

The <u>U.S. Department of Justice's Office of Justice Programs'</u> <u>Bureau of Justice Assistance</u> is pleased to announce that it is seeking applications for funding under the Protecting Inmates and Safeguarding Communities Program. This program furthers the Department's mission by assisting state, local, and tribal efforts to prevent or reduce crime and violence by supporting states efforts to prevent and eliminate prison rape between inmates in state and local correctional facilities and to safeguard the communities to which inmates return.

# Protecting Inmates and Safeguarding Communities Program FY 2007 Competitive Grant Announcement

# **Eligibility**

Applicants are limited to the 50 U.S. states, the District of Columbia, Commonwealth of Puerto Rico, Northern Mariana Islands, Virgin Islands, Guam, and American Samoa.

(See "Eligibility," page 1)

# **Deadline**

All applications are due by 8:00 p.m. e.t. on January 18, 2007. (See "Deadline: Applications," page 1)

# **Contact Information**

For assistance with the requirements of this solicitation, contact: Julius Dupree, BJA Policy Advisor, at 202–514–1928 or <u>julius.dupree@usdoj.gov</u>.

This application must be submitted through Grants.gov. For technical assistance with submitting the application, call the Grants.gov Customer Support Hotline at 1–800–518–4726.

Grants.gov number assigned to announcement: BJA-2007-1455

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# Protecting Inmates and Safeguarding Communities Program CDFA #16.735

# Overview of the Protecting Inmates and Safeguarding Communities Program

The Protecting Inmates and Safeguarding Communities Program, a result of the Prison Rape Elimination Act of 2003 (Public Law 108-79; 42 U.S.C. § 15605), supports states in their efforts to prevent and eliminate prisoner rape between inmates in state and local correctional facilities and to safeguard the communities to which inmates return. Some of the uses for funding include prevention and intervention, investigation and prosecution, victim services, direct treatment and continuity of services for juveniles and adults, and identification of community resources to which offenders return.

# **Deadline: Registration**

Registering with Grants.gov is a one-time process; however, if you are a first-time registrant, it could take up to several weeks to have your registration validated and confirmed and to receive your user password. It is highly recommended you start the registration process as early as possible to prevent delays in submitting your application package to our agency by the deadline specified. There are three steps that you must complete before you are able to register: 1) register with Central Contractor Registry (CCR), 2) register yourself as an Authorized Organization Representative (AOR), and 3) be authorized as an AOR in your organization. For more information, go to <a href="https://www.grants.gov">www.grants.gov</a>. Note: Your CCR registration must be renewed once a year. Failure to renew your CCR registration will prohibit submission of a grant application through Grants.gov.

# **Deadline: Applications**

The due date for applying for funding under this announcement is 8:00 p.m. e.t. on January 18, 2007.

# **Eligibility**

All U.S. states, the District of Columbia, Commonwealth of Puerto Rico, Northern Mariana Islands, Virgin Islands, Guam, and American Samoa are eligible to apply. The Chief Executive or Governor of the state shall submit one application designating which state agency will manage the grant and any subawards, if applicable. Applicants are strongly encouraged to partner with local agencies (i.e., counties, municipalities), including faith-based and community organizations, to implement activities in local jails, detention centers, and holding facilities.

# Protecting Inmates and Safeguarding Communities Program-Specific Information

All awards are subject to the availability of appropriated funds and any modifications or additional requirements that may be imposed by law.

The Protecting Inmates and Safeguarding Communities Program is designed to support states' efforts to prevent and eliminate prisoner rape between inmates in state and local prisons, jails, and law enforcement lock-up facilities and to safeguard the communities where inmates return.

## **Award Categories**

States may submit one application for: 1) Protecting Inmates; 2) Safeguarding Communities; or 3) both. Special interest areas for this solicitation include a focus on female and juvenile offenders, rape in local correctional facilities, stakeholder collaboration, and faith-based and community organization support for prevention and safeguarding strategies.

# CATEGORY I: PROTECTING INMATES. Maximum: \$1 million.\* Project period: 24 months.

This area supports state and local efforts to prevent and eliminate rape between inmates and between staff and inmates in any confinement facility of a state or local government. Applicants should develop strategies, partnerships, and protocols that assess staff and inmates' vulnerabilities to, or predatory interests in, potential sexual abuse and rape; enhance placement decisions and support services and provide the necessary equipment to prevent, investigate and prosecute prison rape; provide services for victims and perpetrators within the inmate populations; and assess and identify, from intake to release, prisoners' medical status regarding HIV, hepatitis, and other sexually-transmitted diseases.

Funding may be used for prevention; intervention; investigation; prosecution; underreporting; organizational structure, culture, correctional climate; specialized training for investigators, medical, and corrections staff; reporting response time; housing for vulnerable populations; facility design; inmate classification; staffing; policies and procedures; victim services to prevent, investigate, and prosecute prison rape; collaborations; and sustainability of the initiative.

# <u>CATEGORY II: SAFEGUARDING COMMUNITIES. Maximum: \$1 million.\* Project period: 24 months.</u>

This area focuses on safeguarding communities where inmates return by assisting states with strategies to make offender release decisions while ensuring public safety. Applicants should develop strategies, partnerships, and protocols that bridge correctional and community-based programs managing returning populations by: making available training and technical assistance in successful methods for moderating prison population growth; identifying, analyzing, assessing, and mapping individual communities' risks and needs for released victims and sexual aggressors involved in prison sexual abuse and rape to deploy law enforcement resources and the delivery of other services to released inmates; promoting collaborative efforts among state and local governments and leaders of communities to understand and address the effects of released inmates on those communities; and developing policies and programs that reduce prison spending by reducing parole and probation revocations.

**Notes:** Due to limited funding available for the FY 2007 Protecting Inmates and Safeguarding Communities Program, BJA will give priority to those grant applications that propose a budget of \$250,000 or less. In addition, BJA will give strong consideration to grant applications in which a state agency agrees to pass through grant funds (via sub-awards) to a locality so that the locality can fund Protecting Inmates and Safeguarding Communities projects. If a state agency

agrees to apply for grant funds on behalf of a locality and serve in the aforementioned capacity, it may use up to 10 percent of awarded grant funds for administrative and management costs associated with the grant. Further, if submitting an application that addresses both categories, applicants may only request up to \$1 million total in funding.

#### Match

A grant made under this program may not cover more than 50 percent of the total costs of the project being funded. The applicant must identify the source of the 50 percent non-federal portion of the budget and how match funds will be used. Applicants may satisfy this match requirement with cash, in-kind services, or a combination of both. The formula for calculating match is:

<u>Award amount</u> = Adjusted Project Costs X Recipient's share = Required Match Federal Share

**Example:** For a federal award amount of \$350,000, match would be calculated as follows:

### **Performance Measures**

To assist in fulfilling the Department's responsibilities under the Government Performance and Results Act (GPRA), P.L. 103-62, applicants who receive funding under this solicitation must provide data that measures the results of their work. Performance measures for this solicitation are as follows:

Program Objectives	Performance Measures	Data Grantee Provides
To design effective and responsive prevention efforts.	Outcome Measures Occurrence rate of staff-on-inmate rape within the custody population.	Custody population counts for the last day of the reporting period for each facility.*  Custody population counts for the last day of the reporting period for each facility.**

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	Occurrence rate of inmate-on-inmate rape within the custody population.	Total number of staff-on-inmate occurrences substantiated.**
	Output Measures Total number of staff trained in prevention.	Total number of inmate-on-inmate occurrences substantiated.**
	Total number of inmates trained in prevention.	Total number of staff who received training to prevent prison rape.*
		Total number of inmates who received training to prevent prison rape.*
To only one of the investigation	Output Measures	
To enhance the investigation and prosecution of prison rape.	Total number of staff-on-inmate occurrences actually prosecuted.	Total number of staff-on-inmate occurrences actually prosecuted.**
	Total number of inmate-on-inmate occurrences actually prosecuted.	Total number of inmate-on-inmate occurrences actually prosecuted.**
	Total number of staff-on-inmate convictions.	Total number of staff-on-inmate convictions.**
	Total number of inmate-on-inmate convictions.	Total number of inmate-on-inmate convictions.**
To provide appropriate services and treatment for both victims and perpetrators within inmate populations.	Output Measures Total number of inmates (victims and perpetrators) who received physical treatment as a result of an occurrence.  Total number of inmates (victims and perpetrators) who received psychological counseling as a result of	Total number of inmates (victims and perpetrators) who received physical treatment as a result of an occurrence.*  Total number of inmates (victims and perpetrators) who received psychological counseling as a result of
	an occurrence.	an occurrence.*
To assist states in developing and implementing strategies for victims and perpetrators of prison rape who are reentering the community—without compromising public safety.	Outcome Measure Percentage of inmates (victims and perpetrators) who recidivate (are rearrested) among those assessed.	Total number of released inmates who have a current risk assessment on record and recidivated by committing a new crime, excluding parole or probation violations.*
	Output Measures Total number of inmates who have a current risk assessment on record and were released to community supervision.	Total number of released inmates who have a current risk assessment on record and recidivated by violating parole or probation.*
		Total number of inmates who do not have a current risk assessment on record and were released to community supervision.*

<sup>\*</sup>Reported through Bureau of Justice Assistance Progress Reports. \*\*Reported through the Bureau of Justice Statistics (BJS) Survey.

# **How To Apply**

DOJ is participating in the e-Government initiative, one of 25 initiatives included in the President's Management Agenda. Part of this initiative—Grants.gov—is a "one-stop storefront" that provides a unified process for all customers of federal grants to find funding opportunities and apply for funding.

**Grants.gov Instructions:** Complete instructions can be found at <a href="www.grants.gov">www.grants.gov</a>. If you experience difficulties at any point during this process, please call the Grants.gov Customer Support Hotline at **1–800–518–4726**.

**CFDA Number:** The Catalog of Federal Domestic Assistance (CFDA) number for this solicitation is 16.735, titled "Protecting Inmates and Safeguarding Communities Discretionary Grant Program," and the funding opportunity number is BJA-2007-1455.

A DUNS number is required: The Office of Management and Budget requires that all businesses and nonprofit applicants for federal funds include a DUNS (Data Universal Numeric System) number in their application for a new award or renewal of an award. Applications without a DUNS number are incomplete. A DUNS number is a unique nine-digit sequence recognized as the universal standard for identifying and keeping track of entities receiving federal funds. The identifier is used for tracking purposes and to validate address and point of contact information. The DUNS number will be used throughout the grant life cycle. Obtaining a DUNS number is a free, simple, one-time activity. Obtain one by calling 1–866–705–5711 or by applying online at <a href="http://www.dunandbradstreet.com">http://www.dunandbradstreet.com</a>. Individuals are exempt from this requirement.

# What an Application Must Include

#### Standard Form 424

## **Program Narrative (Attachment 1)**

The program narrative must respond to the selection criteria (1–3, 5) in the order given. Submissions that do not adhere to the format will be deemed ineligible. The program narrative must be double-spaced, using a standard 12-point font (Times New Roman is preferred) with 1-inch margins, and must not exceed 20 pages. Please number pages "1 of 20," "2 of 20," etc. At the beginning of the Program Narrative, indicate which category (Category I: Protecting Inmates; Category II: Safeguarding Communities; or both) you are applying for.

# **Budget and Budget Narrative (Attachment 2)**

Applicants must provide a budget that is allowable, reasonable, and cost-effective. Applicants must submit a budget worksheet and budget narrative in one file. A fillable budget detail worksheet form is available on OJP's web site at <a href="https://www.ojp.usdoj.gov/Forms/budget\_fillable.pdf">www.ojp.usdoj.gov/Forms/budget\_fillable.pdf</a>. Applicants may use up to 5 percent of the grant amount for administrative costs related to managing the grant (10 percent if passing through to a locality).

#### **Project Timeline, Certification, and Letters of Support (Attachment 3)**

Attach a *Project Timeline* with project goals, objectives, activities, expected completion dates, and responsible individuals or organizations; *Certification by the Chief Executive* that the state has currently adopted all national prison rape standards under the Prison Rape Elimination Act of 2003 and will consider adopting all national prison rape standards enacted under the Act after

the application is submitted; and *Letters of Support/Commitment* from government entities designated as subapplicants that indicate their willingness to implement the project.

## **Selection Criteria**

## 1. Statement of the Problem (10 points)

Identify the challenges to protecting inmates and safeguarding communities. Provide data to show the nature and scope of the problem of prison rape in state and local correctional settings, and explain previous or current efforts to address the problem. Describe budgetary circumstances affecting the state prison, and the rate of growth of the prison population over the preceding 10 years. Explain how state and local officials, including law enforcement, and victims of crime will be consulted regarding the growth of the state's prison population.

#### **Protecting Inmates** applicants must include:

- Proportion of offenders by classification: maximum versus high-risk; single- versus double-bunked; housed in linear versus direct-supervision units; and staff-to-inmate ratio.
- Number of disciplinary reports generated in the last year.
- Number of rapes reported in the last year and number of rapes committed by staff versus inmates.
- Number of prosecutions for prisoner rape in the last year.

### **Safeguarding Communities** applicants must include:

- Number of serious and high-risk offenders returning to the targeted geographic area.
- Number of offenders receiving risk assessments; the results of the assessments; and number of those being addressed through treatment and training.
- Post-release successes and failures.
- Number of faith-based and community organizations contributing to the strategy.

## 2. Program Design and Implementation (40 points)

Goals and Objectives (15 points): Outline the specific goals and objectives of the project and how they will address the problem. Discuss the potential for positive impact regarding sexual victimization within correctional settings; specific needs or gaps as identified through needs assessments; how the proposed goals and objectives will link to the needs or gaps and build capacity; monitoring and evaluation objectives; and sustainability and replication for future efforts.

<u>Project Design/Strategy (25 points):</u> Provide a strategy that discusses the proposed concept; identifies target populations and target areas; identifies the role that local agencies will play in the strategy; and incorporates the project's goals and objectives. Describe how the project will operate during the funding cycle, consistent with the project timeline (see Attachment 3).

#### 3. Capabilities/Competencies (20 points)

Describe the experience and capability of the applicant, stakeholders, and contractors. Identify the agency that will serve as the grantee and fiscal agency responsible for the grant's administration, and the staff team supporting the project including the name, title, and affiliation of each member. Provide documentation of any collaboration that has or is previously occurring on the initiative.

# 4. Budget (20 points)

Provide a proposed budget that is complete, allowable, and cost-effective (see Attachment 2).

# 5. Impacts/Outcomes, Evaluation, and Sustainment (10 points)

Describe how performance will be documented, monitored, and evaluated. Explain what will be measured, who will measure it, and how evaluation findings will be used. Propose specific benchmarks and subsequent measurement of outcomes and results. Describe how evaluation and collaborative partnerships will be leveraged to build long-term support and resources for the project for sustainability when the federal grant ends.

The Prison Rape Elimination Act (PREA) of 2003 requires the Bureau of Justice Statistics (BJS) to measure the incidence and prevalence of sexual assault within the nation's correctional facilities. In order to ensure that data collection efforts are not compromised, BJA requests that grant recipients under the Protecting Inmates and Safeguarding Communities (PISC) Discretionary Grant Program delay any proposed efforts to survey inmates to determine incidence and prevalence rates for evaluation or research purposes until BJS has had an opportunity to fulfill its goal under PREA. Applicants are encouraged to focus on evaluation, research, and data collection efforts pertaining to prevention, investigation, prosecution, and/or activities under the Safeguarding Communities category (if applicable). Please be advised that PISC grant recipients that propose to use federal funds to conduct an evaluation or other research activity will be required to submit a plan to BJA within 60 days after award receipt. This plan must be reviewed and approved by BJA before any funding can be spent on these activities.

## **Review Process**

All applications will be peer reviewed. The BJA Director will then make award recommendations to OJP's Assistant Attorney General, who will make final determinations.

# **Additional Requirements**

- Civil Rights compliance.
- Confidentiality and Human Subjects Protections regulations.
- Anti-Lobbying Act.
- Financial and Government Audit requirements.
- National Environmental Policy Act (NEPA) compliance.
- DOJ Information Technology Standards.
- Single Point of Contact Review.
- Non-Supplanting of State or Local Funds.
- Criminal Penalty for False Statements.

- Compliance with Office of the Comptroller Financial Guide.
- Suspension or Termination of Funding.

We strongly encourage you to review the information pertaining to these additional requirements prior to submitting your application. Additional information for each can be found at <a href="https://www.ojp.usdoj.gov/funding/otherrequirements.htm">www.ojp.usdoj.gov/funding/otherrequirements.htm</a>.