectives for AR/Billing processes/activities	Available after 10/01/2009

Section E: Security and Privacy (IT Capital Assets only)

In order to successfully address this area of the business case, each question below must be answered at the system/application level, not at a program or agency level. Systems supporting this investment on the planning and operational systems security tables should match the systems on the privacy table below. Systems on the Operational Security Table must be included on your agency FISMA system inventory and should be easily referenced in the inventory (i.e., should use the same name or identifier).

For existing Mixed-Life Cycle investments where enhancement, development, and/or modernization is planned, include the investment in both the "Systems in Planning" table (Table 3) and the "Operational Systems" table (Table 4). Systems which are already operational, but have enhancement, development, and/or modernization activity, should be included in both Table 3 and Table 4. Table 3 should reflect the planned date for the system changes to be complete and operational, and the planned date for the associated C&A update. Table 4 should reflect the current status of the requirements listed. In this context, information contained within Table 3 should characterize what updates to testing and documentation will occur before implementing the enhancements; and Table 4 should characterize the current state of the materials associated with the existing system.

All systems listed in the two security tables should be identified in the privacy table. The list of systems in the "Name of System" column of the privacy table (Table 8) should match the systems listed in columns titled "Name of System" in the security tables (Tables 3 and 4). For the Privacy table, it is possible that there may not be a one-to-one ratio between the list of systems and the related privacy documents. For example, one PIA could cover multiple systems. If this is the case, a working link to the PIA may be listed in column (d) of the privacy table more than once (for each system covered by the PIA).

The questions asking whether there is a PIA which covers the system and whether a SORN is required for the system are discrete from the narrative fields. The narrative column provides an opportunity for free text explanation why a working link is not provided. For example, a SORN may be required for the system, but the system is not yet operational. In this circumstance, answer "yes" for column (e) and in the narrative in column (f), explain that because the system is not operational the SORN is not yet required to be published.

Please respond to the questions below and verify the system owner took the following actions:

1. Have the IT security costs for the system(s) been identified   Yes
and integrated into the overall costs of the investment:

    a. If "yes," provide the "Percentage IT Security" for the
budget year:

2. Is identifying and assessing security and privacy risks a part   Yes
of the overall risk management effort for each system
supporting or part of this investment.

3. Systems in Planning and Undergoing Enhancement(s), Development, and/or Modernization - Security Table(s):			
Name of System	**Agency/ or Contractor Operated System?**	**Planned Operational Date**	**Date of Planned C&A update (for existing mixed life cycle systems) or Planned Completion Date (for new systems)**

4. Operational Systems - Security Table:							
Name of System	**Agency/ or Contractor Operated System?**	**NIST FIPS 199 Risk Impact level (High, Moderate, Low)**	**Has C&A been Completed, using NIST 800-37? (Y/N)**	**Date Completed: C&A**	**What standards were used for the Security Controls tests? (FIPS 200/NIST 800-53, NIST 800-26, Other, N/A)**	**Date Complete(d): Security Control Testing**	**Date the contingency plan tested**

5. Have any weaknesses, not yet remediated, related to any of
the systems part of or supporting this investment been
identified by the agency or IG?

    a. If "yes," have those weaknesses been incorporated into
the agency's plan of action and milestone process?

6. Indicate whether an increase in IT security funding is
requested to remediate IT security weaknesses?

    a. If "yes," specify the amount, provide a general description of the weakness, and explain how the funding request will
remediate the weakness.

7. How are contractor security procedures monitored, verified, and validated by the agency for the contractor systems above?

8. Planning & Operational Systems - Privacy Table:					
(a) Name of System	**(b) Is this a new system? (Y/N)**	**(c) Is there at least one Privacy Impact Assessment (PIA) which covers this system? (Y/N)**	**(d) Internet Link or Explanation**	**(e) Is a System of Records Notice (SORN) required for this system? (Y/N)**	**(f) Internet Link or Explanation**
Pegasys	No	Yes	PIA has been completed and filed but not publicly posted because the system doesn't collect personally identifiable information on the public.	Yes	http://a257.g.akamaitech.net/7/257/2422/01jan20061800/edocket.access.gpo.gov/2006/pdf/E6-17069.pdf
Details for Text Options: Column (d): If yes to (c), provide the link(s) to the publicly posted PIA(s) with which this system is associated. If no to (c), provide an explanation why the PIA has not been publicly posted or why the PIA has not been conducted.					
Column (f): If yes to (e), provide the link(s) to where the current and up to date SORN(s) is published in the federal register. If no to (e), provide an explanation why the SORN has not been published or why there isn't a current and up to date SORN.					
Note: Working links must be provided to specific documents not general privacy websites. Non-working links will be considered as a blank field.					

Section F: Enterprise Architecture (EA) (IT Capital Assets only)

In order to successfully address this area of the capital asset plan and business case, the investment must be included in the agency's EA and Capital Planning and Investment Control (CPIC) process and mapped to and supporting the FEA. The business case must demonstrate the relationship between the investment and the business, performance, data, services, application, and technology layers of the agency's EA.

1. Is this investment included in your agency's target
enterprise architecture?   Yes

    a. If "no," please explain why?

2. Is this investment included in the agency's EA Transition   Yes
Strategy?

    a. If "yes," provide the investment name as identified in   Pegasys
the Transition Strategy provided in the agency's most recent
annual EA Assessment.

    b. If "no," please explain why?

N/A

3. Is this investment identified in a completed (contains a   Yes
target architecture) and approved segment architecture?

    a. If "yes," provide the name of the segment architecture as Financial Management
provided in the agency's most recent annual EA Assessment.

4. Service Component Reference Model (SRM) Table:
Identify the service components funded by this major IT investment (e.g., knowledge management, content management, customer relationship management, etc.). Provide this information in the format of the following table. For detailed guidance regarding components, please refer to http://www.egov.gov.

Agency Component Name	Agency Component Description	FEA SRM Service Domain	FEA SRM Service Type	FEA SRM Component (a)	Service Component Reused Name (b)	Service Component Reused UPI (b)	Internal or External Reuse? (c)	BY Funding Percentage (d)
Data Mart	Defines the set of capabilities that support a subset of a data warehouse for a single department or function within an organization.	Back Office Services	Data Management	Data Mart			No Reuse	3
Extraction and Transformation	Defines the set of capabilities that support the manipulation and change of data.	Back Office Services	Data Management	Extraction and Transformation			No Reuse	2
Loading and Archiving	Defines the set of capabilities that support the population of a data source with external data.	Back Office Services	Data Management	Loading and Archiving			No Reuse	2
Meta Data Management	Defines the set of capabilities that support the maintenance and administration of data that describes data.	Back Office Services	Data Management	Meta Data Management			No Reuse	2
Auditing	Defines the set of capabilities that support the examination and verification of records for accuracy.	Back Office Services	Financial Management	Auditing			No Reuse	0
Billing and Accounting	Defines the set of capabilities that support the charging, collection and reporting of an organization's accounts.	Back Office Services	Financial Management	Billing and Accounting			No Reuse	0
Credit / Charge	Defines the set of capabilities that support the use of credit cards or electronic funds transfers for payment and collection of products or services.	Back Office Services	Financial Management	Credit / Charge			No Reuse	0
Debt Collection	Defines the set of capabilities	Back Office Services	Financial Management	Debt Collection			No Reuse	0

4. Service Component Reference Model (SRM) Table:

Identify the service components funded by this major IT investment (e.g., knowledge management, content management, customer relationship management, etc.). Provide this information in the format of the following table. For detailed guidance regarding components, please refer to http://www.egov.gov.

Agency Component Name	Agency Component Description	FEA SRM Service Domain	FEA SRM Service Type	FEA SRM Component (a)	Service Component Reused Name (b)	Service Component Reused UPI (b)	Internal or External Reuse? (c)	BY Funding Percentage (d)
	that support the process of accounts receivable.							
Expense Management	Defines the set of capabilities that support the management and reimbursement of costs paid by employees or an organization.	Back Office Services	Financial Management	Expense Management			No Reuse	0
Payment / Settlement	Defines the set of capabilities that support the process of accounts payable.	Back Office Services	Financial Management	Payment / Settlement			No Reuse	0
Payroll	Defines the set of capabilities that involve the administration and determination of employees compensation.	Back Office Services	Financial Management	Payroll			No Reuse	0
Revenue Management	Defines the set of capabilities that support the allocation and re-investment of earned net credit or capital within an organization.	Back Office Services	Financial Management	Revenue Management			No Reuse	0
Mathematical	Support the formulation and mathematical analysis of probabilistic models for random phenomena and the development and investigation of methods and principles for statistical inference.	Business Analytical Services	Analysis and Statistics	Mathematical			No Reuse	0
Balanced Scorecard	Defines the set of capabilities that support the listing and analyzing of both positive and negative impacts associated with a decision.	Business Analytical Services	Business Intelligence	Balanced Scorecard			No Reuse	2
Decision Support and Planning	Defines the set of capabilities that support the analyze information and predict the impact of decisions before they are made.	Business Analytical Services	Business Intelligence	Decision Support and Planning			No Reuse	2
Demand Forecasting / Mgmt	Defines the set of capabilities that facilitate the prediction of sufficient production to meet an organization's sales of a product or service.	Business Analytical Services	Business Intelligence	Demand Forecasting / Mgmt			No Reuse	2
Data Mining	Defines the set of capabilites	Business Analytical	Knowledge Discovery	Data Mining			No Reuse	0

4. Service Component Reference Model (SRM) Table:

Identify the service components funded by this major IT investment (e.g., knowledge management, content management, customer relationship management, etc.). Provide this information in the format of the following table. For detailed guidance regarding components, please refer to http://www.egov.gov.

Agency Component Name	Agency Component Description	FEA SRM Service Domain	FEA SRM Service Type	FEA SRM Component (a)	Service Component Reused Name (b)	Service Component Reused UPI (b)	Internal or External Reuse? (c)	BY Funding Percentage (d)
	that provide for the efficient discovery of non-obvious, valuable patterns and relationships within a large collection of data.	Services						
Standardized / Canned	Defines the set of capabilities that support the use of pre-conceived or pre-written reports.	Business Analytical Services	Reporting	Standardized / Canned			No Reuse	20
Performance Management	Defines the set of capabilities for measuring the effectiveness of an organization's financial assets and capital.	Business Management Services	Investment Management	Performance Management			No Reuse	0
Portfolio Management	Defines the set of capabilities that support the administration of a group of investments held by an organization.	Business Management Services	Investment Management	Portfolio Management			No Reuse	0
Strategic Planning and Mgmt	Defines the set of capabilities that support the determination of long-term goals and the identification of the best approach for achieving those goals.	Business Management Services	Investment Management	Strategic Planning and Mgmt			No Reuse	0
Business Rule Management	Defines the set of capabilities for the management of externally initiated communication between an organization and its stakeholders.	Business Management Services	Management of Processes	Business Rule Management			No Reuse	9
Change Management	Defines the set of capabilities that control the process for updates or modifications to the existing documents, software or business processes of an organization.	Business Management Services	Management of Processes	Change Management			No Reuse	8
Configuration Management	Defines the set of capabilities that control the hardware and software environments, as well as documents of an organization.	Business Management Services	Management of Processes	Configuration Management			No Reuse	8
Governance / Policy Management	Defines the set of capabilities intended to influence and determine decisions, actions, business rules and other matters within	Business Management Services	Management of Processes	Governance / Policy Management			No Reuse	8

4. Service Component Reference Model (SRM) Table:
Identify the service components funded by this major IT investment (e.g., knowledge management, content management, customer relationship management, etc.). Provide this information in the format of the following table. For detailed guidance regarding components, please refer to http://www.egov.gov.

Agency Component Name	Agency Component Description	FEA SRM Service Domain	FEA SRM Service Type	FEA SRM Component (a)	Service Component Reused Name (b)	Service Component Reused UPI (b)	Internal or External Reuse? (c)	BY Funding Percentage (d)
	an organization.							
Program / Project Management	Defines the set of capabilities for the management and control of a particular effort of an organization.	Business Management Services	Management of Processes	Program / Project Management			No Reuse	8
Quality Management	Defines the set of capabilities intended to help determine the level that a product or service satisfies certain requirements.	Business Management Services	Management of Processes	Quality Management			No Reuse	8
Requirements Management	Defines the set of capabilities for gathering, analyzing and fulfilling the needs and prerequisites of an organization's efforts.	Business Management Services	Management of Processes	Requirements Management			No Reuse	8
Risk Management	Defines the set of capabilities that support the identification and probabilities or chances of hazards as they relate to a task, decision or long-term goal.	Business Management Services	Management of Processes	Risk Management			No Reuse	8
Invoice / Requisition Tracking and Approval	Defines the set of capabilities that support the identification of where a shipment or delivery is within the business cycle.	Business Management Services	Supply Chain Management	Invoice / Requisition Tracking and Approval			No Reuse	0
Inbound Correspondence Management	Defines the set of capabilities for the management of externally initiated communication between an organization and its stakeholders.	Process Automation Services	Routing and Scheduling	Inbound Correspondence Management			No Reuse	0
Access Control	Defines the set of capabilities that support the management of permissions for logging onto a computer or network.	Support Services	Security Management	Access Control			No Reuse	0

a. Use existing SRM Components or identify as "NEW". A "NEW" component is one not already identified as a service component in the FEA SRM.

b. A reused component is one being funded by another investment, but being used by this investment. Rather than answer yes or no, identify the reused service component funded by the other investment and identify the other investment using the Unique Project Identifier (UPI) code from the OMB Ex 300 or Ex 53 submission.

c. 'Internal' reuse is within an agency. For example, one agency within a department is reusing a service component provided by another agency within the same department. 'External' reuse is one agency within a department reusing a service component provided by another agency in another department. A good example of this is an E-Gov initiative service being reused by multiple organizations across the federal government.

d. Please provide the percentage of the BY requested funding amount used for each service component listed in the table. If external, provide the percentage of the BY requested funding amount transferred to another agency to pay for the service. The percentages in the column can, but are not required to, add up to 100%.

5. Technical Reference Model (TRM) Table:
To demonstrate how this major IT investment aligns with the FEA Technical Reference Model (TRM), please list the Service Areas, Categories, Standards, and Service Specifications supporting this IT investment.

FEA SRM Component (a)	FEA TRM Service Area	FEA TRM Service Category	FEA TRM Service Standard	Service Specification (b) (i.e., vendor and product name)
Decision Support and Planning	Component Framework	Business Logic	Platform Independent	BEA-Java 2 platform enterprise edition (J2EE)
Decision Support and Planning	Component Framework	Business Logic	Platform Independent	BEA-JavaScript
Data Mart	Component Framework	Data Interchange	Data Exchange	AMS-Interactive Financial Interchange (IFX)
Data Mart	Component Framework	Data Interchange	Data Exchange	AMS-Open Financial Interchange (OFX)
Data Mart	Component Framework	Data Interchange	Data Exchange	AMS-Universal Business Language (UBL)
Data Mart	Component Framework	Data Interchange	Data Exchange	BEA-Electronic Business using XML (ebXML)
Data Mart	Component Framework	Data Interchange	Data Exchange	BEA-Enterprise Application Integration (EAI)
Decision Support and Planning	Component Framework	Data Interchange	Data Exchange	BEA-Simple Object Access Protocol (SOAP)
Decision Support and Planning	Component Framework	Data Interchange	Data Exchange	IBM Rational-RosettaNet
Billing and Accounting	Component Framework	Data Management	Database Connectivity	BEA-Java data object (JDO)
Billing and Accounting	Component Framework	Data Management	Database Connectivity	BEA-Java Database Connectivity (JDBC)
Billing and Accounting	Component Framework	Data Management	Database Connectivity	Microsoft-Active Data Objects .Net (ADO.Net)
Billing and Accounting	Component Framework	Data Management	Database Connectivity	Oracle-Database Connectors
Billing and Accounting	Component Framework	Data Management	Database Connectivity	Oracle-Open Database Connectivity (ODBC)
Standardized / Canned	Component Framework	Data Management	Reporting and Analysis	BEA-eXtensible Business Reporting Language (XBRL)
Balanced Scorecard	Component Framework	Data Management	Reporting and Analysis	Oracle-Java Online Analytical Processing (JOLAP)
Balanced Scorecard	Component Framework	Data Management	Reporting and Analysis	Oracle-Online Analytical Processing (OLAP)
Standardized / Canned	Component Framework	Data Management	Reporting and Analysis	Oracle-XML for Analysis
Invoice / Requisition Tracking and Approval	Component Framework	Presentation / Interface	Content Rendering	BEA-Wireless Markup Language (WML)
Invoice / Requisition Tracking and Approval	Component Framework	Presentation / Interface	Content Rendering	Microsoft/BEA-Cascading Style Sheets (CSS)
Invoice / Requisition Tracking and Approval	Component Framework	Presentation / Interface	Content Rendering	Microsoft/BEA-Dynamic HTML (DHTML)
Invoice / Requisition Tracking and Approval	Component Framework	Presentation / Interface	Dynamic Server-Side Display	BEA-Java Server Pages (JSP)
Invoice / Requisition Tracking and Approval	Component Framework	Presentation / Interface	Dynamic Server-Side Display	Microsoft-Active Server Pages .Net (ASP.Net)
Access Control	Component Framework	Security	Certificates / Digital Signatures	BEA-Digital Certificate Authentication
Access Control	Component Framework	Security	Certificates / Digital Signatures	Verizon-Secure Sockets Layer (SSL)
Access Control	Component Framework	Security	Supporting Security Services	BEA-Security Assertion Markup Language (SAML)
Access Control	Component Framework	Security	Supporting Security Services	BEA-Web Services Security (WS-Security)
Access Control	Component Framework	Security	Supporting Security Services	Microsoft-Transport Layer Security (TLS)
Loading and Archiving	Service Access and Delivery	Access Channels	Collaboration / Communications	BEA-Facsimile (Fax)
Loading and Archiving	Service Access and Delivery	Access Channels	Collaboration / Communications	IBM-Lotus Notes Electronic Mail (E-mail)
Loading and Archiving	Service Access and Delivery	Access Channels	Other Electronic Channels	BEA-Uniform Resource Locator (URL)
Business Rule Management	Service Access and Delivery	Access Channels	Other Electronic Channels	GSA-System to System
Inbound Correspondence Management	Service Access and Delivery	Access Channels	Web Browser	Any XML-enabled browser
Inbound Correspondence Management	Service Access and Delivery	Access Channels	Web Browser	Internet Explorer
Inbound Correspondence Management	Service Access and Delivery	Access Channels	Web Browser	Netscape Communicator
Inbound Correspondence Management	Service Access and Delivery	Delivery Channels	Extranet	ISP provided connectivity
Inbound Correspondence Management	Service Access and Delivery	Delivery Channels	Internet	ISP provided connectivity
Debt Collection	Service Access and Delivery	Delivery Channels	Intranet	Cisco Network Components
Debt Collection	Service Access and Delivery	Service Requirements	Hosting	Internal (within Agency)
Auditing	Service Access and Delivery	Service Requirements	Legislative / Compliance	

5. Technical Reference Model (TRM) Table:
To demonstrate how this major IT investment aligns with the FEA Technical Reference Model (TRM), please list the Service Areas, Categories, Standards, and Service Specifications supporting this IT investment.

FEA SRM Component (a)	FEA TRM Service Area	FEA TRM Service Category	FEA TRM Service Standard	Service Specification (b) (i.e., vendor and product name)
Auditing	Service Access and Delivery	Service Requirements	Legislative / Compliance	GSA-Privacy: Liberty Alliance
Auditing	Service Access and Delivery	Service Requirements	Legislative / Compliance	GSA-Privacy: Platform for Privacy Preferences (P3P)
Auditing	Service Access and Delivery	Service Requirements	Legislative / Compliance	GSA-Section 508
Auditing	Service Access and Delivery	Service Requirements	Legislative / Compliance	GSA-Security
Credit / Charge	Service Access and Delivery	Service Transport	Service Transport	Apache-Hyper Text Transfer Protocol (HTTP)
Credit / Charge	Service Access and Delivery	Service Transport	Service Transport	Cisco-IP Security (IPSEC)
Credit / Charge	Service Access and Delivery	Service Transport	Service Transport	IBM/Microsoft Internet Protocol (IP)
Payment / Settlement	Service Access and Delivery	Service Transport	Service Transport	IBM/Microsoft Transport Control Protocol (TCP)
Payment / Settlement	Service Access and Delivery	Service Transport	Service Transport	IBM/Microsoft Wireless Application Protocol (WAP)
Payment / Settlement	Service Access and Delivery	Service Transport	Service Transport	Microsoft/IBM -Internet Protocol (IP)
Payment / Settlement	Service Access and Delivery	Service Transport	Service Transport	Microsoft/IBM-File Transfer Protocol (FTP)
Payment / Settlement	Service Access and Delivery	Service Transport	Service Transport	Microsoft/IBM-Transport Control Protocol (TCP)
Payment / Settlement	Service Access and Delivery	Service Transport	Supporting Network Services	IBM/Microsoft Directory Services (X.500)
Payment / Settlement	Service Access and Delivery	Service Transport	Supporting Network Services	IBM/Microsoft Lightweight Directory Access Protocol (LDAP)
Expense Management	Service Access and Delivery	Service Transport	Supporting Network Services	IBM/Microsoft Secure multipurpose Internet mail extensions (S / MIME)
Expense Management	Service Access and Delivery	Service Transport	Supporting Network Services	IBM/Microsoft Simple Mail Transfer Protocol (SMTP)
Expense Management	Service Access and Delivery	Service Transport	Supporting Network Services	IBM/Microsoft-Internet Message Access Protocol / Post Office Protocol (IMAP / POP3)
Expense Management	Service Access and Delivery	Service Transport	Supporting Network Services	IBM/Microsoft-Multipurpose Internet Mail Extensions (MIME)
Extraction and Transformation	Service Interface and Integration	Integration	Enterprise Application Integration	BEA-Application Connectivity
Extraction and Transformation	Service Interface and Integration	Integration	Enterprise Application Integration	Microsoft/IBM-Application Connectivity
Mathematical	Service Interface and Integration	Integration	Middleware	BEA-Business Process Execution Language (BPEL)
Extraction and Transformation	Service Interface and Integration	Integration	Middleware	BEA-Database Access: ISQL/w
Extraction and Transformation	Service Interface and Integration	Integration	Middleware	BEA-Message-Oriented Middleware (MOM): IBM Websphere MQ
Payroll	Service Interface and Integration	Integration	Middleware	BEA-Web Services Coordination (WS-Coordination)
Payroll	Service Interface and Integration	Integration	Middleware	BEA-Web Services Transactions (WS-Transactions)
Mathematical	Service Interface and Integration	Interface	Service Description / Interface	BEA-Web Services Description Language (WSDL)
Payroll	Service Interface and Integration	Interface	Service Discovery	BEA-Universal Description Discovery and Integration (UDDI)
Meta Data Management	Service Interface and Integration	Interoperability	Data Format / Classification	BEA-Electronic Data Interchange (EDI)
Meta Data Management	Service Interface and Integration	Interoperability	Data Format / Classification	BEA-eXtensible Markup Language (XML)
Meta Data Management	Service Interface and Integration	Interoperability	Data Format / Classification	BEA-Namespaces
Meta Data Management	Service Interface and Integration	Interoperability	Data Transformation	BEA-eXtensible Stylesheet Language Transform (XSLT)
Meta Data Management	Service Interface and Integration	Interoperability	Data Types / Validation	BEA-Document Type Definition (DTD)
Data Mining	Service Platform and Infrastructure	Database / Storage	Database	BEA-XQuery
Data Mining	Service Platform and Infrastructure	Database / Storage	Database	Microsoft-SQL Server
Data Mining	Service Platform and	Database / Storage	Database	Oracle

5. Technical Reference Model (TRM) Table:
To demonstrate how this major IT investment aligns with the FEA Technical Reference Model (TRM), please list the Service Areas, Categories, Standards, and Service Specifications supporting this IT investment.

FEA SRM Component (a)	FEA TRM Service Area	FEA TRM Service Category	FEA TRM Service Standard	Service Specification (b) (i.e., vendor and product name)
	Infrastructure			
Data Mining	Service Platform and Infrastructure	Database / Storage	Storage	IBM-Network-Attached Storage (NAS)
Data Mining	Service Platform and Infrastructure	Database / Storage	Storage	IBM-Storage Area Network (SAN)
Data Mining	Service Platform and Infrastructure	Delivery Servers	Web Servers	Microsoft-Internet Information Server
Demand Forecasting / Mgmt	Service Platform and Infrastructure	Hardware / Infrastructure	Embedded Technology Devices	IBM-Microprocessor, Intel-Zeon
Demand Forecasting / Mgmt	Service Platform and Infrastructure	Hardware / Infrastructure	Embedded Technology Devices	Microsoft/IBM-Hard Disk Drive
Demand Forecasting / Mgmt	Service Platform and Infrastructure	Hardware / Infrastructure	Embedded Technology Devices	Microsoft/IBM-Random Access Memory (RAM)
Inbound Correspondence Management	Service Platform and Infrastructure	Hardware / Infrastructure	Local Area Network (LAN)	Cisco-Ethernet
Revenue Management	Service Platform and Infrastructure	Hardware / Infrastructure	Network Devices / Standards	Cisco-Firewall
Revenue Management	Service Platform and Infrastructure	Hardware / Infrastructure	Network Devices / Standards	Cisco-Gateway
Strategic Planning and Mgmt	Service Platform and Infrastructure	Hardware / Infrastructure	Network Devices / Standards	Cisco-Hub
Strategic Planning and Mgmt	Service Platform and Infrastructure	Hardware / Infrastructure	Network Devices / Standards	Cisco-Router
Configuration Management	Service Platform and Infrastructure	Hardware / Infrastructure	Network Devices / Standards	Cisco-Switch
Configuration Management	Service Platform and Infrastructure	Hardware / Infrastructure	Network Devices / Standards	ISP-T1/T3
Configuration Management	Service Platform and Infrastructure	Hardware / Infrastructure	Network Devices / Standards	Verizon-Digital Subscriber Line (DSL)
Requirements Management	Service Platform and Infrastructure	Hardware / Infrastructure	Peripherals	Dell/HP-Printer
Requirements Management	Service Platform and Infrastructure	Hardware / Infrastructure	Servers / Computers	IBM-Mainframe
Requirements Management	Service Platform and Infrastructure	Hardware / Infrastructure	Servers / Computers	IBM-RISC, Dell-Enterprise Server
Inbound Correspondence Management	Service Platform and Infrastructure	Hardware / Infrastructure	Wide Area Network (WAN)	Lucent-Asynchronous Transfer Mode (ATM)
Quality Management	Service Platform and Infrastructure	Software Engineering	Software Configuration Management	Inhouse-Change Management
Quality Management	Service Platform and Infrastructure	Software Engineering	Software Configuration Management	Inhouse-Defect Tracking
Portfolio Management	Service Platform and Infrastructure	Software Engineering	Software Configuration Management	Inhouse-Deployment Management
Portfolio Management	Service Platform and Infrastructure	Software Engineering	Software Configuration Management	Inhouse-Issue Management
Change Management	Service Platform and Infrastructure	Software Engineering	Software Configuration Management	Inhouse-Task Management
Program / Project Management	Service Platform and Infrastructure	Software Engineering	Test Management	Inhouse-Configuration Testing
Risk Management	Service Platform and Infrastructure	Software Engineering	Test Management	Inhouse-Functional Testing
Risk Management	Service Platform and Infrastructure	Software Engineering	Test Management	Inhouse-Security and Access Control Testing
Governance / Policy Management	Service Platform and Infrastructure	Support Platforms	Platform Dependent	MS Windows 2000
Governance / Policy Management	Service Platform and Infrastructure	Support Platforms	Platform Dependent	Windows.Net
Performance Management	Service Platform and Infrastructure	Support Platforms	Platform Independent	BEA-Java 2 Platform Enterprise Edition (J2EE)

    a. Service Components identified in the previous question should be entered in this column. Please enter multiple rows for FEA SRM Components supported by multiple TRM Service Specifications

    b. In the Service Specification field, agencies should provide information on the specified technical standard or vendor product mapped to the FEA TRM Service Standard, including model or version numbers, as appropriate.

6. Will the application leverage existing components and/or applications across the Government (i.e., FirstGov, Pay.Gov, etc)?   No

    a. If "yes," please describe.

Exhibit 300: Part IV: Planning For "Multi-Agency Collaboration" ONLY

Section A: Multi-Agency Collaboration Oversight (All Capital Assets)

Part IV should be completed only for investments identified as an E-Gov initiative, a Line of Business(LOB) Initiative, or a Multi-Agency Collaboration effort. The "Multi-Agency Collaboration" choice should be selected in response to Question 6 in Part I, Section A above. Investments identified as "Multi-Agency Collaboration" will complete only Parts I and IV of the exhibit 300.

Multi-agency Collaborations, such as E-Gov and LOB initiatives, should develop a joint exhibit 300.

1. Stakeholder Table:
As a joint exhibit 300, please identify all the agency stakeholders (all participating agencies, this should not be limited to agencies with financial commitment). All agency stakeholders should be listed regardless of approval. If the partner agency has approved this joint exhibit 300 please provide the date of approval.

Partner Agency Name	Partner Agency	Joint Exhibit Approval Date

2. Partner Capital Assets within this Investment:
Provide the partnering strategies you are implementing with the participating agencies and organizations. Identify all partner agency capital assets supporting the common solution (section 300.7); Managing Partner capital assets should also be included in this joint exhibit 300. These capital assets should be included in the Summary of Spending table of Part I, Section B. All partner agency migration investments (section 53.4) should also be included in this table. Funding contributions/fee-for-service transfers should not be included in this table. (Partner Agency Asset UPIs should also appear on the Partner Agency's exhibit 53)

Partner Agency Name	Partner Agency	Partner Agency Asset Title	Partner Agency Exhibit 53 UPI (BY)

3. Partner Funding Strategies ($millions):
For jointly funded initiative activities, provide in the "Partner Funding Strategies Table": the name(s) of partner agencies; the UPI of the partner agency investments; and the partner agency contributions for CY and BY. Please indicate partner contribution amounts (in-kind contributions should also be included in this amount) and fee-for-service amounts. (Partner Agency Asset UPIs should also appear on the Partner Agency's exhibit 53. For non-IT fee-for-service amounts the Partner exhibit 53 UPI can be left blank) (IT migration investments should not be included in this table)

Partner Agency Name	Partner Agency	Partner exhibit 53 UPI (BY)	CY Contribution	CY Fee-for-Service	BY Contribution	BY Fee-for-Service

An Alternatives Analysis for multi-agency collaborations should also be obtained. At least three viable alternatives, in addition to the current baseline (i.e., the status quo), should be included in the joint exhibit 300. Use OMB Circular A-94 for all investments, and the Clinger Cohen Act of 1996 for IT investments, to determine the criteria you should use in your Benefit/Cost Analysis.

4. Did you conduct an alternatives analysis for this investment? Yes

    a. If "yes," what is the date of the analysis?   3/23/2007

    b. If "no," what is the anticipated date this analysis will be completed?

    c. If no analysis is planned, please briefly explain why:

5. Alternatives Analysis Results: * Costs in millions
Use the results of your alternatives analysis to complete the following table:

Alternative Analyzed	Description of Alternative	Risk Adjusted Lifecycle Costs estimate	Risk Adjusted Lifecycle Benefits estimate

6. Which alternative was selected by the Initiative Governance process and why was it chosen?

7. What specific qualitative benefits will be realized?

8. Federal Quantitative Benefits: ($millions):
What specific quantitative benefits will be realized (using current dollars) Use the results of your alternatives analysis to complete the following table:

	Budgeted Cost Savings	Cost Avoidance	Justification for Budgeted Cost Savings	Justification for Budgeted Cost Avoidance

9. Will the selected alternative replace a legacy system in-part or in-whole?

    a. If "yes," are the migration costs associated with the migration to the selected alternative included in this investment, the legacy investment, or in a separate migration

investment?

    b. If "yes," please provide the following information:

8b. List of Legacy Investment or Systems		
Name of the Legacy Investment of Systems	UPI if available	Date of the System Retirement

Section B: Risk Management (All Capital Assets)

You should have performed a risk assessment during the early planning and initial concept phase of this investment's life-cycle, developed a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investment's life-cycle.

1. Does the investment have a Risk Management Plan?   Yes

    a. If "yes," what is the date of the plan?   7/18/2006

    b. Has the Risk Management Plan been significantly   No
changed since last year's submission to OMB?

    c. If "yes," describe any significant changes:

2. If there currently is no plan, will a plan be developed?

    a. If "yes," what is the planned completion date?

    b. If "no," what is the strategy for managing the risks?

Section C: Cost and Schedule Performance (All Capital Assets)

You should also periodically be measuring the performance of operational assets against the baseline established during the planning or full acquisition phase (i.e., operational analysis), and be properly operating and maintaining the asset to maximize its useful life. Operational analysis may identify the need to redesign or modify an asset by identifying previously undetected faults in design, construction, or installation/integration, highlighting whether actual operation and maintenance costs vary significantly from budgeted costs, or documenting that the asset is failing to meet program requirements.

EVM is required only on DME portions of investments. For mixed lifecycle investments, O&M milestones should still be included in the table (Comparison of Initial Baseline and Current Approved Baseline). This table should accurately reflect the milestones in the initial baseline, as well as milestones in the current baseline.

Answer the following questions about the status of this investment. Include information on all appropriate capital assets supporting this investment except for assets in which the performance information is reported in a separate exhibit 300.

1. Are you using EVM to manage this investment?   Yes

    a. If "yes," does the earned value management system   Yes
meet the criteria in ANSI/EIA Standard-748?

    b. If "no," explain plans to implement EVM:

    c. If "N/A," please provide date operational analysis was conducted and a brief summary of the results:

Questions #2 are NOT applicable for capital assets with ONLY O&M

2. Is the CV% or SV% greater than +/- 10%? (CV%= CV/EV x   No
100; SV%= SV/PV x 100)

    a. If "yes," was it the CV or SV or both?

    b. If "yes," explain the causes of the variance:

    c. If "yes," describe the corrective actions:

Questions #3-4 are applicable to ALL capital assets

3. Has the investment re-baselined during the past fiscal year?   No

a. If "yes," when was it approved by the agency head?

4. Comparison of Initial Baseline and Current Approved Baseline:

Complete the following table to compare actual performance against the current performance baseline and to the initial performance baseline. In the Current Baseline section, for all milestones listed, you should provide both the baseline and actual completion dates (e.g., "03/23/2003"/ "04/28/2004") and the baseline and actual total costs (in $ Millions). In the event that a milestone is not found in both the initial and current baseline, leave the associated cells blank. Note that the 'Description of Milestone' and 'Percent Complete' fields are required. Indicate '0' for any milestone no longer active.

Milestone Number	Description of Milestone	Initial Baseline		Current Baseline				Current Baseline Variance		Percent Complete	Agency Responsible for Activity
		Planned Completion Date (mm/dd/yyyy)	Total Cost ($M) Estimated	Completion Date (mm/dd/yyyy)		Total Cost($M)		Schedule (# days)	Cost($M)		
				Planned	Actual	Planned	Actual				