8. Appendix 8: Wave 2 CATI Screener Questionnaires

CRT

FINANCE,

NSF40626

F626

AC8441
PROJECT REGISTRATION #120000
NATIONAL SCIENCE FOUNDATION

THE GALLUP ORGANIZATION

__ APPROVED BY CLIENT

Research and Development Funding
 and Performance - Nonprofit
        Screener
Max Larsen/Barbara Wells
Julie Trausch
XXXXX, Specwriter
March 1999

__ APPROVED BY PROJECT MANAGER

n=approximately 6,000 mail-out returns

I.D.#:   0 (1-6)

**AREA CODE AND TELEPHONE NUMBER:

_____   (32 -   41)

**INTERVIEW TIME:

_____   (2/49 - 2/54)

(Note to Survent: At top of screen, display:)

Contact Name:

Contact Title:

Organization Name:

Stratum (0=Nonmedical; 1-Medical): _____

(Ask to speak to the name provided in fone file or, if no name provided, ask to speak to the President/Executive Officer/Financial Officer.)

s1. Hello, this is _____, calling from The Gallup Organization, on behalf of the National Science Foundation. **(If necessary, read:)** I am calling about a study being conducted by NSF which collects information on the role of nonprofit organizations in the funding and performance of science and engineering research and development in the U.S. nonprofit sector.)

[If name is in fone file, ask:] May I please speak to (**name from fone file**)?

  1   Respondent available - **(Continue to INTERVIEWER READ)**

  4   No such person - **(Ask to speak with
           Executive Director/President/Financial Officer; If not
           available, set time to call back)**

  5   Respondent mentions receipt of
           NSF questionnaire - **(Skip to S7)**

  7   Respondent not available -
           (Set time to call back)

  8   (Refused) - **(Thank and Terminate)**

s2. **[If no name in fone file and stratum = 0, ask:]** May I have the name of your organization's President or Executive Director please? **[Enter name.]**

Name: _____

s3. May I please speak to **(name from s2)**?

  1   Respondent available - **(Skip to INTERVIEWER READ
           before s6)**

  5   Respondent mentions receipt of
           NSF questionnaire - **(Skip to S7)**

  7   Respondent not available -
           (Set time to call back)

  8   (Refused) - **(Thank and Terminate)**

s4. **[If no name in fone file and stratum = 1, ask:]** May I please have the name of someone in your Administration? **[Enter name.]**

Name: _____

s5. May I please speak with **(name from s4)**?

     1   Respondent available - **(Continue to INTERVIEWER READ)**

     4   No such person - **(Ask to speak with Executive Director/President/Financial Officer; If not available, set time to call back)**

     5   Respondent mentions receipt of NSF questionnaire - **(Skip to S7)**

     7   Respondent not available - **(Set time to call back)**

     8   (Refused) - **(Thank and Terminate)**   ___ (5/12)

(INTERVIEWER READ:)   **(When qualified respondent is reached, continue:)** Hello, this is _____, calling from The Gallup Organization, on behalf of the National Science Foundation.

I'd like to ask you a few questions to determine if your organization is eligible to be included in this study of nonprofit organizations.

S6.   First of all, we recently sent you or your organization a questionnaire regarding research and development funding and performance by nonprofit organizations. Did you or someone from your organization receive this questionnaire?

     1   Yes - **(Continue)**

     2   No   **(Skip to s8)**
     3   (DK)   **(Skip to s8)**
     4   (Refused)   **(Skip to s8)**   ___ (5/72)

S7.   **(If code "1" in S6, ask:)** Have you or someone from your organization already filled out and returned the questionnaire?

     1   Yes - **(Thank, Terminate and Tally, with Case I.D.)**

     2   No   **(Continue)**
     3   (DK)   **(Continue)**
     4   (Refused)   **(Continue)**   ___ (5/73)

S8.   (If code "2", "3" or "4" in S6 or "2", "3" or "4" in S7, read:) Let me verify

the name of your organization and your organization's address are **(read information from fone file) (Enter all that are incorrect.)**

Contact Name: <u>**(Display from fone file, s2, or s4.)**</u>

Contact Title: <u>**(Display from fone file, s2, or s4.)**</u>

Organization Name: <u>**(Display from fone file.)**</u>

Address: <u>**(Display from fone file.)**</u>

City: <u>**(Display from fone file.)**</u>

State: <u>**(Display from fone file.)**</u>

Zip Code: <u>**(Display from fone file.)**</u>

Telephone Number: <u>**(Display from fone file.)**</u>

1   All information incorrect

2   Contact name is incorrect

3   Contact title is incorrect

4   Organization name is incorrect

5   Address is incorrect

6   City is incorrect

7   State is incorrect

8   Zip code is incorrect

9   Telephone number is incorrect

S9.   Does your organization either **CONDUCT** or **FUND** research and development in science, engineering, or technology?

1   Yes - **(Continue to #1)**

2   No - **(Thank, Terminate and Tally,
saving Case I.D.)**

3   (DK) - **(Thank, Terminate and Tally,
saving Case I.D.)**

4   (Refused) - **(Thank, Terminate and Tally,
saving Case I.D.)**   ___ (5/70)

                                ___ (5/74)

1.   Was your organization a nonprofit organization in 1996? [**(If necessary, say:)** By nonprofit, we mean an organization classified as 501(c) by the Internal Revenue Service, filing a 990 or 990-PF information return form.]

       1   Yes   - **(Continue)**
       2   No   - **(Thank and Terminate)**

       4   (DK) - **(Continue)**
       5   (Refused) - **(Continue)**   ___ (5/57)

2.   In **FISCAL YEAR 1996**, did your organization **PERFORM** any research or development in the science, engineering, or technology fields? [**(If necessary, read:)**Social Sciences, Psychology, Medical and Health Sciences, Biological Sciences, Engineering, Physical Sciences, Environmental Sciences, Mathematical Sciences, Computer Sciences, and Agricultural Sciences.]

       1   Yes - **(Continue)**

       2   No - **(Skip to #3)**

       3   (DK)   **(Skip to #3)**
       4   (Refused)   **(Skip to #3)**   ___ (5/60)

2a.   **(If code "1" in #2, ask:)** Did your organization perform more or less than $250,000 in research or development in the science, engineering, or technology fields in **FISCAL YEAR 1996**?

           1 – Less
           2- More

           7   (DK)
           8   (Refused)   ___ (5/61)

3.   In **FISCAL YEAR 1996**, did your organization **PROVIDE ANY FUNDING** to other organizations to conduct research or development in the science, engineering, or technology fields? [**(If necessary, read:)** Social Sciences, Psychology, Medical and Health Sciences, Biological

Sciences, Engineering, Physical Sciences, Environmental Sciences, Mathematical Sciences, Computer Sciences, and Agricultural Sciences.]

1   Yes
2   No

3   (DK)
4   (Refused)   ___ (5/62)

(If code "2", "3" or "4" in #2 AND code "2," "3," or "4" in #3, Validate and Thank, Saving Case I.D.)

If code "1" in #2 and code "2," "3," or "4" in 3, skip to #4.

3a.   **(If code "1" in #3, ask:)** Did your organization provide more or less than $250,000 in research or development **FUNDING** in the science, engineering, or technology fields to other organizations in **FISCAL YEAR 1996**?

                                                      ___ (5/63)

1 – Less
2- More

10   (DK)
11   (Refused)

If code "1" in both #2a and #3a, thank and terminate.

4.   Did your organization have an agreement of affiliation with any college or university in fiscal year 1996?

1   Yes - **(Continue)**

2   No   **(Skip to #5)**
3   (DK)   **(Skip to #5)**
4   (Refused)   **(Skip to #5)**   ___ (5/64)

4a.   **(If code "1" in #4, ask:)** Did the college or university include the activities of your organization as part of its budget in fiscal year 1996?

1   Yes
2   No
3   (DK)
4   (Refused)   ___ (5/65)

5.   Is your organization a unit of the Federal, regional, state, county, city, or local government?

1   Yes
2   No
3   (DK)
4   (Refused)

5a.   Is your organization part of a public college or public university?

1   Yes
2   No
3   (DK)
4   (Refused)   ____ (5/67)

6.   If your organization is determined to be eligible for the main NSF survey, who would be the appropriate person in your organization to serve as the **Survey Coordinator**? **(If necessary, read:)** The survey coordinator will serve as the liaison between your organization, NSF, and The Gallup Organization. This person will receive the main survey questionnaire and coordinate the data collection for your organization.

1   Respondent wants to be included in
        main study - **(Continue)**

2   Respondent does not want to
        be included in main survey -
        (Validate and Thank)   ____ (7/79)

CONTACT NAME: **(display from s8. If different, then enter.)**
_____ (6/47 - 6/61)

TITLE: **(display from s8. If different, then enter.**
_____ (6/12 - 6/31)   ____

ORGANIZATION NAME: **(display from s8. If different, then enter.)**
_____ (9/12 - 9/71)   ____   ____

ADDRESS: **(display from s8. If different, then enter.)**
_____ (6/62 - 6/80)   ____   ____

CITY: **(display from s8. If different, then enter.)**
_____ (7/12 - 7/31)   ____   ____

STATE: **(display from s8. If different, then enter.)**
_____ (7/32)   (7/33)   ____   ____

ZIP CODE: **(display from s8. If different, then enter.)**

_____ (7/34 - 7/38)

TELEPHONE NUMBER: **(display from s8. If different, then enter.)**

_____ (7/39 - 7/48)

FAX NUMBER:

_____ (7/49 - 7/58)

E-MAIL ADDRESS:

_____ (8/12 - 8/51)

7. (If the Survey Coordinator's ORGANIZATION NAME given in #6 is not equal to the ORGANIZATION NAME confirmed in S8 or if the Survey Coordinator's CITY given in #6 is not equal to the CITY confirmed in S8, ask): What is the relationship between the Survey Coordinator's organization and your organization?

_____

(INTERVIEWER READ:)
Again, this is _____, with The Gallup Organization, calling on behalf of the National Science Foundation. I'd like to thank you for your time.

(VALIDATE PHONE NUMBER AND THANK RESPONDENT)

**COMMENTS: (INTERVIEWER: Enter any observations)

_____ (7/59)   (7/60)

INTERVIEWER I.D.#   ____   (2/41- 2/44)