National Research Council Review of the *Strategic Plan* for the Climate Change Science Program

Overview of the Strategic Plan and Responses to Comments

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Impetus for a New Strategic Plan

- Substantial scientific progress over the past decade
- Opportunities afforded by a wealth of new observations, and those to come from both research and operational systems
- Maturing capabilities to conduct scientific assessments
- Needs of policy-makers for timely and sound scientific information, and for the federal global change research community to mobilize to provide it
- Capture advice from the NRC on research, observations, and computational modeling provided over the past few years

 Us Climate Change Science Program

U.S. Climate Change Science Program—Principles

- Goal and question-oriented strategic plan
- Integration of USGCRP and CCRI
- Combined scientific community and stakeholder review
- Policy relevant and policy neutral standards
- Transparency and comprehensiveness standards in assessment and decision support
- Reporting of degree of certainty in findings



Strategic Plan Development Process

- Ongoing research, observations, implementation, and reporting
- Discussion Draft of Strategic Plan, November 2002
- Broad community review of Discussion Draft of Strategic Plan at workshop, December 2002
- Individual comments received on website until January 18, 2003
- First National Research Council (NRC) Report issued, February 25, 2003
- Completion of Strategic Plan, July 2003
- Second NRC review will commence late-August
- Ongoing implementation, evaluation, and revision



Challenges in Revising the Plan

- Reconciling divergent comments
- Meeting the needs of multiple audiences, from decisionmakers to technical
- Responding to calls for prioritization
- Clarifying time lines for milestones and deliverables



NRC First Report— Overarching Recommendations

- Clarify vision, goals, and priorities of the CCSP and CCRI
- Fill needs for climate and global change information
- Describe a strategy for achieving an integrated observing system
- Enhance efforts to support decision making
- Improve program management description





- Concerted effort to be responsive
- Development of program vision, mission, and goals
- Identification of key near-term products
- Integration of USGCRP, CCRI and CCSP
- Clarification of process for developing decision support
- More detail on observations, data management, and modeling



Contents, November 2002 Draft

- Chapter 1. Introduction
- Part I. The CCRI
- Overview
- Chapter 2. Research Focused on Key Climate Change Uncertainties
- Chapter 3. Climate Quality Observations, Monitoring, and Data Management
- Chapter 4. Decision Support Resources
- Part II. The USGCRP
- Overview
- Chapter 5. Atmospheric Composition
- Chapter 6. Climate Variability and Change
- Chapter 7. Water Cycle
- Chapter 8. Land Use/Land Cover Change
- Chapter 9. Carbon Cycle
- Chapter 10. Ecosystems
- Chapter 11. Human Contributions and Responses to Environmental Change
- Chapter 12. Grand Challenges in Modeling, Observations, and Information Systems
- Part III. Communication, Cooperation, and Management
- Chapter 13. Reporting and Outreach
- Chapter 14. International Research and Cooperation
- Chapter 15. Program Management and Review



Contents, Final CCSP Strategic Plan

- Chapter 1. Introduction
- Chapter 2. Integrating Climate and Global Change Research
- Chapter 3. Atmospheric Composition
- Chapter 4. Climate Variability and Change
- Chapter 5. Water Cycle
- Chapter 6. Land-Use/Land-Cover Change
- Chapter 7. Carbon Cycle
- Chapter 8. Ecosystems
- Chapter 9. Human Contributions and Responses to
- **Environmental Change**
- Chapter 10. Modeling Strategy
- Chapter 11. Decision Support Resources Development
- Chapter 12. Observing and Monitoring the Climate System
- Chapter 13. Data Management and Information
- Chapter 14. Communications
- Chapter 15. International Research and Cooperation
- Chapter 15. International Chapter 16. Program Management and Reviewus Climate Change Science Program

NRC First Report— Overarching Recommendations

- 1. Clarify vision, goals, and priorities of the CCSP and CCRI
- 2. Fill needs for climate and global change information
- 3. Enhance efforts to support decision making
- 4. Describe a strategy for achieving an integrated observing system
- 5. Improve program management description



1. Clarifying Vision and Goals

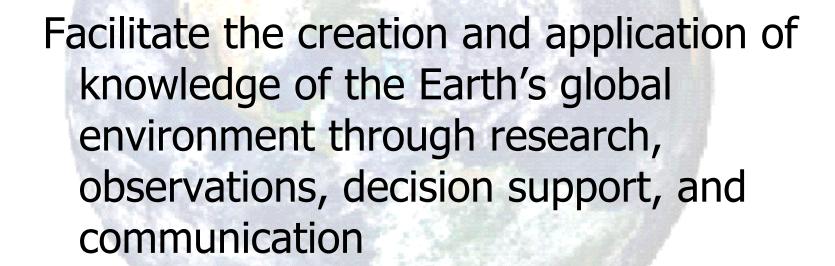


Guiding Vision for the CCSP

A nation and the global community empowered with the science-based knowledge to manage the risks and opportunities of change in the climate and related environmental systems.











Core Approaches

- Scientific Research
- Observations & Data Management
- Decision Support
- Communications



Five Integrated Goals for the U.S. Climate Change Science Program

- **Goal 1:** Improve knowledge of the Earth's past and present climate and environment, including their natural variability, and improve understanding of the causes of observed variability and change
- **Goal 2:** Improve quantification of the forces bringing about changes in the Earth's climate and related systems
- **Goal 3:** Reduce uncertainty in projections of how the Earth's climate and related systems may change in the future
- **Goal 4:** Understand the sensitivity and adaptability of different natural and managed ecosystems and human systems to climate and related global changes
- Goal 5: Explore the uses and identify the limits of evolving knowledge to manage risks and opportunities related to climate variability and change

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2. Meeting Information Needs for Climate and Global Change Information



CCSP will continue long-term discovery-driven and "applied" research

- Atmospheric Composition
- Climate Variability and Change
- Global Water Cycle
- Land-Use/Land-Cover Change
- Global Carbon Cycle
- Ecosystems
- Human Contributions and Responses to Environmental Change



- Extramural and intramural research supported by participating Agencies
- Large number of deliverables
- Incubator for knowledge that is then used in decision support resource development
- Interactions with users in research mode
- Coordinated through interagency working groups with external guidance and review
- Challenge: balance long-term Earth system research with focus on climate uncertainties

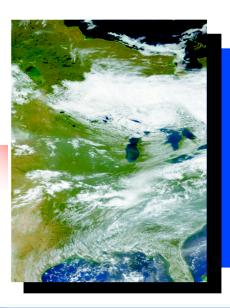
Near term emphasis on three sets of scientific uncertainties:

- Atmospheric distributions and effects of aerosols
- Climate feedbacks and sensitivity
- Carbon sources and sinks, focusing particularly on North America





- More than 20 products over next 4 years
- Topics identified during plan development and span all five CCSP goals
- Will constitute CCSP assessment effort as mandated in 1990 GCRA
- Detailed specification of questions and approach on case-by-case basis with stakeholder input
- Process underway to designate agency leads and contributions



Improve knowledge of the Earth's past and present climate and environment, including its natural variability, and improve understanding of the causes of observed variability and change.

TOPICS FOR PRIORITY CCSP SYNTHESIS PRODUCTS	SIGNIFICANCE	COMPLETION
Temperature trends in the lower atmosphere—steps for understanding and reconciling differences.	Inconsistencies in the temperature profiles of different data sets reduce confidence in understanding of how and why climate has changed.	within 2 years
Past climate variability and change in the Arctic and at high latitudes.	High latitudes are especially sensitive and may provide early indications of climate change; new paleoclimate data will provide long-term context for recent observed temperature increases.	within 2 years
Reanalyses of historical climate data for key atmospheric features. Implications for attribution of causes of observed change.	Understanding the magnitude of past climate variations is key to increasing confidence in the understanding of how and why climate has changed and why it may change in the future.	2-4 years



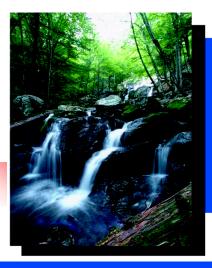
Improve quantification of the forces bringing about changes in the Earth's climate and related systems.

TOPICS FOR PRIORITY CCSP SYNTHESIS PRODUCTS	SIGNIFICANCE	COMPLETION
Updating scenarios of greenhouse gas emissions and concentrations, in collaboration with the CCTP. Review of integrated scenario development and application.	Sound, comprehensive emissions scenarios are essential for comparative analysis of how climate may change in the future, as well as for analyses of mitigation and adaptation options.	within 2 years
North American carbon budget and implications for the global carbon cycle.	The buildup of CO ₂ and methane in the atmosphere and the fraction of carbon being taken up by North America's ecosystems and coastal oceans are key factors in estimating future climate change.	within 2 years
Aerosol properties and their impacts on climate.	There is a high level of uncertainty about how climate may be affected by different types of aerosols, both warming and cooling, and thus how climate change might be affected by their control.	2-4 years
Trends in emissions of ozone-depleting substances, ozone layer recovery, and implications for ultraviolet radiation exposure and climate change.	This information is key to ensuring that international agreements to phase out production of ozone-depleting substances are having the expected outcome (recovery of the protective ozone layer).	2-4 years



Reduce uncertainty in projections of how the Earth's climate and related systems may change in the future.

TOPICS FOR PRIORITY CCSP SYNTHESIS PRODUCTS	SIGNIFICANCE	COMPLETION
Climate models and their uses and limitations, including sensitivity, feedbacks, and uncertainty analysis.	Clarifying the uses and limitations of climate models at different spatial and temporal scales will contribute to appropriate application of these results.	within 2 years
Climate projections for research and assessment based on emissions scenarios developed through the CCTP.	Production of these projections will help develop modeling capacity and will provide important inputs to comparative analysis of response options.	2-4 years
Climate extremes including documentation of current extremes. Prospects for improving projections.	Extreme events have important implications for natural resources, property, infrastructure, and public safety.	2-4 years
Risks of abrupt changes in global climate.	Abrupt changes have occurred in the past and thus it is important to evaluate what we know about the potential for abrupt change in the future.	2-4 years



Understand the sensitivity and adaptability of different natural and managed ecosystems and human systems to climate and related global changes.

TOPICS FOR PRIORITY CCSP SYNTHESIS PRODUCTS	SIGNIFICANCE	COMPLETION
Coastal elevation and sensitivity to sea level rise.	Evaluation of how well equipped society is to cope with potential sea level rise can help reduce vulnerability.	within 2 years
State-of-knowledge of thresholds of change that could lead to discontinuities (sudden changes) in some ecosystems and climate-sensitive resources.	This approach seeks to determine how much climate change natural environments and resources can withstand before being adversely affected.	2-4 years
Relationship between observed ecosystem changes and climate change.	Earlier blossoming times, longer growing seasons, and other changes are being observed, and this report will explore what is known about why these events are happening.	2-4 years
Preliminary review of adaptation options for climate-sensitive ecosystems and resources.	Understanding of adaptation options can support improved resource management—whether change results from natural or human causes—and thus helps realize opportunities or reduce negative impacts.	2-4 years
Scenario-based analysis of the climatological, environmental, resource, technological, and economic implications of different atmospheric concentrations of greenhouse gases.	Knowing how well we can differentiate the impacts of different greenhouse gas concentrations is important in determining the range of appropriate response policies.	2-4 years
State-of-the-science of socioeconomic and envi- ronmental impacts of climate variability.	This product will help improve application of evolving ENSO fore- casts by synthesizing information on impacts, both positive and neg- ative, of variability.	2-4 years
Within the transportation sector, a summary of climate change and variability sensitivities, potential impacts, and response options.	Safety and efficiency of transportation infrastructure—much of which has a long lifetime—may be increased through planning that takes account of sensitivities to climate variability and change.	2-4 years



Explore the uses and identify the limits of evolving knowledge to manage risks and opportunities related to climate variability and change.

TOPICS FOR PRIORITY CCSP SYNTHESIS PRODUCTS	SIGNIFICANCE	COMPLETION
Uses and limitations of observations, data, fore- casts, and other projections in decision support for selected sectors and regions.	There is a great need for regional climate information; further evaluation of the reliability of current information is crucial in developing new applications.	within 2 years
Best-practice approaches to characterize, communicate, and incorporate scientific uncertainty in decisionmaking.	Improvements in how scientific uncertainty is evaluated and communicated can help reduce misunderstanding and misuse of this information.	within 2 years
Decision support experiments and evaluations using seasonal to interannual forecasts and observational data.	Climate variability is an important factor in resource planning and management; improved application of forecasts and data can benefit society.	within 2 years

Challenges of Synthesis and Integration

- CCSP synthesis and assessment products will synthesize information from diverse sources
- Additional integration challenges:
 - Critical dependencies
 - Integration across multiple elements (e.g., methane)
 - Coordination of research and supporting elements
 - Integration between and among agencies



3. Enhance Efforts to Support Decision Making



Overview of decision support resources development

- Prepare scientific syntheses and assessments
- Develop resources to support adaptive management and planning, and transition these resources from research to application
- Develop and evaluate methods to support policymaking and demonstrate these methods with case studies





Guidelines for decision support

- Analyses structured around specific questions
- Early and continuing involvement of stakeholders
- Explicit treatment of uncertainties
- Transparent public review of analysis questions, methods, and draft results
- Evaluate ongoing CCSP analyses and build on the lessons learned

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Additional considerations

- Development of decision support resources will be done in a cross-cutting fashion, working with the research elements to develop new products and applications
- Continued development of approaches for integrated assessment and initial case studies will be developed jointly with the Climate Change Technology Program (CCTP)



4. Describe a strategy for achieving an integrated observing system



Strategy for Achieving an Integrated Observing System

The Plan emphasizes the important features

- Science-driven requirements based on the need for climatequality data products, including
 - Adherence to climate monitoring principles
 - Use of climate models to assist in observing system design
 - Protocols for validation of data assimilation and reanalysis
- Stabilizing and extending observing capabilities
 - Research to operations transition for satellites
 - Completing, maintaining, and updating in situ networks
 - New capabilities for new observations (e.g., ecosystems) and to integrate existing components
- Accelerating the deployment of pieces needed for decision support
 - Those associated with the near-term CCRI priorities and goals
- International collaboration



International Collaboration in Earth Observation

- Earth Observation Summit
 - Hosted by the U.S. Government in Washington, DC, on July 31, 2003
 - Senior international government and non-government leaders in climate science, technology and environment from 34 nations
 - To obtain international support for a system of integrated spaceborne, airborne, and in situ observations, to help understand and address global, environmental and economic concerns (<u>www.earthobservationsummit.gov</u>)
- Initiated a year-long development of a decadal plan for Earth observation
 - US participation led by the NOAA Administrator



5. Improve Program Management Description



Climate Science and Technology Management Structure

Office of the President

Climate Change Policy and Program Review by NSC, DPC, NEC

Committee on Climate Change Science and Technology Integration

Chair: Secretary of Commerce* Vice Chair: Secretary of Energy*

Executive Director: OSTP Director

Secretary of State NEC Director Secretary of Transportation

Secretary of Agriculture NASA Administrator Secretary of Defense EPA Administrator Secretary of the Interior CEQ Chairman

OMB Director Secretary of HHS NSF Director

International Activities

(Including Task Force on International Energy Cooperation)

DOS, DOE, USAID and Other Agencies

Interagency Working Group on Climate Change Science and Technology

Chair: Deputy/Under Secretary of Energy*
Vice Chair: Deputy/Under Secretary of Commerce*

Secretary: OSTP Associate Director for Science

Members DS/US Level:

CEQ, DOD, DOI, DOS, DOT, EPA,

HHS, NASA, NEC, NSF, OMB, USDA

Climate Change Science Program

Director: Assistant Secretary of Commerce for Oceans and Atmosphere

Members: DOC, DOD, DOE, DOI, DOS, DOT, EPA, HHS, NASA, NSF, OMB, OSTP, Smithsonian, USAID, USDA

Climate Change Technology Program

Director: Senior-level Appointee, Department of Energy Members: DOC, DOD, DOE, DOI, DOS, DOT, EPA, HHS, NASA, NSF, OMB, OSTP, USAID, USDA

*Chair and Vice Chair of Committee and Working Group rotate annually



Management Mechanisms

- Executive direction by cabinet-based management, including priority setting, review, and accountability
- Implementation by CCSP agencies
- Coordination through interagency groups
- External interactions for guidance, evaluation, and feedback
- Support from an interagency office



Priority setting

- Research priorities are assessed on an annual basis using multiple information sources
- Near-term priorities are reflected in the CCRI
- Initially, the following issues will receive priority
 - Three research issues identified by NRC (aerosols, feedbacks, and carbon sources/sinks)
 - Observing systems
 - Decision support resources development
- New initiative in FY 05/06 consistent with established priorities

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Program criteria

- Scientific or technical quality
- Relevance to reducing uncertainties and improving decision support tools
- Track record of consistently good past performance and identified metrics for evaluating future progress
- Cost and value



Next steps in program development

- Designate responsibilities and schedules for nearterm CCSP deliverables
- Continue to develop coordination mechanisms with CCTP
- Annual implementation for research elements and other cross-cutting areas
- Follow through and implementation planning following Earth Observing Summit



Capacity Building – Accomplishments and a Future Challenge

Human Capital

- Thousands of PI's and their Co-I's & students
- Education programs and tools, e.g., fellowships and curricula support
- Science community leaders trained to contribute to interdisciplinary research and assessments, e.g. IPCC contributors
- Research Assets
 - New generation of space-based, suborbital and surface-based observing systems
 - Information systems to process, archive and distribute data and information
 - Essential elements of the needed computational capacity
- Future challenges require building on this success



Concluding Remarks

US Climate Change Science Program www.climatescience.gov

A Sound Basis for Progress

- Created and end-to-end process for research, observation, modeling, and decision support
- Identified products and schedules to address the highest priority areas of uncertainty
- Planned assessment products with an "act learn - act" approach toward decision support, commensurate with the scientific process itself
- Periodic review and revision of Strategic Plan to reflect new knowledge

Focus of the Second NRC Review

The participating Agencies believe the CCSP Vision and Strategic Plan documents are a significant accomplishment and a sound basis for moving forward. The NRC's views on the content and process are essential to confirming or adjusting the course ahead.

Plan Content

The challenge was to prioritize, integrate, and organize Federal global and climate change research to deliver useful scientific information; the Plan has goals, integrating and organizing constructs and deliverables with timelines

Plan Development Process

 The challenge was to engage the broader scientific and stakeholder communities, and address their comments in a coherent and timely product; over 1600 authors, reviewers and workshop participants involved
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