

APPENDIX C

NAME	DATE	JAIL	PROBATION	FINES	RESTITUTION	CHARGES
Barclay Grayson	11/20/01	24	36 (mo)	\$100	-0-	<i>Guilty Plea</i> 18 U.S.C. 1341
John Abbott	11/21/01	15	12 (mo)	\$200	\$194,400	<i>Guilty Plea</i> 18 U.S.C. 1954; 26 U.S.C. 7206(1)
Larry Mendelsohn	5/24/04	-0-	18 (mo)	\$100	\$105,454	<i>Guilty Plea</i> 26 U.S.C. 7206(1)
Jeffrey Grayson	5/26/04	-0-	-0-	-0-	-0-	<i>Dismissed</i>
Andrew Wiederhorn	6/03/04	18	-0-	\$25,200	\$2,000,000	<i>Guilty Plea</i> 18 U.S.C. 1954; 26 U.S.C. 7206(1)
Gary Kirkland	6/15/04	-0-	-0-	-0-	-0-	<i>Acquitted</i>
Robert Legino	6/15/04	-0-	-0-	-0-	-0-	<i>Acquitted</i>
Robert Mayhew	7/01/04	-0-	12 (mo)	\$1,000	-0-	<i>Guilty Plea</i> 29 U.S.C. 1131; 18 U.S.C. 2
John Lontine	7/01/04	-0-	12 (mo)	\$1,000	-0-	<i>Guilty Plea</i> 29 U.S.C. 1131; 18 U.S.C. 2
Dennis Talbott	7/15/04	-0-	36 (mo)	\$1,000	-0-	<i>Guilty Plea</i> 18 U.S.C. 1954
Dean Kirkland	2/10/05	24	24 (mo)	\$5,000	\$15,756	<i>Conviction</i> 18 U.S.C. 1954; 18 U.S.C. 1343; 18 U.S.C. 1503(a)

Key

Title 18 U.S.C. 2

Title 18 U.S.C. 1341

Title 18 U.S.C. 1343

Title 18 U.S.C. 1503(a)

Title 18 U.S.C. 1954

Title 26 U.S.C. 7206(1)

Title 29 U.S.C. 1131

Principals

Frauds and Swindles

Fraud by wire, radio, or television

Influencing or injuring officer or juror generally

Offer, acceptance, or solicitation to influence operations of employee benefit plan

Making and subscribing a false return, statement or other document

Willful violation of Part I of ERISA