4929 Chapter 4. How Do Climate Change and Stratospheric 4930 Ozone Loss Interact? 4932 Convening Lead Author: D. W. Fahey, NOAA

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4937 **KEY ISSUES**

4938 Stratospheric ozone abundances are dependent on a balance of chemical processes that4939 both produce and destroy ozone and dynamical processes that transport ozone throughout

4940 the stratosphere. The chemical processes depend on atmospheric temperatures and the

4941 abundances of ozone-depleting substances and other trace gases, such as water vapor and

4942 nitric oxides. Transport depends on heating in the atmosphere, which also depends on the

4943 distribution and abundance of trace gases, such as carbon dioxide, ozone-depleting

4944 substances, and ozone. Atmospheric temperature, transport, and trace gas amounts, for

4945 example, are all aspects of Earth's climate. As these and other climate parameters change

4946 as result of human activities and natural variability, ozone abundances will decrease or

4947 increase in response in a manner that depends on a variety of factors.

4948

4949 This complex coupling of ozone and climate parameters is not fully defined at present
4950 and has significant uncertainties associated with known key aspects. Chemistry climate
4951 models (CCMs) of the atmosphere are in development and use by researchers aiming to

4952	reduce the uncertainty in the ozone-climate interaction and to explore other aspects of the
4953	interrelationship. Key questions related to the coupling of ozone and climate are:
4954	• How do ozone-depleting substances and ozone depletion contribute to the
4955	radiative forcing (RF) of climate?
4956	• How do long-term changes in greenhouse gases affect stratospheric ozone?
4957	• How have stratospheric temperatures changed in recent decades and what is the
4958	cause of these changes?
4959	• Is stratospheric water vapor changing in a way that influences ozone abundances?
4960	• How do ozone changes influence the climate of the stratosphere and troposphere?
4961	• Will volcanic eruptions influence future ozone amounts?
4962	
4963	KEY FINDINGS
4964	Linking of ozone and climate change
4965	• Ozone and climate change are linked because ozone-depleting substances (ODSs)
4966	are also greenhouse gases, which contribute to radiative forcing. The ODS
4967	contribution to global radiative forcing between 1750 and 2005 is approximately
4968	20% (0.34 W per m ⁻²) of that from carbon dioxide, the largest anthropogenic
4969	contribution. The ODS contribution is expected to decline in coming decades as
4970	ODS emissions and their atmospheric abundances continue to decline in the
4971	atmosphere.
4972	• Each ODS contributes to ozone depletion and climate warming with different
4973	levels of effectiveness as represented, for example, by the ozone depletion
4974	potentials (ODP) and global warming potentials (GWP), respectively. For the

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4975	principal ODSs, these values vary over orders of magnitude for equal mass of
4976	emissions.

4977	• The abundance of stratospheric ozone is dependent on a balance of production
4978	and loss processes. These processes are dependent on several features of the
4979	atmosphere: namely, its chemical composition, air motions, radiation, and
4980	temperatures. Climate change will lead to changes in these features, which in turn
4981	will affect ozone abundances. Feedback from climate change has the potential to
4982	increase or decrease ozone abundances depending on the region and extent of
4983	climate change.

Chemical ozone depletion also contributes to climate change by modifying
 atmospheric radiative properties. Feedback from ozone losses can alter
 atmospheric temperatures and atmospheric transport. Ozone depletion can affect
 the climate of both the troposphere and stratosphere.

4988

4989 *Impact of climate change on ozone*

4990	•	The complexity of the interactions of ozone changes with climate parameters
4991		requires that coupled models of Earth's atmospheric chemistry and climate
4992		processes (called chemistry climate models, CCMs) be used to predict future
4993		ozone amounts. In addition, CCMs are needed to evaluate the sensitivity of ozone
4994		to climate parameters and the response of climate to ozone changes.
4995	•	Stratospheric temperatures have decreased in the observational records that begin
4996		in the 1960s. This is attributed to ozone depletion, increased carbon dioxide, and
4997		changes in water vapor.

4998	•	Stratospheric temperatures influence ozone amounts through chemical and
4999		transport processes. Future increases in CO ₂ will continue to contribute to global
5000		stratospheric cooling. The photochemical loss of ozone is slowed in some regions
5001		when temperatures decrease with the result that ozone recovery may be
5002		accelerated.
5003	•	Human activities are expected to increase the future abundances of greenhouse
5004		gases that influence stratospheric ozone amounts, principally, carbon dioxide
5005		(CO ₂), methane (CH ₄) and nitrous oxide (N ₂ O).
5006	•	Stratospheric water vapor has increased in recent decades but since 2001 has been
5007		decreasing in the lower stratosphere. The oxidation of methane emissions is an
5008		important contribution to increasing water vapor trends. Tropical tropopause
5009		temperatures modulate dehydration of air entering the stratosphere, and recent
5010		decreases in water vapor are well correlated with negative tropical tropopause
5011		anomalies. Future trends are uncertain because stratospheric water vapor responds
5012		to both atmospheric methane emissions and the temperature of the tropical
5013		tropopause. Stratospheric water vapor influences stratospheric ozone through
5014		reactive hydrogen chemistry and polar stratospheric cloud formation.
5015	•	CCM simulations predict that the atmospheric circulation between the
5016		stratosphere and troposphere will increase in a changing climate in the coming
5017		decades. If this occurs, the increased circulation will increase the stratospheric
5018		flux of ozone to the troposphere.
5019		

5020 Impact of changes in stratospheric ozone on climate change

5021	•	Depletion of stratospheric ozone since about 1980 has caused a negative radiative
5022		forcing of climate change ($\sim 0.05 \text{ W per m}^2$) because ozone is a greenhouse gas.
5023		The short-wave cooling of the lower stratosphere has in turn led to a long-wave
5024		cooling of the upper troposphere. Increases in ozone from pollution chemistry
5025		have increased average tropospheric ozone, causing a positive radiative forcing
5026		$(\sim 0.35 \text{ W per m}^2).$
5027	•	Ozone depletion causes changes to the temperature and circulation of the
5028		stratosphere and troposphere. Meteorological analyses indicate that stratospheric
5029		ozone depletion over Antarctica has caused strengthened circumpolar flow
5030		throughout the troposphere over Antarctica and caused surface temperature
5031		changes.
5032		
5033	Impor	rtance of volcanic eruptions
5034	•	If explosive volcanic eruptions occur again in the coming decades, they will
5035		decrease stratospheric ozone levels for several years as a result of the
5036		
		heterogeneous reactions occurring on volcanic sulfate aerosols. These reactions
5037		heterogeneous reactions occurring on volcanic sulfate aerosols. These reactions enhance halogen loss processes by reducing the abundance of key reactive
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5037 5038 5039 5040 5041 5042	•	 heterogeneous reactions occurring on volcanic sulfate aerosols. These reactions enhance halogen loss processes by reducing the abundance of key reactive nitrogen compounds. For a given size eruption, the resulting effect on ozone will decrease as halogen amounts decrease in the coming decades. Explosive volcanic eruptions are expected to cause major temperature and circulation changes in the stratosphere as have occurred after past eruptions. These changes are a feedback response to the large increases in sulfate aerosol

- 5044a short-term shift in stratospheric climate because natural processes remove the5045additional sulfate aerosols within 2-3 years after the eruption.
- 5046

5047 4.1 INTRODUCTION

5048 Ozone occurs naturally in the atmosphere as a result of photochemical processes. In the

5049 stratosphere ozone is beneficial to life on Earth because it absorbs ultraviolet radiation

5050 from the sun. Ultraviolet light absorption heats the stratosphere. Ozone is also a

5051 greenhouse gas that helps trap terrestrial infrared radiation, which leads to heating of the

5052 troposphere and stratosphere. In the natural atmosphere, ozone's warming of the planet

5053 makes it the third most important greenhouse gas after water vapor and carbon dioxide

5054 (Kiehl and Trenberth, 1997). As a consequence, changes in ozone amounts have the

5055 potential to change climate parameters in the stratosphere and troposphere.

5056 Anthropogenic pollution has led to increased ozone production and abundances in the

5057 troposphere, particularly near Earth's surface. In contrast, emissions of ozone-depleting

5058 substances (ODSs) in recent decades have led to significant depletion of global

5059 stratospheric ozone with particularly high losses in polar regions. The Montreal Protocol

5060 has been established to protect the ozone layer by reducing the global production and

5061 consumption of ODSs.

5062

5063 The complex interrelationship between ozone and climate change is illustrated in Figure 5064 4.1. Multiple radiative, chemical, and dynamical processes control ozone amounts and 5065 their distribution in the troposphere and stratosphere. Production and loss cycles of ozone 5066 involve many chemical species, as well as aerosols, and are influenced by atmospheric 5067parameters such as solar insolation and temperature. Natural and anthropogenic processes5068on Earth's surface influence ozone through changes caused in atmospheric composition5069and climate parameters. The chemical loss rate of ozone leads to an atmospheric lifetime5070that is relatively short compared to carbon dioxide, for example. As a result, the5071distribution of ozone is non-uniform in the atmosphere and dynamical processes such as5072planetary waves and the Brewer-Dobson circulation have important roles in establishing5073the distribution.

5074



5086 Figure 4.1 Schematic depiction of the processes that link climate change and ozone abundances (Isaksen, 1.S.A., 2003).
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- 5089
- 5007

5090 The ODSs that destroy ozone are also greenhouse gases. Thus, the radiative effect of

5091 accumulated ODS emissions is partially offset by the subsequent reduction in global

5092 ozone amounts. Systematic and long-term ozone depletion can change atmospheric 5093 circulation patterns and contribute to climate change. Furthermore, changes in climate 5094 can potentially alter ozone amounts. Changes in temperature, amounts of trace gases such 5095 as methane, nitrous oxide, and water vapor, and atmospheric circulation can all 5096 potentially lead to ozone changes in the stratosphere and troposphere. Finally, large 5097 volcanic emissions can alter both ozone and climate for temporary periods of several 5098 years. This chapter assesses these interconnections, schematically shown in Figure 4.2, 5099 by outlining what is known about ODS and volcanic emissions and the processes through 5100 which ozone influences climate and through which climate change will influence ozone 5101 amounts. Further detail on the coupling of climate and ozone changes can be found in 5102 recent scientific assessments (IPCC/TEAP, 2005; WMO, 2007).

5103



5109 Figure 4.2 Schematic of specific processes that interconnect and influence atmospheric ozone amounts
 and climate parameters and that are addressed in this assessment.

5112

5113 **4.2 RADIATIVE FORCING OF CLIMATE BY OZONE-DEPLETING**

5114 SUBSTANCES AND OZONE CHANGES

5115 **4.2.1 Radiative Forcing by Ozone-Depleting Substances**

5116 The accumulation of ozone-depleting substances in the atmosphere has contributed to the

5117	radiative forcing of climate because all ODSs are greenhouse gases. The ODS
5118	efficiencies as ozone-depleting substances (i.e., ODPs) and as greenhouse gases (i.e.,
5119	GWPs) are contrasted in Figure 4.3. A large range is found in both metrics for the
5120	principal gases. The continuous measurements of ODS abundances in the atmosphere
5121	over the last 2-3 decades allow an accurate evaluation of their contributions to ozone
5122	depletion and climate change. Projections of emissions allow the future contribution of
5123	ODSs to be estimated. Time series of ODP-weighted and GWP-weighted ODS emissions
5124	in Figure 4.4 show that both weighted emissions grew substantially in recent decades but
5125	peaked in the late 1990s (Velders et al., 2007). The decline is in response to the
5126	provisions of the Montreal Protocol, which requires a staged phase-out of all principal
5127	ODS use in developed and developing nations. The RF contribution from ODSs likely
5128	would have been approximately twice as large in 2010 in the absence of the Montreal
5129	Protocol or other regulation (Velders et al., 2007).



Evaluation of Selected Ozone-Depleting Substances and Substitute Gases

5131

Figure 4.3 Comparison of the ozone depletion potentials (ODPs) and global warming potentials (GWPs) for principal ozone-depleting substances (ODSs) and HFCs. The contributions of emissions to ozone depletion and climate change increase with the ODP and GWP values, respectively. HFCs are ODS substitute gases which do not destroy ozone (*i.e.*, ODP = 0). The comparison is for emissions of equal mass. The GWPs are evaluated for a 100-yr period after emission. The ODPs of CFC-11 and CFC-12, and the GWP of CO₂ are defined to have values of 1.0 (WMO, 2007)

5132 5133

5134 ****** BEGIN BOX ******

5135 5136

5 BOX 4.1: The Ozone Depletion Potential and Global Warming Potential¹

5137 Ozone Depletion Potential. Ozone-depleting substances are compared in their effectiveness to destroy 5138 stratospheric ozone using the "Ozone Depletion Potential" (ODP), as shown in Figure 4.3. A gas with a 5139 larger ODP has a greater potential to destroy ozone over its lifetime in the atmosphere. The ODP is 5140 calculated on a "per mass" basis for each gas relative to CFC-11, which has an ODP defined to be 1. 5141 Halon-1211 and halon-1301 have ODPs significantly larger than CFC-11 and most other emitted gases, 5142 because bromine is much more effective overall (about 60 times) on a per-atom basis than chlorine in 5143 chemical reactions that destroy ozone in the stratosphere. The gases with small ODP values generally have 5144 short atmospheric lifetimes or fewer chlorine and bromine atoms. The production and consumption of all 5145 principal halogen source gases by humans are regulated under the provisions of the Montreal Protocol. 5146 5147 Global Warming Potential. The climate impact of a given mass of a halocarbon emitted to the atmosphere 5148 depends on its radiative properties and atmospheric lifetime. The two can be combined to compute the

global warming potential (GWP), which is a proxy for the climate effect of a gas relative to the emission of
a pulse of an equal mass of CO₂. Multiplying emissions of a gas by its GWP gives the CO₂-equivalent
emission of that gas over a given time horizon. A value of 100 yrs is often chosen as a reference time
horizon for intercomparisons of GWPs.

5154 GWPs are most useful as relative measures of the climate response due to direct radiative forcing of well-5155 mixed greenhouse gases whose atmospheric lifetimes are controlled by similar processes, which includes 5156 most of the halocarbons. 5157

- 5158 ¹Excerpted from WMO (2007) and IPCC/TEAP (2005).
- 5160 ****** END BOX 4.1 ******
- 5161

5159

5162 The radiative forcing of individual ODSs varies because of differences in emissions,

- 5163 lifetimes, and GWPs. The RF values attributable to individual ODSs for the period 1970-
- 5164 2000 are shown in Table 4.1 along with values for CO_2 and CH_4 . CFCs as a group form
- the largest contribution to RF amongst all ODSs. A comparison of the RF from
- 5166 halocarbon gases as a group with values associated with other aspects of natural and
- 5167 anthropogenic climate forcing is shown in Figure 4.5. Halocarbon gases represent 13% of
- 5168 the RF from all long-lived greenhouse gases and 21% of the total anthropogenic RF.

5170 ODSs account for 94% (0.32 W per m^2) of the halocarbon term in Figure 4.5. The 5171 balance (6%) is due to the accumulation of hydrofluorocarbon (HFC) emissions, which 5172 are included in the Kyoto Protocol (UNFCC, 1997). Emissions of HFCs are increasing 5173 because they are ODS substitute gases. HFCs do not deplete ozone (ODP = 0) but can 5174 have substantial GWPs (Figure 4.3).





5176

5177	Figure 4.4 ODP-weighted emissions (left panel), GWP-weighted emissions (100-yr) (middle panel), and
5178	radiative forcing (right panel) for ODS and CO ₂ scenarios for 1960–2020. Four scenarios are used: the
5179 5180 5181 5182	baseline which represents ODS observations to date and projections for the future; the emissions that plausibly would have occurred in absence of the Molina and Roland warning that ODSs deplete ozone (MR74), the emissions that plausibly would have occurred in absence of the implementation of the Montreal Protocol (NMP87), and the IPCC SRES scenario for CO2 emissions beyond 2003 (see legend)
5102	where r is the second rest of r is the indicated with the second rest r is r is th
5165	ODS emissions are normalized by their direct GWPs to form units of equivalent GtCO ₂ yr ⁻¹ . The shaded
5184	regions reflect uncertainties in the MR74 and NMP87 scenarios in projecting ODS growth rates. The
5185	striped shaded regions indicate larger scenario uncertainties past 2010. The CO ₂ emissions for 1960–2003
5186	are from global fossil fuel and cement production. All RF values represent net changes from the start of the
5187	industrial era (1750) to present. The reduction target of the first commitment period of the Kyoto Protocol
5188	is shown in the middle panel for reference. (Velders et al., 2007)
5189	
5190	
5191	
5192	

5193 Table 4.1 Radiative Forcing of CO₂, CH₄ and principal ODSs

5194

Gas	Radiative forcing (W per m ⁻²)
CO ₂	0.67
CH4	0.13
N20	0.068
CFC-11	0.053
CFC-12	0.136
CFC-113	0.023
CFC-114	0.003
CFC-115	0.002
HCFC-22	0.0263
HCFC-141b	0.0018
HCFC-142b	0.0024
Halon-1211	0.0012
Halon-1301	0.0009
CCl4	0.0029

5195 For accumulated emissions in the period 1970-2000. (Adapted from IPCC/TEAP, 2005). 5196

5197 A comprehensive evaluation of the protection of climate afforded by reductions in ODS 5198 emissions must take into account two compensating factors or offsets (Velders et al., 5199 2007). As ODS emissions are reduced, global stratospheric ozone are restored from their 5200 depleted state. Since ozone is a greenhouse gas, ozone RF increases as ozone levels are 5201 restored, thereby offsetting the reductions in RF from ODS reductions. The second offset 5202 is the increase in emissions of HFCs, all potent greenhouse gases, which is intrinsically 5203 tied to ODS reductions because HFCs are key substitute gases for ODSs. Thus, the net 5204 gain from reducing the RF contribution of ODSs must include negative offsets due to the 5205 reversal of some ozone depletion and increased abundances of other greenhouse gases. In 5206 2010, these factors offset about 25% of the RF decrease attributable to reductions of 5207



Figure 4.5 Radiative forcing values for the principal contributions to climate change from anthropogenic
activities and natural processes. Each numerical value listed and indicated with a bar is a global mean value
representing the change between preindustrial times (ca, 1750) and the present (2005). The error bars
indicate the uncertainty ranges. The spatial scale and level of scientific understanding (LOSU) is also
indicated for each value. (IPCC, 2007)

5214 ODSs under the Montreal Protocol since 1990 (Velders et al., 2007). As ODS

5215 abundances continue to decline in the atmosphere after 2010, the relative size of the

5216 ozone offset is likely to remain unchanged while the HFC offset might increase

5217 depending on growth in production and use of HFCs, which are not regulated by the

5218 Montreal Protocol.

5219

5220 4.2.2 Radiative Forcing From Ozone Changes

5221 Stratospheric ozone depletion and increases in tropospheric ozone both contribute to the

5222 RF of climate. The response of surface climate to ozone changes is complex, in general,

5223 because of the balance between short-wave and long-wave radiative effects. For example, 5224 when ozone is increased in the troposphere or lower stratosphere, surface temperatures 5225 tend to increase due to increased long-wave forcing (Forster and Shine, 1997; 5226 IPCC/TEAP, 2005). Overall, surface temperatures are most sensitive to changes in ozone 5227 concentrations near the tropopause. 5228 5229 Stratospheric ozone depletion has occurred primarily at extratropical latitudes with 5230 substantially larger changes in the Southern Hemisphere. Southern Hemisphere ozone 5231 values over the period 2000-2003 are on average 6% below pre-1980 values, while

5232 Northern Hemisphere values are 3% lower. The net RF change from these observed

5233 depletions has been assessed by the IPCC to be near -0.05 W per m² (Figure 4.5) (IPCC,

5234 2007; Hansen *et al.*, 2005). The instantaneous response to ozone depletion in the lower

5235 stratosphere is a positive forcing because solar flux significantly increases below the

5236 tropopause and downwelling longwave radiation decreases slightly. In addition, less solar

5237 and longwave radiation is absorbed in the lower stratosphere when ozone amounts are

5238 reduced, thereby cooling the region and further reducing the downwelling longwave flux

5239 from ozone and other gases. When all effects and feedbacks are taken into account, ozone

be depletion causes a net reduction in RF at the tropopause and a cooling effect on the

5241 atmosphere.

5242

5243 With an uncertainty of ± 0.1 W per m², the IPCC best estimate of the RF from

5244 stratospheric ozone lies between -0.15 and +0.05 W per m^2 (IPCC, 2007). The possibility

5245 of a positive RF reflects that stratospheric ozone may have increased in some regions

5246	since preindustrial times, despite losses related to ODSs. The RF value is particularly
5247	sensitive to ozone changes in the tropical lower stratosphere, which are small compared
5248	to changes at high latitudes. Observational and modeling studies indicate how Northern
5249	Hemisphere ozone amounts are also influenced by changes in atmospheric dynamics,
5250	such as changes in tropopause heights (Pyle et al., 2005), in addition to increased
5251	amounts of ODSs. The model results show large differences in how ozone column
5252	amounts have responded at mid latitudes to changes in ODSs and other parameters, such
5253	as circulation (Gauss et al., 2006).
5254	
5255	Tropospheric ozone since preindustrial times has increased as a result of increased
5256	emissions of anthropogenic pollutants, primarily nitrogen oxides, carbon monoxide, and
5257	organic compounds, including methane. Photochemical and radiative transfer models are
5258	used to calculate ozone changes and the associated RF, respectively. The changes include
5259	the net transport of ozone from the stratosphere to the troposphere, which can be altered
5260	by climate change and stratospheric ozone depletion. The tropospheric ozone RF (0.35 W
5261	per m ²) from human activities is larger than the stratospheric ozone term and associated
5262	with large uncertainties (Figure 4.5).
5263	
5264	4.2 THE DECIMINE OF OTONE TO CLIMATE CHANCE DADAMETERS

5264 **4.3 THE RESPONSE OF OZONE TO CLIMATE CHANGE PARAMETERS**

5265 Ozone responds to climate change parameters in a variety of ways because ozone is

- 5266 photochemically produced and destroyed in the atmosphere and thus dependent on the
- bundance of other gases emitted by natural and anthropogenic processes. The
- 5268 complexity of the interaction of ozone with climate change parameters (Figure 4.1)

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- 5269 requires the use of chemistry climate models (CCMs) to diagnose the sensitivity of ozone
- 5270 to climate change parameters and to predict future ozone amounts in a changing climate.

5272 BOX 4.2: Model Used To Study Climate Processes¹ 5273

5274 Atmospheric General Circulation Model (AGCM): A three-dimensional model of large-scale (spatial 5275 resolution of a few hundred km) physical, radiative, and dynamical processes in the atmosphere over years 5276 and decades. An AGCM is used to study changes in natural variability of the atmosphere and for 5277 investigations of climate effects of radiatively active trace gases (greenhouse gases) and aerosols (natural 5278 and anthropogenic), along with their interactions and feedbacks. Usually, AGCM calculations employ 5279 prescribed concentrations of radiatively active gases, e.g., carbon dioxide (CO₂), methane (CH₄), nitrous 5280 oxide (N₂O), chlorofluorocarbons (CFCs), and ozone (O₃). Changes of water vapor (H₂O) concentrations 5281 due to the hydrological cycle are directly simulated by an AGCM. Sea surface temperatures (SSTs) are 5282 prescribed. An AGCM coupled to an ocean model, commonly referred to as an AOGCM or a climate 5283 model, is used for investigation of climate change. More recently, climate models may also include other 5284 feedback processes (e.g., carbon cycle, interaction with the biosphere). 5285

5286 *Chemistry-Climate Model (CCM):* An AGCM that is interactively coupled to a detailed chemistry module. 5287 In a CCM, the simulated concentrations of the radiatively active gases are used in the calculations of net 5288 heating rates. Changes in the abundance of these gases due to chemistry and advection influence heating 5289 rates and, consequently, variables describing atmospheric dynamics such as temperature and wind. This 5290 gives rise to a dynamical-chemical coupling in which the chemistry influences the dynamics (via radiative 5291 heating) and vice versa (via temperature and advection). Not all CCMs have full coupling for all chemical 5292 constituents; some radiatively active gases are specified in either the climate or chemistry modules. Ozone 5293 is always fully coupled, as it represents the overwhelmingly dominant radiative-chemical feedback in the 5294 stratosphere. 5295

5296 ¹Excerpted from WMO (2007).

5298 END BOX 4.2

5299

5297

5300 **4.3.1 Calculating the Response of Ozone to Climate Change Parameters with CCMs**

5301 The approach to CCM use is schematically shown in Figure 4.6. Transport, radiation,

5302 dynamics, and chemistry and microphysics are the four principal aspects of a CCM. A

5303 CCM requires as input specific knowledge of natural process and their trends, such as in

- 5304 emissions, solar irradiance, and volcanic eruptions; and of human activities and their
- trends, primarily for emissions. These inputs define and constrain the current and future
- 5306 state of climate parameters. The CCM output includes a wide array of parameters and
- 5307 diagnostics in addition to ozone that can be compared to observations and other models.

5309



Figure 4.6 The processes in a Chemistry Climate Model (CCM) are represented by four basic groups:
transport, dynamics, radiation, and stratospheric chemistry & microphysics. Significant interactions occur
between aspects within each group. The CCM requires inputs describing human activities and natural
processes. The CCM provides as output projections of future ozone abundances and their distribution along
with a large variety of other parameters and diagnostics. See Box 4.2. (Eyring *et al.*, 2005).

5315

5316 CCMs are complex because simulating the atmosphere requires interdependence and

5317 interaction between the core aspects of the model. Important examples include the

- 5318 coupling between transport and radiation. Transport depends in part on atmospheric
- 5319 temperature gradients that are established by the distribution of radiative heating.
- 5320 Radiative heating is determined, in part, by long-lived greenhouse gases and ozone.
- 5321 Photochemical reaction rates also depend on ambient temperatures. Thus, the
- 5322 photochemical balance controlling the abundances of ozone and other species depends
- substantially on the atmospheric abundances of greenhouse gases. Sea surface
- temperatures, land-sea temperature differences and other factors influence wave
- 5325 propagation into the stratosphere, thereby affecting meridional transport rates. These
- 5326 couplings are discussed in more detail in WMO (2007) and Eyring *et al.* (2005).

CCSP 2.4

5327	
5328	The validation of CCM output for ozone and other parameters has become a focus topic
5329	because of the heightened need to project future ozone abundances with reliable
5330	uncertainty estimates (Eyring et al., 2005; 2006). Reasonable agreement is found between
5331	many CCMs and global ozone trends, but for polar ozone trends the CCMs show a large
5332	spread in results. Uncertainties in CCM results reflect limitations in our understanding of
5333	how to represent atmospheric processes and their feedbacks in model simulations and,
5334	therefore, limit the precision and accuracy of our projections of future ozone amounts and
5335	the influence of climate change.
5336	
5337	4.3.2 Stratospheric Temperature Changes
5338	Stratospheric temperatures have decreased over the last 3 to 4 decades. Observations
5339	from satellites beginning in 1979 and radiosonde observations from about 1960 both
5340	reveal the cooling. The trend is about -0.5K/decade in the lower stratosphere and about 1
5341	- 2K/decade in the middle stratosphere (~25-30 km). The latitude dependence of the
5342	temperature trends is not fully consistent across the various datasets, especially in the
5343	tropics, and remains a topic of research (WMO, 2007). The time series of temperatures
5344	reveals a non-monotonic decrease in the lower stratospheric temperatures (Figure 4.7).
5345	Volcanic aerosols formed in the aftermath of explosive volcanic eruptions led to a
5346	warming of the stratosphere for a few years following an eruption. Both the El Chichón

- and Mt. Pinatubo (1991) volcanic eruptions increased stratospheric aerosol amounts
- 5348 (McCormick et al., 1995; Pawson et al., 1998). The temperature trend decreases after
- 5349 1995 after the gradual decay of volcanic aerosol amounts removed a large fraction from

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- temperature, a sharp increase lasting for ~2 years is found immediately following the El
- 5352 Chichón (1982) eruption and is followed by a period of quasi-steady temperatures that
- are lower than the pre-eruption value. After the eruption of Mt. Pinatubo (1991),
- temperatures again increased sharply and were followed by a steady period in which the
- 5355 temperatures became lower than before this eruption. There is a slightly reduced cooling
- towards the end of 1990s and beginning of 2000s (Mears *et al.*, 2003).



Figure 4.7 Temperature anomalies in the lower stratosphere as calculated by an ensemble of climate
models. Results are compared with observations derived from satellite datasets. Further details are in
Section 4.3.2. (From Santer *et al.*, 2006 and (WMO, 2007: Figure 5-3)

5371 Climate model simulations also show that the combined influences of the agents that are
5372 known to "drive" the climate system offer a reasonable quantitative explanation of the
5373 observed non-monotonic decrease of the temperatures in the global lower stratosphere
5374 (Seidel and Lanzante, 2004; Dameris *et al.*, 2005; Ramaswamy *et al.*, 2006). The global

5375	stratospheric temperature trends over the past 2-3 decades are attributed in modeling
5376	studies to a combination of increases in greenhouse gases and water vapor, and decreases
5377	in ozone (Ramaswamy and Schwarzkopf, 2002; Schwarzkopf and Ramaswamy, 2002;
5378	Langematz et al., 2003; Shine et al., 2003; Santer et al., 2006). The above studies
5379	indicate that attribution of the cooling trend is possible on the global-annual and zonal-
5380	annual scales, and for the springtime Antarctic, but smaller spatial scales and seasonal
5381	behavior pose problems in attribution owing to the dynamical variability present. The
5382	results from an ensemble of climate models are shown in Figure 4.7 for stratospheric
5383	temperature anomalies calculated as global and monthly means (Santer et al., 2006). In
5384	addition to stratospheric ozone depletion, the models all include climate forcings from
5385	changes in WMGHGs sulfate aerosol, volcanic aerosol, and solar irradiance. The
5386	temperature anomalies are differences from a 1979-1999 reference period. The models in
5387	general account for the long-term decrease in stratospheric temperatures and the short-
5388	term increases caused by two large volcanic eruptions.
5389	
5390	The effects on climate of a wide range of forcings have been examined by Hansen et al.
5391	(2005), which shows that different forcings produce different response patterns in the
5392	vertical temperature profile. Results from climate model simulations as outlined in CCSP
5393	(2006) show:
5394	• increases in greenhouse gases warm the troposphere and cool the stratosphere
5395	• volcanic aerosols warm the stratosphere and cool the troposphere
5396	• increase in solar forcing warms most of the atmosphere
5397	• increases in tropospheric ozone warm the troposphere

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5398	• decreases in stratospheric ozone cool the stratosphere
5399	• sulfate aerosols cool the troposphere and slightly warm the stratosphere.
5400	The projections for the 21st century by coupled atmosphere-ocean general-circulation
5401	models (AOGCMs) using IPCC emissions scenarios show that average global
5402	temperatures continue to decrease in the stratosphere and increase in the troposphere
5403	(Figure 4.8). This result is primarily a consequence of increases in well-mixed
5404	greenhouse gases (WMGHGs) (mainly CO ₂). Changes in the thermal gradients in the
5405	stratosphere and troposphere, initiated by greenhouse gas and aerosol changes, could
5406	additionally alter stratosphere-troposphere interactions and the state of the stratosphere.
5407	Changes of water vapor in the stratosphere arising from tropospheric warming, possible
5408	changes in convective activity and transport of water to the stratosphere, also can affect
5409	the stratospheric thermal state. Increased frequency of explosive volcanic events and ones
5410	much more intense than the Mt. Pinatubo eruption, should they occur, can be expected to
5411	substantially alter the climate and chemistry of the stratosphere for a few years through
5412	the particulates produced and impacts on atmospheric circulation.



5414 Figure 4.8 Temperature trends in the troposphere and stratosphere calculated as global and annual means
5415 for the 21st century using atmosphere-ocean GCMs (with no ozone chemistry) (AOGCMs). The
5416 calculations were made for two IPCC emission scenarios: A2 (high) and B1 (low). The symbols indicate
5417 the average trend computed for all models, while the thin horizontal lines indicate the range. (WMO,
5418 2007).

5420 **4.3.2.1** Response of ozone to stratospheric temperature changes

5421 With the coupling of ozone with climate parameters as outlined above, the effect of

- 5422 temperature changes on ozone is difficult to isolate. However, model simulations reveal
- 5423 some strong tendencies arising due to temperature changes. In the upper stratosphere,
- ozone amounts are controlled primarily by photochemical processes rather than transport
- 5425 and these processes are considered well understood. When temperatures decrease, ozone
- 5426 loss slows in the dominant photochemical cycles (NO_X , ClO_X , and HO_X) (Figure 4.9).
- 5427 For example, 15-20% ozone increases were calculated in the upper stratosphere for a
- 5428 climate with doubled CO₂ concentrations (Jonsson *et al.*, 2004). In the lower
- 5429 stratosphere, lower temperatures also decrease the destruction rate; but, production and
- 5430 destruction rates are lower than in the upper stratosphere and transport plays a more

- 5431 important role. As a result, temperature changes have less influence on steady state ozone
- 5432 values in the lower stratosphere than in the upper stratosphere.



5434

5435 Figure 4.9 Comparison of ozone production and loss rates as a function of stratospheric temperature for 5436 40-km altitude (left panel) and 20-km altitude (right panel) conditions at 45°N at equinox (end of March). 5437 The colored regions indicate the contribution from the principal loss cycles of ozone: odd-oxygen (O_x) , 5438 reactive chlorine (ClO_x), reactive bromine (BrO_x), reactive nitrogen (NO_x), and reactive hydrogen (HO_x). 5439 The fractional contribution of each cycle varies with temperature differently in the two regions. The top 5440 trace in each panel is the ozone value at the end of 20-day runs of a chemical box model starting from 5441 climatological values for ozone, other constituents, and temperature (250K at 40 km; 215K at 20 km). At 5442 40 km, the production rate coincides with the sum of loss rates because ozone is in photochemical balance 5443 at all temperatures shown. At 20 km, ozone production can be higher or lower than total loss depending on 5444 temperature because transport plays a more important role. The changes in ozone after 20-day runs are 5445 much smaller at 20 km than at 40 km, confirming that small temperature changes in the upper stratosphere 5446 will significantly alter ozone abundances. (IPCC/TEAP, 2005) 5447

- 5448 In the polar lower stratosphere, the reduction in photochemical loss with lower
- 5449 temperatures can be completely offset by increased activation of reactive chlorine and
- 5450 bromine, which increases ozone loss. Lower temperatures promote the formation of polar
- 5451 stratospheric clouds (PSCs), which facilitate heterogeneous reactions that form reactive
- 5452 halogens from reservoir gases. In the Arctic region, increased reactive halogens have the
- 5453 largest effect in controlling the ozone response to lower temperatures. For Northern

Hemisphere winters from 1993 to present, a strong linear relationship is found between
winter/early spring ozone depletion and the volume of air containing PSCs during the
winter. The ODS abundances are nearly constant during this time period (Rex *et al.*,
2004). Arctic ozone depletion might increase if further reductions occur in Arctic
stratospheric temperatures because temperature decreases can lead to increases in the
duration and frequency of PSCs (Douglass *et al.*, 2006).

5460

5461 In the Antarctic lower stratosphere, winter temperatures are well below the thresholds for 5462 heterogeneous conversion of halogen reservoirs for much longer periods and for much 5463 larger fractions of the polar vortex than found in the Arctic. Antarctic ozone depletion is 5464 currently much more extensive and complete, and decreasing temperatures would have 5465 less of an effect (Tilmes *et al.*, 2006). Under current conditions, seasonal Antarctic ozone 5466 depletion is more sensitive to reductions in ODS amounts than to small decreases in 5467 temperature (See Chapter 3) (Newman et al., 2004). As ODS abundances decrease in the 5468 coming decades, polar ozone destruction due to reactions with halogen species ultimately 5469 will decrease in both hemispheres regardless of changes in the frequency and duration of 5470 PSCs.

5471

5472 4.3.3 Stratospheric Water Vapor Changes

5473 The amounts of atmospheric water vapor, the most important and abundant greenhouse

- 5474 gas, serve as a feedback in the climate system. Change in the global distribution of water
- 5475 vapor is one of the important responses to the anthropogenic climate forcings
- 5476 summarized in Figure 4.5. Water vapor enters the stratosphere primarily through the

5477	tropical tropopause. The water vapor abundance is reduced in dehydration processes
5478	involving low tropical tropopause temperatures and the formation and sedimentation of
5479	ice particles. Methane, released in the troposphere and oxidized in the stratosphere, is the
5480	underlying cause of the water-vapor component of anthropogenic radiative forcing as
5481	summarized in Figure 4.5.
5482	
5483	Stratospheric water vapor has been measured by a wide variety of instruments and
5484	platforms, including balloons, aircraft, and satellites. The longest time series of
5485	continuous measurements is from small-balloon observations beginning in 1980. These
5486	measurements show that water vapor has increased at all levels between 15 and 26 km.
5487	At 17-22 km altitude, the increase can be expressed as a trend of ~5-10% per decade
5488	(Figure 4.10) (Oltmans et al., 2000; Rosenlof et al., 2001). Other stratospheric
5489	observations up to 30-35 km also show increasing trends, but over shorter time periods
5490	and with a high degree of variability (SPARC, 2000; Rosenlof et al., 2001). Part of the
5491	long-term increase in water vapor is attributable to increases in methane abundances due
5492	to anthropogenic emissions. Methane, which has increased by about 0.55 ppmv since the
5493	1950s, is oxidized in the stratosphere producing two water molecules for each molecule
5494	of methane. The methane water vapor source in the stratosphere increases radiative
5495	forcing from water vapor by an estimated 0.1 W per m ⁻² (Myhre et al., 2007). The
5496	implications of this indirect effect are not clear for the interpretation of water vapor and
5497	temperature trends in the stratosphere.
5498	

5499 Since about 2000 the water vapor in key balloon and satellite observations in the mid to

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5500	lower stratosphere has shown significant decreases (Randel et al., 2004). As a possible
5501	explanation, an analysis of the tropical tropopause temperatures for 1992-2005 shows that
5502	satellite water vapor amounts are consistent with interannual changes in the cold point
5503	temperatures and with the occasions of anomalously low tropopause temperatures
5504	(Randel et al., 2004; 2006). Tropopause temperatures modulate the dehydration of air
5505	entering the lower stratosphere from the troposphere. In contrast, the earlier, longer water
5506	record from balloon measurements is not fully consistent with the record of tropopause
5507	temperatures (Seidel et al., 2001). In general, the attribution of the causes of observed
5508	water vapor changes and trends in the stratosphere is incomplete, suggesting that
5509	projections of future amounts are uncertain



Figure 4.10 Time series of stratospheric water vapor mixing ratios (ppm, parts per million by volume) for
the period 1980 to 2005. The measurements were made with a balloon-borne frost point hygrometer over
Boulder, Colorado (40°N, 105°W). The data points are averages over 17-22 km altitudes. The thin line is a
smoothed fit to the measurements. HALOE satellite observations for 1992-2005 are shown with the heavy
line for the same altitude near Boulder (latitude 35°N-45°N, longitude 80°W-130°W). Preliminary

5516 revisions to the frost-point data reveal a slightly smaller trend (Scherer *et al.*, 2007). Updated from Randel

5517 *et al.* (2004). (WMO, 2007)

5518

5519 **4.3.3.1 Response of Ozone to Stratospheric Water Vapor Changes**

5520 Increases in stratospheric water lead to increases in reactive hydrogen species (HO_X) , 5521 which catalyze the chemical destruction of ozone (Wennberg et al., 1994; Brasseur and 5522 Solomon, 1986). Ozone destruction is chemically buffered with a combination of loss 5523 cycles so that the response to increased HO_X is generally not linear and varies with 5524 location in the stratosphere (Figure 4.9). Model simulations show that a 1%/year, long-5525 term trend in water vapor would increase ozone loss due to increases in HO_x and delay 5526 the recovery of the ozone layer (Dvortsov and Solomon, 2001). Increased water vapor 5527 also increases the temperature threshold for PSC formation in both polar regions because 5528 PSC are formed, in part, from water-vapor condensation. A higher threshold increases 5529 heterogeneous conversion of chlorine and extends the time period over which PSCs can 5530 form in the winter season (Stenke and Grewe, 2005). Both effects lead to increased ozone 5531 destruction in polar regions at constant ODS amounts. However, the sensitivity of ozone 5532 to PSCs will decrease as ODS amounts decrease, because less chlorine and bromine will 5533 be available to participate in ozone destruction reactions.

5534

5535 **4.3.4** Changes in Ozone from Increases in Long-Lived Gases in the Stratosphere

5536 The atmospheric concentrations of the three long-lived greenhouse gases, CO₂, CH₄, and

- 5537 N₂O, have increased significantly due to human activities since 1750 and are expected to
- 5538 continue increasing in the 21st century (IPCC, 2007). These continuing increases have
- 5539 consequences for ozone amounts and, hence, also indirectly influence climate through the
- 5540 changes they produce in ozone (Portmann and Solomon, 2007). Calculations with a two-

5541	dimensional, chemical-radiative-dynamical model illustrate the sensitivity of ozone to
5542	each of these gases (Figure 4.11). CO2 increases, as discussed above, reduce
5543	stratospheric temperatures and ozone loss rates, and consequently, increase ozone
5544	amounts in the mid to upper stratosphere. The increased ozone in the upper stratosphere
5545	can lead to reduced ozone in the lower stratosphere because of the reduced penetration of
5546	solar UV into the lower stratosphere. Increases in N ₂ O lead to increases in the NO_X
5547	catalytic loss cycle for ozone in the mid to upper stratosphere, because N2O decomposes
5548	to form NO_X in the stratosphere. The effect of increased NO_X is less in the lower
5549	stratosphere, because the NO_X loss cycle plays a less prominent role, competing with the
5550	HO_X and ClO_X catalytic loss cycles (Wennberg <i>et al.</i> , 1994). Finally, the oxidation of
5551	CH4 increases H ₂ O and ozone losses in the HO _{X} catalytic cycle in the upper stratosphere
5552	and lower mesosphere. In the troposphere, ozone is increased because oxidation of CH4
5553	catalyzed by NO _X produces ozone.



5555

Figure 4.11 Comparison of perturbations to ozone amounts for changes in three principal greenhouse
gases: CO₂, N₂O, and CH₄, as a function of altitude in the troposphere, stratosphere, and mesosphere. The
changes are computed for six 30°-wide latitude bands with the NOCAR 2-D model and expressed as the
percent change from 2000 to 2100. The global mean change is shown with the black line in each panel.
The halogen changes follow the WMO (2003) scenario and greenhouse gas increases follow the IPCC A2

5563

5564 4.3.4.1 Changes in Ozone from Stratospheric Circulation Changes

5565 The net mass exchange between the troposphere and stratosphere is associated with the 5566 large-scale Brewer-Dobson circulation (Holton et al., 1995) with a net upward flux in the 5567 tropics balanced by a net downward flux in the extratropics. Model studies indicate that 5568 climate change will impact the mass exchange rates across the tropopause. For a doubled 5569 CO₂ concentration, all 14 climate-change model simulations analyzed by Butchart *et al.* 5570 (2006) showed an increase in the annual mean troposphere-to-stratosphere exchange rate, 5571 with a mean trend of about 2% per decade. Consequences of such an increase include 5572 shorter lifetimes and more rapid removal from the atmosphere for long-lived gases, 5573 including CFCs, CH₄ and N₂O (Butchart and Scaife, 2001) and increased mass flux of 5574 ozone from the stratosphere to the troposphere at mid and high latitudes. A model 5575 simulation by Zeng and Pyle (2003) shows that a strengthened Brewer Dobson 5576 circulation would increase the flux of ozone to the troposphere. A larger flux results from 5577 increased transport across the tropopause and enhanced ozone amounts in the extra-5578 tropical lower stratosphere. The enhanced ozone results from the strengthened circulation 5579 and decreases in ODSs and temperatures.

5580

5581 **4.4 THE EFFECT OF OZONE CHANGES ON CLIMATE PARAMETERS**

5582 Ozone and climate change are highly coupled as illustrated in Figures 4.1 and 4.2. The

5583 response of ozone to changes in stratospheric temperature and water vapor is discussed in

- 5584 Section 4.3 above. In this section, changes in atmospheric temperatures and circulation
- are described as examples of the feedback responses in the climate system to ozone

5586 depletion.

5587

5588	4.4.1 Response of Stratospheric and Tropospheric Temperatures to Ozone Depletion
5589	Temperatures have decreased throughout the stratosphere in recent decades as described
5590	above in Section 4.3.2. Furthermore, model simulations show that a combination of
5591	increases in greenhouse gases and water vapor and decreases in ozone can account for
5592	observed temperature changes. A more detailed examination of the ozone feedback on
5593	temperature was carried out with the SKYHI GCM for ozone decreases observed in the
5594	period 1979-1997 (Ramaswamy and Schwarzkopf, 2002). The results in Figure 4.12
5595	indicate that in the lower to middle stratosphere $(5 - 100 \text{ hPa})$ ozone changes create a
5596	larger decrease in temperature than increases in WMGHGs. In this case, these include
5597	CO ₂ , CH ₄ , N ₂ O, CFC11, CFC-12, CFC-113 and HCFC-22. However, above about 5 hPa
5598	(~38 km) changes in both ozone and WMGHGs contribute significantly to temperature
5599	decreases. Thus, depletion in stratospheric ozone plays a significant role throughout the
5600	stratosphere in creating a reduction in stratospheric temperatures in GCM simulations.
5601	
5602	The feedback on temperature for stratospheric ozone depletion extends into the upper
5603	troposphere. The Reading Narrow Band Model was used to calculate temperature
5604	changes for observed ozone depletion with the assumption of fixed dynamical heating
5605	(Forster et al., 2007). Model cooling occurs in the 30 - 70 hPa (25-13 km) region due to
5606	ozone depletion. Short-wave absorption and upwelling long-wave radiation are both
5607	reduced and contribute comparably to the cooling in this region. The missing ozone also
5608	causes a decrease in the down-welling long-wave radiation that causes reduced

- temperatures at altitudes below the ozone depletion region (100-150 hPa, 21-14 km). This
- 5610 feedback response or coupling of temperatures in different altitude regions is found at all
- 5611 latitudes in the model and may be a cause of upper tropospheric temperature trends.
- 5612



Figure 4.12 GCM feedback response of stratospheric temperatures to ozone depletion as observed
between 1979 and 1997. Upper panel: ozone changes alone. Lower panel: ozone changes plus increases in
WMGHGs over the same period. Solid lines enclose regions of statistical significance. (Adapted from
Ramaswamy *et al.*, 2002)

5618 4.4.2 Response of Surface Temperatures to Antarctic Ozone Depletion

- 5619 The largest depletion in stratospheric ozone is found over Antarctic in late winter/early
- 5620 spring. Studies of Antarctic ozone depletion have revealed strong evidence for feedbacks
- 5621 on the temperatures and circulation of the Antarctic troposphere (Gillet and Thompson,
- 5622 2003; Thompson and Solomon, 2002). Severe ozone depletion strengthens the

5623	circumpolar winds of the Antarctic winter vortex in many model simulations. Recent
5624	observations show that strengthened circumpolar winds extend to the surface, especially
5625	in the summer months, with changes in geopotential heights serving as a proxy. A model
5626	with high vertical resolution was used to show anomalies in geopotential height in the
5627	troposphere could be well simulated in intensity and seasonality (Gillet and Thompson,
5628	2003). Changes in surface circulation also lead to cooling over most of the Antarctic
5629	continent and modest warming of the Antarctic Peninsula. Figure 4.13 shows model
5630	results compared to observed changes in 500-hPa geopotential height over a 22-year
5631	period and in surface temperature over a 32-year period (1969 to 2000), both averaged
5632	over December to May. The observed and simulated patterns in geopotential height and
5633	surface temperature show strong similarities, reinforcing the conclusion that stratospheric
5634	circulation influences tropospheric circulation patterns and, hence, that intense
5635	stratospheric ozone depletion can effect changes in surface climate parameters.

5637 **4.5 IMPORTANCE OF VOLCANOES**

5638 4.5.1 The Effect of Volcanic Aerosol on Ozone

5639 Large volcanic eruptions are those that inject significant quantities of SO₂ into the

stratosphere. SO₂ is subsequently oxidized to sulfuric acid, which condenses onto

- 5641 preexisting aerosols, causing significant increases in aerosol surface area and volume in
- the lower stratosphere. As a consequence, heterogeneous reactions occurring on these
- 5643 surfaces gain prominence in the chemical production and loss balance of ozone, leading
- to decreased ozone amounts (WMO, 2007: Figures 3-26). These reactions convert
- 5645 nitrogen oxides (NO_x) to a more stable form, nitric acid (HNO_3) . In the lower

5646 stratosphere, reduced NO_x increases the role that reactive halogen compounds (ClO_x) 5647 play in destroying ozone. Analysis following the most recent large volcanic eruption, that 5648 of Mt. Pinatubo in 1991, shows that ozone amounts reached record lows and that halogen 5649 reactions, aided by temperature variability could explain the observed losses (Solomon *et* 5650 *al.*, 1998; Tie and Brasseur, 1995).

5651



5652

Figure 4.13 Comparison of model results (left column) with observations (right column) for changes in
 500-hPa geopotential height (m) (upper row) and near-surface temperature (K) (lower row) in the Southern
 Hemisphere in response to stratospheric ozone depletion between 1979 and 1997. (Adapted from Gillett
 and Thompson, 2003)

5657

```
As ODS amounts decrease to pre-1980 levels in the coming decades, the sensitivity of
ozone to depletion caused by volcanic aerosol reactions will also decrease. Global ozone
levels decreased by about 2% following the 1991 Mt. Pinatubo eruption. Sedimentation
and transport removal of volcanic aerosol occurs over a 2- to 3-year period following an
```

eruption, so the effects are short lived compared to ODS atmospheric lifetimes which are
45-100 yrs for principal species (*e.g.*, CFC-11 and CFC-12). Thus, expectations for the
long-term recovery of ozone are not significantly affected by episodic volcanic eruptions.

5666 The plumes of large volcanic eruptions contain significant amounts of HCl that are 5667 removed in the troposphere by uptake and sedimentation of liquid aerosols formed 5668 (Tabazadeh and Turco, 1993). However, some eruptions inject non-negligible amounts of 5669 HCl into the stratosphere, adding to inorganic chlorine. For example, temporary increases 5670 in HCl column amounts of up to 40% were observed after the Mt. Pinatubo eruption 5671 (Coffey, 1996). Overall, the frequency of explosive volcanic eruptions has been low in 5672 the past two decades, thereby precluding significant volcanic enhancements in global 5673 stratospheric chlorine.

5674

5675 Volcanic aerosols have a direct radiative impact that increases stratospheric temperatures 5676 while decreasing the surface temperatures. Volcanic aerosols scatter incoming solar 5677 radiation and absorb solar infrared radiation, heating the lower stratosphere. The 5678 tropospheric cooling that results can be expected to change the tropospheric circulation, 5679 as well as the interaction between the stratosphere and the troposphere. Lower 5680 stratospheric temperatures, for example, following the eruptions of El Chichón and Mt. 5681 Pinatubo, were observed to increase by about 1K near 20 km altitude (Figure 4.7). The 5682 loss of ozone following an eruption also adds to the temperature perturbation. Lower 5683 stratospheric temperatures influence water vapor amounts through dehydration of air 5684 parcels entering the stratosphere from the troposphere and influence ozone amounts

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5685	through the sensitivity of ozone chemical reaction rates. Climate-chemistry model
5686	simulations of the temperature perturbations after the eruptions of El Chichón and Mt.
5687	Pinatubo often show larger increases than observed (Figure 4.7). The elevated
5688	temperatures are evident for several years and are followed by an overall slow cooling.
5689	The strength of the volcanic signal varies substantially between the different CCM and
5690	climate models (see also Eyring et al., 2006).
5691	
5692	Volcanic eruptions are not predictable but expected to be a feature of the future
5693	atmosphere. A large volcanic eruption is likely to occur in the next 30 years based on the

historical record (Roscoe, 2001). Infrequent large volcanic eruptions would affect ozone

5695 with timescales as observed for previous large eruptions. A period of frequent large

5696 eruptions in the next century could enhance ozone depletion from ODSs for many years

5697 but the enhancement would lessen as global ODS abundances decline in the coming

5698 decades. Whenever the stratosphere is cleansed of volcanic aerosol, ozone abundances

are expected to recover fully from volcanic effects.

5700

5701 **4.6 SUMMARY**

5702 Stratospheric ozone and climate change are linked through a variety of processes.

5703 Radiative forcing of climate occurs from the depletion of stratospheric ozone, as well as

5704 the increases in ozone-depleting substances. Global ozone depletion is a principal cause

5705 of decreasing temperature trends in the stratosphere and upper troposphere. Severe ozone

5706 depletion over Antarctica has changed the circulation over the continent in both the

5707 stratosphere and troposphere and altered surface temperatures. Other important

5708	components of anthropogenic climate change arise from emissions of long-lived
5709	greenhouse gases, such as carbon dioxide. Observed and anticipated changes in climate
5710	parameters include decreases in stratospheric temperatures and increases in stratospheric
5711	water vapor, carbon dioxide, methane, and nitrous oxide. Lower stratospheric
5712	temperatures reduce ozone loss rates in the mid to upper stratosphere, thereby aiding the
5713	recovery from ozone depletion. Enhanced water vapor alters ozone destruction rates in
5714	reactive hydrogen photochemistry and can increase the frequency and extent of polar
5715	stratospheric clouds, which aid ozone destruction. These varied composition changes
5716	contribute to circulation changes in the stratosphere and between the stratosphere and
5717	troposphere that can cause significant changes in the ozone distribution. The increases in
5718	stratospheric aerosols that follow explosive volcanic eruptions create several-year
5719	changes in climate parameters in the stratosphere and troposphere and increase ozone
5720	depletion.

5721

5722 The complexity of the interactions between ozone and climate involving changes in 5723 atmospheric composition pose a challenge to our understanding of basic stratospheric and 5724 tropospheric processes. Tools of the complexity of Chemistry Climate Models (CCMs) 5725 are required to combine stratospheric transport, dynamics, radiation, and chemistry and 5726 microphysics to analyze past ozone amounts and project future amounts. CCMs guided 5727 by atmospheric observations will help define the sensitivity of ozone to future climate 5728 changes and reduce the uncertainties in our understanding of ozone and climate 5729 interactions. As ozone depletion slows and ozone amounts recover from ODSs in the 5730 coming decades, changes in climate parameters will increase in importance in influencing

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5731 stratospheric ozone amounts.

5732

5733 **4.6.1 Relevance for the United States**

- 5734 Human activities have led to changes in ozone abundances and climate parameters.
- 5735 Ozone depletion is attributed primarily to the accumulation of ozone-depleting substances
- and climate change is attributed to increases in long-lived greenhouse gases and surface
- albedo changes (Figure 4.5). Ozone is further influenced by changes in climate
- 5738 parameters such as stratospheric temperatures and composition, and atmospheric
- 5739 circulation. Since activities in the United States have caused significant emissions of

5740 greenhouse gases and ozone-depleting substances, the changes in ozone and climate

5741 attributable to human activities are, in part, attributable to the United States.

5742

5743 Decisions initiated or supported by United States policymakers have great potential to 5744 influence ozone and climate in the future. Important decisions could be taken on the 5745 following topics or issues:

5746

Increased stringency of Montreal Protocol regulations. The Montreal Protocol
 regulates production and consumption of ODSs in developed and developing
 nations. Stratospheric ODS amounts will decline to pre-1980 values around the
 middle of this century based on current regulations. More stringent regulations
 could accelerate this decline. For example, recent unratified regulation, supported
 by the United States, accelerates HCFC production in developed and developing
 nations.

5754	• Increased destruction or capture of ODS banks. Banks of ODS compounds
5755	represent large sources of future ODS emissions (see Chapters 2 and 5).
5756	• Increased climate protection under the Montreal Protocol. ODSs compounds are
5757	also greenhouse gases. Reducing ODS production and consumption under the
5758	Montreal Protocol has led to significant reductions in ODS atmospheric
5759	abundances and their associated radiative forcing of climate. Further reductions in
5760	ODS production, as well as emissions, will further protect climate. The
5761	accelerated HCFC phase-out under the Montreal Protocol represents a large
5762	potential benefit to climate. In addition, promotion of low-GWP compounds as
5763	replacement for ODSs in widespread applications can help minimize the climate
5764	consequences of new and existing Montreal Protocol regulations.
5765	• <i>Reductions in the future growth rates of methane and nitrous oxide emissions.</i>
5766	Ozone and climate are strongly influence by methane and nitrous oxide emissions.
5767	These emissions affect the photochemical production and loss of ozone in both
5768	the troposphere and stratosphere. Both gases are greenhouse gases that have
5769	increased significantly due to human activities.
5770	

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