

## **APPENDIX B**

| <b>Plan</b>   | <b>EBSA Case</b>    | <b>Results</b>         | <b>502(l)</b>  | <b>Injunctive</b> |
|---|---------------------|------------------------|----------------|-------------------|
| Oregon Laborers-Employers Pension Plan                              | Chao vs. Abbott     | \$4,035,153 (fn1)      |                | Yes               |
| Oregon Laborers Employers Defined Contribution Trust Fund           | Chao vs. Abbott     |                        |                | Yes               |
| Oregon Laborers-Employers Hlth & Wlfr Trust                         | Chao vs. Abbott     |                        |                | Yes               |
| Eight District Electrical Pension Fund Annuity Plan                 | Chao vs. Legino     | \$4,585,729 (fn1)(fn2) |                | Yes               |
| Elec Indus Bene Vac & Pd Hol Fund                                   | Chao vs. Legino     | \$238,648 (fn1)(fn2)   |                | Yes               |
| Eighth District Electrical Benefit Fund                             | Chao vs. Legino     | \$426,034 (fn1)(fn2)   |                | Yes               |
| Office/Professional Ee Iu # 11 401(K) Plan                          | Chao vs. Kirkland   | \$475,000 (fn1)        |                | Yes               |
| Western States Local Union Tr Fund Of The Office Emp Interntl Union | Chao vs. Kirkland   | \$478,000 (fn1)(fn2)   |                | Yes               |
| U.A.Local 290 Plumbers Pension                                      | Chao vs. Fullman    | \$3,738,864 (fn1)(fn2) |                | Yes               |
| Signatory Employers Idaho Laborers Pension Trust                    | Chao vs. Hazzard    | \$1,900,000 (fn1)      |                | Yes               |
| Electrical Workers Local 292 Annuity Plan                           | Chao vs. Shafranski | 733,669.00             | \$146,733.83   | Yes               |
| Electrical Workers Local 292 Pension Plan                           | Chao vs. Shafranski | 526,928.00             | \$105,385.67   | Yes               |
| Ibew Local 38 Health & Welfare Fund                                 | Chao vs. Chilia     | 211,667.50             | \$42,333.50    | Yes               |
| Ibew Local 38 Pension Plan  | Chao vs. Chilia     | 350,782.50             | \$70,156.50    | Yes               |
| Tri-County Bldg Trades Health Fund                                  | Chao vs. Talbott    | 90,782.00              | \$18,156.33    | Yes               |
| Sheet Metal Workers Local Pension Fund                              | Chao vs. Derreberry | 103,495.00             | \$20,669.00    | Yes               |
| Ibew Local 212 Pension Plan   | Chao vs. Bolling    | 2,031,207.00           | \$406,241.33   | Yes               |
| Sheet Metal Workers Loc 9 H & W Trust Fund                          | Chao vs. Keating    | 63,459.00              | \$12,709.83    | Yes               |
| Operating Engineers & Brickmasons Health & Welfare Fund             | Chao vs. Brown      | 79,553.00              | \$15,910.67    | Yes               |
| Arizona Sheet Metal Trade Trust Fund                                | Chao vs. Chacon     | 120,430.00             | \$24,086.00    | Yes               |
| Arizona Sheet Metal Pension Trust Fund                              | Chao vs. Chacon     | \$575,524 (fn1)        |                | Yes               |
| Sheet Metal Workers Lu 9 Pen Plan                                   | Chao vs. Swartz     | \$1,780,000 (fn1)      |                | Yes               |
| Shopmens Ironworkers Health & Welfare                               | Chao vs. Eckert     | 86,450.00              | \$17,290.00    | Yes               |
| Shopmens Iron Workers Pen Tr Of So Calif                            | Chao vs. Eckert     | 467,717.00             | \$93,543.33    | Yes               |
| Teamsters Local Union No 533 Health & Welfare Trust Fund            | Chao vs. Martino    | 53,833.00              | \$10,766.67    | Yes               |
| Teamsters Vacation Savings Trust Fund                               | Chao vs. Martino    | 117,000.00             | \$23,400.00    | Yes               |
| Utah Carpenters And Cement Masons Pension Plan                      | Chao vs. Eyre       | 836,354.00             | \$167,270.83   | Yes               |
| Utah Carpenters And Cement Masons Health And Welfare Trust          | Chao vs. Eyre       | 67,812.00              | \$13,562.50    | Yes               |
| Northern Nevada Laborers H & W                                      | Chao vs. Rusnak     | 593,000.00             | \$118,600.00   | Yes               |
| Northern Nevada Laborers Pension Trust Fund                         | Chao vs. Rusnak     | 400,000.00             | \$80,000.00    | Yes               |
| Northern Nevada Construction Workers Vacation Savings Plan          | Chao vs. Rusnak     | 442,226.00             | \$88,445.20    | Yes               |
| Carpenters Health & Insurance Trust Fund For Northern Nevada Board  | Chao vs. Wiggins    | 350,000.00             | \$70,000.00    | Yes               |
| Carpenters Vacation Savings Plan                                    | Chao vs. Wiggins    | 565,748.00             | \$113,149.60   | Yes               |
| Carpenters Pension Fund For Northern Nevada                         | Chao vs. Wiggins    | 895,085.00             | \$179,017.07   | Yes               |
|   |                     | 27,420,150.00          | \$1,837,427.86 |                   |

(fn1) This settlement amount was the result of mediation involving the DOL, private class action plaintiffs, and the defendant plan trustees. The monetary settlement was paid through the private class action settlement agreement.

(fn2) The monetary settlement in this case was originally negotiated with Legion Insurance Company who insured the defendant trustees. After the case was settled but before the monetary payment was made, Legion was determined to be insolvent and placed into receivership by the Pennsylvania Insurance Commission. Therefore, the settlement amount was never paid, but negotiations are underway to recover the settlement amount from other sources.