

National Science Foundation National Institutes of Health



FY 2003 Survey of Science and Engineering Research Facilities

Part 1: Research Space

Your participation in this survey is voluntary. However, your institution's response is important because the information from this survey is used to provide a national, quantitative picture of existing science and engineering research facilities at research-performing colleges, universities, and biomedical research organizations.

It is estimated that responding to this survey (Part 1 and Part 2 combined) typically requires 41 hours for academic institutions or 7 hours for biomedical institutions, depending on how data are maintained at your institution. If you wish to comment on the burden of completing this survey, contact Suzanne H. Plimpton, Reports Clearance Officer, NSF, via e-mail at splimpto@nsf.gov or call 1-703-292-7556. Or, you may write the Office of Management and Budget, Paperwork Reduction Project (OMB Number 3145-0101), Washington, DC 20503.

If you have a question about a specific item in the survey, please contact the Facilities Survey Help Desk via e-mail at <u>facilitiessurvey@westat.com</u> or call 1-888-742-3226. If you have a question about the survey in general, please contact Dr. Leslie Christovich via e-mail at <u>lchristo@nsf.gov</u> or call 1-703-292-7782.

Please complete and submit this survey on the web (according to the instructions on page 2) or return it by mail to:

ATTN: NSF Facilities Survey Westat 1650 Research Blvd. Rockville, MD 20850

Thank you for your participation.

General Information

This questionnaire is available on the World Wide Web. Go to www.facilitiessurvey.org to access the web version of the questionnaire. You will need to click on "Part 1 and Coordinator Tools" and then enter the Part 1 Coordinator ID and password. These are provided on the label on the front of this paper questionnaire.

In 2002, NSF redesigned this survey. The survey mailing includes a brochure that details the changes to the survey.

In response to interest by participating institutions, most FY 2003 Facilities Survey data will be identified for individual institutions. Identifying individual institutional data is standard policy for NSF's research and development surveys, and will permit you to compare your institution's data with other institutions' data. Responses about three topics will not be publicly available for individual institutions because institutions have indicated the sensitive nature of the questions. These confidential data are: all responses concerning animal space, reports on the condition of space (Question 6), and reports on indirect costs (Question 11).

Definition of science and engineering research space

Research space is defined as the space used for the sponsored research and development activities of your institution that are separately budgeted and accounted for. These research and development activities can be funded by your own institution, the federal government, a state government, foundations, corporations, or other sources. Exclude research space used for departmental research that is not separately budgeted. The box below provides examples of the types of space that should and should not be included in this definition.

Research space includes:

- controlled-environment space, such as clean, cold, or white rooms
- technical support space, such as equipment areas, preparation areas, carpentry and machine shops, etc.
- laboratories, including core laboratories that serve other laboratories
- laboratories and associated support areas used exclusively for animal research including procedure rooms, bench space, animal production colonies, holding rooms, germ-free rooms, surgical facilities, recovery rooms, etc.
- space for housing research animals and associated maintenance areas, including cage rooms, stalls, wards, isolation rooms, exercise rooms, feed storage rooms, cage-washing rooms, shops, holding and storage areas, etc.
- offices, to the extent that they are used for research activities
- space used for research containing fixed (built-in) equipment such as fume hoods
- space used for research containing nonfixed equipment costing \$1 million or more each, such as MRIs
- leased space that is used for research
- research space in your medical school

Research space does not include:

- space used for the fields of law, business administration/management (except economics), humanities, history, the arts, or education (except educational psychology)
- space that is designated as a Federally Funded Research and Development Center (FFRDC)
- in-kind space used by your faculty, staff, or other persons but administered by other organizations, such as research space at non-university hospitals or Veterans Administration hospitals
- space administered by your institution but leased to another organization
- libraries, unless they are dedicated to a specific research project
- animal field buildings sheltering animals that do not directly support research or that are not subject to government regulations concerning humane care and use of laboratory animals
- rooms providing office support space (such as for a copying machine or mail room) or other support space (such as a kitchen or hallway), even if the rooms are part of a dedicated research suite

Question 1: Types of research space

1. Please indicate whether or not your institution had each type of research space listed below at the end of your FY 2003.

Did your institution have this type of research space at end of FY 2003?

		(Mark one	"X" for e	each row)
Ty	pes of research space	Yes	No	Uncertain
a.	Laboratories, wet or dry, including computer laboratories, behavior observation laboratories, etc.			
b.	Laboratory support space, including autoclave rooms, darkrooms, equipment areas, storage areas for research equipment and supplies for research, etc.			
c.	Instructional laboratories that are <i>also</i> used for research			
d.	Core laboratories that serve other laboratories			
e.	Leased space that is used for research			
f.	Offices, to the extent they are used for research activities			
g.	Space used for research containing nonfixed equipment costing \$1 million or more each, such as MRIs			
h.	Research space in a medical school			
i.	Laboratories and associated support areas used for research animals that are subject to local, state, and federal government policies and regulations concerning humane care and use of animals			
	Examples: procedure rooms, holding rooms, recovery rooms, animal production colonies, storage areas			
j.	Space for housing research animals and associated maintenance areas that are subject to local, state, and federal government policies and regulations concerning humane care and use of animals			
	Examples: animal quarters, cage washing rooms, feed storage areas, isolation rooms, exercise rooms			

Question 2: Amount of research space

The following **research space definitions** are needed for Question 2.

Net assignable square feet (NASF) is the sum of all areas (in square feet) on all floors of a building assigned to, or available to be assigned to, an occupant for a specific use, such as research or instruction. NASF is measured from the inside faces of walls.

Research space is equivalent to functional category 2 (Research) for facilities inventory systems based on NCES, NACUBO, or WICHE classifications. For classifications, please refer to the Postsecondary Education Facilities Inventory and Classification Manual, U.S. Department of Education, Office of Educational Research and Improvement, NCES 92-165; the 1988 NACUBO Taxonomy of Functions; or the 1972 WICHE Program Classification Structure.

Four general categories of research space are used in Question 2.

Laboratories Areas with special-purpose equipment or configurations designed to meet the research needs of a particular discipline or a closely related group of disciplines

Laboratories may involve work with electronics and large instruments with few piped services, or they may be equipped with a full range of piped services such as hot and cold water, gas lines, and compressed air. Laboratories may utilize benches, sinks, and fume hoods. Other types of laboratory space include core laboratories, computer laboratories, behavior observation laboratories, animal procedure rooms, etc.

Laboratory Areas necessary to support research laboratories, such as autoclave rooms, darkrooms, equipment support space areas, and storage areas for research equipment and supplies

Offices Offices of faculty, staff, and other persons, to the extent that they are used for research, including administrative activities for a specific research project

Other research	All other space used for research
space	

2. At the end of your FY 2003, how much space (NASF, or net assignable square feet) was used for research for each of the categories of space below? First, please report the NASF used for research animals. Second, please report the NASF used for research for each field of science and engineering (including the animal space you already reported). You may provide estimates if you do not have exact figures.

If research space was shared among fields or used for other purposes in addition to research, report the portion of space used for research by each field below. For example, if two fields shared the space equally, report half of the space in one field and half in the other. Or, if an area was used for research one-fourth of the time and for other purposes the rest of the time, report one-fourth of the space as research space.

For animal space, include all departmental and central facilities that are subject to local, state, and federal government policies and regulations concerning humane care and use of laboratory animals.

		ratories and Animal housing and y support housing support only			Total		
Animal space	•	• •					
All space for research a regardless of S&E field		NASF	NASF		NASF		
Net assignable square feet for categories of research space at end of FY 2003							
Field of S&E	(Also	include animal spa	ace reported above)				
(See p. 23 for definitions)	Laboratories	Laboratory support space	Offices	Other research space	Total		
a A ami avaltuumal							
a. Agricultural sciences	NASF	NASF	NASF	NASF	NASF		
b. Biological sciences	NASF	NASF	NASF	NASF	NASF		
c. Computer sciences.	NASF	NASF	NASF	NASF	NASF		
d. Earth, atmospheric, and ocean sciences.	NASF	NASF	NASF	NASF	NASF		
e. Engineering	NASF	NASF	NASF	NASF	NASF		
f. Mathematical							
sciences	NASF	NASF	NASF	NASF	NASF		
g. Medical sciences	NASF	NASF	NASF	NASF	NASF		
h. Physical sciences	NASF	NASF	NASF	NASF	NASF		
i. Psychology	NASF	NASF	NASF	NASF	NASF		
j. Social sciences	NASF	NASF	NASF	NASF	NASF		
k. Other sciences(Please describe)	NASF	NASF	NASF	NASF	NASF		

Question 3: Research space in medical school							
3. <i>If your institution has a medical school</i> , how much of your institution's research space (NASF) reported in Question 2 is located in that medical school?							
	If your institution does <i>not</i> he check this box and skip to Q						
	Net assignable space for research (include at medical school (<i>If none</i> , <i>enter</i> "0")				_ NASF		
Question 4	: Leased research space						
	n of your science and engineering research mate if you do not have exact figures.	space (NASF)) reported in	Question 2	is leased?		
	Net assignable space (including animal sfor research (<i>If none, enter</i> "0")		······································		_ NASF		
Question 5	: Biosafety level of animal faci	lities					
	f the five types of animals listed below, pl facilities were available at your institution		• •	•			
` ,	fety Levels (BL)	i at the cha or	your 1 1 200	J.			
BL-1	Involves working with defined and chara						
BL-2	microorganisms not known to cause dise Involves working with the broad spectru	-					
	present in the community and associated	with human d	lisease of var	ying severi	ty		
BL-3	Involves working with indigenous or excrespiratory transmission, and which may infection	•	•				
BL-4	Involves working with dangerous and exrisk of life-threatening disease, that may for which there is no available vaccine of	be transmitted			nd		
		Biosafety l	evels at end that apply fo				
	Type of animal BL-1	BL-2	BL-3	BL-4	No facilities		
	Non-mammals	22.2	220	22 .			
	a. Fish/aquatic species						
	b. Other non-mammals						
	(Please specify below)						
	Mammals						
	c. Rodents						
	d. Non-human primates						
	e. Other mammals (Please specify below)						
	For additional information, see the report Biosafety in M U.S. Department of Health and Human Services.	icrobiological and E	Biomedical Labora	atories, 4 th Editio	on,		

Question 6: Condition of research facilities

6. Please estimate the percentage of research space that falls into each of the four condition categories below. Please base these ratings on the space used for your current research program commitments, including current faculty and staff, and faculty and staff to whom offers have been made. Include all current commitments whether or not research has actually begun. The percentages should sum to 100 within each row (e.g., "all space for research animals.")

Superior condition

Suitable for the most scientifically competitive research in this field over the next 2 years

Satisfactory condition

Suitable for continued use over the next 2 years for most levels of research in this field, but may require minor repairs or renovation

Will no longer be suitable for current research without undergoing major renovation within the next 2 years

Should stop using space for current research use within the next 2 years

	Mark "X" if	Percent of net assignable square feet				
Animal space	no research space in this field	Superior condition	Satisfactory condition	Requires renovation	Requires replacement	Total
All space for research animals regardless of S&E field		%	%	%	%	100%
Field of S&E (Also include a animal space reported above)	11					
a. Agricultural sciences		%	%	%	%	100%
b. Biological sciences		%	%	%	%	100%
c. Computer sciences		%	%	%	%	100%
d. Earth, atmospheric, and ocean sciences		%	%	%	%	100%
e. Engineering		%	%	%	%	100%
f. Mathematical sciences		%	%	%	%	100%
g. Medical sciences		%	%	%	%	100%
h. Physical sciences		%	%	%	%	100%
i. Psychology		%	%	%	%	100%
j. Social sciences		%	%	%	%	100%
k. Other sciences		%	%	%	%	100%

Question 7: Repairs and renovations started in FY 2002 or FY 2003

7. Please provide the total estimated completion costs of repair or renovation projects of research facilities that started during your FY 2002 or FY 2003. Include only projects whose prorated cost in a given field is estimated to be over \$250,000. For **multi-year projects**, report the entire completion cost even if some of the work will occur in future years.

Start date is the date on which the physical work on the repairs or renovations actually began.

Repairs and renovations refer to activities such as fixing up facilities in deteriorated condition, capital improvements on facilities, and conversion of facilities. *Do not* report building additions and the building out of shell space since they are reported in this survey under new construction. *Do include,* however, any repairs or renovations to existing space that are performed in combination with new construction projects.

Completion costs include planning, site preparation, construction, fixed equipment, and building infrastructure such as plumbing, lighting, air exchange, and safety systems either in the building or within 5 feet of the building foundation. Only include nonfixed equipment if it costs \$1 million or more.

If research space will be shared: For repaired or renovated research space that will be shared by two or more fields, estimate the portion of the cost for each field. If space will be used for other purposes in addition to science and engineering research, estimate the costs for the research portion of the space. Report projects with shared costs only if costs are prorated at more than \$250,000 for the field. For example, if a \$600,000 project included \$400,000 for mathematics space and \$200,000 for physics space, the \$200,000 portion would not be reported. Similarly, if a \$300,000 project involved space used for research only one-fourth of the time, this project of \$75,000 for the research portion would not be included.

If your institution does not have any fields with projects				
exceeding \$250,000, check this box and skip to Question 9				
	tal completion costs for projects started in FY 2002 or FY 2003			
All space for research animals regardless of S&E field	\$			
Field of S&E (Also include costs for animal space reported above)				
a. Agricultural sciences	\$			
b. Biological sciences	\$			
c. Computer sciences	\$			
d. Earth, atmospheric, and ocean sciences	\$			
e. Engineering	\$			
f. Mathematical sciences	\$			
g. Medical sciences	\$			
h. Physical sciences	\$			
i. Psychology	\$			
j. Social sciences				
k. Other sciences	\$			

			uch of your institu eported in Questio			
	If you	institution does	not have a medical	1		
			nd skip to Questic			
Completion costs for repairs and renovations to the medical school's research space (<i>If none, enter "0"</i>)\$						

9.	Please provide the total number of new construction projects that include facilities for science and engineering research at your institution that started during your FY 2002 or FY 2003. Only include those projects that have total completion costs estimated to be over \$250,000 for any field of science.
	Start date is the date on which the physical work of the construction actually began.
	New construction refers to construction of a new building, additions to an existing building, and the building out of shell space.
	Shell space is unfinished space that intentionally is left for completion at a later time.
	Completion costs include planning, site preparation, construction, fixed equipment, nonfixed equipment that costs \$1 million or more, and building infrastructure such as plumbing, lighting, air exchange, and safety systems either in the building or within 5 feet of the building foundation.
	<i>If research space will be shared:</i> Report projects with shared costs only if costs are prorated at more than \$250,000 for any one field. For example, if a \$400,000 project included equal space for two fields, this project of \$200,000 for each field would not be included. Or, if a \$300,000 project involved space used for research only one-fourth of the time, this project of \$75,000 for the research portion would not be included.
	If your institution has no new construction projects meeting the criteria above, check this box and skip to Question 10
	If your institution has one or more new construction projects meeting the criteria above, enter the number of projects here and fill out a separate Individual Project Form for each one

Please make additional copies of this form as needed.

Individual Project Form for Question 9 Page 1 of 2

Please complete this form for **each** new construction project that started during your EV 2002 or EV 2003, and has project completion costs estimated to be over

	\$250,000 for any one field of science. Consider the start date to be the date on which the physical work of the new construction began.					
9A.	What is the name of this project?					
9B.	When this project is complete, what do you estimate the <i>entire project's</i> completion costs and total gross square feet will be (including both research and nonresearch space)? Report completion costs for all years of the project. These costs include planning, site preparation, construction, fixed equipment, nonfixed equipment costing \$1 million or more each, and building infrastructure such as plumbing, lighting, air exchange, and safety systems either in the building or within 5 feet of the building foundation.					
	Gross square feet is based on the floor area of a structure within the <i>outside</i> faces of the exterior walls.					
	Research and Entire project nonresearch space					
	Completion costs \$					
	Gross square feet GSF					

Please make additional copies of this form as needed.

Individual Project Form for Question 9 Page 2 of 2

9C. Please estimate this project's completion costs and the amount of **space (NASF)** for research for each field below. Report only the fields whose prorated cost is estimated to be over \$250,000. In the line for animal space, include only projects costing over \$250,000 for the work on animal space.

Completion costs include planning, site preparation, construction, fixed equipment, nonfixed equipment that costs \$1 million or more, and building infrastructure such as plumbing, lighting, air exchange, and safety systems either in the building or within 5 feet of the building foundation.

If research space will be shared among fields or used for other purposes in addition to research: Report the portion of cost and space used for research by each field below. For example, if two fields will share the space equally, report half of the costs and space in one field and half in the other. Or, if an area was used for research one-fourth of the time and for other purposes the rest of the time, report one-fourth of the costs and space as research space.

Project started in FY 2002 and FY 2003

Animal space	Estimated project completion costs for research space	Estimated net assignable research space
All space for research animals regardless of S&E field	·	NASF
Field of S&E (Also include animal space report	ed above)	
a. Agricultural sciences	\$	NASF
b. Biological sciences	\$	NASF
c. Computer sciences	\$	NASF
d. Earth, atmospheric, and ocean sciences	\$	NASF
e. Engineering	\$	NASF
f. Mathematical sciences	\$	NASF
g. Medical sciences	\$	NASF
h. Physical sciences	\$	NASF
i. Psychology	\$	NASF
j. Social sciences	\$	NASF
k. Other sciences	\$	NASF
9D. If your institution has a medical school, p space (NASF) for research reported in Ques If your institution does not	tion 9C that is located in the med have a medical	dical school.
school, check this box and	Skip to Question 10	
Medical school portion of this project includ	Estimated completion costs for research space	Estimated net assignable research space
in Question 9C (If none, enter "0")		NASF

Question 10: Sources of project :	uestion 10:	ect funding
--	-------------	-------------

10. Please provide the total estimated completion costs by source of funding for repair or renovation and new construction projects for science and engineering research facilities that cost over \$250,000, and started during your FY 2002 or FY 2003. Total costs reported in column A should match costs for research space reported in Question 7 on page 9. Total costs reported in column B should match the costs for research space reported on the Individual Project Form(s) for new construction projects.

Start date is the date on which the physical work of the repairs, renovations, or construction actually began.

Repairs and renovations refer to activities such as fixing up facilities in deteriorated condition, capital improvements on facilities, and conversion of facilities.

New construction refers to the construction of new buildings, additions to existing buildings, and the building out of shell space. Shell space is unfinished space that intentionally is left for completion at a later time.

		1	Cotal completion cost in FY 2002 of (for projects of (A) Repairs and	or F	Y 2003
So	ource of funding		renovations		New construction
a.	Federal government	\$		\$	
b.	State or local government	\$		\$	
c.	Institutional funds and other sources Examples: operating funds, endowments, tax-exempt bonds and other debt financing, indirect costs recovered from federal grants/contracts, private donations, other sources	\$		\$.	
	Total	\$		\$	
Question 11: Amount of indirect costs from federal grants/contracts					
a	Question 10, Row c, lists two amounts for "institutional funds and ond 2) new construction. What is the total amount of indirect costs nat you included in the total of these two amounts?				
	If "institutional funds and other sources" are <i>not</i> funds for either repair/renovation or new construplease check here and skip to Question 12	ctio	n projects,		
	If you are not able to identify these amounts, please check here and skip to Question 12		[
	Amount of indirect costs recovered from <i>federal</i> grants/contracts (<i>If none, enter "0"</i>)		\$		

Question 12: Planned repairs and renovations in FY 2004 and FY 2005

12. Please estimate the completion costs for repair and renovation projects of science and engineering research facilities that are funded and scheduled to start in your FY 2004 or FY 2005 and will cost over \$250,000 for each field of science listed below. For multi-year projects, report the entire completion cost even if some work will occur in future years.

Start date is the date on which the physical work on the repairs or renovations is scheduled to begin.

Repairs and renovations refer to activities such as fixing up facilities in deteriorated condition, capital improvements on facilities, and conversion of facilities. Do not report building additions and the building out of shell space as renovations since they are reported in this survey under new construction.

Completion costs include planning, site preparation, construction, fixed equipment, nonfixed equipment that costs \$1 million or more, and building infrastructure such as plumbing, lighting, air exchange, and safety systems either in the building or within 5 feet of the building foundation.

If research space will be shared: For repaired or renovated research space that will be shared by two or more fields, estimate the portion of the cost for each field. If space will be used for other purposes in addition to science and engineering research, estimate the costs for the research portion of the space. Report projects with shared costs only if costs are prorated at more than \$250,000 for any one field. For example, if a \$600,000 project included \$400,000 for mathematics space and \$200,000 for physics space, the \$200,000 portion would not be reported. Or, if a \$300,000 project will involve space used for research only one-fourth of the time, this project of \$75,000 for the research portion would not be included.

If your institution does <i>not</i> have any fields with planned repairs or	
renovations exceeding \$250,000, check this box and skip to Question 14	

Animal space	Total completion costs for planned repair/renovation projects to start in FY 2004 and FY 2005
All space for research animals	11 2004 und 1 1 2005
regardless of S&E field	. \$
Field of S&E (Also include costs for animal space reported above)	
a. Agricultural sciences	. \$
b. Biological sciences	. \$
c. Computer sciences	. \$
d. Earth, atmospheric, and ocean sciences	. \$
e. Engineering	. \$
f. Mathematical sciences	. \$
g. Medical sciences	. \$
h. Physical sciences	. \$
i. Psychology	. \$
j. Social sciences	. \$
k. Other sciences	. \$

Question 13: For medical schools only: planned repairs and renovations in FY 2004 and FY 2005 13. If your institution has a medical school, how much of your institution's completion costs for planned repairs and renovations to research space as reported in Question 12 is located in that medical school? If your institution does *not* have a medical school, check this box and skip to Question 14..... Completion costs for planned repair and renovation projects for the medical school's research space (*If none*, enter "0")......\$_____

Question 14: Planned new construction in FY 2004 and FY 2005

14. Please estimate the completion costs and space (NASF) for planned new construction of science and engineering research facilities that are funded and scheduled to start in your FY 2004 or FY 2005 and will cost over \$250,000 for each field of science reported. For multi-year projects, report the entire completion cost even if some work will occur in future years.

Start date is the date on which the physical work of the construction is scheduled to begin.

New construction refers to construction of a new building, additions to an existing building, and the building out of shell space. Shell space is unfinished space that intentionally is left for completion at a later time.

Completion costs include planning, site preparation, construction, fixed equipment, nonfixed equipment that costs \$1 million or more, and building infrastructure such as plumbing, lighting, air exchange, and safety systems either in the building or within 5 feet of the building foundation.

If research space will be shared: For new research space that will be shared by two or more fields, estimate the portion of the cost and space for each field. If space will be used for other purposes in addition to science and engineering research, estimate the costs and space for the research portion of the space. Report projects with shared costs only if costs are prorated at more than \$250,000 for any one field. For example, if a \$600,000 project included \$400,000 for mathematics space and \$200,000 for physics space, the \$200,000 portion would not be reported. Or, if a \$300,000 project will involve space used for research only one-fourth of the time, this project of \$75,000 for the research portion would not be included.

If your institution has <i>not</i> funded and scheduled any new construction	
projects meeting these conditions, check this box and skip to Question 16	

Planned new construction for research space scheduled to start in FY 2004 or FY 2005

Animal space	Estimated cost	Estimated net assignable space
All space for research animals		
regardless of S&E field	\$	NASF
Field of S&E (Also include costs and animal space reported above)		
a. Agricultural sciences	\$	NASF
b. Biological sciences	\$	NASF
c. Computer sciences	\$	NASF
d. Earth, atmospheric, and ocean sciences	\$	NASF
e. Engineering	\$	NASF
f. Mathematical sciences	\$	NASF
g. Medical sciences	\$	NASF
h. Physical sciences	\$	NASF
i. Psychology	\$	NASF
j. Social sciences	\$	NASF
k. Other sciences	\$	NASF

Question 15: For medical schools only: planned new construction in FY 2004 and FY 2005

If your institution does <i>not</i> have a school, check this box and skip to	Question 16 Planned new constructions school's research s		
F	school's research s	ction for your medical	
F	school's research s	ction for your medical	
	Planned new construction for your medical school's research space as included in Question 14		
M P 1 1 1 1	Estimated cost	Estimated net assignable space	
Medical school research space (If none, enter "0")\$		NASF	

Question 16: Deferred repairs and renovations

16. Please provide the estimated costs of any *deferred projects* for repair/renovation of science and engineering research facilities that are needed for current research program commitments, but are not yet funded **and** not yet scheduled for FY 2004 or FY 2005. Please estimate costs separately for projects included in your approved institutional plan and projects not included in this institutional plan. Institutional plans usually will include goals, strategies, and budgets for fulfilling your institution's mission during a specific time period.

Deferred projects are those that: 1) are not funded, and 2) are not scheduled for FY 2004 or FY 2005. Do not include projects planned for developing new programs or expanding your current programs.

Repairs and renovations refer to activities such as fixing up facilities in deteriorated condition, capital improvements on facilities, and conversion of facilities. Do not report building additions and the building out of shell space as renovations since they are reported under deferred new construction in Question 18.

If research space will be shared: For repaired or renovated space that will be shared by two or more fields, estimate the portion of the cost for each field. If space will be used for other purposes in addition to science and engineering research, estimate the costs for the research portion of the space.

If your institution does <i>not</i> have any deferred projects	
for repairs or renovations of research facilities, check this box	
and skip to Question 18	

Estimated costs of deferred repairs and renovations

Animal space	For projects included in your institutional plan	For projects <i>not</i> included in your institutional plan
All space for research animals regardless of S&E field	\$	\$
Field of S&E (Also include costs for animal space reported above)		
a. Agricultural sciences	\$	\$
b. Biological sciences	\$	\$
c. Computer sciences	\$	\$
d. Earth, atmospheric, and ocean sciences	\$	\$
e. Engineering	\$	\$
f. Mathematical sciences	\$	
g. Medical sciences	\$	
h. Physical sciences	\$	
i. Psychology	\$	
j. Social sciences	\$	
k. Other sciences	\$	\$

If your institution has a medical school, how much of reported in Question 16 is located in that medical school.		uererreu repairs and renovations as
If your institution does <i>not</i> has school, check this box and ski	_	
	deferred repairs	your medical school's and renovations as Question 16
Madical ask ask recently areas	For projects included in your institutional plan	For projects <i>not</i> included in your institutional plan
Medical school research space (If none, enter "0")	\$	\$

Question 18: Deferred new construction

18. Please provide the estimated costs of any deferred projects for *new construction* of science and engineering research facilities that are needed for current program commitments, but are not yet funded **and** not yet scheduled for FY 2004 or FY 2005. Please estimate costs separately for projects included in your institutional plan and projects not included in this institutional plan. Institutional plans usually will include goals, strategies, and budgets for fulfilling your institution's mission during a specific time period.

Deferred projects are those that: 1) are not funded, and 2) are not scheduled for FY 2004 or FY 2005. Do not include projects planned for developing new programs or expanding your current programs.

Current research program commitments include current faculty and staff or those to whom offers have been made, grants awarded, whether or not research has actually begun, and programs which have been approved.

If research space will be shared: For new space that will be shared by two or more fields, estimate the portion of the cost for each field. If space will be used for other purposes in addition to science and engineering research, estimate the costs for the research portion of the space.

If your institution does <i>not</i> have any deferred construction	
projects, check this box and skip to Question 20	

Estimated costs of deferred new construction

Animal space	For projects included in your institutional plan	For projects <i>not</i> included in your institutional plan
All space for research animals regardless of S&E field	\$	\$
Field of S&E (Also include costs for animal space reported above)		
a. Agricultural sciences	\$	\$
b. Biological sciences	\$	\$
c. Computer sciences	\$	\$
d. Earth, atmospheric, and ocean sciences	\$	\$
e. Engineering	\$	\$
f. Mathematical sciences	\$	\$
g. Medical sciences	\$	\$
h. Physical sciences	\$	\$
i. Psychology	\$	\$
j. Social sciences	\$	\$
k. Other sciences	\$	\$

Question 19: For medical schools only: deferred new construction 19. If your institution has a medical school, how much of the estimated cost for deferred new construction as reported in Question 18 is located in that medical school? If your institution does *not* have a medical school, check this box and skip to Question 20..... **Estimated costs for your medical** school's deferred new construction as included in Ouestion 18 For projects *not* For projects included in your included in your institutional plan institutional plan Medical school research space (If none, enter "0")\$ _____ 20. Please add any comments for Part 1 below.

Thank you. This is the end of Part 1. Part 2, which is bound separately, covers your institution's computing and network capacity.

Classification of NSF Fields of Science and Engineering (S&E) with a crosswalk to the National Center for Education Statistics (NCES) classification of instructional programs

Animal space

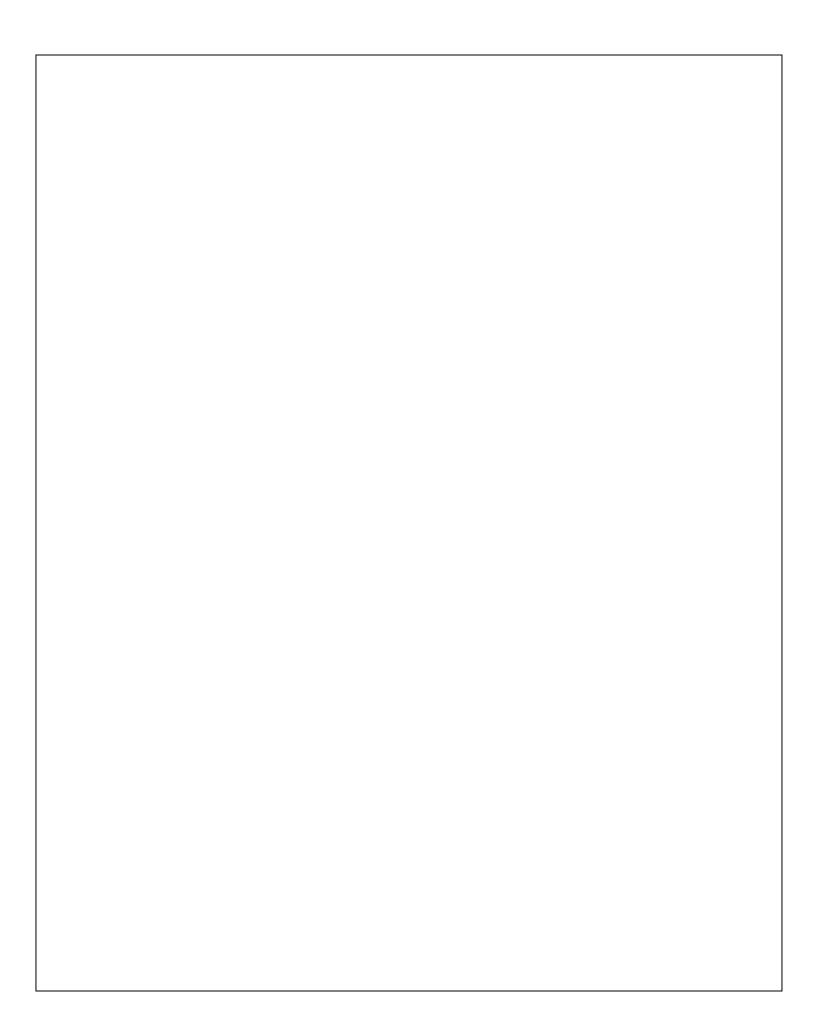
Use this category for your institution's space for research animals, including all departmental and central facilities that are subject to local, state, and federal government policies and regulations concerning humane care and use of laboratory animals. Please include laboratories, laboratory support, animal housing, and housing support.

NSF field of S&E	NSF field of S&E NCES classification and additional examples of disciplines				
Agricultural sciences (except agricultural engineering and agricultural economics)	01.03Agricultural production01.0303Aquaculture01.07International agriculture02.01Agricultural sciences02.04Plant sciences02.05Soil science03Renewable natural resources04.06Landscape architecture	Additional examples: Agricultural chemistry Agronomy Animal science Conservation Fish & wildlife Forestry Horticulture			
Biological sciences	19.05 Foods & nutrition studies 26.01 Biology, general 26.0202 Biochemistry 26.0203 Biophysics 26.03 Botany 26.04 Cell & molecular biology 26.05 Microbiology/Bacteriology 26.0601 Anatomy 26.0603 Ecology 26.0609 Nutritional sciences 26.0610 Parasitology 26.0612 Toxicology 26.0613 Genetics, plant & animal 26.0614 Biometrics 26.0615 Biostatistics 26.0699 Miscellaneous biological specializations, other	26.0701 Zoology 26.0702 Entomology 26.0704 Pathology, human & animal 26.0705 Pharmacology, human & animal 26.0706 Physiology, human & animal 26.0799 Zoology, other 26.99 Biological/Life sciences, other 51.1301 Medical anatomy 51.1302 Medical biochemistry 51.1307 Medical immunology 51.1308 Medical microbiology 51.1312 Medical pathology	51.1313 Medical physiology 51.1314 Medical toxicology 51.2203 Epidemiology Additional examples: Allergies & immunology Biogeography Biotechnology Pathology Physical anthropology Virology		
Computer sciences	Computer & information science, general Management information systems	Additional examples: Design, development, & application storage & manipulation Information sciences	n of computer capabilities to data		
Earth, atmospheric, and ocean sciences (Environmental)	Earth Sciences 15.1102 Surveying 40.06 Geological & related sciences 40.0703 Earth & planetary sciences 45.0702 Cartography	Additional examples: Engineering geophysics General geology Geodesy & gravity Geomagnetism Hydrology Inorganic	Isotopic Lab geophysics Organic geochemistry Paleomagnetism Paleontology Physical geography Seismology		
	Atmospheric 40.04 Atmospheric sciences & meteorology	Additional examples: Aeronomy Extraterrestrial atmospheres Solar Weather modification			

NSF field of S&E	NCES classification and addition	al examples of disciplines
Earth, atmospheric, and ocean sciences (continued)	Ocean sciences 26.0607 Marine/Aquatic biology 40.0702 Oceanography	Additional examples: Biological Chemical Geological Physical
	Other earth, atmospheric, & ocean sciences Multidisciplinary projects within earth, atmospheric, & ocean s	sciences
Engineering	Aeronautical & astronautical 14.02 Aerospace, aeronautical, & astronautical engineering	Additional examples: Aerodynamics Space technology
	Bioengineering/Biomedical engineering 14.05 Bioengineering & biomedical engineering	
	Chemical 03.0509 Wood science 14.07 Chemical engineering 14.25 Petroleum engineering 14.32 Polymer/Plastics engineering	Additional examples: Petroleum refining process
	Civil 04.02 Architecture 14.04 Architectural engineering 14.08 Civil engineering 14.14 Environmental/Environmental health engineering	Additional examples: Geotechnical Hydraulic Hydrologic Sanitary and environmental Structural Transportation
	Electrical 14.09 Computer engineering 14.10 Electrical, electronics, & communications engineering	Additional examples: Power engineering
	Mechanical 14.11 Engineering mechanics 14.19 Mechanical engineering	
	Metallurgical & materials 14.06 Ceramic sciences & engineering 14.15 Geological engineering 14.16 Geophysical engineering 14.18 Materials engineering 14.20 Metallurgical engineering 14.21 Mining & mineral engineering	14.28 Textile sciences & engineering 14.31 Materials science 40.0701 Metallurgy Additional examples: Welding
	Other engineering 14.01 Engineering, general 14.03 Agricultural engineering 14.12 Engineering physics 14.13 Engineering science 14.17 Industrial/Manufacturing engineering 14.22 Naval architecture & marine engineering 14.23 Nuclear engineering	14.24 Ocean engineering 14.27 Systems engineering 14.29 Engineering design 14.30 Engineering/Industrial management 14.99 Engineering, other 30.06 Systems science & theory Additional examples: Marine & ocean engineering systems
Mathematical sciences	27.01 Mathematics, general 27.03 Applied mathematics 27.0302 Operations research 27.05 Mathematical statistics 27.99 Mathematics, other 30.08 Mathematics/Computer sciences	Additional examples: Algebra Analysis Foundations & logic Geometry Numerical analysis Topology

NSF field of S&E	NCES classificat	tion and additional examples o	of disciplines
Medical sciences (exclude all residency programs) Institutions with schools of veterinary medicine should distribute information among the appropriate fields of S&E (e.g., agricultural, medical, and biological) rather than only in medical sciences.	26.0608 Neurosciences 26.0611 Radiation biology/ Radiobiology 30.11 Gerontology 51.02 Communication disorders sciences & services 51.04 Dentistry 51.07 Health & medical administrative services 51.10 Health & medical laboratory technologies 51.1201 Medicine, general 51.1399 Medical basic sciences, other 51.16 Nursing technologies 51.1610 Nursing Psychiatry/Mental Health 51.17 Optometry 51.19 Osteopathic medicine 51.20 Pharmacy 51.21 Podiatry	51.22 Public health 51.2306 Occupational therapy 51.2308 Physical therapy 51.2399 Rehabilitation/ Therapeutic services 51.24 Veterinary medicine 51.99 Health professions & related services, other Additional examples: Anesthesiology Cardiology Colon & rectal surgery Dental/Oral surgery Dermatology Family medicine Gastroenterology General surgery Geriatric medicine Hematology Internal medicine Medical program, other Neonatal-perinatal medicine	Neurological surgery Neurology Nuclear medicine Nuclear radiology Obstetrics and gynecology Oncology Ophthalmology Orthopedics/Orthopedic surger Otorhinolaryngology Pediatrics Pharmacology Physical and rehabilitative medicine Plastic surgery Preventive medicine Psychiatry Thoracic surgery Urology
Physical sciences	Astronomy 40.02 Astronomy 40.03 Astrophysics Chemistry 40.05 Chemistry Additional examples: Analytical Inorganic	Additional examples: Gamma-ray Neutrino Optical & radio X-ray Organic Organo-metallic Pharmaceutical Physical Polymer sciences (except biochemic	stry—see Biological sciences)
	Physics 40.08 Physics Additional examples: Acoustics Atomic/Molecular Chemical	Condensed matter Elementary particles Nuclear structure Optics Plasma Theoretical/Mathematical	
	Other physical sciences 40.01 Physical sciences, general 40.0799 Miscellaneous physical sciences, other 40.99 Physical sciences, other	Additional examples: Multidisciplinary projects within ph Other disciplines not listed separate	
Psychology	42.01 Psychology, general 42.02 Clinical psychology 42.17 School psychology 51.2301 Art therapy	Additional examples: Animal behavior Educational Experimental Human development & personality Social	

NSF field of S&E	NCES classification and additional examples of disciplines			
Social sciences	Economics 01.0103 Agricultural economics 45.06 Economics 52.06 Business/Managerial economics Additional examples: Applied Development	Econometrics Industrial International Labor Public finance & fiscal policy Quantitative Resource		
	Political science 44.04 Public administration 44.05 Public policy analysis 44.99 Public administration & service, other 45.09 International relations & affairs 45.10 Political science & government	Additional examples: Comparative government Legal systems Political theory Regional studies		
	Sociology 45.02 Anthropology (social & cultural only) 45.05 Demography & population studies 45.11 Sociology	Additional examples: Comparative & historical Complex organizations Cultural & social structure Group interactions Social problems & welfare theory		
	Other social sciences 04.03 City/Urban, community, & regional planning 05 Area & ethnic studies	45.07 Geography 45.12 Urban studies/affairs 45.99 Social sciences, other		
	 16.0102 Linguistics 43.01 Criminal justice & corrections 44.02 Community services 45.01 Social sciences, general 45.03 Archaeology 	Additional examples: History of science Socioeconomic geography		
Other sciences	Use this category when multidisciplinary, interdisciplinar make classification under one primary field impossible	ry, or other aspects		





National Science Foundation National Institutes of Health



Part 2: Computing and Networking Capacity

(for research and instructional activities)

FY 2003 Survey of Science and Engineering Research Facilities

If you have a question about a specific item in the survey, please contact the Facilities Survey Help Desk via e-mail at <u>facilitiessurvey@westat.com</u> or call 1-888-742-3226. If you have a question about the survey in general, please contact Dr. Leslie Christovich via e-mail at <u>lchristo@nsf.gov</u> or call 1–703-292-7782.

Please complete the questionnaire and submit it according to the arrangements you made with your institutional coordinator named in the label above.

Thank you for your participation.

OMB #3145-0101

General Information
This section of the survey addresses computing and networking capacity for research and instructional activities. When providing your responses, please do not include student residence areas.
If you do not have exact figures for any part of this questionnaire, please provide estimates.
This questionnaire is available on the World Wide Web. Go to www.facilitiessurvey.org to access the web version of the questionnaire. You will need to click on "Part 2" and then enter the Part 2 survey ID and password printed on the label on the front of this questionnaire or given to you by your institutional coordinator.

The first four questions ask about the *four components of your computer network*.

Question 1: Commodity (Internet1) connections

1. At the end of your FY 2003, how many of the following types of commodity (Internet1) connections did your institution have for Internet access? How many do you estimate you will have at the end of your FY 2004? Please do *not* report standard modems (57,600 bps or slower), ISDN, or DSL connections. (*Enter numbers*; if none, enter "0.")

If your institution has a fractional circuit, please report on the capacity of the full line.

Number of external lines

Speed	At end of FY 2003	Estimated at end of FY 2004
a. T1 or DS1 (1.5 megabits/sec.)		
b. T3 or DS3 (45 megabits/sec.)		
c. OC-3 (155 megabits/sec.)		
d. OC-12 (622 megabits/sec.)		
e. OC-48 (2.4 gigabits/sec.)		
f. Other (Please specify below)		

Question 2: Campus backbone

2. Some institutions have a single campus backbone connecting all of their computers and workstations, while others have multiple segments that may operate at different speeds. At the end of your FY 2003, how many backbone segments operated at each of the speeds listed below? How many do you estimate will be at these speeds at the end of your FY 2004? (Enter numbers; if none, enter "0.")

The **backbone** of your institution's network connects the local area networks (LANs) to each other. Some segments of your institution's backbone may operate at different data transmission speeds from others, especially if your institution has multiple campuses.

Number of backbone segments

Speed of connection	At end of FY 2003	Estimated at end of FY 2004
a. 10 megabits/sec. or less		
b. 100 megabits/sec		
c. 1 gigabit or more/sec		
d. Other (Please specify below)		

Question 3: Local area networks

3. Your institution may also have local area networks (LANs) connected to each other through your campus backbone(s). At the end of your FY 2003, how many LANs operated at each of the speeds listed below? How many do you estimate will be at these speeds at the end of your FY 2004? (*Enter numbers; if none, enter "0."*)

A **local area network** (**LAN**) is a network of interconnected workstations sharing the resources of a single processor or server within a relatively small geographical area. Typically, this might be within a building or a laboratory.

Number of LANs

Speed of LAN	At end of FY 2003	Estimated at end of FY 2004
a. 10 megabits/sec. or less		
b. 100 megabits/sec		
c. 1 gigabit or more/sec		
d. Other (Please specify below)		

Question 4: Desktop ports

4. At the end of your FY 2003, what percentage of your institution's desktop ports had hardwire connections at each of the speeds listed below? What percentage do you estimate will be at these speeds at the end of your FY 2004? (Enter numbers; if none, enter "0.")

Desktop ports connect individual PCs or workstations to your LAN or backbone. Please report on the ports themselves and not the speed of the workstations connected to them.

Percentage of desktop ports

Speed of connection	At end of FY 2003	Estimated at end of FY 2004
a. 10 megabits/sec. or less	%	%
b. 100 megabits/sec	%	%
c. 1 gigabit or more/sec	%	%
d. Other (Please specify below)	%	%
	100%	100%

Question 5: Speed on your network				
5. With your current network configuration, what is the <i>maximum speed</i> that a desktop computer on your network could connect through the backbone to another user <i>on your network</i> ? For example, if your backbone speed is 100 megabits, but all desktop ports are at 10 megabits, then your maximum speed would be no greater than 10 megabits for a connection on your network. If some desktop ports are at 10 megabits but others are at 100 megabits, with a backbone speed of 100 megabits, then the maximum speed would be 100 megabits. (<i>Enter number</i> .)				
megabits/sec.				
Question 6: Speed through a commodity (Internet1) connection				
6. Considering only your institution's current network and external connections, what is the <i>maximum speed</i> that a desktop computer on your network could connect to another institution <i>through a commodity</i> (<i>Internet1</i>) <i>connection</i> ? For example, if your backbone speed is 100 megabits, but you have a T1 line for your Internet connection, then your maximum speed would be no greater than 1.5 megabits for an outside connection. (<i>Enter number</i> .)				
megabits/sec.				
Question 7: Desktop port connections at maximum speed				
7. What percentage of your institution's desktop port connections can provide the maximum speed reported in Question 6 for connections through a commodity (Internet1) connection? Please base your answer on the number of ports with such connections, not the number of authorized users. (<i>Enter number</i> .)				
%				
Question 8: Internet2				
8. At the end of your FY 2003, did your institution have an Internet2 connection?				
Internet2 is a consortium of universities, industry, and government working to develop and deploy advanced network applications and technology. Members are connected through an advanced backbone network named Abilene. The consortium has regular members, corporate members, and affiliate members. Internet2 connections are also available to collaboration sites who collaborate with Internet2 university members. (Mark one "X" below.)				
Yes, we have an Internet2 connection				
No, we do not have an Internet2 connection				
Please specify any further explanations below.				

Question 9: Information technology activities							
9. Are the following included in a central, institution-wide document for planning your institution's information technology activities?							
	Yes Included in a central, institution-wide plan	No But other plans include this (departmental, school, etc.)	No This is not currently included in any plans				
	(Mark	k one "X" for each i	row.)				
 Faculty or researcher training in use of information technology . 							
b. Strategy for network replaceme	nt						
c. Upgrades of personal computer on a regular schedule							
d. Upgrades of <i>operational</i> softwa on a regular schedule							
e. Please list any other important	activities included in y	our planning for inf	formation technology.				
Question 10: Computation sp	eed						
 For any single application, what do y only the computational capacity physicomputing if your institution has this MFLOPS (megaflops) is a computing the computation of the comput	sically located within y configured to speed p	our institution? Incrocessing rates.	lude distributed/parallel	g			
GFLOPS (gigaflops) is a computing speed of 1 billion floating-point operations per second.							
Computation rate refers to the num second while working on a single app	ber of operations a con		•				
Estimated highest computate campus (Enter one number			MFLOPS or GFLOPS				

Question 11: High performance computing and grid technology				
11. Does your institution currently have the following capabi	lities?			
		Yes	No	Uncertain
		(Mark o	one "X" fo	r each row.)
a. High performance computing on campus High performance computing could include either a la computer or the use of parallel or distributed processing a single application over multiple computers. In either would be to manipulate very large amounts of data in	ng software to spread r case the purpose			
b. Grid technology Grid technology is a hardware and software infrastruc collection of resources such as high-end computers, in databases, and networks in order to collaborate across distributed sites	struments, applications, geographically			
Question 12: Wireless connections				
12. At the end of your FY 2003, what percentage, if any, of your institution's building area was covered by wireless capabilities for computer network access? What percentage do you estimate will have wireless access at the end of your FY 2004? Building area refers to the sum of floor by floor calculations of square footage. Wireless connections for computer network access				
(Mark one "X" for	Estimated			
At end of FY	at end of			
a. None	FY 2004			

Question 13: Wireless standard/percentage of co-	verage
--	--------

13. For your institution's building area that was covered by wireless at the end of your FY 2003, what percentage of that area used each of the following standards? (Enter numbers; if none, enter "0.")

Note: If coverage overlaps, percentages will add to more than 100 percent. If your institution used only one type of wireless standard, that row should be 100 percent.

	Wireless standard (frequency, speed)	coverage meeting this standard (At end of FY 2003)
	a. 802.11a (5 GHz, 54 megabits/sec.)	
	b. 802.11b (2.4 GHz,11 megabits/sec.)	%
	c. 802.11g (2.4 GHz, 54 megabits/sec.)	
	d. Other (Please specify below.)	%
		_
14.	Please add any comments below.	

