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2 Introduction

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1 Strategies for protecting climate-sensitive ecosystems will be increasingly important for
2 management because changes in the climate system are likely to persist into the future
3 regardless of emissions mitigation. Climate is a dominant factor influencing the
4 distributions, structures, functions, and services of ecosystems. Changes in climate can
5 interact with other environmental changes to affect biodiversity and the future condition
6 of ecosystems (*e.g.*, McCarty, 2001; McCarthy *et al.*, 2001; Parmesan and Yohe, 2003).
7 The extent to which ecosystem condition may be affected will depend on the degree of
8 sensitivity of the ecosystem to changes in climate and the availability of adaptation
9 options for effective ecosystem management. This SAP is charged with reviewing
10 adaptation options for ecosystems that are likely to be sensitive to continuing changes in
11 climate. It is one of 21 Synthesis and Assessment Products (SAP) commissioned by the
12 U.S. government's Climate Change Science Program, seven of which examine the
13 sensitivity and adaptability of different natural and managed ecosystems and human
14 systems to climate and related global changes.

15
16 Adaptation is defined as an adjustment in ecological, social, or economic systems in
17 response to climate stimuli and their effects (McCarthy *et al.*, 2001). In biological
18 disciplines, adaptation refers to the process of genetic change within a population due to
19 natural selection, whereby the average state of a character becomes better suited to some
20 feature of the environment (Groom, Meffe, and Carroll, 2006). This type of adaptation,
21 also referred to as autonomous adaptation (McCarthy *et al.*, 2001), is a reactive biological
22 response to climate stimuli and does not involve intervention by society. Planned
23 adaptation, on the other hand, refers to strategies adopted by society to manage systems
24 based on an awareness that conditions are about to change or have changed, such that
25 action is required to meet management goals (adapted from McCarthy *et al.*, 2001). This
26 report focuses on the latter form of adaptation with all subsequent uses of the term
27 referring to strategies for management of ecosystems in the context of climate variability
28 and change.

29
30 The purpose of adaptation strategies is to reduce the risk of adverse outcomes through
31 activities that increase the resilience of ecological systems to climate change stressors
32 (Scheffer *et al.*, 2001; Turner, II *et al.*, 2003; Tompkins and Adger, 2004). A stressor is
33 defined as any physical, chemical, or biological entity that can induce an adverse
34 response (U.S. Environmental Protection Agency, 2000). Resilience refers to the amount
35 of change or disturbance that can be absorbed by a system before the system is redefined
36 by a different set of processes and structures (Holling, 1973; Gunderson, 2000; Bennett,
37 Cumming, and Peterson, 2005). Potential adverse outcomes of climate change may vary
38 for different ecosystems depending on their sensitivity to climate stressors and their
39 intrinsic resilience to climate change. The "effectiveness" of an adaptation option that is
40 designed to boost ecosystem resilience will thus be case-dependent and can only be
41 measured against a desired ecosystem condition or natural resource management goal.
42 This report evaluates the effectiveness of potential adaptation options for supporting
43 natural resource management goals.

44
45 Adaptation options for enhancing ecosystem resilience include changes in management
46 processes, practices, or structures to reduce anticipated damages or enhance beneficial
47 responses associated with climate variability and change. In some cases, opportunities for
48 adaptation offer stakeholders outcomes with multiple benefits, such as the addition of
49 riparian buffer strips that (1) manage pollution loadings from agricultural land into rivers

1 designated as “wild and scenic” today *and* (2) establish a protective barrier to increases in
2 both pollution and sediment loadings associated with future climate change. Where there
3 are multiple benefits to implementing specific adaptation options, this report seeks to
4 identify those benefits.

5
6 A range of adaptation options may be possible for many ecosystems, but a lack of
7 information or resources may impede successful implementation. In some cases,
8 managers may not have the knowledge or information available to address climate
9 change impacts. In other instances, managers may understand the issues and have the
10 relevant information but lack resources to implement adaptation options. Furthermore,
11 even with improvement in the knowledge and communication of available and emerging
12 adaptation strategies, the feasibility and effectiveness of adaptation will depend on the
13 adaptive capacity of the ecological system or social entity. Adaptive capacity is defined
14 as the potential or ability of a system, region, or community to counteract, adjust for, or
15 take advantage of the effects of climate change (McCarthy *et al.*, 2001). Depending on
16 the management goals, there may be biological, physical, economic, social, cultural,
17 institutional, or technological conditions that enhance or hinder adaptation. To the extent
18 possible, this report will address those factors that affect managers’ ability to implement
19 adaptation options.

20 **2.1 Goal and Audience**

21 The goal of this Synthesis and Assessment Product (SAP 4.4) is to provide useful
22 information on the state of knowledge regarding adaptation options for key,
23 representative ecosystems and resources that may be sensitive to climate variability and
24 change. Specifically, this report supports the stated goal by providing information on (1)
25 the combined effects on ecosystems of climate changes and non-climate stressors, and
26 consequent implications for achieving specific management goals; (2) existing
27 management options, or new adaptation approaches, that reduce the risk of negative
28 outcomes; and (3) opportunities and barriers that affect successful implementation of
29 management strategies to address climate change impacts. Through the provision of this
30 information, the desired outcome of this report is an enhanced adaptive capacity to
31 respond to future changes in climate.

32
33 The primary audience is resource and ecosystem managers at federal, state, and local
34 levels, tribes, nongovernmental organizations, and others involved in protected area
35 management decisions. Additional audiences include scientists, engineers, and other
36 technical specialists that will be able to use the information provided to set priorities for
37 future research and to identify decision-support needs and opportunities. This information
38 also may support tribes and government agencies at federal, state, and local levels in the
39 development of policy decisions that promote adaptation and increase society’s adaptive
40 capacity for management of ecosystems and species within protected areas.

41 **2.2 Stakeholder Interactions**

42 Stakeholder interactions play a key role in maximizing the relevance, usefulness, and
43 credibility of assessments and encouraging ownership of the results (National Research
44 Council, 2007). This may be especially true in the adaptation arena, where managers are
45 challenged by both the technical aspects of adaptation and the constraints imposed by

1 legal mandates and resource limitations. In these cases, participation by an appropriate
2 array of stakeholders is important in order to ensure that proposed adaptation options are
3 analyzed in light of both technical rigor and feasibility. Given this, the appropriate
4 composition of stakeholders for SAP 4.4 includes: (1) those who wish to consider options
5 for reducing the risk of negative ecological outcomes associated with climate variability
6 and change; (2) researchers who study climate change impacts on ecosystems and topics
7 relevant for adaptation to impacts of climate variability and change (*e.g.*, ecosystem
8 restoration, sustainability); (3) science managers from the physical and social sciences
9 who develop long-term research plans based on the information needs and decisions at
10 hand; and (4) tribes and government agencies at federal, state, and local levels who
11 develop and evaluate policies, guidelines, procedures, technologies, and other
12 mechanisms to improve adaptive capacity.

13
14 The initial planning of SAP 4.4 involved engaging stakeholders to shape the substance of
15 the report. Small groups of key leaders in the fields of adaptation science and
16 management were asked to advise the authors of the report on its content through
17 participation in a series of six workshops (one for each “management system” chapter;
18 see below). Chapter lead and contributing authors presented draft information on their
19 chapters and case studies, and incorporated stakeholder input into their revisions before
20 the drafts were submitted for formal external review.

21 **2.3 Approach for Reviewing Adaptation Options for Climate-** 22 **Sensitive Ecosystems and Resources**

23 This report examines federally protected and managed lands and waters as a context for
24 reviewing adaptation options for climate-sensitive ecosystems and resources. The focus
25 on federal holdings was chosen because their protected status reflects the value placed on
26 these ecosystems and resources by the American public; the management goals for
27 federal ecosystems are also representative of the range of goals and challenges faced by
28 other ecosystem management organizations across the United States; and adaptation
29 options for federal ecosystems will require a variety of responses (equally applicable to
30 non-federal lands) to ensure achievement of management goals over a range of time
31 scales.

32
33 Approximately one-third of the nation’s land base is managed by the federal government
34 and administered by different agencies through a variety of “management systems.”
35 Since a comprehensive treatment of all federal holdings is beyond the scope of this
36 report, the focus is on representative management systems that have clear management
37 goals for which adaptation options can be discussed. Therefore, adaptation options are
38 reviewed for six management systems: national forests, national parks, national wildlife
39 refuges, wild and scenic rivers, national estuaries, and marine protected areas (especially
40 national marine sanctuaries). Other federally protected systems—such as wilderness
41 preservation areas, biosphere reserves, research natural areas, natural estuarine research
42 reserves, and public lands—were not selected because they are either a sub-category of
43 the federal systems already selected, or because ecosystem management is not their
44 primary purpose.

45
46 For each of the six management systems selected, this report reviews (1) the historical
47 origins of the management system and the formative factors that shaped its mission and

1 goals, (2) key ecosystem components and processes upon which those goals depend, (3)
2 stressors of concern for the key ecosystem characteristics, (4) management methods
3 currently in use to address those stressors, (5) ways in which climate variability and
4 change may affect attainment of management goals, and (6) options for adjusting current
5 management strategies or developing new strategies in response to climate change. All of
6 these elements vary considerably depending on the history and organizational structure of
7 the management systems and the locations and types of ecosystems that they manage.

8
9 Specific management goals for the ecosystems in the different management systems vary
10 based on the management principles or frameworks employed to reach targeted goals.
11 Natural resource management goals are commonly expressed in terms of maintaining
12 ecosystem integrity, achieving restoration, preserving ecosystem services, and protecting
13 wildlife and other ecosystem characteristics. The achievement of management goals is
14 thus dependant on our ability to protect, support, and restore the structure and functioning
15 of ecosystems.

16
17 Changes in climate may affect ecosystems such that management goals are not achieved.
18 Thus the identified management goals from the literature review are analyzed for their
19 sensitivity to climate variability and change, and to other stressors present in the system
20 that may interact with climate change. Adaptive responses to climate variability and
21 change are meant to reduce the risk of failing to achieve management goals. Therefore,
22 each management system chapter discusses adaptation theories and frameworks, as well
23 as options for modifying existing management actions and developing new approaches to
24 address climate change impacts.

25
26 For each chapter, the above analysis of climate sensitivities and management responses is
27 then followed by one or more place-based case studies that explore the current state of
28 knowledge regarding management options that could be used to adapt to the potential
29 impacts of climate variability and change. The case studies—which were selected using a
30 range of criteria (Box 2.1)—cover a variety of ecosystem types such as forests, rivers and
31 streams, wetlands, estuaries, and coral reefs.

32 **2.4 Climate Variability and Change**

33 The motivation for developing responses to projected changes in the climate system
34 stems from observations of changes that have already occurred as well as projected
35 climate changes. The discussion below provides background information on observed
36 climatic and ecological changes that have implications for management of ecosystems in
37 the United States.

38 **2.4.1 Increases in Surface Temperature**

39 Climate is defined by the Intergovernmental Panel on Climate Change (IPCC) as the
40 mean and variability of weather variables (temperature, precipitation, and wind) over a
41 period of time ranging from months to thousands or millions of years. Evidence from
42 observations of the climate system has led to the conclusion that human activities are
43 contributing to a warming of the earth's atmosphere. This evidence includes an increase
44 of $0.74 \pm 0.18^{\circ}\text{C}$ in global average surface temperature over the last century, with 11 of

1 the last 12 years experiencing the greatest warming since the instrumental record of
2 global surface temperature was started in 1850 (IPCC, 2007b).

3
4 In the continental United States, temperatures rose linearly at a rate of 0.06°C per decade
5 during the first half of the 20th century. That rate increased to 0.33°C per decade from
6 1976 to the present. The degree of warming has varied by region (Fig. 2.1) across the
7 United States, with the West (climate region 8) and Alaska (climate region 10)
8 experiencing the greatest degree of warming (U.S. Environmental Protection Agency,
9 2007). These changes in temperature have led to an increase in the number of frost-free
10 days. In the United States, the greatest increases have occurred in the West and
11 Southwest (Tebaldi *et al.*, 2006).

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15 **Figure 2.1.** Annual mean temperature anomalies 1901–2003. *Red shades indicate*
16 *warming over the period and blue shades indicate cooling over the period. Data*
17 *courtesy [NOAA's National Climatic Data Center](#). Regions are: (1) Northeast, (2)*
18 *Southeast, (3) Central, (4) South, (5) East North Central, (6) West North Central,*
19 *(7) Southwest, (8) West, (9) Northwest, (10) Alaska, (11) Hawaii.*

20 **2.4.2 Changes in Precipitation**

21 Changes in climate have also been manifested in altered precipitation patterns. Over the
22 last century, the amount of precipitation has increased significantly across eastern parts of
23 North America and several other regions of the world (IPCC, 2007b). In the contiguous
24 United States, this increase in total annual precipitation over the last century has been
25 6.1%. When looked at by region (Fig. 2.2), however, the direction and magnitude of
26 precipitation changes vary, with increases of at least 10% observed in the East North
27 Central (climate region 5) and South (climate region 4), and decreases in the Southwest
28 (climate region 7) and Hawaii (climate region 11) (U.S. Environmental Protection
29 Agency, 2007). The form of precipitation has also changed in some areas. For example,
30 in the western United States, more precipitation has been falling as rain than snow over
31 the last 50 years (Knowles, Dettinger, and Cayan, 2006).

32
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34
35 **Figure 2.2.** Annual precipitation anomalies 1895–2003. *Green shades indicate a*
36 *trend towards wetter conditions over the period, and brown shades indicate a trend*
37 *towards dryer conditions. Data courtesy [NOAA's National Climatic Data Center](#).*
38 *Regions are: (1) Northeast, (2) Southeast, (3) Central, (4) South, (5) East North*
39 *Central, (6) West North Central, (7) Southwest, (8) West, (9) Northwest, (10)*
40 *Alaska, (11) Hawaii.*

41 **2.4.3 Warming of the Oceans**

42 Another manifestation of changes in the climate system is a warming in the world's
43 oceans. The global ocean temperature rose by 0.10°C from the surface to 700 m depth
44 from 1961–2003 (IPCC, 2007b). Observations of sea-surface temperatures, based on a
45 reconstruction of the long-term variability and change in global mean sea-surface

1 temperature for the period 1880 to 2005, show that they have reached their highest levels
2 during the past three decades over all latitudes (Fig. 2.3). Warming has occurred through
3 most of the 20th century and appears to be independent of measured inter-decadal and
4 short-term variability (Smith and Reynolds, 2005).

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8 **Figure 2.3.** Annual global sea surface temperature anomaly, 1880–2005, compared
9 with 1961–1990 climate normal (U.S. Environmental Protection Agency, 2007).

10 **2.4.4 Sea Level Rise and Storm Intensity**

11 Warming causes seawater to expand and thus contributes to sea level rise. This factor,
12 referred to as thermal expansion, has contributed 1.6 ± 0.5 mm per year to global average
13 sea level over the last decade. Other factors contributing to sea level rise over the last
14 decade include a decline in mountain glaciers and ice caps (0.77 ± 0.22 mm per year),
15 losses from the Greenland ice sheets (0.21 ± 0.07 mm per year), and losses from the
16 Antarctic ice sheets (0.21 ± 0.35 mm per year) (IPCC, 2007b).

17
18 In the United States, relative sea levels have been rising along most of the coasts at rates
19 of 1.5–3 mm per year (U.S. Environmental Protection Agency, 2007), which is consistent
20 with the average rate globally for the 20th century (1.7 ± 0.5 mm per year) (IPCC, 2007b).
21 Relative sea level has risen 3–4 mm per year in the Mid-Atlantic states and 5–10 mm per
22 year in the Gulf states because of subsidence combined with accelerated global sea level
23 rise (U.S. Environmental Protection Agency, 2007). On Florida’s Gulf coast, relative sea
24 level rise has led to a rate of conversion of about 2 meters of forest to salt marsh annually
25 (Williams *et al.*, 1999).

26
27 Changes in North Atlantic tropical storm activity have also been correlated with the
28 warming of tropical seas since 1970 (IPCC, 2007b), although the precise nature of this
29 relationship remains a topic of debate and investigation. While the total number of
30 tropical storms has not necessarily increased during this period, the intensity of storms
31 has increased threefold (Emanuel, 2005), and the number and proportion of intense
32 storms has nearly doubled. The storm surge associated with intense tropical storms
33 compounds the impact of sea level rise in coastal areas.

34 **2.4.5 Changes in Ocean pH**

35 Between 1750 and 1994, the oceans absorbed about 42% of all emitted carbon dioxide
36 (CO_2) (IPCC, 2007b). As a result, the total inorganic carbon content of the oceans
37 increased by 118 ± 19 gigatons of carbon (GtC) over this period and is continuing to
38 increase. This increase in oceanic carbon content caused calcium carbonate (CaCO_3) to
39 dissolve at greater depths and led to a 0.1 unit decrease in surface ocean pH from 1750–
40 1994 (IPCC, 2007b). The rate of decrease in pH over the past 20 years accelerated to 0.02
41 units per decade (IPCC, 2007b). This decline in pH, along with the concomitant
42 decreased depth at which calcium carbonate dissolves, have impaired the ability of
43 marine organisms to use carbonate ions to build their shells or other hard parts (The
44 Royal Society, 2005; Doney, 2006; Kleypas *et al.*, 2006).

1 2.4.6 Warming in the Arctic

2 Other observations at smaller geographic scales lend evidence that the climate system is
3 warming. For example, in the Arctic, average temperatures have increased and sea ice
4 extent has shrunk. Over the last 100 years, the rate of increase in average Arctic
5 temperatures has been almost twice that of the global average rate, and since 1978 the
6 annual average sea ice extent has shrunk by $2.7 \pm 0.6\%$ per decade. The permafrost layer
7 has also been affected in the Arctic, to the degree that the maximum area of ground
8 frozen seasonally has decreased by about 7% in the Northern Hemisphere since 1900,
9 with the spring realizing the largest decrease (up to 15%) (IPCC, 2007b).

10 2.4.7 Changes in Extreme Events

11 Whether they have become drier or wetter, many land areas have likely experienced an
12 increase in the number and intensity of heavy precipitation (5 cm of rain or more) events
13 (IPCC, 2007b). About half of the increase in total precipitation observed nationally has
14 been attributed to the increase in intensity of storms (Karl and Knight, 1998). Heavy
15 precipitation events are the principal cause of flooding in most of the United States
16 (Groisman *et al.*, 2005).

17
18 The general warming trend observed in most of the United States was also accompanied
19 by more frequent hot days, hot nights, and heat waves (IPCC, 2007b). Furthermore,
20 higher temperatures along with decreased precipitation have been associated with
21 observations of more intense and longer droughts over wider areas since the 1970s.
22 Within the United States, the western region has experienced longer and more intense
23 droughts, but these appear also to be related to diminishing snow pack and consequent
24 reductions in soil moisture. In addition to the factors above, changes in sea-surface
25 temperatures and wind patterns have been linked to droughts (IPCC, 2007b).

26 2.4.8 Changes in Hydrology

27 During the 20th century, the changes in temperature and precipitation described above
28 caused important changes in hydrology over the continental United States. One change
29 was a decline in spring snow cover. This trend was observed throughout the Northern
30 Hemisphere starting in the 1920s and accelerated in the late 1970s (IPCC, 2007b).
31 Declining snow cover is a concern in the United States because many western states rely
32 on snowmelt for their water use (Mote *et al.*, 2005). Less snow is equivalent to lower
33 reservoir levels. The earlier onset of spring snowmelt exacerbates this problem.
34 Snowmelt started 2–3 weeks earlier in 2000 than it did in 1948 (Stewart, Cayan, and
35 Dettinger, 2004).

36
37 Another important change, described in the preceding section, was the increase in heavy
38 precipitation events documented in the United States during the past few decades. These
39 changes have affected the timing and magnitude of streamflow. In the eastern United
40 States, high streamflow measurements were associated with heavy precipitation events
41 (Groisman, Knight, and Karl, 2001). Because of this association, there is a high
42 probability that high streamflow conditions have increased during the 20th century
43 (Groisman, Knight, and Karl, 2001). Increases in peak streamflow have not been
44 observed in the West, most likely because of the reduction in snow cover (Groisman,
45 Knight, and Karl, 2001).

1 2.4.9 Observed Ecological Responses

2 A growing body of literature indicates that over the past three decades, the changes in the
3 climate system described above—including the anthropogenic component of warming—
4 have caused discernable physical and biological changes in a variety of ecosystems (Root
5 *et al.*, 2005; Parmesan, 2006; IPCC, 2007a). These changes include shifts in genetics
6 (Bradshaw and Holzapfel, 2006; Franks, Sim, and Weis, 2007), species' ranges,
7 phenological patterns, and life cycles (reviewed in Parmesan, 2006). Most (85%) of these
8 ecological responses have been in the expected direction (*e.g.*, poleward shifts in species
9 distributions), and it is very unlikely that the observed responses are due to natural
10 variability alone (IPCC, 2007a). The asynchronous responses of different species to
11 climate change may alter species' interactions (*e.g.*, predator-prey relationships and
12 competition) and have unforeseen consequences (Parmesan and Galbraith, 2004).

13 2.4.10 Future Anticipated Climate Change

14 Improvements in understanding of the anthropogenic influences on climate have led to
15 very high confidence in some of the changes described in the previous section (*e.g.*,
16 increased global average air and ocean temperatures and sea levels, and melting of
17 glaciers and sea ice). This improved understanding has also increased confidence in
18 model projections of future climatic changes. The most recent models project future
19 changes in the earth's climate system that are greater in magnitude and scope than those
20 already observed. Based on annual average projections, surface temperature increases by
21 the end of the 21st century will range from 2°C near the coasts in the conterminous
22 United States to at least 5°C in northern Alaska. Nationally, summertime temperatures
23 will likely increase from 3°C to 5°C. Winter temperatures will likely increase from 7°C–
24 10°C in Northern Alaska. In addition, more extreme hot events and fewer extreme cold
25 events are projected to occur (IPCC, 2007b).

26
27 On average, annual precipitation will likely increase in the northeastern United States and
28 will likely decrease in the Southwest over the next 100 years (IPCC, 2007b). In the
29 western United States, precipitation increases are projected during the winter whereas
30 decreases are projected for the summer (IPCC, 2007b). More precipitation will likely fall
31 as rain rather than snow, and snow season length and snow depth are very likely to
32 decrease in most of the country (IPCC, 2007b). More extreme precipitation events are
33 also projected (Diffenbaugh *et al.*, 2005; Diffenbaugh, 2005), which, coupled with an
34 anticipated increase in rain-on-snow events, will likely contribute to more severe flooding
35 due to increases in extreme runoff (IPCC, 2007b).

36
37 The interaction of climate change with other stressors, as well as direct stressors from
38 climate change itself, will likely cause more complicated responses than have so far been
39 observed. In general, during the next 100 years, it is likely that many ecosystems will not
40 be able to resist or recover from the combination of climate change, associated
41 disturbances, and other global change drivers. Ecological responses to future climate
42 change are expected with high confidence to negatively affect most ecosystem services.
43 Major changes in ecosystem structure, composition, and function, as well as interspecific
44 interactions, are very likely to occur where temperature increases exceed 1.5°C–2.5°C
45 (IPCC, 2007a).

1 **2.5 Treatment of Uncertainty**

2 Throughout this report, evaluations of uncertainty will be communicated for judgments,
3 findings, and conclusions made in the text. Treatment of uncertainty involves
4 characterization and communication of two distinct concepts: uncertainty in terms of
5 *likelihood* or in terms of *level of confidence* of the science. Likelihood is relevant when
6 assessing the chance of a specific future occurrence or outcome and is often expressed in
7 a probabilistic way. However, in this report, judgments and conclusions about adaptation
8 will be associated with levels of confidence rather than likelihood.

9
10 Level of confidence refers to the degree of belief in the scientific community that
11 available understanding, models, and analyses are accurate. Confidence levels are
12 expressed by the degree of consensus in the available evidence and its interpretation and
13 are based on a scale of zero to 100% confidence (see Box 2.2 for confidence levels used
14 in this report). When dealing with the level of confidence in scientific judgments about
15 climate change and its impacts, it is important to consider two attributes: the amount of
16 evidence available to support the judgment being made and the degree of consensus
17 within the scientific community about that judgment. This involves asking such questions
18 as, “Is there a lot of literature dealing with the issue, or only a little?” and, “For the
19 literature that does exist, is there broad agreement or wide disagreement?” In this report,
20 confidence statements are based on the authors’ opinions about (1) how much evidence
21 exists across the breadth of research studies to support a specific understanding of a
22 particular issue, and (2) the extent of agreement or disagreement by experts on the
23 interpretation of this evidence.

24 **2.6 The Adaptation Challenge: The Purpose of This Report**

25 Given that the climate system is changing and will continue to change, that those changes
26 will affect attainment of management goals for ecosystems, and that there are varying
27 levels of uncertainty associated with the magnitude of climatic changes and ecosystem
28 responses, understanding how to incorporate adaptation into strategic planning activities
29 becomes an important and complex challenge. This report addresses where, when and
30 how adaptation strategies may be used to address climate change impacts on managed
31 ecosystems, the obstacles or opportunities that may be encountered while trying to
32 implement those strategies, and potential long-term strategic shifts in management
33 approaches that may be made to broaden the scope of adaptation strategies available to
34 resource managers.

35
36 Different approaches are discussed to address adaptation in the planning process. These
37 approaches generally fall into three broad categories that may be distinguished by (1)
38 timing of the management response: whether the response takes place prior to or after a
39 climate event has occurred; and (2) intention of the managing agency: whether climate-
40 induced changes are formally acknowledged and addressed in management plans (Box
41 2.3).

42
43 Given that resources are likely to become scarcer over time, a key to the planning process
44 for managing agencies will be to determine an approach that maximizes attainment of
45 established short- and long-term goals given the effect that climate change may have on
46 those goals. This report provides a discussion of key questions, factors, and potential

1 approaches to consider when setting priorities during the planning process, as well as
2 examples of adaptation strategies that may be employed across different types of
3 ecosystems and geographic regions of the country (see Box 2.4 for types of strategies).
4

5 Addressing future changes is an imprecise exercise, fraught with uncertainties and
6 unanticipated changes. Managers have to anticipate the interaction of multiple stressors,
7 the interdependencies of organisms within an ecosystem, and the potential intertwined,
8 cascading effects. Thus the ability to measure effectiveness of management options, *i.e.*,
9 ecological outcomes of specific actions on the ground, is essential in order to
10 continuously refine and improve adaptation. This report will discuss factors to consider
11 when measuring management effectiveness for increasing the resilience of ecosystems to
12 climate variability and change.
13

14 Another requirement for management effectiveness is successful implementation.
15 Challenges to implementation may be associated with different organizational scales,
16 operational tradeoffs, cost/benefit considerations, social/cultural factors, and planning
17 requirements. The information in this report provides an improved understanding of
18 barriers and opportunities associated with these challenges, including priority information
19 gaps and technical needs.
20

21 Finally, some challenges to implementation of adaptation options and their ultimate
22 success may require fundamental shifts in management approaches. This report will seek
23 to identify and discuss possible short- and long-term shifts in management structures,
24 approaches, and policies that increase the likelihood of effectiveness and success in
25 implementation, and that may open the door to a greater array of adaptation options in the
26 future.

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1 **2.8 Boxes**

2 **Box 2.1.** Case study selection criteria.

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The authors of this report, in consultation with agency representatives and stakeholders, used the following criteria for evaluation and selection of candidate case studies:

- Contains one or more ecosystem services or features that are protected by management goals
- Management goals are sensitive to climate variability and change, and the potential impacts of climate variability and change are significant relative to the impacts of other changes
- Adaptation options are available or possible for preserving a service or a physical or biological feature
- Adaptation options have potential for application in other geographic regions or for other ecosystem types.

In order to ensure that the entire collection of case studies would include broad representation across geographic areas, ecosystem types, and management goals and methods, the following characteristics were required of the group as a whole:

- Addresses a reasonable cross section of important, climate-sensitive ecosystems and/or ecosystem services and features.
- Addresses a range of adaptation responses (*e.g.*, structural, policy, permitting).
- Distributed across the United States and valued by a national constituency.
- Attributes allow for comparison of adaptation approaches and their effectiveness across the case studies (*e.g.*, lessons learned about research gaps and about factors that enhance or impede implementation).

Box 2.2. Confidence levels. Adapted from McCarthy *et al.* (2001)

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The 5-point confidence scale below is used to assign confidence levels to selected conclusions. The confidence levels are stated as Bayesian probabilities, meaning that they represent the degree of belief among the authors of the report in the validity of a conclusion, based on their collective expert judgment of all observational evidence, modeling results, and theory currently available to them.

5-Point Quantitative Scale for Confidence Levels

95% or greater	Very High Confidence
67–95%	High Confidence
33–67%	Medium Confidence
5–33%	Low Confidence
5% or less	Very Low Confidence

1 **Box 2.3.** Approaches to adaptation planning.
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1. No adaptation: future climate change impacts are not planned for by the managing agency and are not acknowledged as likely to occur.
2. Reactive adaptation: climate change impacts are not planned for by the managing agency and adaptation takes place after the impacts of climate change have been observed.
3. Anticipatory adaptation
 - Responsive: future climate change impacts are acknowledged as likely to occur by the managing agency and responses to those changes are planned for when changes are observed.
 - Proactive: climate change impacts are acknowledged as likely to occur by the managing agency and adaptation responses are planned for before the changes are observed.

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10 **Box 2.4.** Typology of adaptation strategies at ecosystem and planning levels.
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Ecosystem level

- Resilience
- Resistance
- Representation
- Replication
- Restoration

Planning level

- Realignment
(set management standards given current conditions rather than historic conditions)
- Recognition
(adjust techniques, such as silviculture, with recognition of current condition rather than historic conditions)

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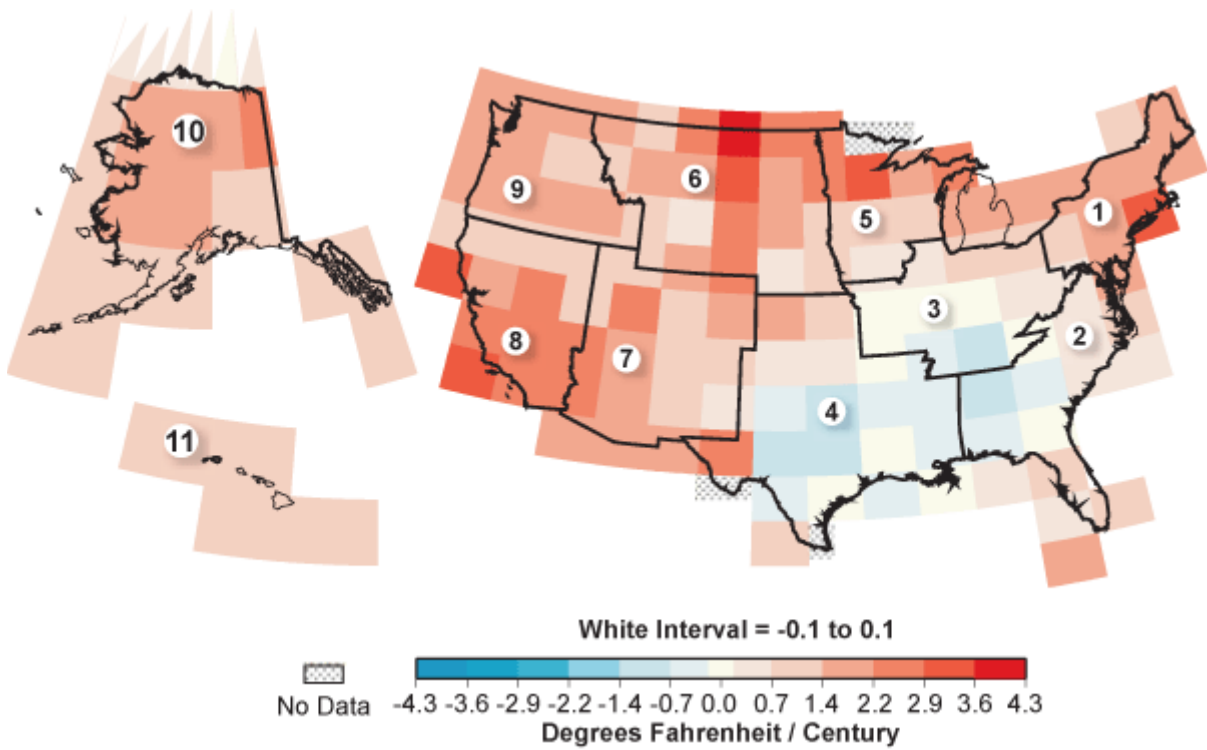
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2 **2.9 Figures**

3 **Figure 2.1.** Annual mean temperature anomalies 1901–2003. *Red shades indicate*
 4 *warming over the period and blue shades indicate cooling over the period. Data courtesy*
 5 *NOAA's National Climatic Data Center. Regions are: (1) Northeast, (2) Southeast, (3)*
 6 *Central, (4) South, (5) East North Central, (6) West North Central, (7) Southwest, (8)*
 7 *West, (9) Northwest, (10) Alaska, (11) Hawaii.*

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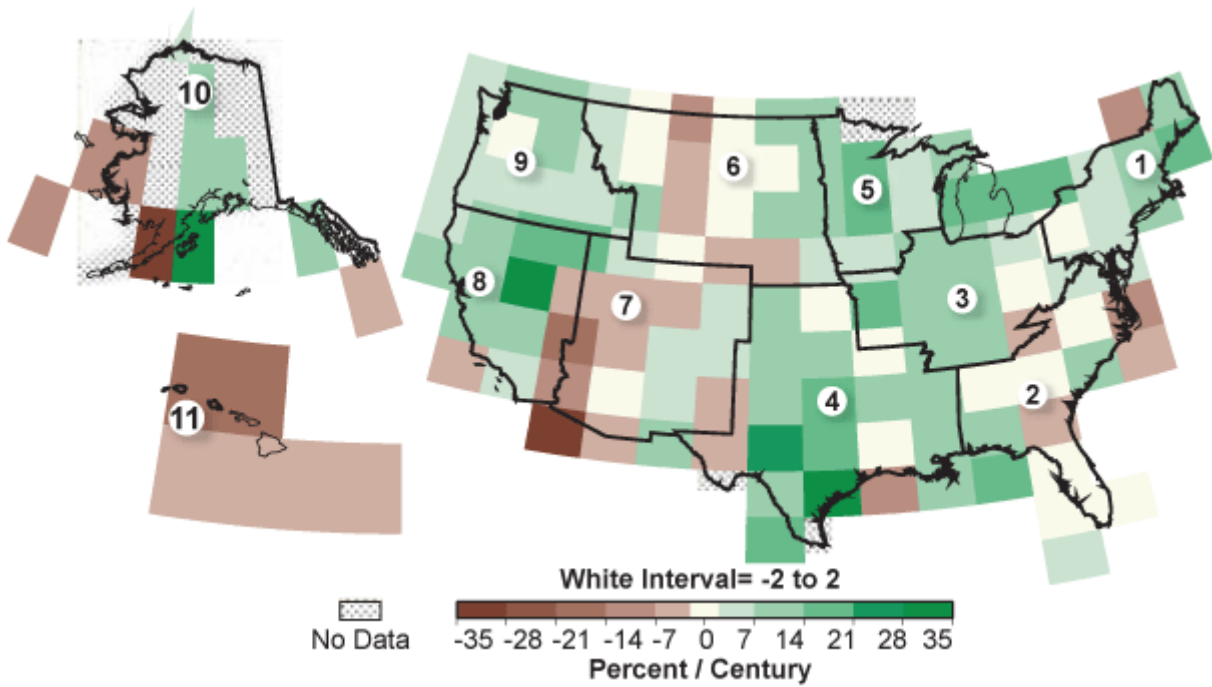
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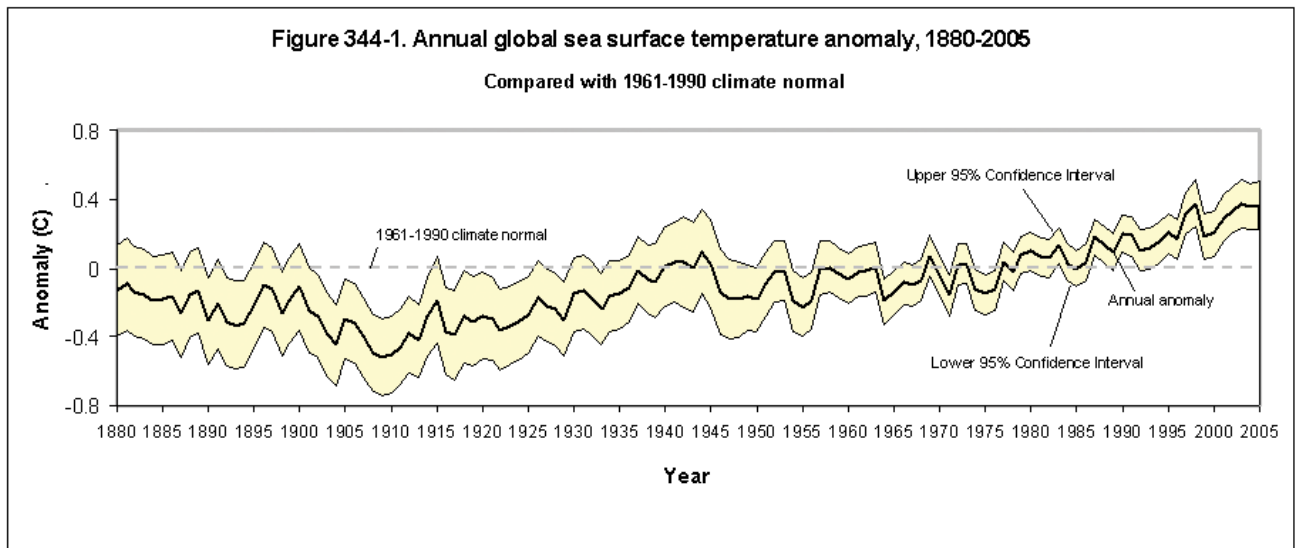
1 **Figure 2.2.** Annual precipitation anomalies 1895–2003. Green shades indicate a trend
2 towards wetter conditions over the period, and brown shades indicate a trend towards
3 dryer conditions. Data courtesy [NOAA's National Climatic Data Center](#). Regions are: (1)
4 Northeast, (2) Southeast, (3) Central, (4) South, (5) East North Central, (6) West North
5 Central, (7) Southwest, (8) West, (9) Northwest, (10) Alaska, (11) Hawaii.

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- 1 **Figure 2.3.** Annual global sea surface temperature anomaly, 1880–2005, compared with
- 2 1961–1990 climate normal (U.S. Environmental Protection Agency, 2007).



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