

I.A.: OVERVIEW BY09

Descriptive Information BY09

Template Name	BY2009
Investment Name	OCFO - DOL New Core Financial Management System (NCFMS) (formally known as DOL Labor Executive Accountability Program (LEAP))
Investment Revision Number	12
Is this investment a consolidated business case?	No
Point of Contact	Vernon, Carol
Revision Comment	
Date of Submission	12/28/2007
Agency	Department of Labor
Bureau	DM - OCFO
Name of this Capital Asset	OCFO - DOL New Core Financial Management System (NCFMS) (formally known as DOL Labor Executive Accountability Program (LEAP))
Exhibit 53 Part	IT Investments by Mission Area
OMB Investment Type	01 - Major Investment
OMB Exhibit 53 Major Mission Area	Financial Management
What kind of investment will this be in this Budget Year?	Full Acquisition
OMB Short Description	This initiative will support the Secretary's 21st century and competitive workforce priorities for giving staff and managers the financial information and metrics they need to manage their programs efficiently and effectively.

Screening Questions BY09

Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap:

The Department of Labor Accounting and Related Systems (DOLAR\$) does not comply fully with current Federal Financial Management System Requirements. Core financial management functions are distributed between agency systems requiring each agency to maintain separate financial management functions, processes, and activities. Financial information is not distributed automatically between DOLAR\$ and agency systems requiring staff to enter or process batches of financial information manually. Additionally, it is based on 18 year old mainframe technology and customized applications requiring specialized staff to support operations.

This investment is being initiated to implement the Department's new financial management system with an approved Federal Shared Service Provider (SSP). Once NCFMS is operational and agency system interfaces have been integrated, historical financial records will be migrated from DOLAR\$ to a data warehouse, and then DOLAR\$ will be decommissioned.

This investment supports the Department's strategic goal - "Goal 1. A Prepared Workforce", and the President's Management Agenda initiative to improve financial performance by providing timely and accurate data for decision making and facilitate stronger internal controls that ensure integrity in financial management functions.

DOL has established a Governance Board to oversee the investment and a program management office to provide project management support in compliance with the Department's System Development Life Cycle Management (SDLCM) manual. The Governance Board has assigned an interim Project Manager who has been validated as qualified by the CIO Council PM Guidance.

Did the Agency's Executive/Investment Committee approve this request?	Yes
If "yes," what was the date of this approval?	10/22/2007
Did the Project Manager review this Exhibit?	Yes
Has the agency developed and/or promoted cost effective, energy efficient and environmentally sustainable techniques or practices for this project.	Yes
Will this investment include electronic assets (including computers)?	Yes
Is this investment for new construction or major retrofit of a Federal building or facility? (answer applicable to non-IT assets only)	No

If "yes," is an ESPC or UESC being used to help fund this investment?	
If "yes," will this investment meet sustainable design principles?	
If "yes," is it designed to be 30% more energy efficient than relevant code?	
Does this investment directly support one of the PMA initiatives?	Yes
If "yes," check all of the PMA initiatives that apply:	Expanded E-Government Financial Performance
Is this investment for information technology?	Yes
Briefly describe how this asset directly supports the identified initiative(s)?	This new financial management system will improve financial performance, reporting, accountability, and decision making throughout DOL and its agencies by reducing erroneous payments, implementing internal controls, and providing real-time financial information to managers and decision makers.

IT Screening Questions BY09

If the answer to Question, "Is this investment for information technology?" was "Yes," complete this sub-section. If the answer is "No," do not answer this sub-section.

What project management qualifications does the Project Manager have? (per CIO Council's PM Guidance):	(1) Project manager has been validated as qualified for this investment
If this project produces information dissemination products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities?	N/A
Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration's approval?	Yes

I.B.: SUMMARY OF SPENDING BY09

Summary of Spending BY09

Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in thousands, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated "Government FTE Cost," and should be excluded from the amounts shown for "Planning," "Full Acquisition," and "Operation/Maintenance." The "TOTAL" estimated annual cost of the investment is the sum of costs for "Planning," "Full Acquisition," and "Operation/Maintenance." For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.

REDACTED EXHIBIT 300 DME/Mixed BY09: OCFO - DOL New Core Financial Manageme...

	2001	2002	2003	2004	2005	2006	PY 2007
Planning							
Budgetary Resources	0	0	2100	0	0	0	0
Acquisition							
Budgetary Resources	0	0	0	13330	9239	4768	5924
Subtotal Planning & Acquisition							
Budgetary Resources	0	0	2100	13330	9239	4768	5924
Operations & Maintenance							
Budgetary Resources	0	0	0	0	0	0	0
TOTAL							
Budgetary Resources	0	0	2100	13330	9239	4768	5924
Government FTE Costs							
Budgetary Resources	0	0	0	440	681	1400	347
Planning							
Budgetary Resources	0	0	0	440	681	1400	0
Acquisition							
Budgetary Resources	0	0	0	0	0	0	347
Maintenance							
Budgetary Resources	0	0	0	0	0	0	0

Full time Equivalents BY09

Use the following table to provide the number of Government Full Time Equivalents (FTE) represented by the Government FTE Costs in the Summary of Spending Table. Numbers should be entered in decimal format for each of the categories listed.

	2001	2002	2003	2004	2005	2006	PY 2007
Security	0	0	0	0	0	0	0
IT	0	0	0	0	0	0	0
Financial Management	0	0	0	0	0	0	1
Program Management	0	0	1	2	4	4	1
Other	0	0	0	0	0	0	0
Total*	0	0	1	2	4	4	2

I.C.: ACQUISITION/CONTRACT STRATEGY BY09

Sensitive Data

* * * SENSITIVE DATA: This information was omitted completely * * *

I.D.: PERFORMANCE INFORMATION BY09

Sensitive Data

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I.E.: SECURITY AND PRIVACY BY09

Sensitive Data

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I.F.: ENTERPRISE ARCHITECTURE (EA) BY09

General EA Questions BY09

In order to successfully address this area of the business case and capital asset plan you must ensure the investment is included in the agency's EA and Capital Planning and Investment Control (CPIC) process, and is mapped to and supports the FEA. You must also ensure the business case demonstrates the relationship between the investment and the business, performance, data, services, application, and technology layers of the agency's EA.

Is this investment included in your agency's target enterprise architecture? Yes

If "no," please explain why this investment is not included in the agency's EA Transition Strategy?

Is this investment included in the agency's EA Transition Strategy? Yes

Will the application leverage existing components and/or applications across the Government (i.e., FirstGov, Pay.Gov, etc). If so, please describe.

The new system will interface with Treasury to send authorized payment files to pay vendors as well as with the Department of Agriculture's National Finance Center to record the authorized payroll information. Inside DOL, several applications and other agency accounting systems will interface with the new system.

If "yes," please describe how the application will leverage existing components and/or applications across the Government.

II.A.: ALTERNATIVES ANALYSIS BY09

Analysis Background BY09

Part II is completed only for investments identified as "Planning" or "Full Acquisition" or "Mixed Life-Cycle" investments in response to Part I above.

In selecting the best capital asset, you should identify and consider at least three viable alternatives, in addition to the current baseline, i.e., the status quo. Use OMB Circular A-94 for all investments, and the Clinger Cohen Act of 1996 for IT investments, to determine the criteria you should use in your Benefit/Cost Analysis.

Did you conduct an alternatives analysis for this project? Yes

II.B.: RISK MANAGEMENT BY09

Risk Management Plan BY09

You should have performed a risk assessment during the early planning and initial concept phase of this investment's life-cycle, developed a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing

risk throughout the investment's life-cycle.

Does the investment have a Risk Management Plan?	Yes
What is the date of the risk management plan?	2/6/2006

II.C.: COST AND SCHEDULE PERFORMANCE BY09

Sensitive Data

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