

## Federal Grant Opportunities for Home Performance with ENERGY STAR Program Sponsors

Several federal grant and loan programs can be used to support local Home Performance with ENERGY STAR programs. Consult the following listings for opportunities that could apply to your organization. Please contact sponsoring agencies directly for more information.

Major federal funding sources include:

- U.S. Department of Energy – State Energy Program (link to: [http://www.eere.energy.gov/state\\_energy\\_program/about.cfm](http://www.eere.energy.gov/state_energy_program/about.cfm))
- U.S. Department of Housing and Urban Development – Community Development Block Grants Program (link to: <http://www.hud.gov/offices/cpd/communitydevelopment/programs/>)
- U.S. Department of Housing and Urban Development – HOME Program (link to: <http://www.hud.gov/offices/cpd/affordablehousing/programs/home/index.cfm>)

General information about these programs can be found on the agency Web sites. Formal grant solicitations from these and other agencies can be found on the federal government’s grant Web site. (Link to: <http://www.grants.gov/>)

Applicants for most of the large annual federal programs are states and cities. An organization wanting to apply to these programs would need to partner with or apply through their local state or city to access these funds.

### State Energy Program (SEP) – Formula Grants

<b>Agency:</b> U.S. Department of Energy (DOE)		
<b>Who Can Apply:</b> • States	<b>What It Can Fund:</b> Contractor training and certification, financial incentives, outreach and education, business equipment loans, low-interest consumer financing, building performance demonstrations and other activities in support of local energy-efficiency programs.	<b>Funding Available:</b> Congress establishes the total funding each year and then DOE uses a set formula to allocate the dollars among states that apply.  The average grant is about \$500,000 per state.  States are required to contribute their own funds worth 20 percent of the DOE grant.
<b>How To Apply:</b> States must submit applications at least two full months before the start of the state’s program year (usually the fiscal year). A state plan, detailing each state’s energy goals and activities must be submitted along with the other mandatory forms. Submissions are made electronically via the <u>federal government’s grant Web site</u> . (Link to: <a href="http://www.grants.gov/">http://www.grants.gov/</a> )		
<b>For More Information:</b> DOE State Energy Program – Formula Grants (link to: <a href="http://www.eere.energy.gov/state_energy_program/funding_states.cfm#fg">http://www.eere.energy.gov/state_energy_program/funding_states.cfm#fg</a> )		

**Contact:**

The SEP grant process is coordinated for DOE by the National Energy Technology Laboratory.

Grant officer: Denise Riggi

Phone: (304) 285-4241

E-mail: [driggs@netl.doe.gov](mailto:driggs@netl.doe.gov)

Grant specialist: John Golovach

Phone: (412) 386-4948

E-mail: [john.golovach@netl.doe.gov](mailto:john.golovach@netl.doe.gov)

Applicants may also contact the SEP project manager in their state (link to:

[http://www.eere.energy.gov/state\\_energy\\_program/seo\\_contacts.cfm](http://www.eere.energy.gov/state_energy_program/seo_contacts.cfm)).

### State Energy Program (SEP) – Special Project Grants

**Agency:**

U.S. Department of Energy (DOE)

**Who Can Apply:**

- States

**What It Can Fund:**

Market assessment, home inspections, diagnostic testing, best practices installations, marketing and outreach, financing and incentives, quality assurance and other activities in support of local energy-efficiency programs.

**Funding Available:**

DOE has awarded about \$16 million per year for the last several years.

The individual grants range from \$20,000 to \$1,000,000 with an average of \$99,518.

Cost sharing is encouraged.

**How To Apply:**

DOE conducts a yearly competitive solicitation. Announcements are made in the Federal Register and are posted on the federal government's grant Web site (link to: <http://www.grants.gov/>).

**For More Information:**

DOE State Energy Program – Special Project Grants (link to:

[http://www.eere.energy.gov/state\\_energy\\_program/funding\\_states.cfm#sp](http://www.eere.energy.gov/state_energy_program/funding_states.cfm#sp))

**Contact:**

The DOE official to contact for additional information will be listed in the SEP Special Project funding availability notice in the Federal Register. (Link to:

<http://www.gpoaccess.gov/fr/index.html>)

Applicants may also contact the SEP project manager in their state (link to:

[http://www.eere.energy.gov/state\\_energy\\_program/seo\\_contacts.cfm](http://www.eere.energy.gov/state_energy_program/seo_contacts.cfm)).

### Community Development Block Grants (CDBG) – Entitlement Grants

**Agency:**

U.S. Department of Housing and Urban Development (HUD)

<p><b>Who Can Apply:</b></p> <ul style="list-style-type: none"> <li>• Larger Cities (over 50,000 people)</li> <li>• Urban Counties (over 200,000 people)</li> <li>• Insular Areas Including American Samoa, Guam, Northern Mariana Islands, and U.S. Virgin Islands</li> </ul>	<p><b>What It Can Fund:</b></p> <p>Program design and implementation, training and certification, low-interest financing and incentives, marketing and outreach, and quality assurance activities. Efforts must be designed to improve living conditions for low- to moderate-income populations.</p>	<p><b>Funding Available:</b></p> <p>HUD uses a formula to determine grant amounts for larger cities and counties. Grants can be sizable, but cover numerous projects and activities. <u>View historical allocations</u> (link to: <a href="http://www.hud.gov/offices/cpd/communitydevelopment/budget/">http://www.hud.gov/offices/cpd/communitydevelopment/budget/</a>).</p>
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**How To Apply:**  
Eligible cities and counties apply for these block grants once every three to five years and submit yearly action plans outlining the specific activities to be implemented.

**For More Information:**  
HUD Community Development Block Grants – Entitlement Communities (link to: <http://www.hud.gov/offices/cpd/communitydevelopment/programs/entitlement>)

HUD Community Development Block Grants – Insular Areas (link to: <http://www.hud.gov/offices/cpd/communitydevelopment/programs/insular>)

**Contact:**  
These grants are managed out of HUD’s field offices. Contact the appropriate HUD field office (link to: <http://www.hud.gov/offices/cpd/about/staff/fodirectors/>) for more information. Organizations interested in partnering with grant recipients should contact the agency that administers the CDBG program (link to: <http://www.hud.gov/offices/cpd/communitydevelopment/programs/contacts/>) for their state.

### Community Development Block Grants (CDBG) – State Administered Grants

<p><b>Agency:</b> U.S. Department of Housing and Urban Development (HUD)</p>		
<p><b>Who Can Apply:</b></p> <ul style="list-style-type: none"> <li>• Small Cities</li> <li>• Small Counties</li> </ul>	<p><b>What It Can Fund:</b></p> <p>Program design and implementation, training and certification, low-interest financing and incentives, marketing and outreach, and quality assurance activities. Efforts must be designed to improve living conditions for low- to moderate-income populations.</p>	<p><b>Funding Available:</b></p> <p>HUD uses a formula to award grants to states. States then award grants to individual cities and counties based on a similar formula. <u>View historical allocations</u> (link to: <a href="http://www.hud.gov/offices/cpd/communitydevelopment/budget/">http://www.hud.gov/offices/cpd/communitydevelopment/budget/</a>).</p>
<p><b>How To Apply:</b> Cities and counties should contact the agency that <u>administers the CDBG program</u> (link to: <a href="http://www.hud.gov/offices/cpd/communitydevelopment/programs/contacts/">http://www.hud.gov/offices/cpd/communitydevelopment/programs/contacts/</a>) for their state. If the state officials cannot answer your questions, you may contact the <u>HUD field office</u> (link to: <a href="http://www.hud.gov/offices/cpd/about/staff/fodirectors/">http://www.hud.gov/offices/cpd/about/staff/fodirectors/</a>) that serves your area.</p>		

**For More Information:**

HUD Community Development Block Grants – State Administered Grants (link to: <http://www.hud.gov/offices/cpd/communitydevelopment/programs/stateadmin/>)

**Contact:**

The grants to the states are managed out of HUD’s field offices. Contact the appropriate HUD field office (link to: <http://www.hud.gov/offices/cpd/about/staff/fodirectors/>) for more information. Organizations interested in partnering with grant recipients should contact the agency that administers the CDBG program (link to: <http://www.hud.gov/offices/cpd/communitydevelopment/programs/contacts/>) for their state.

**Community Development Block Grant (CDBG) – Section 108 Loan Guarantee Program****Agency:**

U.S. Department of Housing and Urban Development (HUD)

**Who Can Apply:**

- Cities
- Small Cities
- Urban Counties
- Communities (with state assistance)

**What It Can Fund:**

Projects designed to help communities implement large physical and economic revitalization projects for entire low- to moderate-income neighborhoods. Activities can include program design and implementation, training and certification, low-interest financing and incentives, marketing and outreach, and quality assurance activities.

**Funding Available:**

HUD will guarantee local government loans (up to a maximum (link to: <http://www.hud.gov/offices/cpd/communitydevelopment/programs/108/#maximum>)) and allow repayment over 20 years.

The principal security for the loan guarantee is a pledge by the applicant of its current and future CDBG funds.

**How To Apply:**

HUD’s Web site (link to: <http://www.hud.gov/offices/cpd/communitydevelopment/programs/108/appquestions.cfm>) has direction on application procedures for all pre-submission, citizen participation and application submission requirements. An application for loan guarantee assistance may be submitted to the appropriate HUD office (link to: <http://www.hud.gov/local/index.cfm>) at any time.

**For More Information:**

HUD Community Development Block Grant – Section 108 Loan Guarantee Program (link to: <http://www.hud.gov/offices/cpd/communitydevelopment/programs/108>)

**Contact:**

Contact your local HUD field office (link to: <http://www.hud.gov/offices/cpd/about/staff/fodirectors/>) in advance for help in preparing an application and for more information. Organizations interested in partnering with loan recipients should contact the agency that administers the CDBG program (link to: <http://www.hud.gov/offices/cpd/communitydevelopment/programs/contacts/>) for their state or local area.

**HOME Investment Partnership Program (HOME)****Agency:**

U.S. Department of Housing and Urban Development (HUD)

**Who Can Apply:****What It Can Fund:****Funding Available:**

<ul style="list-style-type: none"> <li>• States</li> <li>• Units of Local Government</li> <li>• Consortiums of Communities (link to: <a href="http://www.hud.gov/offices/cpd/affordablehousing/programs/home/consortia/">http://www.hud.gov/offices/cpd/affordablehousing/programs/home/consortia/</a>)</li> </ul>	<p>Program design and implementation, training and certification, low-interest financing, grants, direct loans, loan guarantees and incentives, marketing and outreach, and quality assurance activities. Efforts must target low- to moderate-income residents.</p> <p>All rehabilitation efforts must bring homes up to <u>local code</u> (link to: <a href="http://www.hud.gov/offices/cpd/affordablehousing/library/homefires/volumes/vol3no1.cfm">http://www.hud.gov/offices/cpd/affordablehousing/library/homefires/volumes/vol3no1.cfm</a>).</p>	<p>States receive either their formula allocation or \$3,000,000 whichever is greater.</p> <p>Local jurisdictions eligible for at least \$500,000 under HUD's formula also can receive an allocation.</p> <p>Participating jurisdictions must <u>match</u> (link to: <a href="http://www.hud.gov/offices/cpd/lawsregs/notices/1997/97-3.pdf">http://www.hud.gov/offices/cpd/lawsregs/notices/1997/97-3.pdf</a>) every dollar of HOME funds used (except for administrative costs) with 25 cents from nonfederal sources.</p>
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**How To Apply:**

Shortly after HOME funds become available each year, HUD informs eligible jurisdictions of the amounts earmarked for them. Participating jurisdictions must have a current and approved Consolidated Plan (link to: <http://www.hud.gov/offices/cpd/about/conplan/>), which will include an action plan that describes how the jurisdiction will use its HOME funds. A newly eligible jurisdiction also must formally notify HUD of its intent to participate in the program. HUD establishes HOME Investment Trust Funds for each grantee, providing a line of credit that the jurisdiction may draw upon as needed.

**For More Information:**

HUD Community Planning And Development – HOME Investment Partnership Program (link to: <http://www.hud.gov/offices/cpd/affordablehousing/programs/home/>)

**Contact:**

To find the local HUD staff that work with the HOME program, search for the specific local HOME program contact (<http://www.hud.gov/offices/cpd/affordablehousing/programs/home/contacts/>) in your state or contact the appropriate HUD Field Office (link to: <http://www.hud.gov/offices/cpd/about/staff/fodirectors/>).

**Rural Housing and Economic Development (RHED)**

<p><b>Agency:</b> U.S. Department of Housing and Urban Development (HUD)</p>		
<p><b>Who Can Apply:</b></p> <ul style="list-style-type: none"> <li>• Rural Non-profits</li> <li>• Community Development Corporations and Agencies in Rural Areas</li> <li>• Indian Tribes</li> <li>• State Housing Finance and Economic Development Agencies</li> </ul>	<p><b>What It Can Fund:</b></p> <p>Program design and implementation, purchase of materials, training, financing services and incentives, quality assurance activities and the establishment of lines of credit, revolving loan funds, microenterprises, and small</p>	<p><b>Funding Available:</b></p> <p>Grants of up to \$150,000 are available for capacity building activities and grants up to \$400,000 are available for innovative housing and economic development activities.</p>

	<p>business incubators.</p> <p>All activities must improve the availability of quality housing in rural areas.</p>	
<p><b>How To Apply:</b>          Annually, after HUD publishes a Notice of Funding Availability (NOFA) for the Rural Housing and Economic Development Program, applicants must submit specific information about a proposed project or activities in their application. After HUD makes conditional selections, applicants must then submit additional information.</p>		
<p><b>For More Information:</b>          HUD Community Planning And Development – Rural Housing and Economic Development (link to: <a href="http://www.hud.gov/offices/cpd/economicdevelopment/programs/rhed">http://www.hud.gov/offices/cpd/economicdevelopment/programs/rhed</a>)</p>		
<p><b>Contact:</b>          Community Planning and Development Specialist: Thann Young          Phone: (202) 708-2290          E-mail: <a href="mailto:thann_young@hud.gov">thann_young@hud.gov</a></p>		

**Additional Resources**

- Grants.gov: Search Opportunities (link to: [http://www.grants.gov/applicants/search\\_opportunities.jsp](http://www.grants.gov/applicants/search_opportunities.jsp))
- Grants.gov: Training Materials (link to: [http://www.grants.gov/resources/training\\_materials.jsp](http://www.grants.gov/resources/training_materials.jsp))
- The Catalog of Federal Domestic Assistance: Search For Assistance (link to: [http://12.46.245.173/pls/portal30/CATALOG.FIND\\_ASSISTANCE\\_PROGRAM\\_DYN.show](http://12.46.245.173/pls/portal30/CATALOG.FIND_ASSISTANCE_PROGRAM_DYN.show))
- HUD’s Rural Housing and Economic Development Rural Gateway (link to: <http://www.hud.gov/offices/cpd/economicdevelopment/programs/rhed/gateway/index.cfm>)
- HUD’s Rural Housing and Economic Development list of Funding Summaries (link to: <http://www.hud.gov/offices/cpd/economicdevelopment/programs/rhed/gateway/housing.htm#hsgfunding>)
- National Association Of State Energy Officials: Funding Opportunities and Resources (link to: <http://www.naseo.org/funding/default.htm>)
- The Grantsmanship Center: Local Funding Sources (link to: <http://www.tgci.com/funding.shtml>)
- Non-profit Guides: Grant-writing Guides For Non-profit Organizations (link to: <http://www.npguides.org/>)