

**Draft Regulatory Impact Analysis:  
Control of Emissions of Air Pollution from  
Locomotive Engines and  
Marine Compression-Ignition Engines  
Less than 30 Liters per Cylinder**

**Chapter 3  
Emission Inventory**

Assessment and Standards Division  
Office of Transportation and Air Quality  
U.S. Environmental Protection Agency

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# Emission Inventory

This chapter presents our analysis of the emission impact of the proposed rule for the three source categories affected: commercial marine diesel engines, recreational marine diesel engines, and locomotives. The proposed control requirements include NO<sub>x</sub> and PM<sup>a</sup> emission standards for Category 1 and Category 2 commercial marine diesel engines (both above and below 37 kilowatts [kW]). New NO<sub>x</sub> and PM emission standards would also apply to all recreational marine diesel engines and locomotives. There are no new standards for HC or CO; however, the PM standards are also expected to decrease HC emissions.

Section 3.1 describes the methodology and presents the resulting baseline and controlled inventories for commercial marine diesel engines, including the projected emission reductions from the proposed rule. Sections 3.2 and 3.3 present similar information for recreational marine diesel engines and locomotives, respectively. The baseline inventories represent current and future emissions with only the existing standards. The controlled inventories incorporate the new standards in the proposed rule. Section 3.4 follows with the total projected emission reductions from all three affected source categories. Section 3.5 and section 3.6 then describe the contribution of these source categories to national and selected local inventories, respectively. Section 3.7 concludes the chapter by describing the changes in the inputs and resulting emission inventories between the baseline and control scenarios used for the air quality modeling and the updated baseline and control scenarios in this proposed rule.

The inventory estimates reported in this chapter are for the 50-state geographic area. Inventories are presented for the following pollutants: particulate matter (PM<sub>2.5</sub> and PM<sub>10</sub>), oxides of nitrogen (NO<sub>x</sub>), sulfur dioxide (SO<sub>2</sub>), volatile organic compounds (VOC), carbon monoxide (CO), and mobile source air toxics. The specific air toxics are benzene, formaldehyde, acetaldehyde, 1,3-butadiene, acrolein, naphthalene, and 15 other compounds grouped together as polycyclic organic matter (POM). The PM inventories include directly emitted PM only, although secondary sulfates are taken into account in the air quality modeling.

## 3.1 Commercial Marine Diesel Engines

This section describes the methodology and presents the resulting baseline and controlled inventories for commercial marine diesel engines, including the projected emission reductions from the proposed rule. Separate inventories were developed for the following commercial marine diesel engine categories: Category 1 commercial propulsion, Category 1 marine auxiliary, Category 2 commercial propulsion, less than (<) 37kW commercial propulsion, and <37kW marine auxiliary. Category 1 and 2 only include engines greater than or equal to (≥) 37kW, so it was necessary to include separate categories for those

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<sup>a</sup> PM in this document refers to PM<sub>10</sub>, which are particles less than 10 microns in diameter.

engines less than 37kW. Note that the auxiliary categories include engines used on either commercial or recreational vessels; however, given the expected small number of recreational auxiliary engines in comparison to commercial auxiliary engines, and our inability to separate the auxiliary categories by end use, the auxiliary categories have been included in the broader commercial marine category. Category 2 marine auxiliary engines are not included here, since they are used on Category 3 ocean-going vessels that are primarily foreign-flagged and not subject to U.S. regulations. Emissions from Category 2 auxiliary engines are therefore part of the Category 3 inventories.

### 3.1.1 General Methodology

The general methodology for calculating commercial marine diesel engine inventories for HC, CO, NO<sub>x</sub>, and PM is first described. This is followed by the methodologies used to calculate fuel consumption, SO<sub>2</sub>, VOC, PM<sub>2.5</sub>, and air toxic inventories.

Commercial marine diesel engine inventories for HC, CO, NO<sub>x</sub>, and PM are estimated using the equation:

$$\text{Equation 1} \quad I = N * P * L * A * EF$$

where each term is defined as follows:

I = the emission inventory (gram/year)

N = engine population (units)

P = average rated power (kW)

L = load factor (average fraction of rated power used during operation; unitless)

A = engine activity (operating hours/year)

EF = emission factor (gram/kW-hr)

Emissions are then converted and reported as short tons/year.

The average rated power, load factor, and activity inputs remain constant in any given simulation year. However, populations and emission factors vary by year and age. Populations for a given base calendar year are first calculated, along with the corresponding age distribution, and then projected from that base year into the future. For most of the commercial marine diesel categories, the base year is 2002. The pollutant emission factors vary by age to account for the current and proposed regulations, as well as emissions deterioration. PM emission factors also have an additional adjustment to account for the in-use fuel sulfur level, which is described in more detail below.

Three variables are used to project emissions over time: the annual population growth rate, the engine median life/scrappage, and the relative deterioration rate. Collectively, these variables represent population growth, changes in the population age distribution, and emission deterioration.

**Annual Population Growth Rate (percent/year).** The population growth rate represents the percentage increase in the total calendar year engine population from year (n) to year (n+1). It is a compound growth rate. These growth rates vary by category.

**Engine Median Life (years) and Scrappage.** The engine median life defines the length of time engines remain in service. Engines persist in the population over two median lives; during the first median life, 50 percent of the engines are scrapped, and over the second, the remaining 50 percent of the engines are scrapped. Engine median lives also vary by category. The age distribution is defined by the median life and the scrappage algorithm. For commercial marine diesel engines, the scrappage algorithm in the NONROAD model was used for all categories.<sup>1</sup>

**Relative Deterioration Rate (percent increase in emission factor/percent median life expended).** A deterioration factor can be applied to the emission factor to account for in-use deterioration. The deterioration factor varies by age and is calculated as:

$$\text{Equation 2} \quad \text{DF} = 1 + A * (\text{age} / \text{ML})$$

where each term is defined as follows:

DF = the deterioration factor for a given pollutant at a given age

A = the relative deterioration rate for a given pollutant (percent increase in emission factor/percent useful life expended)

age = the age of a specific model year group of engines in the simulation year (years)

ML = the median life of the given model year cohort (years)

A given model year cohort is represented as a fraction of the entire population. The deterioration factor adjusts the emission factor for engines in a given model year cohort in relation to the proportion of median life expended. Deterioration is linear over one median life. Following the first median life, the deteriorated emission factor is held constant over the remaining life for engines in the cohort. This is consistent with the diesel deterioration applied in the NONROAD model.<sup>2</sup>

**Sulfur Adjustment for PM Emissions.** For Tier 2 and prior engines, a sulfate adjustment is added to the PM emissions to account for differences in fuel sulfur content between the certification fuel and the episodic (calendar year) fuel, using the following equation:

$$\text{Equation 3} \quad S_{\text{PM adj}} = \text{FC} * 7.1 * 0.02247 * 224/32 * (\text{soxdsl} - \text{soxbas}) * 1/2000$$

where each term is defined as follows:

$S_{\text{PM adj}}$  = PM sulfate adjustment (tons)

FC = fuel consumption (gallons)

7.1 = fuel density (lb/gal)

0.02247 = fraction of fuel sulfur converted to sulfate

224/32 = grams PM sulfate/grams PM sulfur

soxdsl = episodic fuel sulfur weight fraction (varies by calendar year)

soxbas = certification fuel sulfur weight fraction

2000 = conversion from lb to ton

For Tier 3 and later engines, no sulfur adjustment is applied. These engines will be certified to a fuel sulfur level at or lower than the episodic fuel sulfur levels expected when these engines are introduced.

**Estimation of fuel consumption.** Annual fuel consumption is estimated using the following equation:

$$\text{Equation 4 } FC = (BSFC * N * P * L * A) / (7.1 * 454)$$

where each term is defined as follows:

FC = fuel consumption (gallons)

BSFC = brake specific fuel consumption (g/kW-hr)

N = engine population (units)

P = average rated power (kW)

L = load factor (average fraction of rated power used during operation; unitless)

A = engine activity (operating hours/year)

7.1 = fuel density (lb/gal)

454 = conversion from lb to g

**Estimation of SO<sub>2</sub> emissions.** Annual SO<sub>2</sub> inventories are estimated using the following equation:

$$\text{Equation 5 } SO_2 = FC * 7.1 * (1 - 0.02247) * 64/32 * soxdsl * 1/2000$$

where each term is defined as follows:

SO<sub>2</sub> = sulfur dioxide inventory (tons)

FC = fuel consumption (gallons)

7.1 = fuel density (lb/gal)

(1-0.02247) = fraction of fuel sulfur converted to SO<sub>2</sub>

64/32 = grams SO<sub>2</sub>/grams sulfur

soxdsl = episodic fuel sulfur weight fraction (varies by calendar year)

2000 = conversion from lb to ton

The calendar year fuel sulfur levels (soxdsl) were taken from the Clean Air Nonroad Diesel Rule.<sup>4</sup>

**Estimation of VOC and PM<sub>2.5</sub> emissions.** To estimate VOC emissions, an adjustment factor of 1.053 is applied to the HC output. Similarly, to estimate PM<sub>2.5</sub> emissions, an adjustment factor of 0.97 is applied to the PM<sub>10</sub> output. These adjustment factors are consistent with those used in the NONROAD model<sup>3,2</sup> and the Clean Air Nonroad Diesel Rule.<sup>4</sup>

**Estimation of air toxic emissions.** The air toxic baseline emission inventories for this proposal are based on information developed for EPA's Mobile Source Air Toxics (MSAT) final rulemaking.<sup>5</sup> That rule calculated air toxic emission inventories for all nonroad engines. The gaseous air toxics are correlated to VOC emissions, while POM is correlated to PM<sub>10</sub> emissions. To calculate the air toxics emission inventories and reductions for this proposal, the percent reductions in VOC and PM<sub>10</sub> emissions will be applied to the baseline gaseous and POM air toxic inventories, respectively.

### 3.1.2 Baseline (Pre-Control) Inventory Development

This section describes the inputs and provides the resulting baseline inventories for commercial marine engines.

#### 3.1.2.1 Category 1 Propulsion

The inventory inputs of base year population, average power, load factor, and activity for Category 1 commercial propulsion engines are given in Table 0-1 and Table 0-2. These inventory inputs are used to develop both baseline and control inventories. As a result, there are displacement, power density, and kilowatt subcategories, which are required to model both the current and proposed standards in this rule.

The current emission standards vary only by displacement (disp) category, which is expressed as liters per cylinder (L/cyl). There are four displacement categories for Category 1 engines: 1) less than 0.9 L/cyl (and power greater than or equal to 37kW), 2) greater than or equal to 0.9 L/cyl and less than 1.2 L/cyl, 3) greater than or equal to 1.2 L/cyl and less than 2.5 L/cyl, and 4) greater than or equal to 2.5 L/cyl and less than 5 L/cyl. For simplification, these will be referred to as 1)  $\text{disp} < 0.9$ , 2)  $0.9 \leq \text{disp} < 1.2$ , 3)  $1.2 \leq \text{disp} < 2.5$ , and 4)  $2.5 \leq \text{disp} < 5$ .

In order to model the proposed Tier 3 standards, the  $2.5 \leq \text{disp} < 5$  category is further broken out into  $2.5 \leq \text{disp} < 3.5$  and  $3.5 \leq \text{disp} < 5$  categories. The Tier 3 standards also have cut points at 75kW and 3700kW, so it was necessary to break out the  $\text{disp} < 0.9$  category into  $37 < \text{kW} \leq 75$  and  $> 75 \text{kW}$  categories. Since there are no Category 1 engines greater than 3700kW, this cut point was not necessary to include. Finally, there are different Tier 3 standards for standard power density and high power density engines. Standard power density engines are less than 35 kW per liter (kW/L), and the high power density engines are greater than or equal to 35 kW/L. The inputs for the standard power density engines are given in Table 0-1 and the inputs for the high power density engines in Table 0-2.

The proposed Tier 4 standards that apply to Category 1 engines vary by the following kW categories:  $< 600 \text{kW}$ ,  $600 \leq \text{kW} < 1000$ ,  $1000 \leq \text{kW} < 1400$ ,  $1400 \leq \text{kW} < 3700$ , and  $\geq 3700 \text{kW}$ . As a result, these power categories were also added, with the exception of the  $\geq 3700 \text{kW}$  category, since there are no Category 1 engines in this power range.

The base year populations by displacement category are generated using historical sales estimates in conjunction with the scrappage algorithm described above. Other inventory inputs that affect scrappage are load factor, activity, and median life. The historical sales estimates for calendar years 1973-2002 were obtained from Power Systems Research (PSR). These populations by displacement category were further broken out into power density and kilowatt categories using the 2002 population and engine data from PSR.

The average power estimates were population-weighted, using the 2002 engine and population data from PSR. The load factor and activity estimates were 0.45 and 943 hours per year, respectively for engines  $< 560 \text{kW}$  (750 hp). These are the estimates for commercial marine propulsion engines provided by PSR. For engines  $> 560 \text{kW}$ , the load factor and

activity estimates used were 0.79 and 4,503 hours per year. These latter estimates were taken from the 1999 Marine Diesel FRM.<sup>6</sup> Higher load factors and activities were assigned to these larger engines based on information provided by the manufacturers for the previous rule, and supported by more recent discussions with the American Waterways Operators about how these larger engines typically operate.<sup>7</sup> This power break point is not related to the kW categories in the proposed standards.

Load factors for each subcategory were developed by first identifying the engines in the PSR population dataset corresponding to each subcategory. Load factors for each engine in a subcategory were assigned based on the criteria above. An average load factor for each subcategory was then obtained by weighting the individual engine load factors by population and power. A similar approach was followed to obtain activity estimates for each subcategory, with the exception that the weightings were population, power, and load factor. The average power, load factors and activities needed to be estimated using these weightings to ensure that the total inventory from this source category is correctly calculated.

The median life for all C1 propulsion engines used is 13 years, which is the estimate provided by PSR. The annual population growth rate is 1.009, which is the estimate from the Energy and Information Administration (EIA) for domestic shipping.<sup>8</sup>



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**Table 0-1 Inventory Inputs for C1 Propulsion Standard Power Density Engines**

| DISPLACEMENT CATEGORY | <35 W/L         |        |             |                 |                 |        |             |                 | TOTAL POPULATION |
|-----------------------|-----------------|--------|-------------|-----------------|-----------------|--------|-------------|-----------------|------------------|
|                       | <=600KW         |        |             |                 | 600<KW≤1000     |        |             |                 |                  |
|                       | 2002 POPULATION | AVG KW | LOAD FACTOR | ACTIVITY, HOURS | 2002 POPULATION | AVG KW | LOAD FACTOR | ACTIVITY, HOURS |                  |
| DISP<0.9 AND 37<KW≤75 | 1,665           | 43     | 0.45        | 943             | 0               |        |             |                 | 1,665            |
| DISP<0.9 AND >75KW    | 1,102           | 154    | 0.45        | 943             | 0               |        |             |                 | 1,102            |
| 0.9≤DISP<1.2          | 19,255          | 128    | 0.45        | 943             | 0               |        |             |                 | 19,255           |
| 1.2≤DISP<2.5          | 23,561          | 294    | 0.51        | 1,905           | 795             | 781    | 0.79        | 4,503           | 24,356           |
| 2.5≤DISP<3.5          | 5,898           | 397    | 0.45        | 943             | 675             | 832    | 0.79        | 4,503           | 6,573            |
| 3.5≤DISP<5.0          | 205             | 404    | 0.45        | 943             | 308             | 748    | 0.79        | 4,503           | 513              |
| <b>TOTAL</b>          | <b>51,687</b>   |        |             |                 | <b>1,777</b>    |        |             |                 | <b>53,464</b>    |

| DISPLACEMENT CATEGORY | <35 KW/L        |        |             |                 |                 |        |             |                 | TOTAL POPULATION |
|-----------------------|-----------------|--------|-------------|-----------------|-----------------|--------|-------------|-----------------|------------------|
|                       | 1000<KW≤1400KW  |        |             |                 | >1400KW*        |        |             |                 |                  |
|                       | 2002 POPULATION | AVG KW | LOAD FACTOR | ACTIVITY, HOURS | 2002 POPULATION | AVG KW | LOAD FACTOR | ACTIVITY, HOURS |                  |
| DISP<0.9 AND 37<KW≤75 | 0               |        |             |                 | 0               |        |             |                 | 0                |
| DISP<0.9 AND >75KW    | 0               |        |             |                 | 0               |        |             |                 | 0                |
| 0.9≤DISP<1.2          | 0               |        |             |                 | 0               |        |             |                 | 0                |
| 1.2≤DISP<2.5          | 1,013           | 1,065  | 0.79        | 4,503           | 0               |        |             |                 | 1,013            |
| 2.5≤DISP<3.5          | 186             | 1,194  | 0.79        | 4,503           | 0               |        |             |                 | 186              |
| 3.5≤DISP<5.0          | 212             | 1,119  | 0.79        | 4,503           | 1,264           | 1,492  | 0.79        | 4,503           | 1,476            |
| <b>TOTAL</b>          | <b>1,411</b>    |        |             |                 | <b>1,264</b>    |        |             |                 | <b>2,675</b>     |

Grand Total

53,098

3,041

56,139

\* No populations ≥3700KW

Table 0-2 Inventory Inputs for C1 Propulsion High Power Density Engines

| DISPLACEMENT CATEGORY | ≥35 KW/L        |        |             |                 |                 |        |             |                 | TOTAL POPULATION |
|-----------------------|-----------------|--------|-------------|-----------------|-----------------|--------|-------------|-----------------|------------------|
|                       | <=600KW         |        |             |                 | 600<KW≤1000     |        |             |                 |                  |
|                       | 2002 POPULATION | AVG KW | LOAD FACTOR | ACTIVITY, HOURS | 2002 POPULATION | AVG KW | LOAD FACTOR | ACTIVITY, HOURS |                  |
| DISP<0.9 AND 37<KW≤75 | 0               |        |             |                 | 0               |        |             |                 | 0                |
| DISP<0.9 AND >75KW    | 3,151           | 165    | 0.45        | 943             | 0               |        |             |                 | 3,151            |
| 0.9≤DISP<1.2          | 21              | 313    | 0.45        | 943             | 0               |        |             |                 | 21               |
| 1.2≤DISP<2.5          | 1,338           | 341    | 0.45        | 943             | 102             | 678    | 0.79        | 4,503           | 1,440            |
| 2.5≤DISP<3.5          | 0               |        |             |                 | 0               |        |             |                 | 0                |
| 3.5≤DISP<5.0          | 0               |        |             |                 | 0               |        |             |                 | 0                |
| TOTAL                 | 4,510           |        |             |                 | 102             |        |             |                 | 4,612            |

| DISPLACEMENT CATEGORY | ≥35 KW/L        |        |             |                 |                 |        |             |                 | TOTAL POPULATION |
|-----------------------|-----------------|--------|-------------|-----------------|-----------------|--------|-------------|-----------------|------------------|
|                       | 1000<KW≤1400KW  |        |             |                 | >1400KW*        |        |             |                 |                  |
|                       | 2002 POPULATION | AVG KW | LOAD FACTOR | ACTIVITY, HOURS | 2002 POPULATION | AVG KW | LOAD FACTOR | ACTIVITY, HOURS |                  |
| DISP<0.9 AND 37<KW≤75 | 0               |        |             |                 | 0               |        |             |                 | 0                |
| DISP<0.9 AND >75KW    | 0               |        |             |                 | 0               |        |             |                 | 0                |
| 0.9≤DISP<1.2          | 0               |        |             |                 | 0               |        |             |                 | 0                |
| 1.2≤DISP<2.5          | 0               |        |             |                 | 0               |        |             |                 | 0                |
| 2.5≤DISP<3.5          | 0               |        |             |                 | 0               |        |             |                 | 0                |
| 3.5≤DISP<5.0          | 214             | 1,176  | 0.79        | 4,503           | 361             | 1,765  | 0.79        | 4,503           | 575              |
| TOTAL                 | 214             |        |             |                 | 361             |        |             |                 | 575              |

Grand Total

4,724

463

5,187

\* No populations ≥3700KW

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The baseline emission factors are given in Table 0-3 and Table 0-4. The emission factors are provided for three technology types: Base, Tier 1, and Tier 2. The base technology type includes all pre-control engines. Tier 1 refers to the first round of existing standards for NO<sub>x</sub> only that begin in 2000. Tier 2 refers to the second round of existing standards for HC+NO<sub>x</sub> and PM that began in 2004 to 2007, depending on the displacement category.

**Table 0-3 Baseline PM<sub>10</sub> and NO<sub>x</sub> Emission Factors for C1 Propulsion Engines\***

| DISPLACEMENT<br>CATEGORY | PM <sub>10</sub> G/KW-HR |        |        | NO <sub>x</sub> G/KW-HR |        |        |
|--------------------------|--------------------------|--------|--------|-------------------------|--------|--------|
|                          | BASE                     | TIER 1 | TIER 2 | BASE                    | TIER 1 | TIER 2 |
| DISP<0.9                 | 0.54                     | 0.54   | 0.23   | 10                      | 9.8    | 5.7    |
| 0.9<=DISP<1.2            | 0.47                     | 0.47   | 0.12   | 10                      | 9.8    | 6.1    |
| 1.2<=DISP<2.5            | 0.34                     | 0.34   | 0.13   | 10                      | 9.8    | 6.0    |
| 2.5<=DISP<3.5            | 0.30                     | 0.30   | 0.13   | 10                      | 9.1    | 6.0    |
| 3.5<=DISP<5.0            | 0.30                     | 0.30   | 0.13   | 11                      | 9.2    | 6.0    |

\* Deterioration is applied to the PM emission factors (EFs); see text for details. The NO<sub>x</sub> EFs are not subject to deterioration.

**Table 0-4 Baseline HC and CO Emission Factors for C1 Propulsion Engines\***

| DISPLACEMENT<br>CATEGORY | HC G/KW-HR |        |        | CO G/KW-HR |        |        |
|--------------------------|------------|--------|--------|------------|--------|--------|
|                          | BASE       | TIER 1 | TIER 2 | BASE       | TIER 1 | TIER 2 |
| DISP<0.9                 | 0.41       | 0.41   | 0.41   | 1.6        | 1.6    | 1.6    |
| 0.9<=DISP<1.2            | 0.32       | 0.32   | 0.32   | 1.6        | 1.6    | 0.9    |
| 1.2<=DISP<2.5            | 0.27       | 0.27   | 0.19   | 1.6        | 1.6    | 1.1    |
| 2.5<=DISP<3.5            | 0.27       | 0.27   | 0.19   | 1.6        | 1.6    | 1.1    |
| 3.5<=DISP<5.0            | 0.27       | 0.27   | 0.19   | 1.8        | 1.8    | 1.1    |

\* The HC and CO emission factors (EFs) are not subject to deterioration.

The base emission factors were taken from the 1999 Marine Diesel rulemaking, and are based on emission data for uncontrolled engines.<sup>6</sup> For Tier 1, the NO<sub>x</sub> emission factors were estimated using 2006 certification data. The certification data for engines using the E3 cycle<sup>b</sup> were sales-weighted to obtain Tier 1 NO<sub>x</sub> emission factors for each displacement category. Since the Tier 1 standards only affect NO<sub>x</sub>, the Tier 1 emission factors for the other pollutants are equal to the base

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<sup>b</sup> The E3 duty cycle is designated for propulsion marine diesel engines.

emission factors. For Tier 2, the same 2006 certification data were used to estimate PM, NO<sub>x</sub>, and HC emission factors.

For C1 engines, PM is the only pollutant for which deterioration factors are applied. The relative deterioration rate (A) is 0.473, which is used for both pre-control and all regulatory tiers. As a result, the maximum PM deterioration factor is 1.473. This is consistent with the diesel deterioration assumed in the NONROAD model.<sup>2</sup>

The certification fuel sulfur levels, which are used to estimate the PM sulfate adjustments, are 3300ppm for the Base (pre-control) technology type, and 350ppm for Tier 1 and Tier 2. The Base level was taken from the NONROAD model.<sup>2</sup> The Tier 1 and Tier 2 levels were estimated from reviewing the marine certification data and fuel requirements.

For calculating fuel consumption, estimates of brake specific fuel consumption (BSFC) are also required. For this analysis, a value of 213 g/kW-hr was used. This value is consistent with published estimates of BSFC and those for heavy-duty diesel engines.<sup>9</sup>

The resulting baseline 50-state emission inventories for Category 1 propulsion engines are given in Table 0-5.

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**Table 0-5 Baseline (50-State) Emissions for C1 Propulsion Engines (short tons)**

| YEAR | PM <sub>10</sub> | PM <sub>2.5</sub> | NO <sub>x</sub> | VOC   | HC    | CO     | SO <sub>2</sub> |
|------|------------------|-------------------|-----------------|-------|-------|--------|-----------------|
| 2002 | 13,328           | 12,928            | 335,561         | 9,488 | 9,010 | 55,303 | 36,201          |
| 2003 | 13,690           | 13,279            | 336,369         | 9,573 | 9,091 | 55,801 | 36,528          |
| 2004 | 13,807           | 13,393            | 332,798         | 9,561 | 9,080 | 55,722 | 36,862          |
| 2005 | 13,873           | 13,457            | 328,810         | 9,550 | 9,069 | 55,582 | 37,192          |
| 2006 | 13,872           | 13,456            | 324,900         | 9,540 | 9,060 | 55,450 | 36,827          |
| 2007 | 12,230           | 11,863            | 316,663         | 9,415 | 8,941 | 54,423 | 19,121          |
| 2008 | 10,961           | 10,632            | 308,524         | 9,291 | 8,824 | 53,405 | 6,299           |
| 2009 | 10,710           | 10,388            | 300,509         | 9,170 | 8,708 | 52,401 | 6,355           |
| 2010 | 10,304           | 9,995             | 292,651         | 9,051 | 8,595 | 51,414 | 4,705           |
| 2011 | 9,916            | 9,619             | 284,979         | 8,934 | 8,484 | 50,445 | 3,513           |
| 2012 | 9,471            | 9,187             | 277,551         | 8,821 | 8,377 | 49,497 | 1,862           |
| 2013 | 9,003            | 8,733             | 270,764         | 8,711 | 8,273 | 48,574 | 664             |
| 2014 | 8,587            | 8,330             | 264,634         | 8,606 | 8,173 | 47,680 | 799             |
| 2015 | 8,155            | 7,910             | 258,879         | 8,507 | 8,079 | 46,827 | 857             |
| 2016 | 7,718            | 7,487             | 253,538         | 8,415 | 7,992 | 46,023 | 865             |
| 2017 | 7,346            | 7,126             | 249,327         | 8,347 | 7,927 | 45,368 | 872             |
| 2018 | 7,058            | 6,846             | 246,339         | 8,304 | 7,886 | 44,879 | 879             |
| 2019 | 6,805            | 6,601             | 243,964         | 8,272 | 7,855 | 44,482 | 886             |
| 2020 | 6,632            | 6,433             | 242,764         | 8,269 | 7,852 | 44,301 | 893             |
| 2021 | 6,538            | 6,342             | 242,677         | 8,293 | 7,876 | 44,329 | 900             |
| 2022 | 6,470            | 6,276             | 242,990         | 8,326 | 7,907 | 44,423 | 907             |
| 2023 | 6,422            | 6,229             | 243,640         | 8,367 | 7,946 | 44,571 | 915             |
| 2024 | 6,388            | 6,197             | 244,563         | 8,414 | 7,990 | 44,760 | 923             |
| 2025 | 6,368            | 6,177             | 245,736         | 8,466 | 8,040 | 44,987 | 931             |
| 2026 | 6,359            | 6,168             | 247,141         | 8,523 | 8,094 | 45,248 | 939             |
| 2027 | 6,363            | 6,173             | 248,720         | 8,584 | 8,152 | 45,539 | 946             |
| 2028 | 6,381            | 6,190             | 250,474         | 8,649 | 8,214 | 45,861 | 954             |
| 2029 | 6,410            | 6,218             | 252,384         | 8,719 | 8,280 | 46,209 | 962             |
| 2030 | 6,451            | 6,258             | 254,450         | 8,792 | 8,349 | 46,583 | 970             |
| 2031 | 6,499            | 6,304             | 256,608         | 8,868 | 8,421 | 46,975 | 978             |
| 2032 | 6,552            | 6,356             | 258,851         | 8,946 | 8,495 | 47,385 | 986             |
| 2033 | 6,611            | 6,413             | 261,181         | 9,026 | 8,572 | 47,811 | 995             |
| 2034 | 6,671            | 6,471             | 263,532         | 9,107 | 8,649 | 48,241 | 1,006           |
| 2035 | 6,731            | 6,529             | 265,903         | 9,189 | 8,727 | 48,675 | 1,015           |
| 2036 | 6,791            | 6,588             | 268,297         | 9,272 | 8,805 | 49,114 | 1,023           |
| 2037 | 6,852            | 6,647             | 270,711         | 9,356 | 8,885 | 49,556 | 1,032           |
| 2038 | 6,914            | 6,707             | 273,148         | 9,440 | 8,965 | 50,002 | 1,040           |
| 2039 | 6,976            | 6,767             | 275,606         | 9,525 | 9,045 | 50,452 | 1,050           |
| 2040 | 7,039            | 6,828             | 278,086         | 9,610 | 9,127 | 50,906 | 1,059           |

### 3.1.2.2 Category 1 Auxiliary

The methodology and data sources for Category 1 marine auxiliary engines are essentially the same as those for Category 1 propulsion engines. For this source category, however, the PSR data for marine auxiliary engines and the certification data with the D2 auxiliary cycle<sup>c</sup> were used instead. The inventory inputs of base year population, average power, load factor, and activity for C1 auxiliary engines are given in Table 0-6 and Table 0-7. The baseline emission factors are given in Table 0-8 and Table 0-9.

For auxiliary engines, the load factor and activity estimates are 0.56 and 724 hours per year, respectively, for engines <560kW. These are the estimates for auxiliary marine engines provided by PSR. For engines >560kW, the load factor and activity estimates used are 0.65 and 2,500 hours per year, taken from the 1999 FRM.<sup>6</sup> The cut point of 560kW is that used for propulsion engines.

The median life for all C1 auxiliary engines is 17 years, which is the estimate provided by PSR. Estimates for the annual growth rate, PM deterioration factor, certification fuel sulfur levels, and BSFC are assumed to be the same as those for C1 propulsion engines.

The resulting baseline 50-state emission inventories for Category 1 auxiliary engines are given in Table 0-10.

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<sup>c</sup> The D2 steady-state duty cycle is designated for constant-speed engines.

## Draft Regulatory Impact Analysis

**Table 0-6 Inventory Inputs for C1 Auxiliary Standard Power Density Engines**

| DISPLACEMENT CATEGORY | <35 KW/L        |        |             |                 |                 |        |             |                 | TOTAL POPULATION |
|-----------------------|-----------------|--------|-------------|-----------------|-----------------|--------|-------------|-----------------|------------------|
|                       | <=600KW         |        |             |                 | 600<KW≤1000     |        |             |                 |                  |
|                       | 2002 POPULATION | AVG KW | LOAD FACTOR | ACTIVITY, HOURS | 2002 POPULATION | AVG KW | LOAD FACTOR | ACTIVITY, HOURS |                  |
| DISP<0.9 AND 37<KW≤75 | 9,786           | 44     | 0.56        | 724             | 0               |        |             |                 | 9,786            |
| DISP<0.9 AND >75KW    | 1,251           | 83     | 0.56        | 724             | 0               |        |             |                 | 1,251            |
| 0.9≤DISP<1.2          | 11,933          | 109    | 0.56        | 724             | 0               |        |             |                 | 11,933           |
| 1.2≤DISP<2.5          | 14,119          | 324    | 0.57        | 925             | 512             | 741    | 0.65        | 2,500           | 14,631           |
| 2.5≤DISP<3.5          | 785             | 332    | 0.56        | 724             | 74              | 882    | 0.65        | 2,500           | 859              |
| 3.5≤DISP<5.0          | 347             | 356    | 0.56        | 724             | 408             | 746    | 0.65        | 2,500           | 755              |
| TOTAL                 | 38,221          |        |             |                 | 994             |        |             |                 | 39,215           |

| DISPLACEMENT CATEGORY | <35 KW/L        |        |             |                 |                 |        |             |                 | TOTAL POPULATION |
|-----------------------|-----------------|--------|-------------|-----------------|-----------------|--------|-------------|-----------------|------------------|
|                       | 1000<KW≤1400    |        |             |                 | >1400KW*        |        |             |                 |                  |
|                       | 2002 POPULATION | AVG KW | LOAD FACTOR | ACTIVITY, HOURS | 2002 POPULATION | AVG KW | LOAD FACTOR | ACTIVITY, HOURS |                  |
| DISP<0.9 AND 37<KW≤75 | 0               |        |             |                 | 0               |        |             |                 | 0                |
| DISP<0.9 AND >75KW    | 0               |        |             |                 | 0               |        |             |                 | 0                |
| 0.9≤DISP<1.2          | 0               |        |             |                 | 0               |        |             |                 | 0                |
| 1.2≤DISP<2.5          | 0               |        |             |                 | 0               |        |             |                 | 0                |
| 2.5≤DISP<3.5          | 14              | 1,194  | 0.65        | 2,500           | 0               |        |             |                 | 14               |
| 3.5≤DISP<5.0          | 268             | 1,119  | 0.65        | 2,500           | 96              | 1,527  | 0.65        | 2,500           | 364              |
| TOTAL                 | 282             |        |             |                 | 96              |        |             |                 | 378              |

Grand Total

38,503

1,090

39,593

\* No populations ≥3700KW

Table 0-7 Inventory Inputs for C1 Auxiliary High Power Density Engines

| DISPLACEMENT CATEGORY | ≥35 KW/L        |        |             |                 |                 |        |             |                 | TOTAL POPULATION |
|-----------------------|-----------------|--------|-------------|-----------------|-----------------|--------|-------------|-----------------|------------------|
|                       | ≤600KW          |        |             |                 | 600<KW≤1000     |        |             |                 |                  |
|                       | 2002 POPULATION | AVG KW | LOAD FACTOR | ACTIVITY, HOURS | 2002 POPULATION | AVG KW | LOAD FACTOR | ACTIVITY, HOURS |                  |
| DISP<0.9 AND 37<KW≤75 | 215             | 75     | 0.56        | 724             | 0               |        |             |                 | 215              |
| DISP<0.9 AND >75KW    | 218             | 141    | 0.56        | 724             | 0               |        |             |                 | 218              |
| 0.9≤DISP<1.2          | 0               |        |             |                 | 0               |        |             |                 | 0                |
| 1.2≤DISP<2.5          | 0               |        |             |                 | 0               |        |             |                 | 0                |
| 2.5≤DISP<3.5          | 0               |        |             |                 | 0               |        |             |                 | 0                |
| 3.5≤DISP<5.0          | 0               |        |             |                 | 0               |        |             |                 | 0                |
| TOTAL                 | 433             |        |             |                 | 0               |        |             |                 | 433              |

| DISPLACEMENT CATEGORY | ≥35 KW/L        |        |             |                 |                 |        |             |                 | TOTAL POPULATION |
|-----------------------|-----------------|--------|-------------|-----------------|-----------------|--------|-------------|-----------------|------------------|
|                       | 1000<KW≤1400    |        |             |                 | >1400KW*        |        |             |                 |                  |
|                       | 2002 POPULATION | AVG KW | LOAD FACTOR | ACTIVITY, HOURS | 2002 POPULATION | AVG KW | LOAD FACTOR | ACTIVITY, HOURS |                  |
| DISP<0.9 AND 37<KW≤75 | 0               |        |             |                 | 0               |        |             |                 | 0                |
| DISP<0.9 AND >75KW    | 0               |        |             |                 | 0               |        |             |                 | 0                |
| 0.9≤DISP<1.2          | 11              | 1,231  | 0.65        | 2,500           | 0               |        |             |                 | 11               |
| 1.2≤DISP<2.5          | 0               |        |             |                 | 39              | 1,531  | 0.65        | 2,500           | 39               |
| 2.5≤DISP<3.5          | 0               |        |             |                 | 0               |        |             |                 | 0                |
| 3.5≤DISP<5.0          | 0               |        |             |                 | 0               |        |             |                 | 0                |
| TOTAL                 | 11              |        |             |                 | 39              |        |             |                 | 50               |

Grand Total

444

39

483

\* No populations ≥3700KW



## Draft Regulatory Impact Analysis

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**Table 0-8 Baseline PM<sub>10</sub> and NO<sub>x</sub> Emission Factors for C1 Auxiliary Engines\***

| DISPLACEMENT<br>CATEGORY | PM <sub>10</sub> G/KW-HR |        |        | NO <sub>x</sub> G/KW-HR |        |        |
|--------------------------|--------------------------|--------|--------|-------------------------|--------|--------|
|                          | BASE                     | TIER 1 | TIER 2 | BASE                    | TIER 1 | TIER 2 |
| DISP<0.9                 | 0.84                     | 0.84   | 0.23   | 11                      | 9.8    | 5.7    |
| 0.9<=DISP<1.2            | 0.53                     | 0.53   | 0.21   | 10                      | 9.8    | 5.4    |
| 1.2<=DISP<2.5            | 0.34                     | 0.34   | 0.15   | 10                      | 9.8    | 6.1    |
| 2.5<=DISP<3.5            | 0.32                     | 0.32   | 0.15   | 10                      | 9.1    | 6.1    |
| 3.5<=DISP<5.0            | 0.30                     | 0.30   | 0.15   | 11                      | 9.2    | 6.1    |

\* Deterioration is applied to the PM emission factors (EFs); see text for details. The NO<sub>x</sub> EFs are not subject to deterioration.

**Table 0-9 Baseline HC and CO Emission Factors for C1 Auxiliary Engines\***

| DISPLACEMENT<br>CATEGORY | HC G/KW-HR |        |        | CO G/KW-HR |        |        |
|--------------------------|------------|--------|--------|------------|--------|--------|
|                          | BASE       | TIER 1 | TIER 2 | BASE       | TIER 1 | TIER 2 |
| DISP<0.9                 | 0.41       | 0.41   | 0.41   | 2.0        | 2.0    | 1.6    |
| 0.9<=DISP<1.2            | 0.32       | 0.32   | 0.32   | 1.7        | 1.7    | 0.8    |
| 1.2<=DISP<2.5            | 0.27       | 0.27   | 0.21   | 1.5        | 1.5    | 0.9    |
| 2.5<=DISP<3.5            | 0.27       | 0.27   | 0.21   | 1.5        | 1.5    | 0.9    |
| 3.5<=DISP<5.0            | 0.27       | 0.27   | 0.21   | 1.8        | 1.8    | 0.9    |

\* The HC and CO emission factors (EFs) are not subject to deterioration.

Table 0-10 Baseline (50-State) Emissions for C1 Auxiliary Engines (short tons)

| YEAR | PM <sub>10</sub> | PM <sub>2.5</sub> | NO <sub>x</sub> | VOC   | HC    | CO    | SO <sub>2</sub> |
|------|------------------|-------------------|-----------------|-------|-------|-------|-----------------|
| 2002 | 2,714            | 2,632             | 60,641          | 1,767 | 1,678 | 9,624 | 6,553           |
| 2003 | 2,773            | 2,690             | 60,959          | 1,783 | 1,693 | 9,710 | 6,613           |
| 2004 | 2,791            | 2,708             | 60,482          | 1,785 | 1,696 | 9,668 | 6,673           |
| 2005 | 2,786            | 2,703             | 59,774          | 1,788 | 1,698 | 9,585 | 6,733           |
| 2006 | 2,769            | 2,686             | 59,073          | 1,791 | 1,700 | 9,503 | 6,667           |
| 2007 | 2,482            | 2,407             | 58,048          | 1,787 | 1,697 | 9,331 | 3,461           |
| 2008 | 2,263            | 2,195             | 57,030          | 1,783 | 1,693 | 9,160 | 1,140           |
| 2009 | 2,230            | 2,163             | 56,020          | 1,779 | 1,690 | 8,989 | 1,150           |
| 2010 | 2,170            | 2,105             | 55,022          | 1,776 | 1,686 | 8,820 | 852             |
| 2011 | 2,115            | 2,052             | 54,038          | 1,773 | 1,684 | 8,654 | 636             |
| 2012 | 2,052            | 1,990             | 53,069          | 1,770 | 1,681 | 8,489 | 337             |
| 2013 | 1,993            | 1,933             | 52,118          | 1,767 | 1,678 | 8,327 | 120             |
| 2014 | 1,952            | 1,893             | 51,185          | 1,765 | 1,676 | 8,167 | 145             |
| 2015 | 1,907            | 1,850             | 50,277          | 1,763 | 1,674 | 8,010 | 155             |
| 2016 | 1,860            | 1,805             | 49,399          | 1,761 | 1,673 | 7,857 | 157             |
| 2017 | 1,806            | 1,752             | 48,589          | 1,760 | 1,672 | 7,708 | 158             |
| 2018 | 1,746            | 1,693             | 47,849          | 1,759 | 1,671 | 7,563 | 159             |
| 2019 | 1,685            | 1,634             | 47,160          | 1,759 | 1,671 | 7,426 | 160             |
| 2020 | 1,625            | 1,576             | 46,531          | 1,760 | 1,672 | 7,298 | 162             |
| 2021 | 1,576            | 1,528             | 46,079          | 1,764 | 1,675 | 7,198 | 163             |
| 2022 | 1,543            | 1,497             | 45,840          | 1,771 | 1,681 | 7,134 | 164             |
| 2023 | 1,520            | 1,474             | 45,706          | 1,778 | 1,689 | 7,088 | 166             |
| 2024 | 1,504            | 1,459             | 45,683          | 1,788 | 1,698 | 7,066 | 167             |
| 2025 | 1,495            | 1,451             | 45,756          | 1,799 | 1,709 | 7,067 | 169             |
| 2026 | 1,489            | 1,445             | 45,875          | 1,811 | 1,720 | 7,077 | 170             |
| 2027 | 1,486            | 1,441             | 46,035          | 1,824 | 1,732 | 7,094 | 171             |
| 2028 | 1,484            | 1,440             | 46,228          | 1,837 | 1,745 | 7,117 | 173             |
| 2029 | 1,484            | 1,440             | 46,452          | 1,851 | 1,758 | 7,145 | 174             |
| 2030 | 1,486            | 1,441             | 46,703          | 1,865 | 1,771 | 7,178 | 176             |
| 2031 | 1,489            | 1,444             | 46,980          | 1,880 | 1,785 | 7,215 | 177             |
| 2032 | 1,493            | 1,448             | 47,283          | 1,895 | 1,800 | 7,257 | 179             |
| 2033 | 1,499            | 1,454             | 47,611          | 1,911 | 1,815 | 7,303 | 180             |
| 2034 | 1,506            | 1,461             | 47,962          | 1,927 | 1,830 | 7,353 | 182             |
| 2035 | 1,514            | 1,469             | 48,332          | 1,943 | 1,845 | 7,407 | 184             |
| 2036 | 1,524            | 1,478             | 48,721          | 1,960 | 1,861 | 7,464 | 185             |
| 2037 | 1,535            | 1,489             | 49,126          | 1,977 | 1,878 | 7,524 | 187             |
| 2038 | 1,547            | 1,501             | 49,553          | 1,995 | 1,894 | 7,588 | 188             |
| 2039 | 1,561            | 1,514             | 49,991          | 2,013 | 1,911 | 7,654 | 190             |
| 2040 | 1,574            | 1,527             | 50,436          | 2,031 | 1,928 | 7,721 | 192             |

**3.1.2.3 Category 2 Propulsion**

The methodology used for C2 propulsion engines is the same as that used for C1 propulsion engines, as described in section 3.1.1. However, the engine population, average rated power, load factor and engine activity terms shown in Equation 1 of that section were consolidated into a single term for total kW-hr/year for all C2 vessels.<sup>10</sup> The total kW-hr value for C2 propulsion engines in 2002 was estimated at 30,246,809,539 kW-hr. The total kW-hr value was then allocated to the necessary displacement and horsepower categories, using the PSR engine data.

The median life for all C2 propulsion engines is 23 years.<sup>11</sup> The emission factors used for all C2 propulsion engines are largely those we used for the original commercial marine rulemaking analysis.<sup>6</sup> The one exception to this is for Tier 1 NO<sub>x</sub>, which was updated based on an analysis of 2006 certification data. The C2 emission factors are shown in Table 0-11. Estimates for the annual growth rate, PM deterioration factor, and certification fuel sulfur levels are assumed to be the same as those for C1 propulsion engines.

**Table 0-11 Baseline Emission Factors for C2 Engines (g/kW-hr)\***

| Tier   | PM <sub>10</sub> | NO <sub>x</sub> | HC    | CO   |
|--------|------------------|-----------------|-------|------|
| BASE   | 0.32             | 13.36           | 0.134 | 2.48 |
| TIER 1 | 0.32             | 10.55           | 0.134 | 2.48 |
| TIER 2 | 0.32             | 8.33            | 0.134 | 2.00 |

\* Deterioration is applied to the PM emission factors (EFs); see text for details. The NO<sub>x</sub>, HC and CO EFs are not subject to deterioration.

The resulting baseline 50-state emission inventories for Category 2 propulsion engines are given in Table 0-12.

Table 0-12 Baseline (50-State) Emissions for C2 Propulsion Engines (short tons)

| YEAR | PM <sub>10</sub> | PM <sub>2.5</sub> | NO <sub>x</sub> | VOC   | HC    | CO     | SO <sub>2</sub> |
|------|------------------|-------------------|-----------------|-------|-------|--------|-----------------|
| 2002 | 12,850           | 12,464            | 432,306         | 4,701 | 4,464 | 82,621 | 36,868          |
| 2003 | 13,112           | 12,719            | 431,973         | 4,743 | 4,504 | 83,364 | 37,193          |
| 2004 | 13,376           | 12,975            | 431,683         | 4,786 | 4,545 | 84,115 | 37,528          |
| 2005 | 13,641           | 13,232            | 431,417         | 4,829 | 4,586 | 84,872 | 37,866          |
| 2006 | 13,907           | 13,490            | 431,195         | 4,872 | 4,627 | 85,635 | 38,207          |
| 2007 | 14,174           | 13,748            | 427,380         | 4,916 | 4,669 | 85,621 | 38,550          |
| 2008 | 14,436           | 14,003            | 423,601         | 4,960 | 4,711 | 85,611 | 38,837          |
| 2009 | 14,706           | 14,264            | 419,857         | 5,005 | 4,753 | 85,605 | 39,204          |
| 2010 | 14,975           | 14,525            | 416,169         | 5,050 | 4,796 | 85,609 | 39,559          |
| 2011 | 15,245           | 14,787            | 412,537         | 5,096 | 4,839 | 85,621 | 39,920          |
| 2012 | 15,515           | 15,050            | 408,943         | 5,141 | 4,883 | 85,639 | 40,278          |
| 2013 | 15,727           | 15,255            | 405,428         | 5,188 | 4,927 | 85,665 | 39,905          |
| 2014 | 14,475           | 14,041            | 401,970         | 5,234 | 4,971 | 85,701 | 21,334          |
| 2015 | 13,635           | 13,226            | 398,593         | 5,281 | 5,016 | 85,746 | 7,888           |
| 2016 | 13,883           | 13,466            | 395,295         | 5,329 | 5,061 | 85,800 | 7,958           |
| 2017 | 13,986           | 13,566            | 392,101         | 5,377 | 5,106 | 85,864 | 6,238           |
| 2018 | 14,127           | 13,703            | 388,988         | 5,425 | 5,152 | 85,937 | 4,998           |
| 2019 | 14,228           | 13,801            | 386,000         | 5,474 | 5,199 | 86,020 | 3,277           |
| 2020 | 14,365           | 13,934            | 383,155         | 5,523 | 5,245 | 86,116 | 2,031           |
| 2021 | 14,613           | 14,175            | 380,458         | 5,573 | 5,293 | 86,222 | 2,185           |
| 2022 | 14,850           | 14,405            | 377,990         | 5,623 | 5,340 | 86,341 | 2,258           |
| 2023 | 15,059           | 14,607            | 376,313         | 5,674 | 5,388 | 86,475 | 2,279           |
| 2024 | 15,243           | 14,786            | 375,430         | 5,725 | 5,437 | 86,626 | 2,299           |
| 2025 | 15,423           | 14,960            | 374,784         | 5,777 | 5,486 | 86,790 | 2,319           |
| 2026 | 15,599           | 15,131            | 374,343         | 5,829 | 5,535 | 86,974 | 2,339           |
| 2027 | 15,772           | 15,299            | 374,086         | 5,881 | 5,585 | 87,178 | 2,359           |
| 2028 | 15,943           | 15,465            | 374,039         | 5,934 | 5,635 | 87,406 | 2,379           |
| 2029 | 16,114           | 15,630            | 374,219         | 5,987 | 5,686 | 87,672 | 2,399           |
| 2030 | 16,283           | 15,794            | 375,126         | 6,041 | 5,737 | 88,078 | 2,421           |
| 2031 | 16,451           | 15,957            | 376,727         | 6,096 | 5,789 | 88,623 | 2,442           |
| 2032 | 16,618           | 16,120            | 378,567         | 6,150 | 5,841 | 89,207 | 2,463           |
| 2033 | 16,786           | 16,282            | 380,573         | 6,206 | 5,893 | 89,820 | 2,485           |
| 2034 | 16,952           | 16,444            | 382,749         | 6,262 | 5,946 | 90,457 | 2,507           |
| 2035 | 17,119           | 16,605            | 385,076         | 6,318 | 6,000 | 91,119 | 2,529           |
| 2036 | 17,286           | 16,767            | 387,519         | 6,375 | 6,054 | 91,799 | 2,551           |
| 2037 | 17,453           | 16,929            | 390,097         | 6,432 | 6,108 | 92,500 | 2,573           |
| 2038 | 17,620           | 17,091            | 392,794         | 6,490 | 6,163 | 93,219 | 2,595           |
| 2039 | 17,787           | 17,253            | 395,609         | 6,549 | 6,219 | 93,956 | 2,618           |
| 2040 | 17,954           | 17,416            | 398,527         | 6,607 | 6,275 | 94,707 | 2,641           |

**3.1.2.4 Under 37 kW Propulsion and Auxiliary**

Category 1 commercial marine engines are defined as being greater than or equal to ( $\geq$ ) 37kW and less than ( $<$ ) 5.0 liters/cylinder; however, there are commercial marine engines  $<37$ kW. The majority of these small power engines are used as auxiliary engines, although there are some propulsion engines that fall into this category. Commercial marine engines  $<37$ kW are covered under this proposal; therefore, inventories have been estimated.

Emissions were estimated using a special version of the NONROAD2005 model, with Source Classification Codes (SCCs) and associated inputs added for both the commercial and auxiliary engines. An SCC of 2280002030 was assigned to the  $<37$ kW propulsion engines, with an SCC of 2280002040 assigned to the  $<37$ kW auxiliary engines.

The inventory inputs of base year population, average power, load factor, activity, and median life are given in Table 0-13 below. These inputs were generated using the same methodology and data sources as the C1 propulsion and C1 auxiliary categories. Horsepower (hp) is used as the unit for power in the NONROAD model, so the inputs for power and emission factors are hp and g/hp-hr, respectively. The 2002 base year populations are assigned to one or more of the following hp categories in NONROAD: 0-11, 11-16, 16-25, 25-40, and 40-50. The propulsion engines all fall within the 25-40hp category, whereas there are auxiliary engines in each hp category. The average power values in the table below are population-weighted estimates.

**Table 0-13 Inventory Inputs for  $<37$ kW Commercial Marine Diesel Engines**

| INPUTS             | PROPULSION | AUXILIARY |
|--------------------|------------|-----------|
| 2002 POPULATION    | 1,232      | 67,708    |
| AVG HP             | 34.8       | 24.9      |
| LOAD FACTOR        | 0.45       | 0.56      |
| ACTIVITY, HOURS    | 943        | 724       |
| MEDIAN LIFE, YEARS | 13         | 17        |

The baseline emission factors are given in Table 0-14 and Table 0-15. These engines are subject to EPA nonroad diesel regulations that have established two tiers of emission standards.<sup>12</sup> Tier 1 phased in from 1999-2000, depending on the horsepower category, with Tier 2 phased in from 2004-2005. The “Base” entries in the tables refer to emissions from pre-controlled engines. These emission factors are used for both propulsion and auxiliary engines.

**Table 0-14 Baseline PM<sub>10</sub> and NO<sub>x</sub> Emission Factors and Deterioration Factors for <37kW Commercial Marine Diesel Engines**

| HP RANGE | PM <sub>10</sub> G/HP-HR |        |        | NO <sub>x</sub> G/HP-HR |        |        |
|----------|--------------------------|--------|--------|-------------------------|--------|--------|
|          | BASE                     | TIER 1 | TIER 2 | BASE                    | TIER 1 | TIER 2 |
| 0-11     | 1.00                     | 0.45   | 0.38   | 10.00                   | 5.23   | 4.39   |
| 11-16    | 0.90                     | 0.27   | 0.19   | 8.50                    | 4.44   | 3.63   |
| 16-25    | 0.90                     | 0.27   | 0.19   | 8.50                    | 4.44   | 3.63   |
| 25-50    | 0.80                     | 0.34   | 0.23   | 6.90                    | 4.73   | 3.71   |
| DF ("A") | 0.473                    | 0.473  | 0.473  | 0.024                   | 0.024  | 0.009  |

**Table 0-15 Baseline HC and CO Emission Factors and Deterioration Factors for <37kW Commercial Marine Diesel Engines**

| HP RANGE | HC G/HP-HR |        |        | CO G/HP-HR |        |        |
|----------|------------|--------|--------|------------|--------|--------|
|          | BASE       | TIER 1 | TIER 2 | BASE       | TIER 1 | TIER 2 |
| 0-11     | 1.50       | 0.76   | 0.68   | 5.00       | 4.11   | 4.11   |
| 11-16    | 1.70       | 0.44   | 0.21   | 5.00       | 2.16   | 2.16   |
| 16-25    | 1.70       | 0.44   | 0.21   | 5.00       | 2.16   | 2.16   |
| 25-50    | 1.80       | 0.28   | 0.54   | 5.00       | 1.53   | 1.53   |
| DF ("A") | 0.047      | 0.036  | 0.034  | 0.185      | 0.101  | 0.101  |

The emission factors for the base and Tier 1 technology types are consistent with those used in the NONROAD model.<sup>2</sup> Tier 2 emission factors were estimated using nonroad engine certification data. The deterioration factors by pollutant and technology type are also given in the tables above. The deterioration factors are those used for diesel engines in the NONROAD model.<sup>2</sup>

The certification fuel sulfur levels are 3300ppm for the base and Tier 1 technology type and 350ppm for Tier 2. Brake specific fuel consumption (BSFC) values were taken from the NONROAD model and are 0.408 lb/hp-hr for all hp categories.<sup>2</sup> The annual population growth rate is 1.009, which is the growth rate used for all commercial diesel engines.

The resulting baseline 50-state emission inventories for <37kW commercial marine engines (propulsion and auxiliary combined) are given in Table 0-16.

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**Table 0-16 Baseline (50-State) Emissions for <37kW Commercial Marine Engines (short tons)**

| YEAR | PM <sub>10</sub> | PM <sub>2.5</sub> | NO <sub>x</sub> | VOC   | HC    | CO    | SO <sub>2</sub> |
|------|------------------|-------------------|-----------------|-------|-------|-------|-----------------|
| 2002 | 728              | 706               | 5,517           | 1,273 | 1,209 | 3,783 | 731             |
| 2003 | 710              | 689               | 5,448           | 1,222 | 1,161 | 3,680 | 738             |
| 2004 | 692              | 671               | 5,350           | 1,179 | 1,120 | 3,576 | 745             |
| 2005 | 671              | 651               | 5,229           | 1,128 | 1,071 | 3,460 | 752             |
| 2006 | 648              | 629               | 5,101           | 1,075 | 1,021 | 3,339 | 745             |
| 2007 | 596              | 578               | 4,973           | 1,022 | 970   | 3,216 | 387             |
| 2008 | 551              | 534               | 4,846           | 969   | 920   | 3,093 | 128             |
| 2009 | 526              | 511               | 4,719           | 916   | 870   | 2,970 | 129             |
| 2010 | 499              | 484               | 4,594           | 864   | 821   | 2,846 | 95              |
| 2011 | 472              | 458               | 4,472           | 813   | 772   | 2,724 | 71              |
| 2012 | 444              | 431               | 4,351           | 763   | 725   | 2,603 | 38              |
| 2013 | 417              | 404               | 4,234           | 715   | 679   | 2,484 | 14              |
| 2014 | 392              | 381               | 4,120           | 668   | 634   | 2,369 | 16              |
| 2015 | 368              | 357               | 4,011           | 624   | 592   | 2,259 | 18              |
| 2016 | 348              | 337               | 3,917           | 588   | 559   | 2,170 | 18              |
| 2017 | 332              | 322               | 3,846           | 564   | 535   | 2,109 | 18              |
| 2018 | 320              | 311               | 3,790           | 546   | 518   | 2,063 | 18              |
| 2019 | 310              | 301               | 3,744           | 531   | 504   | 2,027 | 18              |
| 2020 | 301              | 292               | 3,704           | 519   | 493   | 1,997 | 18              |
| 2021 | 294              | 285               | 3,675           | 507   | 482   | 1,972 | 18              |
| 2022 | 288              | 279               | 3,659           | 497   | 472   | 1,952 | 18              |
| 2023 | 284              | 275               | 3,654           | 491   | 466   | 1,940 | 19              |
| 2024 | 280              | 272               | 3,654           | 485   | 461   | 1,932 | 19              |
| 2025 | 278              | 269               | 3,658           | 481   | 457   | 1,926 | 19              |
| 2026 | 276              | 268               | 3,670           | 479   | 455   | 1,926 | 19              |
| 2027 | 275              | 267               | 3,685           | 478   | 454   | 1,929 | 19              |
| 2028 | 275              | 267               | 3,703           | 478   | 454   | 1,934 | 19              |
| 2029 | 275              | 267               | 3,723           | 478   | 454   | 1,942 | 20              |
| 2030 | 275              | 267               | 3,746           | 479   | 455   | 1,952 | 20              |
| 2031 | 276              | 268               | 3,771           | 481   | 457   | 1,963 | 20              |
| 2032 | 278              | 269               | 3,798           | 484   | 460   | 1,977 | 20              |
| 2033 | 279              | 271               | 3,828           | 488   | 463   | 1,992 | 20              |
| 2034 | 282              | 273               | 3,859           | 492   | 467   | 2,009 | 21              |
| 2035 | 284              | 275               | 3,891           | 496   | 471   | 2,026 | 21              |
| 2036 | 286              | 278               | 3,924           | 500   | 475   | 2,044 | 21              |
| 2037 | 289              | 280               | 3,958           | 504   | 479   | 2,061 | 21              |
| 2038 | 291              | 282               | 3,992           | 509   | 483   | 2,079 | 21              |
| 2039 | 294              | 285               | 4,026           | 513   | 487   | 2,097 | 21              |
| 2040 | 296              | 287               | 4,061           | 517   | 491   | 2,115 | 22              |

**3.1.2.5 Commercial Marine Diesel Baseline Inventory Summary**

***3.1.2.5.1 PM<sub>10</sub>, PM<sub>2.5</sub>, NO<sub>x</sub>, VOC, CO, and SO<sub>2</sub> Emissions***

Table 0-17 thru Table 0-22 present the resulting 50-state consolidated commercial marine baseline inventories by pollutant and category, for calendar years 2002-2040.

***3.1.2.5.2 Air Toxics Emissions***

The baseline air toxics inventories for the consolidated commercial marine diesel engines were taken from the Mobile Source Air Toxics Rule (MSAT)<sup>5</sup> and are provided in Table 0-23. Inventories are provided for calendar years 1999, 2010, 2015, 2020, and 2030.



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**Table 0-17 Baseline (50-State) PM<sub>10</sub> Emissions for Commercial Marine Diesel Engines  
(short tons)**

| YEAR | C1<br>PROPULSION | C1<br>AUXILIARY | C1<br>TOTAL | C2<br>PROPULSION | <37KW | TOTAL  |
|------|------------------|-----------------|-------------|------------------|-------|--------|
| 2002 | 13,328           | 2,714           | 16,041      | 12,850           | 728   | 29,619 |
| 2003 | 13,690           | 2,773           | 16,463      | 13,112           | 710   | 30,285 |
| 2004 | 13,807           | 2,791           | 16,598      | 13,376           | 692   | 30,666 |
| 2005 | 13,873           | 2,786           | 16,659      | 13,641           | 671   | 30,972 |
| 2006 | 13,872           | 2,769           | 16,641      | 13,907           | 648   | 31,196 |
| 2007 | 12,230           | 2,482           | 14,712      | 14,174           | 596   | 29,481 |
| 2008 | 10,961           | 2,263           | 13,224      | 14,436           | 551   | 28,211 |
| 2009 | 10,710           | 2,230           | 12,940      | 14,706           | 526   | 28,172 |
| 2010 | 10,304           | 2,170           | 12,474      | 14,975           | 499   | 27,948 |
| 2011 | 9,916            | 2,115           | 12,031      | 15,245           | 472   | 27,748 |
| 2012 | 9,471            | 2,052           | 11,522      | 15,515           | 444   | 27,482 |
| 2013 | 9,003            | 1,993           | 10,996      | 15,727           | 417   | 27,140 |
| 2014 | 8,587            | 1,952           | 10,539      | 14,475           | 392   | 25,406 |
| 2015 | 8,155            | 1,907           | 10,062      | 13,635           | 368   | 24,066 |
| 2016 | 7,718            | 1,860           | 9,579       | 13,883           | 348   | 23,809 |
| 2017 | 7,346            | 1,806           | 9,152       | 13,986           | 332   | 23,470 |
| 2018 | 7,058            | 1,746           | 8,804       | 14,127           | 320   | 23,250 |
| 2019 | 6,805            | 1,685           | 8,490       | 14,228           | 310   | 23,028 |
| 2020 | 6,632            | 1,625           | 8,257       | 14,365           | 301   | 22,923 |
| 2021 | 6,538            | 1,576           | 8,114       | 14,613           | 294   | 23,021 |
| 2022 | 6,470            | 1,543           | 8,013       | 14,850           | 288   | 23,151 |
| 2023 | 6,422            | 1,520           | 7,942       | 15,059           | 284   | 23,284 |
| 2024 | 6,388            | 1,504           | 7,893       | 15,243           | 280   | 23,416 |
| 2025 | 6,368            | 1,495           | 7,864       | 15,423           | 278   | 23,564 |
| 2026 | 6,359            | 1,489           | 7,849       | 15,599           | 276   | 23,724 |
| 2027 | 6,363            | 1,486           | 7,849       | 15,772           | 275   | 23,897 |
| 2028 | 6,381            | 1,484           | 7,865       | 15,943           | 275   | 24,083 |
| 2029 | 6,410            | 1,484           | 7,895       | 16,114           | 275   | 24,283 |
| 2030 | 6,451            | 1,486           | 7,937       | 16,283           | 275   | 24,495 |
| 2031 | 6,499            | 1,489           | 7,988       | 16,451           | 276   | 24,715 |
| 2032 | 6,552            | 1,493           | 8,045       | 16,618           | 278   | 24,941 |
| 2033 | 6,611            | 1,499           | 8,110       | 16,786           | 279   | 25,175 |
| 2034 | 6,671            | 1,506           | 8,177       | 16,952           | 282   | 25,411 |
| 2035 | 6,731            | 1,514           | 8,245       | 17,119           | 284   | 25,648 |
| 2036 | 6,791            | 1,524           | 8,315       | 17,286           | 286   | 25,887 |
| 2037 | 6,852            | 1,535           | 8,387       | 17,453           | 289   | 26,129 |
| 2038 | 6,914            | 1,547           | 8,461       | 17,620           | 291   | 26,372 |
| 2039 | 6,976            | 1,561           | 8,537       | 17,787           | 294   | 26,617 |
| 2040 | 7,039            | 1,574           | 8,613       | 17,954           | 296   | 26,864 |

**Table 0-18 Baseline (50-State) PM<sub>2.5</sub> Emissions for Commercial Marine Diesel Engines  
(short tons)**

| YEAR | C1<br>PROPULSION | C1<br>AUXILIARY | C1<br>TOTAL | C2<br>PROPULSION | <37KW | TOTAL  |
|------|------------------|-----------------|-------------|------------------|-------|--------|
| 2002 | 12,928           | 2,632           | 15,560      | 12,464           | 706   | 28,730 |
| 2003 | 13,279           | 2,690           | 15,969      | 12,719           | 689   | 29,377 |
| 2004 | 13,393           | 2,708           | 16,100      | 12,975           | 671   | 29,746 |
| 2005 | 13,457           | 2,703           | 16,159      | 13,232           | 651   | 30,042 |
| 2006 | 13,456           | 2,686           | 16,142      | 13,490           | 629   | 30,260 |
| 2007 | 11,863           | 2,407           | 14,270      | 13,748           | 578   | 28,596 |
| 2008 | 10,632           | 2,195           | 12,827      | 14,003           | 534   | 27,364 |
| 2009 | 10,388           | 2,163           | 12,552      | 14,264           | 511   | 27,327 |
| 2010 | 9,995            | 2,105           | 12,100      | 14,525           | 484   | 27,109 |
| 2011 | 9,619            | 2,052           | 11,670      | 14,787           | 458   | 26,916 |
| 2012 | 9,187            | 1,990           | 11,177      | 15,050           | 431   | 26,657 |
| 2013 | 8,733            | 1,933           | 10,666      | 15,255           | 404   | 26,326 |
| 2014 | 8,330            | 1,893           | 10,223      | 14,041           | 381   | 24,644 |
| 2015 | 7,910            | 1,850           | 9,760       | 13,226           | 357   | 23,344 |
| 2016 | 7,487            | 1,805           | 9,291       | 13,466           | 337   | 23,095 |
| 2017 | 7,126            | 1,752           | 8,878       | 13,566           | 322   | 22,766 |
| 2018 | 6,846            | 1,693           | 8,539       | 13,703           | 311   | 22,553 |
| 2019 | 6,601            | 1,634           | 8,235       | 13,801           | 301   | 22,337 |
| 2020 | 6,433            | 1,576           | 8,009       | 13,934           | 292   | 22,236 |
| 2021 | 6,342            | 1,528           | 7,871       | 14,175           | 285   | 22,330 |
| 2022 | 6,276            | 1,497           | 7,773       | 14,405           | 279   | 22,457 |
| 2023 | 6,229            | 1,474           | 7,703       | 14,607           | 275   | 22,585 |
| 2024 | 6,197            | 1,459           | 7,656       | 14,786           | 272   | 22,714 |
| 2025 | 6,177            | 1,451           | 7,628       | 14,960           | 269   | 22,857 |
| 2026 | 6,168            | 1,445           | 7,613       | 15,131           | 268   | 23,012 |
| 2027 | 6,173            | 1,441           | 7,614       | 15,299           | 267   | 23,180 |
| 2028 | 6,190            | 1,440           | 7,629       | 15,465           | 267   | 23,361 |
| 2029 | 6,218            | 1,440           | 7,658       | 15,630           | 267   | 23,555 |
| 2030 | 6,258            | 1,441           | 7,699       | 15,794           | 267   | 23,760 |
| 2031 | 6,304            | 1,444           | 7,748       | 15,957           | 268   | 23,973 |
| 2032 | 6,356            | 1,448           | 7,804       | 16,120           | 269   | 24,193 |
| 2033 | 6,413            | 1,454           | 7,867       | 16,282           | 271   | 24,420 |
| 2034 | 6,471            | 1,461           | 7,932       | 16,444           | 273   | 24,648 |
| 2035 | 6,529            | 1,469           | 7,998       | 16,605           | 275   | 24,879 |
| 2036 | 6,588            | 1,478           | 8,066       | 16,767           | 278   | 25,111 |
| 2037 | 6,647            | 1,489           | 8,136       | 16,929           | 280   | 25,345 |
| 2038 | 6,707            | 1,501           | 8,207       | 17,091           | 282   | 25,581 |
| 2039 | 6,767            | 1,514           | 8,281       | 17,253           | 285   | 25,819 |
| 2040 | 6,828            | 1,527           | 8,355       | 17,416           | 287   | 26,058 |

## Draft Regulatory Impact Analysis

**Table 0-19 Baseline (50-State) NO<sub>x</sub> Emissions for Commercial Marine Diesel Engines  
(short tons)**

| YEAR | C1<br>PROPULSION | C1<br>AUXILIARY | C1<br>TOTAL | C2<br>PROPULSION | <37KW | TOTAL   |
|------|------------------|-----------------|-------------|------------------|-------|---------|
| 2002 | 335,561          | 60,641          | 396,202     | 432,306          | 5,517 | 834,025 |
| 2003 | 336,369          | 60,959          | 397,328     | 431,973          | 5,448 | 834,749 |
| 2004 | 332,798          | 60,482          | 393,280     | 431,683          | 5,350 | 830,313 |
| 2005 | 328,810          | 59,774          | 388,583     | 431,417          | 5,229 | 825,229 |
| 2006 | 324,900          | 59,073          | 383,973     | 431,195          | 5,101 | 820,269 |
| 2007 | 316,663          | 58,048          | 374,710     | 427,380          | 4,973 | 807,063 |
| 2008 | 308,524          | 57,030          | 365,554     | 423,601          | 4,846 | 794,001 |
| 2009 | 300,509          | 56,020          | 356,529     | 419,857          | 4,719 | 781,105 |
| 2010 | 292,651          | 55,022          | 347,673     | 416,169          | 4,594 | 768,436 |
| 2011 | 284,979          | 54,038          | 339,017     | 412,537          | 4,472 | 756,026 |
| 2012 | 277,551          | 53,069          | 330,621     | 408,943          | 4,351 | 743,915 |
| 2013 | 270,764          | 52,118          | 322,882     | 405,428          | 4,234 | 732,544 |
| 2014 | 264,634          | 51,185          | 315,819     | 401,970          | 4,120 | 721,910 |
| 2015 | 258,879          | 50,277          | 309,156     | 398,593          | 4,011 | 711,760 |
| 2016 | 253,538          | 49,399          | 302,937     | 395,295          | 3,917 | 702,150 |
| 2017 | 249,327          | 48,589          | 297,916     | 392,101          | 3,846 | 693,862 |
| 2018 | 246,339          | 47,849          | 294,188     | 388,988          | 3,790 | 686,966 |
| 2019 | 243,964          | 47,160          | 291,123     | 386,000          | 3,744 | 680,867 |
| 2020 | 242,764          | 46,531          | 289,295     | 383,155          | 3,704 | 676,154 |
| 2021 | 242,677          | 46,079          | 288,756     | 380,458          | 3,675 | 672,889 |
| 2022 | 242,990          | 45,840          | 288,831     | 377,990          | 3,659 | 670,480 |
| 2023 | 243,640          | 45,706          | 289,346     | 376,313          | 3,654 | 669,313 |
| 2024 | 244,563          | 45,683          | 290,245     | 375,430          | 3,654 | 669,329 |
| 2025 | 245,736          | 45,756          | 291,492     | 374,784          | 3,658 | 669,934 |
| 2026 | 247,141          | 45,875          | 293,016     | 374,343          | 3,670 | 671,029 |
| 2027 | 248,720          | 46,035          | 294,755     | 374,086          | 3,685 | 672,525 |
| 2028 | 250,474          | 46,228          | 296,703     | 374,039          | 3,703 | 674,445 |
| 2029 | 252,384          | 46,452          | 298,836     | 374,219          | 3,723 | 676,778 |
| 2030 | 254,450          | 46,703          | 301,153     | 375,126          | 3,746 | 680,025 |
| 2031 | 256,608          | 46,980          | 303,588     | 376,727          | 3,771 | 684,087 |
| 2032 | 258,851          | 47,283          | 306,134     | 378,567          | 3,798 | 688,500 |
| 2033 | 261,181          | 47,611          | 308,792     | 380,573          | 3,828 | 693,193 |
| 2034 | 263,532          | 47,962          | 311,494     | 382,749          | 3,859 | 698,103 |
| 2035 | 265,903          | 48,332          | 314,236     | 385,076          | 3,891 | 703,203 |
| 2036 | 268,297          | 48,721          | 317,017     | 387,519          | 3,924 | 708,460 |
| 2037 | 270,711          | 49,126          | 319,838     | 390,097          | 3,958 | 713,892 |
| 2038 | 273,148          | 49,553          | 322,701     | 392,794          | 3,992 | 719,486 |
| 2039 | 275,606          | 49,991          | 325,597     | 395,609          | 4,026 | 725,233 |
| 2040 | 278,086          | 50,436          | 328,522     | 398,527          | 4,061 | 731,111 |

**Table 0-20 Baseline (50-State) VOC Emissions for Commercial Marine Diesel Engines  
(short tons)**

| YEAR | C1<br>PROPULSION | C1<br>AUXILIARY | C1<br>TOTAL | C2<br>PROPULSION | <37KW | TOTAL  |
|------|------------------|-----------------|-------------|------------------|-------|--------|
| 2002 | 9,488            | 1,767           | 11,255      | 4,701            | 1,273 | 17,229 |
| 2003 | 9,573            | 1,783           | 11,356      | 4,743            | 1,222 | 17,321 |
| 2004 | 9,561            | 1,785           | 11,346      | 4,786            | 1,179 | 17,311 |
| 2005 | 9,550            | 1,788           | 11,338      | 4,829            | 1,128 | 17,295 |
| 2006 | 9,540            | 1,791           | 11,331      | 4,872            | 1,075 | 17,278 |
| 2007 | 9,415            | 1,787           | 11,202      | 4,916            | 1,022 | 17,140 |
| 2008 | 9,291            | 1,783           | 11,074      | 4,960            | 969   | 17,003 |
| 2009 | 9,170            | 1,779           | 10,949      | 5,005            | 916   | 16,870 |
| 2010 | 9,051            | 1,776           | 10,826      | 5,050            | 864   | 16,741 |
| 2011 | 8,934            | 1,773           | 10,707      | 5,096            | 813   | 16,615 |
| 2012 | 8,821            | 1,770           | 10,591      | 5,141            | 763   | 16,495 |
| 2013 | 8,711            | 1,767           | 10,479      | 5,188            | 715   | 16,381 |
| 2014 | 8,606            | 1,765           | 10,371      | 5,234            | 668   | 16,273 |
| 2015 | 8,507            | 1,763           | 10,270      | 5,281            | 624   | 16,175 |
| 2016 | 8,415            | 1,761           | 10,176      | 5,329            | 588   | 16,094 |
| 2017 | 8,347            | 1,760           | 10,107      | 5,377            | 564   | 16,048 |
| 2018 | 8,304            | 1,759           | 10,063      | 5,425            | 546   | 16,034 |
| 2019 | 8,272            | 1,759           | 10,031      | 5,474            | 531   | 16,036 |
| 2020 | 8,269            | 1,760           | 10,029      | 5,523            | 519   | 16,071 |
| 2021 | 8,293            | 1,764           | 10,057      | 5,573            | 507   | 16,137 |
| 2022 | 8,326            | 1,771           | 10,097      | 5,623            | 497   | 16,218 |
| 2023 | 8,367            | 1,778           | 10,145      | 5,674            | 491   | 16,310 |
| 2024 | 8,414            | 1,788           | 10,202      | 5,725            | 485   | 16,412 |
| 2025 | 8,466            | 1,799           | 10,265      | 5,777            | 481   | 16,523 |
| 2026 | 8,523            | 1,811           | 10,334      | 5,829            | 479   | 16,642 |
| 2027 | 8,584            | 1,824           | 10,408      | 5,881            | 478   | 16,767 |
| 2028 | 8,649            | 1,837           | 10,487      | 5,934            | 478   | 16,898 |
| 2029 | 8,719            | 1,851           | 10,570      | 5,987            | 478   | 17,035 |
| 2030 | 8,792            | 1,865           | 10,657      | 6,041            | 479   | 17,178 |
| 2031 | 8,868            | 1,880           | 10,748      | 6,096            | 481   | 17,325 |
| 2032 | 8,946            | 1,895           | 10,841      | 6,150            | 484   | 17,476 |
| 2033 | 9,026            | 1,911           | 10,937      | 6,206            | 488   | 17,631 |
| 2034 | 9,107            | 1,927           | 11,034      | 6,262            | 492   | 17,788 |
| 2035 | 9,189            | 1,943           | 11,133      | 6,318            | 496   | 17,947 |
| 2036 | 9,272            | 1,960           | 11,232      | 6,375            | 500   | 18,107 |
| 2037 | 9,356            | 1,977           | 11,333      | 6,432            | 504   | 18,269 |
| 2038 | 9,440            | 1,995           | 11,435      | 6,490            | 509   | 18,433 |
| 2039 | 9,525            | 2,013           | 11,537      | 6,549            | 513   | 18,599 |
| 2040 | 9,610            | 2,031           | 11,641      | 6,607            | 517   | 18,766 |

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**Table 0-21 Baseline (50-State) CO Emissions for Commercial Marine Diesel Engines (short tons)**

| YEAR | C1<br>PROPULSION | C1<br>AUXILIARY | C1<br>TOTAL | C2<br>PROPULSION | <37KW | TOTAL   |
|------|------------------|-----------------|-------------|------------------|-------|---------|
| 2002 | 55,303           | 9,624           | 64,927      | 82,621           | 3,783 | 151,331 |
| 2003 | 55,801           | 9,710           | 65,511      | 83,364           | 3,680 | 152,556 |
| 2004 | 55,722           | 9,668           | 65,390      | 84,115           | 3,576 | 153,080 |
| 2005 | 55,582           | 9,585           | 65,167      | 84,872           | 3,460 | 153,499 |
| 2006 | 55,450           | 9,503           | 64,954      | 85,635           | 3,339 | 153,928 |
| 2007 | 54,423           | 9,331           | 63,754      | 85,621           | 3,216 | 152,591 |
| 2008 | 53,405           | 9,160           | 62,565      | 85,611           | 3,093 | 151,269 |
| 2009 | 52,401           | 8,989           | 61,391      | 85,605           | 2,970 | 149,966 |
| 2010 | 51,414           | 8,820           | 60,235      | 85,609           | 2,846 | 148,690 |
| 2011 | 50,445           | 8,654           | 59,099      | 85,621           | 2,724 | 147,444 |
| 2012 | 49,497           | 8,489           | 57,986      | 85,639           | 2,603 | 146,227 |
| 2013 | 48,574           | 8,327           | 56,901      | 85,665           | 2,484 | 145,050 |
| 2014 | 47,680           | 8,167           | 55,847      | 85,701           | 2,369 | 143,917 |
| 2015 | 46,827           | 8,010           | 54,837      | 85,746           | 2,259 | 142,842 |
| 2016 | 46,023           | 7,857           | 53,880      | 85,800           | 2,170 | 141,851 |
| 2017 | 45,368           | 7,708           | 53,076      | 85,864           | 2,109 | 141,049 |
| 2018 | 44,879           | 7,563           | 52,443      | 85,937           | 2,063 | 140,443 |
| 2019 | 44,482           | 7,426           | 51,908      | 86,020           | 2,027 | 139,954 |
| 2020 | 44,301           | 7,298           | 51,599      | 86,116           | 1,997 | 139,712 |
| 2021 | 44,329           | 7,198           | 51,527      | 86,222           | 1,972 | 139,720 |
| 2022 | 44,423           | 7,134           | 51,557      | 86,341           | 1,952 | 139,851 |
| 2023 | 44,571           | 7,088           | 51,659      | 86,475           | 1,940 | 140,073 |
| 2024 | 44,760           | 7,066           | 51,827      | 86,626           | 1,932 | 140,384 |
| 2025 | 44,987           | 7,067           | 52,054      | 86,790           | 1,926 | 140,771 |
| 2026 | 45,248           | 7,077           | 52,325      | 86,974           | 1,926 | 141,226 |
| 2027 | 45,539           | 7,094           | 52,633      | 87,178           | 1,929 | 141,740 |
| 2028 | 45,861           | 7,117           | 52,978      | 87,406           | 1,934 | 142,318 |
| 2029 | 46,209           | 7,145           | 53,354      | 87,672           | 1,942 | 142,968 |
| 2030 | 46,583           | 7,178           | 53,761      | 88,078           | 1,952 | 143,791 |
| 2031 | 46,975           | 7,215           | 54,191      | 88,623           | 1,963 | 144,776 |
| 2032 | 47,385           | 7,257           | 54,642      | 89,207           | 1,977 | 145,825 |
| 2033 | 47,811           | 7,303           | 55,114      | 89,820           | 1,992 | 146,926 |
| 2034 | 48,241           | 7,353           | 55,595      | 90,457           | 2,009 | 148,060 |
| 2035 | 48,675           | 7,407           | 56,082      | 91,119           | 2,026 | 149,227 |
| 2036 | 49,114           | 7,464           | 56,577      | 91,799           | 2,044 | 150,419 |
| 2037 | 49,556           | 7,524           | 57,079      | 92,500           | 2,061 | 151,640 |
| 2038 | 50,002           | 7,588           | 57,589      | 93,219           | 2,079 | 152,887 |
| 2039 | 50,452           | 7,654           | 58,105      | 93,956           | 2,097 | 154,158 |
| 2040 | 50,906           | 7,721           | 58,627      | 94,707           | 2,115 | 155,449 |

Table 0-22 Baseline (50-State) SO<sub>2</sub> Emissions for Commercial Marine Diesel Engines (short tons)

| YEAR | C1<br>PROPULSION | C1<br>AUXILIARY | C1<br>TOTAL | C2<br>PROPULSION | <37KW | TOTAL  |
|------|------------------|-----------------|-------------|------------------|-------|--------|
| 2002 | 36,201           | 6,553           | 42,754      | 36,868           | 731   | 80,353 |
| 2003 | 36,528           | 6,613           | 43,141      | 37,193           | 738   | 81,073 |
| 2004 | 36,862           | 6,673           | 43,535      | 37,528           | 745   | 81,808 |
| 2005 | 37,192           | 6,733           | 43,925      | 37,866           | 752   | 82,543 |
| 2006 | 36,827           | 6,667           | 43,493      | 38,207           | 745   | 82,445 |
| 2007 | 19,121           | 3,461           | 22,583      | 38,550           | 387   | 61,520 |
| 2008 | 6,299            | 1,140           | 7,440       | 38,837           | 128   | 46,404 |
| 2009 | 6,355            | 1,150           | 7,506       | 39,204           | 129   | 46,838 |
| 2010 | 4,705            | 852             | 5,557       | 39,559           | 95    | 45,212 |
| 2011 | 3,513            | 636             | 4,148       | 39,920           | 71    | 44,139 |
| 2012 | 1,862            | 337             | 2,199       | 40,278           | 38    | 42,515 |
| 2013 | 664              | 120             | 784         | 39,905           | 14    | 40,702 |
| 2014 | 799              | 145             | 943         | 21,334           | 16    | 22,293 |
| 2015 | 857              | 155             | 1,012       | 7,888            | 18    | 8,917  |
| 2016 | 865              | 157             | 1,021       | 7,958            | 18    | 8,997  |
| 2017 | 872              | 158             | 1,030       | 6,238            | 18    | 7,286  |
| 2018 | 879              | 159             | 1,038       | 4,998            | 18    | 6,054  |
| 2019 | 886              | 160             | 1,046       | 3,277            | 18    | 4,342  |
| 2020 | 893              | 162             | 1,055       | 2,031            | 18    | 3,104  |
| 2021 | 900              | 163             | 1,063       | 2,185            | 18    | 3,267  |
| 2022 | 907              | 164             | 1,072       | 2,258            | 18    | 3,348  |
| 2023 | 915              | 166             | 1,081       | 2,279            | 19    | 3,378  |
| 2024 | 923              | 167             | 1,090       | 2,299            | 19    | 3,408  |
| 2025 | 931              | 169             | 1,099       | 2,319            | 19    | 3,437  |
| 2026 | 939              | 170             | 1,109       | 2,339            | 19    | 3,466  |
| 2027 | 946              | 171             | 1,118       | 2,359            | 19    | 3,496  |
| 2028 | 954              | 173             | 1,127       | 2,379            | 19    | 3,526  |
| 2029 | 962              | 174             | 1,136       | 2,399            | 20    | 3,555  |
| 2030 | 970              | 176             | 1,146       | 2,421            | 20    | 3,586  |
| 2031 | 978              | 177             | 1,155       | 2,442            | 20    | 3,617  |
| 2032 | 986              | 179             | 1,165       | 2,463            | 20    | 3,649  |
| 2033 | 995              | 180             | 1,175       | 2,485            | 20    | 3,680  |
| 2034 | 1,006            | 182             | 1,188       | 2,507            | 21    | 3,716  |
| 2035 | 1,015            | 184             | 1,198       | 2,529            | 21    | 3,748  |
| 2036 | 1,023            | 185             | 1,208       | 2,551            | 21    | 3,780  |
| 2037 | 1,032            | 187             | 1,218       | 2,573            | 21    | 3,812  |
| 2038 | 1,040            | 188             | 1,228       | 2,595            | 21    | 3,845  |
| 2039 | 1,050            | 190             | 1,240       | 2,618            | 21    | 3,880  |
| 2040 | 1,059            | 192             | 1,251       | 2,641            | 22    | 3,913  |

**Table 0-23 Air Toxics Emissions for Commercial Marine Diesel Engines (short tons)**

| HAP           | 1999  | 2010  | 2015  | 2020  | 2030  |
|---------------|-------|-------|-------|-------|-------|
| BENZENE       | 530   | 556   | 559   | 572   | 624   |
| FORMALDEHYDE  | 3,897 | 4,091 | 4,112 | 4,208 | 4,587 |
| ACETALDEHYDE  | 1,937 | 2,033 | 2,044 | 2,091 | 2,280 |
| 1,3-BUTADIENE | 6     | 6     | 6     | 6     | 7     |
| ACROLEIN      | 75    | 79    | 79    | 81    | 89    |
| NAPHTHALENE   | 43    | 39    | 37    | 36    | 40    |
| POM           | 11    | 10    | 9     | 9     | 10    |

### **3.1.3 Control Inventory Development**

This section describes how the controlled emission inventories were developed for the commercial marine diesel categories: Category 1 propulsion, Category 1 auxiliary, Category 2 propulsion, and less than (<) 37kW. This section will only describe the modifications to the emission factors, since the other inventory inputs are unchanged.

#### **3.1.3.1 Control Scenario(s) Modeled**

For commercial marine diesel engines, there are two tiers of proposed PM and either combined HC+NO<sub>x</sub> or NO<sub>x</sub> only standards for the control scenario that was modeled.

The proposed emission standards for Category 1 engines are summarized in Table 0-24 and Table 0-25. These standards apply to both propulsion and auxiliary engines. There are separate emission standards for standard and high power density engines. Standard power density engines are less than 35 kW per liter (kW/L), and the high power density engines are greater than or equal to 35 kW/L. Within these power density categories, there are also separate standards that vary by power and displacement. There are no Tier 4 standards for engines less than 600 kW. Standards are not shown in cases where there is zero engine population.

The proposed emission standards for Category 2 engines are summarized in Table 0-26. The standards vary by displacement and power. All Category 2 engines are considered to be standard power density engines. These engines are subject to both Tier 3 and Tier 4 emission standards.

The proposed emission standards for <37kW propulsion and auxiliary engines are given in Table 0-27. This category is subject to Tier 3 standards which begin in 2009.

Table 0-24 Proposed Standards (g/kW-hr) for C1 Standard Power Density Engines

| DISPLACEMENT<br>CATEGORY | <35 KW/L |                 |      |                     |                                |     |             |                 |     |      |                 |    |
|--------------------------|----------|-----------------|------|---------------------|--------------------------------|-----|-------------|-----------------|-----|------|-----------------|----|
|                          | <=600KW  |                 |      |                     |                                |     | 600<KW≤1000 |                 |     |      |                 |    |
|                          | YEAR     | TIER 3          |      | YEAR                | TIER 4                         |     | YEAR        | TIER 3          |     | YEAR | TIER 4          |    |
|                          |          | NO <sub>x</sub> | PM   |                     | NO <sub>x</sub>                | PM  |             | NO <sub>x</sub> | PM  |      | NO <sub>x</sub> | PM |
| DISP<0.9 AND<br>37<KW≤75 | 2009     | 7.5             | 0.30 | NO TIER 4 STANDARDS | NO ENGINES IN THESE CATEGORIES |     |             |                 |     |      |                 |    |
|                          | 2014     | 4.7             |      |                     |                                |     |             |                 |     |      |                 |    |
| DISP<0.9 AND >75KW       | 2012     | 5.4             | 0.13 |                     |                                |     |             |                 |     |      |                 |    |
| 0.9≤DISP<1.2             | 2013     | 5.4             | 0.12 |                     |                                |     |             |                 |     |      |                 |    |
| 1.2≤DISP<2.5             | 2014     | 5.6             | 0.11 |                     | 2014                           | 5.6 | 0.11        | 2018            | 1.7 | 0.04 |                 |    |
|                          | 2018     |                 | 0.09 |                     |                                |     |             |                 |     |      |                 |    |
| 2.5≤DISP<3.5             | 2013     | 5.6             | 0.11 |                     | 2013                           | 5.6 | 0.11        | 2018            | 1.7 | 0.04 |                 |    |
|                          | 2018     |                 | 0.09 |                     |                                |     |             |                 |     |      |                 |    |
| 3.5≤DISP<5.0             | 2012     | 5.8             | 0.11 |                     | 2012                           | 5.8 | 0.11        | 2018            | 1.7 | 0.04 |                 |    |
|                          | 2018     |                 | 0.09 |                     |                                |     |             |                 |     |      |                 |    |

| DISPLACEMENT<br>CATEGORY | <35 KW/L                       |                 |      |      |                 |      |         |                                |    |      |                 |    |     |      |      |
|--------------------------|--------------------------------|-----------------|------|------|-----------------|------|---------|--------------------------------|----|------|-----------------|----|-----|------|------|
|                          | 1000<KW≤1400                   |                 |      |      |                 |      | >1400KW |                                |    |      |                 |    |     |      |      |
|                          | YEAR                           | TIER 3          |      | YEAR | TIER 4          |      | YEAR    | TIER 3                         |    | YEAR | TIER 4          |    |     |      |      |
|                          |                                | NO <sub>x</sub> | PM   |      | NO <sub>x</sub> | PM   |         | NO <sub>x</sub>                | PM |      | NO <sub>x</sub> | PM |     |      |      |
| DISP<0.9 AND<br>37<KW≤75 | NO ENGINES IN THESE CATEGORIES |                 |      |      |                 |      |         | NO ENGINES IN THESE CATEGORIES |    |      |                 |    |     |      |      |
| DISP<0.9 AND >75KW       |                                |                 |      |      |                 |      |         |                                |    |      |                 |    |     |      |      |
| 0.9≤DISP<1.2             |                                |                 |      |      |                 |      |         |                                |    |      |                 |    |     |      |      |
| 1.2≤DISP<2.5             | 2014                           | 5.6             | 0.11 | 2017 | 1.7             | 0.04 |         |                                |    |      |                 |    |     |      |      |
| 2.5≤DISP<3.5             | 2013                           | 5.6             | 0.11 | 2017 | 1.7             | 0.04 |         |                                |    |      |                 |    |     |      |      |
| 3.5≤DISP<5.0             | 2012                           | 5.8             | 0.11 | 2017 | 1.7             | 0.04 | 2012    |                                |    |      |                 |    | 5.8 | 0.11 | 2016 |



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**Table 0-25 Proposed Standards (g/kW-hr) for C1 High Power Density Engines**

| DISPLACEMENT<br>CATEGORY | ≥35 KW/L   |                 |      |                                |                                |     |             |                 |     |      |                 |    |
|--------------------------|------------|-----------------|------|--------------------------------|--------------------------------|-----|-------------|-----------------|-----|------|-----------------|----|
|                          | <=600KW    |                 |      |                                |                                |     | 600<KW≤1000 |                 |     |      |                 |    |
|                          | YEAR       | TIER 3          |      | YEAR                           | TIER 4                         |     | YEAR        | TIER 3          |     | YEAR | TIER 4          |    |
|                          |            | NO <sub>x</sub> | PM   |                                | NO <sub>x</sub>                | PM  |             | NO <sub>x</sub> | PM  |      | NO <sub>x</sub> | PM |
| DISP<0.9 AND<br>37<KW≤75 | 2009       | 7.5             | 0.30 | NO TIER 4 STANDARDS            | NO ENGINES IN THESE CATEGORIES |     |             |                 |     |      |                 |    |
|                          | 2014       | 4.7             |      |                                |                                |     |             |                 |     |      |                 |    |
| DISP<0.9 AND >75KW       | 2012       | 5.8             | 0.15 |                                |                                |     |             |                 |     |      |                 |    |
| 0.9≤DISP<1.2             | 2013       | 5.8             | 0.13 |                                |                                |     |             |                 |     |      |                 |    |
| 1.2≤DISP<2.5             | 2014       | 5.8             | 0.12 |                                | 2014                           | 5.6 | 0.11        | 2018            | 1.7 | 0.04 |                 |    |
| 2.5≤DISP<3.5             | NO ENGINES |                 |      | NO ENGINES IN THESE CATEGORIES |                                |     |             |                 |     |      |                 |    |
| 3.5≤DISP<5.0             |            |                 |      |                                |                                |     |             |                 |     |      |                 |    |

| DISPLACEMENT<br>CATEGORY | ≥35 KW/L                       |                 |      |      |                 |      |                                |                 |      |      |                 |      |
|--------------------------|--------------------------------|-----------------|------|------|-----------------|------|--------------------------------|-----------------|------|------|-----------------|------|
|                          | 1000<KW≤1400                   |                 |      |      |                 |      | >1400KW                        |                 |      |      |                 |      |
|                          | YEAR                           | TIER 3          |      | YEAR | TIER 4          |      | YEAR                           | TIER 3          |      | YEAR | TIER 4          |      |
|                          |                                | NO <sub>x</sub> | PM   |      | NO <sub>x</sub> | PM   |                                | NO <sub>x</sub> | PM   |      | NO <sub>x</sub> | PM   |
| DISP<0.9 AND<br>37<KW≤75 | NO ENGINES IN THESE CATEGORIES |                 |      |      |                 |      | NO ENGINES IN THESE CATEGORIES |                 |      |      |                 |      |
| DISP<0.9 AND >75KW       |                                |                 |      |      |                 |      |                                |                 |      |      |                 |      |
| 0.9≤DISP<1.2             | 2013                           | 5.4             | 0.12 | 2017 | 1.7             | 0.04 |                                |                 |      |      |                 |      |
| 1.2≤DISP<2.5             | NO ENGINES IN THESE CATEGORIES |                 |      |      |                 |      | 2014                           | 5.6             | 0.11 | 2016 | 1.7             | 0.04 |
| 2.5≤DISP<3.5             |                                |                 |      |      |                 |      | NO ENGINES IN THIS CATEGORY    |                 |      |      |                 |      |
| 3.5≤DISP<5.0             | 2012                           | 5.8             | 0.11 | 2017 | 1.7             | 0.04 | 2012                           | 5.8             | 0.11 | 2016 | 1.7             | 0.04 |

**Table 0-26 Proposed Standards (g/kW-hr) for C2 Engines**

| DISPLACEMENT CATEGORY             | YEAR                        | TIER 3              |      | YEAR | TIER 4          |      |
|-----------------------------------|-----------------------------|---------------------|------|------|-----------------|------|
|                                   |                             | NO <sub>x</sub> +HC | PM   |      | NO <sub>x</sub> | PM   |
| 5.0<=DISP<15 AND <600KW           | 2013                        | 6.2                 | 0.13 |      |                 |      |
| 5.0<=DISP<15 AND 600<=KW<1000     | 2013                        | 6.2                 | 0.13 | 2018 | 1.7             | 0.04 |
| 5.0<=DISP<15 AND 1000<=KW<1400    | 2013                        | 6.2                 | 0.13 | 2017 | 1.7             | 0.04 |
| 5.0<=DISP<15 AND 1400<=KW<3700    | 2013                        | 6.2                 | 0.13 | 2016 | 1.7             | 0.04 |
| 5.0<=DISP<15 AND >=3700KW         |                             |                     |      | 2014 | 1.7             | 0.12 |
|                                   |                             |                     |      | 2017 |                 | 0.05 |
| 15.0<=DISP<20.0 AND <1400KW       | NO ENGINES IN THIS CATEGORY |                     |      |      |                 |      |
| 15.0<=DISP<20.0 AND 1400<=KW<3300 | 2014                        | 7.0                 | 0.34 | 2016 | 1.7             | 0.04 |
| 15.0<=DISP<20.0 AND 3300<=KW<3700 | NO ENGINES IN THIS CATEGORY |                     |      |      |                 |      |
| 15.0<=DISP<20.0 AND >=3700KW      |                             |                     |      | 2014 | 1.7             | 0.25 |
|                                   |                             |                     |      | 2017 |                 | 0.05 |
| 20.0<=DISP<30.0                   | NO ENGINES IN THIS CATEGORY |                     |      |      |                 |      |

**Table 0-27 Proposed Standards (g/hp-hr) for <37kW Commercial Marine Diesel Engines**

| HP RANGE | YEAR | TIER 3              |      |
|----------|------|---------------------|------|
|          |      | NO <sub>x</sub> +HC | PM   |
| 0-25     | 2009 | 5.6                 | 0.30 |
| 25-50    | 2009 | 5.6                 | 0.22 |
|          | 2014 | 3.5                 | 0.22 |

**3.1.3.2 Category 1 Propulsion**

The modeled Tier 3 and Tier 4 emission factors corresponding to the emission standards are shown in Table 0-28 and Table 0-29. These emission factors are derived by applying the appropriate relative reductions from the Tier 2 standard to the Tier 2 emission factors, using the following equations:

**Equation 3** Tier 3 EF = (Tier 3 std/Tier 2 std) x Tier 2 EF

**Equation 4** Tier 4 EF = (Tier 4 std/Tier 2 std) x Tier 2 EF

For NO<sub>x</sub>, the standards used in the above equations are the combined HC+NO<sub>x</sub> standards. For HC and PM, the PM standards are used.

The resulting control case 50-state emission inventories for Category 1 propulsion engines are given in Table 0-30.

### **3.1.3.3 Category 1 Auxiliary**

The modeled Tier 3 and Tier 4 emission factors for Category 1 auxiliary engines are shown in Table 0-31 and Table 0-32. The methodology described above for Category 1 propulsion engines was used to derive these emission factors.

The resulting control case 50-state emission inventories for Category 1 auxiliary engines are given in Table 0-33.

Table 0-28 Control PM<sub>10</sub>, NO<sub>x</sub>, and HC Emission Factors (g/kW-hr) for C1 Propulsion Standard Power Density Engines

| DISPLACEMENT<br>CATEGORY | <35 KW/L |        |                 |       |                                |        |                 |      |      |        |                 |      |
|--------------------------|----------|--------|-----------------|-------|--------------------------------|--------|-----------------|------|------|--------|-----------------|------|
|                          | <=600KW  |        |                 |       | 600<KW≤1000                    |        |                 |      |      |        |                 |      |
|                          | YEAR     | TIER 3 |                 |       | YEAR                           | TIER 3 |                 |      | YEAR | TIER 4 |                 |      |
|                          |          | HC     | NO <sub>x</sub> | PM    |                                | HC     | NO <sub>x</sub> | PM   |      | HC     | NO <sub>x</sub> | PM   |
| DISP<0.9 AND<br>37<KW≤75 | 2009     | 0.30   | 5.70            | 0.17  | NO ENGINES IN THESE CATEGORIES |        |                 |      |      |        |                 |      |
|                          | 2014     |        | 3.56            |       |                                |        |                 |      |      |        |                 |      |
| DISP<0.9 AND >75KW       | 2012     | 0.14   | 4.08            | 0.08  |                                |        |                 |      |      |        |                 |      |
| 0.9≤DISP<1.2             | 2013     | 0.13   | 4.54            | 0.05  |                                |        |                 |      |      |        |                 |      |
| 1.2≤DISP<2.5             | 2014     | 0.10   | 4.69            | 0.07  | 2014                           | 0.10   | 4.69            | 0.07 | 2018 | 0.04   | 1.30            | 0.03 |
|                          |          | 2018   |                 | 0.061 |                                |        |                 |      |      |        |                 |      |
| 2.5≤DISP<3.5             | 2013     | 0.10   | 4.69            | 0.07  | 2013                           | 0.10   | 4.69            | 0.07 | 2018 | 0.04   | 1.30            | 0.03 |
|                          |          | 2018   |                 | 0.061 |                                |        |                 |      |      |        |                 |      |
| 3.5≤DISP<5.0             | 2012     | 0.10   | 4.81            | 0.07  | 2012                           | 0.10   | 4.81            | 0.07 | 2018 | 0.04   | 1.30            | 0.03 |
|                          |          | 2018   |                 | 0.061 |                                |        |                 |      |      |        |                 |      |

| DISPLACEMENT<br>CATEGORY | <35 KW/L                       |        |                 |      |      |        |                 |                                |      |        |                 |      |      |        |                 |      |
|--------------------------|--------------------------------|--------|-----------------|------|------|--------|-----------------|--------------------------------|------|--------|-----------------|------|------|--------|-----------------|------|
|                          | 1000<KW≤1400                   |        |                 |      |      |        |                 | >1400KW                        |      |        |                 |      |      |        |                 |      |
|                          | YEAR                           | TIER 3 |                 |      | YEAR | TIER 4 |                 |                                | YEAR | TIER 3 |                 |      | YEAR | TIER 4 |                 |      |
|                          |                                | HC     | NO <sub>x</sub> | PM   |      | HC     | NO <sub>x</sub> | PM                             |      | HC     | NO <sub>x</sub> | PM   |      | HC     | NO <sub>x</sub> | PM   |
| DISP<0.9 AND<br>37<KW≤75 | NO ENGINES IN THESE CATEGORIES |        |                 |      |      |        |                 | NO ENGINES IN THESE CATEGORIES |      |        |                 |      |      |        |                 |      |
| DISP<0.9 AND<br>>75KW    |                                |        |                 |      |      |        |                 |                                |      |        |                 |      |      |        |                 |      |
| 0.9≤DISP<1.2             |                                |        |                 |      |      |        |                 |                                |      |        |                 |      |      |        |                 |      |
| 1.2≤DISP<2.5             | 2014                           | 0.10   | 4.69            | 0.07 | 2017 | 0.04   | 1.3             | 0.03                           | 2012 | 0.10   | 4.81            | 0.07 | 2016 | 0.04   | 1.3             | 0.03 |
| 2.5≤DISP<3.5             | 2013                           | 0.10   | 4.69            | 0.07 | 2017 | 0.04   | 1.3             | 0.03                           |      |        |                 |      |      |        |                 |      |
| 3.5≤DISP<5.0             | 2012                           | 0.10   | 4.81            | 0.07 | 2017 | 0.04   | 1.3             | 0.03                           |      |        |                 |      |      |        |                 |      |
|                          |                                |        |                 |      |      |        |                 |                                |      |        |                 |      |      |        |                 |      |

## Draft Regulatory Impact Analysis

**Table 0-29 Control PM<sub>10</sub>, NO<sub>x</sub>, and HC Emission Factors (g/kW-hr) for C1 Propulsion High Power Density Engines**

| DISPLACEMENT<br>CATEGORY | ≥35 KW/L   |        |                 |      |      |                                |                 |      |      |        |                 |      |
|--------------------------|------------|--------|-----------------|------|------|--------------------------------|-----------------|------|------|--------|-----------------|------|
|                          | <=600KW    |        |                 |      |      | 600<KW≤1000                    |                 |      |      |        |                 |      |
|                          | YEAR       | TIER 3 |                 |      | YEAR | TIER 3                         |                 |      | YEAR | TIER 4 |                 |      |
|                          |            | HC     | NO <sub>x</sub> | PM   |      | HC                             | NO <sub>x</sub> | PM   |      | HC     | NO <sub>x</sub> | PM   |
| DISP<0.9 AND<br>37<KW≤75 | NO ENGINES |        |                 |      |      | NO ENGINES IN THESE CATEGORIES |                 |      |      |        |                 |      |
| DISP<0.9 AND >75KW       | 2012       | 0.15   | 4.38            | 0.08 |      |                                |                 |      |      |        |                 |      |
| 0.9<=DISP<1.2            | 2013       | 0.14   | 4.89            | 0.05 |      |                                |                 |      |      |        |                 |      |
| 1.2<=DISP<2.5            | 2014       | 0.11   | 4.81            | 0.08 | 2014 | 0.10                           | 4.69            | 0.07 | 2018 | 0.04   | 1.3             | 0.03 |
| 2.5<=DISP<3.5            | NO ENGINES |        |                 |      |      | NO ENGINES IN THESE CATEGORIES |                 |      |      |        |                 |      |
| 3.5<=DISP<5.0            |            |        |                 |      |      |                                |                 |      |      |        |                 |      |

| DISPLACEMENT<br>CATEGORY | ≥35 KW/L                       |        |                 |      |      |        |                 |      |                                |        |                 |      |      |        |                 |      |
|--------------------------|--------------------------------|--------|-----------------|------|------|--------|-----------------|------|--------------------------------|--------|-----------------|------|------|--------|-----------------|------|
|                          | 1000<KW≤1400                   |        |                 |      |      |        |                 |      | >1400KW                        |        |                 |      |      |        |                 |      |
|                          | YEAR                           | TIER 3 |                 |      | YEAR | TIER 4 |                 |      | YEAR                           | TIER 3 |                 |      | YEAR | TIER 4 |                 |      |
|                          |                                | HC     | NO <sub>x</sub> | PM   |      | HC     | NO <sub>x</sub> | PM   |                                | HC     | NO <sub>x</sub> | PM   |      | HC     | NO <sub>x</sub> | PM   |
| DISP<0.9 AND<br>37<KW≤75 | NO ENGINES IN THESE CATEGORIES |        |                 |      |      |        |                 |      | NO ENGINES IN THESE CATEGORIES |        |                 |      |      |        |                 |      |
| DISP<0.9 AND<br>>75KW    |                                |        |                 |      |      |        |                 |      |                                |        |                 |      |      |        |                 |      |
| 0.9<=DISP<1.2            |                                |        |                 |      |      |        |                 |      |                                |        |                 |      |      |        |                 |      |
| 1.2<=DISP<2.5            |                                |        |                 |      |      |        |                 |      |                                |        |                 |      |      |        |                 |      |
| 2.5<=DISP<3.5            |                                |        |                 |      |      |        |                 |      |                                |        |                 |      |      |        |                 |      |
| 3.5<=DISP<5.0            | 2012                           | 0.10   | 4.81            | 0.07 | 2017 | 0.04   | 1.3             | 0.03 | 2012                           | 0.10   | 4.81            | 0.07 | 2016 | 0.04   | 1.3             | 0.03 |

Table 0-30 Control Case (50-State) Emissions for C1 Propulsion Engines (short tons)

| YEAR | PM <sub>10</sub> | PM <sub>2.5</sub> | NO <sub>x</sub> | VOC   | HC    | CO     | SO <sub>2</sub> |
|------|------------------|-------------------|-----------------|-------|-------|--------|-----------------|
| 2002 | 13,328           | 12,928            | 335,561         | 9,488 | 9,010 | 55,303 | 36,201          |
| 2003 | 13,690           | 13,279            | 336,369         | 9,573 | 9,091 | 55,801 | 36,528          |
| 2004 | 13,807           | 13,393            | 332,798         | 9,561 | 9,080 | 55,722 | 36,862          |
| 2005 | 13,873           | 13,457            | 328,810         | 9,550 | 9,069 | 55,582 | 37,192          |
| 2006 | 13,872           | 13,456            | 324,900         | 9,540 | 9,060 | 55,450 | 36,827          |
| 2007 | 12,230           | 11,863            | 316,663         | 9,415 | 8,941 | 54,423 | 19,121          |
| 2008 | 10,961           | 10,632            | 308,524         | 9,291 | 8,824 | 53,405 | 6,299           |
| 2009 | 10,709           | 10,388            | 300,509         | 9,169 | 8,708 | 52,401 | 6,355           |
| 2010 | 10,304           | 9,995             | 292,651         | 9,050 | 8,594 | 51,414 | 4,705           |
| 2011 | 9,916            | 9,618             | 284,979         | 8,933 | 8,483 | 50,445 | 3,513           |
| 2012 | 9,409            | 9,127             | 276,209         | 8,708 | 8,270 | 49,497 | 1,862           |
| 2013 | 8,859            | 8,593             | 267,453         | 8,433 | 8,008 | 48,574 | 664             |
| 2014 | 8,291            | 8,042             | 257,691         | 8,042 | 7,637 | 47,680 | 799             |
| 2015 | 7,700            | 7,469             | 248,317         | 7,658 | 7,273 | 46,827 | 857             |
| 2016 | 7,065            | 6,853             | 236,292         | 7,228 | 6,864 | 46,023 | 865             |
| 2017 | 6,463            | 6,269             | 223,265         | 6,784 | 6,443 | 45,368 | 872             |
| 2018 | 5,911            | 5,734             | 209,717         | 6,334 | 6,015 | 44,879 | 879             |
| 2019 | 5,388            | 5,226             | 196,847         | 5,898 | 5,601 | 44,482 | 886             |
| 2020 | 4,938            | 4,790             | 185,242         | 5,496 | 5,219 | 44,301 | 893             |
| 2021 | 4,562            | 4,425             | 174,843         | 5,126 | 4,868 | 44,329 | 900             |
| 2022 | 4,208            | 4,082             | 164,971         | 4,772 | 4,532 | 44,423 | 907             |
| 2023 | 3,873            | 3,756             | 155,589         | 4,433 | 4,210 | 44,571 | 915             |
| 2024 | 3,552            | 3,446             | 146,696         | 4,111 | 3,904 | 44,760 | 923             |
| 2025 | 3,263            | 3,165             | 138,521         | 3,826 | 3,634 | 44,987 | 931             |
| 2026 | 3,013            | 2,923             | 131,195         | 3,589 | 3,408 | 45,248 | 939             |
| 2027 | 2,808            | 2,724             | 124,763         | 3,400 | 3,229 | 45,539 | 946             |
| 2028 | 2,644            | 2,565             | 119,185         | 3,252 | 3,089 | 45,861 | 954             |
| 2029 | 2,512            | 2,436             | 114,708         | 3,134 | 2,976 | 46,209 | 962             |
| 2030 | 2,417            | 2,344             | 111,660         | 3,049 | 2,896 | 46,583 | 970             |
| 2031 | 2,352            | 2,282             | 109,766         | 2,991 | 2,841 | 46,975 | 978             |
| 2032 | 2,310            | 2,241             | 108,624         | 2,953 | 2,804 | 47,385 | 986             |
| 2033 | 2,284            | 2,215             | 107,896         | 2,927 | 2,780 | 47,811 | 995             |
| 2034 | 2,265            | 2,197             | 107,443         | 2,911 | 2,764 | 48,241 | 1,006           |
| 2035 | 2,254            | 2,186             | 107,233         | 2,902 | 2,756 | 48,675 | 1,015           |
| 2036 | 2,248            | 2,181             | 107,236         | 2,901 | 2,755 | 49,114 | 1,023           |
| 2037 | 2,250            | 2,182             | 107,444         | 2,906 | 2,760 | 49,556 | 1,032           |
| 2038 | 2,256            | 2,189             | 107,834         | 2,919 | 2,772 | 50,002 | 1,040           |
| 2039 | 2,268            | 2,200             | 108,376         | 2,936 | 2,788 | 50,452 | 1,050           |
| 2040 | 2,282            | 2,214             | 109,054         | 2,957 | 2,808 | 50,906 | 1,059           |

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**Table 0-31 Control PM<sub>10</sub>, NO<sub>x</sub>, and HC Emission Factors (g/kW-hr) for C1 Auxiliary Standard Power Density Engines**

| DISPLACEMENT<br>CATEGORY | <35 KW/L |        |                 |       |                                |        |                 |      |      |        |                 |      |
|--------------------------|----------|--------|-----------------|-------|--------------------------------|--------|-----------------|------|------|--------|-----------------|------|
|                          | ≤600KW   |        |                 |       | 600<KW≤1000                    |        |                 |      |      |        |                 |      |
|                          | YEAR     | TIER 3 |                 |       | YEAR                           | TIER 3 |                 |      | YEAR | TIER 4 |                 |      |
|                          |          | HC     | NO <sub>x</sub> | PM    |                                | HC     | NO <sub>x</sub> | PM   |      | HC     | NO <sub>x</sub> | PM   |
| DISP<0.9 AND<br>37<KW≤75 | 2009     | 0.30   | 5.70            | 0.17  | NO ENGINES IN THESE CATEGORIES |        |                 |      |      |        |                 |      |
|                          | 2014     |        | 3.56            |       |                                |        |                 |      |      |        |                 |      |
| DISP<0.9 AND >75KW       | 2012     | 0.14   | 4.08            | 0.08  |                                |        |                 |      |      |        |                 |      |
| 0.9≤DISP<1.2             | 2013     | 0.13   | 4.02            | 0.08  |                                |        |                 |      |      |        |                 |      |
| 1.2≤DISP<2.5             | 2014     | 0.11   | 4.77            | 0.08  | 2014                           | 0.11   | 4.77            | 0.08 | 2018 | 0.04   | 1.3             | 0.03 |
|                          | 2018     |        |                 | 0.070 |                                |        |                 |      |      |        |                 |      |
| 2.5≤DISP<3.5             | 2013     | 0.11   | 4.77            | 0.08  | 2013                           | 0.11   | 4.77            | 0.08 | 2018 | 0.04   | 1.3             | 0.03 |
|                          | 2018     |        |                 | 0.070 |                                |        |                 |      |      |        |                 |      |
| 3.5≤DISP<5.0             | 2012     | 0.11   | 4.89            | 0.08  | 2012                           | 0.11   | 4.89            | 0.08 | 2018 | 0.04   | 1.3             | 0.03 |
|                          | 2018     |        |                 | 0.070 |                                |        |                 |      |      |        |                 |      |

| DISPLACEMENT<br>CATEGORY | <35 KW/L                       |        |                 |      |      |        |                 |      |                                |        |                 |      |      |        |                 |      |
|--------------------------|--------------------------------|--------|-----------------|------|------|--------|-----------------|------|--------------------------------|--------|-----------------|------|------|--------|-----------------|------|
|                          | 1000<KW≤1400                   |        |                 |      |      |        |                 |      | >1400KW                        |        |                 |      |      |        |                 |      |
|                          | YEAR                           | TIER 3 |                 |      | YEAR | TIER 4 |                 |      | YEAR                           | TIER 3 |                 |      | YEAR | TIER 4 |                 |      |
|                          |                                | HC     | NO <sub>x</sub> | PM   |      | HC     | NO <sub>x</sub> | PM   |                                | HC     | NO <sub>x</sub> | PM   |      | HC     | NO <sub>x</sub> | PM   |
| DISP<0.9 AND<br>37<KW≤75 | NO ENGINES IN THESE CATEGORIES |        |                 |      |      |        |                 |      | NO ENGINES IN THESE CATEGORIES |        |                 |      |      |        |                 |      |
| DISP<0.9 AND<br>>75KW    |                                |        |                 |      |      |        |                 |      |                                |        |                 |      |      |        |                 |      |
| 0.9≤DISP<1.2             |                                |        |                 |      |      |        |                 |      |                                |        |                 |      |      |        |                 |      |
| 1.2≤DISP<2.5             |                                |        |                 |      |      |        |                 |      |                                |        |                 |      |      |        |                 |      |
| 2.5≤DISP<3.5             | 2013                           | 0.11   | 4.77            | 0.08 | 2017 | 0.04   | 1.3             | 0.03 |                                |        |                 |      |      |        |                 |      |
| 3.5≤DISP<5.0             | 2012                           | 0.11   | 4.89            | 0.08 | 2017 | 0.04   | 1.3             | 0.03 | 2012                           | 0.11   | 4.89            | 0.08 | 2016 | 0.04   | 1.3             | 0.03 |

Table 0-32 Control PM<sub>10</sub>, NO<sub>x</sub>, and HC Emission Factors (g/kW-hr) for C1 Auxiliary High Power Density Engines

| DISPLACEMENT<br>CATEGORY | ≥35 KW/L |        |                 |      |                                |        |                 |    |      |        |                 |    |
|--------------------------|----------|--------|-----------------|------|--------------------------------|--------|-----------------|----|------|--------|-----------------|----|
|                          | ≤600KW   |        |                 |      | 600<KW≤1000                    |        |                 |    |      |        |                 |    |
|                          | YEAR     | TIER 3 |                 |      | YEAR                           | TIER 3 |                 |    | YEAR | TIER 4 |                 |    |
|                          |          | HC     | NO <sub>x</sub> | PM   |                                | HC     | NO <sub>x</sub> | PM |      | HC     | NO <sub>x</sub> | PM |
| DISP<0.9 AND<br>37<KW≤75 | 2009     | 0.30   | 5.70            | 0.17 | NO ENGINES IN THESE CATEGORIES |        |                 |    |      |        |                 |    |
|                          | 2014     |        | 3.56            |      |                                |        |                 |    |      |        |                 |    |
| DISP<0.9 AND >75KW       | 2012     | 0.15   | 4.38            | 0.08 |                                |        |                 |    |      |        |                 |    |
| 0.9≤DISP<1.2             |          |        |                 |      |                                |        |                 |    |      |        |                 |    |
| 1.2≤DISP<2.5             |          |        |                 |      |                                |        |                 |    |      |        |                 |    |
| 2.5≤DISP<3.5             |          |        |                 |      |                                |        |                 |    |      |        |                 |    |
| 3.5≤DISP<5.0             |          |        |                 |      |                                |        |                 |    |      |        |                 |    |

| DISPLACEMENT<br>CATEGORY | ≥35 KW/L                       |        |                 |      |      |        |                 |      |                                |        |                 |      |      |        |                 |      |
|--------------------------|--------------------------------|--------|-----------------|------|------|--------|-----------------|------|--------------------------------|--------|-----------------|------|------|--------|-----------------|------|
|                          | 1000<KW≤1400                   |        |                 |      |      |        |                 |      | >1400KW                        |        |                 |      |      |        |                 |      |
|                          | YEAR                           | TIER 3 |                 |      | YEAR | TIER 4 |                 |      | YEAR                           | TIER 3 |                 |      | YEAR | TIER 4 |                 |      |
|                          |                                | HC     | NO <sub>x</sub> | PM   |      | HC     | NO <sub>x</sub> | PM   |                                | HC     | NO <sub>x</sub> | PM   |      | HC     | NO <sub>x</sub> | PM   |
| DISP<0.9 AND<br>37<KW≤75 | NO ENGINES IN THESE CATEGORIES |        |                 |      |      |        |                 |      | NO ENGINES IN THESE CATEGORIES |        |                 |      |      |        |                 |      |
| DISP<0.9 AND<br>>75KW    |                                |        |                 |      |      |        |                 |      |                                |        |                 |      |      |        |                 |      |
| 0.9≤DISP<1.2             | 2013                           | 0.13   | 4.02            | 0.08 | 2017 | 0.04   | 1.3             | 0.03 |                                |        |                 |      |      |        |                 |      |
| 1.2≤DISP<2.5             | NO ENGINES IN THESE CATEGORIES |        |                 |      |      |        |                 |      | 2014                           | 0.11   | 4.77            | 0.08 | 2016 | 0.04   | 1.3             | 0.03 |
| 2.5≤DISP<3.5             |                                |        |                 |      |      |        |                 |      | NO ENGINES IN THESE CATEGORIES |        |                 |      |      |        |                 |      |
| 3.5≤DISP<5.0             |                                |        |                 |      |      |        |                 |      |                                |        |                 |      |      |        |                 |      |



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**Table 0-33 Control Case (50-State) Emissions for C1 Auxiliary Engines (short tons)**

| YEAR | PM <sub>10</sub> | PM <sub>2.5</sub> | NO <sub>x</sub> | VOC   | HC    | CO    | SO <sub>2</sub> |
|------|------------------|-------------------|-----------------|-------|-------|-------|-----------------|
| 2002 | 2,714            | 2,632             | 60,641          | 1,767 | 1,678 | 9,624 | 6,553           |
| 2003 | 2,773            | 2,690             | 60,959          | 1,783 | 1,693 | 9,710 | 6,613           |
| 2004 | 2,791            | 2,708             | 60,482          | 1,785 | 1,696 | 9,668 | 6,673           |
| 2005 | 2,786            | 2,703             | 59,774          | 1,788 | 1,698 | 9,585 | 6,733           |
| 2006 | 2,769            | 2,686             | 59,073          | 1,791 | 1,700 | 9,503 | 6,667           |
| 2007 | 2,482            | 2,407             | 58,048          | 1,787 | 1,697 | 9,331 | 3,461           |
| 2008 | 2,263            | 2,195             | 57,030          | 1,783 | 1,693 | 9,160 | 1,140           |
| 2009 | 2,229            | 2,162             | 56,020          | 1,778 | 1,688 | 8,989 | 1,150           |
| 2010 | 2,169            | 2,104             | 55,022          | 1,773 | 1,684 | 8,820 | 852             |
| 2011 | 2,113            | 2,049             | 54,038          | 1,768 | 1,679 | 8,654 | 636             |
| 2012 | 2,042            | 1,981             | 52,949          | 1,753 | 1,664 | 8,489 | 337             |
| 2013 | 1,971            | 1,912             | 51,796          | 1,727 | 1,640 | 8,327 | 120             |
| 2014 | 1,902            | 1,845             | 50,317          | 1,677 | 1,593 | 8,167 | 145             |
| 2015 | 1,829            | 1,774             | 48,863          | 1,628 | 1,546 | 8,010 | 155             |
| 2016 | 1,751            | 1,698             | 47,349          | 1,577 | 1,497 | 7,857 | 157             |
| 2017 | 1,663            | 1,613             | 45,754          | 1,523 | 1,446 | 7,708 | 158             |
| 2018 | 1,561            | 1,514             | 43,895          | 1,463 | 1,389 | 7,563 | 159             |
| 2019 | 1,458            | 1,414             | 42,089          | 1,403 | 1,333 | 7,426 | 160             |
| 2020 | 1,354            | 1,314             | 40,347          | 1,345 | 1,278 | 7,298 | 162             |
| 2021 | 1,261            | 1,224             | 38,787          | 1,290 | 1,225 | 7,198 | 163             |
| 2022 | 1,184            | 1,149             | 37,444          | 1,239 | 1,176 | 7,134 | 164             |
| 2023 | 1,116            | 1,082             | 36,210          | 1,188 | 1,129 | 7,088 | 166             |
| 2024 | 1,054            | 1,022             | 35,096          | 1,141 | 1,083 | 7,066 | 167             |
| 2025 | 998              | 968               | 34,089          | 1,095 | 1,040 | 7,067 | 169             |
| 2026 | 945              | 917               | 33,138          | 1,052 | 999   | 7,077 | 170             |
| 2027 | 895              | 868               | 32,243          | 1,010 | 959   | 7,094 | 171             |
| 2028 | 847              | 822               | 31,399          | 970   | 921   | 7,117 | 173             |
| 2029 | 803              | 779               | 30,630          | 935   | 888   | 7,145 | 174             |
| 2030 | 764              | 741               | 29,948          | 905   | 859   | 7,178 | 176             |
| 2031 | 733              | 711               | 29,388          | 882   | 838   | 7,215 | 177             |
| 2032 | 708              | 687               | 28,939          | 866   | 823   | 7,257 | 179             |
| 2033 | 687              | 667               | 28,572          | 853   | 810   | 7,303 | 180             |
| 2034 | 669              | 649               | 28,303          | 843   | 801   | 7,353 | 182             |
| 2035 | 656              | 637               | 28,159          | 836   | 794   | 7,407 | 184             |
| 2036 | 647              | 628               | 28,117          | 832   | 790   | 7,464 | 185             |
| 2037 | 641              | 622               | 28,123          | 830   | 788   | 7,524 | 187             |
| 2038 | 637              | 618               | 28,176          | 829   | 787   | 7,588 | 188             |
| 2039 | 635              | 616               | 28,259          | 829   | 788   | 7,654 | 190             |
| 2040 | 635              | 616               | 28,367          | 831   | 789   | 7,721 | 192             |

**3.1.3.4 Category 2 Propulsion**

The modeled Tier 3 and Tier 4 emission factors for Category 2 propulsion engines are shown in Table 0-34. The methodology described above for Category 1 propulsion engines was used to derive these emission factors.

The resulting control case 50-state emission inventories for Category 2 propulsion engines are given in Table 0-35.

**Table 0-34 Control PM<sub>10</sub>, NO<sub>x</sub>, and HC Emission Factors (g/kW-hr) for C2 Engines**

| DISPLACEMENT CATEGORY             | YEAR | TIER 3 |                 |      | YEAR | TIER 4 |                 |      |
|-----------------------------------|------|--------|-----------------|------|------|--------|-----------------|------|
|                                   |      | HC     | NO <sub>x</sub> | PM   |      | HC     | NO <sub>x</sub> | PM   |
| 5.0<=DISP<15 AND <600KW           | 2013 | 0.07   | 5.97            | 0.11 |      |        |                 |      |
| 5.0<=DISP<15 AND 600<=KW<1000     | 2013 | 0.07   | 5.97            | 0.11 | 2018 | 0.02   | 1.3             | 0.03 |
| 5.0<=DISP<15 AND 1000<=KW<1400    | 2013 | 0.07   | 5.97            | 0.11 | 2017 | 0.02   | 1.3             | 0.03 |
| 5.0<=DISP<15 AND 1400<=KW<3700    | 2013 | 0.07   | 5.97            | 0.11 | 2016 | 0.02   | 1.3             | 0.03 |
| 5.0<=DISP<15 AND >=3700KW         |      |        |                 |      | 2014 | 0.06   | 1.3             | 0.10 |
|                                   |      |        |                 |      | 2017 | 0.03   | 1.3             | 0.04 |
| 15.0<=DISP<20.0 AND 1400<=KW<3300 | 2014 | 0.09   | 6.77            | 0.30 | 2016 | 0.01   | 1.3             | 0.04 |
| 15.0<=DISP<20.0 AND >3700KW       |      |        |                 |      | 2014 | 0.07   | 1.3             | 0.23 |
|                                   |      |        |                 |      | 2017 | 0.01   | 1.3             | 0.05 |

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**Table 0-35 Control Case (50-State) Emissions for C2 Propulsion Engines**

| YEAR | PM <sub>10</sub> | PM <sub>2.5</sub> | NO <sub>x</sub> | VOC   | HC    | CO     | SO <sub>2</sub> |
|------|------------------|-------------------|-----------------|-------|-------|--------|-----------------|
| 2002 | 12,850           | 12,464            | 432,306         | 4,701 | 4,464 | 82,621 | 36,868          |
| 2003 | 13,112           | 12,719            | 431,973         | 4,743 | 4,504 | 83,364 | 37,193          |
| 2004 | 13,376           | 12,975            | 431,683         | 4,786 | 4,545 | 84,115 | 37,528          |
| 2005 | 13,641           | 13,232            | 431,417         | 4,829 | 4,586 | 84,872 | 37,866          |
| 2006 | 13,907           | 13,490            | 431,195         | 4,872 | 4,627 | 85,635 | 38,207          |
| 2007 | 14,174           | 13,748            | 427,380         | 4,916 | 4,669 | 85,621 | 38,550          |
| 2008 | 14,436           | 14,003            | 423,601         | 4,960 | 4,711 | 85,611 | 38,837          |
| 2009 | 14,706           | 14,264            | 419,857         | 5,005 | 4,753 | 85,605 | 39,204          |
| 2010 | 14,975           | 14,525            | 416,169         | 5,050 | 4,796 | 85,609 | 39,559          |
| 2011 | 15,245           | 14,787            | 412,537         | 5,096 | 4,839 | 85,621 | 39,920          |
| 2012 | 15,515           | 15,050            | 408,943         | 5,141 | 4,883 | 85,639 | 40,278          |
| 2013 | 15,569           | 15,102            | 404,127         | 5,150 | 4,891 | 85,665 | 39,905          |
| 2014 | 14,031           | 13,610            | 392,503         | 5,082 | 4,826 | 85,701 | 21,334          |
| 2015 | 12,996           | 12,606            | 380,939         | 5,014 | 4,761 | 85,746 | 7,888           |
| 2016 | 12,865           | 12,479            | 365,582         | 4,896 | 4,650 | 85,800 | 7,817           |
| 2017 | 12,482           | 12,107            | 350,179         | 4,729 | 4,491 | 85,864 | 5,901           |
| 2018 | 12,130           | 11,766            | 334,823         | 4,563 | 4,333 | 85,937 | 4,574           |
| 2019 | 11,748           | 11,396            | 319,586         | 4,396 | 4,175 | 86,020 | 2,963           |
| 2020 | 11,394           | 11,052            | 304,523         | 4,230 | 4,017 | 86,116 | 1,888           |
| 2021 | 11,108           | 10,775            | 289,618         | 4,066 | 3,861 | 86,222 | 1,976           |
| 2022 | 10,804           | 10,480            | 274,971         | 3,901 | 3,705 | 86,341 | 1,995           |
| 2023 | 10,465           | 10,151            | 261,143         | 3,738 | 3,550 | 86,475 | 1,975           |
| 2024 | 10,094           | 9,791             | 248,136         | 3,576 | 3,396 | 86,626 | 1,954           |
| 2025 | 9,710            | 9,419             | 235,393         | 3,415 | 3,243 | 86,790 | 1,934           |
| 2026 | 9,315            | 9,035             | 222,855         | 3,254 | 3,090 | 86,974 | 1,913           |
| 2027 | 8,909            | 8,641             | 210,526         | 3,094 | 2,938 | 87,178 | 1,894           |
| 2028 | 8,493            | 8,238             | 198,433         | 2,935 | 2,787 | 87,406 | 1,874           |
| 2029 | 8,071            | 7,829             | 186,645         | 2,777 | 2,637 | 87,672 | 1,855           |
| 2030 | 7,644            | 7,414             | 175,655         | 2,622 | 2,490 | 88,078 | 1,836           |
| 2031 | 7,211            | 6,995             | 165,474         | 2,468 | 2,344 | 88,623 | 1,818           |
| 2032 | 6,776            | 6,573             | 155,629         | 2,317 | 2,200 | 89,207 | 1,800           |
| 2033 | 6,342            | 6,152             | 146,134         | 2,169 | 2,060 | 89,820 | 1,783           |
| 2034 | 5,909            | 5,732             | 136,983         | 2,025 | 1,923 | 90,457 | 1,766           |
| 2035 | 5,482            | 5,318             | 128,247         | 1,885 | 1,790 | 91,119 | 1,750           |
| 2036 | 5,089            | 4,936             | 120,169         | 1,757 | 1,669 | 91,799 | 1,735           |
| 2037 | 4,756            | 4,613             | 113,689         | 1,651 | 1,568 | 92,500 | 1,721           |
| 2038 | 4,466            | 4,332             | 108,659         | 1,562 | 1,484 | 93,219 | 1,709           |
| 2039 | 4,220            | 4,093             | 104,710         | 1,488 | 1,413 | 93,956 | 1,700           |
| 2040 | 4,039            | 3,918             | 101,729         | 1,434 | 1,362 | 94,707 | 1,699           |

### 3.1.3.5 Less than 37 kW Propulsion and Auxiliary

The modeled Tier 3 emission factors for less than (<) 37kW commercial marine diesel engines are given in Table 0-36. These emission factors apply to both propulsion and auxiliary engines. For HC, the methodology described for Category 1 propulsion engines was used. For PM, a 20 percent compliance margin was applied to the Tier 3 standard; however, if the resulting emission factor was greater than the corresponding Tier 2 emission factor, the Tier 2 value was used for Tier 3. Since the proposed rule does not result in NO<sub>x</sub> control for this category, the Tier 3 NO<sub>x</sub> emission factors were set equal to Tier 2.

**Table 0-36 Control PM<sub>10</sub>, NO<sub>x</sub>, and HC Emission Factors (g/hp-hr) for <37kW Commercial Marine Diesel Engines**

| HP RANGE | YEAR | TIER 3 |                 |      |
|----------|------|--------|-----------------|------|
|          |      | HC     | NO <sub>x</sub> | PM   |
| 0-11     | 2009 | 0.43   | 4.39            | 0.24 |
| 11-16    | 2009 | 0.21   | 3.63            | 0.19 |
|          | 2014 | 0.21   | 2.32            | 0.19 |
| 16-25    | 2009 | 0.21   | 3.63            | 0.19 |
|          | 2014 | 0.21   | 2.32            | 0.19 |
| 25-50    | 2009 | 0.41   | 3.71            | 0.18 |
|          | 2014 | 0.41   | 2.32            | 0.18 |

The resulting control case 50-state emission inventories for <37kW propulsion and auxiliary engines are given in Table 0-37.

### 3.1.3.6 Commercial Marine Diesel Control Inventory Summary

#### 3.1.3.6.1 PM<sub>10</sub>, PM<sub>2.5</sub>, NO<sub>x</sub>, VOC, CO, and SO<sub>2</sub> Emissions

Table 0-38 thru Table 0-43 present the resulting 50-state consolidated commercial marine control case inventories for each pollutant and category, for calendar years 2002-2040.

#### 3.1.3.6.2 Air Toxics Emissions

The control case air toxics inventories for commercial marine diesel engines are provided in Table 0-44. The gaseous air toxics are assumed to be controlled proportionately to VOC, whereas POM is controlled proportionately to PM.

## Draft Regulatory Impact Analysis

**Table 0-37 Control Case (50-State) Emissions for <37kW Commercial Marine Engines  
(short tons)**

| YEAR | PM <sub>10</sub> | PM <sub>2.5</sub> | NO <sub>x</sub> | VOC   | HC    | CO    | SO <sub>2</sub> |
|------|------------------|-------------------|-----------------|-------|-------|-------|-----------------|
| 2002 | 728              | 706               | 5,517           | 1,273 | 1,209 | 3,783 | 731             |
| 2003 | 710              | 689               | 5,448           | 1,222 | 1,161 | 3,680 | 738             |
| 2004 | 692              | 671               | 5,350           | 1,179 | 1,120 | 3,576 | 745             |
| 2005 | 671              | 651               | 5,229           | 1,128 | 1,071 | 3,460 | 752             |
| 2006 | 648              | 629               | 5,101           | 1,075 | 1,021 | 3,339 | 745             |
| 2007 | 596              | 578               | 4,973           | 1,022 | 970   | 3,216 | 387             |
| 2008 | 551              | 534               | 4,846           | 969   | 920   | 3,093 | 128             |
| 2009 | 524              | 509               | 4,719           | 911   | 865   | 2,970 | 129             |
| 2010 | 495              | 480               | 4,594           | 853   | 810   | 2,846 | 95              |
| 2011 | 466              | 452               | 4,472           | 797   | 757   | 2,724 | 71              |
| 2012 | 437              | 424               | 4,351           | 741   | 704   | 2,603 | 38              |
| 2013 | 409              | 397               | 4,234           | 688   | 653   | 2,484 | 14              |
| 2014 | 383              | 371               | 4,073           | 636   | 604   | 2,369 | 16              |
| 2015 | 357              | 346               | 3,917           | 586   | 556   | 2,259 | 18              |
| 2016 | 334              | 324               | 3,777           | 545   | 518   | 2,170 | 18              |
| 2017 | 317              | 308               | 3,658           | 515   | 489   | 2,109 | 18              |
| 2018 | 303              | 294               | 3,556           | 492   | 467   | 2,063 | 18              |
| 2019 | 291              | 282               | 3,462           | 472   | 448   | 2,027 | 18              |
| 2020 | 280              | 272               | 3,377           | 454   | 432   | 1,997 | 18              |
| 2021 | 271              | 263               | 3,301           | 438   | 416   | 1,972 | 18              |
| 2022 | 263              | 255               | 3,240           | 423   | 402   | 1,952 | 18              |
| 2023 | 257              | 249               | 3,188           | 411   | 390   | 1,940 | 19              |
| 2024 | 252              | 244               | 3,144           | 401   | 381   | 1,932 | 19              |
| 2025 | 248              | 240               | 3,103           | 393   | 373   | 1,926 | 19              |
| 2026 | 244              | 237               | 3,070           | 387   | 368   | 1,926 | 19              |
| 2027 | 242              | 235               | 3,042           | 383   | 364   | 1,929 | 19              |
| 2028 | 241              | 234               | 3,018           | 381   | 361   | 1,934 | 19              |
| 2029 | 240              | 233               | 2,998           | 379   | 360   | 1,942 | 20              |
| 2030 | 240              | 233               | 2,982           | 378   | 359   | 1,952 | 20              |
| 2031 | 240              | 233               | 2,978           | 378   | 359   | 1,963 | 20              |
| 2032 | 241              | 234               | 2,983           | 380   | 360   | 1,977 | 20              |
| 2033 | 242              | 235               | 2,993           | 381   | 362   | 1,992 | 20              |
| 2034 | 244              | 236               | 3,007           | 384   | 365   | 2,009 | 21              |
| 2035 | 245              | 238               | 3,022           | 387   | 367   | 2,026 | 21              |
| 2036 | 247              | 240               | 3,040           | 389   | 370   | 2,044 | 21              |
| 2037 | 249              | 242               | 3,058           | 392   | 372   | 2,061 | 21              |
| 2038 | 251              | 244               | 3,079           | 395   | 375   | 2,079 | 21              |
| 2039 | 253              | 246               | 3,100           | 398   | 378   | 2,097 | 21              |
| 2040 | 255              | 248               | 3,123           | 402   | 381   | 2,115 | 22              |

**Table 0-38 Control Case (50-State) PM<sub>10</sub> Emissions for Commercial Marine Diesel Engines  
(short tons)**

| YEAR | C1<br>PROPULSION | C1<br>AUXILIARY | C1<br>TOTAL | C2<br>PROPULSION | <37KW | TOTAL  |
|------|------------------|-----------------|-------------|------------------|-------|--------|
| 2002 | 13,328           | 2,714           | 16,041      | 12,850           | 728   | 29,619 |
| 2003 | 13,690           | 2,773           | 16,463      | 13,112           | 710   | 30,285 |
| 2004 | 13,807           | 2,791           | 16,598      | 13,376           | 692   | 30,666 |
| 2005 | 13,873           | 2,786           | 16,659      | 13,641           | 671   | 30,972 |
| 2006 | 13,872           | 2,769           | 16,641      | 13,907           | 648   | 31,196 |
| 2007 | 12,230           | 2,482           | 14,712      | 14,174           | 596   | 29,481 |
| 2008 | 10,961           | 2,263           | 13,224      | 14,436           | 551   | 28,211 |
| 2009 | 10,709           | 2,229           | 12,939      | 14,706           | 524   | 28,169 |
| 2010 | 10,304           | 2,169           | 12,472      | 14,975           | 495   | 27,942 |
| 2011 | 9,916            | 2,113           | 12,029      | 15,245           | 466   | 27,740 |
| 2012 | 9,409            | 2,042           | 11,451      | 15,515           | 437   | 27,404 |
| 2013 | 8,859            | 1,971           | 10,830      | 15,569           | 409   | 26,808 |
| 2014 | 8,291            | 1,902           | 10,192      | 14,031           | 383   | 24,606 |
| 2015 | 7,700            | 1,829           | 9,528       | 12,996           | 357   | 22,881 |
| 2016 | 7,065            | 1,751           | 8,816       | 12,865           | 334   | 22,015 |
| 2017 | 6,463            | 1,663           | 8,126       | 12,482           | 317   | 20,925 |
| 2018 | 5,911            | 1,561           | 7,472       | 12,130           | 303   | 19,905 |
| 2019 | 5,388            | 1,458           | 6,845       | 11,748           | 291   | 18,885 |
| 2020 | 4,938            | 1,354           | 6,292       | 11,394           | 280   | 17,967 |
| 2021 | 4,562            | 1,261           | 5,824       | 11,108           | 271   | 17,203 |
| 2022 | 4,208            | 1,184           | 5,393       | 10,804           | 263   | 16,460 |
| 2023 | 3,873            | 1,116           | 4,988       | 10,465           | 257   | 15,710 |
| 2024 | 3,552            | 1,054           | 4,606       | 10,094           | 252   | 14,952 |
| 2025 | 3,263            | 998             | 4,262       | 9,710            | 248   | 14,219 |
| 2026 | 3,013            | 945             | 3,959       | 9,315            | 244   | 13,518 |
| 2027 | 2,808            | 895             | 3,704       | 8,909            | 242   | 12,855 |
| 2028 | 2,644            | 847             | 3,491       | 8,493            | 241   | 12,225 |
| 2029 | 2,512            | 803             | 3,315       | 8,071            | 240   | 11,626 |
| 2030 | 2,417            | 764             | 3,181       | 7,644            | 240   | 11,065 |
| 2031 | 2,352            | 733             | 3,085       | 7,211            | 240   | 10,537 |
| 2032 | 2,310            | 708             | 3,019       | 6,776            | 241   | 10,036 |
| 2033 | 2,284            | 687             | 2,971       | 6,342            | 242   | 9,555  |
| 2034 | 2,265            | 669             | 2,934       | 5,909            | 244   | 9,087  |
| 2035 | 2,254            | 656             | 2,910       | 5,482            | 245   | 8,638  |
| 2036 | 2,248            | 647             | 2,896       | 5,089            | 247   | 8,232  |
| 2037 | 2,250            | 641             | 2,891       | 4,756            | 249   | 7,895  |
| 2038 | 2,256            | 637             | 2,894       | 4,466            | 251   | 7,611  |
| 2039 | 2,268            | 635             | 2,903       | 4,220            | 253   | 7,376  |
| 2040 | 2,282            | 635             | 2,917       | 4,039            | 255   | 7,211  |

## Draft Regulatory Impact Analysis

**Table 0-39 Control Case (50-State) PM<sub>2.5</sub> Emissions for Commercial Marine Diesel Engines  
(short tons)**

| YEAR | C1<br>PROPULSION | C1<br>AUXILIARY | C1<br>TOTAL | C2<br>PROPULSION | <37KW | TOTAL  |
|------|------------------|-----------------|-------------|------------------|-------|--------|
| 2002 | 12,928           | 2,632           | 15,560      | 12,464           | 706   | 28,730 |
| 2003 | 13,279           | 2,690           | 15,969      | 12,719           | 689   | 29,377 |
| 2004 | 13,393           | 2,708           | 16,100      | 12,975           | 671   | 29,746 |
| 2005 | 13,457           | 2,703           | 16,159      | 13,232           | 651   | 30,042 |
| 2006 | 13,456           | 2,686           | 16,142      | 13,490           | 629   | 30,260 |
| 2007 | 11,863           | 2,407           | 14,270      | 13,748           | 578   | 28,596 |
| 2008 | 10,632           | 2,195           | 12,827      | 14,003           | 534   | 27,364 |
| 2009 | 10,388           | 2,162           | 12,551      | 14,264           | 509   | 27,324 |
| 2010 | 9,995            | 2,104           | 12,098      | 14,525           | 480   | 27,104 |
| 2011 | 9,618            | 2,049           | 11,668      | 14,787           | 452   | 26,908 |
| 2012 | 9,127            | 1,981           | 11,107      | 15,050           | 424   | 26,582 |
| 2013 | 8,593            | 1,912           | 10,505      | 15,102           | 397   | 26,004 |
| 2014 | 8,042            | 1,845           | 9,887       | 13,610           | 371   | 23,868 |
| 2015 | 7,469            | 1,774           | 9,242       | 12,606           | 346   | 22,195 |
| 2016 | 6,853            | 1,698           | 8,551       | 12,479           | 324   | 21,354 |
| 2017 | 6,269            | 1,613           | 7,882       | 12,107           | 308   | 20,297 |
| 2018 | 5,734            | 1,514           | 7,248       | 11,766           | 294   | 19,308 |
| 2019 | 5,226            | 1,414           | 6,640       | 11,396           | 282   | 18,318 |
| 2020 | 4,790            | 1,314           | 6,103       | 11,052           | 272   | 17,428 |
| 2021 | 4,425            | 1,224           | 5,649       | 10,775           | 263   | 16,687 |
| 2022 | 4,082            | 1,149           | 5,231       | 10,480           | 255   | 15,966 |
| 2023 | 3,756            | 1,082           | 4,838       | 10,151           | 249   | 15,239 |
| 2024 | 3,446            | 1,022           | 4,468       | 9,791            | 244   | 14,503 |
| 2025 | 3,165            | 968             | 4,134       | 9,419            | 240   | 13,793 |
| 2026 | 2,923            | 917             | 3,840       | 9,035            | 237   | 13,113 |
| 2027 | 2,724            | 868             | 3,592       | 8,641            | 235   | 12,469 |
| 2028 | 2,565            | 822             | 3,386       | 8,238            | 234   | 11,858 |
| 2029 | 2,436            | 779             | 3,215       | 7,829            | 233   | 11,277 |
| 2030 | 2,344            | 741             | 3,086       | 7,414            | 233   | 10,733 |
| 2031 | 2,282            | 711             | 2,993       | 6,995            | 233   | 10,221 |
| 2032 | 2,241            | 687             | 2,928       | 6,573            | 234   | 9,735  |
| 2033 | 2,215            | 667             | 2,882       | 6,152            | 235   | 9,269  |
| 2034 | 2,197            | 649             | 2,846       | 5,732            | 236   | 8,815  |
| 2035 | 2,186            | 637             | 2,823       | 5,318            | 238   | 8,378  |
| 2036 | 2,181            | 628             | 2,809       | 4,936            | 240   | 7,985  |
| 2037 | 2,182            | 622             | 2,804       | 4,613            | 242   | 7,658  |
| 2038 | 2,189            | 618             | 2,807       | 4,332            | 244   | 7,383  |
| 2039 | 2,200            | 616             | 2,816       | 4,093            | 246   | 7,155  |
| 2040 | 2,214            | 616             | 2,829       | 3,918            | 248   | 6,995  |

**Table 0-40 Control Case (50-State) NO<sub>x</sub> Emissions for Commercial Marine Diesel Engines  
(short tons)**

| YEAR | C1<br>PROPULSION | C1<br>AUXILIARY | C1<br>TOTAL | C2<br>PROPULSION | <37KW | TOTAL   |
|------|------------------|-----------------|-------------|------------------|-------|---------|
| 2002 | 335,561          | 60,641          | 396,202     | 432,306          | 5,517 | 834,025 |
| 2003 | 336,369          | 60,959          | 397,328     | 431,973          | 5,448 | 834,749 |
| 2004 | 332,798          | 60,482          | 393,280     | 431,683          | 5,350 | 830,313 |
| 2005 | 328,810          | 59,774          | 388,583     | 431,417          | 5,229 | 825,229 |
| 2006 | 324,900          | 59,073          | 383,973     | 431,195          | 5,101 | 820,269 |
| 2007 | 316,663          | 58,048          | 374,710     | 427,380          | 4,973 | 807,063 |
| 2008 | 308,524          | 57,030          | 365,554     | 423,601          | 4,846 | 794,001 |
| 2009 | 300,509          | 56,020          | 356,529     | 419,857          | 4,719 | 781,105 |
| 2010 | 292,651          | 55,022          | 347,673     | 416,169          | 4,594 | 768,436 |
| 2011 | 284,979          | 54,038          | 339,017     | 412,537          | 4,472 | 756,026 |
| 2012 | 276,209          | 52,949          | 329,158     | 408,943          | 4,351 | 742,453 |
| 2013 | 267,453          | 51,796          | 319,249     | 404,127          | 4,234 | 727,609 |
| 2014 | 257,691          | 50,317          | 308,007     | 392,503          | 4,073 | 704,584 |
| 2015 | 248,317          | 48,863          | 297,181     | 380,939          | 3,917 | 682,037 |
| 2016 | 236,292          | 47,349          | 283,640     | 365,582          | 3,777 | 652,999 |
| 2017 | 223,265          | 45,754          | 269,020     | 350,179          | 3,658 | 622,856 |
| 2018 | 209,717          | 43,895          | 253,612     | 334,823          | 3,556 | 591,991 |
| 2019 | 196,847          | 42,089          | 238,936     | 319,586          | 3,462 | 561,984 |
| 2020 | 185,242          | 40,347          | 225,589     | 304,523          | 3,377 | 533,489 |
| 2021 | 174,843          | 38,787          | 213,630     | 289,618          | 3,301 | 506,550 |
| 2022 | 164,971          | 37,444          | 202,415     | 274,971          | 3,240 | 480,625 |
| 2023 | 155,589          | 36,210          | 191,800     | 261,143          | 3,188 | 456,131 |
| 2024 | 146,696          | 35,096          | 181,792     | 248,136          | 3,144 | 433,072 |
| 2025 | 138,521          | 34,089          | 172,610     | 235,393          | 3,103 | 411,106 |
| 2026 | 131,195          | 33,138          | 164,333     | 222,855          | 3,070 | 390,259 |
| 2027 | 124,763          | 32,243          | 157,006     | 210,526          | 3,042 | 370,574 |
| 2028 | 119,185          | 31,399          | 150,584     | 198,433          | 3,018 | 352,035 |
| 2029 | 114,708          | 30,630          | 145,338     | 186,645          | 2,998 | 334,981 |
| 2030 | 111,660          | 29,948          | 141,608     | 175,655          | 2,982 | 320,245 |
| 2031 | 109,766          | 29,388          | 139,154     | 165,474          | 2,978 | 307,605 |
| 2032 | 108,624          | 28,939          | 137,563     | 155,629          | 2,983 | 296,175 |
| 2033 | 107,896          | 28,572          | 136,468     | 146,134          | 2,993 | 285,596 |
| 2034 | 107,443          | 28,303          | 135,746     | 136,983          | 3,007 | 275,735 |
| 2035 | 107,233          | 28,159          | 135,392     | 128,247          | 3,022 | 266,661 |
| 2036 | 107,236          | 28,117          | 135,352     | 120,169          | 3,040 | 258,561 |
| 2037 | 107,444          | 28,123          | 135,566     | 113,689          | 3,058 | 252,314 |
| 2038 | 107,834          | 28,176          | 136,009     | 108,659          | 3,079 | 247,747 |
| 2039 | 108,376          | 28,259          | 136,635     | 104,710          | 3,100 | 244,445 |
| 2040 | 109,054          | 28,367          | 137,421     | 101,729          | 3,123 | 242,273 |



## Draft Regulatory Impact Analysis

**Table 0-41 Control Case (50-State) VOC Emissions for Commercial Marine Diesel Engines  
(short tons)**

| YEAR | C1<br>PROPULSION | C1<br>AUXILIARY | C1<br>TOTAL | C2<br>PROPULSION | <37KW | TOTAL  |
|------|------------------|-----------------|-------------|------------------|-------|--------|
| 2002 | 9,488            | 1,767           | 11,255      | 4,701            | 1,273 | 17,229 |
| 2003 | 9,573            | 1,783           | 11,356      | 4,743            | 1,222 | 17,321 |
| 2004 | 9,561            | 1,785           | 11,346      | 4,786            | 1,179 | 17,311 |
| 2005 | 9,550            | 1,788           | 11,338      | 4,829            | 1,128 | 17,295 |
| 2006 | 9,540            | 1,791           | 11,331      | 4,872            | 1,075 | 17,278 |
| 2007 | 9,415            | 1,787           | 11,202      | 4,916            | 1,022 | 17,140 |
| 2008 | 9,291            | 1,783           | 11,074      | 4,960            | 969   | 17,003 |
| 2009 | 9,169            | 1,778           | 10,947      | 5,005            | 911   | 16,863 |
| 2010 | 9,050            | 1,773           | 10,823      | 5,050            | 853   | 16,726 |
| 2011 | 8,933            | 1,768           | 10,701      | 5,096            | 797   | 16,594 |
| 2012 | 8,708            | 1,753           | 10,461      | 5,141            | 741   | 16,344 |
| 2013 | 8,433            | 1,727           | 10,160      | 5,150            | 688   | 15,998 |
| 2014 | 8,042            | 1,677           | 9,719       | 5,082            | 636   | 15,437 |
| 2015 | 7,658            | 1,628           | 9,286       | 5,014            | 586   | 14,885 |
| 2016 | 7,228            | 1,577           | 8,805       | 4,896            | 545   | 14,246 |
| 2017 | 6,784            | 1,523           | 8,307       | 4,729            | 515   | 13,551 |
| 2018 | 6,334            | 1,463           | 7,796       | 4,563            | 492   | 12,851 |
| 2019 | 5,898            | 1,403           | 7,302       | 4,396            | 472   | 12,169 |
| 2020 | 5,496            | 1,345           | 6,841       | 4,230            | 454   | 11,526 |
| 2021 | 5,126            | 1,290           | 6,416       | 4,066            | 438   | 10,920 |
| 2022 | 4,772            | 1,239           | 6,010       | 3,901            | 423   | 10,335 |
| 2023 | 4,433            | 1,188           | 5,621       | 3,738            | 411   | 9,771  |
| 2024 | 4,111            | 1,141           | 5,252       | 3,576            | 401   | 9,229  |
| 2025 | 3,826            | 1,095           | 4,922       | 3,415            | 393   | 8,729  |
| 2026 | 3,589            | 1,052           | 4,640       | 3,254            | 387   | 8,281  |
| 2027 | 3,400            | 1,010           | 4,410       | 3,094            | 383   | 7,887  |
| 2028 | 3,252            | 970             | 4,223       | 2,935            | 381   | 7,538  |
| 2029 | 3,134            | 935             | 4,068       | 2,777            | 379   | 7,225  |
| 2030 | 3,049            | 905             | 3,953       | 2,622            | 378   | 6,953  |
| 2031 | 2,991            | 882             | 3,874       | 2,468            | 378   | 6,720  |
| 2032 | 2,953            | 866             | 3,819       | 2,317            | 380   | 6,516  |
| 2033 | 2,927            | 853             | 3,781       | 2,169            | 381   | 6,331  |
| 2034 | 2,911            | 843             | 3,754       | 2,025            | 384   | 6,162  |
| 2035 | 2,902            | 836             | 3,738       | 1,885            | 387   | 6,010  |
| 2036 | 2,901            | 832             | 3,733       | 1,757            | 389   | 5,880  |
| 2037 | 2,906            | 830             | 3,736       | 1,651            | 392   | 5,779  |
| 2038 | 2,919            | 829             | 3,748       | 1,562            | 395   | 5,705  |
| 2039 | 2,936            | 829             | 3,765       | 1,488            | 398   | 5,652  |
| 2040 | 2,957            | 831             | 3,787       | 1,434            | 402   | 5,623  |

**Table 0-42 Control Case (50-State) CO Emissions for Commercial Marine Diesel Engines  
(short tons)**

| YEAR | C1<br>PROPULSION | C1<br>AUXILIARY | C1<br>TOTAL | C2<br>PROPULSION | <37KW | TOTAL   |
|------|------------------|-----------------|-------------|------------------|-------|---------|
| 2002 | 55,303           | 9,624           | 64,927      | 82,621           | 3,783 | 151,331 |
| 2003 | 55,801           | 9,710           | 65,511      | 83,364           | 3,680 | 152,556 |
| 2004 | 55,722           | 9,668           | 65,390      | 84,115           | 3,576 | 153,080 |
| 2005 | 55,582           | 9,585           | 65,167      | 84,872           | 3,460 | 153,499 |
| 2006 | 55,450           | 9,503           | 64,954      | 85,635           | 3,339 | 153,928 |
| 2007 | 54,423           | 9,331           | 63,754      | 85,621           | 3,216 | 152,591 |
| 2008 | 53,405           | 9,160           | 62,565      | 85,611           | 3,093 | 151,269 |
| 2009 | 52,401           | 8,989           | 61,391      | 85,605           | 2,970 | 149,966 |
| 2010 | 51,414           | 8,820           | 60,235      | 85,609           | 2,846 | 148,690 |
| 2011 | 50,445           | 8,654           | 59,099      | 85,621           | 2,724 | 147,444 |
| 2012 | 49,497           | 8,489           | 57,986      | 85,639           | 2,603 | 146,227 |
| 2013 | 48,574           | 8,327           | 56,901      | 85,665           | 2,484 | 145,050 |
| 2014 | 47,680           | 8,167           | 55,847      | 85,701           | 2,369 | 143,917 |
| 2015 | 46,827           | 8,010           | 54,837      | 85,746           | 2,259 | 142,842 |
| 2016 | 46,023           | 7,857           | 53,880      | 85,800           | 2,170 | 141,851 |
| 2017 | 45,368           | 7,708           | 53,076      | 85,864           | 2,109 | 141,049 |
| 2018 | 44,879           | 7,563           | 52,443      | 85,937           | 2,063 | 140,443 |
| 2019 | 44,482           | 7,426           | 51,908      | 86,020           | 2,027 | 139,954 |
| 2020 | 44,301           | 7,298           | 51,599      | 86,116           | 1,997 | 139,712 |
| 2021 | 44,329           | 7,198           | 51,527      | 86,222           | 1,972 | 139,720 |
| 2022 | 44,423           | 7,134           | 51,557      | 86,341           | 1,952 | 139,851 |
| 2023 | 44,571           | 7,088           | 51,659      | 86,475           | 1,940 | 140,073 |
| 2024 | 44,760           | 7,066           | 51,827      | 86,626           | 1,932 | 140,384 |
| 2025 | 44,987           | 7,067           | 52,054      | 86,790           | 1,926 | 140,771 |
| 2026 | 45,248           | 7,077           | 52,325      | 86,974           | 1,926 | 141,226 |
| 2027 | 45,539           | 7,094           | 52,633      | 87,178           | 1,929 | 141,740 |
| 2028 | 45,861           | 7,117           | 52,978      | 87,406           | 1,934 | 142,318 |
| 2029 | 46,209           | 7,145           | 53,354      | 87,672           | 1,942 | 142,968 |
| 2030 | 46,583           | 7,178           | 53,761      | 88,078           | 1,952 | 143,791 |
| 2031 | 46,975           | 7,215           | 54,191      | 88,623           | 1,963 | 144,776 |
| 2032 | 47,385           | 7,257           | 54,642      | 89,207           | 1,977 | 145,825 |
| 2033 | 47,811           | 7,303           | 55,114      | 89,820           | 1,992 | 146,926 |
| 2034 | 48,241           | 7,353           | 55,595      | 90,457           | 2,009 | 148,060 |
| 2035 | 48,675           | 7,407           | 56,082      | 91,119           | 2,026 | 149,227 |
| 2036 | 49,114           | 7,464           | 56,577      | 91,799           | 2,044 | 150,419 |
| 2037 | 49,556           | 7,524           | 57,079      | 92,500           | 2,061 | 151,640 |
| 2038 | 50,002           | 7,588           | 57,589      | 93,219           | 2,079 | 152,887 |
| 2039 | 50,452           | 7,654           | 58,105      | 93,956           | 2,097 | 154,158 |
| 2040 | 50,906           | 7,721           | 58,627      | 94,707           | 2,115 | 155,449 |

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**Table 0-43 Control Case (50-State) SO<sub>2</sub> Emissions for Commercial Marine Diesel Engines  
(short tons)**

| YEAR | C1<br>PROPULSION | C1<br>AUXILIARY | C1<br>TOTAL | C2<br>PROPULSION | <37KW | TOTAL  |
|------|------------------|-----------------|-------------|------------------|-------|--------|
| 2002 | 36,201           | 6,553           | 42,754      | 36,868           | 731   | 80,353 |
| 2003 | 36,528           | 6,613           | 43,141      | 37,193           | 738   | 81,073 |
| 2004 | 36,862           | 6,673           | 43,535      | 37,528           | 745   | 81,808 |
| 2005 | 37,192           | 6,733           | 43,925      | 37,866           | 752   | 82,543 |
| 2006 | 36,827           | 6,667           | 43,493      | 38,207           | 745   | 82,445 |
| 2007 | 19,121           | 3,461           | 22,583      | 38,550           | 387   | 61,520 |
| 2008 | 6,299            | 1,140           | 7,440       | 38,837           | 128   | 46,404 |
| 2009 | 6,355            | 1,150           | 7,506       | 39,204           | 129   | 46,839 |
| 2010 | 4,705            | 852             | 5,557       | 39,559           | 95    | 45,212 |
| 2011 | 3,513            | 636             | 4,148       | 39,920           | 71    | 44,139 |
| 2012 | 1,862            | 337             | 2,199       | 40,278           | 38    | 42,515 |
| 2013 | 664              | 120             | 784         | 39,905           | 14    | 40,702 |
| 2014 | 799              | 145             | 943         | 21,334           | 16    | 22,293 |
| 2015 | 857              | 155             | 1,012       | 7,888            | 18    | 8,917  |
| 2016 | 865              | 157             | 1,021       | 7,817            | 18    | 8,855  |
| 2017 | 872              | 158             | 1,030       | 5,901            | 18    | 6,949  |
| 2018 | 879              | 159             | 1,038       | 4,574            | 18    | 5,630  |
| 2019 | 886              | 160             | 1,046       | 2,963            | 18    | 4,028  |
| 2020 | 893              | 162             | 1,055       | 1,888            | 18    | 2,961  |
| 2021 | 900              | 163             | 1,063       | 1,976            | 18    | 3,058  |
| 2022 | 907              | 164             | 1,072       | 1,995            | 18    | 3,085  |
| 2023 | 915              | 166             | 1,081       | 1,975            | 19    | 3,074  |
| 2024 | 923              | 167             | 1,090       | 1,954            | 19    | 3,063  |
| 2025 | 931              | 169             | 1,099       | 1,934            | 19    | 3,052  |
| 2026 | 939              | 170             | 1,109       | 1,913            | 19    | 3,041  |
| 2027 | 946              | 171             | 1,118       | 1,894            | 19    | 3,031  |
| 2028 | 954              | 173             | 1,127       | 1,874            | 19    | 3,020  |
| 2029 | 962              | 174             | 1,136       | 1,855            | 20    | 3,010  |
| 2030 | 970              | 176             | 1,146       | 1,836            | 20    | 3,002  |
| 2031 | 978              | 177             | 1,155       | 1,818            | 20    | 2,993  |
| 2032 | 986              | 179             | 1,165       | 1,800            | 20    | 2,985  |
| 2033 | 995              | 180             | 1,175       | 1,783            | 20    | 2,978  |
| 2034 | 1,006            | 182             | 1,188       | 1,766            | 21    | 2,975  |
| 2035 | 1,015            | 184             | 1,198       | 1,750            | 21    | 2,969  |
| 2036 | 1,023            | 185             | 1,208       | 1,735            | 21    | 2,964  |
| 2037 | 1,032            | 187             | 1,218       | 1,721            | 21    | 2,961  |
| 2038 | 1,040            | 188             | 1,228       | 1,709            | 21    | 2,958  |
| 2039 | 1,050            | 190             | 1,240       | 1,700            | 21    | 2,962  |
| 2040 | 1,059            | 192             | 1,251       | 1,699            | 22    | 2,971  |

**Table 0-44 Control Case (50-State) Air Toxic Emissions for Commercial Marine Diesel Engines (short tons)**

| HAP           | 2010  | 2015  | 2020  | 2030  |
|---------------|-------|-------|-------|-------|
| BENZENE       | 556   | 515   | 410   | 252   |
| FORMALDEHYDE  | 4,088 | 3,785 | 3,018 | 1,857 |
| ACETALDEHYDE  | 2,032 | 1,881 | 1,500 | 923   |
| 1,3-BUTADIENE | 6     | 5     | 4     | 3     |
| ACROLEIN      | 79    | 73    | 58    | 36    |
| NAPHTHALENE   | 38    | 34    | 26    | 16    |
| POM           | 10    | 9     | 7     | 4     |

### 3.1.4 Projected Commercial Marine Emission Reductions of Proposal

The PM<sub>2.5</sub>, NO<sub>x</sub>, and VOC emission reductions for each category and calendar year are presented in Table 0-45 thru Table 0-47. The air toxic emission reductions by pollutant and calendar year are given in Table 0-48.

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**Table 0-45 Projected Commercial Marine PM<sub>2.5</sub> Emission Reductions (short tons)**

| YEAR | C1<br>PROPULSION | C1<br>AUXILIARY | C1<br>TOTAL | C2<br>PROPULSION | <37KW | TOTAL  |
|------|------------------|-----------------|-------------|------------------|-------|--------|
| 2008 | 0                | 0               | 0           | 0                | 0     | 0      |
| 2009 | 0                | 1               | 1           | 0                | 2     | 3      |
| 2010 | 0                | 2               | 2           | 0                | 4     | 6      |
| 2011 | 0                | 2               | 3           | 0                | 5     | 8      |
| 2012 | 60               | 9               | 69          | 0                | 6     | 76     |
| 2013 | 140              | 21              | 161         | 153              | 8     | 321    |
| 2014 | 288              | 49              | 336         | 431              | 9     | 776    |
| 2015 | 441              | 76              | 518         | 620              | 11    | 1,149  |
| 2016 | 634              | 106             | 740         | 988              | 13    | 1,740  |
| 2017 | 856              | 139             | 995         | 1,459            | 15    | 2,469  |
| 2018 | 1,112            | 179             | 1,292       | 1,937            | 16    | 3,245  |
| 2019 | 1,375            | 220             | 1,595       | 2,405            | 18    | 4,019  |
| 2020 | 1,643            | 262             | 1,905       | 2,882            | 20    | 4,808  |
| 2021 | 1,917            | 305             | 2,221       | 3,400            | 22    | 5,644  |
| 2022 | 2,194            | 348             | 2,542       | 3,925            | 24    | 6,491  |
| 2023 | 2,473            | 392             | 2,865       | 4,456            | 26    | 7,347  |
| 2024 | 2,751            | 437             | 3,188       | 4,995            | 28    | 8,210  |
| 2025 | 3,012            | 482             | 3,494       | 5,541            | 29    | 9,064  |
| 2026 | 3,245            | 528             | 3,773       | 6,096            | 31    | 9,899  |
| 2027 | 3,449            | 573             | 4,021       | 6,658            | 32    | 10,711 |
| 2028 | 3,625            | 618             | 4,243       | 7,227            | 33    | 11,503 |
| 2029 | 3,782            | 661             | 4,442       | 7,801            | 33    | 12,277 |
| 2030 | 3,914            | 700             | 4,613       | 8,380            | 34    | 13,027 |
| 2031 | 4,022            | 733             | 4,755       | 8,962            | 35    | 13,752 |
| 2032 | 4,115            | 761             | 4,876       | 9,546            | 35    | 14,458 |
| 2033 | 4,198            | 787             | 4,985       | 10,130           | 36    | 15,151 |
| 2034 | 4,274            | 811             | 5,085       | 10,712           | 37    | 15,834 |
| 2035 | 4,343            | 832             | 5,175       | 11,288           | 37    | 16,500 |
| 2036 | 4,407            | 850             | 5,257       | 11,831           | 38    | 17,126 |
| 2037 | 4,465            | 867             | 5,332       | 12,316           | 38    | 17,686 |
| 2038 | 4,518            | 882             | 5,400       | 12,759           | 39    | 18,198 |
| 2039 | 4,568            | 897             | 5,465       | 13,160           | 39    | 18,664 |
| 2040 | 4,614            | 911             | 5,525       | 13,498           | 40    | 19,063 |

Table 0-46 Projected Commercial Marine NO<sub>x</sub> Emission Reductions (short tons)

| YEAR | C1<br>PROPULSION | C1<br>AUXILIARY | C1<br>TOTAL | C2<br>PROPULSION | <37KW | TOTAL   |
|------|------------------|-----------------|-------------|------------------|-------|---------|
| 2008 | 0                | 0               | 0           | 0                | 0     | 0       |
| 2009 | 0                | 0               | 0           | 0                | 0     | 0       |
| 2010 | 0                | 0               | 0           | 0                | 0     | 0       |
| 2011 | 0                | 0               | 0           | 0                | 0     | 0       |
| 2012 | 1,342            | 121             | 1,463       | 0                | 0     | 1,463   |
| 2013 | 3,311            | 322             | 3,633       | 1,301            | 0     | 4,935   |
| 2014 | 6,944            | 868             | 7,812       | 9,467            | 47    | 17,326  |
| 2015 | 10,562           | 1,414           | 11,976      | 17,654           | 94    | 29,723  |
| 2016 | 17,246           | 2,051           | 19,297      | 29,714           | 141   | 49,151  |
| 2017 | 26,061           | 2,835           | 28,896      | 41,922           | 188   | 71,006  |
| 2018 | 36,621           | 3,954           | 40,576      | 54,165           | 235   | 94,975  |
| 2019 | 47,117           | 5,071           | 52,187      | 66,413           | 281   | 118,882 |
| 2020 | 57,522           | 6,184           | 63,705      | 78,633           | 328   | 142,666 |
| 2021 | 67,833           | 7,292           | 75,126      | 90,840           | 374   | 166,339 |
| 2022 | 78,019           | 8,397           | 86,416      | 103,020          | 420   | 189,855 |
| 2023 | 88,051           | 9,495           | 97,546      | 115,170          | 465   | 213,181 |
| 2024 | 97,867           | 10,586          | 108,453     | 127,293          | 510   | 236,257 |
| 2025 | 107,215          | 11,667          | 118,882     | 139,391          | 555   | 258,828 |
| 2026 | 115,946          | 12,737          | 128,683     | 151,488          | 599   | 280,771 |
| 2027 | 123,957          | 13,792          | 137,749     | 163,559          | 643   | 301,951 |
| 2028 | 131,290          | 14,829          | 146,119     | 175,606          | 685   | 322,410 |
| 2029 | 137,676          | 15,822          | 153,498     | 187,573          | 726   | 341,797 |
| 2030 | 142,790          | 16,755          | 159,545     | 199,471          | 764   | 359,780 |
| 2031 | 146,842          | 17,592          | 164,434     | 211,253          | 794   | 376,481 |
| 2032 | 150,228          | 18,343          | 168,571     | 222,938          | 815   | 392,324 |
| 2033 | 153,285          | 19,039          | 172,324     | 234,439          | 835   | 407,598 |
| 2034 | 156,089          | 19,659          | 175,748     | 245,767          | 852   | 422,367 |
| 2035 | 158,671          | 20,173          | 178,844     | 256,829          | 869   | 436,542 |
| 2036 | 161,061          | 20,604          | 181,665     | 267,350          | 884   | 449,899 |
| 2037 | 163,268          | 21,004          | 184,271     | 276,408          | 899   | 461,578 |
| 2038 | 165,314          | 21,377          | 186,692     | 284,135          | 913   | 471,739 |
| 2039 | 167,230          | 21,732          | 188,962     | 290,899          | 926   | 480,787 |
| 2040 | 169,033          | 22,069          | 191,102     | 296,798          | 938   | 488,838 |

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**Table 0-47 Projected Commercial Marine VOC Emission Reductions (short tons)**

| YEAR | C1<br>PROPULSION | C1<br>AUXILIARY | C1<br>TOTAL | C2<br>PROPULSION | <37KW | TOTAL  |
|------|------------------|-----------------|-------------|------------------|-------|--------|
| 2008 | 0                | 0               | 0           | 0                | 0     | 0      |
| 2009 | 0                | 2               | 2           | 0                | 5     | 7      |
| 2010 | 1                | 3               | 4           | 0                | 11    | 14     |
| 2011 | 1                | 5               | 6           | 0                | 16    | 22     |
| 2012 | 113              | 17              | 130         | 0                | 22    | 152    |
| 2013 | 279              | 40              | 319         | 37               | 27    | 383    |
| 2014 | 564              | 88              | 652         | 152              | 32    | 837    |
| 2015 | 849              | 135             | 984         | 268              | 38    | 1,290  |
| 2016 | 1,187            | 185             | 1,372       | 433              | 43    | 1,848  |
| 2017 | 1,563            | 237             | 1,800       | 648              | 49    | 2,497  |
| 2018 | 1,970            | 297             | 2,267       | 863              | 54    | 3,183  |
| 2019 | 2,374            | 356             | 2,730       | 1,078            | 59    | 3,867  |
| 2020 | 2,773            | 415             | 3,188       | 1,293            | 64    | 4,545  |
| 2021 | 3,167            | 474             | 3,640       | 1,508            | 70    | 5,218  |
| 2022 | 3,555            | 532             | 4,087       | 1,722            | 75    | 5,883  |
| 2023 | 3,934            | 590             | 4,524       | 1,936            | 79    | 6,539  |
| 2024 | 4,303            | 647             | 4,950       | 2,149            | 84    | 7,183  |
| 2025 | 4,639            | 704             | 5,343       | 2,362            | 89    | 7,794  |
| 2026 | 4,934            | 760             | 5,694       | 2,575            | 92    | 8,360  |
| 2027 | 5,184            | 814             | 5,998       | 2,787            | 95    | 8,880  |
| 2028 | 5,397            | 867             | 6,264       | 2,999            | 97    | 9,360  |
| 2029 | 5,585            | 917             | 6,501       | 3,210            | 99    | 9,811  |
| 2030 | 5,743            | 961             | 6,704       | 3,420            | 101   | 10,225 |
| 2031 | 5,876            | 998             | 6,874       | 3,628            | 103   | 10,605 |
| 2032 | 5,993            | 1,029           | 7,022       | 3,834            | 105   | 10,960 |
| 2033 | 6,099            | 1,058           | 7,157       | 4,037            | 106   | 11,300 |
| 2034 | 6,197            | 1,084           | 7,281       | 4,237            | 108   | 11,625 |
| 2035 | 6,287            | 1,107           | 7,394       | 4,433            | 109   | 11,936 |
| 2036 | 6,371            | 1,128           | 7,499       | 4,618            | 111   | 12,228 |
| 2037 | 6,449            | 1,147           | 7,596       | 4,781            | 112   | 12,490 |
| 2038 | 6,521            | 1,166           | 7,687       | 4,928            | 114   | 12,728 |
| 2039 | 6,589            | 1,183           | 7,772       | 5,060            | 115   | 12,947 |
| 2040 | 6,654            | 1,200           | 7,854       | 5,173            | 116   | 13,143 |

Table 0-48 Projected Commercial Marine Air Toxic Emission Reductions (short tons)

| HAP           | 2010 | 2015 | 2020  | 2030  |
|---------------|------|------|-------|-------|
| BENZENE       | 0    | 45   | 162   | 371   |
| FORMALDEHYDE  | 4    | 328  | 1,190 | 2,730 |
| ACETALDEHYDE  | 2    | 163  | 591   | 1,357 |
| 1,3-BUTADIENE | 0    | 0    | 2     | 4     |
| ACROLEIN      | 0    | 6    | 23    | 53    |
| NAPHTHALENE   | 0    | 3    | 10    | 24    |
| POM           | 0    | 0    | 2     | 5     |

## 3.2 Recreational Marine Diesel Engines

This section describes the methodology and presents the resulting baseline and controlled inventories for recreational marine (pleasure craft) diesel propulsion engines, including the projected emission reductions from the proposed rule. These engines are already subject to existing emission control standards, so the baseline inventories presented here account for those existing standards. Emissions from any diesel auxiliary engines used on recreational marine vessels are covered above in the section on engines less than 37 kW or the section on Category 1 engines, if they are over 37 kW.

### 3.2.1 General Methodology

The general methodology for calculating recreational marine diesel engine inventories for HC, CO, NO<sub>x</sub>, PM<sub>10</sub>, SO<sub>2</sub>, VOC, PM<sub>2.5</sub>, and fuel consumption uses the EPA NONROAD2005 model with inputs modified to reflect the proposed standards as well as updated baseline data.<sup>13</sup> Air toxic inventories are not generated by the NONROAD model, so those are calculated separately. NONROAD separates recreational diesel engines into two basic categories: inboard and outboard engines. NONROAD also subdivides these by power range. There are relatively few outboard diesels, and they are all in the 25 - 40 hp range.

The actual calculation methodology used by the NONROAD model is the same as described above in section 3.1.1 for all other marine diesel engines. Following is a summary of that.

$$\text{Equation 5} \quad I = N * P * L * A * EF$$

where each term is defined as follows:

I = the emission inventory (gram/year)

N = engine population (units)

P = average rated power (kW)

L = load factor (average fraction of rated power used during operation; unitless)



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A = engine activity (operating hours/year)

EF = emission factor (gram/kW-hr)

Emissions are then converted and reported as short tons/year. In NONROAD the inputs are expressed in terms of horsepower (hp) instead of kW, and gram/bhp-hr instead of gram/kW-hr.

Three variables are used to project emissions over time: the engine population growth, the engine median life/scrappage, and the relative emissions deterioration rate.

**Engine Population Growth.** Unlike the commercial marine methodology which uses a compound population growth rate, the NONROAD model uses a linear growth assumption for recreational diesel engines, which is represented by a set of growth indexes that provide a ratio of estimation year population relative to the base year population.<sup>14</sup> The growth used for recreational diesel engines is 3.3 percent per year relative to a 1996 base year; i.e., each year the population grows by the same number of engines, and that number is 3.3 percent of the 1996 population.

**Engine Median Life (years) and Scrappage.** The engine median life defines the length of time engines remain in service. Engines persist in the population over two median lives; during the first median life, 50 percent of the engines are scrapped, and over the second, the remaining 50 percent of the engines are scrapped. Engine median lives also vary by category. The median life of both inboard and outboard engines is assumed to be 20 years, but due to the different activities used for these two categories (200 and 150 hours/year, respectively), the corresponding median life inputs for the model are 1400 and 1050 hours at full load. The age distribution is defined by the median life and the scrappage algorithm. The same basic scrappage algorithm is used for recreational and commercial marine diesel engines.<sup>1</sup>

**Relative Deterioration Rate (percent increase in emission factor/percent median life expended).** A deterioration factor can be applied to the emission factor to account for in-use deterioration. The deterioration factor varies by age and is calculated as:

$$\text{Equation 6} \quad \text{DF} = 1 + A * (\text{age}/\text{ML})$$

where each term is defined as follows:

DF = the deterioration factor for a given pollutant at a given age

A = the relative deterioration rate for a given pollutant (percent increase in emission factor/percent useful life expended)

age = the age of a specific model year group of engines in the simulation year (years)

ML = the median life of the given model year cohort (years)

A given model year cohort is represented as a fraction of the entire population. In the NONROAD model the deterioration factor adjusts the emission factor for engines in a given model year cohort in relation to the proportion of median life

expended.<sup>2</sup> Deterioration is linear over one median life. Following the first median life, the deteriorated emission factor is held constant over the remaining life for engines in the cohort.

***Sulfur Adjustment for PM Emissions.*** For Tier 2 and prior engines, a sulfate adjustment is added to the PM emissions to account for differences in fuel sulfur content between the certification fuel and the episodic (calendar year) fuel, using the following equation:

$$\text{Equation 3 } S_{\text{PM adj}} = \text{FC} * 7.1 * 0.02247 * 224/32 * (\text{soxdsl} - \text{soxbas}) * 1/2000$$

where each term is defined as follows:

$S_{\text{PM adj}}$  = PM sulfate adjustment (tons)

FC = fuel consumption (gallons)

7.1 = fuel density (lb/gal)

0.02247 = fraction of fuel sulfur converted to sulfate

224/32 = grams PM sulfate/grams PM sulfur

soxdsl = episodic fuel sulfur weight fraction (varies by calendar year)

soxbas = certification fuel sulfur weight fraction

2000 = conversion from lb to ton

For engines prior to Tier 2 the base fuel sulfur (soxbas) is assumed to be 3300 ppm. For Tier 2 engines less than or equal to 50 hp (37 kW) it is set at 2000 ppm, as described in the Clean Air Nonroad Diesel Rule.<sup>4</sup> since these smaller engines are subject to the same standards as land-based diesel engines. For Tier 2 engines greater than 50 hp (37 kW) it is set at 350 ppm, based on the most recent certification data for these engines. For Tier 3 and later engines, no sulfur adjustment is applied. These engines will be certified to a fuel sulfur level at or lower than the episodic fuel sulfur levels expected when these engines are introduced.

The calendar year fuel sulfur levels (soxdsl) were taken from the Clean Air Nonroad Diesel Rule.<sup>4</sup>

***Estimation of air toxic emissions.*** The air toxic baseline emission inventories for this proposal are based on information developed for EPA's Mobile Source Air Toxics (MSAT) final rulemaking.<sup>5</sup> That rule calculated air toxic emission inventories for all nonroad engines. The gaseous air toxics are correlated to VOC emissions, while POM is correlated to PM<sub>10</sub> emissions. To calculate the air toxics emission inventories and reductions for this proposal, the percent reductions in VOC and PM<sub>10</sub> emissions will be applied to the baseline gaseous and POM air toxic inventories, respectively.

## 3.2.2 Baseline (Pre-Control) Inventory Development

### 3.2.2.1 Baseline Inventory Inputs

This section describes the NONROAD model inputs that were used to generate the baseline emission inventories for recreational marine diesel engines.

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Table 0-49 and Table 0-50 list the base engine populations, average hp by power range, annual activity, load factor, and median life. These also apply to the control case, and are unchanged from the default inputs in the NONROAD model.

**Table 0-49 Recreational Marine Diesel Modeling Inputs**

| NONROAD MODEL INPUT                                       | RECREATIONAL MARINE DIESEL |          |
|---|----------------------------|----------|
|   | INBOARD                    | OUTBOARD |
| POPULATION (year 2000)                                    | 291,387*                   | 9,819    |
| HP AVERAGE  | *                          | 32.25    |
| ACTIVITY HRS/YEAR   | 200                        | 150      |
| LOAD FACTOR   | 0.35                       | 0.35     |
| MEDIAN LIFE (hrs at full load)                            | 1400                       | 1050     |
| MEDIAN LIFE (years)                                       | 20                         | 20       |
| * See TABLE 0-50 for breakout by individual power ranges. |                            |          |

**Table 0-50 Recreational Marine Inboard Diesel Population**

| POWER RANGE<br>MIN < HP <= MAX | DIESEL REC MARINE INBOARD |            |
|--------------------------------|---------------------------|------------|
|                                | HP AVG                    | POPULATION |
| 0 - 11                         | 9.736                     | 9,126      |
| 11 - 16                        | 14.92                     | 4,478      |
| 16 - 25                        | 21.41                     | 9,908      |
| 25 - 40                        | 31.2                      | 5,421      |
| 40 - 50                        | 42.4                      | 1,002      |
| 50 - 75                        | 56.19                     | 8,784      |
| 75 - 100                       | 94.22                     | 7,397      |
| 100 - 175                      | 144.9                     | 60,632     |
| 175 - 300                      | 223.1                     | 99,703     |
| 300 - 600                      | 387.1                     | 73,546     |
| 600 - 750                      | 677                       | 2,902      |
| 750 - 1000                     | 876.5                     | 5,502      |
| 1000 - 1200                    | 1154                      | 448        |
| 1200 - 2000                    | 1369                      | 1,573      |
| 2000 - 3000                    | 2294                      | 964        |
| TOTAL                          |                           | 291,387    |

The baseline emission factors are given in Table 0-51 and Table 0-52. "Zero Hour" emission factors represent the emissions from new engines that have been broken in, but before any significant deterioration occurs. The Deterioration Factor is

used to calculate how emissions change as the engine and emission control system deteriorate over time, as explained above in Equation 2. Engines under 50 hp are subject to EPA nonroad diesel regulations that have established two tiers of emission standards.<sup>12</sup> Tier 1 phased in from 1999-2000, depending on the hp category, and Tier 2 phased in from 2004-2005. Engines above 50 hp are subject to separate standards (shown in the Tier 2 column) that take effect in 2008-2012, depending on hp category. The “Base” entries in the tables refer to emissions from pre-controlled engines. All these emission factors are used for both inboard and outboard diesel engines, although the outboards are all under 50 hp.

The emission factors for the base and Tier 1 technology types are unchanged from what has been in the NONROAD model.<sup>2</sup> Tier 2 emission factors were updated from those in the NONROAD model using all the nonroad engine certification data available in mid-2006. The deterioration factors by pollutant and technology type are also given in the tables above, and they are unchanged from what has been in the NONROAD model.<sup>2</sup>

The certification fuel sulfur levels are 3300ppm for the base and Tier 1 technology type and 350ppm for Tier 2. Brake Specific Fuel Consumption (BSFC) values in the NONROAD model are 0.408 lb/hp-hr for all hp categories.<sup>2</sup>

**Table 0-51 Baseline PM<sub>10</sub> and NO<sub>x</sub> Zero Hour Emission Factors and Deterioration Factors for Recreational Marine Diesel Engines**

| HP RANGE | PM <sub>10</sub> G/HP-HR |       |       | NO <sub>x</sub> G/HP-HR |       |       |
|----------|--------------------------|-------|-------|-------------------------|-------|-------|
|          | BASE                     | TIER1 | TIER2 | BASE                    | TIER1 | TIER2 |
| 0-11     | 1.00                     | 0.45  | 0.38  | 10.00                   | 5.23  | 4.39  |
| 11-16    | 0.90                     | 0.27  | 0.19  | 8.50                    | 4.44  | 3.63  |
| 16-25    | 0.90                     | 0.27  | 0.19  | 8.50                    | 4.44  | 3.63  |
| 25-50    | 0.80                     | 0.34  | 0.23  | 6.90                    | 4.73  | 3.71  |
| 50-75    | 0.16                     | 0.16  | 0.13  | 6.67                    | 6.67  | 3.82  |
| 75-100   | 0.16                     | 0.16  | 0.13  | 6.67                    | 6.67  | 3.82  |
| 100-175  | 0.16                     | 0.16  | 0.13  | 6.67                    | 6.67  | 3.82  |
| 175-300  | 0.16                     | 0.16  | 0.090 | 6.67                    | 6.67  | 4.46  |
| 300-600  | 0.16                     | 0.16  | 0.082 | 6.67                    | 6.67  | 4.42  |
| 600-750  | 0.16                     | 0.16  | 0.082 | 6.67                    | 6.67  | 4.42  |
| 750-1200 | 0.16                     | 0.16  | 0.082 | 6.67                    | 6.67  | 4.42  |
| >1200    | 0.16                     | 0.16  | 0.082 | 6.67                    | 6.67  | 4.42  |
| DF ("A") | 0.473                    | 0.473 | 0.473 | 0.024                   | 0.024 | 0.009 |

**Table 0-52 Baseline HC and CO Zero Hour Emission Factors and Deterioration Factors for Recreational Marine Diesel Engines**

| HP RANGE | HC G/HP-HR |       |       | CO G/HP-HR |       |       |
|----------|------------|-------|-------|------------|-------|-------|
|          | BASE       | TIER1 | TIER2 | BASE       | TIER1 | TIER2 |
| 0-11     | 1.50       | 0.76  | 0.68  | 5.00       | 4.11  | 4.11  |
| 11-16    | 1.70       | 0.44  | 0.21  | 5.00       | 2.16  | 2.16  |
| 16-25    | 1.70       | 0.44  | 0.21  | 5.00       | 2.16  | 2.16  |
| 25-50    | 1.80       | 0.28  | 0.54  | 5.00       | 1.53  | 1.53  |
| 50-75    | 0.22       | 0.22  | 0.20  | 0.95       | 0.95  | 0.95  |
| 75-100   | 0.22       | 0.22  | 0.20  | 0.95       | 0.95  | 0.95  |
| 100-175  | 0.22       | 0.22  | 0.20  | 0.95       | 0.95  | 0.95  |
| 175-300  | 0.22       | 0.22  | 0.25  | 0.95       | 0.95  | 0.95  |
| 300-600  | 0.22       | 0.22  | 0.33  | 0.95       | 0.95  | 0.95  |
| 600-750  | 0.22       | 0.22  | 0.33  | 0.95       | 0.95  | 0.95  |
| 750-1200 | 0.22       | 0.22  | 0.33  | 0.95       | 0.95  | 0.95  |
| >1200    | 0.22       | 0.22  | 0.33  | 0.95       | 0.95  | 0.95  |
| DF ("A") | 0.047      | 0.047 | 0.034 | 0.185      | 0.101 | 0.101 |

**3.2.2.2 Recreational Marine Diesel Baseline Inventory**

**3.2.2.2.1 *PM<sub>10</sub>, PM<sub>2.5</sub>, NO<sub>x</sub>, VOC, CO, and SO<sub>2</sub> Emissions***

Table 0-53 shows the baseline 50-state emission inventories for recreational marine diesel engines (inboard and outboard combined) resulting from the baseline model inputs presented above.

**3.2.2.2.2 *Air Toxics Emissions***

The baseline air toxics inventories for recreational marine diesel engines were taken from the final MSAT rule<sup>5</sup> and are summarized in Table 0-54. Inventories are provided for calendar year 1999, and are projected for 2010, 2015, 2020, and 2030.

Table 0-53 Baseline (50-State) Emissions for Recreational Marine Diesel Engines (short tons)

| YEAR | PM <sub>10</sub> | PM <sub>2.5</sub> | NO <sub>x</sub> | VOC   | HC    | CO     | SO <sub>2</sub> |
|------|------------------|-------------------|-----------------|-------|-------|--------|-----------------|
| 2002 | 1,130            | 1,096             | 40,437          | 1,540 | 1,462 | 6,467  | 5,145           |
| 2003 | 1,161            | 1,126             | 41,572          | 1,578 | 1,499 | 6,642  | 5,290           |
| 2004 | 1,192            | 1,156             | 42,704          | 1,618 | 1,536 | 6,816  | 5,436           |
| 2005 | 1,223            | 1,186             | 43,835          | 1,656 | 1,573 | 6,989  | 5,582           |
| 2006 | 1,247            | 1,210             | 44,089          | 1,720 | 1,633 | 7,161  | 5,621           |
| 2007 | 1,054            | 1,023             | 44,307          | 1,783 | 1,693 | 7,331  | 2,967           |
| 2008 | 915              | 888               | 44,513          | 1,846 | 1,753 | 7,499  | 993             |
| 2009 | 937              | 909               | 44,648          | 1,912 | 1,816 | 7,665  | 1,017           |
| 2010 | 935              | 907               | 44,772          | 1,979 | 1,879 | 7,829  | 764             |
| 2011 | 938              | 910               | 44,880          | 2,045 | 1,942 | 7,991  | 578             |
| 2012 | 934              | 906               | 44,977          | 2,112 | 2,006 | 8,150  | 311             |
| 2013 | 935              | 907               | 45,064          | 2,179 | 2,069 | 8,308  | 113             |
| 2014 | 952              | 924               | 45,139          | 2,246 | 2,133 | 8,464  | 136             |
| 2015 | 969              | 940               | 45,208          | 2,313 | 2,196 | 8,618  | 150             |
| 2016 | 984              | 954               | 45,270          | 2,380 | 2,260 | 8,771  | 153             |
| 2017 | 998              | 968               | 45,327          | 2,448 | 2,325 | 8,922  | 156             |
| 2018 | 1,011            | 981               | 45,378          | 2,516 | 2,389 | 9,073  | 156             |
| 2019 | 1,024            | 994               | 45,427          | 2,584 | 2,454 | 9,223  | 159             |
| 2020 | 1,037            | 1,006             | 45,477          | 2,653 | 2,520 | 9,374  | 162             |
| 2021 | 1,050            | 1,019             | 45,531          | 2,723 | 2,586 | 9,525  | 165             |
| 2022 | 1,063            | 1,031             | 45,586          | 2,793 | 2,652 | 9,675  | 168             |
| 2023 | 1,075            | 1,043             | 45,649          | 2,862 | 2,718 | 9,825  | 171             |
| 2024 | 1,087            | 1,054             | 45,729          | 2,932 | 2,784 | 9,975  | 174             |
| 2025 | 1,099            | 1,066             | 45,842          | 3,000 | 2,849 | 10,124 | 177             |
| 2026 | 1,112            | 1,079             | 46,114          | 3,064 | 2,910 | 10,279 | 180             |
| 2027 | 1,127            | 1,093             | 46,549          | 3,124 | 2,967 | 10,439 | 183             |
| 2028 | 1,143            | 1,108             | 47,030          | 3,184 | 3,023 | 10,601 | 186             |
| 2029 | 1,159            | 1,124             | 47,551          | 3,242 | 3,079 | 10,765 | 189             |
| 2030 | 1,175            | 1,140             | 48,102          | 3,299 | 3,133 | 10,930 | 192             |
| 2031 | 1,192            | 1,156             | 48,671          | 3,356 | 3,187 | 11,095 | 195             |
| 2032 | 1,208            | 1,172             | 49,257          | 3,412 | 3,240 | 11,262 | 199             |
| 2033 | 1,226            | 1,189             | 49,861          | 3,468 | 3,294 | 11,429 | 202             |
| 2034 | 1,243            | 1,205             | 50,477          | 3,524 | 3,346 | 11,596 | 205             |
| 2035 | 1,260            | 1,222             | 51,106          | 3,579 | 3,399 | 11,765 | 208             |
| 2036 | 1,278            | 1,239             | 51,748          | 3,634 | 3,451 | 11,933 | 211             |
| 2037 | 1,295            | 1,256             | 52,399          | 3,689 | 3,503 | 12,102 | 214             |
| 2038 | 1,313            | 1,274             | 53,062          | 3,744 | 3,555 | 12,272 | 217             |
| 2039 | 1,331            | 1,291             | 53,735          | 3,798 | 3,607 | 12,442 | 220             |
| 2040 | 1,349            | 1,308             | 54,417          | 3,852 | 3,659 | 12,613 | 223             |

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**Table 0-54 Baseline Air Toxics Emissions for Recreational Marine Diesel Engines (short tons)**

| HAP           | 1999 | 2010 | 2015 | 2020 | 2030 |
|---------------|------|------|------|------|------|
| BENZENE       | 30   | 34   | 34   | 34   | 35   |
| FORMALDEHYDE  | 176  | 199  | 197  | 195  | 201  |
| ACETALDEHYDE  | 79   | 89   | 88   | 87   | 90   |
| 1,3-BUTADIENE | 3    | 3    | 3    | 3    | 3    |
| ACROLEIN      | 5    | 5    | 5    | 5    | 5    |
| NAPHTHALENE   | 0    | 0    | 0    | 0    | 0    |
| POM           | 1    | 0    | 0    | 0    | 0    |

### 3.2.3 Control Inventory Development

#### 3.2.3.1 Control Scenario(s) Modeled

Table 0-55 shows the control case exhaust emission standards that were modeled for recreational marine diesel engines.

**Table 0-55 Modeled Standards (g/hp-hr) for Recreational Marine Diesel Engines**

| HP RANGE  | TIER 3 |                     |      | TIER 4              |                 |      |
|-----------|--------|---------------------|------|---------------------|-----------------|------|
|           | YEAR   | NO <sub>x</sub> +HC | PM   | YEAR                | NO <sub>x</sub> | PM   |
| 0-25      | 2009   | 5.6                 | 0.30 | NO TIER 4 STANDARDS |                 |      |
| 25-100    | 2009   | 5.6                 | 0.22 |                     |                 |      |
|           | 2014   | 3.5                 | 0.22 |                     |                 |      |
| 100-175   | 2012   | 4.3                 | 0.11 |                     |                 |      |
| 175-300   | 2013   | 4.3                 | 0.10 |                     |                 |      |
| 300-750   | 2014   | 4.3                 | 0.09 |                     |                 |      |
| 750-1200  | 2013   | 4.3                 | 0.09 |                     |                 |      |
| 1200-2680 | 2012   | 4.0                 | 0.09 |                     |                 |      |
| >2680     | 2012   | 4.0                 | 0.09 | 2016                | 1.27            | 0.03 |

#### 3.2.3.2 Control Inventory Inputs

Table 0-56 shows the NONROAD model emission factor inputs that were used to generate the control case emission inventories for recreational marine diesel engines. These emission factors were applied to engines beginning with the model years shown in Table 0-55. No sulfur adjustment is applied to the Tier 3 or Tier 4 PM calculations, since these engines will be certified to a fuel sulfur level at or lower than the episodic fuel sulfur levels expected when these engines are introduced. The Tier 4 modeled emission factors are identical to the Tier 4 emission factors used for Category 1 standard power density propulsion engines. However, the NONROAD

model does not have a hp bin corresponding to greater than 2000 kW (2680 hp), so the 2000-3000 hp bin was used to model the effects of the Tier 4 standard.

All other modeling inputs are the same as shown above for the base case inventory development. Table 0-49 and Table 0-50 list the base engine populations, average hp by power range, annual activity, load factor, and median life. These are unchanged from the default inputs in the NONROAD model.

**Table 0-56 Control Emission Factors for Recreational Marine Diesel Engines**

| HP RANGE  | TIER 3 EMISSION FACTORS<br>G/HP-HR |                 |       |       | TIER 4 EMISSION FACTORS<br>G/HP-HR |                 |       |       |
|-----------|------------------------------------|-----------------|-------|-------|------------------------------------|-----------------|-------|-------|
|           | PM <sub>10</sub>                   | NO <sub>x</sub> | HC    | CO    | PM <sub>10</sub>                   | NO <sub>x</sub> | HC    | CO    |
| 0-11      | 0.24                               | 4.39            | 0.43  | 4.11  | NO TIER 4 STANDARDS                |                 |       |       |
| 11-16     | 0.19                               | 3.63            | 0.21  | 2.16  |                                    |                 |       |       |
| 16-25     | 0.19                               | 3.63            | 0.21  | 2.16  |                                    |                 |       |       |
| 25-50     | 0.18                               | 3.71            | 0.41  | 1.53  |                                    |                 |       |       |
|           | 0.18                               | 2.32            | 0.41  | 1.53  |                                    |                 |       |       |
| 50-75     | 0.13                               | 3.82            | 0.20  | 0.95  |                                    |                 |       |       |
|           | 0.13                               | 2.39            | 0.20  | 0.95  |                                    |                 |       |       |
| 75-100    | 0.13                               | 3.82            | 0.20  | 0.95  |                                    |                 |       |       |
|           | 0.13                               | 2.39            | 0.20  | 0.95  |                                    |                 |       |       |
| 100-175   | 0.088                              | 3.34            | 0.13  | 0.95  |                                    |                 |       |       |
| 175-300   | 0.080                              | 3.90            | 0.22  | 0.95  |                                    |                 |       |       |
| 300-600   | 0.072                              | 3.98            | 0.29  | 0.95  |                                    |                 |       |       |
| 600-750   | 0.072                              | 3.98            | 0.29  | 0.95  |                                    |                 |       |       |
| 750-1200  | 0.072                              | 3.70            | 0.29  | 0.95  |                                    |                 |       |       |
| 1200-2000 | 0.072                              | 3.70            | 0.29  | 0.95  |                                    |                 |       |       |
| >2000     | 0.072                              | 3.70            | 0.29  | 0.95  | 0.022                              | 0.97            | 0.03  | 0.95  |
| DF ("A")  | 0.473                              | 0.009           | 0.034 | 0.101 | 0.473                              | 0.009           | 0.034 | 0.101 |

**3.2.3.3 Recreational Marine Diesel Control Inventory**

**3.2.3.3.1 PM<sub>10</sub>, PM<sub>2.5</sub>, NO<sub>x</sub>, VOC, CO, and SO<sub>2</sub> Emissions**

The control case 50-state emission inventories for recreational marine diesel engines (inboard and outboard combined) resulting from the control case model inputs presented above are shown in Table 0-57.



### *3.2.3.3.2 Air Toxics Emissions*

The control case air toxics inventories for recreational marine diesel engines are provided in Table 0-58. Gaseous air toxics and POM are reduced proportionately to VOC and PM<sub>2.5</sub>, respectively.

**Table 0-57 Control Case (50-State) Emissions for Recreational Marine Diesel Engines  
(short tons)**

| YEAR | PM <sub>10</sub> | PM <sub>2.5</sub> | NO <sub>x</sub> | VOC   | HC    | CO     | SO <sub>2</sub> |
|------|------------------|-------------------|-----------------|-------|-------|--------|-----------------|
| 2002 | 1,130            | 1,096             | 40,437          | 1,540 | 1,462 | 6,467  | 5,145           |
| 2003 | 1,161            | 1,126             | 41,572          | 1,578 | 1,499 | 6,642  | 5,290           |
| 2004 | 1,192            | 1,156             | 42,704          | 1,618 | 1,536 | 6,816  | 5,436           |
| 2005 | 1,223            | 1,186             | 43,835          | 1,656 | 1,573 | 6,989  | 5,582           |
| 2006 | 1,247            | 1,210             | 44,089          | 1,720 | 1,633 | 7,161  | 5,621           |
| 2007 | 1,054            | 1,023             | 44,307          | 1,783 | 1,693 | 7,331  | 2,967           |
| 2008 | 915              | 888               | 44,513          | 1,846 | 1,753 | 7,499  | 993             |
| 2009 | 937              | 909               | 44,648          | 1,912 | 1,816 | 7,665  | 1,017           |
| 2010 | 935              | 907               | 44,772          | 1,978 | 1,878 | 7,829  | 764             |
| 2011 | 938              | 910               | 44,880          | 2,044 | 1,941 | 7,991  | 578             |
| 2012 | 931              | 903               | 44,931          | 2,104 | 1,998 | 8,150  | 311             |
| 2013 | 930              | 902               | 44,864          | 2,159 | 2,051 | 8,308  | 113             |
| 2014 | 944              | 916               | 44,681          | 2,206 | 2,095 | 8,464  | 136             |
| 2015 | 957              | 928               | 44,490          | 2,252 | 2,139 | 8,618  | 150             |
| 2016 | 967              | 938               | 44,248          | 2,294 | 2,179 | 8,771  | 153             |
| 2017 | 976              | 947               | 43,998          | 2,337 | 2,219 | 8,922  | 156             |
| 2018 | 985              | 955               | 43,742          | 2,379 | 2,259 | 9,073  | 156             |
| 2019 | 993              | 963               | 43,479          | 2,421 | 2,300 | 9,223  | 159             |
| 2020 | 1,001            | 971               | 43,218          | 2,465 | 2,341 | 9,374  | 162             |
| 2021 | 1,008            | 978               | 42,957          | 2,508 | 2,382 | 9,525  | 165             |
| 2022 | 1,015            | 985               | 42,697          | 2,552 | 2,423 | 9,675  | 168             |
| 2023 | 1,022            | 991               | 42,443          | 2,595 | 2,465 | 9,825  | 171             |
| 2024 | 1,028            | 997               | 42,206          | 2,638 | 2,505 | 9,975  | 174             |
| 2025 | 1,033            | 1,002             | 42,001          | 2,680 | 2,545 | 10,124 | 177             |
| 2026 | 1,041            | 1,009             | 41,955          | 2,717 | 2,581 | 10,279 | 180             |
| 2027 | 1,049            | 1,018             | 42,072          | 2,751 | 2,613 | 10,439 | 183             |
| 2028 | 1,058            | 1,026             | 42,237          | 2,784 | 2,644 | 10,601 | 186             |
| 2029 | 1,068            | 1,036             | 42,443          | 2,816 | 2,674 | 10,765 | 189             |
| 2030 | 1,077            | 1,045             | 42,683          | 2,847 | 2,704 | 10,930 | 193             |
| 2031 | 1,088            | 1,055             | 42,946          | 2,879 | 2,734 | 11,095 | 196             |
| 2032 | 1,098            | 1,066             | 43,241          | 2,911 | 2,765 | 11,262 | 199             |
| 2033 | 1,110            | 1,077             | 43,584          | 2,946 | 2,797 | 11,429 | 202             |
| 2034 | 1,123            | 1,089             | 43,979          | 2,983 | 2,832 | 11,596 | 205             |
| 2035 | 1,136            | 1,102             | 44,412          | 3,021 | 2,869 | 11,765 | 208             |
| 2036 | 1,150            | 1,115             | 44,875          | 3,061 | 2,907 | 11,933 | 211             |
| 2037 | 1,164            | 1,129             | 45,359          | 3,102 | 2,946 | 12,102 | 214             |
| 2038 | 1,179            | 1,143             | 45,864          | 3,143 | 2,985 | 12,272 | 217             |
| 2039 | 1,193            | 1,158             | 46,382          | 3,185 | 3,025 | 12,442 | 220             |
| 2040 | 1,208            | 1,172             | 46,915          | 3,227 | 3,064 | 12,613 | 223             |

**Table 0-58 Control Case Air Toxic Emissions for Recreational Marine Diesel Engines  
(short tons)**

| HAP           | 2010 | 2015 | 2020 | 2030 |
|---------------|------|------|------|------|
| BENZENE       | 34   | 33   | 31   | 30   |
| FORMALDEHYDE  | 198  | 192  | 181  | 174  |
| ACETALDEHYDE  | 89   | 86   | 81   | 78   |
| 1,3-BUTADIENE | 3    | 3    | 3    | 3    |
| ACROLEIN      | 5    | 5    | 5    | 4    |
| NAPHTHALENE   | 0    | 0    | 0    | 0    |
| POM           | 0    | 0    | 0    | 0    |

### **3.2.4 Projected Recreational Marine Emission Reductions of Proposal**

The PM<sub>2.5</sub>, NO<sub>x</sub>, and VOC emission reductions by calendar year are shown in Table 0-59. The air toxic emission reductions by pollutant and calendar year are given in Table 0-60.

Table 0-59 Projected Recreational Marine Emission Reductions (short tons)

| YEAR | PM <sub>2.5</sub> | NO <sub>x</sub> | VOC |
|------|-------------------|-----------------|-----|
| 2008 | 0                 | 0               | 0   |
| 2009 | 0                 | 0               | 1   |
| 2010 | 0                 | 0               | 1   |
| 2011 | 1                 | 0               | 2   |
| 2012 | 3                 | 47              | 8   |
| 2013 | 5                 | 200             | 20  |
| 2014 | 8                 | 458             | 40  |
| 2015 | 12                | 718             | 61  |
| 2016 | 16                | 1,022           | 86  |
| 2017 | 21                | 1,328           | 111 |
| 2018 | 25                | 1,637           | 137 |
| 2019 | 30                | 1,947           | 163 |
| 2020 | 35                | 2,260           | 188 |
| 2021 | 41                | 2,574           | 215 |
| 2022 | 46                | 2,889           | 241 |
| 2023 | 52                | 3,206           | 267 |
| 2024 | 58                | 3,524           | 294 |
| 2025 | 63                | 3,842           | 320 |
| 2026 | 70                | 4,160           | 347 |
| 2027 | 76                | 4,477           | 373 |
| 2028 | 82                | 4,793           | 400 |
| 2029 | 88                | 5,108           | 426 |
| 2030 | 95                | 5,419           | 452 |
| 2031 | 101               | 5,725           | 477 |
| 2032 | 107               | 6,016           | 501 |
| 2033 | 112               | 6,277           | 523 |
| 2034 | 116               | 6,498           | 541 |
| 2035 | 120               | 6,693           | 558 |
| 2036 | 124               | 6,873           | 573 |
| 2037 | 127               | 7,039           | 587 |
| 2038 | 130               | 7,199           | 600 |
| 2039 | 133               | 7,353           | 613 |
| 2040 | 136               | 7,502           | 626 |

**Table 0-60 Projected Air Toxic Reductions from Recreational Marine Diesel Engines (short tons)**

| HAP           | 2010 | 2015 | 2020 | 2030 |
|---------------|------|------|------|------|
| BENZENE       | 0    | 1    | 2    | 5    |
| FORMALDEHYDE  | 0    | 5    | 14   | 28   |
| ACETALDEHYDE  | 0    | 2    | 6    | 12   |
| 1,3-BUTADIENE | 0    | 0    | 0    | 0    |
| ACROLEIN      | 0    | 0    | 0    | 1    |
| NAPHTHALENE   | 0    | 0    | 0    | 0    |
| POM           | 0    | 0    | 0    | 0    |

### **3.3 Locomotives**

#### **3.3.1 General Methodology**

Given the quality of the data available, it was possible to develop more detailed estimates of fleet composition and emission rates. Locomotive emissions were calculated based on estimated current and projected fuel consumption rates. Emissions were calculated separately for the following locomotive categories:

- Large Railroad Line-Haul Locomotives
- Large Railroad Switching (including Class II/III Switch railroads owned by Class I railroads)
- Other Line-Haul Locomotives (i.e., local and regional railroads)
- Other Switch/Terminal Locomotives
- Passenger/Commuter Locomotives

We used the following approach for all categories, except for the small railroads (see 3.3.2.3). For each calendar year, locomotives are tracked separately by model year and then the activity is summed (in terms of work, fuel, and emissions) for all model years in the fleet. Seven basic steps were used to determine emissions in any calendar year:

1. Start with the fleet from the previous calendar year.
2. Determine which model years would be due to be remanufactured or scrapped.
3. Update the fleet to remove locomotives that would be scrapped.
4. Determine the amount of work that would be done by the remaining locomotives from the previous year's fleet.

5. Determine the number of freshly manufactured locomotives that would be purchased, and add them to the fleet.
6. Determine the total amount of work that would be done by all the locomotives in the fleet.
7. Determine total emissions from the work and brake-specific emission factors.

### **3.3.1.1 Base Fleet**

As is described later, the base fleet was estimated for 2005 from a variety of industry sources. A new base fleet is calculated for each subsequent calendar year based on the scrappage rates and sales. The base fleet is a sum of multiple model years that are described by the number of locomotives in the fleet, the average work that has been accumulated since the last rebuild (in megawatt-hours or MW-hr), the average horsepower, and the Tier of standards to which they are certified.

### **3.3.1.2 Useful Life**

In this analysis, all locomotives are assumed to be either remanufactured or scrapped when they reach or exceed their useful life. The useful life in MW-hrs is set equal to the rated horsepower of the locomotive multiplied by 7.5. Thus a 4000 horsepower locomotive would have a useful life of 30,000 MW-hrs. Annual accumulation of MW-hrs is projected based on the assumed rated hp of the locomotive and the relative use rate (which is a function of locomotive age). At the end of this second step, the projected fleet is adjusted to reflect a year's worth of use beyond the previous base fleet.

### **3.3.1.3 Scrappage**

For each future calendar year, there will generally be some locomotive model years that will be projected to have reached the end of their current useful life. For example, we estimate that there will be 243 line-haul freight locomotives in use in 2010 that:

- Were originally manufactured in model year 1986.
- Will be accumulating about 2000 MW-hrs per year.
- Will reach the end of their useful lives during 2011.

According to our scrappage curve, we estimate that 15 of these locomotives will be scrapped in 2011. The remaining 228 are projected to be remanufactured. We perform this analysis for each model year, then update that fleet to remove locomotives that would be scrapped and change the emission levels for locomotives that are remanufactured to new standards.

### **3.3.1.4 Work Done by Old Fleet**

Once the existing fleet is adjusted for each new calendar year, we determine the amount of work that would be done by the remaining locomotives from the previous year's fleet. First we calculate the amount of work done by each model year's fleet as follows:

$$\text{Equation 7} \quad W_i = H * LF * N_i * P_i * RUF_i$$

$W_i$  = Combined annual work output for all locomotives remaining in the fleet that were originally manufactured in model year  $i$ .

$H$  = Number of hours per year that a newly manufactured locomotive is projected to be used (approximately 4000 to 5000 hrs/yr).

$L$  = Typical average load factor.

$N_i$  = Number of locomotives remaining in the fleet that were originally manufactured in model year  $i$ .

$P_i$  = Average rated power of locomotives remaining in the fleet that were originally manufactured in model year  $i$ .

$RUF_i$  = Relative use factor for locomotives remaining in the fleet that were originally manufactured in model year  $i$ .

The total work done by the remaining fleet ( $W_r$ ) is calculated by summing the work done by each model year ( $W_i$ ).

### **3.3.1.5 New Sales**

Sales of newly manufactured locomotives are projected for each calendar year after the remaining fleet has been analyzed. These newly manufactured locomotives are added to the remaining locomotives to comprise a new total fleet. The number is calculated based on the amount of fuel that is projected to be used in that calendar year:

$$\text{Equation 8 New Sales} = (\text{Total Fuel}/\text{BSFC} - W_r)/H/LF/P$$

Where BSFC is the estimated brake specific fuel consumption rate (Gal/MW-hr)

### **3.3.1.6 Total Work**

The total amount of work that would be done by all the locomotives in the fleet is calculated for each calendar year by summing the work projected to be done by the newly manufactured locomotives and the work projected to be done by the remaining locomotives. The total work is calculated separately for each tier of

locomotives.

### 3.3.1.7 Emissions

Emissions are determined from the work calculated in section 3.3.1.6 (converted to hp-hrs) and brake-specific emission factors:

$$\text{Equation 9} \quad \text{Total emissions} = \text{Total Work} * \text{Emission factor}$$

The emission factors used are the estimated average in-use emissions for each tier of standards, which are shown in Table 0-61 and Table 0-62. They take into account deterioration of emissions throughout the useful life, production variations, and the compliance margins that manufacturers incorporate into their designs. For this analysis, we are generally assuming that average in-use emission levels will be 10 percent below the applicable standards.

**Table 0-61 Baseline Line-Haul Emission Factors (g/bhp-hr)**

|              | PM <sub>10</sub> | HC   | NO <sub>x</sub> | CO   |
|--------------|------------------|------|-----------------|------|
| UNCONTROLLED | 0.32             | 0.48 | 13.0            | 1.28 |
| TIER 0       | 0.32             | 0.48 | 8.60            | 1.28 |
| TIER 1       | 0.32             | 0.47 | 6.70            | 1.28 |
| TIER2        | 0.18             | 0.26 | 4.95            | 1.28 |

**Table 0-62 Baseline Switch Emission Factors (g/bhp-hr)**

|              | PM <sub>10</sub> | HC   | NO <sub>x</sub> | CO   |
|--------------|------------------|------|-----------------|------|
| UNCONTROLLED | 0.44             | 1.01 | 17.4            | 1.83 |
| TIER 0       | 0.44             | 1.01 | 12.6            | 1.83 |
| TIER 1       | 0.43             | 1.01 | 9.9             | 1.83 |
| TIER 2       | 0.19             | 0.51 | 7.3             | 1.83 |

These PM<sub>10</sub> emission factors reflect the emission rates expected from locomotives operating on current in-use fuel with sulfur levels at 3000 ppm. The emission inventories described in this chapter, however, account for the reductions in sulfate particulate expected to result from using lower sulfur fuels after 2007. We estimate that the PM<sub>10</sub> emission rate for locomotives operating on nominally 500 ppm sulfur fuel will be 0.029 g/bhp-hr lower than the PM<sub>10</sub> emission rate for locomotives operating on 3000 ppm sulfur fuel. Similarly we estimate that the PM<sub>10</sub> emission rate for locomotives operating on nominally 15 ppm sulfur fuel will be 0.033 g/bhp-hr lower than the PM<sub>10</sub> emission rate for locomotives operating on 3000 ppm sulfur fuel.



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To estimate VOC emissions, an adjustment factor of 1.053 is applied to the HC output. Similarly, to estimate PM<sub>2.5</sub> emissions, an adjustment factor of 0.97 is applied to the PM<sub>10</sub> output. These adjustment factors are the same as those used for marine engines.

### 3.3.2 Baseline (Pre-Control) Inventory Development

In developing the baseline inventory, we collected fuel consumption estimates from the regulated industries, including publicly available estimates for Class I and commuter railroads. We used the same estimated average in-use emission factors and load factors as we used in the previous rulemaking.

We are using a projection by the Energy Information Administration (EIA) that locomotive fuel consumption will grow 1.6 percent annually.<sup>8</sup> We are assuming that this fuel growth applies equally across all categories of locomotives and is directly proportional to engine work performed by the fleet.

**Table 0-63 Summary of Locomotive Emission Analysis Inputs**

|  | Large Line-Haul | Large Switch  | Small Line-Haul | Small Switch | Passenger/Commuter |
|--|-----------------|---------------|-----------------|--------------|--------------------|
| 2005 FUEL CONSUMPTION (GAL/YR)                 | 3.910 BILLION   | 310 MILLION   | 105 MILLION     | 39 MILLION   | 142 MILLION        |
| HOURS USED PER YEAR WHEN NEW                   | 4350            | 4450          | NA              | NA           | 3900               |
| YEARS AFTER WHICH USAGE BEGINS TO DECLINE      | 8               | 50            | NA              | NA           | 20                 |
| HOURS PER YEAR AT END OF LIFE                  | 1740 @ 40 YRS   | 3115 @ 70 YRS | NA              | NA           | 2340@30YRS         |
| AGE AFTER WHICH SCRAPPAGE BEGINS               | 20              | 50            | NA              | NA           | 20                 |
| AGE AFTER WHICH NO LOCOMOTIVES REMAIN IN FLEET | 40              | 70            | NA              | NA           | 30                 |
| LOAD FACTOR (AVG HP/RATED HP)                  | 0.275           | 0.100         | 0.275           | 0.100        | 0.275              |
| AVG HP/GAL                                     | 20.8            | 15.2          | 18.2            | 15.2         | 20.8               |

#### 3.3.2.1 Large Line-Haul

The large line-haul category includes line-haul freight locomotives that are fully subject to the standards being proposed. Locomotives that are owned and operated by railroads that qualify as small businesses are addressed separately, as described in 3.3.2.3. The large line-haul analysis is based primarily on data collected

for Class I railroads. However, as described in 3.3.2.3, the total fuel includes one-third of the estimated Class II and Class III fuel use to account for those Class II and III railroads that do not qualify as small businesses. The estimate of current Class I total fuel use came from the AAR Railroad Facts booklet. This was reduced by 7 percent to reflect fuel used in switching rather than line-haul operation. The fleet composition for all large railroads was estimated based on a contractor analysis. The contractor estimated that this fleet included 19,757 locomotives with more than 2500 hp. (Locomotives with 2500 hp or less were assumed to be used primarily in switching operations.) Usage and scrappage patterns were developed to fit the fuel use and fleet composition data. The average in-use load factor was assumed to be the same as the load factor for a typical line-haul duty cycle test.

### 3.3.2.2 Large Switch

We generally used the same approach to calculate switch emissions as we used to calculate line-haul emissions, but we used different inputs. We also made one change to the analysis of future sales. We assumed that the majority of growth in switching activity will be achieved by using switch locomotives more rather than by adding new switch locomotives to the fleet. More specifically, we assumed that 1.2 percent of the annual 1.6 percent growth in activity will be achieved by using the existing switchers more, while only 0.4 percent of the growth will be achieved by increasing the number of switchers in the fleet.

As shown in Table 0-63, we believe that switch locomotives tend to last longer in the fleet and have a lower in-use load factor than line-haul locomotives. Thus the average age of switch locomotives is much older than for line-haul. We also estimate that switching operation will use approximately seven percent of total large railroad fuel, and will grow at the same rate as line-haul operation. The switch fleet composition for all large railroads was estimated based on the same contractor analysis used for the line-haul fleet. The contractor estimated that this fleet included 5206 locomotives with 2500 hp or less. This included 1645 locomotives with 2250 to 2500 hp. While we recognize that some of these locomotives will be used in branch service<sup>d</sup>, for this analysis they are assumed to be used primarily in switching operations.

### 3.3.2.3 Small Railroads

We used a simplified approach for small railroads (that is railroads that are not required to retrofit their locomotive with new emission controls because they qualify as "small railroads" under the regulatory definition). We assume that these small railroads are unlike the larger railroads in the following ways:

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<sup>d</sup> Branch service includes short-haul operations that would be considered intermediate to intercity line-haul service and switch service.

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- They do not purchase newly manufactured locomotive.
- They use their locomotives at a constant rate.
- They replace their existing locomotives at a constant rate of 3 percent per year.

For this analysis, we considered small railroad activity in the same two categories as the larger railroads: line-haul and switch. For small line-haul operations, we are projecting that railroads will scrap and replace their oldest locomotives with 25 year-old locomotives purchased from the larger railroads. Thus the inventory analysis has these railroads obtaining Tier 1 locomotives starting in 2026, and Tier 2 locomotives in 2030. For small switch operations, the railroads are projected to replace their scrapped locomotives with only uncontrolled or Tier 0 locomotives purchased from the larger railroads. This analysis runs only through 2040 and we consider it unlikely that any significant number of Tier 1 or later switch locomotives will be available for small railroads before 2040.

The analysis of small railroads is based on the survey information provided by the American Shortline Railroad Association for Class II and Class III railroads. These results had to be adjusted upward to correct for a response rate of approximately 85 percent. We also had to adjust these estimates because not all Class II and Class III railroads qualify as small railroads under the regulations. We estimate that one-third of these railroads are owned by Class I railroads or other large businesses. Finally, we estimated the fraction small railroad activity should be characterized as line-haul service versus switching service. We estimate that Class II railroads use 7 percent of their fuel in switching service (the same as Class I railroads), but that Class III railroads use 50 percent of their fuel in switching service. When combined, these factors result in our estimate that small railroads used a total of 105 million gallons of diesel fuel in line-haul service in 2005, and 39 million gallons of diesel fuel in switching service, as shown in Table 3-64.

**Table 0-64 Distribution of annual fuel consumption by Class II and Class III railroads (million gallons per year)**

|           | Amount of fuel used by railroads that qualify as small railroads |        | Fuel used by other Class II and Class III railroads |        |
|-----------|--|--------|---|--------|
|           | LINE-HAUL  | SWITCH | LINE-HAUL   | SWITCH |
| CLASS II  | 71.5   | 5.4    | 35.7  | 2.7    |
| CLASS III | 33.7   | 33.7   | 16.8  | 16.8   |

### 3.3.2.4 Passenger/Commuter

We used the same approach to calculate passenger and commuter emissions as we used to calculate large line-haul emissions, but we used different inputs. As shown

in the table, we believe that passenger/commuter locomotives tend to have an average age that is slightly newer than for line-haul. We used estimates from AMTRAK and APTA for current fuel consumption rates, and project that these will grow at the same rate as line-haul operation.

### **3.3.2.5 Locomotive Baseline Inventory Summary**

The baseline locomotive inventory is shown separately for PM<sub>10</sub>, PM<sub>2.5</sub>, NO<sub>x</sub>, VOC, HC, CO, and SO<sub>2</sub> in Table 0-65 through Table 0-71.

The baseline air toxics inventories for locomotives were taken from the MSAT rule and are provided in Table 0-72. Inventories are provided for calendar years 1999, 2010, 2015, 2020, and 2030.

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**Table 0-65 Baseline (50-State) PM<sub>10</sub> Emissions for Locomotives (short tons)**

| Calendar Year | Large Line-haul | Large Switch | Small Railroads | Passenger/Commuter | Total  |
|---------------|-----------------|--------------|-----------------|--------------------|--------|
| 2006          | 27,919          | 2,270        | 935             | 1,023              | 32,147 |
| 2007          | 27,873          | 2,295        | 950             | 1,011              | 32,129 |
| 2008          | 25,078          | 2,162        | 883             | 901                | 29,023 |
| 2009          | 24,965          | 2,185        | 897             | 888                | 28,934 |
| 2010          | 24,831          | 2,208        | 911             | 874                | 28,824 |
| 2011          | 24,686          | 2,232        | 926             | 859                | 28,703 |
| 2012          | 24,536          | 2,256        | 940             | 845                | 28,577 |
| 2013          | 24,015          | 2,258        | 944             | 817                | 28,033 |
| 2014          | 23,874          | 2,282        | 959             | 802                | 27,916 |
| 2015          | 23,724          | 2,306        | 974             | 787                | 27,791 |
| 2016          | 23,561          | 2,330        | 990             | 771                | 27,653 |
| 2017          | 23,398          | 2,355        | 1,006           | 756                | 27,515 |
| 2018          | 23,240          | 2,380        | 1,022           | 741                | 27,383 |
| 2019          | 23,081          | 2,405        | 1,038           | 726                | 27,251 |
| 2020          | 22,918          | 2,431        | 1,055           | 711                | 27,114 |
| 2021          | 22,750          | 2,457        | 1,071           | 696                | 26,974 |
| 2022          | 22,579          | 2,483        | 1,088           | 681                | 26,831 |
| 2023          | 22,407          | 2,490        | 1,106           | 666                | 26,668 |
| 2024          | 22,244          | 2,489        | 1,124           | 651                | 26,508 |
| 2025          | 22,080          | 2,483        | 1,141           | 636                | 26,340 |
| 2026          | 21,944          | 2,472        | 1,160           | 624                | 26,200 |
| 2027          | 21,836          | 2,456        | 1,178           | 614                | 26,084 |
| 2028          | 21,755          | 2,434        | 1,197           | 607                | 25,993 |
| 2029          | 21,703          | 2,410        | 1,216           | 602                | 25,931 |
| 2030          | 21,685          | 2,380        | 1,223           | 598                | 25,886 |
| 2031          | 21,696          | 2,343        | 1,230           | 597                | 25,866 |
| 2032          | 21,735          | 2,301        | 1,237           | 598                | 25,871 |
| 2033          | 21,800          | 2,257        | 1,243           | 600                | 25,901 |
| 2034          | 21,894          | 2,209        | 1,250           | 603                | 25,957 |
| 2035          | 22,023          | 2,161        | 1,256           | 608                | 26,049 |
| 2036          | 22,187          | 2,113        | 1,263           | 613                | 26,176 |
| 2037          | 22,378          | 2,066        | 1,269           | 618                | 26,331 |
| 2038          | 22,597          | 2,018        | 1,275           | 623                | 26,513 |
| 2039          | 22,846          | 1,971        | 1,281           | 628                | 26,726 |
| 2040          | 23,126          | 1,924        | 1,287           | 633                | 26,969 |

Table 0-66 Baseline (50-State) PM<sub>2.5</sub> Emissions for Locomotives (short tons)

| Calendar Year | Large Line-haul | Large Switch | Small Railroads | Passenger/Commuter | Total  |
|---------------|-----------------|--------------|-----------------|--------------------|--------|
| 2006          | 27,082          | 2,202        | 907             | 992                | 31,183 |
| 2007          | 27,037          | 2,226        | 922             | 981                | 31,166 |
| 2008          | 24,325          | 2,097        | 856             | 874                | 28,153 |
| 2009          | 24,216          | 2,120        | 870             | 861                | 28,066 |
| 2010          | 24,086          | 2,142        | 884             | 847                | 27,959 |
| 2011          | 23,946          | 2,165        | 898             | 833                | 27,842 |
| 2012          | 23,800          | 2,188        | 912             | 819                | 27,720 |
| 2013          | 23,294          | 2,190        | 916             | 792                | 27,192 |
| 2014          | 23,157          | 2,213        | 930             | 778                | 27,079 |
| 2015          | 23,012          | 2,237        | 945             | 763                | 26,957 |
| 2016          | 22,854          | 2,260        | 960             | 748                | 26,823 |
| 2017          | 22,696          | 2,284        | 975             | 734                | 26,690 |
| 2018          | 22,542          | 2,309        | 991             | 719                | 26,561 |
| 2019          | 22,389          | 2,333        | 1,007           | 704                | 26,433 |
| 2020          | 22,230          | 2,358        | 1,023           | 690                | 26,301 |
| 2021          | 22,067          | 2,383        | 1,039           | 675                | 26,165 |
| 2022          | 21,902          | 2,409        | 1,056           | 660                | 26,026 |
| 2023          | 21,734          | 2,415        | 1,073           | 646                | 25,868 |
| 2024          | 21,577          | 2,415        | 1,090           | 631                | 25,713 |
| 2025          | 21,417          | 2,408        | 1,107           | 617                | 25,550 |
| 2026          | 21,286          | 2,398        | 1,125           | 605                | 25,414 |
| 2027          | 21,181          | 2,382        | 1,143           | 596                | 25,301 |
| 2028          | 21,102          | 2,361        | 1,161           | 589                | 25,213 |
| 2029          | 21,052          | 2,338        | 1,180           | 584                | 25,153 |
| 2030          | 21,034          | 2,308        | 1,186           | 581                | 25,109 |
| 2031          | 21,045          | 2,273        | 1,193           | 579                | 25,090 |
| 2032          | 21,083          | 2,232        | 1,200           | 580                | 25,094 |
| 2033          | 21,146          | 2,190        | 1,206           | 582                | 25,124 |
| 2034          | 21,238          | 2,143        | 1,212           | 585                | 25,178 |
| 2035          | 21,362          | 2,096        | 1,219           | 590                | 25,267 |
| 2036          | 21,521          | 2,050        | 1,225           | 595                | 25,391 |
| 2037          | 21,707          | 2,004        | 1,231           | 600                | 25,541 |
| 2038          | 21,919          | 1,958        | 1,237           | 604                | 25,718 |
| 2039          | 22,160          | 1,912        | 1,243           | 609                | 25,925 |
| 2040          | 22,432          | 1,866        | 1,248           | 614                | 26,160 |

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**Table 0-67 Baseline (50-State) NO<sub>x</sub> Emissions for Locomotives (short tons)**

| Calendar Year | Large Line-haul | Large Switch | Small Railroads | Passenger/Commuter | Total   |
|---------------|-----------------|--------------|-----------------|--------------------|---------|
| 2006          | 779,842         | 86,861       | 37,690          | 38,466             | 942,858 |
| 2007          | 770,409         | 87,803       | 38,293          | 36,409             | 932,914 |
| 2008          | 761,768         | 87,623       | 38,906          | 34,361             | 922,658 |
| 2009          | 755,490         | 88,573       | 39,528          | 32,338             | 915,929 |
| 2010          | 745,431         | 88,625       | 40,161          | 30,370             | 904,587 |
| 2011          | 735,641         | 89,586       | 40,803          | 28,459             | 894,490 |
| 2012          | 730,031         | 88,909       | 41,456          | 27,212             | 887,608 |
| 2013          | 726,116         | 89,872       | 42,119          | 26,017             | 884,125 |
| 2014          | 722,365         | 89,090       | 42,793          | 24,872             | 879,121 |
| 2015          | 718,800         | 90,055       | 43,168          | 24,382             | 876,405 |
| 2016          | 714,893         | 89,682       | 43,544          | 23,325             | 871,445 |
| 2017          | 711,364         | 90,653       | 43,921          | 22,922             | 868,860 |
| 2018          | 708,525         | 90,875       | 44,299          | 22,559             | 866,258 |
| 2019          | 706,475         | 91,859       | 44,609          | 22,197             | 865,139 |
| 2020          | 704,353         | 89,367       | 44,917          | 21,836             | 860,474 |
| 2021          | 702,449         | 90,332       | 45,224          | 21,477             | 859,481 |
| 2022          | 700,505         | 89,231       | 45,529          | 21,119             | 856,383 |
| 2023          | 698,881         | 89,395       | 45,832          | 20,797             | 854,905 |
| 2024          | 697,737         | 87,896       | 46,134          | 20,510             | 852,277 |
| 2025          | 696,922         | 85,521       | 46,433          | 20,256             | 849,133 |
| 2026          | 696,845         | 85,305       | 46,730          | 20,066             | 848,946 |
| 2027          | 697,488         | 84,961       | 46,863          | 19,935             | 849,248 |
| 2028          | 698,814         | 84,538       | 46,989          | 19,860             | 850,202 |
| 2029          | 700,893         | 84,058       | 47,107          | 19,836             | 851,894 |
| 2030          | 703,847         | 83,458       | 47,062          | 19,859             | 854,226 |
| 2031          | 707,554         | 82,732       | 47,002          | 19,926             | 857,214 |
| 2032          | 711,989         | 81,917       | 46,929          | 20,033             | 860,868 |
| 2033          | 717,100         | 81,067       | 46,842          | 20,160             | 865,168 |
| 2034          | 722,959         | 80,141       | 46,739          | 20,305             | 870,144 |
| 2035          | 729,705         | 79,228       | 46,622          | 20,468             | 876,023 |
| 2036          | 737,374         | 78,332       | 46,488          | 20,631             | 882,826 |
| 2037          | 745,744         | 77,455       | 46,339          | 20,797             | 890,334 |
| 2038          | 754,836         | 76,596       | 46,172          | 20,963             | 898,567 |
| 2039          | 764,711         | 75,766       | 45,989          | 21,131             | 907,596 |
| 2040          | 775,388         | 74,931       | 45,788          | 21,300             | 917,407 |

Table 0-68 Baseline (50-State) VOC Emissions for Locomotives (short tons)

| Calendar Year | Large Line-haul | Large Switch | Small Railroads | Passenger/Commuter | Total  |
|---------------|-----------------|--------------|-----------------|--------------------|--------|
| 2006          | 43,874          | 5,501        | 2,891           | 1,609              | 53,874 |
| 2007          | 43,762          | 5,566        | 2,937           | 1,589              | 53,853 |
| 2008          | 43,636          | 5,630        | 2,984           | 1,568              | 53,818 |
| 2009          | 43,486          | 5,696        | 3,032           | 1,546              | 53,759 |
| 2010          | 43,301          | 5,763        | 3,080           | 1,523              | 53,667 |
| 2011          | 43,100          | 5,830        | 3,129           | 1,500              | 53,559 |
| 2012          | 42,891          | 5,898        | 3,179           | 1,476              | 53,445 |
| 2013          | 42,700          | 5,967        | 3,230           | 1,453              | 53,349 |
| 2014          | 42,518          | 6,037        | 3,282           | 1,429              | 53,265 |
| 2015          | 42,323          | 6,108        | 3,335           | 1,404              | 53,169 |
| 2016          | 42,107          | 6,179        | 3,388           | 1,380              | 53,054 |
| 2017          | 41,892          | 6,252        | 3,442           | 1,356              | 52,941 |
| 2018          | 41,684          | 6,325        | 3,497           | 1,332              | 52,838 |
| 2019          | 41,478          | 6,399        | 3,553           | 1,307              | 52,738 |
| 2020          | 41,265          | 6,475        | 3,610           | 1,283              | 52,633 |
| 2021          | 41,044          | 6,551        | 3,668           | 1,259              | 52,522 |
| 2022          | 40,820          | 6,628        | 3,726           | 1,235              | 52,410 |
| 2023          | 40,596          | 6,664        | 3,786           | 1,212              | 52,259 |
| 2024          | 40,391          | 6,686        | 3,847           | 1,188              | 52,112 |
| 2025          | 40,185          | 6,696        | 3,908           | 1,165              | 51,954 |
| 2026          | 40,027          | 6,697        | 3,971           | 1,146              | 51,841 |
| 2027          | 39,916          | 6,685        | 4,034           | 1,132              | 51,768 |
| 2028          | 39,850          | 6,665        | 4,099           | 1,121              | 51,735 |
| 2029          | 39,833          | 6,639        | 4,164           | 1,114              | 51,750 |
| 2030          | 39,873          | 6,600        | 4,231           | 1,110              | 51,813 |
| 2031          | 39,961          | 6,547        | 4,299           | 1,109              | 51,917 |
| 2032          | 40,098          | 6,485        | 4,367           | 1,111              | 52,062 |
| 2033          | 40,278          | 6,419        | 4,437           | 1,116              | 52,250 |
| 2034          | 40,507          | 6,345        | 4,508           | 1,123              | 52,483 |
| 2035          | 40,793          | 6,271        | 4,580           | 1,132              | 52,776 |
| 2036          | 41,139          | 6,197        | 4,654           | 1,141              | 53,131 |
| 2037          | 41,531          | 6,125        | 4,728           | 1,150              | 53,534 |
| 2038          | 41,969          | 6,053        | 4,804           | 1,159              | 53,986 |
| 2039          | 42,459          | 5,983        | 4,881           | 1,169              | 54,491 |
| 2040          | 43,000          | 5,912        | 4,959           | 1,178              | 55,049 |



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**Table 0-69 Baseline (50-State) HC Emissions for Locomotives (short tons)**

| Calendar Year | Large Line-haul | Large Switch | Small Railroads | Passenger/Commuter | Total  |
|---------------|-----------------|--------------|-----------------|--------------------|--------|
| 2006          | 41,665          | 5,225        | 2,745           | 1,528              | 51,163 |
| 2007          | 41,559          | 5,285        | 2,789           | 1,509              | 51,143 |
| 2008          | 41,439          | 5,347        | 2,834           | 1,489              | 51,109 |
| 2009          | 41,297          | 5,409        | 2,879           | 1,468              | 51,053 |
| 2010          | 41,122          | 5,473        | 2,925           | 1,446              | 50,965 |
| 2011          | 40,930          | 5,537        | 2,972           | 1,424              | 50,863 |
| 2012          | 40,733          | 5,601        | 3,019           | 1,402              | 50,755 |
| 2013          | 40,550          | 5,667        | 3,068           | 1,379              | 50,664 |
| 2014          | 40,378          | 5,733        | 3,117           | 1,357              | 50,584 |
| 2015          | 40,192          | 5,800        | 3,167           | 1,334              | 50,493 |
| 2016          | 39,988          | 5,868        | 3,217           | 1,311              | 50,384 |
| 2017          | 39,783          | 5,937        | 3,269           | 1,288              | 50,277 |
| 2018          | 39,586          | 6,007        | 3,321           | 1,265              | 50,179 |
| 2019          | 39,391          | 6,077        | 3,374           | 1,242              | 50,084 |
| 2020          | 39,188          | 6,149        | 3,428           | 1,219              | 49,984 |
| 2021          | 38,978          | 6,221        | 3,483           | 1,196              | 49,879 |
| 2022          | 38,766          | 6,294        | 3,539           | 1,173              | 49,772 |
| 2023          | 38,553          | 6,329        | 3,595           | 1,151              | 49,628 |
| 2024          | 38,358          | 6,350        | 3,653           | 1,129              | 49,489 |
| 2025          | 38,162          | 6,359        | 3,711           | 1,107              | 49,339 |
| 2026          | 38,013          | 6,360        | 3,771           | 1,089              | 49,232 |
| 2027          | 37,907          | 6,349        | 3,831           | 1,075              | 49,162 |
| 2028          | 37,844          | 6,330        | 3,892           | 1,064              | 49,131 |
| 2029          | 37,828          | 6,305        | 3,955           | 1,058              | 49,145 |
| 2030          | 37,866          | 6,268        | 4,018           | 1,054              | 49,205 |
| 2031          | 37,950          | 6,218        | 4,082           | 1,053              | 49,304 |
| 2032          | 38,079          | 6,159        | 4,148           | 1,055              | 49,441 |
| 2033          | 38,250          | 6,096        | 4,214           | 1,060              | 49,621 |
| 2034          | 38,468          | 6,025        | 4,281           | 1,067              | 49,841 |
| 2035          | 38,740          | 5,955        | 4,350           | 1,075              | 50,120 |
| 2036          | 39,068          | 5,885        | 4,419           | 1,084              | 50,457 |
| 2037          | 39,440          | 5,817        | 4,490           | 1,092              | 50,839 |
| 2038          | 39,857          | 5,748        | 4,562           | 1,101              | 51,269 |
| 2039          | 40,322          | 5,682        | 4,635           | 1,110              | 51,749 |
| 2040          | 40,836          | 5,614        | 4,709           | 1,119              | 52,278 |

Table 0-70 Baseline (50-State) CO Emissions for Locomotives (short tons)

| Calendar Year | Large Line-haul | Large Switch | Small Railroads | Passenger/Commuter | Total   |
|---------------|-----------------|--------------|-----------------|--------------------|---------|
| 2006          | 116,584         | 9,620        | 5,805           | 4,201              | 136,211 |
| 2007          | 118,450         | 9,774        | 5,898           | 4,234              | 138,356 |
| 2008          | 120,345         | 9,930        | 5,993           | 4,268              | 140,536 |
| 2009          | 122,271         | 10,089       | 6,089           | 4,302              | 142,751 |
| 2010          | 124,227         | 10,251       | 6,186           | 4,337              | 145,000 |
| 2011          | 126,215         | 10,415       | 6,285           | 4,371              | 147,286 |
| 2012          | 128,234         | 10,581       | 6,386           | 4,406              | 149,607 |
| 2013          | 130,286         | 10,751       | 6,488           | 4,442              | 151,966 |
| 2014          | 132,370         | 10,923       | 6,592           | 4,477              | 154,362 |
| 2015          | 134,488         | 11,097       | 6,697           | 4,513              | 156,796 |
| 2016          | 136,640         | 11,275       | 6,804           | 4,549              | 159,268 |
| 2017          | 138,826         | 11,455       | 6,913           | 4,585              | 161,780 |
| 2018          | 141,047         | 11,639       | 7,024           | 4,622              | 164,332 |
| 2019          | 143,304         | 11,825       | 7,136           | 4,659              | 166,924 |
| 2020          | 145,597         | 12,014       | 7,250           | 4,696              | 169,558 |
| 2021          | 147,927         | 12,206       | 7,366           | 4,734              | 172,233 |
| 2022          | 150,293         | 12,402       | 7,484           | 4,772              | 174,951 |
| 2023          | 152,698         | 12,600       | 7,604           | 4,810              | 177,712 |
| 2024          | 155,141         | 12,802       | 7,725           | 4,849              | 180,517 |
| 2025          | 157,624         | 13,006       | 7,849           | 4,887              | 183,366 |
| 2026          | 160,146         | 13,215       | 7,975           | 4,926              | 186,261 |
| 2027          | 162,708         | 13,426       | 8,102           | 4,966              | 189,202 |
| 2028          | 165,311         | 13,641       | 8,232           | 5,006              | 192,189 |
| 2029          | 167,956         | 13,859       | 8,364           | 5,046              | 195,224 |
| 2030          | 170,643         | 14,081       | 8,497           | 5,086              | 198,308 |
| 2031          | 173,374         | 14,306       | 8,633           | 5,127              | 201,440 |
| 2032          | 176,148         | 14,535       | 8,771           | 5,168              | 204,622 |
| 2033          | 178,966         | 14,768       | 8,912           | 5,209              | 207,855 |
| 2034          | 181,830         | 15,004       | 9,054           | 5,251              | 211,139 |
| 2035          | 184,739         | 15,244       | 9,199           | 5,293              | 214,475 |
| 2036          | 187,695         | 15,488       | 9,346           | 5,335              | 217,864 |
| 2037          | 190,698         | 15,736       | 9,496           | 5,378              | 221,307 |
| 2038          | 193,749         | 15,987       | 9,648           | 5,421              | 224,805 |
| 2039          | 196,849         | 16,243       | 9,802           | 5,464              | 228,359 |
| 2040          | 199,999         | 16,503       | 9,959           | 5,508              | 231,969 |

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**Table 0-71 Baseline (50-State) SO<sub>2</sub> Emissions for Locomotives (short tons)**

| Calendar Year | Large Line-haul | Large Switch | Small Railroads | Passenger/Commuter | Total  |
|---------------|-----------------|--------------|-----------------|--------------------|--------|
| 2006          | 83,769          | 6,637        | 3,085           | 3,018              | 96,510 |
| 2007          | 85,110          | 6,743        | 3,134           | 3,042              | 98,030 |
| 2008          | 10,088          | 799          | 372             | 358                | 11,617 |
| 2009          | 10,250          | 812          | 377             | 361                | 11,800 |
| 2010          | 10,414          | 825          | 384             | 364                | 11,986 |
| 2011          | 10,580          | 838          | 390             | 366                | 12,175 |
| 2012          | 10,750          | 852          | 396             | 369                | 12,367 |
| 2013          | 312             | 25           | 11              | 11                 | 359    |
| 2014          | 317             | 25           | 12              | 11                 | 365    |
| 2015          | 322             | 26           | 12              | 11                 | 370    |
| 2016          | 327             | 26           | 12              | 11                 | 376    |
| 2017          | 333             | 26           | 12              | 11                 | 382    |
| 2018          | 338             | 27           | 12              | 11                 | 388    |
| 2019          | 343             | 27           | 13              | 11                 | 394    |
| 2020          | 349             | 28           | 13              | 11                 | 400    |
| 2021          | 354             | 28           | 13              | 11                 | 407    |
| 2022          | 360             | 29           | 13              | 11                 | 413    |
| 2023          | 366             | 29           | 13              | 12                 | 420    |
| 2024          | 372             | 29           | 14              | 12                 | 426    |
| 2025          | 378             | 30           | 14              | 12                 | 433    |
| 2026          | 384             | 30           | 14              | 12                 | 440    |
| 2027          | 390             | 31           | 14              | 12                 | 447    |
| 2028          | 396             | 31           | 15              | 12                 | 454    |
| 2029          | 402             | 32           | 15              | 12                 | 461    |
| 2030          | 409             | 32           | 15              | 12                 | 468    |
| 2031          | 415             | 33           | 15              | 12                 | 476    |
| 2032          | 422             | 33           | 16              | 12                 | 483    |
| 2033          | 429             | 34           | 16              | 12                 | 491    |
| 2034          | 435             | 35           | 16              | 13                 | 499    |
| 2035          | 442             | 35           | 16              | 13                 | 506    |
| 2036          | 450             | 36           | 17              | 13                 | 515    |
| 2037          | 457             | 36           | 17              | 13                 | 523    |
| 2038          | 464             | 37           | 17              | 13                 | 531    |
| 2039          | 471             | 37           | 17              | 13                 | 539    |
| 2040          | 479             | 38           | 18              | 13                 | 548    |

Table 0-72 Baseline (50-State) Air Toxics Emissions for Locomotives (short tons)

| HAP           | 1999  | 2010  | 2015  | 2020  | 2030  |
|---------------|-------|-------|-------|-------|-------|
| BENZENE       | 92    | 84    | 82    | 80    | 76    |
| FORMALDEHYDE  | 1,467 | 1,339 | 1,318 | 1,280 | 1,214 |
| ACETALDEHYDE  | 640   | 584   | 575   | 558   | 530   |
| 1,3-BUTADIENE | 107   | 98    | 96    | 93    | 88    |
| ACROLEIN      | 104   | 94    | 93    | 90    | 86    |
| NAPHTHALENE   | 58    | 42    | 40    | 38    | 34    |
| POM           | 35    | 25    | 24    | 23    | 20    |

### 3.3.3 Control Inventory Development

Control inventories were developed in the same manner as the baseline inventories. The only change was in the emission factors.

#### 3.3.3.1 Control Scenario Modeled

The proposed regulations would apply in largely the same manner as the existing program. Thus, the control scenario can be defined simply by the proposed standards and the model years for which they would become effective. Two new sets of emission standards are being proposed: line-haul locomotive standards and switch locomotive standards. The line-haul standards would apply for freight and passenger line-haul locomotives, while the switch standards would apply for freight and passenger switch locomotives. Note; we are not changing the emission standards for CO.

As in the baseline analysis, average in-use emission factors for the analysis of the proposed standards were generally assumed to be 10 percent below the applicable standards, to account for deterioration of emissions throughout the useful life, production variations, and the compliance margins that manufacturers incorporate into their designs. The exceptions to this general rule are the HC emissions for all locomotives and the NO<sub>x</sub> emissions for Tier 4 locomotives. While we are not proposing changes to the Tier 3 or earlier HC standards, we expect the emission controls for PM<sub>10</sub> will generally achieve proportional reductions in HC. For Tier 4 NO<sub>x</sub> standards, we expect that manufacturers will need to have lower zero-hour emission rates to account for potential deterioration and include larger compliance margins (expressed as a percentage of the standards).

The emission factors used to generate the control case inventories are given in Table 0-73 and Table 0-74.

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**Table 0-73 Projected Line-Haul Emission Factors with Proposed Standards**

| Tier   | Initial Model Year     | NO <sub>x</sub><br>(g/bhp-hr) | PM <sub>10</sub><br>(g/bhp-hr) | HC<br>(g/bhp-hr) |
|--------|------------------------|-------------------------------|--------------------------------|------------------|
| TIER 0 | 2008/2010 <sup>A</sup> | 8.60                          | 0.20                           | 0.30             |
| TIER 1 | 2008/2010              | 6.70                          | 0.20                           | 0.29             |
| TIER 2 | 2013                   | 4.95                          | 0.09                           | 0.13             |
| TIER 3 | 2012                   | 4.95                          | 0.09                           | 0.13             |
| TIER 4 | 2015/2017 <sup>B</sup> | 1.00                          | 0.027                          | 0.04             |

<sup>A</sup> The new Tier 0 standard would apply in 2008 where kits are available, and for all locomotives in 2010. This is modeled as a 40/80/100 phase-in.

<sup>B</sup> The Tier 4 NO<sub>x</sub> standard would not apply until 2017, while the other standards would apply starting in 2015. The Tier 4 NO<sub>x</sub> standard would apply, however, at remanufacture for model year 2015 and 2016 locomotives.

**Table 0-74 Projected Switch Emission Factors with Proposed Standards**

| Tier   | Initial Model Year | NO <sub>x</sub><br>(g/bhp-hr) | PM <sub>10</sub><br>(g/bhp-hr) | HC<br>(g/bhp-hr) |
|--------|--------------------|-------------------------------|--------------------------------|------------------|
| TIER 0 | 2008               | 12.60                         | 0.25                           | 0.57             |
| TIER 1 | 2008               | 9.90                          | 0.25                           | 0.57             |
| TIER2  | 2013               | 7.30                          | 0.09                           | 0.26             |
| TIER3  | 2012               | 5.40                          | 0.09                           | 0.26             |
| TIER4  | 2015               | 1.00                          | 0.02                           | 0.08             |

### 3.3.3.2 Locomotive Control Inventory Summary

The control locomotive inventory is shown separately for PM<sub>10</sub>, PM<sub>2.5</sub>, NO<sub>x</sub>, VOC, and HC in Table 0-75 through Table 0-79. See section 3.3.2.5 for CO and SO<sub>2</sub> inventories which are not projected to change as a result of the proposed standards.

The control air toxic inventories for locomotives are provided in Table 0-80. The gas phase air toxics are assumed to be controlled proportionately to VOC, while POM is controlled proportionately to PM.

Table 0-75 Control Case PM<sub>10</sub> Emissions for Locomotives (short tons)

| Calendar Year | Large Line-haul | Large Switch | Small Railroads | Passenger/Commuter | Total  |
|---------------|-----------------|--------------|-----------------|--------------------|--------|
| 2006          | 27,919          | 2,270        | 935             | 1,023              | 32,147 |
| 2007          | 27,873          | 2,295        | 950             | 1,011              | 32,129 |
| 2008          | 24,919          | 2,111        | 883             | 901                | 28,814 |
| 2009          | 24,393          | 2,134        | 897             | 888                | 28,311 |
| 2010          | 23,777          | 2,109        | 911             | 848                | 27,645 |
| 2011          | 22,544          | 2,128        | 926             | 809                | 26,407 |
| 2012          | 21,311          | 2,068        | 940             | 761                | 25,081 |
| 2013          | 20,030          | 2,069        | 944             | 707                | 23,750 |
| 2014          | 19,279          | 2,015        | 959             | 663                | 22,916 |
| 2015          | 18,377          | 2,029        | 974             | 623                | 22,003 |
| 2016          | 17,108          | 1,968        | 990             | 574                | 20,639 |
| 2017          | 15,849          | 1,981        | 1,006           | 527                | 19,363 |
| 2018          | 14,965          | 1,954        | 1,022           | 480                | 18,422 |
| 2019          | 14,113          | 1,968        | 1,038           | 435                | 17,554 |
| 2020          | 13,567          | 1,851        | 1,055           | 402                | 16,874 |
| 2021          | 13,014          | 1,862        | 1,071           | 379                | 16,326 |
| 2022          | 12,427          | 1,793        | 1,088           | 355                | 15,664 |
| 2023          | 11,831          | 1,774        | 1,106           | 332                | 15,043 |
| 2024          | 11,246          | 1,687        | 1,124           | 309                | 14,366 |
| 2025          | 10,656          | 1,557        | 1,141           | 286                | 13,641 |
| 2026          | 10,098          | 1,518        | 1,160           | 265                | 13,041 |
| 2027          | 9,561           | 1,473        | 1,178           | 247                | 12,459 |
| 2028          | 9,045           | 1,425        | 1,197           | 230                | 11,896 |
| 2029          | 8,553           | 1,374        | 1,216           | 215                | 11,358 |
| 2030          | 8,092           | 1,321        | 1,223           | 201                | 10,837 |
| 2031          | 7,656           | 1,263        | 1,230           | 189                | 10,337 |
| 2032          | 7,243           | 1,200        | 1,237           | 178                | 9,858  |
| 2033          | 6,851           | 1,136        | 1,243           | 168                | 9,398  |
| 2034          | 6,501           | 1,069        | 1,250           | 158                | 8,978  |
| 2035          | 6,181           | 1,001        | 1,256           | 150                | 8,589  |
| 2036          | 5,905           | 934          | 1,263           | 143                | 8,244  |
| 2037          | 5,661           | 866          | 1,269           | 136                | 7,933  |
| 2038          | 5,451           | 799          | 1,275           | 131                | 7,656  |
| 2039          | 5,277           | 733          | 1,281           | 127                | 7,417  |
| 2040          | 5,140           | 665          | 1,287           | 124                | 7,216  |

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**Table 0-76 Control Case PM<sub>2.5</sub> Emissions for Locomotives (short tons)**

| Calendar Year | Large Line-haul | Large Switch | Small Railroads | Passenger/Commuter | Total  |
|---------------|-----------------|--------------|-----------------|--------------------|--------|
| 2006          | 27,082          | 2,202        | 907             | 992                | 31,183 |
| 2007          | 27,037          | 2,226        | 922             | 981                | 31,166 |
| 2008          | 24,171          | 2,048        | 856             | 874                | 27,950 |
| 2009          | 23,661          | 2,070        | 870             | 861                | 27,462 |
| 2010          | 23,063          | 2,046        | 884             | 823                | 26,816 |
| 2011          | 21,868          | 2,064        | 898             | 785                | 25,614 |
| 2012          | 20,672          | 2,006        | 912             | 738                | 24,329 |
| 2013          | 19,429          | 2,007        | 916             | 686                | 23,037 |
| 2014          | 18,701          | 1,954        | 930             | 643                | 22,228 |
| 2015          | 17,826          | 1,968        | 945             | 604                | 21,343 |
| 2016          | 16,594          | 1,909        | 960             | 557                | 20,020 |
| 2017          | 15,373          | 1,922        | 975             | 511                | 18,782 |
| 2018          | 14,516          | 1,896        | 991             | 466                | 17,869 |
| 2019          | 13,690          | 1,909        | 1,007           | 422                | 17,027 |
| 2020          | 13,160          | 1,795        | 1,023           | 390                | 16,368 |
| 2021          | 12,623          | 1,806        | 1,039           | 367                | 15,836 |
| 2022          | 12,054          | 1,740        | 1,056           | 345                | 15,194 |
| 2023          | 11,476          | 1,721        | 1,073           | 322                | 14,592 |
| 2024          | 10,909          | 1,637        | 1,090           | 300                | 13,935 |
| 2025          | 10,336          | 1,511        | 1,107           | 277                | 13,232 |
| 2026          | 9,795           | 1,473        | 1,125           | 257                | 12,650 |
| 2027          | 9,274           | 1,429        | 1,143           | 239                | 12,085 |
| 2028          | 8,773           | 1,382        | 1,161           | 223                | 11,539 |
| 2029          | 8,297           | 1,332        | 1,180           | 208                | 11,017 |
| 2030          | 7,849           | 1,281        | 1,186           | 195                | 10,512 |
| 2031          | 7,426           | 1,225        | 1,193           | 183                | 10,027 |
| 2032          | 7,026           | 1,164        | 1,200           | 172                | 9,562  |
| 2033          | 6,645           | 1,102        | 1,206           | 163                | 9,116  |
| 2034          | 6,306           | 1,037        | 1,212           | 154                | 8,709  |
| 2035          | 5,996           | 971          | 1,219           | 145                | 8,331  |
| 2036          | 5,728           | 906          | 1,225           | 138                | 7,997  |
| 2037          | 5,491           | 840          | 1,231           | 132                | 7,695  |
| 2038          | 5,287           | 775          | 1,237           | 127                | 7,426  |
| 2039          | 5,118           | 711          | 1,243           | 123                | 7,195  |
| 2040          | 4,985           | 645          | 1,248           | 120                | 6,999  |

Table 0-77 Control Case NO<sub>x</sub> Emissions for Locomotives (short tons)

| Calendar Year | Large Line-haul | Large Switch | Small Railroads | Passenger/Commuter | Total   |
|---------------|-----------------|--------------|-----------------|--------------------|---------|
| 2006          | 779,842         | 86,861       | 37,690          | 38,466             | 942,858 |
| 2007          | 770,409         | 87,803       | 38,293          | 36,409             | 932,914 |
| 2008          | 757,789         | 87,056       | 38,906          | 34,361             | 918,111 |
| 2009          | 751,364         | 87,999       | 39,528          | 32,338             | 911,229 |
| 2010          | 731,807         | 87,513       | 40,161          | 29,845             | 889,326 |
| 2011          | 705,203         | 88,324       | 40,803          | 27,408             | 861,738 |
| 2012          | 692,606         | 86,614       | 41,456          | 25,933             | 846,609 |
| 2013          | 679,298         | 87,409       | 42,119          | 24,545             | 833,372 |
| 2014          | 673,879         | 85,623       | 42,793          | 23,239             | 825,533 |
| 2015          | 670,297         | 86,221       | 43,168          | 22,879             | 822,565 |
| 2016          | 658,944         | 84,610       | 43,544          | 21,717             | 808,815 |
| 2017          | 628,992         | 85,186       | 43,921          | 20,575             | 778,674 |
| 2018          | 608,010         | 84,612       | 44,299          | 19,496             | 756,417 |
| 2019          | 588,239         | 85,177       | 44,609          | 18,438             | 736,463 |
| 2020          | 569,144         | 80,769       | 44,917          | 17,662             | 712,492 |
| 2021          | 549,859         | 81,278       | 45,224          | 16,903             | 693,264 |
| 2022          | 529,725         | 78,845       | 45,529          | 16,144             | 670,243 |
| 2023          | 490,882         | 78,025       | 45,832          | 14,732             | 629,471 |
| 2024          | 451,535         | 74,751       | 46,134          | 13,316             | 585,735 |
| 2025          | 431,091         | 70,098       | 46,433          | 12,558             | 560,179 |
| 2026          | 411,268         | 68,538       | 46,730          | 11,833             | 538,369 |
| 2027          | 391,811         | 66,724       | 46,863          | 11,182             | 516,581 |
| 2028          | 372,842         | 64,743       | 46,989          | 10,555             | 495,130 |
| 2029          | 354,485         | 62,635       | 47,107          | 9,948              | 474,175 |
| 2030          | 336,949         | 60,285       | 47,062          | 9,355              | 453,651 |
| 2031          | 320,021         | 57,681       | 47,002          | 8,775              | 433,480 |
| 2032          | 303,667         | 54,892       | 46,929          | 8,204              | 413,692 |
| 2033          | 287,812         | 52,013       | 46,842          | 7,641              | 394,307 |
| 2034          | 272,853         | 48,969       | 46,739          | 7,082              | 375,643 |
| 2035          | 258,735         | 45,924       | 46,622          | 6,527              | 357,807 |
| 2036          | 246,204         | 42,882       | 46,488          | 6,048              | 341,622 |
| 2037          | 234,905         | 39,846       | 46,339          | 5,623              | 326,713 |
| 2038          | 224,870         | 36,814       | 46,172          | 5,270              | 313,127 |
| 2039          | 216,190         | 33,806       | 45,989          | 4,986              | 300,970 |
| 2040          | 208,892         | 30,761       | 45,788          | 4,765              | 290,205 |



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**Table 0-78 Control Case VOC Emissions for Locomotives (short tons)**

| Calendar Year | Large Line-haul | Large Switch | Small Railroads | Passenger/Commuter | Total  |
|---------------|-----------------|--------------|-----------------|--------------------|--------|
| 2006          | 43,874          | 5,501        | 2,891           | 1,609              | 53,874 |
| 2007          | 43,762          | 5,566        | 2,937           | 1,589              | 53,853 |
| 2008          | 42,998          | 5,488        | 2,984           | 1,568              | 53,037 |
| 2009          | 42,008          | 5,552        | 3,032           | 1,546              | 52,137 |
| 2010          | 40,825          | 5,483        | 3,080           | 1,470              | 50,858 |
| 2011          | 38,373          | 5,534        | 3,129           | 1,395              | 48,431 |
| 2012          | 35,890          | 5,364        | 3,179           | 1,301              | 45,734 |
| 2013          | 33,597          | 5,413        | 3,230           | 1,210              | 43,451 |
| 2014          | 31,991          | 5,253        | 3,282           | 1,122              | 41,648 |
| 2015          | 30,268          | 5,291        | 3,335           | 1,045              | 39,939 |
| 2016          | 27,758          | 5,112        | 3,388           | 952                | 37,210 |
| 2017          | 25,275          | 5,147        | 3,442           | 861                | 34,725 |
| 2018          | 23,607          | 5,066        | 3,497           | 771                | 32,941 |
| 2019          | 22,010          | 5,100        | 3,553           | 683                | 31,346 |
| 2020          | 21,142          | 4,760        | 3,610           | 623                | 30,135 |
| 2021          | 20,266          | 4,790        | 3,668           | 586                | 29,310 |
| 2022          | 19,340          | 4,588        | 3,726           | 549                | 28,204 |
| 2023          | 18,402          | 4,538        | 3,786           | 512                | 27,238 |
| 2024          | 17,483          | 4,291        | 3,847           | 476                | 26,096 |
| 2025          | 16,556          | 3,916        | 3,908           | 439                | 24,819 |
| 2026          | 15,681          | 3,810        | 3,971           | 406                | 23,869 |
| 2027          | 14,839          | 3,692        | 4,034           | 377                | 22,943 |
| 2028          | 14,031          | 3,565        | 4,099           | 351                | 22,047 |
| 2029          | 13,263          | 3,432        | 4,164           | 328                | 21,187 |
| 2030          | 12,543          | 3,302        | 4,231           | 307                | 20,383 |
| 2031          | 11,863          | 3,160        | 4,299           | 288                | 19,609 |
| 2032          | 11,220          | 3,009        | 4,367           | 270                | 18,866 |
| 2033          | 10,611          | 2,853        | 4,437           | 255                | 18,156 |
| 2034          | 10,068          | 2,689        | 4,508           | 241                | 17,506 |
| 2035          | 9,573           | 2,525        | 4,580           | 228                | 16,907 |
| 2036          | 9,147           | 2,362        | 4,654           | 217                | 16,379 |
| 2037          | 8,771           | 2,199        | 4,728           | 207                | 15,906 |
| 2038          | 8,448           | 2,037        | 4,804           | 199                | 15,488 |
| 2039          | 8,182           | 1,876        | 4,881           | 193                | 15,132 |
| 2040          | 7,974           | 1,714        | 4,959           | 188                | 14,835 |

Table 0-79 Control Case HC Emissions for Locomotives (short tons)

| Calendar Year | Large Line-haul | Large Switch | Small Railroads | Passenger/Commuter | Total  |
|---------------|-----------------|--------------|-----------------|--------------------|--------|
| 2006          | 41,665          | 5,225        | 2,745           | 1,528              | 51,163 |
| 2007          | 41,559          | 5,285        | 2,789           | 1,509              | 51,143 |
| 2008          | 40,834          | 5,211        | 2,834           | 1,489              | 50,368 |
| 2009          | 39,894          | 5,272        | 2,879           | 1,468              | 49,513 |
| 2010          | 38,770          | 5,207        | 2,925           | 1,396              | 48,298 |
| 2011          | 36,441          | 5,255        | 2,972           | 1,325              | 45,993 |
| 2012          | 34,083          | 5,094        | 3,019           | 1,236              | 43,432 |
| 2013          | 31,906          | 5,141        | 3,068           | 1,149              | 41,264 |
| 2014          | 30,381          | 4,989        | 3,117           | 1,065              | 39,552 |
| 2015          | 28,745          | 5,025        | 3,167           | 993                | 37,929 |
| 2016          | 26,361          | 4,854        | 3,217           | 904                | 35,337 |
| 2017          | 24,003          | 4,888        | 3,269           | 817                | 32,977 |
| 2018          | 22,419          | 4,811        | 3,321           | 732                | 31,283 |
| 2019          | 20,902          | 4,844        | 3,374           | 648                | 29,769 |
| 2020          | 20,078          | 4,521        | 3,428           | 591                | 28,618 |
| 2021          | 19,246          | 4,549        | 3,483           | 556                | 27,835 |
| 2022          | 18,367          | 4,357        | 3,539           | 521                | 26,784 |
| 2023          | 17,476          | 4,310        | 3,595           | 487                | 25,867 |
| 2024          | 16,603          | 4,075        | 3,653           | 452                | 24,783 |
| 2025          | 15,722          | 3,719        | 3,711           | 417                | 23,570 |
| 2026          | 14,892          | 3,619        | 3,771           | 386                | 22,667 |
| 2027          | 14,092          | 3,506        | 3,831           | 358                | 21,788 |
| 2028          | 13,325          | 3,386        | 3,892           | 334                | 20,937 |
| 2029          | 12,595          | 3,259        | 3,955           | 311                | 20,121 |
| 2030          | 11,912          | 3,136        | 4,018           | 291                | 19,357 |
| 2031          | 11,266          | 3,001        | 4,082           | 273                | 18,622 |
| 2032          | 10,655          | 2,857        | 4,148           | 257                | 17,917 |
| 2033          | 10,077          | 2,709        | 4,214           | 242                | 17,242 |
| 2034          | 9,561           | 2,554        | 4,281           | 229                | 16,625 |
| 2035          | 9,092           | 2,398        | 4,350           | 216                | 16,056 |
| 2036          | 8,687           | 2,243        | 4,419           | 206                | 15,555 |
| 2037          | 8,330           | 2,089        | 4,490           | 197                | 15,105 |
| 2038          | 8,023           | 1,934        | 4,562           | 189                | 14,709 |
| 2039          | 7,770           | 1,782        | 4,635           | 183                | 14,370 |
| 2040          | 7,573           | 1,627        | 4,709           | 178                | 14,088 |

**Table 0-80 Control Case Air Toxic Emissions for Locomotives (short tons)**

| HAP           | 2010  | 2015 | 2020 | 2030 |
|---------------|-------|------|------|------|
| BENZENE       | 79    | 62   | 46   | 30   |
| FORMALDEHYDE  | 1,269 | 990  | 733  | 478  |
| ACETALDEHYDE  | 554   | 432  | 320  | 208  |
| 1,3-BUTADIENE | 92    | 72   | 53   | 35   |
| ACROLEIN      | 90    | 70   | 52   | 34   |
| NAPHTHALENE   | 40    | 30   | 22   | 13   |
| POM           | 24    | 19   | 14   | 9    |

### **3.3.4 Projected Locomotive Emission Reductions from the Proposed Rule**

The projected emission reductions for PM<sub>2.5</sub>, NO<sub>x</sub> and VOC for each category and calendar year are given in Table 0-81, Table 0-82, and Table 0-83. Table 0-84 presents the air toxic emission reductions.

Table 0-81 Projected Locomotive PM<sub>2.5</sub> Emission Reductions (short tons)

| Calendar Year | Large Line-haul | Large Switch | Small Railroads | Passenger/Commuter | Total  |
|---------------|-----------------|--------------|-----------------|--------------------|--------|
| 2008          | 154             | 49           | 0               | 0                  | 203    |
| 2009          | 555             | 50           | 0               | 0                  | 604    |
| 2010          | 1,023           | 96           | 0               | 24                 | 1,144  |
| 2011          | 2,078           | 101          | 0               | 49                 | 2,227  |
| 2012          | 3,128           | 182          | 0               | 81                 | 3,391  |
| 2013          | 3,865           | 183          | 0               | 107                | 4,155  |
| 2014          | 4,457           | 259          | 0               | 135                | 4,850  |
| 2015          | 5,186           | 269          | 0               | 159                | 5,614  |
| 2016          | 6,260           | 352          | 0               | 191                | 6,803  |
| 2017          | 7,323           | 363          | 0               | 222                | 7,908  |
| 2018          | 8,026           | 413          | 0               | 253                | 8,692  |
| 2019          | 8,699           | 425          | 0               | 283                | 9,406  |
| 2020          | 9,070           | 563          | 0               | 300                | 9,933  |
| 2021          | 9,444           | 577          | 0               | 308                | 10,329 |
| 2022          | 9,848           | 669          | 0               | 316                | 10,832 |
| 2023          | 10,258          | 694          | 0               | 324                | 11,276 |
| 2024          | 10,668          | 778          | 0               | 332                | 11,777 |
| 2025          | 11,081          | 898          | 0               | 339                | 12,318 |
| 2026          | 11,490          | 926          | 0               | 348                | 12,764 |
| 2027          | 11,907          | 953          | 0               | 356                | 13,216 |
| 2028          | 12,329          | 979          | 0               | 365                | 13,674 |
| 2029          | 12,755          | 1,006        | 0               | 375                | 14,136 |
| 2030          | 13,185          | 1,027        | 0               | 385                | 14,597 |
| 2031          | 13,619          | 1,048        | 0               | 396                | 15,063 |
| 2032          | 14,057          | 1,068        | 0               | 407                | 15,532 |
| 2033          | 14,501          | 1,087        | 0               | 419                | 16,007 |
| 2034          | 14,932          | 1,106        | 0               | 432                | 16,470 |
| 2035          | 15,366          | 1,125        | 0               | 445                | 16,936 |
| 2036          | 15,794          | 1,144        | 0               | 457                | 17,394 |
| 2037          | 16,215          | 1,163        | 0               | 467                | 17,846 |
| 2038          | 16,632          | 1,182        | 0               | 477                | 18,291 |
| 2039          | 17,042          | 1,201        | 0               | 486                | 18,730 |
| 2040          | 17,447          | 1,220        | 0               | 494                | 19,161 |

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**Table 0-82 Projected Locomotive NO<sub>x</sub> Emission Reductions (short tons)**

| Calendar Year | Large Line-haul | Large Switch | Small Railroads | Passenger/Commuter | Total   |
|---------------|-----------------|--------------|-----------------|--------------------|---------|
| 2008          | 3,978           | 568          | 0               | 0                  | 4,546   |
| 2009          | 4,126           | 575          | 0               | 0                  | 4,700   |
| 2010          | 13,624          | 1,111        | 0               | 526                | 15,261  |
| 2011          | 30,439          | 1,261        | 0               | 1,051              | 32,751  |
| 2012          | 37,425          | 2,295        | 0               | 1,278              | 40,999  |
| 2013          | 46,819          | 2,463        | 0               | 1,472              | 50,753  |
| 2014          | 48,487          | 3,468        | 0               | 1,634              | 53,588  |
| 2015          | 48,503          | 3,834        | 0               | 1,503              | 53,840  |
| 2016          | 55,949          | 5,072        | 0               | 1,608              | 62,630  |
| 2017          | 82,372          | 5,467        | 0               | 2,347              | 90,186  |
| 2018          | 100,515         | 6,263        | 0               | 3,063              | 109,841 |
| 2019          | 118,236         | 6,681        | 0               | 3,759              | 128,676 |
| 2020          | 135,209         | 8,598        | 0               | 4,175              | 147,982 |
| 2021          | 152,589         | 9,054        | 0               | 4,574              | 166,217 |
| 2022          | 170,780         | 10,386       | 0               | 4,975              | 186,141 |
| 2023          | 207,999         | 11,370       | 0               | 6,065              | 225,434 |
| 2024          | 246,202         | 13,144       | 0               | 7,195              | 266,541 |
| 2025          | 265,831         | 15,424       | 0               | 7,699              | 288,954 |
| 2026          | 285,577         | 16,767       | 0               | 8,233              | 310,577 |
| 2027          | 305,677         | 18,237       | 0               | 8,753              | 332,667 |
| 2028          | 325,972         | 19,795       | 0               | 9,305              | 355,071 |
| 2029          | 346,408         | 21,423       | 0               | 9,888              | 377,719 |
| 2030          | 366,898         | 23,173       | 0               | 10,504             | 400,575 |
| 2031          | 387,533         | 25,050       | 0               | 11,151             | 423,735 |
| 2032          | 408,322         | 27,025       | 0               | 11,828             | 447,175 |
| 2033          | 429,288         | 29,054       | 0               | 12,519             | 470,861 |
| 2034          | 450,106         | 31,171       | 0               | 13,223             | 494,501 |
| 2035          | 470,970         | 33,304       | 0               | 13,941             | 518,215 |
| 2036          | 491,170         | 35,451       | 0               | 14,584             | 541,204 |
| 2037          | 510,838         | 37,609       | 0               | 15,173             | 563,621 |
| 2038          | 529,966         | 39,782       | 0               | 15,693             | 585,440 |
| 2039          | 548,521         | 41,960       | 0               | 16,145             | 606,626 |
| 2040          | 566,497         | 44,171       | 0               | 16,534             | 627,202 |

Table 0-83 Projected Locomotive VOC Emission Reductions (short tons)

| Calendar Year | Large Line-haul | Large Switch | Small Railroads | Passenger/Commuter | Total  |
|---------------|-----------------|--------------|-----------------|--------------------|--------|
| 2008          | 638             | 143          | 0               | 0                  | 780    |
| 2009          | 1,477           | 144          | 0               | 0                  | 1,622  |
| 2010          | 2,476           | 279          | 0               | 52                 | 2,808  |
| 2011          | 4,727           | 296          | 0               | 105                | 5,128  |
| 2012          | 7,002           | 534          | 0               | 175                | 7,711  |
| 2013          | 9,102           | 554          | 0               | 242                | 9,899  |
| 2014          | 10,527          | 784          | 0               | 307                | 11,617 |
| 2015          | 12,054          | 817          | 0               | 359                | 13,230 |
| 2016          | 14,349          | 1,067        | 0               | 428                | 15,844 |
| 2017          | 16,617          | 1,104        | 0               | 495                | 18,217 |
| 2018          | 18,078          | 1,259        | 0               | 561                | 19,897 |
| 2019          | 19,468          | 1,299        | 0               | 625                | 21,392 |
| 2020          | 20,122          | 1,714        | 0               | 661                | 22,498 |
| 2021          | 20,778          | 1,760        | 0               | 674                | 23,212 |
| 2022          | 21,480          | 2,040        | 0               | 687                | 24,206 |
| 2023          | 22,194          | 2,126        | 0               | 699                | 25,020 |
| 2024          | 22,908          | 2,395        | 0               | 713                | 26,016 |
| 2025          | 23,629          | 2,780        | 0               | 726                | 27,135 |
| 2026          | 24,346          | 2,887        | 0               | 740                | 27,973 |
| 2027          | 25,077          | 2,993        | 0               | 754                | 28,825 |
| 2028          | 25,819          | 3,100        | 0               | 770                | 29,688 |
| 2029          | 26,570          | 3,207        | 0               | 786                | 30,563 |
| 2030          | 27,329          | 3,297        | 0               | 803                | 31,430 |
| 2031          | 28,099          | 3,387        | 0               | 822                | 32,308 |
| 2032          | 28,878          | 3,477        | 0               | 841                | 33,196 |
| 2033          | 29,667          | 3,566        | 0               | 861                | 34,095 |
| 2034          | 30,439          | 3,656        | 0               | 882                | 34,977 |
| 2035          | 31,220          | 3,745        | 0               | 904                | 35,869 |
| 2036          | 31,992          | 3,835        | 0               | 924                | 36,752 |
| 2037          | 32,759          | 3,926        | 0               | 943                | 37,628 |
| 2038          | 33,521          | 4,016        | 0               | 960                | 38,497 |
| 2039          | 34,276          | 4,107        | 0               | 976                | 39,360 |
| 2040          | 35,026          | 4,198        | 0               | 990                | 40,214 |

Table 0-84 Projected Locomotive Air Toxic Emission Reductions (short tons)

| HAP           | 2010 | 2015 | 2020 | 2030 |
|---------------|------|------|------|------|
| BENZENE       | 4    | 20   | 34   | 46   |
| FORMALDEHYDE  | 70   | 328  | 547  | 736  |
| ACETALDEHYDE  | 31   | 143  | 239  | 321  |
| 1,3-BUTADIENE | 5    | 24   | 40   | 54   |
| ACROLEIN      | 5    | 23   | 39   | 52   |
| NAPHTHALENE   | 2    | 10   | 16   | 20   |
| POM           | 1    | 5    | 9    | 12   |

### 3.4 Projected Total Emission Reductions from the Proposed Rule

The total base and control inventories, as well as emission reductions by calendar year, for PM<sub>2.5</sub>, NO<sub>x</sub>, and VOC are given in Table 0-85. The totals include emissions from the three major categories affected by this proposed rule: commercial marine diesel engines, recreational marine diesel engines, and locomotives. The results for PM<sub>2.5</sub> and NO<sub>x</sub> are also illustrated in Figure 1 and Figure 2. Reductions by pollutant and category are also provided in Table 0-86 thru Table 0-88.

The total air toxics reductions are provided in Table 0-89.

Calendar year 2040 was chosen as the end date for the analysis; however, additional reductions are expected to occur beyond this date.

**Figure 1 Estimated PM<sub>2.5</sub> Reductions from Locomotive and Marine Diesel Engine Standards (short tons)**

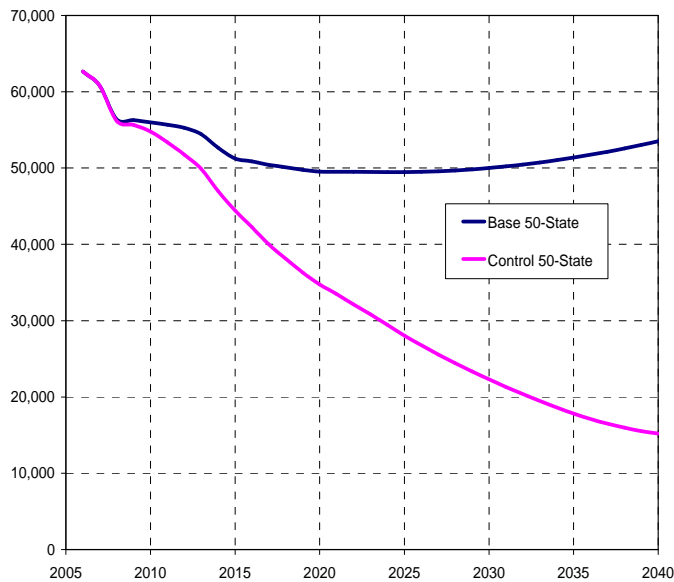
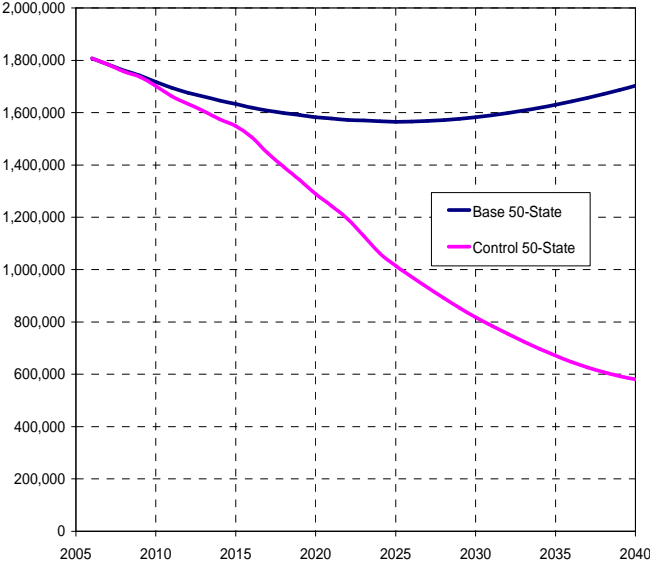


Figure 2 Estimated NOx Reductions from Locomotive and Marine Diesel Engine Standards (short tons)





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**Table 0-85 Total Emissions and Projected Reductions (short tons)**

| Year | PM <sub>2.5</sub> |         |           | NO <sub>x</sub> |           |           | VOC    |         |           |
|------|-------------------|---------|-----------|-----------------|-----------|-----------|--------|---------|-----------|
|      | Base              | Control | Reduction | Base            | Control   | Reduction | Base   | Control | Reduction |
| 2006 | 62,653            | 62,653  | 0         | 1,807,216       | 1,807,216 | 0         | 72,872 | 72,872  | 0         |
| 2007 | 60,785            | 60,785  | 0         | 1,784,284       | 1,784,284 | 0         | 72,776 | 72,776  | 0         |
| 2008 | 56,405            | 56,202  | 203       | 1,761,171       | 1,756,625 | 4,546     | 72,667 | 71,887  | 780       |
| 2009 | 56,302            | 55,694  | 608       | 1,741,683       | 1,736,983 | 4,700     | 72,541 | 70,912  | 1,629     |
| 2010 | 55,976            | 54,826  | 1,149     | 1,717,796       | 1,702,535 | 15,261    | 72,386 | 69,562  | 2,824     |
| 2011 | 55,667            | 53,431  | 2,236     | 1,695,396       | 1,662,645 | 32,751    | 72,219 | 67,068  | 5,151     |
| 2012 | 55,283            | 51,813  | 3,469     | 1,676,501       | 1,633,993 | 42,508    | 72,052 | 64,182  | 7,870     |
| 2013 | 54,424            | 49,943  | 4,481     | 1,661,733       | 1,605,845 | 55,888    | 71,909 | 61,608  | 10,301    |
| 2014 | 52,646            | 47,011  | 5,635     | 1,646,170       | 1,574,799 | 71,371    | 71,784 | 59,291  | 12,494    |
| 2015 | 51,240            | 44,466  | 6,775     | 1,633,374       | 1,549,093 | 84,281    | 71,657 | 57,077  | 14,580    |
| 2016 | 50,872            | 42,313  | 8,560     | 1,618,865       | 1,506,062 | 112,803   | 71,528 | 53,750  | 17,778    |
| 2017 | 50,424            | 40,026  | 10,397    | 1,608,049       | 1,445,528 | 162,520   | 71,437 | 50,613  | 20,824    |
| 2018 | 50,095            | 38,133  | 11,962    | 1,598,602       | 1,392,149 | 206,453   | 71,388 | 48,170  | 23,218    |
| 2019 | 49,764            | 36,308  | 13,455    | 1,591,433       | 1,341,927 | 249,506   | 71,359 | 45,937  | 25,421    |
| 2020 | 49,543            | 34,767  | 14,776    | 1,582,106       | 1,289,199 | 292,907   | 71,357 | 44,126  | 27,231    |
| 2021 | 49,514            | 33,501  | 16,013    | 1,577,901       | 1,242,771 | 335,130   | 71,382 | 42,738  | 28,645    |
| 2022 | 49,514            | 32,145  | 17,369    | 1,572,450       | 1,193,565 | 378,885   | 71,420 | 41,090  | 30,330    |
| 2023 | 49,496            | 30,821  | 18,675    | 1,569,867       | 1,128,045 | 441,821   | 71,431 | 39,604  | 31,827    |
| 2024 | 49,481            | 29,436  | 20,045    | 1,567,335       | 1,061,013 | 506,322   | 71,456 | 37,963  | 33,493    |
| 2025 | 49,473            | 28,027  | 21,446    | 1,564,909       | 1,013,286 | 551,623   | 71,477 | 36,228  | 35,249    |
| 2026 | 49,505            | 26,772  | 22,733    | 1,566,090       | 970,582   | 595,508   | 71,547 | 34,867  | 36,680    |
| 2027 | 49,575            | 25,572  | 24,003    | 1,568,322       | 929,227   | 639,095   | 71,659 | 33,581  | 38,077    |
| 2028 | 49,683            | 24,424  | 25,258    | 1,571,677       | 889,403   | 682,274   | 71,817 | 32,369  | 39,448    |
| 2029 | 49,831            | 23,330  | 26,501    | 1,576,224       | 851,600   | 724,624   | 72,027 | 31,228  | 40,799    |
| 2030 | 50,009            | 22,290  | 27,719    | 1,582,353       | 816,578   | 765,775   | 72,290 | 30,184  | 42,106    |
| 2031 | 50,219            | 21,303  | 28,916    | 1,589,972       | 784,030   | 805,941   | 72,597 | 29,208  | 43,389    |
| 2032 | 50,460            | 20,363  | 30,097    | 1,598,625       | 753,109   | 845,516   | 72,950 | 28,293  | 44,657    |
| 2033 | 50,733            | 19,462  | 31,271    | 1,608,222       | 723,487   | 884,735   | 73,349 | 27,432  | 45,917    |
| 2034 | 51,032            | 18,612  | 32,420    | 1,618,723       | 695,357   | 923,366   | 73,794 | 26,651  | 47,144    |
| 2035 | 51,368            | 17,812  | 33,557    | 1,630,331       | 668,881   | 961,451   | 74,302 | 25,939  | 48,364    |
| 2036 | 51,741            | 17,097  | 34,644    | 1,643,034       | 645,058   | 997,976   | 74,873 | 25,320  | 49,553    |
| 2037 | 52,142            | 16,482  | 35,660    | 1,656,625       | 624,387   | 1,032,239 | 75,493 | 24,787  | 50,705    |
| 2038 | 52,572            | 15,953  | 36,620    | 1,671,116       | 606,737   | 1,064,379 | 76,163 | 24,337  | 51,826    |
| 2039 | 53,034            | 15,507  | 37,527    | 1,686,564       | 591,798   | 1,094,766 | 76,888 | 23,968  | 52,920    |
| 2040 | 53,526            | 15,166  | 38,360    | 1,702,935       | 579,393   | 1,123,542 | 77,667 | 23,684  | 53,983    |

Table 0-86 Projected Total PM<sub>2.5</sub> Emission Reductions (short tons)

| YEAR | COMMERCIAL<br>MARINE | RECREATIONAL<br>MARINE | LOCOMOTIVES | TOTAL  |
|------|----------------------|------------------------|-------------|--------|
| 2008 | 0                    | 0                      | 203         | 203    |
| 2009 | 3                    | 0                      | 604         | 608    |
| 2010 | 6                    | 0                      | 1,144       | 1,149  |
| 2011 | 8                    | 1                      | 2,227       | 2,236  |
| 2012 | 76                   | 3                      | 3,391       | 3,469  |
| 2013 | 321                  | 5                      | 4,155       | 4,481  |
| 2014 | 776                  | 8                      | 4,850       | 5,635  |
| 2015 | 1,149                | 12                     | 5,614       | 6,775  |
| 2016 | 1,740                | 16                     | 6,803       | 8,560  |
| 2017 | 2,469                | 21                     | 7,908       | 10,397 |
| 2018 | 3,245                | 25                     | 8,692       | 11,962 |
| 2019 | 4,019                | 30                     | 9,406       | 13,455 |
| 2020 | 4,808                | 35                     | 9,933       | 14,776 |
| 2021 | 5,644                | 41                     | 10,329      | 16,013 |
| 2022 | 6,491                | 46                     | 10,832      | 17,369 |
| 2023 | 7,347                | 52                     | 11,276      | 18,675 |
| 2024 | 8,210                | 58                     | 11,777      | 20,045 |
| 2025 | 9,064                | 63                     | 12,318      | 21,446 |
| 2026 | 9,899                | 70                     | 12,764      | 22,733 |
| 2027 | 10,711               | 76                     | 13,216      | 24,003 |
| 2028 | 11,503               | 82                     | 13,674      | 25,258 |
| 2029 | 12,277               | 88                     | 14,136      | 26,501 |
| 2030 | 13,027               | 95                     | 14,597      | 27,719 |
| 2031 | 13,752               | 101                    | 15,063      | 28,916 |
| 2032 | 14,458               | 107                    | 15,532      | 30,097 |
| 2033 | 15,151               | 112                    | 16,007      | 31,271 |
| 2034 | 15,834               | 116                    | 16,470      | 32,420 |
| 2035 | 16,500               | 120                    | 16,936      | 33,557 |
| 2036 | 17,126               | 124                    | 17,394      | 34,644 |
| 2037 | 17,686               | 127                    | 17,846      | 35,660 |
| 2038 | 18,198               | 130                    | 18,291      | 36,620 |
| 2039 | 18,664               | 133                    | 18,730      | 37,527 |
| 2040 | 19,063               | 136                    | 19,161      | 38,360 |

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**Table 0-87 Projected Total NO<sub>x</sub> Emission Reductions (short tons)**

| YEAR | COMMERCIAL MARINE | RECREATIONAL MARINE | LOCOMOTIVES | TOTAL     |
|------|-------------------|---------------------|-------------|-----------|
| 2008 | 0                 | 0                   | 4,546       | 4,546     |
| 2009 | 0                 | 0                   | 4,700       | 4,700     |
| 2010 | 0                 | 0                   | 15,261      | 15,261    |
| 2011 | 0                 | 0                   | 32,751      | 32,751    |
| 2012 | 1,463             | 47                  | 40,999      | 42,508    |
| 2013 | 4,935             | 200                 | 50,753      | 55,888    |
| 2014 | 17,326            | 458                 | 53,588      | 71,371    |
| 2015 | 29,723            | 718                 | 53,840      | 84,281    |
| 2016 | 49,151            | 1,022               | 62,630      | 112,803   |
| 2017 | 71,006            | 1,328               | 90,186      | 162,520   |
| 2018 | 94,975            | 1,637               | 109,841     | 206,453   |
| 2019 | 118,882           | 1,947               | 128,676     | 249,506   |
| 2020 | 142,666           | 2,260               | 147,982     | 292,907   |
| 2021 | 166,339           | 2,574               | 166,217     | 335,130   |
| 2022 | 189,855           | 2,889               | 186,141     | 378,885   |
| 2023 | 213,181           | 3,206               | 225,434     | 441,821   |
| 2024 | 236,257           | 3,524               | 266,541     | 506,322   |
| 2025 | 258,828           | 3,842               | 288,954     | 551,623   |
| 2026 | 280,771           | 4,160               | 310,577     | 595,508   |
| 2027 | 301,951           | 4,477               | 332,667     | 639,095   |
| 2028 | 322,410           | 4,793               | 355,071     | 682,274   |
| 2029 | 341,797           | 5,108               | 377,719     | 724,624   |
| 2030 | 359,780           | 5,419               | 400,575     | 765,775   |
| 2031 | 376,481           | 5,725               | 423,735     | 805,941   |
| 2032 | 392,324           | 6,016               | 447,175     | 845,516   |
| 2033 | 407,598           | 6,277               | 470,861     | 884,735   |
| 2034 | 422,367           | 6,498               | 494,501     | 923,366   |
| 2035 | 436,542           | 6,693               | 518,215     | 961,451   |
| 2036 | 449,899           | 6,873               | 541,204     | 997,976   |
| 2037 | 461,578           | 7,039               | 563,621     | 1,032,239 |
| 2038 | 471,739           | 7,199               | 585,440     | 1,064,379 |
| 2039 | 480,787           | 7,353               | 606,626     | 1,094,766 |
| 2040 | 488,838           | 7,502               | 627,202     | 1,123,542 |

Table 0-88 Projected Total VOC Emission Reductions (short tons)

| YEAR | COMMERCIAL MARINE | RECREATIONAL MARINE | LOCOMOTIVES | TOTAL  |
|------|-------------------|---------------------|-------------|--------|
| 2008 | 0                 | 0                   | 780         | 780    |
| 2009 | 7                 | 1                   | 1,622       | 1,629  |
| 2010 | 14                | 1                   | 2,808       | 2,824  |
| 2011 | 22                | 2                   | 5,128       | 5,151  |
| 2012 | 152               | 8                   | 7,711       | 7,870  |
| 2013 | 383               | 20                  | 9,899       | 10,301 |
| 2014 | 837               | 40                  | 11,617      | 12,494 |
| 2015 | 1,290             | 61                  | 13,230      | 14,580 |
| 2016 | 1,848             | 86                  | 15,844      | 17,778 |
| 2017 | 2,497             | 111                 | 18,217      | 20,824 |
| 2018 | 3,183             | 137                 | 19,897      | 23,218 |
| 2019 | 3,867             | 163                 | 21,392      | 25,421 |
| 2020 | 4,545             | 188                 | 22,498      | 27,231 |
| 2021 | 5,218             | 215                 | 23,212      | 28,645 |
| 2022 | 5,883             | 241                 | 24,206      | 30,330 |
| 2023 | 6,539             | 267                 | 25,020      | 31,827 |
| 2024 | 7,183             | 294                 | 26,016      | 33,493 |
| 2025 | 7,794             | 320                 | 27,135      | 35,249 |
| 2026 | 8,360             | 347                 | 27,973      | 36,680 |
| 2027 | 8,880             | 373                 | 28,825      | 38,077 |
| 2028 | 9,360             | 400                 | 29,688      | 39,448 |
| 2029 | 9,811             | 426                 | 30,563      | 40,799 |
| 2030 | 10,225            | 452                 | 31,430      | 42,106 |
| 2031 | 10,605            | 477                 | 32,308      | 43,389 |
| 2032 | 10,960            | 501                 | 33,196      | 44,657 |
| 2033 | 11,300            | 523                 | 34,095      | 45,917 |
| 2034 | 11,625            | 541                 | 34,977      | 47,144 |
| 2035 | 11,936            | 558                 | 35,869      | 48,364 |
| 2036 | 12,228            | 573                 | 36,752      | 49,553 |
| 2037 | 12,490            | 587                 | 37,628      | 50,705 |
| 2038 | 12,728            | 600                 | 38,497      | 51,826 |
| 2039 | 12,947            | 613                 | 39,360      | 52,920 |
| 2040 | 13,143            | 626                 | 40,214      | 53,983 |

**Table 0-89 Projected Total Air Toxic Emission Reductions (short tons)**

| HAP           | 2010 | 2015 | 2020  | 2030  |
|---------------|------|------|-------|-------|
| BENZENE       | 5    | 66   | 198   | 422   |
| FORMALDEHYDE  | 74   | 661  | 1,751 | 3,494 |
| ACETALDEHYDE  | 32   | 308  | 836   | 1,691 |
| 1,3-BUTADIENE | 5    | 24   | 42    | 58    |
| ACROLEIN      | 5    | 30   | 62    | 105   |
| NAPHTHALENE   | 2    | 13   | 27    | 44    |
| POM           | 1    | 6    | 11    | 17    |

### **3.5 Contribution of Marine Diesel Engines and Locomotives to Baseline National Emission Inventories**

This section provides the contribution of marine diesel engines and locomotives to baseline nationwide emission inventories in 2001, 2020, and 2030. The baseline represents current and future emissions with the existing standards. The calendar years correspond to those chosen for the air quality modeling.

The pollutants included in this section are directly emitted PM<sub>2.5</sub>, NO<sub>x</sub>, VOC, CO, and SO<sub>2</sub>. While we do not provide estimates for other pollutants here, it should be noted that the affected engines also contribute to national ammonia (NH<sub>3</sub>) and air toxics inventories.

#### **3.5.1 Categories and Sources of Data**

As described more fully earlier in this chapter, our current inventories for marine diesel engines and locomotives were developed using multiple methodologies, but they all are based on combining engine populations, hours of use, average engine loads, and in-use emissions factors. Locomotive emissions were calculated based on estimated current and projected fuel consumption rates. Emissions were calculated separately for the following locomotive categories: Large Railroad Line-Haul Locomotives, Large Railroad Switching (including Class II/III Switch railroads owned by Class I railroads), Other Line-Haul Locomotives (i.e., Class II/III local and regional railroads), Other Switcher/Terminal Locomotives and Passenger Locomotives. The inventories for marine diesel engines were created separately for Category 1 and 2 propulsion and auxiliary engines, including those less than or equal to 37 kW, and diesel fueled recreational marine propulsion engines.

The locomotive, commercial marine (C1 & C2), and diesel recreational marine values given for 2001 are actually 2002 estimates, since that is the base year that was used for air quality modeling. The stationary, aircraft, onroad diesel, and C3 commercial marine values are from the PM NAAQS 2001 air quality modeling platform, which is more recent than, but essentially the same as CAIR (2001 platform) for these sources. The 2030 stationary source values are set equal to 2020,

since no specific estimates for 2030 stationary source emissions are available. All the stationary source values exclude "non-manmade" sources, such as fires and fugitive dust. Onroad gasoline vehicle values are from the National Mobile Inventory Model (NMIM) outputs for the final Mobile Source Air Toxics rulemaking, which includes the assumed implementation of Renewable Fuels Standards (RFS) and corrections for cold-start HC effects. Nonroad land-based diesel values are from the latest publicly released version of EPA's nonroad model (NONROAD2005a). Nonroad spark-ignition (SI) values in these tables (small SI, SI recreational marine, large SI, and SI recreational vehicles) are also from NONROAD2005a. The NONROAD2005 model runs were all run at the nationwide/annual level using single default nationwide temperature & RVP, using the full 50-state equipment population including all California equipment.

### **3.5.2 PM<sub>2.5</sub> Contributions to Baseline**

Table 0-90 provides the contribution of locomotives and diesel-fueled recreational and commercial marine engines to mobile source diesel and to total man-made PM<sub>2.5</sub> emissions. PM<sub>2.5</sub> emissions from these sources are 18 percent of the mobile source diesel PM<sub>2.5</sub> emissions in 2001, and this percentage increases to about 65 percent by 2030. PM<sub>2.5</sub> emissions from the affected sources decreases from 59,000 tons in 2002 to 50,000 tons in 2020 due to the existing emission standards. From 2020 to 2025 emissions remain relatively constant as growth offsets the effect of continued turnover of older engines to engines meeting the existing emission standards. These emissions begin to increase again around 2025 and exceed 2015 levels by 2035.

### **3.5.3 NO<sub>x</sub> Contributions to Baseline**

Table 0-91 provides the contribution of locomotives and diesel-fueled recreational and commercial marine engines to mobile source NO<sub>x</sub> and to total man-made NO<sub>x</sub> emissions. NO<sub>x</sub> emissions from these sources are 16 percent of the mobile source NO<sub>x</sub> emissions in 2001, and this percentage increases to 35 percent by 2030. NO<sub>x</sub> emissions from affected sources decrease from 1,993,000 tons in 2002 to 1,582,000 tons in 2020 due to the existing emission standards. From 2020 to 2025 emissions remain relatively constant as growth offsets the effect of continued turnover of older engines to engines meeting the existing emission standards. These emissions begin to increase again in 2025 and by 2035 exceed 2015 emission levels.

### **3.5.4 VOC Contributions to Baseline**

Table 0-92 provides the contribution of locomotives and diesel-fueled recreational and commercial marine engines to mobile source VOC and to total man-made VOC emissions. Due to the efficient combustion in diesel engines, mobile source VOC emissions are dominated by spark-ignition engines, and the VOC emissions from the affected sources are only 0.8 percent of the mobile source VOC in 2001, increasing to 1.3 percent by 2030. VOC emissions from affected sources

increase from 67,000 tons in 2002 to 71,000 tons in 2020 and 72,000 tons in 2030, since the existing emission standards are not aimed at controlling VOC.

### **3.5.5 CO Contributions to Baseline**

Table 0-93 provides the contribution of locomotives and diesel-fueled recreational and commercial marine engines to mobile source carbon monoxide (CO) and to total man-made CO emissions. As with VOC, mobile source CO emissions are dominated by spark-ignition engines, so the CO emissions from the affected sources are only 0.3 percent of the mobile source CO in 2001, increasing to 0.5 percent by 2030. CO emissions from affected sources increase from 281,000 tons in 2002 to 319,000 tons in 2020 and 353,000 tons in 2030, since the existing emission standards are not aimed at controlling CO.

### **3.5.6 SO<sub>2</sub> Contributions to Baseline**

Table 0-94 provides the contribution of locomotives and diesel-fueled recreational and commercial marine engines to mobile source SO<sub>2</sub> and to total man-made SO<sub>2</sub> emissions. SO<sub>2</sub> emissions from these sources are 21 percent of the mobile source SO<sub>2</sub> emissions in 2001, and this percentage decreases significantly to about one percent in 2020 and 2030 due to existing diesel fuel sulfur standards. SO<sub>2</sub> emissions from affected sources decrease from 162,000 tons in 2002 to 3,700 tons in 2020. From 2020 to 2030 emissions increase to 4,200 tons due to continued projected growth in these sectors.

**Table 0-90 50-State Annual PM<sub>2.5</sub> Baseline Emission Levels for Mobile and Other Source Categories**

| Category                          | 2001*      |                    |            | 2020       |                    |            | 2030       |                    |            |
|-----------------------------------|------------|--------------------|------------|------------|--------------------|------------|------------|--------------------|------------|
|                                   | short tons | % of diesel mobile | % of total | short tons | % of diesel mobile | % of total | short tons | % of diesel mobile | % of total |
| Locomotive                        | 29,660     | 8.9%               | 1.2%       | 26,301     | 23.6%              | 1.3%       | 25,109     | 32.2%              | 1.2%       |
| Recreational Marine Diesel        | 1,096      | 0.3%               | 0.0%       | 1,006      | 0.9%               | 0.0%       | 1,140      | 1.5%               | 0.1%       |
| Commercial Marine (C1 & C2)       | 28,730     | 8.6%               | 1.2%       | 22,236     | 20.0%              | 1.1%       | 23,760     | 30.5%              | 1.1%       |
| Land-Based Nonroad Diesel         | 164,180    | 49.2%              | 6.8%       | 46,075     | 41.4%              | 2.2%       | 17,934     | 23.0%              | 0.9%       |
| Commercial Marine (C3)**          | 20,023     | -                  | 0.8%       | 36,141     | -                  | 1.7%       | 52,682     | -                  | 2.5%       |
| Small Nonroad SI                  | 25,575     |                    | 1.1%       | 31,083     |                    | 1.5%       | 35,761     |                    | 1.7%       |
| Recreational Marine SI            | 17,101     |                    | 0.7%       | 6,595      |                    | 0.3%       | 6,378      |                    | 0.3%       |
| SI Recreational Vehicles          | 12,301     |                    | 0.5%       | 11,773     |                    | 0.6%       | 9,953      |                    | 0.5%       |
| Large Nonroad SI (>25hp)          | 1,610      |                    | 0.1%       | 2,421      |                    | 0.1%       | 2,844      |                    | 0.1%       |
| Aircraft                          | 5,664      |                    | 0.2%       | 7,044      |                    | 0.3%       | 8,569      |                    | 0.4%       |
| Total Off Highway                 | 305,941    |                    | 12.6%      | 190,675    |                    | 9.2%       | 184,130    |                    | 8.9%       |
| Highway Diesel                    | 109,952    | 33.0%              | 4.5%       | 15,800     | 14.2%              | 0.8%       | 10,072     | 12.9%              | 0.5%       |
| Highway non-diesel                | 50,277     |                    | 2.1%       | 47,354     |                    | 2.3%       | 56,734     |                    | 2.7%       |
| Total Highway                     | 160,229    |                    | 6.6%       | 63,154     |                    | 3.0%       | 66,806     |                    | 3.2%       |
| Total Diesel (distillate) Mobile  | 333,619    | 100%               | 13.7%      | 111,418    | 100%               | 5.4%       | 78,015     | 100%               | 3.8%       |
| Total Mobile Sources              | 466,170    |                    | 19.2%      | 253,829    |                    | 12.3%      | 250,936    |                    | 12.1%      |
| Stationary Point and Area Sources | 1,963,264  |                    | 80.8%      | 1,817,722  |                    | 87.7%      | 1,817,722  |                    | 87.9%      |
| Total Man-Made Sources            | 2,429,434  |                    | 100%       | 2,071,551  |                    | 100%       | 2,068,658  |                    | 100%       |

\* The locomotive, commercial marine (C1 & C2), and diesel recreational marine estimates are for calendar year 2002.

\*\* This category includes emissions from Category 3 (C3) propulsion engines and C2/3 auxiliary engines used on ocean-going vessels.



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**Table 0-91 50-State Annual NO<sub>x</sub> Baseline Emission Levels for Mobile and Other Source Categories**

| Category                          | 2001*      |                    |            | 2020       |                    |            | 2030       |                    |            |
|-----------------------------------|------------|--------------------|------------|------------|--------------------|------------|------------|--------------------|------------|
|                                   | short tons | % of mobile source | % of total | short tons | % of mobile source | % of total | short tons | % of mobile source | % of total |
| Locomotive                        | 1,118,786  | 9.0%               | 5.1%       | 860,474    | 17.2%              | 7.8%       | 854,226    | 19.0%              | 8.1%       |
| Recreational Marine Diesel        | 40,437     | 0.3%               | 0.2%       | 45,477     | 0.9%               | 0.4%       | 48,102     | 1.1%               | 0.5%       |
| Commercial Marine (C1 & C2)       | 834,025    | 6.7%               | 3.8%       | 676,154    | 13.6%              | 6.1%       | 680,025    | 15.1%              | 6.4%       |
| Land-Based Nonroad Diesel         | 1,548,236  | 12.5%              | 7.1%       | 678,377    | 13.6%              | 6.1%       | 434,466    | 9.7%               | 4.1%       |
| Commercial Marine (C3)**          | 224,100    | 1.8%               | 1.0%       | 369,160    | 7.4%               | 3.3%       | 531,641    | 11.8%              | 5.0%       |
| Small Nonroad SI                  | 100,319    | 0.8%               | 0.5%       | 98,620     | 2.0%               | 0.9%       | 114,287    | 2.5%               | 1.1%       |
| Recreational Marine SI            | 42,252     | 0.3%               | 0.2%       | 83,312     | 1.7%               | 0.8%       | 92,188     | 2.1%               | 0.9%       |
| SI Recreational Vehicles          | 5,488      | 0.0%               | 0.0%       | 17,496     | 0.4%               | 0.2%       | 20,136     | 0.4%               | 0.2%       |
| Large Nonroad SI (>25hp)          | 321,098    | 2.6%               | 1.5%       | 46,319     | 0.9%               | 0.4%       | 46,253     | 1.0%               | 0.4%       |
| Aircraft                          | 83,764     | 0.7%               | 0.4%       | 105,133    | 2.1%               | 0.9%       | 118,740    | 2.6%               | 1.1%       |
| Total Off Highway                 | 4,318,505  | 34.8%              | 19.8%      | 2,980,523  | 59.7%              | 26.9%      | 2,940,064  | 65.5%              | 27.7%      |
| Highway Diesel                    | 3,750,886  | 30.2%              | 17.2%      | 646,961    | 13.0%              | 5.8%       | 260,915    | 5.8%               | 2.5%       |
| Highway non-diesel                | 4,354,430  | 35.0%              | 20.0%      | 1,361,276  | 27.3%              | 12.3%      | 1,289,780  | 28.7%              | 12.2%      |
| Total Highway                     | 8,105,316  | 65.2%              | 37.2%      | 2,008,237  | 40.3%              | 18.1%      | 1,550,695  | 34.5%              | 14.6%      |
| Total Diesel (distillate) Mobile  | 7,292,308  | 58.7%              | 33.5%      | 2,907,578  | 58.3%              | 26.2%      | 2,277,735  | 50.7%              | 21.5%      |
| Total Mobile Sources              | 12,423,821 | 100%               | 57.0%      | 4,988,760  | 100%               | 44.9%      | 4,490,759  | 100%               | 42.4%      |
| Stationary Point and Area Sources | 9,355,659  | -                  | 43.0%      | 6,111,866  | -                  | 55.1%      | 6,111,866  | -                  | 57.6%      |
| Total Man-Made Sources            | 21,779,480 | -                  | 100%       | 11,100,626 | -                  | 100%       | 10,602,625 | -                  | 100%       |

\* The locomotive, commercial marine (C1 & C2), and diesel recreational marine estimates are for calendar year 2002.

\*\* This category includes emissions from Category 3 (C3) propulsion engines and C2/3 auxiliary engines used on ocean-going vessels.

**Table 0-92 50-State Annual VOC Baseline Emission Levels for Mobile and Other Source Categories**

| Category                          | 2001*      |                    |            | 2020       |                    |            | 2030       |                    |            |
|-----------------------------------|------------|--------------------|------------|------------|--------------------|------------|------------|--------------------|------------|
|                                   | short tons | % of mobile source | % of total | short tons | % of mobile source | % of total | short tons | % of mobile source | % of total |
| Locomotive                        | 50,665     | 0.6%               | 0.3%       | 52,633     | 1.0%               | 0.4%       | 51,813     | 0.9%               | 0.4%       |
| Recreational Marine Diesel        | 1,540      | 0.0%               | 0.0%       | 2,653      | 0.0%               | 0.0%       | 3,299      | 0.1%               | 0.0%       |
| Commercial Marine (C1 & C2)       | 17,229     | 0.2%               | 0.1%       | 16,071     | 0.3%               | 0.1%       | 17,178     | 0.3%               | 0.1%       |
| Land-Based Nonroad Diesel         | 188,884    | 2.3%               | 1.1%       | 76,047     | 1.4%               | 0.5%       | 63,144     | 1.1%               | 0.4%       |
| Commercial Marine (C3)**          | 9,572      | 0.1%               | 0.1%       | 18,458     | 0.3%               | 0.1%       | 27,582     | 0.5%               | 0.2%       |
| Small Nonroad SI                  | 1,314,015  | 15.9%              | 7.3%       | 999,810    | 18.6%              | 7.2%       | 1,156,408  | 19.7%              | 8.1%       |
| Recreational Marine SI            | 1,212,446  | 14.7%              | 6.8%       | 688,774    | 12.8%              | 5.0%       | 697,712    | 11.9%              | 4.9%       |
| SI Recreational Vehicles          | 512,059    | 6.2%               | 2.9%       | 454,979    | 8.5%               | 3.3%       | 391,541    | 6.7%               | 2.7%       |
| Large Nonroad SI (>25hp)          | 132,888    | 1.6%               | 0.7%       | 12,429     | 0.2%               | 0.1%       | 10,276     | 0.2%               | 0.1%       |
| Portable Fuel Containers          | 244,545    | 3.0%               | 1.4%       | 254,479    | 4.7%               | 1.8%       | 288,630    | 4.9%               | 2.0%       |
| Aircraft                          | 22,084     | 0.3%               | 0.1%       | 27,644     | 0.5%               | 0.2%       | 30,331     | 0.5%               | 0.2%       |
| Total Off Highway                 | 3,705,926  | 44.9%              | 20.7%      | 2,603,977  | 48.5%              | 18.8%      | 2,737,914  | 46.7%              | 19.1%      |
| Highway Diesel                    | 223,519    | 2.7%               | 1.2%       | 123,449    | 2.3%               | 0.9%       | 138,758    | 2.4%               | 1.0%       |
| Highway non-diesel                | 4,316,615  | 52.3%              | 24.1%      | 2,646,363  | 49.2%              | 19.1%      | 2,987,562  | 50.9%              | 20.8%      |
| Total Highway                     | 4,540,134  | 55.1%              | 25.3%      | 2,769,812  | 51.5%              | 20.0%      | 3,126,320  | 53.3%              | 21.8%      |
| Total Diesel (distillate) Mobile  | 479,285    | 5.8%               | 2.7%       | 270,844    | 5.0%               | 2.0%       | 274,189    | 4.7%               | 1.9%       |
| Total Mobile Sources              | 8,246,060  | 100%               | 46.0%      | 5,373,789  | 100%               | 38.8%      | 5,864,234  | 100%               | 40.9%      |
| Stationary Point and Area Sources | 9,692,344  | -                  | 54.0%      | 8,475,443  | -                  | 61.2%      | 8,475,443  | -                  | 59.1%      |
| Total Man-Made Sources            | 17,938,404 | -                  | 100%       | 13,849,232 | -                  | 100%       | 14,339,677 | -                  | 100%       |

\* The locomotive, commercial marine (C1 & C2), and diesel recreational marine estimates are for calendar year 2002.

\*\* This category includes emissions from Category 3 (C3) propulsion engines and C2/3 auxiliary engines used on ocean-going vessels.

## Draft Regulatory Impact Analysis

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**Table 0-93 50-State Annual CO Baseline Emission Levels for Mobile and Other Source Categories**

| Category                          | 2001*      |                    |            | 2020       |                    |            | 2030       |                    |            |
|-----------------------------------|------------|--------------------|------------|------------|--------------------|------------|------------|--------------------|------------|
|                                   | short tons | % of mobile source | % of total | short tons | % of mobile source | % of total | short tons | % of mobile source | % of total |
| Locomotive                        | 123,210    | 0.1%               | 0.1%       | 169,558    | 0.3%               | 0.2%       | 198,308    | 0.3%               | 0.2%       |
| Recreational Marine Diesel        | 6,467      | 0.0%               | 0.0%       | 9,374      | 0.0%               | 0.0%       | 10,930     | 0.0%               | 0.0%       |
| Commercial Marine (C1 & C2)       | 151,331    | 0.2%               | 0.2%       | 139,712    | 0.2%               | 0.2%       | 143,791    | 0.2%               | 0.2%       |
| Land-Based Nonroad Diesel         | 893,320    | 1.0%               | 0.9%       | 310,258    | 0.5%               | 0.4%       | 155,625    | 0.2%               | 0.2%       |
| Commercial Marine (C3)**          | 19,391     | 0.0%               | 0.0%       | 37,459     | 0.1%               | 0.1%       | 56,713     | 0.1%               | 0.1%       |
| Small Nonroad SI                  | 18,843,914 | 21.4%              | 19.4%      | 27,269,797 | 41.7%              | 36.8%      | 31,623,016 | 42.5%              | 38.1%      |
| Recreational Marine SI            | 2,816,005  | 3.2%               | 2.9%       | 2,136,234  | 3.3%               | 2.9%       | 2,178,413  | 2.9%               | 2.6%       |
| SI Recreational Vehicles          | 1,229,707  | 1.4%               | 1.3%       | 1,922,020  | 2.9%               | 2.6%       | 1,902,925  | 2.6%               | 2.3%       |
| Large Nonroad SI (>25hp)          | 1,801,679  | 2.0%               | 1.9%       | 304,532    | 0.5%               | 0.4%       | 281,993    | 0.4%               | 0.3%       |
| Aircraft                          | 263,232    | 0.3%               | 0.3%       | 327,720    | 0.5%               | 0.4%       | 358,012    | 0.5%               | 0.4%       |
| Total Off Highway                 | 26,148,256 | 29.6%              | 26.9%      | 32,626,663 | 49.9%              | 44.1%      | 36,909,725 | 49.6%              | 44.4%      |
| Highway Diesel                    | 1,098,213  | 1.2%               | 1.1%       | 248,689    | 0.4%               | 0.3%       | 149,784    | 0.2%               | 0.2%       |
| Highway non-diesel                | 60,985,008 | 69.1%              | 62.7%      | 32,503,404 | 49.7%              | 43.9%      | 37,399,211 | 50.2%              | 45.0%      |
| Total Highway                     | 62,083,221 | 70.4%              | 63.8%      | 32,752,093 | 50.1%              | 44.2%      | 37,548,995 | 50.4%              | 45.2%      |
| Total Diesel (distillate) Mobile  | 2,272,530  | 2.6%               | 2.3%       | 877,583    | 1.3%               | 1.2%       | 658,428    | 0.9%               | 0.8%       |
| Total Mobile Sources              | 88,231,477 | 100%               | 90.7%      | 65,378,756 | 100%               | 88.3%      | 74,458,720 | 100%               | 89.6%      |
| Stationary Point and Area Sources | 9,014,249  | -                  | 9.3%       | 8,641,678  | -                  | 11.7%      | 8,641,678  | -                  | 10.4%      |
| Total Man-Made Sources            | 97,245,726 | -                  | 100%       | 74,020,434 | -                  | 100%       | 83,100,398 | -                  | 100%       |

\* The locomotive, commercial marine (C1 & C2), and diesel recreational marine estimates are for calendar year 2002.

\*\* This category includes emissions from Category 3 (C3) propulsion engines and C2/3 auxiliary engines used on ocean-going vessels.

**Table 0-94 50-State Annual SO<sub>2</sub> Baseline Emission Levels for Mobile and Other Source Categories**

| Category                          | 2001*      |                    |            | 2020       |                    |            | 2030       |                    |            |
|-----------------------------------|------------|--------------------|------------|------------|--------------------|------------|------------|--------------------|------------|
|                                   | short tons | % of mobile source | % of total | short tons | % of mobile source | % of total | short tons | % of mobile source | % of total |
| Locomotive                        | 76,727     | 9.7%               | 0.5%       | 400        | 0.1%               | 0.0%       | 468        | 0.1%               | 0.0%       |
| Recreational Marine Diesel        | 5,145      | 0.7%               | 0.0%       | 162        | 0.0%               | 0.0%       | 192        | 0.0%               | 0.0%       |
| Commercial Marine (C1 & C2)       | 80,353     | 10.2%              | 0.5%       | 3,104      | 0.9%               | 0.0%       | 3,586      | 0.7%               | 0.0%       |
| Land-Based Nonroad Diesel         | 167,615    | 21.2%              | 1.1%       | 999        | 0.3%               | 0.0%       | 1,078      | 0.2%               | 0.0%       |
| Commercial Marine (C3)**          | 166,739    | 21.1%              | 1.1%       | 272,535    | 79.9%              | 3.2%       | 400,329    | 83.2%              | 4.6%       |
| Small Nonroad SI                  | 6,723      | 0.9%               | 0.0%       | 8,620      | 2.5%               | 0.1%       | 9,990      | 2.1%               | 0.1%       |
| Recreational Marine SI            | 2,755      | 0.3%               | 0.0%       | 2,980      | 0.9%               | 0.0%       | 3,160      | 0.7%               | 0.0%       |
| SI Recreational Vehicles          | 1,241      | 0.2%               | 0.0%       | 2,643      | 0.8%               | 0.0%       | 2,784      | 0.6%               | 0.0%       |
| Large Nonroad SI (>25hp)          | 925        | 0.1%               | 0.0%       | 905        | 0.3%               | 0.0%       | 1,020      | 0.2%               | 0.0%       |
| Aircraft                          | 7,890      | 1.0%               | 0.0%       | 9,907      | 2.9%               | 0.1%       | 11,137     | 2.3%               | 0.1%       |
| Total Off Highway                 | 516,113    | 65.4%              | 3.3%       | 302,255    | 88.7%              | 3.5%       | 433,745    | 90.2%              | 5.0%       |
| Highway Diesel                    | 103,632    | 13.1%              | 0.7%       | 3,443      | 1.0%               | 0.0%       | 4,453      | 0.9%               | 0.1%       |
| Highway non-diesel                | 169,125    | 21.4%              | 1.1%       | 35,195     | 10.3%              | 0.4%       | 42,709     | 8.9%               | 0.5%       |
| Total Highway                     | 272,757    | 34.6%              | 1.7%       | 38,638     | 11.3%              | 0.5%       | 47,162     | 9.8%               | 0.5%       |
| Total Diesel (distillate) Mobile  | 433,465    | 54.9%              | 2.7%       | 8,108      | 2.4%               | 0.1%       | 9,777      | 2.0%               | 0.1%       |
| Total Mobile Sources              | 788,870    | 100%               | 5.0%       | 340,893    | 100%               | 4.0%       | 480,907    | 100%               | 5.5%       |
| Stationary Point and Area Sources | 15,057,420 | -                  | 95.0%      | 8,215,016  | -                  | 96.0%      | 8,215,016  | -                  | 94.5%      |
| Total Man-Made Sources            | 15,846,290 | -                  | 100%       | 8,555,909  | -                  | 100%       | 8,695,923  | -                  | 100%       |

\* The locomotive, commercial marine (C1 & C2), and diesel recreational marine estimates are for calendar year 2002.

\*\* This category includes emissions from Category 3 (C3) propulsion engines and C2/3 auxiliary engines used on ocean-going vessels.

### 3.6 Contribution of Marine Diesel Engines and Locomotives to Non-Attainment Area Emission Inventories

Table 0-95 and Table 0-96 show the percent contribution to mobile source diesel PM<sub>2.5</sub> and total mobile source NO<sub>x</sub> for certain non-attainment areas where there are large rail yards and/or commercial marine ports. The county-level inventories were estimated by allocating the nationwide baseline inventories to the counties using the same county:national ratios as used in the 2002 National Emissions Inventory (NEI).<sup>15</sup> It can be seen that locomotives and diesel marine vessels make up a substantial portion of the PM<sub>2.5</sub> and NO<sub>x</sub> mobile source inventories in these areas. For instance, the combination of rail and commercial marine activity in the Huntington-Ashland WV-KY-OH area yields a contribution over 50% of mobile source diesel PM<sub>2.5</sub> in 2002, increasing to 90% in 2030.

These percentages are the same as shown in Chapter 2 of the Preamble of this proposed rule. Additional details, including the annual tons of PM<sub>2.5</sub> and NO<sub>x</sub> from locomotives, diesel marine engines, and all mobile sources within each of the counties of these metropolitan areas are provided in Appendix 3A of this chapter.

**Table 0-95 Locomotive and Diesel Marine Engine Contributions to Non-Attainment Area Mobile Source Diesel PM<sub>2.5</sub> Emissions**

| PM <sub>2.5</sub> Metropolitan Area | 2002  | 2020  | 2030  |
|-------------------------------------|-------|-------|-------|
|                                     | LM %  | LM %  | LM %  |
| Huntington-Ashland WV-KY-OH         | 52.9% | 82.1% | 90.4% |
| Houston, TX                         | 41.9% | 72.9% | 84.6% |
| Los Angeles, CA                     | 31.3% | 49.3% | 72.1% |
| Cleveland-Akron-Lorain, OH          | 25.1% | 56.0% | 72.0% |
| Chicago, IL                         | 24.6% | 54.9% | 70.0% |
| Cincinnati, OH                      | 23.2% | 53.6% | 69.5% |
| Chattanooga, TN                     | 21.1% | 56.3% | 69.5% |
| Kansas City, MO                     | 20.6% | 51.3% | 68.0% |
| Baltimore, MD                       | 22.5% | 52.6% | 67.8% |
| St. Louis, MO                       | 21.4% | 51.3% | 67.5% |
| Philadelphia, PA                    | 19.6% | 47.0% | 63.9% |
| Seattle, WA                         | 17.0% | 43.3% | 60.4% |
| Birmingham, AL                      | 16.3% | 46.6% | 57.5% |
| Minneapolis-St. Paul, MN            | 10.7% | 31.3% | 47.8% |
| Boston, MA                          | 7.8%  | 22.9% | 40.5% |
| San Joaquin Valley, CA              | 8.8%  | 19.4% | 38.2% |
| Atlanta, GA                         | 5.2%  | 19.6% | 29.9% |
| Indianapolis, IN                    | 5.0%  | 17.5% | 29.3% |
| Phoenix-Mesa, AZ                    | 4.9%  | 17.3% | 26.8% |
| Detroit, MI                         | 4.1%  | 15.3% | 26.0% |
| New York, NY                        | 3.5%  | 11.1% | 20.3% |

**Table 0-96 Locomotive and Diesel Marine Engine Contributions to Non-Attainment Area Total Mobile Source NO<sub>x</sub> Emissions**

| NOx Metropolitan Area       | 2002  | 2020  | 2030  |
|-----------------------------|-------|-------|-------|
|                             | LM %  | LM %  | LM %  |
| Houston, TX                 | 31.5% | 46.3% | 44.8% |
| Kansas City, MO             | 19.3% | 39.3% | 43.2% |
| Birmingham, AL              | 16.7% | 38.3% | 42.6% |
| Chicago, IL                 | 19.9% | 37.8% | 41.1% |
| Cleveland-Akron-Lorain, OH  | 18.8% | 37.2% | 39.5% |
| Chattanooga, TN             | 15.6% | 35.7% | 39.1% |
| Cincinnati, OH              | 17.5% | 35.7% | 38.3% |
| Los Angeles, CA             | 18.1% | 30.8% | 37.2% |
| St. Louis, MO               | 15.7% | 33.8% | 36.9% |
| Huntington-Ashland WV-KY-OH | 38.1% | 41.9% | 36.2% |
| Seattle, WA                 | 13.2% | 27.7% | 30.3% |
| San Joaquin Valley, CA      | 8.4%  | 16.0% | 25.7% |
| Minneapolis-St. Paul, MN    | 8.1%  | 17.5% | 19.4% |
| Philadelphia, PA            | 13.4% | 19.7% | 18.8% |
| Phoenix-Mesa, AZ            | 5.1%  | 11.7% | 14.6% |
| Atlanta, GA                 | 4.2%  | 10.7% | 12.8% |
| Indianapolis, IN            | 4.3%  | 10.7% | 12.7% |
| Boston, MA                  | 6.3%  | 10.6% | 10.8% |
| Baltimore, MD               | 7.1%  | 10.4% | 9.7%  |
| Detroit, MI                 | 2.8%  | 7.2%  | 8.2%  |
| New York, NY                | 4.7%  | 7.4%  | 7.3%  |

## **3.7 Emission Inventories Used for Air Quality Modeling**

### **3.7.1 Comparison of Air Quality and Proposed Rule Inventories**

The emission inventory estimates used to demonstrate the effect of the proposed rule on air quality relied on the best estimates available at that time of the emission contributions from all sources in the base calendar year and projections into future calendar years. However, because of the long lead time necessary to prepare inputs for the air quality models and to run the models, the emission inventory estimates used in the air quality analysis are not the inventories that are now our best estimate of the impacts of the proposed rule. In all cases, the changes to the emission inventory estimates reflect improvements made to the inventories which reflect new information about the emission contributions from various sources that was not available at the time the air quality analysis inventories were prepared. This section describes the differences in the inventories used for the air quality analysis and the inventories used for the proposed rule. Chapter 2 of this document discusses the air quality analysis results and addresses the likely impact of these differences (if any) on the air quality outcomes from the proposed rule.

In addition to the diesel locomotive, commercial marine vessel, and diesel recreational marine sources, the air quality inventories include emission contributions from all sources, including sources not directly affected by the proposed rule:

- Stationary and area sources
- Aircraft
- Oceangoing commercial marine vessels (Category 3)
- Onroad (highway) mobile sources
- Nonroad mobile sources other than diesel pleasure craft

The emission inventory estimates used in the air quality analysis for aircraft, oceangoing vessels, stationary and area sources were not updated between the air quality analysis and the proposed rule. However, changes were made in the onroad and nonroad inventories and in the locomotive and commercial marine vessel inventories for both the base (uncontrolled) and proposed rule control cases.

Table 0-97, Table 0-98, and Table 0-99 summarize the differences between the air quality inventories and the more updated proposed rule inventories for baseline VOC, NO<sub>x</sub>, and PM<sub>2.5</sub>. Similarly, Table 0-100, Table 0-101, and Table 0-102 summarize the differences between the air quality inventories and the more updated proposed rule inventories for control case VOC, NO<sub>x</sub>, and PM<sub>2.5</sub>. Lastly, Table 0-103, Table 0-104, and Table 0-105 summarize the differences in ton reductions for

these pollutants between the air quality inventories and the more updated proposed rule inventories. Only the years 2020 and 2030 are shown for the latter two sets of tables, since this proposal has no benefits prior to 2008. Although the actual inventories change up to 20% depending on pollutant and year between the air quality inventories and the later proposed rule inventories, the net effect of all the changes on ton reductions of these pollutants ranges only from -4 percent to +3 percent. For the final rule air quality analysis, we will be incorporating the changes described below, as well as any future updates to the baseline estimates and control programs, which we expect will have counterbalancing impacts on both baseline and control cases for the final rule.

### 3.7.2 Onroad Inventory Changes

The onroad (highway) emission inventory estimates used in the air quality analysis were taken directly from the estimates used for the recent Clean Air Interstate Rule (CAIR)<sup>16</sup> using the National Mobile Inventory Model (NMIM) tool and the March 25, 2004, version of the NMIM County database (County20040325).

The updated emission inventory estimates for onroad in the proposed rule were originally calculated for use in the proposed Mobile Source Air Toxics (MSAT) rule. The MSAT emission inventory estimates use the NMIM tool and the July 25, 2006 version of the NMIM County database (NCD20060725MSATFinal). This new database includes important corrections to the inputs for 13 states regarding the implementation of California emission standards. The error in the old database resulted in significantly over-predicted NO<sub>x</sub> emissions for light-duty gasoline vehicles in the onroad emission inventory estimates used in the air quality analysis, especially in the projection years of 2020 (+995,000 tons, +60%) and 2030 (+995,000 tons, +60%). This resulted in an overprediction of the ozone levels in both the base and control cases, and probably also a small overprediction of the air quality benefits of this proposed rule. Using the corrected database, light-duty gasoline NO<sub>x</sub> emissions decrease by 434,000 tons (-24%) in 2020 and 464,000 tons (-26%) in 2030.

The updated emission inventory estimates in the proposed rule for onroad also made use of an in-house version of the EPA MOBILE6.2 emission factor model which has been adapted to use new temperature correction factors for hydrocarbon (HC) emissions for light duty gasoline vehicles. These new temperature correction factors were developed as part of the MSAT rule. Using the new temperature correction factors significantly increases the HC inventories for light duty gasoline vehicles, especially in the projection years of 2020 (+995,000 tons, +60%) and 2030 (+1,358,000 tons, +83%), during periods where temperatures are less than 75 degrees Fahrenheit.

These changes do not affect the estimated ton reductions from this proposed rule, but they do affect the total emission inventory in both base and control cases. This is shown in Table 0-97 through Table 0-102 in combination with the inventory changes for nonroad equipment.



### 3.7.3 Nonroad Inventory Changes

The air quality analysis for the nonroad emission inventory estimates for all sources other than diesel pleasure craft (which are included in this proposed rule) were taken directly from the estimates used for the recent Clean Air Interstate Rule (CAIR) and are based on the 2004 version of the EPA NONROAD model.

The updated nonroad inventory for the proposed rule is based on the recently released 2005 version of the EPA NONROAD model. This newer nonroad model includes many changes from the 2004 version, but the ones that most significantly affect the estimated inventories are as follows:

- Addition of new evaporative categories for tank permeation, hose permeation, hot soak, and running loss emissions.
- Revised methodology for calculating diurnal emissions
- Incorporated the effects of evaporative emission standards for recreational vehicles and large spark ignition engines.
- Updated allocations from the national to the state and county level.
- Updated the power range distributions and technology fractions for spark-ignition recreational marine engines.
- Updated emission factors, deterioration factors, and technology mix for phase-2 Class 1 small gasoline engines ( $\leq 25$  hp).

The net effect of these changes is a 55% increase in VOC from these sources (increase of 793,000 tons in 2020 and 820,000 tons in 2030). The corresponding change in NO<sub>x</sub> is a small decrease of 13,000 tons (1.4%) in 2020 and 40,000 tons (5%) in 2030. These changes do not affect the estimated ton reductions from this proposed rule, but they do affect the total emission inventory in both base and control cases. This is shown in Table 0-97 through Table 0-102 in combination with the onroad inventory changes described above in section 3.7.2.

### 3.7.4 Locomotive Inventory Changes

The locomotive emission inventory estimates used in the air quality analysis were calculated by EPA using a new national inventory estimation spreadsheet model developed for this purpose. However, since the air quality analysis, changes have been made in the emission rate estimates used in the model and the rate of turnover for the locomotive switcher fleet. These changes affect the emission inventory estimates for all pollutants and in all calendar years.

In addition to the changes in the model, the inventory benefits of the proposed rule were affected by a change in the assumptions for the effects of the rule. The NO<sub>x</sub>

emissions of all Tier 0 engines were originally assumed to be affected by the rule in the locomotive emission inventory estimates used in the air quality analysis. The updated inventories assume that only 1994 and later model year Tier 0 engines are affected by the rule.

The last change to note is that the air quality inventory for locomotives treated the calculated HC inventory as if it were VOC. In the updated inventory the HC value is properly treated as Total Hydrocarbons (THC), and VOC is reported as 1.053 \* THC.

The net effect of these updates is a change in tons reduced from locomotives ranging from -8 percent to +5 percent, depending on pollutant and year.

### 3.7.5 Commercial Marine Vessel Inventory Changes

The commercial marine vessel (Category 1 and Category 2) emission inventory estimates used in the air quality analysis were calculated by EPA using new national inventory estimation spreadsheet models developed for this purpose. However, since the air quality analysis, changes have been made in some of the assumptions used in the model, including the load factors, the sulfur content of the diesel certification fuel used for pleasure craft, and the sulfur content of diesel fuel used by commercial marine vessels. These changes did not affect the projected ton reductions for marine diesel engines, since the baseline and control cases were equally affected. These reductions are 5,000 tons VOC and 139,000 tons NO<sub>x</sub> in 2020, and 11,000 tons VOC and 346,000 tons NO<sub>x</sub> in 2030.

**Table 0-97 50-State Annual VOC Baseline Emission Levels for Mobile and Other Source Categories**

| CATEGORY  | 2001*       |            |        | 2020        |            |        | 2030        |            |        |
|---|-------------|------------|--------|-------------|------------|--------|-------------|------------|--------|
|   | AQ MODELING | NPRM       | % DIFF | AQ MODELING | NPRM       | % DIFF | AQ MODELING | NPRM       | % DIFF |
| LOCOMOTIVE  | 48,115      | 50,665     | 5.3%   | 49,039      | 52,633     | 7.3%   | 47,606      | 51,813     | 8.8%   |
| MARINE DIESEL   | 14,176      | 18,768     | 32.4%  | 13,677      | 18,724     | 36.9%  | 14,588      | 20,477     | 40.4%  |
| ALL OTHER SOURCES (MOBILE & STATIONARY)   | 16,978,113  | 17,868,970 | 5.2%   | 11,736,377  | 13,777,876 | 17.4%  | 11,804,110  | 14,267,387 | 20.9%  |
| TOTAL MAN-MADE SOURCES  | 17,040,404  | 17,938,403 | 5.3%   | 11,799,094  | 13,849,233 | 17.4%  | 11,866,304  | 14,339,677 | 20.8%  |
| * LOCOMOTIVE AND MARINE DIESEL VALUES IN THE "2001" COLUMN ARE ACTUALLY 2002 ESTIMATES. |             |            |        |             |            |        |             |            |        |

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**Table 0-98 50-State Annual NO<sub>x</sub> Baseline Emission Levels for Mobile and Other Source Categories**

| CATEGORY                                | 2001*       |            |        | 2020        |            |        | 2030        |            |        |
|---|-------------|------------|--------|-------------|------------|--------|-------------|------------|--------|
|   | AQ MODELING | NPRM       | % DIFF | AQ MODELING | NPRM       | % DIFF | AQ MODELING | NPRM       | % DIFF |
| LOCOMOTIVE                              | 1,118,786   | 1,118,786  | 0.0%   | 844,932     | 860,474    | 1.8%   | 835,059     | 854,226    | 2.3%   |
| MARINE DIESEL                           | 711,656     | 874,462    | 22.9%  | 606,021     | 721,632    | 19.1%  | 608,761     | 728,127    | 19.6%  |
| ALL OTHER SOURCES (MOBILE & STATIONARY) | 19,854,001  | 19,786,232 | -0.3%  | 10,006,926  | 9,518,521  | -4.9%  | 9,570,157   | 9,020,273  | -5.7%  |
| TOTAL MAN-MADE SOURCES                  | 21,684,444  | 21,779,480 | 0.4%   | 11,457,878  | 11,100,627 | -3.1%  | 11,013,977  | 10,602,626 | -3.7%  |

\* LOCOMOTIVE AND MARINE DIESEL VALUES IN THE "2001" COLUMN ARE ACTUALLY 2002 ESTIMATES.

**Table 0-99 50-State Annual PM<sub>2.5</sub> Baseline Emission Levels for Mobile and Other Source Categories**

| CATEGORY                                | 2001*       |           |        | 2020        |           |        | 2030        |           |        |
|---|-------------|-----------|--------|-------------|-----------|--------|-------------|-----------|--------|
|   | AQ MODELING | NPRM      | % DIFF | AQ MODELING | NPRM      | % DIFF | AQ MODELING | NPRM      | % DIFF |
| LOCOMOTIVE                              | 29,660      | 29,660    | 0.0%   | 25,843      | 26,301    | 1.8%   | 24,334      | 25,109    | 3.2%   |
| MARINE DIESEL                           | 23,627      | 29,827    | 26.2%  | 20,087      | 23,242    | 15.7%  | 21,852      | 24,900    | 13.9%  |
| ALL OTHER SOURCES (MOBILE & STATIONARY) | 2,393,848   | 2,369,947 | -1.0%  | 2,044,184   | 2,022,009 | -1.1%  | 2,041,701   | 2,018,649 | -1.1%  |
| TOTAL MAN-MADE SOURCES                  | 2,447,136   | 2,429,434 | -0.7%  | 2,090,114   | 2,071,552 | -0.9%  | 2,087,886   | 2,068,658 | -0.9%  |

\* LOCOMOTIVE AND MARINE DIESEL VALUES IN THE "2001" COLUMN ARE ACTUALLY 2002 ESTIMATES.

**Table 0-100 50-State Annual VOC Control Case Emission Levels for Mobile and Other Source Categories**

| CATEGORY                                | 2020        |            |        | 2030        |            |        |
|---|-------------|------------|--------|-------------|------------|--------|
|   | AQ MODELING | NPRM       | % DIFF | AQ MODELING | NPRM       | % DIFF |
| LOCOMOTIVE                              | 26,790      | 30,135     | 12.5%  | 17,394      | 20,383     | 17.2%  |
| MARINE DIESEL                           | 8,890       | 13,991     | 57.4%  | 3,969       | 9,801      | 146.9% |
| ALL OTHER SOURCES (MOBILE & STATIONARY) | 11,736,377  | 13,777,876 | 17.4%  | 11,804,110  | 14,267,387 | 20.9%  |
| TOTAL MAN-MADE SOURCES                  | 11,772,057  | 13,822,002 | 17.4%  | 11,825,474  | 14,297,571 | 20.9%  |

\* AQ MODELING FOR LOCOMOTIVES USED THC AS VOC, INSTEAD OF USING ACTUAL VOC = 1.053 \* THC.

**Table 0-101 50-State Annual NO<sub>x</sub> Control Case Emission Levels for Mobile and Other Source Categories**

| CATEGORY                                | 2020        |            |        | 2030        |           |        |
|---|-------------|------------|--------|-------------|-----------|--------|
|   | AQ MODELING | NPRM       | % DIFF | AQ MODELING | NPRM      | % DIFF |
| LOCOMOTIVE                              | 690,885     | 712,492    | 3.1%   | 452,453     | 453,651   | 0.3%   |
| MARINE DIESEL                           | 467,327     | 576,706    | 23.4%  | 262,345     | 362,927   | 38.3%  |
| ALL OTHER SOURCES (MOBILE & STATIONARY) | 10,006,926  | 9,518,521  | -4.9%  | 9,570,157   | 9,020,273 | -5.7%  |
| TOTAL MAN-MADE SOURCES                  | 11,165,138  | 10,807,720 | -3.2%  | 10,284,956  | 9,836,851 | -4.4%  |

**Table 0-102 50-State Annual PM<sub>2.5</sub> Control Case Emission Levels for Mobile and Other Source Categories**

| CATEGORY                                | 2020        |           |        | 2030        |           |        |
|---|-------------|-----------|--------|-------------|-----------|--------|
|   | AQ MODELING | NPRM      | % DIFF | AQ MODELING | NPRM      | % DIFF |
| LOCOMOTIVE                              | 15,318      | 16,368    | 6.9%   | 9,617       | 10,512    | 9.3%   |
| MARINE DIESEL                           | 15,367      | 18,399    | 19.7%  | 8,893       | 11,778    | 32.4%  |
| ALL OTHER SOURCES (MOBILE & STATIONARY) | 2,044,184   | 2,022,009 | -1.1%  | 2,041,701   | 2,018,649 | -1.1%  |
| TOTAL MAN-MADE SOURCES                  | 2,074,870   | 2,056,776 | -0.9%  | 2,060,211   | 2,040,939 | -0.9%  |

**Table 0-103 50-State Annual VOC Ton Reductions for Mobile and Other Source Categories**

| CATEGORY                                | 2020        |        |        | 2030        |        |        |
|---|-------------|--------|--------|-------------|--------|--------|
|   | AQ MODELING | NPRM   | % DIFF | AQ MODELING | NPRM   | % DIFF |
| LOCOMOTIVE                              | 22,249      | 22,498 | 1.1%   | 30,211      | 31,430 | 4.0%   |
| MARINE DIESEL                           | 4,787       | 4,734  | -1.1%  | 10,619      | 10,676 | 0.5%   |
| ALL OTHER SOURCES (MOBILE & STATIONARY) | 0           | 0      | 0.0%   | 0           | 0      | 0.0%   |
| TOTAL MAN-MADE SOURCES                  | 27,036      | 27,231 | 0.7%   | 40,830      | 42,106 | 3.1%   |

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**Table 0-104 50-State Annual NO<sub>x</sub> Ton Reductions for Mobile and Other Source Categories**

| CATEGORY                                   | 2020        |         |        | 2030        |         |        |
|--|-------------|---------|--------|-------------|---------|--------|
|  | AQ MODELING | NPRM    | % DIFF | AQ MODELING | NPRM    | % DIFF |
| LOCOMOTIVE                                 | 154,047     | 147,982 | -3.9%  | 382,606     | 400,575 | 4.7%   |
| MARINE DIESEL                              | 138,694     | 144,925 | 4.5%   | 346,416     | 365,199 | 5.4%   |
| ALL OTHER SOURCES<br>(MOBILE & STATIONARY) | 0           | 0       | 0.0%   | 0           | 0       | 0.0%   |
| TOTAL MAN-MADE<br>SOURCES                  | 292,741     | 292,907 | 0.1%   | 729,022     | 765,775 | 5.0%   |

**Table 0-105 50-State Annual PM<sub>2.5</sub> Ton Reductions for Mobile and Other Source Categories**

| CATEGORY                                   | 2020        |        |        | 2030        |        |        |
|--|-------------|--------|--------|-------------|--------|--------|
|  | AQ MODELING | NPRM   | % DIFF | AQ MODELING | NPRM   | % DIFF |
| LOCOMOTIVE                                 | 10,525      | 9,933  | -5.6%  | 14,717      | 14,597 | -0.8%  |
| MARINE DIESEL                              | 4,720       | 4,843  | 2.6%   | 12,959      | 13,122 | 1.3%   |
| ALL OTHER SOURCES<br>(MOBILE & STATIONARY) | 0           | 0      | 0.0%   | 0           | 0      | 0.0%   |
| TOTAL MAN-MADE<br>SOURCES                  | 15,245      | 14,776 | -3.1%  | 27,675      | 27,719 | 0.2%   |

**APPENDIX 3A**

**Locomotive and Diesel Marine Contributions to County-Specific Mobile  
Source Emissions in Non-attainment Areas**

**Draft Regulatory Impact Analysis**

**Table 0-106 2002 Locomotive and Diesel Marine PM2.5 Tons/Year and Percent of Total Diesel Mobile Sources**

| FIPS  | MSA        | County       | ST | 2002 PM2.5        |               |                     |            |
|-------|------------|--------------|----|-------------------|---------------|---------------------|------------|
|       |            |              |    | Diesel Locomotive | Diesel Marine | Total Diesel Mobile | LM Percent |
| 13013 | Atlanta    | Barrow       | GA | 5.77              | 0.01          | 41                  | 14.3%      |
| 13015 | Atlanta    | Bartow       | GA | 20.64             | 0.20          | 109                 | 19.1%      |
| 13045 | Atlanta    | Carroll      | GA | 5.65              | 0.08          | 92                  | 6.2%       |
| 13057 | Atlanta    | Cherokee     | GA | 0.00              | 0.19          | 118                 | 0.2%       |
| 13063 | Atlanta    | Clayton      | GA | 10.87             | 0.03          | 164                 | 6.7%       |
| 13067 | Atlanta    | Cobb         | GA | 28.66             | 0.08          | 504                 | 5.7%       |
| 13077 | Atlanta    | Coweta       | GA | 14.35             | 0.06          | 123                 | 11.8%      |
| 13089 | Atlanta    | DeKalb       | GA | 13.29             | 0.05          | 440                 | 3.0%       |
| 13097 | Atlanta    | Douglas      | GA | 5.22              | 0.01          | 68                  | 7.7%       |
| 13113 | Atlanta    | Fayette      | GA | 3.71              | 0.04          | 86                  | 4.4%       |
| 13117 | Atlanta    | Forsyth      | GA | 0.00              | 0.39          | 114                 | 0.3%       |
| 13121 | Atlanta    | Fulton       | GA | 39.07             | 0.11          | 857                 | 4.6%       |
| 13135 | Atlanta    | Gwinnett     | GA | 9.95              | 0.07          | 476                 | 2.1%       |
| 13139 | Atlanta    | Hall         | GA | 6.62              | 0.65          | 146                 | 5.0%       |
| 13149 | Atlanta    | Heard        | GA | 0.00              | 0.09          | 11                  | 0.8%       |
| 13151 | Atlanta    | Henry        | GA | 14.63             | 0.04          | 154                 | 9.5%       |
| 13217 | Atlanta    | Newton       | GA | 1.65              | 0.05          | 80                  | 2.1%       |
| 13223 | Atlanta    | Paulding     | GA | 12.13             | 0.03          | 86                  | 14.2%      |
| 13237 | Atlanta    | Putnam       | GA | 0.35              | 0.30          | 15                  | 4.3%       |
| 13247 | Atlanta    | Rockdale     | GA | 2.35              | 0.03          | 71                  | 3.4%       |
| 13255 | Atlanta    | Spalding     | GA | 0.62              | 0.03          | 53                  | 1.2%       |
| 13297 | Atlanta    | Walton       | GA | 1.99              | 0.01          | 47                  | 4.2%       |
| 24003 | Baltimore  | Anne Arundel | MD | 14.68             | 1.82          | 302                 | 5.5%       |
| 24005 | Baltimore  | Baltimore    | MD | 39.65             | 1.22          | 576                 | 7.1%       |
| 24013 | Baltimore  | Carroll      | MD | 6.14              | 0.04          | 158                 | 3.9%       |
| 24025 | Baltimore  | Harford      | MD | 11.40             | 1.18          | 186                 | 6.8%       |
| 24027 | Baltimore  | Howard       | MD | 17.07             | 0.41          | 203                 | 8.6%       |
| 24510 | Baltimore  | Baltimore    | MD | 46.07             | 313.45        | 590                 | 60.9%      |
| 1073  | Birmingham | Jefferson    | AL | 80.24             | 1.08          | 631                 | 12.9%      |
| 1117  | Birmingham | Shelby       | AL | 41.96             | 0.29          | 157                 | 26.9%      |
| 1127  | Birmingham | Walker       | AL | 17.15             | 1.08          | 81                  | 22.4%      |
| 9007  | Boston     | Middlesex    | CT | 0.00              | 1.70          | 114                 | 1.5%       |
| 25001 | Boston     | Barnstable   | MA | 7.23              | 20.34         | 179                 | 15.4%      |
| 25005 | Boston     | Bristol      | MA | 13.57             | 14.82         | 311                 | 9.1%       |
| 25007 | Boston     | Dukes        | MA | 0.00              | 133.61        | 143                 | 93.4%      |
| 25009 | Boston     | Essex        | MA | 17.74             | 4.90          | 424                 | 5.3%       |
| 25019 | Boston     | Nantucket    | MA | 0.00              | 19.79         | 29                  | 67.4%      |
| 25021 | Boston     | Norfolk      | MA | 21.42             | 6.80          | 460                 | 6.1%       |
| 25023 | Boston     | Plymouth     | MA | 11.20             | 4.99          | 256                 | 6.3%       |
| 25025 | Boston     | Suffolk      | MA | 11.57             | 57.64         | 2,518               | 2.7%       |
| 25027 | Boston     | Worcester    | MA | 43.94             | 1.04          | 556                 | 8.1%       |
| 33011 | Boston     | Hillsborough | NH | 1.33              | 0.42          | 266                 | 0.7%       |

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| FIPS  | MSA         | County     | ST | 2002 PM2.5        |               |                     |            |
|-------|-------------|------------|----|-------------------|---------------|---------------------|------------|
|       |             |            |    | Diesel Locomotive | Diesel Marine | Total Diesel Mobile | LM Percent |
| 33015 | Boston      | Rockingham | NH | 1.00              | 36.02         | 263                 | 14.1%      |
| 47065 | Chattanooga | Hamilton   | TN | 40.53             | 29.56         | 283                 | 24.7%      |
| 47115 | Chattanooga | Marion     | TN | 5.67              | 5.70          | 63                  | 18.1%      |
| 47153 | Chattanooga | Sequatchie | TN | 0.00              | 0.00          | 7                   | 0.0%       |
| 13047 | Chattanooga | Catoosa    | GA | 12.28             | 0.01          | 52                  | 23.6%      |
| 13083 | Chattanooga | Dade       | GA | 11.66             | 0.00          | 46                  | 25.3%      |
| 13295 | Chattanooga | Walker     | GA | 0.00              | 0.01          | 48                  | 0.0%       |
| 17031 | Chicago     | Cook       | IL | 708.71            | 209.67        | 3,661               | 25.1%      |
| 17043 | Chicago     | DuPage     | IL | 200.17            | 0.14          | 812                 | 24.7%      |
| 17063 | Chicago     | Grundy     | IL | 13.55             | 6.45          | 114                 | 17.6%      |
| 17089 | Chicago     | Kane       | IL | 70.19             | 0.10          | 371                 | 19.0%      |
| 17093 | Chicago     | Kendall    | IL | 8.97              | 0.01          | 78                  | 11.5%      |
| 17097 | Chicago     | Lake       | IL | 37.26             | 22.02         | 406                 | 14.6%      |
| 17111 | Chicago     | McHenry    | IL | 20.29             | 0.16          | 189                 | 10.8%      |
| 17197 | Chicago     | Will       | IL | 186.94            | 4.74          | 498                 | 38.5%      |
| 18089 | Chicago     | Lake       | IN | 129.22            | 14.34         | 541                 | 26.5%      |
| 18127 | Chicago     | Porter     | IN | 45.64             | 12.55         | 216                 | 26.9%      |
| 18029 | Cincinnati  | Dearborn   | IN | 6.21              | 22.72         | 92                  | 31.3%      |
| 21015 | Cincinnati  | Boone      | KY | 8.45              | 34.08         | 133                 | 31.9%      |
| 21037 | Cincinnati  | Campbell   | KY | 16.05             | 23.57         | 95                  | 41.5%      |
| 21117 | Cincinnati  | Kenton     | KY | 30.93             | 11.78         | 147                 | 29.1%      |
| 39017 | Cincinnati  | Butler     | OH | 45.48             | 0.05          | 279                 | 16.3%      |
| 39025 | Cincinnati  | Clermont   | OH | 1.96              | 44.98         | 181                 | 25.9%      |
| 39061 | Cincinnati  | Hamilton   | OH | 44.25             | 133.23        | 737                 | 24.1%      |
| 39165 | Cincinnati  | Warren     | OH | 6.75              | 0.09          | 192                 | 3.6%       |
| 39007 | Cleveland   | Ashtabula  | OH | 30.49             | 178.56        | 310                 | 67.4%      |
| 39035 | Cleveland   | Cuyahoga   | OH | 83.10             | 122.90        | 1,119               | 18.4%      |
| 39085 | Cleveland   | Lake       | OH | 21.22             | 26.15         | 190                 | 25.0%      |
| 39093 | Cleveland   | Lorain     | OH | 50.28             | 113.72        | 414                 | 39.6%      |
| 39103 | Cleveland   | Medina     | OH | 15.82             | 0.06          | 166                 | 9.6%       |
| 39133 | Cleveland   | Portage    | OH | 31.34             | 0.24          | 198                 | 15.9%      |
| 39153 | Cleveland   | Summit     | OH | 25.49             | 0.17          | 392                 | 6.5%       |
| 26093 | Detroit     | Livingston | MI | 2.47              | 0.07          | 174                 | 1.5%       |
| 26099 | Detroit     | Macomb     | MI | 3.83              | 5.35          | 437                 | 2.1%       |
| 26115 | Detroit     | Monroe     | MI | 18.09             | 8.90          | 198                 | 13.6%      |
| 26125 | Detroit     | Oakland    | MI | 15.09             | 4.59          | 781                 | 2.5%       |
| 26147 | Detroit     | St. Clair  | MI | 7.39              | 21.37         | 224                 | 12.8%      |
| 26161 | Detroit     | Washtenaw  | MI | 4.04              | 0.05          | 269                 | 1.5%       |
| 26163 | Detroit     | Wayne      | MI | 29.94             | 10.03         | 1,140               | 3.5%       |
| 48039 | Houston     | Brazoria   | TX | 18.79             | 247.18        | 463                 | 57.4%      |
| 48071 | Houston     | Chambers   | TX | 1.07              | 7.41          | 57                  | 14.8%      |
| 48157 | Houston     | Fort Bend  | TX | 26.30             | 0.09          | 270                 | 9.8%       |
| 48167 | Houston     | Galveston  | TX | 13.07             | 566.43        | 751                 | 77.1%      |
| 48201 | Houston     | Harris     | TX | 68.97             | 1,477.09      | 3,940               | 39.2%      |
| 48291 | Houston     | Liberty    | TX | 28.79             | 3.02          | 112                 | 28.3%      |



**Draft Regulatory Impact Analysis**

| FIPS  | MSA          | County         | ST | 2002 PM2.5        |               |                     |            |
|-------|--------------|----------------|----|-------------------|---------------|---------------------|------------|
|       |              |                |    | Diesel Locomotive | Diesel Marine | Total Diesel Mobile | LM Percent |
| 48339 | Houston      | Montgomery     | TX | 22.38             | 0.27          | 300                 | 7.5%       |
| 48473 | Houston      | Waller         | TX | 6.50              | 0.04          | 45                  | 14.5%      |
| 21019 | Huntington   | Boyd           | KY | 11.13             | 18.28         | 65                  | 45.2%      |
| 21127 | Huntington   | Lawrence       | KY | 10.86             | 5.94          | 33                  | 51.6%      |
| 39001 | Huntington   | Adams          | OH | 0.39              | 52.61         | 88                  | 60.0%      |
| 39053 | Huntington   | Gallia         | OH | 3.44              | 23.13         | 62                  | 42.8%      |
| 39087 | Huntington   | Lawrence       | OH | 12.48             | 34.34         | 86                  | 54.5%      |
| 39145 | Huntington   | Scioto         | OH | 27.95             | 33.28         | 124                 | 49.5%      |
| 54011 | Huntington   | Cabell         | WV | 24.48             | 25.26         | 112                 | 44.5%      |
| 54053 | Huntington   | Mason          | WV | 6.12              | 39.72         | 92                  | 50.0%      |
| 54099 | Huntington   | Wayne          | WV | 30.53             | 60.21         | 133                 | 68.1%      |
| 18011 | Indianapolis | Boone          | IN | 6.78              | 0.06          | 120                 | 5.7%       |
| 18057 | Indianapolis | Hamilton       | IN | 0.16              | 0.62          | 224                 | 0.3%       |
| 18059 | Indianapolis | Hancock        | IN | 5.17              | 0.03          | 107                 | 4.9%       |
| 18063 | Indianapolis | Hendricks      | IN | 18.14             | 0.03          | 188                 | 9.7%       |
| 18081 | Indianapolis | Johnson        | IN | 0.91              | 0.21          | 115                 | 1.0%       |
| 18095 | Indianapolis | Madison        | IN | 16.17             | 0.12          | 156                 | 10.5%      |
| 18097 | Indianapolis | Marion         | IN | 31.30             | 1.34          | 662                 | 4.9%       |
| 18109 | Indianapolis | Morgan         | IN | 0.41              | 0.22          | 93                  | 0.7%       |
| 18145 | Indianapolis | Shelby         | IN | 7.35              | 0.02          | 102                 | 7.2%       |
| 20091 | Kansas City  | Johnson        | KS | 55.73             | 0.04          | 481                 | 11.6%      |
| 20103 | Kansas City  | Leavenworth    | KS | 14.29             | 0.50          | 84                  | 17.6%      |
| 20121 | Kansas City  | Miami          | KS | 81.56             | 0.15          | 139                 | 58.6%      |
| 20209 | Kansas City  | Wyandotte      | KS | 30.24             | 4.47          | 148                 | 23.5%      |
| 29037 | Kansas City  | Cass           | MO | 16.72             | 0.12          | 110                 | 15.3%      |
| 29047 | Kansas City  | Clay           | MO | 28.19             | 4.43          | 188                 | 17.3%      |
| 29049 | Kansas City  | Clinton        | MO | 0.00              | 0.16          | 49                  | 0.3%       |
| 29095 | Kansas City  | Jackson        | MO | 90.00             | 33.46         | 646                 | 19.1%      |
| 29107 | Kansas City  | Lafayette      | MO | 23.25             | 4.16          | 124                 | 22.1%      |
| 29165 | Kansas City  | Platte         | MO | 22.68             | 0.84          | 151                 | 15.6%      |
| 29177 | Kansas City  | Ray            | MO | 44.83             | 3.97          | 108                 | 45.2%      |
| 6037  | Los Angeles  | Los Angeles    | CA | 241.14            | 1,666.68      | 5,016               | 38.0%      |
| 6059  | Los Angeles  | Orange         | CA | 63.57             | 176.82        | 1,696               | 14.2%      |
| 6065  | Los Angeles  | Riverside      | CA | 109.12            | 1.01          | 872                 | 12.6%      |
| 6071  | Los Angeles  | San Bernardino | CA | 359.75            | 0.47          | 1,040               | 34.6%      |
| 6111  | Los Angeles  | Ventura        | CA | 12.49             | 231.21        | 524                 | 46.5%      |
| 27003 | Minneapolis  | Anoka          | MN | 21.27             | 12.73         | 232                 | 14.7%      |
| 27019 | Minneapolis  | Carver         | MN | 0.05              | 0.79          | 82                  | 1.0%       |
| 27037 | Minneapolis  | Dakota         | MN | 12.70             | 11.89         | 278                 | 8.9%       |
| 27053 | Minneapolis  | Hennepin       | MN | 31.68             | 35.83         | 870                 | 7.8%       |
| 27123 | Minneapolis  | Ramsey         | MN | 12.03             | 11.31         | 349                 | 6.7%       |
| 27139 | Minneapolis  | Scott          | MN | 2.70              | 1.38          | 94                  | 4.3%       |
| 27163 | Minneapolis  | Washington     | MN | 23.15             | 50.70         | 237                 | 31.1%      |
| 9001  | New York     | Fairfield      | CT | 0.00              | 44.84         | 705                 | 6.4%       |

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| FIPS  | MSA          | County       | ST | 2002 PM2.5        |               |                     |            |
|-------|--------------|--------------|----|-------------------|---------------|---------------------|------------|
|       |              |              |    | Diesel Locomotive | Diesel Marine | Total Diesel Mobile | LM Percent |
| 9005  | New York     | Litchfield   | CT | 0.00              | 0.89          | 109                 | 0.8%       |
| 34003 | New York     | Bergen       | NJ | 26.97             | 3.48          | 512                 | 6.0%       |
| 34013 | New York     | Essex        | NJ | 6.64              | 0.99          | 416                 | 1.8%       |
| 34017 | New York     | Hudson       | NJ | 22.70             | 27.96         | 402                 | 12.6%      |
| 34019 | New York     | Hunterdon    | NJ | 9.60              | 0.33          | 185                 | 5.4%       |
| 34023 | New York     | Middlesex    | NJ | 12.54             | 4.94          | 421                 | 4.1%       |
| 34025 | New York     | Monmouth     | NJ | 10.14             | 29.48         | 418                 | 9.5%       |
| 34027 | New York     | Morris       | NJ | 6.96              | 0.53          | 300                 | 2.5%       |
| 34029 | New York     | Ocean        | NJ | 0.52              | 13.26         | 256                 | 5.4%       |
| 34031 | New York     | Passaic      | NJ | 6.11              | 0.51          | 233                 | 2.8%       |
| 34035 | New York     | Somerset     | NJ | 13.21             | 0.02          | 195                 | 6.8%       |
| 34037 | New York     | Sussex       | NJ | 0.99              | 0.63          | 113                 | 1.4%       |
| 34039 | New York     | Union        | NJ | 11.04             | 17.95         | 355                 | 8.2%       |
| 36005 | New York     | Bronx        | NY | 0.13              | 0.75          | 372                 | 0.2%       |
| 36047 | New York     | Kings        | NY | 0.00              | 1.30          | 696                 | 0.2%       |
| 36059 | New York     | Nassau       | NY | 0.00              | 11.73         | 518                 | 2.3%       |
| 36061 | New York     | New York     | NY | 0.00              | 0.54          | 1,296               | 0.0%       |
| 36071 | New York     | Orange       | NY | 9.19              | 2.55          | 288                 | 4.1%       |
| 36081 | New York     | Queens       | NY | 0.06              | 2.02          | 982                 | 0.2%       |
| 36085 | New York     | Richmond     | NY | 0.00              | 2.29          | 166                 | 1.4%       |
| 36087 | New York     | Rockland     | NY | 6.91              | 2.69          | 125                 | 7.7%       |
| 36103 | New York     | Suffolk      | NY | 0.00              | 39.17         | 690                 | 5.7%       |
| 36119 | New York     | Westchester  | NY | 0.00              | 3.76          | 479                 | 0.8%       |
| 10003 | Philadelphia | New Castle   | DE | 22.95             | 47.44         | 458                 | 15.4%      |
| 24015 | Philadelphia | Cecil        | MD | 9.27              | 1.70          | 125                 | 8.7%       |
| 24029 | Philadelphia | Kent         | MD | 0.07              | 1.41          | 42                  | 3.6%       |
| 24031 | Philadelphia | Montgomery   | MD | 28.82             | 0.53          | 485                 | 6.0%       |
| 34005 | Philadelphia | Burlington   | NJ | 0.00              | 54.50         | 328                 | 16.6%      |
| 34007 | Philadelphia | Camden       | NJ | 4.82              | 21.83         | 273                 | 9.8%       |
| 34011 | Philadelphia | Cumberland   | NJ | 0.57              | 55.22         | 155                 | 36.0%      |
| 34015 | Philadelphia | Gloucester   | NJ | 0.80              | 29.18         | 214                 | 14.0%      |
| 34021 | Philadelphia | Mercer       | NJ | 5.56              | 6.66          | 277                 | 4.4%       |
| 34033 | Philadelphia | Salem        | NJ | 0.27              | 16.91         | 86                  | 19.9%      |
| 42017 | Philadelphia | Bucks        | PA | 2.29              | 1.20          | 330                 | 1.1%       |
| 42029 | Philadelphia | Chester      | PA | 11.62             | 0.16          | 328                 | 3.6%       |
| 42045 | Philadelphia | Delaware     | PA | 4.55              | 193.17        | 409                 | 48.4%      |
| 42101 | Philadelphia | Philadelphia | PA | 6.45              | 339.10        | 922                 | 37.5%      |
| 4013  | Phoenix      | Maricopa     | AZ | 98.35             | 0.78          | 2,828               | 3.5%       |
| 4021  | Phoenix      | Pinal        | AZ | 52.54             | 0.17          | 256                 | 20.6%      |
| 6019  | San Joaquin  | Fresno       | CA | 17.77             | 0.58          | 647                 | 2.8%       |
| 6029  | San Joaquin  | Kern         | CA | 92.07             | 0.22          | 635                 | 14.5%      |
| 6031  | San Joaquin  | Kings        | CA | 2.57              | 0.02          | 155                 | 1.7%       |
| 6039  | San Joaquin  | Madera       | CA | 18.89             | 0.16          | 145                 | 13.2%      |
| 6047  | San Joaquin  | Merced       | CA | 17.75             | 0.46          | 218                 | 8.4%       |
| 6077  | San Joaquin  | San Joaquin  | CA | 29.94             | 30.32         | 437                 | 13.8%      |

## Draft Regulatory Impact Analysis

| FIPS  | MSA         | County      | ST | 2002 PM2.5        |               |                     |            |
|-------|-------------|-------------|----|-------------------|---------------|---------------------|------------|
|       |             |             |    | Diesel Locomotive | Diesel Marine | Total Diesel Mobile | LM Percent |
| 6099  | San Joaquin | Stanislaus  | CA | 12.07             | 0.24          | 267                 | 4.6%       |
| 6107  | San Joaquin | Tulare      | CA | 26.68             | 0.16          | 340                 | 7.9%       |
| 53029 | Seattle     | Island      | WA | 0.00              | 19.63         | 69                  | 28.5%      |
| 53033 | Seattle     | King        | WA | 28.95             | 191.88        | 1,568               | 14.1%      |
| 53035 | Seattle     | Kitsap      | WA | 0.00              | 1.27          | 134                 | 0.9%       |
| 53045 | Seattle     | Mason       | WA | 0.00              | 0.58          | 37                  | 1.6%       |
| 53053 | Seattle     | Pierce      | WA | 18.18             | 173.52        | 612                 | 31.3%      |
| 53061 | Seattle     | Snohomish   | WA | 36.65             | 29.32         | 471                 | 14.0%      |
| 53067 | Seattle     | Thurston    | WA | 10.80             | 12.02         | 179                 | 12.7%      |
| 17027 | St. Louis   | Clinton     | IL | 23.14             | 0.08          | 99                  | 23.5%      |
| 17083 | St. Louis   | Jersey      | IL | 1.86              | 19.07         | 65                  | 32.1%      |
| 17119 | St. Louis   | Madison     | IL | 7.81              | 10.33         | 247                 | 7.4%       |
| 17133 | St. Louis   | Monroe      | IL | 37.61             | 16.72         | 104                 | 52.1%      |
| 17163 | St. Louis   | St. Clair   | IL | 8.93              | 19.78         | 229                 | 12.5%      |
| 29055 | St. Louis   | Crawford    | MO | 5.23              | 0.04          | 45                  | 11.6%      |
| 29071 | St. Louis   | Franklin    | MO | 31.20             | 2.36          | 153                 | 21.9%      |
| 29099 | St. Louis   | Jefferson   | MO | 8.38              | 16.93         | 186                 | 13.6%      |
| 29113 | St. Louis   | Lincoln     | MO | 13.80             | 6.69          | 87                  | 23.4%      |
| 29183 | St. Louis   | St. Charles | MO | 16.62             | 15.02         | 244                 | 13.0%      |
| 29189 | St. Louis   | St. Louis   | MO | 26.77             | 19.32         | 831                 | 5.5%       |
| 29219 | St. Louis   | Warren      | MO | 2.82              | 2.31          | 47                  | 10.9%      |
| 29510 | St. Louis   | St. Louis   | MO | 23.28             | 261.28        | 456                 | 62.4%      |

Table 0-107 2020 Locomotive and Diesel Marine PM2.5 Tons/Year and Percent of Total Diesel Mobile Sources

| FIPS  | MSA        | County       | ST | 2020 PM2.5        |               |                     |            |
|-------|------------|--------------|----|-------------------|---------------|---------------------|------------|
|       |            |              |    | Diesel Locomotive | Diesel Marine | Total Diesel Mobile | LM Percent |
| 13013 | Atlanta    | Barrow       | GA | 5.45              | 0.01          | 11                  | 49.6%      |
| 13015 | Atlanta    | Bartow       | GA | 19.49             | 0.17          | 35                  | 56.9%      |
| 13045 | Atlanta    | Carroll      | GA | 5.28              | 0.07          | 19                  | 27.6%      |
| 13057 | Atlanta    | Cherokee     | GA | 0.00              | 0.17          | 23                  | 0.7%       |
| 13063 | Atlanta    | Clayton      | GA | 10.27             | 0.02          | 41                  | 25.2%      |
| 13067 | Atlanta    | Cobb         | GA | 26.96             | 0.07          | 137                 | 19.8%      |
| 13077 | Atlanta    | Coweta       | GA | 13.55             | 0.05          | 33                  | 41.2%      |
| 13089 | Atlanta    | DeKalb       | GA | 12.49             | 0.04          | 103                 | 12.1%      |
| 13097 | Atlanta    | Douglas      | GA | 4.86              | 0.01          | 16                  | 30.0%      |
| 13113 | Atlanta    | Fayette      | GA | 3.50              | 0.03          | 20                  | 18.0%      |
| 13117 | Atlanta    | Forsyth      | GA | 0.00              | 0.35          | 24                  | 1.5%       |
| 13121 | Atlanta    | Fulton       | GA | 36.74             | 0.09          | 224                 | 16.4%      |
| 13135 | Atlanta    | Gwinnett     | GA | 9.33              | 0.06          | 118                 | 8.0%       |
| 13139 | Atlanta    | Hall         | GA | 5.67              | 0.57          | 31                  | 19.9%      |
| 13149 | Atlanta    | Heard        | GA | 0.00              | 0.08          | 2                   | 4.4%       |
| 13151 | Atlanta    | Henry        | GA | 13.81             | 0.03          | 41                  | 34.2%      |
| 13217 | Atlanta    | Newton       | GA | 1.56              | 0.04          | 15                  | 10.4%      |
| 13223 | Atlanta    | Paulding     | GA | 11.45             | 0.02          | 24                  | 47.5%      |
| 13237 | Atlanta    | Putnam       | GA | 0.31              | 0.26          | 3                   | 17.2%      |
| 13247 | Atlanta    | Rockdale     | GA | 2.22              | 0.02          | 16                  | 14.2%      |
| 13255 | Atlanta    | Spalding     | GA | 0.59              | 0.02          | 10                  | 6.4%       |
| 13297 | Atlanta    | Walton       | GA | 1.88              | 0.01          | 10                  | 18.8%      |
| 24003 | Baltimore  | Anne Arundel | MD | 10.36             | 1.57          | 73                  | 16.4%      |
| 24005 | Baltimore  | Baltimore    | MD | 34.68             | 1.03          | 154                 | 23.1%      |
| 24013 | Baltimore  | Carroll      | MD | 5.34              | 0.03          | 37                  | 14.6%      |
| 24025 | Baltimore  | Harford      | MD | 8.84              | 1.00          | 46                  | 21.5%      |
| 24027 | Baltimore  | Howard       | MD | 12.62             | 0.32          | 56                  | 22.9%      |
| 24510 | Baltimore  | Baltimore    | MD | 46.50             | 242.61        | 328                 | 88.1%      |
| 1073  | Birmingham | Jefferson    | AL | 75.36             | 0.86          | 188                 | 40.6%      |
| 1117  | Birmingham | Shelby       | AL | 39.49             | 0.26          | 65                  | 61.4%      |
| 1127  | Birmingham | Walker       | AL | 14.91             | 0.86          | 30                  | 52.9%      |
| 9007  | Boston     | Middlesex    | CT | 0.00              | 1.50          | 22                  | 6.7%       |
| 25001 | Boston     | Barnstable   | MA | 6.28              | 16.59         | 55                  | 41.7%      |
| 25005 | Boston     | Bristol      | MA | 11.82             | 11.64         | 79                  | 29.6%      |
| 25007 | Boston     | Dukes        | MA | 0.00              | 103.75        | 106                 | 97.6%      |
| 25009 | Boston     | Essex        | MA | 15.42             | 4.13          | 101                 | 19.4%      |
| 25019 | Boston     | Nantucket    | MA | 0.00              | 15.54         | 18                  | 85.4%      |
| 25021 | Boston     | Norfolk      | MA | 18.39             | 5.32          | 114                 | 20.9%      |
| 25023 | Boston     | Plymouth     | MA | 9.78              | 4.30          | 65                  | 21.8%      |
| 25025 | Boston     | Suffolk      | MA | 9.83              | 44.70         | 688                 | 7.9%       |
| 25027 | Boston     | Worcester    | MA | 37.77             | 0.92          | 142                 | 27.3%      |
| 33011 | Boston     | Hillsborough | NH | 1.15              | 0.37          | 56                  | 2.7%       |

## Draft Regulatory Impact Analysis

| FIPS  | MSA         | County     | ST | 2020 PM2.5        |               |                     |            |
|-------|-------------|------------|----|-------------------|---------------|---------------------|------------|
|       |             |            |    | Diesel Locomotive | Diesel Marine | Total Diesel Mobile | LM Percent |
| 33015 | Boston      | Rockingham | NH | 0.87              | 28.09         | 74                  | 39.1%      |
| 47065 | Chattanooga | Hamilton   | TN | 38.28             | 22.98         | 103                 | 59.3%      |
| 47115 | Chattanooga | Marion     | TN | 5.36              | 4.45          | 18                  | 53.8%      |
| 47153 | Chattanooga | Sequatchie | TN | 0.00              | 0.00          | 1                   | 0.0%       |
| 13047 | Chattanooga | Catoosa    | GA | 11.60             | 0.01          | 18                  | 63.7%      |
| 13083 | Chattanooga | Dade       | GA | 11.01             | 0.00          | 16                  | 67.9%      |
| 13295 | Chattanooga | Walker     | GA | 0.00              | 0.01          | 9                   | 0.1%       |
| 17031 | Chicago     | Cook       | IL | 608.24            | 164.40        | 1,362               | 56.7%      |
| 17043 | Chicago     | DuPage     | IL | 162.78            | 0.12          | 317                 | 51.4%      |
| 17063 | Chicago     | Grundy     | IL | 13.20             | 5.01          | 42                  | 43.5%      |
| 17089 | Chicago     | Kane       | IL | 59.50             | 0.09          | 138                 | 43.3%      |
| 17093 | Chicago     | Kendall    | IL | 8.18              | 0.01          | 27                  | 30.5%      |
| 17097 | Chicago     | Lake       | IL | 30.80             | 19.13         | 138                 | 36.2%      |
| 17111 | Chicago     | McHenry    | IL | 17.00             | 0.14          | 61                  | 28.0%      |
| 17197 | Chicago     | Will       | IL | 163.07            | 3.70          | 242                 | 68.9%      |
| 18089 | Chicago     | Lake       | IN | 132.19            | 12.15         | 232                 | 62.3%      |
| 18127 | Chicago     | Porter     | IN | 40.84             | 10.56         | 85                  | 60.4%      |
| 18029 | Cincinnati  | Dearborn   | IN | 5.59              | 17.59         | 35                  | 65.7%      |
| 21015 | Cincinnati  | Boone      | KY | 7.99              | 26.40         | 54                  | 63.8%      |
| 21037 | Cincinnati  | Campbell   | KY | 15.10             | 18.27         | 43                  | 77.4%      |
| 21117 | Cincinnati  | Kenton     | KY | 29.20             | 9.12          | 59                  | 65.4%      |
| 39017 | Cincinnati  | Butler     | OH | 40.67             | 0.04          | 92                  | 44.2%      |
| 39025 | Cincinnati  | Clermont   | OH | 1.76              | 34.82         | 63                  | 58.0%      |
| 39061 | Cincinnati  | Hamilton   | OH | 39.70             | 103.13        | 268                 | 53.3%      |
| 39165 | Cincinnati  | Warren     | OH | 6.08              | 0.08          | 49                  | 12.5%      |
| 39007 | Cleveland   | Ashtabula  | OH | 27.38             | 138.54        | 185                 | 89.5%      |
| 39035 | Cleveland   | Cuyahoga   | OH | 76.82             | 96.57         | 379                 | 45.7%      |
| 39085 | Cleveland   | Lake       | OH | 18.90             | 21.00         | 71                  | 56.4%      |
| 39093 | Cleveland   | Lorain     | OH | 45.04             | 88.60         | 190                 | 70.4%      |
| 39103 | Cleveland   | Medina     | OH | 14.17             | 0.05          | 45                  | 31.8%      |
| 39133 | Cleveland   | Portage    | OH | 28.09             | 0.21          | 61                  | 46.1%      |
| 39153 | Cleveland   | Summit     | OH | 22.96             | 0.15          | 101                 | 22.8%      |
| 26093 | Detroit     | Livingston | MI | 2.33              | 0.06          | 35                  | 6.8%       |
| 26099 | Detroit     | Macomb     | MI | 3.62              | 4.26          | 96                  | 8.2%       |
| 26115 | Detroit     | Monroe     | MI | 17.08             | 6.95          | 60                  | 39.7%      |
| 26125 | Detroit     | Oakland    | MI | 14.21             | 3.58          | 188                 | 9.5%       |
| 26147 | Detroit     | St. Clair  | MI | 6.90              | 16.67         | 64                  | 37.1%      |
| 26161 | Detroit     | Washtenaw  | MI | 3.82              | 0.04          | 61                  | 6.3%       |
| 26163 | Detroit     | Wayne      | MI | 28.47             | 7.97          | 253                 | 14.4%      |
| 48039 | Houston     | Brazoria   | TX | 17.74             | 191.47        | 248                 | 84.2%      |
| 48071 | Houston     | Chambers   | TX | 1.01              | 5.88          | 16                  | 44.1%      |
| 48157 | Houston     | Fort Bend  | TX | 24.70             | 0.08          | 79                  | 31.5%      |
| 48167 | Houston     | Galveston  | TX | 12.47             | 438.65        | 487                 | 92.6%      |
| 48201 | Houston     | Harris     | TX | 65.54             | 1,143.23      | 1,727               | 70.0%      |
| 48291 | Houston     | Liberty    | TX | 27.14             | 2.35          | 45                  | 65.6%      |

**Chapter 3: Inventory**

| FIPS  | MSA          | County         | ST | 2020 PM2.5        |               |                     |            |
|-------|--------------|----------------|----|-------------------|---------------|---------------------|------------|
|       |              |                |    | Diesel Locomotive | Diesel Marine | Total Diesel Mobile | LM Percent |
| 48339 | Houston      | Montgomery     | TX | 21.14             | 0.24          | 68                  | 31.6%      |
| 48473 | Houston      | Waller         | TX | 6.14              | 0.04          | 15                  | 42.5%      |
| 21019 | Huntington   | Boyd           | KY | 10.44             | 14.15         | 31                  | 79.9%      |
| 21127 | Huntington   | Lawrence       | KY | 9.43              | 4.60          | 17                  | 84.4%      |
| 39001 | Huntington   | Adams          | OH | 0.35              | 40.72         | 49                  | 84.4%      |
| 39053 | Huntington   | Gallia         | OH | 3.09              | 17.90         | 28                  | 73.8%      |
| 39087 | Huntington   | Lawrence       | OH | 11.20             | 26.58         | 44                  | 85.6%      |
| 39145 | Huntington   | Scioto         | OH | 25.08             | 25.76         | 63                  | 80.7%      |
| 54011 | Huntington   | Cabell         | WV | 22.84             | 19.57         | 54                  | 78.0%      |
| 54053 | Huntington   | Mason          | WV | 5.31              | 30.79         | 47                  | 76.4%      |
| 54099 | Huntington   | Wayne          | WV | 28.80             | 46.62         | 85                  | 88.8%      |
| 18011 | Indianapolis | Boone          | IN | 5.92              | 0.05          | 34                  | 17.6%      |
| 18057 | Indianapolis | Hamilton       | IN | 0.15              | 0.55          | 54                  | 1.3%       |
| 18059 | Indianapolis | Hancock        | IN | 4.58              | 0.03          | 28                  | 16.2%      |
| 18063 | Indianapolis | Hendricks      | IN | 16.27             | 0.03          | 55                  | 29.9%      |
| 18081 | Indianapolis | Johnson        | IN | 0.88              | 0.19          | 26                  | 4.2%       |
| 18095 | Indianapolis | Madison        | IN | 14.62             | 0.11          | 45                  | 32.9%      |
| 18097 | Indianapolis | Marion         | IN | 27.99             | 1.19          | 166                 | 17.5%      |
| 18109 | Indianapolis | Morgan         | IN | 0.40              | 0.20          | 19                  | 3.1%       |
| 18145 | Indianapolis | Shelby         | IN | 6.54              | 0.02          | 29                  | 22.3%      |
| 20091 | Kansas City  | Johnson        | KS | 52.60             | 0.03          | 155                 | 33.9%      |
| 20103 | Kansas City  | Leavenworth    | KS | 13.49             | 0.39          | 29                  | 47.4%      |
| 20121 | Kansas City  | Miami          | KS | 77.03             | 0.14          | 92                  | 83.9%      |
| 20209 | Kansas City  | Wyandotte      | KS | 28.47             | 3.46          | 56                  | 57.5%      |
| 29037 | Kansas City  | Cass           | MO | 15.70             | 0.11          | 37                  | 42.4%      |
| 29047 | Kansas City  | Clay           | MO | 26.78             | 3.48          | 64                  | 47.6%      |
| 29049 | Kansas City  | Clinton        | MO | 0.00              | 0.14          | 12                  | 1.2%       |
| 29095 | Kansas City  | Jackson        | MO | 85.15             | 25.94         | 223                 | 49.8%      |
| 29107 | Kansas City  | Lafayette      | MO | 21.96             | 3.26          | 49                  | 51.3%      |
| 29165 | Kansas City  | Platte         | MO | 21.42             | 0.67          | 51                  | 42.9%      |
| 29177 | Kansas City  | Ray            | MO | 42.28             | 3.09          | 61                  | 74.5%      |
| 6037  | Los Angeles  | Los Angeles    | CA | 217.08            | 1,290.10      | 2,697               | 55.9%      |
| 6059  | Los Angeles  | Orange         | CA | 56.50             | 136.94        | 729                 | 26.6%      |
| 6065  | Los Angeles  | Riverside      | CA | 93.21             | 0.90          | 380                 | 24.8%      |
| 6071  | Los Angeles  | San Bernardino | CA | 321.96            | 0.42          | 574                 | 56.2%      |
| 6111  | Los Angeles  | Ventura        | CA | 11.01             | 179.05        | 298                 | 63.8%      |
| 27003 | Minneapolis  | Anoka          | MN | 19.93             | 10.06         | 72                  | 41.9%      |
| 27019 | Minneapolis  | Carver         | MN | 0.05              | 0.67          | 20                  | 3.6%       |
| 27037 | Minneapolis  | Dakota         | MN | 11.92             | 9.37          | 80                  | 26.5%      |
| 27053 | Minneapolis  | Hennepin       | MN | 29.88             | 28.17         | 242                 | 24.0%      |
| 27123 | Minneapolis  | Ramsey         | MN | 11.29             | 8.91          | 89                  | 22.8%      |
| 27139 | Minneapolis  | Scott          | MN | 2.55              | 1.15          | 25                  | 14.6%      |
| 27163 | Minneapolis  | Washington     | MN | 21.74             | 39.42         | 96                  | 63.8%      |
| 9001  | New York     | Fairfield      | CT | 0.00              | 35.19         | 184                 | 19.1%      |

## Draft Regulatory Impact Analysis

| FIPS  | MSA          | County       | ST | 2020 PM2.5        |               |                     |            |
|-------|--------------|--------------|----|-------------------|---------------|---------------------|------------|
|       |              |              |    | Diesel Locomotive | Diesel Marine | Total Diesel Mobile | LM Percent |
| 9005  | New York     | Litchfield   | CT | 0.00              | 0.79          | 23                  | 3.4%       |
| 34003 | New York     | Bergen       | NJ | 22.36             | 2.76          | 146                 | 17.2%      |
| 34013 | New York     | Essex        | NJ | 5.18              | 0.79          | 95                  | 6.3%       |
| 34017 | New York     | Hudson       | NJ | 19.90             | 21.74         | 120                 | 34.7%      |
| 34019 | New York     | Hunterdon    | NJ | 7.47              | 0.29          | 41                  | 19.0%      |
| 34023 | New York     | Middlesex    | NJ | 11.14             | 3.88          | 106                 | 14.1%      |
| 34025 | New York     | Monmouth     | NJ | 6.84              | 23.33         | 114                 | 26.6%      |
| 34027 | New York     | Morris       | NJ | 5.01              | 0.47          | 73                  | 7.6%       |
| 34029 | New York     | Ocean        | NJ | 0.41              | 11.45         | 58                  | 20.3%      |
| 34031 | New York     | Passaic      | NJ | 4.28              | 0.45          | 54                  | 8.7%       |
| 34035 | New York     | Somerset     | NJ | 11.03             | 0.01          | 51                  | 21.8%      |
| 34037 | New York     | Sussex       | NJ | 0.96              | 0.55          | 23                  | 6.6%       |
| 34039 | New York     | Union        | NJ | 8.53              | 13.90         | 97                  | 23.1%      |
| 36005 | New York     | Bronx        | NY | 0.12              | 0.62          | 75                  | 1.0%       |
| 36047 | New York     | Kings        | NY | 0.00              | 1.07          | 146                 | 0.7%       |
| 36059 | New York     | Nassau       | NY | 0.00              | 9.33          | 139                 | 6.7%       |
| 36061 | New York     | New York     | NY | 0.00              | 0.44          | 364                 | 0.1%       |
| 36071 | New York     | Orange       | NY | 8.01              | 2.02          | 63                  | 15.9%      |
| 36081 | New York     | Queens       | NY | 0.06              | 1.66          | 228                 | 0.8%       |
| 36085 | New York     | Richmond     | NY | 0.00              | 1.84          | 39                  | 4.8%       |
| 36087 | New York     | Rockland     | NY | 6.33              | 2.13          | 37                  | 22.9%      |
| 36103 | New York     | Suffolk      | NY | 0.00              | 32.24         | 193                 | 16.7%      |
| 36119 | New York     | Westchester  | NY | 0.00              | 3.02          | 123                 | 2.5%       |
| 10003 | Philadelphia | New Castle   | DE | 23.49             | 36.76         | 144                 | 41.7%      |
| 24015 | Philadelphia | Cecil        | MD | 7.73              | 1.40          | 30                  | 30.2%      |
| 24029 | Philadelphia | Kent         | MD | 0.06              | 1.21          | 12                  | 10.5%      |
| 24031 | Philadelphia | Montgomery   | MD | 21.88             | 0.43          | 127                 | 17.6%      |
| 34005 | Philadelphia | Burlington   | NJ | 0.00              | 42.25         | 100                 | 42.2%      |
| 34007 | Philadelphia | Camden       | NJ | 3.47              | 16.92         | 72                  | 28.3%      |
| 34011 | Philadelphia | Cumberland   | NJ | 0.55              | 43.19         | 65                  | 67.8%      |
| 34015 | Philadelphia | Gloucester   | NJ | 0.83              | 22.64         | 63                  | 37.2%      |
| 34021 | Philadelphia | Mercer       | NJ | 4.55              | 5.17          | 64                  | 15.3%      |
| 34033 | Philadelphia | Salem        | NJ | 0.25              | 13.18         | 28                  | 47.6%      |
| 42017 | Philadelphia | Bucks        | PA | 1.95              | 1.00          | 78                  | 3.8%       |
| 42029 | Philadelphia | Chester      | PA | 9.26              | 0.14          | 81                  | 11.7%      |
| 42045 | Philadelphia | Delaware     | PA | 3.76              | 149.53        | 199                 | 77.0%      |
| 42101 | Philadelphia | Philadelphia | PA | 5.74              | 262.48        | 383                 | 69.9%      |
| 4013  | Phoenix      | Maricopa     | AZ | 89.13             | 0.69          | 709                 | 12.7%      |
| 4021  | Phoenix      | Pinal        | AZ | 48.94             | 0.15          | 92                  | 53.5%      |
| 6019  | San Joaquin  | Fresno       | CA | 15.98             | 0.51          | 236                 | 7.0%       |
| 6029  | San Joaquin  | Kern         | CA | 80.81             | 0.19          | 265                 | 30.6%      |
| 6031  | San Joaquin  | Kings        | CA | 2.13              | 0.02          | 56                  | 3.8%       |
| 6039  | San Joaquin  | Madera       | CA | 17.29             | 0.14          | 63                  | 27.9%      |
| 6047  | San Joaquin  | Merced       | CA | 15.33             | 0.40          | 84                  | 18.6%      |
| 6077  | San Joaquin  | San Joaquin  | CA | 26.62             | 23.51         | 184                 | 27.3%      |

**Chapter 3: Inventory**

| FIPS  | MSA         | County      | ST | 2020 PM2.5        |               |                     |            |
|-------|-------------|-------------|----|-------------------|---------------|---------------------|------------|
|       |             |             |    | Diesel Locomotive | Diesel Marine | Total Diesel Mobile | LM Percent |
| 6099  | San Joaquin | Stanislaus  | CA | 10.69             | 0.21          | 101                 | 10.8%      |
| 6107  | San Joaquin | Tulare      | CA | 24.00             | 0.14          | 133                 | 18.1%      |
| 53029 | Seattle     | Island      | WA | 0.00              | 15.26         | 25                  | 60.2%      |
| 53033 | Seattle     | King        | WA | 27.06             | 149.20        | 484                 | 36.4%      |
| 53035 | Seattle     | Kitsap      | WA | 0.00              | 1.13          | 27                  | 4.2%       |
| 53045 | Seattle     | Mason       | WA | 0.00              | 0.50          | 7                   | 7.1%       |
| 53053 | Seattle     | Pierce      | WA | 16.97             | 134.63        | 238                 | 63.7%      |
| 53061 | Seattle     | Snohomish   | WA | 33.68             | 23.01         | 140                 | 40.4%      |
| 53067 | Seattle     | Thurston    | WA | 9.33              | 9.42          | 48                  | 39.1%      |
| 17027 | St. Louis   | Clinton     | IL | 21.27             | 0.07          | 41                  | 51.8%      |
| 17083 | St. Louis   | Jersey      | IL | 1.73              | 14.76         | 28                  | 57.9%      |
| 17119 | St. Louis   | Madison     | IL | 8.44              | 8.01          | 67                  | 24.7%      |
| 17133 | St. Louis   | Monroe      | IL | 33.99             | 12.95         | 60                  | 77.8%      |
| 17163 | St. Louis   | St. Clair   | IL | 9.49              | 15.31         | 69                  | 36.2%      |
| 29055 | St. Louis   | Crawford    | MO | 4.54              | 0.04          | 12                  | 38.8%      |
| 29071 | St. Louis   | Franklin    | MO | 29.11             | 1.86          | 54                  | 57.6%      |
| 29099 | St. Louis   | Jefferson   | MO | 7.90              | 13.13         | 49                  | 43.3%      |
| 29113 | St. Louis   | Lincoln     | MO | 13.04             | 5.22          | 34                  | 53.8%      |
| 29183 | St. Louis   | St. Charles | MO | 15.70             | 11.75         | 73                  | 37.4%      |
| 29189 | St. Louis   | St. Louis   | MO | 25.09             | 15.01         | 214                 | 18.8%      |
| 29219 | St. Louis   | Warren      | MO | 2.66              | 1.81          | 14                  | 32.7%      |
| 29510 | St. Louis   | St. Louis   | MO | 22.18             | 202.23        | 256                 | 87.7%      |



## Draft Regulatory Impact Analysis

**Table 0-108 2030 Locomotive and Diesel Marine PM2.5 Tons/Year and Percent of Total Diesel Mobile Sources**

| FIPS  | MSA        | County       | ST | 2030 PM2.5        |               |                     |            |
|-------|------------|--------------|----|-------------------|---------------|---------------------|------------|
|       |            |              |    | Diesel Locomotive | Diesel Marine | Total Diesel Mobile | LM Percent |
| 13013 | Atlanta    | Barrow       | GA | 5.25              | 0.01          | 9                   | 60.3%      |
| 13015 | Atlanta    | Bartow       | GA | 18.79             | 0.20          | 28                  | 68.0%      |
| 13045 | Atlanta    | Carroll      | GA | 5.08              | 0.08          | 14                  | 37.1%      |
| 13057 | Atlanta    | Cherokee     | GA | 0.00              | 0.19          | 14                  | 1.3%       |
| 13063 | Atlanta    | Clayton      | GA | 9.90              | 0.03          | 27                  | 36.7%      |
| 13067 | Atlanta    | Cobb         | GA | 25.96             | 0.08          | 85                  | 30.8%      |
| 13077 | Atlanta    | Coweta       | GA | 13.06             | 0.06          | 25                  | 53.1%      |
| 13089 | Atlanta    | DeKalb       | GA | 12.03             | 0.05          | 66                  | 18.2%      |
| 13097 | Atlanta    | Douglas      | GA | 4.66              | 0.01          | 12                  | 40.2%      |
| 13113 | Atlanta    | Fayette      | GA | 3.37              | 0.04          | 13                  | 26.9%      |
| 13117 | Atlanta    | Forsyth      | GA | 0.00              | 0.40          | 14                  | 2.9%       |
| 13121 | Atlanta    | Fulton       | GA | 35.38             | 0.11          | 130                 | 27.4%      |
| 13135 | Atlanta    | Gwinnett     | GA | 8.98              | 0.07          | 69                  | 13.2%      |
| 13139 | Atlanta    | Hall         | GA | 5.34              | 0.65          | 21                  | 29.0%      |
| 13149 | Atlanta    | Heard        | GA | 0.00              | 0.09          | 1                   | 8.2%       |
| 13151 | Atlanta    | Henry        | GA | 13.32             | 0.04          | 28                  | 47.3%      |
| 13217 | Atlanta    | Newton       | GA | 1.50              | 0.05          | 9                   | 16.7%      |
| 13223 | Atlanta    | Paulding     | GA | 11.04             | 0.03          | 19                  | 58.6%      |
| 13237 | Atlanta    | Putnam       | GA | 0.29              | 0.30          | 2                   | 26.8%      |
| 13247 | Atlanta    | Rockdale     | GA | 2.14              | 0.03          | 10                  | 22.0%      |
| 13255 | Atlanta    | Spalding     | GA | 0.57              | 0.03          | 6                   | 10.2%      |
| 13297 | Atlanta    | Walton       | GA | 1.81              | 0.01          | 6                   | 28.3%      |
| 24003 | Baltimore  | Anne Arundel | MD | 9.01              | 1.77          | 43                  | 24.8%      |
| 24005 | Baltimore  | Baltimore    | MD | 32.54             | 1.15          | 94                  | 36.0%      |
| 24013 | Baltimore  | Carroll      | MD | 5.05              | 0.04          | 22                  | 23.1%      |
| 24025 | Baltimore  | Harford      | MD | 8.01              | 1.11          | 28                  | 32.2%      |
| 24027 | Baltimore  | Howard       | MD | 11.21             | 0.35          | 35                  | 33.5%      |
| 24510 | Baltimore  | Baltimore    | MD | 45.29             | 259.26        | 330                 | 92.2%      |
| 1073  | Birmingham | Jefferson    | AL | 72.52             | 0.94          | 143                 | 51.5%      |
| 1117  | Birmingham | Shelby       | AL | 38.03             | 0.29          | 52                  | 73.3%      |
| 1127  | Birmingham | Walker       | AL | 14.10             | 0.93          | 26                  | 58.7%      |
| 9007  | Boston     | Middlesex    | CT | 0.00              | 1.70          | 14                  | 12.3%      |
| 25001 | Boston     | Barnstable   | MA | 5.94              | 18.19         | 42                  | 58.0%      |
| 25005 | Boston     | Bristol      | MA | 11.25             | 12.53         | 55                  | 43.6%      |
| 25007 | Boston     | Dukes        | MA | 0.00              | 111.06        | 112                 | 98.9%      |
| 25009 | Boston     | Essex        | MA | 14.59             | 4.59          | 63                  | 30.3%      |
| 25019 | Boston     | Nantucket    | MA | 0.00              | 16.73         | 18                  | 93.2%      |
| 25021 | Boston     | Norfolk      | MA | 17.74             | 5.72          | 72                  | 32.7%      |
| 25023 | Boston     | Plymouth     | MA | 9.26              | 4.83          | 42                  | 33.7%      |
| 25025 | Boston     | Suffolk      | MA | 9.40              | 47.81         | 295                 | 19.4%      |
| 25027 | Boston     | Worcester    | MA | 35.83             | 1.04          | 91                  | 40.4%      |
| 33011 | Boston     | Hillsborough | NH | 1.09              | 0.42          | 31                  | 4.9%       |

### Chapter 3: Inventory

| FIPS  | MSA         | County     | ST | 2030 PM2.5        |               |                     |            |
|-------|-------------|------------|----|-------------------|---------------|---------------------|------------|
|       |             |            |    | Diesel Locomotive | Diesel Marine | Total Diesel Mobile | LM Percent |
| 33015 | Boston      | Rockingham | NH | 0.82              | 30.13         | 56                  | 55.2%      |
| 47065 | Chattanooga | Hamilton   | TN | 36.91             | 24.61         | 85                  | 72.5%      |
| 47115 | Chattanooga | Marion     | TN | 5.16              | 4.78          | 15                  | 67.1%      |
| 47153 | Chattanooga | Sequatchie | TN | 0.00              | 0.00          | 1                   | 0.0%       |
| 13047 | Chattanooga | Catoosa    | GA | 11.18             | 0.01          | 15                  | 74.0%      |
| 13083 | Chattanooga | Dade       | GA | 10.61             | 0.00          | 14                  | 76.7%      |
| 13295 | Chattanooga | Walker     | GA | 0.00              | 0.01          | 5                   | 0.1%       |
| 17031 | Chicago     | Cook       | IL | 583.11            | 176.82        | 1,069               | 71.1%      |
| 17043 | Chicago     | DuPage     | IL | 150.13            | 0.14          | 227                 | 66.1%      |
| 17063 | Chicago     | Grundy     | IL | 12.86             | 5.35          | 29                  | 62.4%      |
| 17089 | Chicago     | Kane       | IL | 55.63             | 0.10          | 91                  | 61.3%      |
| 17093 | Chicago     | Kendall    | IL | 7.87              | 0.01          | 16                  | 48.2%      |
| 17097 | Chicago     | Lake       | IL | 28.70             | 21.57         | 96                  | 52.5%      |
| 17111 | Chicago     | McHenry    | IL | 15.86             | 0.16          | 37                  | 42.9%      |
| 17197 | Chicago     | Will       | IL | 154.37            | 3.97          | 195                 | 81.2%      |
| 18089 | Chicago     | Lake       | IN | 129.63            | 13.55         | 186                 | 77.1%      |
| 18127 | Chicago     | Porter     | IN | 39.03             | 11.74         | 68                  | 75.0%      |
| 18029 | Cincinnati  | Dearborn   | IN | 5.39              | 18.81         | 30                  | 79.5%      |
| 21015 | Cincinnati  | Boone      | KY | 7.70              | 28.23         | 46                  | 78.5%      |
| 21037 | Cincinnati  | Campbell   | KY | 14.53             | 19.53         | 40                  | 85.6%      |
| 21117 | Cincinnati  | Kenton     | KY | 28.15             | 9.75          | 49                  | 78.1%      |
| 39017 | Cincinnati  | Butler     | OH | 38.73             | 0.05          | 64                  | 60.4%      |
| 39025 | Cincinnati  | Clermont   | OH | 1.68              | 37.21         | 53                  | 72.9%      |
| 39061 | Cincinnati  | Hamilton   | OH | 38.03             | 110.20        | 216                 | 68.7%      |
| 39165 | Cincinnati  | Warren     | OH | 5.88              | 0.09          | 26                  | 23.0%      |
| 39007 | Cleveland   | Ashtabula  | OH | 26.16             | 148.22        | 185                 | 94.4%      |
| 39035 | Cleveland   | Cuyahoga   | OH | 73.82             | 103.97        | 280                 | 63.4%      |
| 39085 | Cleveland   | Lake       | OH | 17.97             | 22.85         | 57                  | 71.2%      |
| 39093 | Cleveland   | Lorain     | OH | 42.97             | 94.99         | 165                 | 83.8%      |
| 39103 | Cleveland   | Medina     | OH | 13.67             | 0.06          | 30                  | 45.8%      |
| 39133 | Cleveland   | Portage    | OH | 26.81             | 0.24          | 45                  | 60.8%      |
| 39153 | Cleveland   | Summit     | OH | 21.98             | 0.17          | 63                  | 35.3%      |
| 26093 | Detroit     | Livingston | MI | 2.25              | 0.06          | 20                  | 11.7%      |
| 26099 | Detroit     | Macomb     | MI | 3.49              | 4.61          | 56                  | 14.6%      |
| 26115 | Detroit     | Monroe     | MI | 16.47             | 7.45          | 42                  | 56.9%      |
| 26125 | Detroit     | Oakland    | MI | 13.69             | 3.84          | 108                 | 16.2%      |
| 26147 | Detroit     | St. Clair  | MI | 6.63              | 17.88         | 44                  | 55.4%      |
| 26161 | Detroit     | Washtenaw  | MI | 3.80              | 0.04          | 35                  | 11.1%      |
| 26163 | Detroit     | Wayne      | MI | 29.36             | 8.63          | 151                 | 25.1%      |
| 48039 | Houston     | Brazoria   | TX | 17.11             | 204.68        | 242                 | 91.5%      |
| 48071 | Houston     | Chambers   | TX | 0.97              | 6.36          | 12                  | 59.3%      |
| 48157 | Houston     | Fort Bend  | TX | 23.77             | 0.09          | 52                  | 45.9%      |
| 48167 | Houston     | Galveston  | TX | 13.22             | 468.88        | 500                 | 96.4%      |
| 48201 | Houston     | Harris     | TX | 67.89             | 1,221.64      | 1,557               | 82.8%      |
| 48291 | Houston     | Liberty    | TX | 26.15             | 2.52          | 37                  | 77.9%      |

## Draft Regulatory Impact Analysis

| FIPS  | MSA          | County         | ST | 2030 PM2.5        |               |                     |            |
|-------|--------------|----------------|----|-------------------|---------------|---------------------|------------|
|       |              |                |    | Diesel Locomotive | Diesel Marine | Total Diesel Mobile | LM Percent |
| 48339 | Houston      | Montgomery     | TX | 20.38             | 0.27          | 49                  | 42.2%      |
| 48473 | Houston      | Waller         | TX | 5.92              | 0.04          | 10                  | 58.2%      |
| 21019 | Huntington   | Boyd           | KY | 10.05             | 15.13         | 29                  | 87.4%      |
| 21127 | Huntington   | Lawrence       | KY | 8.93              | 4.91          | 15                  | 90.7%      |
| 39001 | Huntington   | Adams          | OH | 0.34              | 43.51         | 48                  | 92.1%      |
| 39053 | Huntington   | Gallia         | OH | 2.94              | 19.13         | 26                  | 85.9%      |
| 39087 | Huntington   | Lawrence       | OH | 10.68             | 28.40         | 43                  | 91.2%      |
| 39145 | Huntington   | Scioto         | OH | 23.91             | 27.52         | 58                  | 89.0%      |
| 54011 | Huntington   | Cabell         | WV | 21.94             | 20.93         | 49                  | 86.9%      |
| 54053 | Huntington   | Mason          | WV | 5.03              | 32.92         | 42                  | 89.3%      |
| 54099 | Huntington   | Wayne          | WV | 27.75             | 49.83         | 82                  | 95.0%      |
| 18011 | Indianapolis | Boone          | IN | 5.59              | 0.06          | 19                  | 30.0%      |
| 18057 | Indianapolis | Hamilton       | IN | 0.18              | 0.63          | 26                  | 3.1%       |
| 18059 | Indianapolis | Hancock        | IN | 4.35              | 0.03          | 16                  | 28.1%      |
| 18063 | Indianapolis | Hendricks      | IN | 15.52             | 0.03          | 33                  | 46.7%      |
| 18081 | Indianapolis | Johnson        | IN | 1.02              | 0.21          | 14                  | 8.9%       |
| 18095 | Indianapolis | Madison        | IN | 13.96             | 0.12          | 29                  | 47.9%      |
| 18097 | Indianapolis | Marion         | IN | 26.79             | 1.35          | 98                  | 28.7%      |
| 18109 | Indianapolis | Morgan         | IN | 0.46              | 0.22          | 10                  | 6.6%       |
| 18145 | Indianapolis | Shelby         | IN | 6.23              | 0.02          | 17                  | 36.6%      |
| 20091 | Kansas City  | Johnson        | KS | 50.70             | 0.04          | 101                 | 50.4%      |
| 20103 | Kansas City  | Leavenworth    | KS | 13.00             | 0.42          | 21                  | 63.9%      |
| 20121 | Kansas City  | Miami          | KS | 74.26             | 0.15          | 81                  | 91.7%      |
| 20209 | Kansas City  | Wyandotte      | KS | 27.42             | 3.70          | 43                  | 71.6%      |
| 29037 | Kansas City  | Cass           | MO | 15.11             | 0.12          | 26                  | 59.1%      |
| 29047 | Kansas City  | Clay           | MO | 27.23             | 3.74          | 48                  | 64.9%      |
| 29049 | Kansas City  | Clinton        | MO | 0.00              | 0.16          | 6                   | 2.9%       |
| 29095 | Kansas City  | Jackson        | MO | 85.76             | 27.74         | 171                 | 66.5%      |
| 29107 | Kansas City  | Lafayette      | MO | 21.17             | 3.50          | 36                  | 68.5%      |
| 29165 | Kansas City  | Platte         | MO | 20.65             | 0.74          | 35                  | 61.6%      |
| 29177 | Kansas City  | Ray            | MO | 42.32             | 3.31          | 53                  | 86.7%      |
| 6037  | Los Angeles  | Los Angeles    | CA | 214.05            | 1,378.65      | 2,053               | 77.6%      |
| 6059  | Los Angeles  | Orange         | CA | 57.93             | 146.38        | 433                 | 47.2%      |
| 6065  | Los Angeles  | Riverside      | CA | 87.56             | 1.02          | 189                 | 46.8%      |
| 6071  | Los Angeles  | San Bernardino | CA | 306.89            | 0.48          | 400                 | 76.8%      |
| 6111  | Los Angeles  | Ventura        | CA | 10.79             | 191.39        | 247                 | 81.7%      |
| 27003 | Minneapolis  | Anoka          | MN | 19.16             | 10.87         | 51                  | 59.0%      |
| 27019 | Minneapolis  | Carver         | MN | 0.05              | 0.75          | 10                  | 7.6%       |
| 27037 | Minneapolis  | Dakota         | MN | 11.47             | 10.11         | 51                  | 42.4%      |
| 27053 | Minneapolis  | Hennepin       | MN | 28.80             | 30.34         | 153                 | 38.7%      |
| 27123 | Minneapolis  | Ramsey         | MN | 10.87             | 9.61          | 56                  | 36.4%      |
| 27139 | Minneapolis  | Scott          | MN | 2.46              | 1.27          | 14                  | 26.4%      |
| 27163 | Minneapolis  | Washington     | MN | 20.93             | 42.22         | 81                  | 78.3%      |
| 9001  | New York     | Fairfield      | CT | 0.00              | 37.87         | 112                 | 33.7%      |

**Chapter 3: Inventory**

| FIPS  | MSA          | County       | ST | 2030 PM2.5        |               |                     |            |
|-------|--------------|--------------|----|-------------------|---------------|---------------------|------------|
|       |              |              |    | Diesel Locomotive | Diesel Marine | Total Diesel Mobile | LM Percent |
| 9005  | New York     | Litchfield   | CT | 0.00              | 0.90          | 13                  | 6.6%       |
| 34003 | New York     | Bergen       | NJ | 20.91             | 2.98          | 89                  | 26.9%      |
| 34013 | New York     | Essex        | NJ | 4.68              | 0.85          | 50                  | 11.0%      |
| 34017 | New York     | Hudson       | NJ | 18.73             | 23.28         | 79                  | 53.1%      |
| 34019 | New York     | Hunterdon    | NJ | 6.78              | 0.33          | 25                  | 28.1%      |
| 34023 | New York     | Middlesex    | NJ | 10.49             | 4.17          | 63                  | 23.1%      |
| 34025 | New York     | Monmouth     | NJ | 5.78              | 25.21         | 75                  | 41.6%      |
| 34027 | New York     | Morris       | NJ | 4.44              | 0.53          | 42                  | 11.9%      |
| 34029 | New York     | Ocean        | NJ | 0.36              | 12.87         | 39                  | 33.6%      |
| 34031 | New York     | Passaic      | NJ | 3.75              | 0.51          | 30                  | 14.0%      |
| 34035 | New York     | Somerset     | NJ | 10.24             | 0.02          | 32                  | 32.2%      |
| 34037 | New York     | Sussex       | NJ | 1.10              | 0.63          | 13                  | 12.9%      |
| 34039 | New York     | Union        | NJ | 7.68              | 14.86         | 59                  | 38.2%      |
| 36005 | New York     | Bronx        | NY | 0.12              | 0.69          | 38                  | 2.1%       |
| 36047 | New York     | Kings        | NY | 0.00              | 1.18          | 77                  | 1.5%       |
| 36059 | New York     | Nassau       | NY | 0.00              | 10.10         | 78                  | 12.9%      |
| 36061 | New York     | New York     | NY | 0.00              | 0.48          | 168                 | 0.3%       |
| 36071 | New York     | Orange       | NY | 7.53              | 2.18          | 39                  | 25.2%      |
| 36081 | New York     | Queens       | NY | 0.05              | 1.83          | 103                 | 1.8%       |
| 36085 | New York     | Richmond     | NY | 0.00              | 2.00          | 19                  | 10.3%      |
| 36087 | New York     | Rockland     | NY | 6.09              | 2.31          | 23                  | 36.8%      |
| 36103 | New York     | Suffolk      | NY | 0.00              | 35.49         | 118                 | 30.1%      |
| 36119 | New York     | Westchester  | NY | 0.00              | 3.29          | 61                  | 5.4%       |
| 10003 | Philadelphia | New Castle   | DE | 23.04             | 39.30         | 105                 | 59.6%      |
| 24015 | Philadelphia | Cecil        | MD | 7.20              | 1.55          | 20                  | 43.2%      |
| 24029 | Philadelphia | Kent         | MD | 0.06              | 1.36          | 6                   | 22.2%      |
| 24031 | Philadelphia | Montgomery   | MD | 19.61             | 0.46          | 78                  | 25.7%      |
| 34005 | Philadelphia | Burlington   | NJ | 0.00              | 45.18         | 76                  | 59.6%      |
| 34007 | Philadelphia | Camden       | NJ | 3.05              | 18.09         | 49                  | 43.0%      |
| 34011 | Philadelphia | Cumberland   | NJ | 0.64              | 46.39         | 58                  | 81.6%      |
| 34015 | Philadelphia | Gloucester   | NJ | 0.83              | 24.22         | 45                  | 55.3%      |
| 34021 | Philadelphia | Mercer       | NJ | 4.21              | 5.53          | 38                  | 25.5%      |
| 34033 | Philadelphia | Salem        | NJ | 0.26              | 14.13         | 22                  | 65.7%      |
| 42017 | Philadelphia | Bucks        | PA | 1.82              | 1.10          | 44                  | 6.7%       |
| 42029 | Philadelphia | Chester      | PA | 8.44              | 0.16          | 46                  | 18.8%      |
| 42045 | Philadelphia | Delaware     | PA | 3.48              | 159.80        | 188                 | 86.8%      |
| 42101 | Philadelphia | Philadelphia | PA | 5.93              | 280.49        | 347                 | 82.6%      |
| 4013  | Phoenix      | Maricopa     | AZ | 85.15             | 0.79          | 425                 | 20.2%      |
| 4021  | Phoenix      | Pinal        | AZ | 46.98             | 0.17          | 71                  | 66.3%      |
| 6019  | San Joaquin  | Fresno       | CA | 15.23             | 0.58          | 101                 | 15.7%      |
| 6029  | San Joaquin  | Kern         | CA | 76.62             | 0.22          | 145                 | 52.9%      |
| 6031  | San Joaquin  | Kings        | CA | 1.98              | 0.02          | 21                  | 9.3%       |
| 6039  | San Joaquin  | Madera       | CA | 16.55             | 0.16          | 32                  | 52.1%      |
| 6047  | San Joaquin  | Merced       | CA | 14.48             | 0.46          | 41                  | 36.8%      |
| 6077  | San Joaquin  | San Joaquin  | CA | 25.33             | 25.14         | 100                 | 50.3%      |

## Draft Regulatory Impact Analysis

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| FIPS  | MSA         | County      | ST | 2030 PM2.5        |               |                     |            |
|-------|-------------|-------------|----|-------------------|---------------|---------------------|------------|
|       |             |             |    | Diesel Locomotive | Diesel Marine | Total Diesel Mobile | LM Percent |
| 6099  | San Joaquin | Stanislaus  | CA | 10.15             | 0.23          | 45                  | 23.3%      |
| 6107  | San Joaquin | Tulare      | CA | 22.89             | 0.16          | 65                  | 35.4%      |
| 53029 | Seattle     | Island      | WA | 0.00              | 16.34         | 22                  | 75.7%      |
| 53033 | Seattle     | King        | WA | 26.00             | 159.80        | 344                 | 54.0%      |
| 53035 | Seattle     | Kitsap      | WA | 0.00              | 1.28          | 16                  | 8.1%       |
| 53045 | Seattle     | Mason       | WA | 0.00              | 0.57          | 4                   | 12.8%      |
| 53053 | Seattle     | Pierce      | WA | 16.30             | 144.03        | 206                 | 77.8%      |
| 53061 | Seattle     | Snohomish   | WA | 32.24             | 24.77         | 102                 | 56.0%      |
| 53067 | Seattle     | Thurston    | WA | 8.81              | 10.12         | 36                  | 53.2%      |
| 17027 | St. Louis   | Clinton     | IL | 20.38             | 0.08          | 29                  | 69.4%      |
| 17083 | St. Louis   | Jersey      | IL | 1.66              | 15.78         | 23                  | 76.4%      |
| 17119 | St. Louis   | Madison     | IL | 8.64              | 8.56          | 43                  | 40.3%      |
| 17133 | St. Louis   | Monroe      | IL | 32.45             | 13.84         | 52                  | 88.4%      |
| 17163 | St. Louis   | St. Clair   | IL | 9.36              | 16.36         | 48                  | 53.5%      |
| 29055 | St. Louis   | Crawford    | MO | 4.30              | 0.04          | 9                   | 50.9%      |
| 29071 | St. Louis   | Franklin    | MO | 27.96             | 2.00          | 43                  | 70.5%      |
| 29099 | St. Louis   | Jefferson   | MO | 7.62              | 14.04         | 38                  | 57.1%      |
| 29113 | St. Louis   | Lincoln     | MO | 12.57             | 5.60          | 26                  | 70.5%      |
| 29183 | St. Louis   | St. Charles | MO | 15.14             | 12.62         | 51                  | 54.2%      |
| 29189 | St. Louis   | St. Louis   | MO | 24.13             | 16.08         | 130                 | 30.9%      |
| 29219 | St. Louis   | Warren      | MO | 2.56              | 1.95          | 9                   | 49.3%      |
| 29510 | St. Louis   | St. Louis   | MO | 23.99             | 216.11        | 260                 | 92.3%      |

Table 0-109 2002 Locomotive and Diesel Marine NOx Tons/Year and Percent of Total Mobile Sources

| FIPS  | MSA        | County       | ST | 2002 NOx          |               |              |            |
|-------|------------|--------------|----|-------------------|---------------|--------------|------------|
|       |            |              |    | Diesel Locomotive | Diesel Marine | Total Mobile | LM Percent |
| 13013 | Atlanta    | Barrow       | GA | 224.1             | 0.5           | 2,039        | 11.0%      |
| 13015 | Atlanta    | Bartow       | GA | 799.6             | 7.0           | 5,172        | 15.6%      |
| 13045 | Atlanta    | Carroll      | GA | 219.5             | 3.0           | 4,762        | 4.7%       |
| 13057 | Atlanta    | Cherokee     | GA | 0.0               | 6.8           | 5,828        | 0.1%       |
| 13063 | Atlanta    | Clayton      | GA | 420.9             | 1.0           | 9,512        | 4.4%       |
| 13067 | Atlanta    | Cobb         | GA | 1,110.1           | 2.8           | 23,542       | 4.7%       |
| 13077 | Atlanta    | Coweta       | GA | 555.6             | 2.0           | 5,727        | 9.7%       |
| 13089 | Atlanta    | DeKalb       | GA | 515.4             | 1.8           | 26,283       | 2.0%       |
| 13097 | Atlanta    | Douglas      | GA | 202.2             | 0.5           | 3,952        | 5.1%       |
| 13113 | Atlanta    | Fayette      | GA | 143.8             | 1.3           | 3,977        | 3.6%       |
| 13117 | Atlanta    | Forsyth      | GA | 0.0               | 14.1          | 4,418        | 0.3%       |
| 13121 | Atlanta    | Fulton       | GA | 1,512.7           | 3.8           | 39,991       | 3.8%       |
| 13135 | Atlanta    | Gwinnett     | GA | 385.8             | 2.5           | 21,343       | 1.8%       |
| 13139 | Atlanta    | Hall         | GA | 258.8             | 23.1          | 6,452        | 4.4%       |
| 13149 | Atlanta    | Heard        | GA | 0.0               | 3.3           | 465          | 0.7%       |
| 13151 | Atlanta    | Henry        | GA | 567.2             | 1.3           | 6,479        | 8.8%       |
| 13217 | Atlanta    | Newton       | GA | 64.4              | 1.8           | 3,584        | 1.8%       |
| 13223 | Atlanta    | Paulding     | GA | 470.2             | 1.0           | 3,801        | 12.4%      |
| 13237 | Atlanta    | Putnam       | GA | 14.1              | 10.6          | 630          | 3.9%       |
| 13247 | Atlanta    | Rockdale     | GA | 91.1              | 1.0           | 3,158        | 2.9%       |
| 13255 | Atlanta    | Spalding     | GA | 24.5              | 1.0           | 2,584        | 1.0%       |
| 13297 | Atlanta    | Walton       | GA | 77.1              | 0.5           | 2,211        | 3.5%       |
| 24003 | Baltimore  | Anne Arundel | MD | 520.4             | 63.4          | 15,497       | 3.8%       |
| 24005 | Baltimore  | Baltimore    | MD | 1,243.0           | 41.5          | 24,021       | 5.3%       |
| 24013 | Baltimore  | Carroll      | MD | 199.2             | 1.3           | 5,995        | 3.3%       |
| 24025 | Baltimore  | Harford      | MD | 389.4             | 40.2          | 7,894        | 5.4%       |
| 24027 | Baltimore  | Howard       | MD | 594.5             | 12.7          | 8,160        | 7.4%       |
| 24510 | Baltimore  | Baltimore    | MD | 1,282.5           | 1,670.4       | 23,591       | 12.5%      |
| 1073  | Birmingham | Jefferson    | AL | 4,615.9           | 268.9         | 32,416       | 15.1%      |
| 1117  | Birmingham | Shelby       | AL | 1,156.1           | 10.4          | 6,159        | 18.9%      |
| 1127  | Birmingham | Walker       | AL | 889.2             | 116.8         | 3,687        | 27.3%      |
| 9007  | Boston     | Middlesex    | CT | 160.2             | 121.4         | 282          | 99.8%      |
| 25001 | Boston     | Barnstable   | MA | 318.1             | 474.3         | 8,446        | 9.4%       |
| 25005 | Boston     | Bristol      | MA | 588.4             | 238.7         | 15,719       | 5.3%       |
| 25007 | Boston     | Dukes        | MA | 0.0               | 1,589.6       | 2,042        | 77.9%      |
| 25009 | Boston     | Essex        | MA | 777.6             | 197.2         | 21,303       | 4.6%       |
| 25019 | Boston     | Nantucket    | MA | 0.0               | 282.5         | 596          | 47.4%      |
| 25021 | Boston     | Norfolk      | MA | 902.6             | 163.4         | 22,498       | 4.7%       |
| 25023 | Boston     | Plymouth     | MA | 493.8             | 169.6         | 12,655       | 5.2%       |
| 25025 | Boston     | Suffolk      | MA | 489.2             | 855.0         | 38,095       | 3.5%       |
| 25027 | Boston     | Worcester    | MA | 1,860.6           | 36.5          | 26,614       | 7.1%       |
| 33011 | Boston     | Hillsborough | NH | 49.0              | 15.0          | 12,444       | 0.5%       |
| 33015 | Boston     | Rockingham   | NH | 37.0              | 1,112.9       | 11,846       | 9.7%       |

**Draft Regulatory Impact Analysis**

| FIPS  | MSA         | County     | ST | 2002 NOx          |               |              |            |
|-------|-------------|------------|----|-------------------|---------------|--------------|------------|
|       |             |            |    | Diesel Locomotive | Diesel Marine | Total Mobile | LM Percent |
| 47065 | Chattanooga | Hamilton   | TN | 1,569.2           | 909.5         | 14,329       | 17.3%      |
| 47115 | Chattanooga | Marion     | TN | 220.0             | 176.6         | 2,998        | 13.2%      |
| 47153 | Chattanooga | Sequatchie | TN | 0.0               | 0.0           | 270          | 0.0%       |
| 13047 | Chattanooga | Catoosa    | GA | 475.9             | 0.3           | 2,527        | 18.8%      |
| 13083 | Chattanooga | Dade       | GA | 452.1             | 0.0           | 2,263        | 20.0%      |
| 13295 | Chattanooga | Walker     | GA | 0.0               | 0.3           | 1,996        | 0.0%       |
| 17031 | Chicago     | Cook       | IL | 24,769.1          | 6,520.5       | 178,269      | 17.6%      |
| 17043 | Chicago     | DuPage     | IL | 7,028.5           | 5.0           | 31,241       | 22.5%      |
| 17063 | Chicago     | Grundy     | IL | 479.6             | 198.0         | 3,244        | 20.9%      |
| 17089 | Chicago     | Kane       | IL | 2,446.9           | 3.5           | 8,879        | 27.6%      |
| 17093 | Chicago     | Kendall    | IL | 310.8             | 0.3           | 1,789        | 17.4%      |
| 17097 | Chicago     | Lake       | IL | 1,301.3           | 774.4         | 16,423       | 12.6%      |
| 17111 | Chicago     | McHenry    | IL | 700.7             | 5.8           | 5,103        | 13.8%      |
| 17197 | Chicago     | Will       | IL | 6,401.5           | 146.5         | 16,000       | 40.9%      |
| 18089 | Chicago     | Lake       | IN | 4,656.8           | 490.6         | 23,491       | 21.9%      |
| 18127 | Chicago     | Porter     | IN | 1,588.7           | 425.4         | 8,840        | 22.8%      |
| 18029 | Cincinnati  | Dearborn   | IN | 216.3             | 696.0         | 3,628        | 25.1%      |
| 21015 | Cincinnati  | Boone      | KY | 327.3             | 1,044.5       | 5,966        | 23.0%      |
| 21037 | Cincinnati  | Campbell   | KY | 621.1             | 722.6         | 4,914        | 27.3%      |
| 21117 | Cincinnati  | Kenton     | KY | 1,197.5           | 360.8         | 7,316        | 21.3%      |
| 39017 | Cincinnati  | Butler     | OH | 1,581.9           | 1.7           | 10,604       | 14.9%      |
| 39025 | Cincinnati  | Clermont   | OH | 68.2              | 1,377.2       | 7,579        | 19.1%      |
| 39061 | Cincinnati  | Hamilton   | OH | 1,540.5           | 4,078.9       | 34,403       | 16.3%      |
| 39165 | Cincinnati  | Warren     | OH | 235.3             | 3.2           | 5,948        | 4.0%       |
| 39007 | Cleveland   | Ashtabula  | OH | 1,062.3           | 5,482.2       | 12,796       | 51.1%      |
| 39035 | Cleveland   | Cuyahoga   | OH | 2,914.2           | 3,832.5       | 49,767       | 13.6%      |
| 39085 | Cleveland   | Lake       | OH | 738.0             | 837.5         | 8,866        | 17.8%      |
| 39093 | Cleveland   | Lorain     | OH | 1,749.1           | 3,509.5       | 15,702       | 33.5%      |
| 39103 | Cleveland   | Medina     | OH | 551.8             | 2.1           | 6,896        | 8.0%       |
| 39133 | Cleveland   | Portage    | OH | 1,090.9           | 8.6           | 8,119        | 13.5%      |
| 39153 | Cleveland   | Summit     | OH | 888.7             | 6.0           | 18,330       | 4.9%       |
| 26093 | Detroit     | Livingston | MI | 95.5              | 1.9           | 7,393        | 1.3%       |
| 26099 | Detroit     | Macomb     | MI | 148.2             | 169.4         | 24,046       | 1.3%       |
| 26115 | Detroit     | Monroe     | MI | 700.2             | 276.4         | 7,675        | 12.7%      |
| 26125 | Detroit     | Oakland    | MI | 584.1             | 140.9         | 38,601       | 1.9%       |
| 26147 | Detroit     | St. Clair  | MI | 285.7             | 662.4         | 9,871        | 9.6%       |
| 26161 | Detroit     | Washtenaw  | MI | 154.9             | 1.3           | 12,742       | 1.2%       |
| 26163 | Detroit     | Wayne      | MI | 1,133.9           | 318.6         | 68,502       | 2.1%       |
| 48039 | Houston     | Brazoria   | TX | 728.4             | 7,573.7       | 18,133       | 45.8%      |
| 48071 | Houston     | Chambers   | TX | 41.6              | 234.3         | 2,586        | 10.7%      |
| 48157 | Houston     | Fort Bend  | TX | 1,019.2           | 3.3           | 11,057       | 9.2%       |
| 48167 | Houston     | Galveston  | TX | 491.0             | 17,352.7      | 30,023       | 59.4%      |
| 48201 | Houston     | Harris     | TX | 2,609.1           | 45,215.7      | 165,530      | 28.9%      |
| 48291 | Houston     | Liberty    | TX | 1,115.9           | 93.4          | 4,073        | 29.7%      |
| 48339 | Houston     | Montgomery | TX | 867.4             | 9.7           | 13,754       | 6.4%       |
| 48473 | Houston     | Waller     | TX | 252.5             | 1.5           | 1,574        | 16.1%      |

**Chapter 3: Inventory**

| FIPS  | MSA          | County         | ST | 2002 NOx          |               |              |            |
|-------|--------------|----------------|----|-------------------|---------------|--------------|------------|
|       |              |                |    | Diesel Locomotive | Diesel Marine | Total Mobile | LM Percent |
| 21019 | Huntington   | Boyd           | KY | 430.5             | 559.8         | 3,171        | 31.2%      |
| 21127 | Huntington   | Lawrence       | KY | 425.1             | 181.8         | 1,317        | 46.1%      |
| 39001 | Huntington   | Adams          | OH | 13.7              | 1,610.6       | 3,248        | 50.0%      |
| 39053 | Huntington   | Gallia         | OH | 119.7             | 708.1         | 2,184        | 37.9%      |
| 39087 | Huntington   | Lawrence       | OH | 433.9             | 1,051.2       | 3,946        | 37.6%      |
| 39145 | Huntington   | Scioto         | OH | 972.1             | 1,018.7       | 4,780        | 41.7%      |
| 54011 | Huntington   | Cabell         | WV | 946.3             | 774.1         | 9,978        | 17.2%      |
| 54053 | Huntington   | Mason          | WV | 239.7             | 1,218.0       | 2,909        | 50.1%      |
| 54099 | Huntington   | Wayne          | WV | 1,182.1           | 1,844.2       | 4,489        | 67.4%      |
| 18011 | Indianapolis | Boone          | IN | 235.9             | 2.1           | 3,600        | 6.6%       |
| 18057 | Indianapolis | Hamilton       | IN | 5.7               | 22.6          | 7,413        | 0.4%       |
| 18059 | Indianapolis | Hancock        | IN | 179.7             | 1.2           | 3,342        | 5.4%       |
| 18063 | Indianapolis | Hendricks      | IN | 630.8             | 1.2           | 5,968        | 10.6%      |
| 18081 | Indianapolis | Johnson        | IN | 33.0              | 7.6           | 4,964        | 0.8%       |
| 18095 | Indianapolis | Madison        | IN | 563.4             | 4.3           | 6,314        | 9.0%       |
| 18097 | Indianapolis | Marion         | IN | 1,089.8           | 48.3          | 33,822       | 3.4%       |
| 18109 | Indianapolis | Morgan         | IN | 15.0              | 8.0           | 3,634        | 0.6%       |
| 18145 | Indianapolis | Shelby         | IN | 255.6             | 0.9           | 3,130        | 8.2%       |
| 20091 | Kansas City  | Johnson        | KS | 2,157.3           | 1.4           | 18,312       | 11.8%      |
| 20103 | Kansas City  | Leavenworth    | KS | 553.1             | 15.5          | 2,984        | 19.1%      |
| 20121 | Kansas City  | Miami          | KS | 3,157.4           | 5.5           | 4,481        | 70.6%      |
| 20209 | Kansas City  | Wyandotte      | KS | 1,170.2           | 137.0         | 7,329        | 17.8%      |
| 29037 | Kansas City  | Cass           | MO | 646.8             | 4.4           | 3,752        | 17.4%      |
| 29047 | Kansas City  | Clay           | MO | 1,073.0           | 137.9         | 8,204        | 14.8%      |
| 29049 | Kansas City  | Clinton        | MO | 0.0               | 5.8           | 1,517        | 0.4%       |
| 29095 | Kansas City  | Jackson        | MO | 3,434.0           | 1,026.2       | 30,133       | 14.8%      |
| 29107 | Kansas City  | Lafayette      | MO | 899.9             | 129.2         | 3,796        | 27.1%      |
| 29165 | Kansas City  | Platte         | MO | 878.0             | 26.9          | 5,793        | 15.6%      |
| 29177 | Kansas City  | Ray            | MO | 1,713.2           | 122.5         | 3,190        | 57.5%      |
| 6037  | Los Angeles  | Los Angeles    | CA | 9,771.2           | 42,754.8      | 257,574      | 20.4%      |
| 6059  | Los Angeles  | Orange         | CA | 2,374.1           | 2,363.7       | 68,174       | 6.9%       |
| 6065  | Los Angeles  | Riverside      | CA | 4,414.1           | 56.3          | 45,019       | 9.9%       |
| 6071  | Los Angeles  | San Bernardino | CA | 14,261.8          | 26.3          | 56,392       | 25.3%      |
| 6111  | Los Angeles  | Ventura        | CA | 479.2             | 4,087.6       | 18,815       | 24.3%      |
| 27003 | Minneapolis  | Anoka          | MN | 822.8             | 399.5         | 10,508       | 11.6%      |
| 27019 | Minneapolis  | Carver         | MN | 2.0               | 27.0          | 2,563        | 1.1%       |
| 27037 | Minneapolis  | Dakota         | MN | 491.2             | 371.9         | 11,559       | 7.5%       |
| 27053 | Minneapolis  | Hennepin       | MN | 1,226.2           | 1,117.3       | 42,042       | 5.6%       |
| 27123 | Minneapolis  | Ramsey         | MN | 465.4             | 353.7         | 18,199       | 4.5%       |
| 27139 | Minneapolis  | Scott          | MN | 104.5             | 46.1          | 2,947        | 5.1%       |
| 27163 | Minneapolis  | Washington     | MN | 895.5             | 1,560.4       | 9,536        | 25.8%      |
| 9001  | New York     | Fairfield      | CT | 589.7             | 257.5         | 28,368       | 3.0%       |
| 9005  | New York     | Litchfield     | CT | 100.0             | 31.6          | 4,615        | 2.9%       |
| 34003 | New York     | Bergen         | NJ | 1,055.1           | 193.9         | 23,136       | 5.4%       |
| 34013 | New York     | Essex          | NJ | 228.1             | 51.3          | 21,624       | 1.3%       |



## Draft Regulatory Impact Analysis

| FIPS  | MSA          | County       | ST | 2002 NOx          |               |              |            |
|-------|--------------|--------------|----|-------------------|---------------|--------------|------------|
|       |              |              |    | Diesel Locomotive | Diesel Marine | Total Mobile | LM Percent |
| 34017 | New York     | Hudson       | NJ | 777.7             | 1,486.3       | 16,558       | 13.7%      |
| 34019 | New York     | Hunterdon    | NJ | 331.3             | 11.7          | 7,327        | 4.7%       |
| 34023 | New York     | Middlesex    | NJ | 481.9             | 282.2         | 19,497       | 3.9%       |
| 34025 | New York     | Monmouth     | NJ | 379.8             | 682.3         | 17,750       | 6.0%       |
| 34027 | New York     | Morris       | NJ | 234.4             | 18.7          | 13,461       | 1.9%       |
| 34029 | New York     | Ocean        | NJ | 19.6              | 435.6         | 12,234       | 3.7%       |
| 34031 | New York     | Passaic      | NJ | 229.2             | 18.1          | 11,334       | 2.2%       |
| 34035 | New York     | Somerset     | NJ | 509.9             | 0.6           | 8,259        | 6.2%       |
| 34037 | New York     | Sussex       | NJ | 36.0              | 22.2          | 4,546        | 1.3%       |
| 34039 | New York     | Union        | NJ | 420.7             | 1,084.1       | 14,897       | 10.1%      |
| 36005 | New York     | Bronx        | NY | 5.1               | 203.9         | 18,301       | 1.1%       |
| 36047 | New York     | Kings        | NY | 0.0               | 1,713.6       | 36,548       | 4.7%       |
| 36059 | New York     | Nassau       | NY | 0.0               | 586.4         | 22,268       | 2.6%       |
| 36061 | New York     | New York     | NY | 0.0               | 1,207.0       | 44,035       | 2.7%       |
| 36071 | New York     | Orange       | NY | 349.9             | 80.2          | 13,475       | 3.2%       |
| 36081 | New York     | Queens       | NY | 2.3               | 2,056.4       | 39,760       | 5.2%       |
| 36085 | New York     | Richmond     | NY | 0.0               | 2,386.5       | 8,667        | 27.5%      |
| 36087 | New York     | Rockland     | NY | 265.0             | 16.6          | 4,886        | 5.8%       |
| 36103 | New York     | Suffolk      | NY | 0.0               | 1,361.4       | 27,455       | 5.0%       |
| 36119 | New York     | Westchester  | NY | 0.0               | 127.5         | 16,193       | 0.8%       |
| 10003 | Philadelphia | New Castle   | DE | 818.9             | 2,545.5       | 21,119       | 15.9%      |
| 24015 | Philadelphia | Cecil        | MD | 306.8             | 56.0          | 5,150        | 7.0%       |
| 24029 | Philadelphia | Kent         | MD | 2.4               | 48.8          | 984          | 5.2%       |
| 24031 | Philadelphia | Montgomery   | MD | 987.2             | 16.9          | 23,771       | 4.2%       |
| 34005 | Philadelphia | Burlington   | NJ | 0.0               | 1,178.2       | 13,449       | 8.8%       |
| 34007 | Philadelphia | Camden       | NJ | 182.3             | 471.7         | 13,996       | 4.7%       |
| 34011 | Philadelphia | Cumberland   | NJ | 20.8              | 1,242.9       | 5,472        | 23.1%      |
| 34015 | Philadelphia | Gloucester   | NJ | 36.7              | 633.3         | 10,121       | 6.6%       |
| 34021 | Philadelphia | Mercer       | NJ | 193.5             | 144.7         | 12,609       | 2.7%       |
| 34033 | Philadelphia | Salem        | NJ | 10.3              | 374.9         | 3,009        | 12.8%      |
| 42017 | Philadelphia | Bucks        | PA | 86.8              | 40.0          | 13,732       | 0.9%       |
| 42029 | Philadelphia | Chester      | PA | 435.2             | 5.7           | 12,150       | 3.6%       |
| 42045 | Philadelphia | Delaware     | PA | 171.7             | 5,914.4       | 18,361       | 33.1%      |
| 42101 | Philadelphia | Philadelphia | PA | 239.6             | 10,381.6      | 44,901       | 23.7%      |
| 4013  | Phoenix      | Maricopa     | AZ | 3,884.9           | 28.0          | 105,636      | 3.7%       |
| 4021  | Phoenix      | Pinal        | AZ | 2,030.8           | 6.2           | 10,844       | 18.8%      |
| 6019  | San Joaquin  | Fresno       | CA | 765.2             | 32.2          | 24,853       | 3.2%       |
| 6029  | San Joaquin  | Kern         | CA | 3,687.8           | 12.0          | 27,768       | 13.3%      |
| 6031  | San Joaquin  | Kings        | CA | 104.0             | 1.1           | 4,389        | 2.4%       |
| 6039  | San Joaquin  | Madera       | CA | 819.3             | 8.9           | 5,469        | 15.1%      |
| 6047  | San Joaquin  | Merced       | CA | 790.7             | 25.4          | 9,353        | 8.7%       |
| 6077  | San Joaquin  | San Joaquin  | CA | 1,287.6           | 603.0         | 18,977       | 10.0%      |
| 6099  | San Joaquin  | Stanislaus   | CA | 528.7             | 12.5          | 12,862       | 4.2%       |
| 6107  | San Joaquin  | Tulare       | CA | 1,172.3           | 8.9           | 13,310       | 8.9%       |
| 53029 | Seattle      | Island       | WA | 0.0               | 2,098.3       | 3,999        | 52.5%      |
| 53033 | Seattle      | King         | WA | 1,119.6           | 5,906.0       | 68,488       | 10.3%      |

**Chapter 3: Inventory**

| FIPS  | MSA       | County      | ST | 2002 NOx          |               |              |            |
|-------|-----------|-------------|----|-------------------|---------------|--------------|------------|
|       |           |             |    | Diesel Locomotive | Diesel Marine | Total Mobile | LM Percent |
| 53035 | Seattle   | Kitsap      | WA | 0.0               | 45.6          | 6,933        | 0.7%       |
| 53045 | Seattle   | Mason       | WA | 0.1               | 26.7          | 1,679        | 1.6%       |
| 53053 | Seattle   | Pierce      | WA | 703.0             | 5,327.1       | 27,443       | 22.0%      |
| 53061 | Seattle   | Snohomish   | WA | 1,279.7           | 912.6         | 20,798       | 10.5%      |
| 53067 | Seattle   | Thurston    | WA | 369.2             | 373.3         | 8,518        | 8.7%       |
| 17027 | St. Louis | Clinton     | IL | 801.1             | 2.8           | 2,597        | 31.0%      |
| 17083 | St. Louis | Jersey      | IL | 64.8              | 583.9         | 1,759        | 36.9%      |
| 17119 | St. Louis | Madison     | IL | 287.0             | 316.7         | 10,200       | 5.9%       |
| 17133 | St. Louis | Monroe      | IL | 1,288.0           | 512.0         | 3,122        | 57.7%      |
| 17163 | St. Louis | St. Clair   | IL | 325.2             | 605.6         | 10,049       | 9.3%       |
| 29055 | St. Louis | Crawford    | MO | 204.7             | 1.5           | 2,080        | 9.9%       |
| 29071 | St. Louis | Franklin    | MO | 1,206.1           | 73.8          | 6,434        | 19.9%      |
| 29099 | St. Louis | Jefferson   | MO | 324.2             | 519.4         | 9,205        | 9.2%       |
| 29113 | St. Louis | Lincoln     | MO | 534.3             | 206.8         | 2,771        | 26.7%      |
| 29183 | St. Louis | St. Charles | MO | 643.6             | 465.6         | 10,406       | 10.7%      |
| 29189 | St. Louis | St. Louis   | MO | 1,035.3           | 594.2         | 41,254       | 4.0%       |
| 29219 | St. Louis | Warren      | MO | 109.1             | 71.9          | 1,692        | 10.7%      |
| 29510 | St. Louis | St. Louis   | MO | 866.5             | 7,998.7       | 23,595       | 37.6%      |

## Draft Regulatory Impact Analysis

**Table 0-110 2020 Locomotive and Diesel Marine NOx Tons/Year and Percent of Total Mobile Sources**

| FIPS  | MSA        | County       | ST | 2020 NOx          |               |              |            |
|-------|------------|--------------|----|-------------------|---------------|--------------|------------|
|       |            |              |    | Diesel Locomotive | Diesel Marine | Total Mobile | LM Percent |
| 13013 | Atlanta    | Barrow       | GA | 189.4             | 0.6           | 682          | 27.9%      |
| 13015 | Atlanta    | Bartow       | GA | 675.7             | 8.5           | 1,838        | 37.2%      |
| 13045 | Atlanta    | Carroll      | GA | 183.4             | 3.6           | 1,404        | 13.3%      |
| 13057 | Atlanta    | Cherokee     | GA | 0.0               | 8.1           | 1,834        | 0.4%       |
| 13063 | Atlanta    | Clayton      | GA | 355.6             | 1.2           | 3,382        | 10.6%      |
| 13067 | Atlanta    | Cobb         | GA | 933.8             | 3.3           | 7,245        | 12.9%      |
| 13077 | Atlanta    | Coweta       | GA | 469.5             | 2.4           | 1,995        | 23.7%      |
| 13089 | Atlanta    | DeKalb       | GA | 433.1             | 2.1           | 7,494        | 5.8%       |
| 13097 | Atlanta    | Douglas      | GA | 168.0             | 0.6           | 1,353        | 12.5%      |
| 13113 | Atlanta    | Fayette      | GA | 121.5             | 1.5           | 1,333        | 9.2%       |
| 13117 | Atlanta    | Forsyth      | GA | 0.0               | 16.9          | 1,392        | 1.2%       |
| 13121 | Atlanta    | Fulton       | GA | 1,272.1           | 4.5           | 15,332       | 8.3%       |
| 13135 | Atlanta    | Gwinnett     | GA | 323.3             | 3.0           | 6,226        | 5.2%       |
| 13139 | Atlanta    | Hall         | GA | 186.3             | 27.8          | 1,919        | 11.2%      |
| 13149 | Atlanta    | Heard        | GA | 0.0               | 3.9           | 128          | 3.1%       |
| 13151 | Atlanta    | Henry        | GA | 479.3             | 1.5           | 2,241        | 21.5%      |
| 13217 | Atlanta    | Newton       | GA | 54.4              | 2.1           | 996          | 5.7%       |
| 13223 | Atlanta    | Paulding     | GA | 397.3             | 1.2           | 1,372        | 29.0%      |
| 13237 | Atlanta    | Putnam       | GA | 10.3              | 12.7          | 202          | 11.4%      |
| 13247 | Atlanta    | Rockdale     | GA | 77.0              | 1.2           | 1,026        | 7.6%       |
| 13255 | Atlanta    | Spalding     | GA | 20.7              | 1.2           | 728          | 3.0%       |
| 13297 | Atlanta    | Walton       | GA | 65.1              | 0.6           | 664          | 9.9%       |
| 24003 | Baltimore  | Anne Arundel | MD | 306.6             | 71.4          | 8,342        | 4.5%       |
| 24005 | Baltimore  | Baltimore    | MD | 936.5             | 45.1          | 11,487       | 8.5%       |
| 24013 | Baltimore  | Carroll      | MD | 145.4             | 1.5           | 2,579        | 5.7%       |
| 24025 | Baltimore  | Harford      | MD | 251.7             | 42.9          | 3,608        | 8.2%       |
| 24027 | Baltimore  | Howard       | MD | 366.4             | 10.6          | 3,859        | 9.8%       |
| 24510 | Baltimore  | Baltimore    | MD | 1,186.5           | 1,357.0       | 15,594       | 16.3%      |
| 1073  | Birmingham | Jefferson    | AL | 4,173.3           | 221.1         | 12,112       | 36.3%      |
| 1117  | Birmingham | Shelby       | AL | 1,026.2           | 12.5          | 2,492        | 41.7%      |
| 1127  | Birmingham | Walker       | AL | 649.1             | 97.7          | 1,530        | 48.8%      |
| 9007  | Boston     | Middlesex    | CT | 110.6             | 121.2         | 233          | 99.6%      |
| 25001 | Boston     | Barnstable   | MA | 232.2             | 490.2         | 4,681        | 15.4%      |
| 25005 | Boston     | Bristol      | MA | 436.1             | 214.3         | 7,364        | 8.8%       |
| 25007 | Boston     | Dukes        | MA | 0.0               | 1,332.0       | 1,732        | 76.9%      |
| 25009 | Boston     | Essex        | MA | 567.6             | 201.2         | 9,768        | 7.9%       |
| 25019 | Boston     | Nantucket    | MA | 0.0               | 256.8         | 530          | 48.4%      |
| 25021 | Boston     | Norfolk      | MA | 682.9             | 140.1         | 10,197       | 8.1%       |
| 25023 | Boston     | Plymouth     | MA | 363.1             | 191.5         | 6,163        | 9.0%       |
| 25025 | Boston     | Suffolk      | MA | 362.6             | 703.7         | 17,700       | 6.0%       |
| 25027 | Boston     | Worcester    | MA | 1,382.7           | 43.9          | 12,067       | 11.8%      |
| 33011 | Boston     | Hillsborough | NH | 35.8              | 18.0          | 6,327        | 0.8%       |
| 33015 | Boston     | Rockingham   | NH | 27.0              | 928.5         | 6,652        | 14.4%      |

Chapter 3: Inventory

| FIPS  | MSA         | County     | ST | 2020 NOx          |               |              |            |
|-------|-------------|------------|----|-------------------|---------------|--------------|------------|
|       |             |            |    | Diesel Locomotive | Diesel Marine | Total Mobile | LM Percent |
| 47065 | Chattanooga | Hamilton   | TN | 1,326.0           | 749.8         | 5,500        | 37.7%      |
| 47115 | Chattanooga | Marion     | TN | 185.9             | 148.4         | 1,048        | 31.9%      |
| 47153 | Chattanooga | Sequatchie | TN | 0.0               | 0.0           | 73           | 0.0%       |
| 13047 | Chattanooga | Catoosa    | GA | 402.1             | 0.3           | 953          | 42.2%      |
| 13083 | Chattanooga | Dade       | GA | 382.0             | 0.0           | 814          | 46.9%      |
| 13295 | Chattanooga | Walker     | GA | 0.0               | 0.3           | 555          | 0.1%       |
| 17031 | Chicago     | Cook       | IL | 18,683.3          | 5,549.0       | 69,728       | 34.8%      |
| 17043 | Chicago     | DuPage     | IL | 4,853.4           | 5.9           | 11,856       | 41.0%      |
| 17063 | Chicago     | Grundy     | IL | 436.8             | 161.9         | 1,367        | 43.8%      |
| 17089 | Chicago     | Kane       | IL | 1,791.2           | 4.2           | 3,786        | 47.4%      |
| 17093 | Chicago     | Kendall    | IL | 253.3             | 0.3           | 774          | 32.7%      |
| 17097 | Chicago     | Lake       | IL | 930.4             | 886.7         | 6,916        | 26.3%      |
| 17111 | Chicago     | McHenry    | IL | 496.6             | 7.0           | 1,870        | 26.9%      |
| 17197 | Chicago     | Will       | IL | 4,767.5           | 122.8         | 7,685        | 63.6%      |
| 18089 | Chicago     | Lake       | IN | 4,582.7           | 527.6         | 12,632       | 40.5%      |
| 18127 | Chicago     | Porter     | IN | 1,239.8           | 449.0         | 4,478        | 37.7%      |
| 18029 | Cincinnati  | Dearborn   | IN | 172.0             | 565.9         | 1,708        | 43.2%      |
| 21015 | Cincinnati  | Boone      | KY | 276.6             | 850.5         | 3,457        | 32.6%      |
| 21037 | Cincinnati  | Campbell   | KY | 522.3             | 588.4         | 2,204        | 50.4%      |
| 21117 | Cincinnati  | Kenton     | KY | 1,011.3           | 293.3         | 2,771        | 47.1%      |
| 39017 | Cincinnati  | Butler     | OH | 1,225.0           | 2.0           | 3,504        | 35.0%      |
| 39025 | Cincinnati  | Clermont   | OH | 53.0              | 1,117.4       | 3,185        | 36.7%      |
| 39061 | Cincinnati  | Hamilton   | OH | 1,208.2           | 3,308.4       | 13,388       | 33.7%      |
| 39165 | Cincinnati  | Warren     | OH | 188.0             | 3.8           | 1,673        | 11.5%      |
| 39007 | Cleveland   | Ashtabula  | OH | 833.8             | 4,487.1       | 9,441        | 56.4%      |
| 39035 | Cleveland   | Cuyahoga   | OH | 2,405.6           | 3,286.2       | 18,923       | 30.1%      |
| 39085 | Cleveland   | Lake       | OH | 568.9             | 773.0         | 3,859        | 34.8%      |
| 39093 | Cleveland   | Lorain     | OH | 1,360.5           | 2,917.8       | 8,463        | 50.5%      |
| 39103 | Cleveland   | Medina     | OH | 438.3             | 2.5           | 1,945        | 22.7%      |
| 39133 | Cleveland   | Portage    | OH | 851.4             | 10.3          | 2,483        | 34.7%      |
| 39153 | Cleveland   | Summit     | OH | 702.5             | 7.2           | 4,985        | 14.2%      |
| 26093 | Detroit     | Livingston | MI | 80.7              | 2.3           | 2,010        | 4.1%       |
| 26099 | Detroit     | Macomb     | MI | 125.2             | 151.8         | 7,234        | 3.8%       |
| 26115 | Detroit     | Monroe     | MI | 591.7             | 231.4         | 2,799        | 29.4%      |
| 26125 | Detroit     | Oakland    | MI | 492.0             | 117.6         | 12,011       | 5.1%       |
| 26147 | Detroit     | St. Clair  | MI | 238.4             | 552.6         | 4,414        | 17.9%      |
| 26161 | Detroit     | Washtenaw  | MI | 137.0             | 1.6           | 3,811        | 3.6%       |
| 26163 | Detroit     | Wayne      | MI | 1,064.0           | 284.1         | 23,915       | 5.6%       |
| 48039 | Houston     | Brazoria   | TX | 615.5             | 6,160.6       | 12,492       | 54.2%      |
| 48071 | Houston     | Chambers   | TX | 35.1              | 208.0         | 1,047        | 23.2%      |
| 48157 | Houston     | Fort Bend  | TX | 855.7             | 4.0           | 4,021        | 21.4%      |
| 48167 | Houston     | Galveston  | TX | 481.5             | 14,101.9      | 24,831       | 58.7%      |
| 48201 | Houston     | Harris     | TX | 2,463.1           | 36,663.4      | 88,044       | 44.4%      |
| 48291 | Houston     | Liberty    | TX | 940.8             | 77.6          | 1,866        | 54.6%      |
| 48339 | Houston     | Montgomery | TX | 732.9             | 11.6          | 4,332        | 17.2%      |
| 48473 | Houston     | Waller     | TX | 213.4             | 1.8           | 593          | 36.3%      |

## Draft Regulatory Impact Analysis

| FIPS  | MSA          | County         | ST | 2020 NOx          |               |              |            |
|-------|--------------|----------------|----|-------------------|---------------|--------------|------------|
|       |              |                |    | Diesel Locomotive | Diesel Marine | Total Mobile | LM Percent |
| 21019 | Huntington   | Boyd           | KY | 361.2             | 454.4         | 1,599        | 51.0%      |
| 21127 | Huntington   | Lawrence       | KY | 310.3             | 147.8         | 706          | 64.9%      |
| 39001 | Huntington   | Adams          | OH | 10.6              | 1,305.9       | 2,379        | 55.3%      |
| 39053 | Huntington   | Gallia         | OH | 93.0              | 574.4         | 1,310        | 50.9%      |
| 39087 | Huntington   | Lawrence       | OH | 337.7             | 852.5         | 2,252        | 52.8%      |
| 39145 | Huntington   | Scioto         | OH | 755.3             | 826.2         | 2,737        | 57.8%      |
| 54011 | Huntington   | Cabell         | WV | 789.0             | 630.5         | 10,401       | 13.6%      |
| 54053 | Huntington   | Mason          | WV | 175.0             | 993.3         | 2,088        | 56.0%      |
| 54099 | Huntington   | Wayne          | WV | 997.3             | 1,498.1       | 3,047        | 81.9%      |
| 18011 | Indianapolis | Boone          | IN | 178.0             | 2.5           | 1,171        | 15.4%      |
| 18057 | Indianapolis | Hamilton       | IN | 6.4               | 27.1          | 2,259        | 1.5%       |
| 18059 | Indianapolis | Hancock        | IN | 138.0             | 1.4           | 1,042        | 13.4%      |
| 18063 | Indianapolis | Hendricks      | IN | 490.3             | 1.4           | 1,989        | 24.7%      |
| 18081 | Indianapolis | Johnson        | IN | 37.1              | 9.1           | 1,445        | 3.2%       |
| 18095 | Indianapolis | Madison        | IN | 444.2             | 5.2           | 2,073        | 21.7%      |
| 18097 | Indianapolis | Marion         | IN | 851.8             | 58.0          | 11,238       | 8.1%       |
| 18109 | Indianapolis | Morgan         | IN | 16.9              | 9.6           | 1,015        | 2.6%       |
| 18145 | Indianapolis | Shelby         | IN | 197.5             | 1.0           | 1,011        | 19.6%      |
| 20091 | Kansas City  | Johnson        | KS | 1,821.4           | 1.7           | 6,851        | 26.6%      |
| 20103 | Kansas City  | Leavenworth    | KS | 467.1             | 13.3          | 1,177        | 40.8%      |
| 20121 | Kansas City  | Miami          | KS | 2,667.9           | 6.6           | 3,085        | 86.7%      |
| 20209 | Kansas City  | Wyandotte      | KS | 985.3             | 111.7         | 2,919        | 37.6%      |
| 29037 | Kansas City  | Cass           | MO | 543.2             | 5.2           | 1,476        | 37.1%      |
| 29047 | Kansas City  | Clay           | MO | 984.8             | 118.0         | 3,214        | 34.3%      |
| 29049 | Kansas City  | Clinton        | MO | 0.0               | 7.0           | 435          | 1.6%       |
| 29095 | Kansas City  | Jackson        | MO | 3,099.6           | 837.4         | 12,014       | 32.8%      |
| 29107 | Kansas City  | Lafayette      | MO | 760.4             | 109.5         | 1,724        | 50.5%      |
| 29165 | Kansas City  | Platte         | MO | 741.9             | 25.2          | 2,964        | 25.9%      |
| 29177 | Kansas City  | Ray            | MO | 1,528.5           | 101.4         | 2,106        | 77.4%      |
| 6037  | Los Angeles  | Los Angeles    | CA | 8,078.6           | 34,699.8      | 126,737      | 33.8%      |
| 6059  | Los Angeles  | Orange         | CA | 2,064.2           | 1,935.3       | 27,820       | 14.4%      |
| 6065  | Los Angeles  | Riverside      | CA | 3,206.9           | 67.6          | 18,781       | 17.4%      |
| 6071  | Los Angeles  | San Bernardino | CA | 10,808.1          | 31.6          | 26,747       | 40.5%      |
| 6111  | Los Angeles  | Ventura        | CA | 380.6             | 3,334.9       | 9,593        | 38.7%      |
| 27003 | Minneapolis  | Anoka          | MN | 688.9             | 350.5         | 4,088        | 25.4%      |
| 27019 | Minneapolis  | Carver         | MN | 1.7               | 29.2          | 848          | 3.6%       |
| 27037 | Minneapolis  | Dakota         | MN | 412.2             | 322.9         | 4,372        | 16.8%      |
| 27053 | Minneapolis  | Hennepin       | MN | 1,034.8           | 960.2         | 16,513       | 12.1%      |
| 27123 | Minneapolis  | Ramsey         | MN | 390.6             | 306.8         | 6,337        | 11.0%      |
| 27139 | Minneapolis  | Scott          | MN | 88.3              | 47.8          | 1,053        | 12.9%      |
| 27163 | Minneapolis  | Washington     | MN | 752.2             | 1,287.7       | 4,813        | 42.4%      |
| 9001  | New York     | Fairfield      | CT | 497.8             | 269.3         | 13,775       | 5.6%       |
| 9005  | New York     | Litchfield     | CT | 112.5             | 37.9          | 2,050        | 7.3%       |
| 34003 | New York     | Bergen         | NJ | 778.5             | 164.7         | 11,244       | 8.4%       |
| 34013 | New York     | Essex          | NJ | 153.0             | 43.8          | 11,579       | 1.7%       |

Chapter 3: Inventory

| FIPS  | MSA          | County       | ST | 2020 NOx          |               |              |            |
|-------|--------------|--------------|----|-------------------|---------------|--------------|------------|
|       |              |              |    | Diesel Locomotive | Diesel Marine | Total Mobile | LM Percent |
| 34017 | New York     | Hudson       | NJ | 620.9             | 1,217.1       | 8,314        | 22.1%      |
| 34019 | New York     | Hunterdon    | NJ | 218.2             | 14.0          | 2,859        | 8.1%       |
| 34023 | New York     | Middlesex    | NJ | 393.0             | 235.8         | 9,099        | 6.9%       |
| 34025 | New York     | Monmouth     | NJ | 216.0             | 617.7         | 8,620        | 9.7%       |
| 34027 | New York     | Morris       | NJ | 149.1             | 22.5          | 6,081        | 2.8%       |
| 34029 | New York     | Ocean        | NJ | 13.6              | 500.0         | 6,071        | 8.5%       |
| 34031 | New York     | Passaic      | NJ | 139.0             | 21.8          | 5,226        | 3.1%       |
| 34035 | New York     | Somerset     | NJ | 368.6             | 0.7           | 3,670        | 10.1%      |
| 34037 | New York     | Sussex       | NJ | 40.5              | 26.7          | 1,901        | 3.5%       |
| 34039 | New York     | Union        | NJ | 278.8             | 880.2         | 7,151        | 16.2%      |
| 36005 | New York     | Bronx        | NY | 4.3               | 170.4         | 8,855        | 2.0%       |
| 36047 | New York     | Kings        | NY | 0.0               | 1,397.7       | 18,231       | 7.7%       |
| 36059 | New York     | Nassau       | NY | 0.0               | 506.2         | 11,407       | 4.4%       |
| 36061 | New York     | New York     | NY | 0.0               | 980.8         | 31,145       | 3.1%       |
| 36071 | New York     | Orange       | NY | 270.9             | 70.5          | 6,487        | 5.3%       |
| 36081 | New York     | Queens       | NY | 2.0               | 1,679.8       | 22,109       | 7.6%       |
| 36085 | New York     | Richmond     | NY | 0.0               | 1,942.3       | 4,992        | 38.9%      |
| 36087 | New York     | Rockland     | NY | 219.1             | 19.6          | 2,500        | 9.6%       |
| 36103 | New York     | Suffolk      | NY | 0.0               | 1,342.6       | 14,755       | 9.1%       |
| 36119 | New York     | Westchester  | NY | 0.0               | 117.2         | 7,870        | 1.5%       |
| 10003 | Philadelphia | New Castle   | DE | 803.4             | 2,069.6       | 11,598       | 24.8%      |
| 24015 | Philadelphia | Cecil        | MD | 213.9             | 56.2          | 2,142        | 12.6%      |
| 24029 | Philadelphia | Kent         | MD | 1.8               | 53.8          | 541          | 10.3%      |
| 24031 | Philadelphia | Montgomery   | MD | 627.6             | 15.6          | 12,024       | 5.3%       |
| 34005 | Philadelphia | Burlington   | NJ | 0.0               | 963.9         | 6,299        | 15.3%      |
| 34007 | Philadelphia | Camden       | NJ | 111.6             | 385.6         | 7,049        | 7.1%       |
| 34011 | Philadelphia | Cumberland   | NJ | 23.4              | 1,063.5       | 3,128        | 34.8%      |
| 34015 | Philadelphia | Gloucester   | NJ | 38.0              | 520.5         | 6,743        | 8.3%       |
| 34021 | Philadelphia | Mercer       | NJ | 133.5             | 119.1         | 5,604        | 4.5%       |
| 34033 | Philadelphia | Salem        | NJ | 9.3               | 315.8         | 1,442        | 22.5%      |
| 42017 | Philadelphia | Bucks        | PA | 65.3              | 40.8          | 6,119        | 1.7%       |
| 42029 | Philadelphia | Chester      | PA | 304.0             | 6.8           | 5,242        | 5.9%       |
| 42045 | Philadelphia | Delaware     | PA | 125.2             | 4,798.6       | 12,519       | 39.3%      |
| 42101 | Philadelphia | Philadelphia | PA | 215.5             | 8,420.9       | 28,921       | 29.9%      |
| 4013  | Phoenix      | Maricopa     | AZ | 3,043.5           | 33.6          | 36,074       | 8.5%       |
| 4021  | Phoenix      | Pinal        | AZ | 1,689.8           | 7.5           | 4,626        | 36.7%      |
| 6019  | San Joaquin  | Fresno       | CA | 596.5             | 38.7          | 9,566        | 6.6%       |
| 6029  | San Joaquin  | Kern         | CA | 2,751.1           | 14.4          | 11,518       | 24.0%      |
| 6031  | San Joaquin  | Kings        | CA | 72.7              | 1.4           | 1,747        | 4.2%       |
| 6039  | San Joaquin  | Madera       | CA | 652.3             | 10.6          | 2,530        | 26.2%      |
| 6047  | San Joaquin  | Merced       | CA | 574.3             | 30.5          | 3,697        | 16.4%      |
| 6077  | San Joaquin  | San Joaquin  | CA | 980.7             | 496.4         | 7,856        | 18.8%      |
| 6099  | San Joaquin  | Stanislaus   | CA | 401.8             | 14.8          | 4,881        | 8.5%       |
| 6107  | San Joaquin  | Tulare       | CA | 905.5             | 10.6          | 5,493        | 16.7%      |
| 53029 | Seattle      | Island       | WA | 0.0               | 1,709.1       | 2,406        | 71.1%      |
| 53033 | Seattle      | King         | WA | 935.0             | 4,874.1       | 26,130       | 22.2%      |

## Draft Regulatory Impact Analysis

| FIPS  | MSA       | County      | ST | 2020 NOx          |               |              |            |
|-------|-----------|-------------|----|-------------------|---------------|--------------|------------|
|       |           |             |    | Diesel Locomotive | Diesel Marine | Total Mobile | LM Percent |
| 53035 | Seattle   | Kitsap      | WA | 0.0               | 54.6          | 2,268        | 2.4%       |
| 53045 | Seattle   | Mason       | WA | 0.1               | 28.7          | 541          | 5.3%       |
| 53053 | Seattle   | Pierce      | WA | 586.2             | 4,359.7       | 12,505       | 39.6%      |
| 53061 | Seattle   | Snohomish   | WA | 1,050.1           | 779.7         | 7,046        | 26.0%      |
| 53067 | Seattle   | Thurston    | WA | 267.7             | 317.1         | 3,088        | 18.9%      |
| 17027 | St. Louis | Clinton     | IL | 653.3             | 3.4           | 1,223        | 53.7%      |
| 17083 | St. Louis | Jersey      | IL | 54.0              | 473.6         | 1,104        | 47.8%      |
| 17119 | St. Louis | Madison     | IL | 321.8             | 257.9         | 3,094        | 18.7%      |
| 17133 | St. Louis | Monroe      | IL | 1,017.1           | 415.5         | 2,060        | 69.5%      |
| 17163 | St. Louis | St. Clair   | IL | 339.2             | 491.8         | 3,360        | 24.7%      |
| 29055 | St. Louis | Crawford    | MO | 149.4             | 1.7           | 640          | 23.6%      |
| 29071 | St. Louis | Franklin    | MO | 1,005.6           | 63.6          | 2,226        | 48.0%      |
| 29099 | St. Louis | Jefferson   | MO | 273.6             | 424.7         | 2,736        | 25.5%      |
| 29113 | St. Louis | Lincoln     | MO | 451.5             | 172.5         | 1,301        | 48.0%      |
| 29183 | St. Louis | St. Charles | MO | 543.8             | 393.2         | 3,393        | 27.6%      |
| 29189 | St. Louis | St. Louis   | MO | 867.4             | 489.5         | 12,921       | 10.5%      |
| 29219 | St. Louis | Warren      | MO | 92.1              | 61.3          | 595          | 25.8%      |
| 29510 | St. Louis | St. Louis   | MO | 874.5             | 6,486.7       | 13,766       | 53.5%      |

Table 0-111 2030 Locomotive and Diesel Marine NOx Tons/Year and Percent of Total Mobile Sources

| FIPS  | MSA        | County       | ST | 2030 NOx          |               |              |            |
|-------|------------|--------------|----|-------------------|---------------|--------------|------------|
|       |            |              |    | Diesel Locomotive | Diesel Marine | Total Mobile | LM Percent |
| 13013 | Atlanta    | Barrow       | GA | 186.5             | 0.7           | 583          | 32.1%      |
| 13015 | Atlanta    | Bartow       | GA | 665.4             | 9.2           | 1,596        | 42.3%      |
| 13045 | Atlanta    | Carroll      | GA | 180.2             | 3.9           | 1,168        | 15.8%      |
| 13057 | Atlanta    | Cherokee     | GA | 0.0               | 8.8           | 1,502        | 0.6%       |
| 13063 | Atlanta    | Clayton      | GA | 350.2             | 1.3           | 2,912        | 12.1%      |
| 13067 | Atlanta    | Cobb         | GA | 918.9             | 3.6           | 5,714        | 16.1%      |
| 13077 | Atlanta    | Coweta       | GA | 462.3             | 2.6           | 1,676        | 27.7%      |
| 13089 | Atlanta    | DeKalb       | GA | 426.2             | 2.3           | 5,791        | 7.4%       |
| 13097 | Atlanta    | Douglas      | GA | 165.0             | 0.7           | 1,146        | 14.5%      |
| 13113 | Atlanta    | Fayette      | GA | 119.7             | 1.6           | 1,109        | 10.9%      |
| 13117 | Atlanta    | Forsyth      | GA | 0.0               | 18.3          | 1,115        | 1.6%       |
| 13121 | Atlanta    | Fulton       | GA | 1,251.8           | 4.9           | 13,644       | 9.2%       |
| 13135 | Atlanta    | Gwinnett     | GA | 318.0             | 3.3           | 4,804        | 6.7%       |
| 13139 | Atlanta    | Hall         | GA | 185.4             | 30.1          | 1,581        | 13.6%      |
| 13149 | Atlanta    | Heard        | GA | 0.0               | 4.3           | 100          | 4.3%       |
| 13151 | Atlanta    | Henry        | GA | 472.0             | 1.6           | 1,860        | 25.5%      |
| 13217 | Atlanta    | Newton       | GA | 53.5              | 2.3           | 800          | 7.0%       |
| 13223 | Atlanta    | Paulding     | GA | 391.3             | 1.3           | 1,152        | 34.1%      |
| 13237 | Atlanta    | Putnam       | GA | 10.3              | 13.8          | 169          | 14.2%      |
| 13247 | Atlanta    | Rockdale     | GA | 75.8              | 1.3           | 848          | 9.1%       |
| 13255 | Atlanta    | Spalding     | GA | 20.4              | 1.3           | 597          | 3.6%       |
| 13297 | Atlanta    | Walton       | GA | 64.1              | 0.7           | 550          | 11.8%      |
| 24003 | Baltimore  | Anne Arundel | MD | 285.6             | 76.7          | 8,572        | 4.2%       |
| 24005 | Baltimore  | Baltimore    | MD | 896.2             | 48.2          | 11,329       | 8.3%       |
| 24013 | Baltimore  | Carroll      | MD | 145.3             | 1.7           | 2,442        | 6.0%       |
| 24025 | Baltimore  | Harford      | MD | 242.3             | 45.7          | 3,508        | 8.2%       |
| 24027 | Baltimore  | Howard       | MD | 347.0             | 10.7          | 3,770        | 9.5%       |
| 24510 | Baltimore  | Baltimore    | MD | 1,142.1           | 1,365.5       | 17,705       | 14.2%      |
| 1073  | Birmingham | Jefferson    | AL | 4,081.7           | 223.2         | 10,639       | 40.5%      |
| 1117  | Birmingham | Shelby       | AL | 1,005.0           | 13.6          | 2,211        | 46.1%      |
| 1127  | Birmingham | Walker       | AL | 648.6             | 99.0          | 1,403        | 53.3%      |
| 9007  | Boston     | Middlesex    | CT | 105.1             | 127.5         | 234          | 99.4%      |
| 25001 | Boston     | Barnstable   | MA | 232.0             | 518.9         | 4,797        | 15.7%      |
| 25005 | Boston     | Bristol      | MA | 435.3             | 220.7         | 7,523        | 8.7%       |
| 25007 | Boston     | Dukes        | MA | 0.0               | 1,350.2       | 1,773        | 76.2%      |
| 25009 | Boston     | Essex        | MA | 567.2             | 212.4         | 9,820        | 7.9%       |
| 25019 | Boston     | Nantucket    | MA | 0.0               | 265.1         | 551          | 48.1%      |
| 25021 | Boston     | Norfolk      | MA | 679.2             | 142.8         | 10,138       | 8.1%       |
| 25023 | Boston     | Plymouth     | MA | 362.5             | 205.8         | 6,197        | 9.2%       |
| 25025 | Boston     | Suffolk      | MA | 360.0             | 710.3         | 16,310       | 6.6%       |
| 25027 | Boston     | Worcester    | MA | 1,368.9           | 47.6          | 11,980       | 11.8%      |
| 33011 | Boston     | Hillsborough | NH | 35.8              | 19.5          | 6,461        | 0.9%       |
| 33015 | Boston     | Rockingham   | NH | 27.0              | 940.3         | 6,892        | 14.0%      |



## Draft Regulatory Impact Analysis

| FIPS  | MSA         | County     | ST | 2030 NOx          |               |              |            |
|-------|-------------|------------|----|-------------------|---------------|--------------|------------|
|       |             |            |    | Diesel Locomotive | Diesel Marine | Total Mobile | LM Percent |
| 47065 | Chattanooga | Hamilton   | TN | 1,305.7           | 757.2         | 5,151        | 40.1%      |
| 47115 | Chattanooga | Marion     | TN | 183.1             | 150.6         | 932          | 35.8%      |
| 47153 | Chattanooga | Sequatchie | TN | 0.0               | 0.0           | 56           | 0.0%       |
| 13047 | Chattanooga | Catoosa    | GA | 395.9             | 0.3           | 830          | 47.8%      |
| 13083 | Chattanooga | Dade       | GA | 376.2             | 0.0           | 699          | 53.8%      |
| 13295 | Chattanooga | Walker     | GA | 0.0               | 0.3           | 438          | 0.1%       |
| 17031 | Chicago     | Cook       | IL | 18,514.9          | 5,645.1       | 63,116       | 38.3%      |
| 17043 | Chicago     | DuPage     | IL | 4,720.6           | 6.5           | 10,269       | 46.0%      |
| 17063 | Chicago     | Grundy     | IL | 427.7             | 163.2         | 1,168        | 50.6%      |
| 17089 | Chicago     | Kane       | IL | 1,750.8           | 4.6           | 3,281        | 53.5%      |
| 17093 | Chicago     | Kendall    | IL | 250.2             | 0.4           | 641          | 39.1%      |
| 17097 | Chicago     | Lake       | IL | 906.2             | 955.2         | 6,310        | 29.5%      |
| 17111 | Chicago     | McHenry    | IL | 488.4             | 7.6           | 1,548        | 32.0%      |
| 17197 | Chicago     | Will       | IL | 4,733.7           | 124.5         | 7,002        | 69.4%      |
| 18089 | Chicago     | Lake       | IN | 4,451.2           | 562.4         | 12,715       | 39.4%      |
| 18127 | Chicago     | Porter     | IN | 1,230.3           | 477.1         | 4,520        | 37.8%      |
| 18029 | Cincinnati  | Dearborn   | IN | 171.1             | 569.5         | 1,694        | 43.7%      |
| 21015 | Cincinnati  | Boone      | KY | 272.4             | 856.3         | 3,615        | 31.2%      |
| 21037 | Cincinnati  | Campbell   | KY | 514.0             | 592.5         | 2,128        | 52.0%      |
| 21117 | Cincinnati  | Kenton     | KY | 995.8             | 295.2         | 2,456        | 52.6%      |
| 39017 | Cincinnati  | Butler     | OH | 1,215.2           | 2.2           | 2,901        | 42.0%      |
| 39025 | Cincinnati  | Clermont   | OH | 52.6              | 1,124.0       | 3,076        | 38.2%      |
| 39061 | Cincinnati  | Hamilton   | OH | 1,200.0           | 3,327.7       | 12,598       | 35.9%      |
| 39165 | Cincinnati  | Warren     | OH | 187.1             | 4.2           | 1,261        | 15.2%      |
| 39007 | Cleveland   | Ashtabula  | OH | 826.5             | 4,523.2       | 10,335       | 51.8%      |
| 39035 | Cleveland   | Cuyahoga   | OH | 2,374.0           | 3,348.9       | 17,334       | 33.0%      |
| 39085 | Cleveland   | Lake       | OH | 563.9             | 800.5         | 3,676        | 37.1%      |
| 39093 | Cleveland   | Lorain     | OH | 1,350.4           | 2,952.3       | 8,584        | 50.1%      |
| 39103 | Cleveland   | Medina     | OH | 435.4             | 2.7           | 1,508        | 29.1%      |
| 39133 | Cleveland   | Portage    | OH | 844.5             | 11.2          | 2,012        | 42.5%      |
| 39153 | Cleveland   | Summit     | OH | 696.4             | 7.8           | 3,944        | 17.9%      |
| 26093 | Detroit     | Livingston | MI | 79.5              | 2.5           | 1,589        | 5.2%       |
| 26099 | Detroit     | Macomb     | MI | 123.3             | 156.2         | 6,116        | 4.6%       |
| 26115 | Detroit     | Monroe     | MI | 582.6             | 234.6         | 2,409        | 33.9%      |
| 26125 | Detroit     | Oakland    | MI | 484.2             | 119.1         | 10,112       | 6.0%       |
| 26147 | Detroit     | St. Clair  | MI | 234.3             | 559.6         | 4,539        | 17.5%      |
| 26161 | Detroit     | Washtenaw  | MI | 135.7             | 1.7           | 3,199        | 4.3%       |
| 26163 | Detroit     | Wayne      | MI | 1,061.8           | 292.0         | 21,886       | 6.2%       |
| 48039 | Houston     | Brazoria   | TX | 606.1             | 6,200.9       | 13,541       | 50.3%      |
| 48071 | Houston     | Chambers   | TX | 34.6              | 213.6         | 964          | 25.8%      |
| 48157 | Houston     | Fort Bend  | TX | 841.8             | 4.3           | 3,437        | 24.6%      |
| 48167 | Houston     | Galveston  | TX | 483.0             | 14,191.0      | 27,937       | 52.5%      |
| 48201 | Houston     | Harris     | TX | 2,459.9           | 36,874.9      | 91,005       | 43.2%      |
| 48291 | Houston     | Liberty    | TX | 926.1             | 78.5          | 1,679        | 59.8%      |
| 48339 | Houston     | Montgomery | TX | 721.7             | 12.6          | 3,561        | 20.6%      |
| 48473 | Houston     | Waller     | TX | 210.1             | 1.9           | 497          | 42.7%      |

Chapter 3: Inventory

| FIPS  | MSA          | County         | ST | 2030 NOx          |               |              |            |
|-------|--------------|----------------|----|-------------------|---------------|--------------|------------|
|       |              |                |    | Diesel Locomotive | Diesel Marine | Total Mobile | LM Percent |
| 21019 | Huntington   | Boyd           | KY | 355.3             | 457.1         | 1,606        | 50.6%      |
| 21127 | Huntington   | Lawrence       | KY | 310.0             | 148.8         | 704          | 65.2%      |
| 39001 | Huntington   | Adams          | OH | 10.5              | 1,313.4       | 2,628        | 50.4%      |
| 39053 | Huntington   | Gallia         | OH | 92.3              | 577.8         | 1,377        | 48.7%      |
| 39087 | Huntington   | Lawrence       | OH | 335.2             | 857.5         | 2,351        | 50.7%      |
| 39145 | Huntington   | Scioto         | OH | 749.9             | 831.1         | 2,788        | 56.7%      |
| 54011 | Huntington   | Cabell         | WV | 775.3             | 634.9         | 13,900       | 10.1%      |
| 54053 | Huntington   | Mason          | WV | 174.8             | 1,000.4       | 2,292        | 51.3%      |
| 54099 | Huntington   | Wayne          | WV | 981.9             | 1,507.4       | 3,047        | 81.7%      |
| 18011 | Indianapolis | Boone          | IN | 175.6             | 2.7           | 922          | 19.3%      |
| 18057 | Indianapolis | Hamilton       | IN | 6.6               | 29.4          | 1,804        | 2.0%       |
| 18059 | Indianapolis | Hancock        | IN | 136.6             | 1.6           | 816          | 16.9%      |
| 18063 | Indianapolis | Hendricks      | IN | 486.8             | 1.6           | 1,616        | 30.2%      |
| 18081 | Indianapolis | Johnson        | IN | 37.8              | 9.9           | 1,158        | 4.1%       |
| 18095 | Indianapolis | Madison        | IN | 440.3             | 5.6           | 1,721        | 25.9%      |
| 18097 | Indianapolis | Marion         | IN | 845.5             | 62.9          | 9,848        | 9.2%       |
| 18109 | Indianapolis | Morgan         | IN | 17.2              | 10.4          | 785          | 3.5%       |
| 18145 | Indianapolis | Shelby         | IN | 195.8             | 1.1           | 797          | 24.7%      |
| 20091 | Kansas City  | Johnson        | KS | 1,793.4           | 1.8           | 5,960        | 30.1%      |
| 20103 | Kansas City  | Leavenworth    | KS | 460.0             | 13.6          | 1,012        | 46.8%      |
| 20121 | Kansas City  | Miami          | KS | 2,627.2           | 7.1           | 2,928        | 90.0%      |
| 20209 | Kansas City  | Wyandotte      | KS | 969.7             | 112.5         | 2,648        | 40.9%      |
| 29037 | Kansas City  | Cass           | MO | 534.4             | 5.7           | 1,248        | 43.3%      |
| 29047 | Kansas City  | Clay           | MO | 980.2             | 120.2         | 2,864        | 38.4%      |
| 29049 | Kansas City  | Clinton        | MO | 0.0               | 7.6           | 320          | 2.4%       |
| 29095 | Kansas City  | Jackson        | MO | 3,078.5           | 843.5         | 10,916       | 35.9%      |
| 29107 | Kansas City  | Lafayette      | MO | 748.8             | 111.3         | 1,515        | 56.8%      |
| 29165 | Kansas City  | Platte         | MO | 730.6             | 26.2          | 2,855        | 26.5%      |
| 29177 | Kansas City  | Ray            | MO | 1,515.9           | 102.4         | 1,995        | 81.1%      |
| 6037  | Los Angeles  | Los Angeles    | CA | 8,037.8           | 34,907.8      | 110,332      | 38.9%      |
| 6059  | Los Angeles  | Orange         | CA | 2,064.0           | 1,951.1       | 22,503       | 17.8%      |
| 6065  | Los Angeles  | Riverside      | CA | 3,176.5           | 73.4          | 12,138       | 26.8%      |
| 6071  | Los Angeles  | San Bernardino | CA | 10,729.1          | 34.3          | 20,287       | 53.1%      |
| 6111  | Los Angeles  | Ventura        | CA | 379.6             | 3,359.2       | 8,627        | 43.3%      |
| 27003 | Minneapolis  | Anoka          | MN | 677.4             | 359.0         | 3,678        | 28.2%      |
| 27019 | Minneapolis  | Carver         | MN | 1.7               | 31.1          | 683          | 4.8%       |
| 27037 | Minneapolis  | Dakota         | MN | 405.5             | 330.0         | 3,860        | 19.1%      |
| 27053 | Minneapolis  | Hennepin       | MN | 1,018.8           | 979.0         | 15,108       | 13.2%      |
| 27123 | Minneapolis  | Ramsey         | MN | 384.3             | 313.5         | 5,585        | 12.5%      |
| 27139 | Minneapolis  | Scott          | MN | 86.9              | 50.7          | 871          | 15.8%      |
| 27163 | Minneapolis  | Washington     | MN | 740.1             | 1,300.7       | 4,730        | 43.1%      |
| 9001  | New York     | Fairfield      | CT | 484.0             | 285.7         | 13,975       | 5.5%       |
| 9005  | New York     | Litchfield     | CT | 114.5             | 41.2          | 2,010        | 7.7%       |
| 34003 | New York     | Bergen         | NJ | 756.3             | 167.5         | 11,281       | 8.2%       |
| 34013 | New York     | Essex          | NJ | 146.0             | 44.6          | 13,693       | 1.4%       |

## Draft Regulatory Impact Analysis

| FIPS  | MSA          | County       | ST | 2030 NOx          |               |              |            |
|-------|--------------|--------------|----|-------------------|---------------|--------------|------------|
|       |              |              |    | Diesel Locomotive | Diesel Marine | Total Mobile | LM Percent |
| 34017 | New York     | Hudson       | NJ | 596.4             | 1,227.0       | 11,022       | 16.5%      |
| 34019 | New York     | Hunterdon    | NJ | 210.5             | 15.2          | 2,703        | 8.4%       |
| 34023 | New York     | Middlesex    | NJ | 377.1             | 238.9         | 10,943       | 5.6%       |
| 34025 | New York     | Monmouth     | NJ | 196.7             | 637.0         | 8,926        | 9.3%       |
| 34027 | New York     | Morris       | NJ | 138.6             | 24.4          | 5,958        | 2.7%       |
| 34029 | New York     | Ocean        | NJ | 12.6              | 539.0         | 6,186        | 8.9%       |
| 34031 | New York     | Passaic      | NJ | 129.1             | 23.6          | 5,198        | 2.9%       |
| 34035 | New York     | Somerset     | NJ | 358.4             | 0.8           | 3,620        | 9.9%       |
| 34037 | New York     | Sussex       | NJ | 41.2              | 29.0          | 1,794        | 3.9%       |
| 34039 | New York     | Union        | NJ | 265.7             | 885.6         | 8,205        | 14.0%      |
| 36005 | New York     | Bronx        | NY | 4.3               | 172.6         | 9,872        | 1.8%       |
| 36047 | New York     | Kings        | NY | 0.0               | 1,407.8       | 23,002       | 6.1%       |
| 36059 | New York     | Nassau       | NY | 0.0               | 516.6         | 11,386       | 4.5%       |
| 36061 | New York     | New York     | NY | 0.0               | 987.0         | 17,781       | 5.6%       |
| 36071 | New York     | Orange       | NY | 263.2             | 72.3          | 6,601        | 5.1%       |
| 36081 | New York     | Queens       | NY | 1.9               | 1,692.5       | 24,125       | 7.0%       |
| 36085 | New York     | Richmond     | NY | 0.0               | 1,955.3       | 6,930        | 28.2%      |
| 36087 | New York     | Rockland     | NY | 215.1             | 21.3          | 2,459        | 9.6%       |
| 36103 | New York     | Suffolk      | NY | 0.0               | 1,408.8       | 14,851       | 9.5%       |
| 36119 | New York     | Westchester  | NY | 0.0               | 121.2         | 8,399        | 1.4%       |
| 10003 | Philadelphia | New Castle   | DE | 781.2             | 2,083.0       | 12,157       | 23.6%      |
| 24015 | Philadelphia | Cecil        | MD | 210.9             | 59.2          | 2,059        | 13.1%      |
| 24029 | Philadelphia | Kent         | MD | 1.8               | 57.6          | 506          | 11.7%      |
| 24031 | Philadelphia | Montgomery   | MD | 593.4             | 16.1          | 12,274       | 5.0%       |
| 34005 | Philadelphia | Burlington   | NJ | 0.0               | 971.5         | 6,198        | 15.7%      |
| 34007 | Philadelphia | Camden       | NJ | 104.7             | 388.6         | 7,322        | 6.7%       |
| 34011 | Philadelphia | Cumberland   | NJ | 23.8              | 1,083.2       | 3,125        | 35.4%      |
| 34015 | Philadelphia | Gloucester   | NJ | 36.9              | 525.2         | 7,922        | 7.1%       |
| 34021 | Philadelphia | Mercer       | NJ | 131.1             | 120.3         | 5,616        | 4.5%       |
| 34033 | Philadelphia | Salem        | NJ | 9.4               | 320.5         | 1,393        | 23.7%      |
| 42017 | Philadelphia | Bucks        | PA | 63.2              | 43.1          | 6,003        | 1.8%       |
| 42029 | Philadelphia | Chester      | PA | 290.2             | 7.4           | 5,004        | 5.9%       |
| 42045 | Philadelphia | Delaware     | PA | 120.5             | 4,827.0       | 13,735       | 36.0%      |
| 42101 | Philadelphia | Philadelphia | PA | 213.8             | 8,470.2       | 31,412       | 27.6%      |
| 4013  | Phoenix      | Maricopa     | AZ | 3,019.1           | 36.5          | 18,989       | 16.1%      |
| 4021  | Phoenix      | Pinal        | AZ | 1,660.1           | 8.1           | 4,001        | 41.7%      |
| 6019  | San Joaquin  | Fresno       | CA | 590.6             | 42.0          | 5,860        | 10.8%      |
| 6029  | San Joaquin  | Kern         | CA | 2,741.2           | 15.7          | 7,256        | 38.0%      |
| 6031  | San Joaquin  | Kings        | CA | 71.7              | 1.5           | 902          | 8.1%       |
| 6039  | San Joaquin  | Madera       | CA | 644.9             | 11.5          | 1,488        | 44.1%      |
| 6047  | San Joaquin  | Merced       | CA | 573.0             | 33.1          | 2,108        | 28.7%      |
| 6077  | San Joaquin  | San Joaquin  | CA | 974.8             | 501.1         | 5,322        | 27.7%      |
| 6099  | San Joaquin  | Stanislaus   | CA | 398.9             | 16.0          | 2,978        | 13.9%      |
| 6107  | San Joaquin  | Tulare       | CA | 898.7             | 11.5          | 3,414        | 26.7%      |
| 53029 | Seattle      | Island       | WA | 0.0               | 1,720.9       | 2,318        | 74.2%      |
| 53033 | Seattle      | King         | WA | 919.1             | 4,923.0       | 23,930       | 24.4%      |

**Chapter 3: Inventory**

| FIPS  | MSA       | County      | ST | 2030 NOx          |               |              |            |
|-------|-----------|-------------|----|-------------------|---------------|--------------|------------|
|       |           |             |    | Diesel Locomotive | Diesel Marine | Total Mobile | LM Percent |
| 53035 | Seattle   | Kitsap      | WA | 0.0               | 59.2          | 1,921        | 3.1%       |
| 53045 | Seattle   | Mason       | WA | 0.1               | 30.6          | 449          | 6.8%       |
| 53053 | Seattle   | Pierce      | WA | 576.1             | 4,394.7       | 12,254       | 40.6%      |
| 53061 | Seattle   | Snohomish   | WA | 1,033.3           | 793.9         | 6,039        | 30.3%      |
| 53067 | Seattle   | Thurston    | WA | 266.9             | 322.4         | 2,775        | 21.2%      |
| 17027 | St. Louis | Clinton     | IL | 645.5             | 3.7           | 1,056        | 61.4%      |
| 17083 | St. Louis | Jersey      | IL | 53.2              | 476.4         | 1,134        | 46.7%      |
| 17119 | St. Louis | Madison     | IL | 312.6             | 259.6         | 2,469        | 23.2%      |
| 17133 | St. Louis | Monroe      | IL | 1,008.1           | 417.9         | 2,049        | 69.6%      |
| 17163 | St. Louis | St. Clair   | IL | 328.1             | 494.8         | 2,832        | 29.1%      |
| 29055 | St. Louis | Crawford    | MO | 149.3             | 1.9           | 526          | 28.7%      |
| 29071 | St. Louis | Franklin    | MO | 988.2             | 64.9          | 1,850        | 56.9%      |
| 29099 | St. Louis | Jefferson   | MO | 269.4             | 428.0         | 2,271        | 30.7%      |
| 29113 | St. Louis | Lincoln     | MO | 444.6             | 174.7         | 1,179        | 52.5%      |
| 29183 | St. Louis | St. Charles | MO | 535.5             | 399.3         | 2,847        | 32.8%      |
| 29189 | St. Louis | St. Louis   | MO | 853.1             | 494.2         | 11,003       | 12.2%      |
| 29219 | St. Louis | Warren      | MO | 90.7              | 62.4          | 503          | 30.4%      |
| 29510 | St. Louis | St. Louis   | MO | 880.1             | 6,524.3       | 14,654       | 50.5%      |

### References

- <sup>1</sup> "Calculation of Age Distributions in the Nonroad Model: Growth and Scrappage," EPA420-R-05-018, December 2005. The report is available online at <http://epa.gov/otaq/models/nonrdmdl/nonrdmdl2005/420r05018.pdf>
- <sup>2</sup> "Exhaust and Crankcase Emission Factors for Nonroad Engine Modeling—Compression-Ignition," EPA420-P-04-009, April 2004. The report is available online at <http://epa.gov/otaq/models/nonrdmdl/nonrdmdl2004/420p04009.pdf>
- <sup>3</sup> "Conversion Factors for Hydrocarbon Emission Components," EPA420-R-05-015, December 2005. The report is available online at <http://epa.gov/otaq/models/nonrdmdl/nonrdmdl2005/420r05015.pdf>
- <sup>4</sup> "Final Regulatory Analysis: Control of Emissions from Nonroad Diesel Engines," EPA420-R-04-007, May 2004. Docket EPA-HQ-OAR-2003-0012. The RIA is also available online at <http://epa.gov/nonroad-diesel/2004fr/420r04007.pdf>
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