



Revision of the National Science Foundation (NSF) SBIR Phase I Conditions – November 1, 2005

SBIR Phase I conditions were last updated in 1998. These conditions have been expanded, reorganized and updated in accordance with NSF policy and changes to the SBIR program. FastLane information has been added to many articles. References within articles have changed throughout the document. Please read and review carefully.

- **Grantee Responsibilities, Article 2**, has been supplemented to indicate the responsibility of the Grantee to provide the Principal Investigator with a copy of the award and to note that award letters are available via the FastLane system.
- **Prior Approval Requirements, Article 3**, has been added to highlight those grant changes which require prior approval via the FastLane system.
- **Significant Project Changes, Article 4**, has been updated to expand the information on subawards and to establish that requests for changes must be made through FastLane.
- **Pre-award costs, Article 5'** has been added to establish the use of the 90 day per-award period for SBIR awards.
- **Subaward Requirements, Article 6**, has been updated to remind grantees that they are responsible for maintaining documentation on subawards.
- **Consultant Services, Article 7**, has been added to show NSF's consultant rate limitation.
- **Payments, Article 9**, now reflects the current SBIR pay schedule of a 2/3 initial payment and a 1/3 payment after acceptance of the final report.
- **Travel, Article 13**, added to provide standard NSF travel policies.
- **Patent Rights, Article 15, formerly Appendix A** has been moved in its entirety into the body of the document.
- **Audit and Records, Article 17**, replaces "Record Retention and Access".
- **Allowable Costs, Article 21**, added to provide standard NSF cost policies.
- **Animal Welfare, Article 24**, has been updated to include new requirements.
- **Government Permits and Activities Abroad, Article 29**, has been expanded to include specific areas of concern for foreign research and researchers.
- **Debarment and Suspension, Article 32**, is an entirely new article that informs recipients that they must fully comply with the requirements stipulated in Subpart C of 45CFR 620, entitled "*Responsibilities of Participants Regarding Transactions*".